

REQUEST FOR QUALIFICATIONS

RELEASE DATE: SEPTEMBER 11, 2009

REPLY DATE: OCTOBER 2, 2009

BY

The National Trust for Historic Preservation (NTHP)

Northeast Office

7 Faneuil Hall Marketplace, 4th Floor

Boston, MA 02109

FOR THE PROJECT

**A National Register of Historic Places Multiple Property
Documentation Form**

Mid-Century Modern Residences in Connecticut (1930-1965)

Sub-group: New Canaan, CT

The National Trust for Historic Preservation is soliciting qualification statements from experienced consultants in the fields of 20th century history and architectural history for the services required to complete a National Register Multiple Property Documentation Form consisting of a historic context statement for Mid-Century Modern Houses in Connecticut (1930-1965) and individual nominations for a selection of architect-designed Modern houses located in the town of New Canaan, Connecticut.

A. Statement of Objectives

The objective of this project is to complete a Multiple Property Documentation Form to the National Register of Historic Places for Mid-Century Modern Residences in Connecticut, 1930-1965. The context statement for the thematic nomination will provide a detailed and scholarly overview of the history of Modernist architecture and design in the state of Connecticut. Individual property nominations, based on the survey of mid-century moderns in New Canaan, *New Canaan Mid-Century Modern Houses* (Building Conservation Associates, Inc. 2008) will be prepared for submission to the National Register of Historic Places as components of the Multiple Property Documentaton Form.

The objectives of the Multiple Property Documentation Form include:

1. Identification and documentation of the introduction and spread of Modernist design principles in Connecticut;
2. Analysis of the architectural traits, characteristic features and influential personalities that contributed to the concept of Modernism; and
3. Application of the criteria of the National Register of Historic Places to approximately 25 individual properties, evaluating the integrity and significance of Modern residences and related resources.

The Multiple Property Documentation Form project has three Connecticut historic resource surveys to draw on. The surveys are: *New Canaan Mid-Century Modern Houses* (Building Conservation Associates, 2008), *Tomorrow is Here: New Haven and the Modern Movement* (Rachel D. Carley, 2008), and *The Home of Cheerful Convenience: the Lustron House in Connecticut* (Stacey Vairo, 2000).

The Multiple Property Documentation Form shall utilize National Register Bulletin 16B “How to Complete the National Register Multiple Property Documentation Form”. The resulting historic context statement will establish a methodology for evaluating other mid-20th century Modern buildings in Connecticut. Successful aspects of the methodology will be promoted as models for replication in other states and communities with dense concentrations of modern resources.

In addition to providing a context and mechanism for the appreciation of Modernist structures in Connecticut, the project will help develop a network of Modern homeowners, broaden the awareness of “recent past” landmarks and build momentum for the preservation and care of Modernist buildings.

B. Funding Sources

Financial support for the thematic National Register nomination is provided by the Connecticut Commission on Culture and Tourism (CCT) and the National Trust for Historic Preservation (NTHP). Consultant selection, project implementation and the preparation of final documents must comply with the schedule and programmatic restrictions of the sponsoring agencies.

After a review of qualification statements, the NTHP will make the initial consultant selection. Approval of the selected consultant’s qualifications by the CCT is required prior to negotiating a fee.

The selected consultant will perform the scope of work outlined in this RFQ for a flat contractual fee of no more than \$50,000. All direct and indirect costs (sub-consultants, travel, photography, printing, copying, etc.) shall be included in the contract amount.

C. Scope of Work

The Multiple Property Documentation form for Mid-Century Modern Residences in Connecticut must comply with the standards, methodology and format approved by the National Park Service (National Register Bulletins 16B and 22) and the State Historic Preservation Office (SHPO), of the CCT.

As a framework for the evaluation of Modern resources, the National Register historic context statement will provide a broad study of the introduction, development and response to Modernist architectural principles in Connecticut. The comprehensive survey of New Canaan Moderns will provide a starting point for development of the context statement.

The National Register Coordinator of the CCT, and the staff of the Northeast Office of the NTHP and the Philip Johnson Glass House will provide leadership, guidance, and review throughout the project. The New Canaan Historical Society has agreed to provide supervised access to their extensive collection of plans, specifications, photographs, correspondence, and articles relating to mid-century Modern houses in New Canaan.

Key Consultant Tasks

- 1) Review the archival collections of public and private repositories (local, state and federal) for relevant material; consult the Resource Guide of Connecticut sources created by the NTHP.
- 2) Review existing surveys and supporting materials for New Canaan, New Haven and Lustron homes to identify key dates, personalities and buildings representative of Modernism in Connecticut.
- 3) Confer with architects, local historians, and other key stakeholders.
- 4) Research and develop the statewide context statement in accordance with scholarly professional standards and submit a draft of the document for review to the CCT National Register Coordinator and the project team.
- 5) In consultation with the project team, recommend specific Modern residences in New Canaan that will be the subject of individual National Register nominations.
- 6) Prepare all required elements of the National Register multiple property nomination form including the statewide context statement, maps, photographs, glossary and bibliography.
- 7) Prepare all required elements of the individual National Register nominations for New Canaan residences including architectural description, historical significance, maps, photographs and bibliography.
- 8) Provide periodic progress reports and draft materials for review by project coordinators.
- 9) Participate in periodic review meetings with the NTHP and the CCT.

- 10) Participate in a public forum to present and discuss the content of the National Register nomination for Mid-Century Modern Residences in Connecticut.

Final Products

All project components will be submitted to the National Trust project coordinator for review in both draft and final form. The National Register nomination must include all items required for review and approval by the Connecticut Commission on Culture and Tourism and the National Park Service.

Draft and final products will be submitted both in print and in electronic format (CD).

All original materials produced in connection with the project, including maps, field notes, architectural prints, digital files and printed documents will be deposited with the CCT and the National Register of Historic Places, US Department of the Interior, Washington, DC. Photography must adhere to National Register digital photography and printing standards (2009).

The consultant will submit six unbound archivally-printed copies and six electronic copies (CD) of the completed context statement and individual nominations to the National Trust Project Coordinator for distribution to the National Register of Historic Places through the Connecticut Commission on Culture and Tourism (two copies), the Connecticut Trust for Historic Preservation, the National Trust for Historic Preservation, the Philip Johnson Glass House and the New Canaan Historical Society.

D. Project Schedule

The anticipated schedule for this project is 32 weeks (approximately 7 months). A detailed schedule of tasks, products and check points will be worked out with the consultants as part of the contract negotiations.

E. Minimum Qualifications

Consultants must meet the minimum Professional Qualifications Standards as defined by the U.S. Department of the Interior, National Park Service (36 CFR 61, Appendix A) in the fields of History or Architectural History.

Consultants must demonstrate prior successful experience in project management, research methodology and the preparation of National Register nominations. Consultants must also demonstrate a high level of expertise in American history, architectural history, and mid-20th century Modern design as evidenced by previous research, teaching, or publications.

Consultants who meet or surpass the minimum qualifications standards may augment their respective teams with a landscape historian, professional photographer, or other appropriate expertise.

F. General Conditions

- 1) Following fee negotiation, the firm selected for this project will be engaged by the National Trust for Historic Preservation (NTHP). The agreement will be prepared by the NTHP and include the provisions contained in Exhibit A. Please review these provisions carefully before deciding whether or not you wish to submit a qualifications statement. Some terms reflect the standard policies of the Connecticut Commission on Culture and Tourism (CCT) and the NTHP. **Please read the terms and conditions carefully; firms unwilling to agree to the stated terms are not eligible to apply. Once the contract is awarded, failure to comply with the terms of the funding source will be subject to immediate termination for breach of contract.**
- 2) All replies in response to this RFQ are the sole property of the NTHP & the CCT, the primary funder of this project. Applicants are encouraged to exclude from their response any information that is proprietary to the bidding firm, used without express permission of the owner or otherwise subject to third party rights. All materials associated with this procurement process are subject to the terms of federal and State laws defining ownership of copyright, freedom of information and privacy and all rules, regulations and interpretations resulting from those laws.
- 3) Any costs and expenses incurred by Applicants in preparing or submitting proposals are the sole responsibility of the Applicant.
- 4) An Applicant must be prepared to present evidence of experience, ability, service, facilities, and financial condition necessary to satisfactorily meet the requirements set forth or implied in the proposal.
- 5) No additions or changes to the Applicant's original response to this RFQ will be allowed after submission. While changes are not permitted, clarification of proposals may be required by the NTHP at the Applicants sole cost and expense.
- 6) In some cases, Applicants may be asked to give demonstrations, interviews, presentations or further explanations to the RFQ's Selection Committee.
- 7) The NTHP, the CCT and the State of Connecticut shall assume no liability for payment of services until the successful Applicant is notified and until the contract has been signed by both the Applicant and the NTHP.
- 8) The NTHP reserves the right, in the exercise of its sole discretion:
 - i. to reject all proposals received;
 - ii. to accept a proposal without further discussion or negotiation;
 - iii. to reject an individual proposal because of defects, irregularities or provisions inconsistent with this RFQ;

- iv. to waive any defect or irregularity in a proposal and to accept that proposal when it is otherwise proper and reasonable to do so; and
- v. to negotiate directly with respondents for other terms, prices and conditions deemed proper and reasonable for the completion of the work or to protect the interests of the NTHP.

G. Proposal Format and Requirements

Responses to the RFQ must contain the following items:

- 1) Resumes of all team members and key staff
- 2) References for each firm or individual consultant. Include three references from recent clients with the name, title, company address, phone number and email address for each reference.
- 3) Writing samples representing the recent work of all team members.
- 4) Signed Connecticut State forms including: 1) Affidavit Concerning Gifts and Campaign Contributions 2) Notification to Bidders form (at Exhibit B).
- 5) A statement that the Applicant represents and warrants that (i) its proposal is not made in connection with any other Applicant, (ii) is in all respects fair and without collusion or fraud, (iii) the Applicant did not participate in any part of the RFQ development process, (iv) the Applicant had no knowledge of the specific contents of the RFQ prior to its issuance, and (v) no agent, representative or employee of the NTHP participated directly in the preparation of the Applicant's response to this RFQ.
- 6) A description of the legal status of the entity submitting the qualifications, i.e. sole proprietorship, partnership, limited liability company, joint venture or corporation, and state of residency or incorporation.

Please be advised that electronic submissions are preferred. Forward proposals via email to Alicia Leuba at alicia_leuba@nthp.org, copied to Diana Maxwell at diana_maxwell@nthp.org and contracts@nthp.org. Writing samples and other like documentation that are too large to e-mail should be saved to a flash drive and mailed Alicia Leuba at the address below.

For hard copy format: Six (6) identical and complete sets of documents are required for each response. All hard copy responses must be submitted in writing and delivered in physical form in sealed envelopes or packages addressed to:

Alicia Leuba
National Trust for Historic Preservation
Northeast Office
7 Faneuil Hall Marketplace, 4th Floor
Boston, MA 02109
alicia_leuba@nthp.org

With a copy to:

Diana Maxwell, Contracts Associate
National Trust for Historic Preservation
1785 Massachusetts Avenue NW
Washington, DC 20036
Diana_Maxwell@nthp.org

All responses and proposals must be received by noon on Friday, October 2, 2009.

H. Contact

Questions about the scope and requirements of this RFQ may be directed to:

Alicia Leuba
Director of Programs, Northeast Office
National Trust for Historic Preservation
7 Faneuil Hall Marketplace, 4th Floor
Boston, MA 02109
617-523-0885 (phone)
617-523-1199 (fax)
alicia_leuba@nthp.org

CONTRACT TERMS AND CONDITIONS

1. **Connecticut Commission on Culture and Tourism Funding Conditions.** The following contract provisions are required:

A. The Connecticut Commission on Culture and Tourism will hold the exclusive copyright for all materials generated by this project, whether in draft or final form. Any product, whether acceptable or unacceptable, developed under the contract awarded as a result of this RFQ is to be the sole property of the CCT. **For the purpose of clarification, the awarded contract shall not preclude the Contractor's right to scholarly attribution as author. All appropriate and customary efforts will be made by CCT to acknowledge the Contractor as author in publications, reprints or excerpts of the work conducted under the awarded contract.**

B. The persons named in a respondent's proposal as proposed project staff must be assigned to the project. Any additions, deletions, or changes of a key staff member will require the National Trust's advance written approval. At its discretion, the NTHP may require removal and replacement of personnel who do not perform adequately on the project, regardless of whether they were previously approved by the NTHP.

C. The contract will be subject to the provisions of Executive Order No. 3 of Governor Thomas J. Meskill promulgated June 16, 1971, which state that the contractor will not discriminate in its employment practices or policies, shall file all reports as required, and shall fully cooperate with the State of Connecticut and the state labor commissioner.

D. The contract will be subject to the provisions of Executive Order No. 7C, of Governor Jodi M. Rell, promulgated July 13, 2006, pertaining to termination for cause for wanton or reckless disregard of any state contracting and procurement process by any person substantially involved in such contract or state contracting agency;

E. The contract will be subject to the provisions of Executive Order No. 16, Violence in the Workplace Prevention Policy of Governor John G. Rowland, promulgated August 4, 1999.

F. The contract will be subject to the provisions of Executive Order No. 17 of Governor Thomas J. Meskill promulgated February 15, 1973, which requires listing of all employment openings with the Connecticut State Employment Service.

G. **Anti-Kick Back**. The Contractor agrees to comply with federal Title 18 U.S.C. 874, the Anti-Kick Back Act, which states in part "Whoever, by force, intimidation, or threat of procuring dismissal from employment, or by any other

manner whatsoever induced any person employed in the construction, prosecution, completion, or repair of any public building, public work, or building or work financed in whole or in part of loans or grants from the United States, to give up any part of the compensation to while he is entitled under contract of employment, shall be fined not more than \$5,000 or imprisoned no more than five years, or both.”

H. Anti-Lobbying. Contractor agrees to comply with the federal Title 18, U.S.C. 1913. This Agreement does not authorize the Contractor to provide any service or to produce or distribute any advertisement, telegram, telephone call, letter, printed or written communication, or other device intended or designed to influence in any manner a member of Congress, to favor or oppose, by vote or otherwise, any legislation or appropriation by Congress.

I. Non-Discrimination. The contract will be subject to all non-discrimination state regulations and all non-discrimination federal regulations, including but not limited to the following:

- i. 1) In the performance of the contract, the contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation or physical disability, including but not limited to, blindness, unless shown by such contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut. The contractor further agrees to take affirmative action to insure that applicants with job related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation, or physical disability, including but not limited to blindness, unless it is shown by the contractor that such disability prevents performance of the work involved; 2) the contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the contractor, to state that it is an “affirmative action-equal opportunity employer” in accordance with regulations adopted by CCT; 3) the contractor agrees to provide each labor union or representative of the workers with which the contractor has a collective bargaining agreement or other contract or understanding and each vendor with which the contractor has a contract or understanding, a notice to be provided by CCT, advising the labor union or worker’s representative of the contractor’s commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; 4) the contractor agrees to comply with each provision of this section and Conn. Gen. Stat. 46a-68e and 46a-68f and with each regulation or relevant order issued by CCT pursuant to Conn. Gen. Stat. 46a-56a, 46a-68e, and 46a-68f; 5) the contractor agrees to provide the

Commission on Human Rights and Opportunities with such information requested by CCT, and permit access to pertinent books, records, and accounts, concerning the employment practices and procedures of the contractor as related to the provisions of this section.

ii. The contractor agrees and warrants that in the performance of the agreement such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the state of Connecticut, and that employees are treated without regard to their sexual orientation; the contractor agrees to provide each labor union or representative of the workers with which the contractor has a collective bargaining agreement or other contract or understanding and each vendor with which the contractor has a contract or understanding, a notice to be provided by CCT, advising the labor union or worker's representative of the contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; the contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by CCT pursuant to Section 46a-56 of the general statutes; the contractor agrees to provide CCT with information requested by CCT, and permit access to pertinent books, records, and accounts, concerning the employment practices and procedures of the contractor as related to the provisions of this section and Section 46a-56 of the General Statutes.

2. National Trust Policies. The following provisions reflect National Trust policies that will be incorporated in a contract:

A. No Limitation of Liability. The National Trust will not accept a proposal that seeks to limit the contractor's potential liability to the dollar value of the contract.

B. Compliance. The Contractor will assist the National Trust in complying with all contract terms and conditions required by the CCT, whether stated herein or included in the Agreement awarded hereafter, and will indemnify the National Trust for any liability, claims, penalties, expenses or other costs resulting from Contractor's failure to comply with such terms and conditions. **The rights of the CCT under the Agreement shall be directly enforceable by the CCT.**

C. Examination of Records. The National Trust and its representatives have the right to access and examine any books, documents, papers, and records of the Contractor that involve transactions related to this Agreement, for the purpose of audit and making excerpts and transcriptions. The Contractor shall maintain auditable records for at least three (3) years following the completion of the Agreement.

D. Contractor's Obligations. The Contractor shall deliver all materials and perform all services for the compensation stated above and using its best skills and attention.

- E. Changes.** The National Trust may unilaterally order minor changes in the work that are not inconsistent with the intent of this Agreement. The cost or credit to the National Trust as a result of these changes will be determined by the mutual agreement of the parties, and the price and schedule will be modified accordingly. The Contractor shall not perform any change in the work covered by this Agreement without advance written authorization from the National Trust.
- F. Force Majeure.** Either party may terminate or suspend its obligations under this Agreement if performance of its obligations becomes illegal, impossible, or impractical because of an event beyond the party's control and without its fault or negligence, including but not limited to: acts of God; acts of war or the public enemy; terrorism; fires; floods; epidemics; quarantine restrictions; strikes; freight embargoes; unusually severe weather; laws, regulations, and orders of governmental authorities; and curtailment of transportation facilities. Upon this circumstance arising, the non-performing party shall promptly notify the other party in writing and the parties shall negotiate in good faith to reach a resolution. The non-performing party will not be liable for this delay or failure to perform its obligations, except there will be a pro rata reduction in the consideration that would otherwise be due.
- G. Non-Discrimination.** The parties shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, age, national origin, or sexual orientation.
- H. Governing Law.** This Agreement is governed exclusively by the laws of the District of Columbia.
- I. Independent Contractors.** The relationship between the parties to this Agreement is that of independent contractors. This Agreement is not intended to create any association, partnership, joint venture, or agency relationship between the parties.
- J. Subcontracting.** The Contractor shall not subcontract its services without the prior written consent of the National Trust.
- K. Assignability.** The Contractor shall not assign this Agreement, or any interest in this Agreement, without the prior written consent of the National Trust.
- L. Successors.** This Agreement will be binding upon, and will inure to the benefit of, the parties and their respective permitted successors and assigns.
- M. Sole Agreement.** This document constitutes the sole agreement between the parties concerning the subject matter of this Agreement. It supersedes all prior and contemporaneous oral and written understandings.
- N. Amendment.** No amendment of this Agreement will be valid unless in writing and signed by both parties.
- O. Waiver.** A party's waiver of a breach is not to be deemed a waiver of any subsequent breach of the same term or of any other term. No waiver will be valid unless in writing and signed by the waiving party.
- P. Severability.** If any provision of this Agreement is held to be invalid, the remaining provisions of this Agreement are not to be affected and will continue in effect. The invalid provision is to be deemed modified to the least degree necessary to remedy the invalidity.

NOTIFICATION TO BIDDERS FORM

The contract to be awarded is subject to contract compliance requirements mandated by Section 4114a of the Connecticut General Statutes; and, when the awarding agency is the state, Section 46a-71(d) of the Connecticut General Statutes. There are Contract Compliance Regulations codified at Section 4-114a-1 et seq. of the Regulations of Connecticut State Agencies which establish a procedure for the awarding of all contracts covered by Sections 4-114a and 46a-71(d) of the Connecticut General Statutes.

According to Section 4-114a-3(9) of the Contract Compliance Regulations, every agency awarding a contract subject to the contract compliance requirements has an obligation to “aggressively solicit the participation of legitimate minority business enterprises as bidders, contractors, subcontractors and suppliers of materials.” “Minority business enterprise” is defined in Section 4-114a of the Connecticut General Statutes as a business wherein fifty-one percent or more of the capital stock, or assets belong to a person or persons: “(1) Who are active in the daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in subsection (a) of Section 32-9n.” “Minority” groups are defined in Section 32-9n of the Connecticut General Statutes as “(1) Black Americans (2) Hispanic Americans..... (3) Women..... (4) Asian Pacific Americans and Pacific Islanders; or (5) American Indians” The above definitions apply to the contract compliance requirements by virtue of Section 4-114a-1 (10) of the Contract Compliance Regulations.

The awarding agency will consider the following factors when reviewing the bidder’s qualifications under the contract compliance requirements:

- (a) the bidder’s success in implementing an affirmative action plan;
- (b) the bidder’s success in developing an apprenticeship program complying with Sections 46a-681 to 46a-68-17 of the Connecticut General Statutes, inclusive;
- (c) the bidder’s promise to develop and implement a successful affirmative action plan; the bidder’s submission of EEO-1 data indicating that the composition of its work force is at or near parity when compared to the racial and sexual composition of the work force in the relevant labor market area; and the bidder’s promise to set aside a portion of the contract for legitimate minority business enterprises. See Section 4-114a-3(10) of the Contract Compliance Regulations.

INSTRUCTION

Bidder must sign acknowledgement below, detach along dotted line and return acknowledgement to Awarding Agency along with bid proposal. The undersigned acknowledges receiving and reading a copy of the “Notification to Bidders” form.

On behalf of _____
(Signature, Title)



STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Certification to accompany a State contract with a value of \$50,000 or more in a calendar or fiscal year, pursuant to C.G.S. §§ 4-250 and 4-252(c); Governor M. Jodi Rell's Executive Orders No. 1, Para. 8, and No. 7C, Para. 10; and C.G.S. §9-612(g)(2), as amended by Public Act 07-1

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution (and on each anniversary date of a multi-year contract, if applicable).

CHECK ONE: Initial Certification Annual Update (Multi-year contracts only.)

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is an Annual Update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "**Gift**" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Planning Start Date" is the date the State agency began planning the project, services, procurement, lease or licensing arrangement covered by this Contract, as indicated by the awarding State agency below; and
- 7) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am the official authorized to execute the Contract on behalf of the Contractor. I hereby certify that, between the Planning Start Date and Execution Date, neither the Contractor nor any Principals or Key Personnel has made, will make (or has promised, or offered, to, or otherwise indicated that he, she or it will, make) any **Gifts** to any Applicable Public Official or State Employee.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other principals, key personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after December 31, 2006, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(g)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(g)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after December 31, 2006 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(g)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:



STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Lawful Campaign Contributions to Candidates for Statewide Public Office:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Lawful Campaign Contributions to Candidates for the General Assembly:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Contractor Name

Signature of Authorized Official

Subscribed and acknowledged before me this _____ day of _____, 200__.

Commissioner of the Superior Court (or Notary Public)

For State Agency Use Only

Awarding State Agency

Planning Start Date

Contract Number or Description