



University of Connecticut
Administration and Operations Services

ADDENDUM #3

For

BID #SA021506

To Provide Construction Audit Services

PLEASE NOTE: THE BID OPENING DATE HAS BEEN EXTENDED TO:

May 5th, 2006

DATE: April 14, 2006
TO: All Bidders of Record
FROM: Sharon L. Alexander, C.P.M.
RE: Final Addendum #3 to BID #SA021506

Enclosed in this Addendum are as follows:

- Revised Bid Schedule
- Scope Clarification and Amendment
- Responses to questions received by March 1st, 2006
- Attachments A-E
- Schedules 1-6

The following revised schedule will apply to this RFP:

Submission of RFP:	May 5 th , 2006
Oral Presentations, if necessary:	TBD
<u>Anticipated</u> Award Date:	June 2 nd , 2006
<u>Anticipated</u> Service Date:	July 1 st , 2006

Scope Clarification and Amendment

Pending legislation requires that the Board of Trustees for the University of Connecticut select and appoint independent auditors to annually conduct an audit of any project of UCONN 2000 as defined in subdivision (25) of section 10a - 109c of the general statutes. Such audit shall review all invoices, expenditures, cost allocations and other appropriate documentation in order to reconcile all project costs and verify conformance with project budgets, cost allocations agreements and applicable contracts.

In accordance with this pending legislation and additional information reviewed while developing responses to posted questions the University is clarifying and amending the original RFP as follows.

Part I

The University is requesting the following services for the fiscal years ended prior to and including June 30, 2005:

- An audit performed under generally accepted auditing standards of the construction expenditures of seven specifically identified UCONN 2000 projects that were substantially completed prior to the year ending June 30, 2003. See attached Schedule 1.
- An audit performed under generally accepted auditing standards of the construction expenditures of UCONN 2000 projects expenditures other than deferred maintenance and equipment projects, substantially completed during the fiscal year ended June 30, 2004. See attached Schedule 1.
- An audit performed under generally accepted auditing standards of substantially completed UCONN 2000 project expenditures other than deferred maintenance and equipment for the fiscal year ended June 30, 2005. See attached Schedule 1.
- Agreed upon procedures performed under AICPA attestation standards on UCONN 2000 deferred maintenance expenditures from inception through fiscal year ended June 30, 2005. See attached Schedule 2 (Storrs) and Schedule 4 (UCHC DM).
- Agreed upon procedures performed under AICPA attestation standards on UCONN 2000 equipment expenditures from inception through fiscal year ended June 30, 2005. See attached Schedule 3 (Storrs) and Schedule 4 (UCHC Equipment).

In addition to the above specified audit services the University may require agreed upon procedures performed under AICPA attestation standards to assess compliance with project budgets, cost allocation agreements and applicable contracts.

Part II

The University is requesting the following services for the fiscal year ended June 30, 2006:

- Agreed upon procedures performed under AICPA attestation standards on expenditures of UCONN 2000 projects as defined in subdivision (25) of section 10a – 109c of the general statutes for the fiscal year ended June 30, 2006. See attached Schedule 5.
- An audit performed under generally accepted auditing standards of construction expenditures of UCONN 2000 projects other than deferred maintenance and equipment substantially completed during the fiscal year ended June 30, 2006. See attached Schedule 1.
- An audit performed under generally accepted auditing standards of construction expenditures of stand alone UCONN 2000 deferred maintenance projects, substantially completed during the fiscal year ended June 30, 2006. See attached Schedule 6 (Designated Project Budgets).

NOTE: The sources of expenditures reported on Schedules 4-6 are UCONN 2000 bond funds. Total project expenditures may be paid from other sources such as University Operating funds and the University of Connecticut Foundation funds.

Part III

The selected firm will provide audited statements and opinions, management letters and agreed upon procedures reports to the University administration, and the Board of Trustees and its Joint Audit and Compliance Committee and legislatively appointed construction committee. Pending legislation may require additional recipients.

Part IV

Pending legislation may restrict the extension of this contract beyond the original contract terms.

Responses to questions received by March 1, 2006

1. Your RFP requests a fixed fee for services in the first year. We understand your construction program is extensive and without reviewing contracts and the size of each project it would be hard to determine a lump sum fee for services. We would like to know if the following is acceptable:

- a. Provide you with billing rates by position for a scope of work anticipated for various project sizes.

No.

- b. If not can you provide us with the number of contracts, their contract value and anticipated start dates for each project so we can include the appropriate staff qualifications to you.

See attached Schedules 1 - 6.

- c. Can you also break down the above information into Construction contracts, Equipment expenditures, and Deferred Maintenance contracts?

See attached Schedules 1 - 6.

- d. Lastly, does UCONN have a standard contract with its vendors and contractors?
Yes.

If so can you forward this to us so we may assess the areas of risk and exposure which will in turn help us formulate a budget?

Yes. See Attachments A-D

2. Can the names of the prime contractors, construction managers, architectural and engineering firms, other consulting firms be provided to the firms proposing in order that we can perform conflict checks?

Attached are the names of contractors and consultants for UCONN 2000 projects other than DM and equipment. See Attachment E. If a potential conflict is noted we will assist the selected firm in managing the conflict.

3. Are the services as requested in Section II, Parts A & B, required to be provided under attestation guidelines as defined by the American Institute of Certified Public Accountants (AICPA), including agreed upon procedures guidelines, or can these be provided under the AICPA consulting services guidelines.

Please see Scope Clarification and Amendment document.

4. What were the total dollar amount of construction expenditures in each of the fiscal years 2004, and 2005 as well as the planned fiscal year 2006 construction expenditures and how many projects were included in each fiscal year's expenditures?

Please see Scope Clarification and Amendment document. See attached Schedule 1 and Schedule 5.

5. What were the total dollar amount of expenditures for equipment and deferred maintenance from inception through the fiscal year ended June 30, 2005 and how many projects were included in these expenditures?

Please see Scope Clarification and Amendment document. See attached Schedules 2-4.

6. Are we only reviewing prime construction contractor or construction manager records, or will other contractors, subcontractors, consultants, sub consultants or vendors be included as well in this portion of the work?

The University requires a review of the following contractors' records - general contractors, construction manager, consultants and sub-consultants.

7. Please provide the approximate number of projects, stage of completion and the dollar amount of each project to be reviewed for each bid component in both Parts A & B of Section II.

Please see Scope Clarification and Amendment document. See attached Schedule 1- 6.

8. Can each Part (A&B) be bid separately? If yes, how should that be noted on Part VIII, Form of Proposal.

Please see Scope Clarification and Amendment document. Part I and Part 11 can be bid separately. Simply note which Part is being bid on.

9. Page 6 of the RFP notes "Bidders are encouraged to present proposals for the full Scope of Work or individual or multiple segments of the Scope of Work." Does this mean Part A can be further broken down into individual components? If yes, how should that be noted on Part VIII, Form of Proposal?

Please see Scope Clarification and Amendment document. Part I and Part 11 can be bid separately. See above.

10. If Part B can be bid separately, does the bidder have to be a qualified CPA firm? If yes, can a non-CPA bidder demonstrate significant experience in subject area to fulfill requirement.

Please see Scope Clarification and Amendment document. All bidders must be qualified CPA firms.

11. Can a firm submit a bid as both a prime and be listed as a subcontractor with another bidder?

Yes.

12. Can a firm be listed as subcontractor for multiple bidders?

Yes.

13. Is a list of potential bidders available?

It is not possible to provide a complete list of potential bidders as the University has no way of knowing who may have access to the bid via the Purchasing or DAS website.

14. Will the agency recommend a list of qualified MBE firms or do they need to be listed as subcontractors in the prime subcontractor proposal?

The Agency strongly encourages MBE participation but does not have a list of such qualified firms.

15. Will a qualified MBE from another state or government agency be considered as qualified MBE in Connecticut for purposes of this bid?

MBE firms must be certified with Connecticut to be recognized as such. However, MBE participation is encouraged and can be qualified as "good faith" participation.

16. For purposes of this proposal, please clarify what items are included in "construction expenditures"? (i.e., design, FF&E, consultants, construction trades, project management, university costs...etc)

For the purpose of this proposal construction expenditures are equal to total project costs which may include all items noted in your question.

17. Are firms presently providing claims, scheduling and other construction related services, precluded from bidding on this RFP?

No. The University will accept all bids but you must disclose any such relationships. Determination of potential conflict of interest will be reviewed during the evaluation process.

18. A list of the UCONN 2000 projects, other than equipment and deferred maintenance, substantially completed during the years ended June 30, 2004 and 2005 and substantially completed during the year ending June 30, 2006. Please include the location of the project, the type of contract, whether fixed price/ lump sum or cost plus or some other method, the original contract value, the final adjusted contract value, the construction start date and the date or estimated date of substantial

completion, the name and address of the general contractor/construction manager and the location of the records to be audited.

Please see Scope Clarification and Amendment document. All projects and records are located in Storrs, CT and Farmington, CT. See attached Schedule 1.

19. A list of the contracts and/or purchase orders issued for equipment expenditures and deferred maintenance for the UCONN 2000 program from inception through the year ended June 30, 2005. Please provide a list of the name and address of the contractor/vendor/supplier, the original contract/purchase order amount and the adjusted amount, a general description of the equipment or deferred maintenance and the location of the records to be audited.

Please see Scope Clarification and Amendment document. Records are located in Storrs CT and Farmington CT. Name and addresses of the contractor etc. are not available at this time. See attached Schedule 2- 4.

20. A specimen copy of UCONN's fixed price/ lump sum contract, cost plus contracts including contracts with a gross maximum price (GMP) and the associated general conditions contracts as well as an equipment contract/ purchase order and a deferred maintenance contract/ purchase order.

See Attachments A-D

21. The RFP requests a *comprehensive audit* of the projects and contracts referenced in Items # 1 and 2. Please clarify what comprises a *comprehensive audit*. For example, is the audit a review of the contractor/construction managers' requisitions including change orders, which are sometimes referred to as a certified cost audit? Alternatively, does UCONN desire an audit and an opinion thereon of all reimbursable allowable costs, which would encompass a review of all of the contractors/construction manager's costs and all of the subcontractors' costs including change orders as well?

Please see Scope Clarification and Amendment document.

22. The first bullet on page 6 requires an audit of the administration and payment of contracts for the UCONN Projects substantially completed during the fiscal year June 30, 2006 (other than deferred equipment and maintenance projects.) Please clarify what the audit of the *administration* portion would consist of. Is there one department/group that is administering the contracts or there multiple departments/groups? Does UCONN maintain policies and procedures for administering the contracts that it desires to serve as the guide in the review of the administration and payment of these contracts?

Please see Scope Clarification and Amendment document. Primarily one department is administering the contracts. UConn's policies and procedures for administering contracts will be provided at the time of assignment.

23. It is difficult to assess the number of hours (for the one year statement of fees - pg 8) that will be required for the job, without knowing the number of construction projects that have been substantially completed in the various years. Can you let us know the number of projects, the value of work and the contract type & number of contracts to be reviewed for each of the years 2004, 2005, & 2006.

Please see Scope Clarification and Amendment document. See attached Schedules 1 - 6.

24. Is it necessary to attend the bid opening meeting?

No.

25. Are we allowed to take a sub consultant for the work?

Yes.

26. Please clarify the nature of the contractual relationship between UCONN and its contractors. Are the contracts fixed price or are they cost based.

Please see Scope Clarification and Amendment document. See Attached Schedule 1.

27. The Form of Proposal provides for a single fixed price. It is the intention of the University that bidders provide an aggregate bid for all identified work, as outlined in the Scope of Work?

Yes.

28. The RFP encourages bidders to submit proposals for individual or multiple segments of the Scope of Work. How should the bidder submit this information?

Bidders should submit multiple responses if submitting for individual segments. Each proposal must be clearly identified as to what segment it refers to.

29. Have comprehensive audits of construction expenditures been completed for UCONN 2000 projects which were substantially completed in years prior to June 30, 2004?

Yes.

a. Are copies of those reports available for inspection?

Yes.

30. Is there a list available which identifies the projects deemed to be substantially complete for each of the years ended June 30, 2004 and June 30, 2005 and for the year ending June 30, 2006? Do those lists include the nature of the projects, the total contract values, and the general contractors (or construction manager and primary specialty contractors on construction manager projects) performing such services?

Please see Scope Clarification and Amendment document. See attached Schedule 1.

31. Is there a schedule available which identifies equipment expenditures and deferred maintenance expenditures to be audited from inception through the fiscal year ended June 30, 2005? Does the schedule identify the total value of the expenditure and the vendor who provided such equipment or services?

See attached Schedule 2-4.

32. If a CPA firm has provided professional services to the general contractor, or to the primary specialty contractors on construction manager projects, will that CPA firm be deemed to have a conflict of interest or the perception of a conflict of interest? How will situations be handled where the CPA firm has provided services to one or more of the contractors on projects identified for audit in a specific year?

No. The University will accept all bids but you must disclose any such relationships. Determination of potential conflict of interest will be reviewed during the evaluation process.

33. Are all selected projects completed under the program during the specified years vertical construction, or are road and infrastructure projects also included?

Selected projects completed under the program during the specified years include both vertical construction and road and infrastructure projects.

34. Is related utility work (improvements, extensions or relocations) included in the base contracts on projects, or are those services provided directly by the respective utilities to the University under separate invoicing?

Utility work is included both in the base contract on projects and provided directly by respective utilities to the University under separate invoicing.

- a. What is the method used for separate billing, if applicable?

Contract and invoice.

35. What methods are used to determine the value of change orders? Negotiated lump sum, unit price, or cost plus?

All of the above.

- a. Are there claims pending on contracts included in those selected for audit?

Yes.

36. Is it anticipated that services to be provided under the RFP will require travel to administrative offices of the respective contractors which are located outside of Connecticut?

No.

- a. Will auditors be reimbursed at cost for travel and lodging, or should provisions be made in their proposed fees to absorb these costs? If so, please identify the contractors and projects which may entail such travel.

Reimbursements for travel and lodging should be proposed and will be negotiated.

37. Please provide a list of completed contracts, by fiscal year that is expected to be included in scope, including the following information:

- Project name
- Name of General Contractor or Construction Manager
- Type of contract (GMP, cost-plus, fixed fee, etc.)
- Value of original contract
- Value and number of change orders
- Number of construction application payments

Please see Scope Clarification and Amendment document. See attached Schedule 1 for project name, name of general contractor or construction manager, type of contract and value of original contract. Change orders generally are under 10% of the original construction contract value. Requisitions are processed on a monthly basis.

38. Does the definition of “construction expenditures” also include soft costs such as architectural and engineering fees as well as other consulting fees?

Yes.

If so, please provide the contract values in the information provided under question 1.

See attached Schedule 1.

39. What is the expected format of deliverable(s) from Part A and/or B of the Scope of Work (i.e., audit opinion of statement of construction expense, agreed upon procedures opinion, consulting report noting areas of non-compliance and testing exceptions, or some combination)?

Please see Scope Clarification and Amendment document.

40. Who are the intended recipients of the results of Part B of the Scope of Work? Are they the same as described in Part A?

Please see Scope Clarification and Amendment document Part III.

41. What are your expectations regarding timing of completion of the work specifically defined in the Scope of Work section 2.1?

It is our expectation that the audits will be completed by June 30, 2007. Priority of construction contracts to be audited will be set in the planning stage.

42. Do you anticipate live presentations of our results?

Yes.

43. If so, how many presentations and to whom?

Approximately 5. Please see Scope Clarification and Amendment document Part III.

44. The scope of work in the above referenced RFP does not indicate the form of contract that UCONN has used for its construction activities. If the contractors have been hired on a fixed price or lump sum basis, audit requirements do not extend down to the level of the contractors records. On the other hand, if the contractors have been hired on a cost based contract (i.e. Guaranteed Maximum Price - GMP, or Cost Plus a Fee) then it is necessary to conduct a thorough audit of the contractor's actual costs is much more detail oriented than typical financial statement audits and this has a major impact on the time budgeted for this type of work. Please clarify the nature of the contractual relationship between UCONN and its contractors. Are the contracts fixed price or are they cost based.

The University uses all forms of contract, Construction Manager at Risk (CMAR), or Design Bid Build (DBB) and Design Build on occasion). The dominant type by number is DBB, by dollar value the CMAR. The vendor will see all three types of contracts for projects completed during 2004. The following is standard language 6.4 and 6.4.1 which authorizes the University, our representatives and accountants access to all the records.

6.4 Accounting Records

6.4.1 The Construction Manager shall keep full and detailed accounts and exercise such controls as may be necessary for proper financial management under this Contract; the accounting and control systems shall be satisfactory to the Owner. The Owner, it's representatives and the Owner's accountants shall be afforded access to the Construction Manager's records books, correspondence, instructions, drawings, receipts, subcontracts, purchase orders, vouchers, memoranda and other data relating to this Project, and the Construction Manager shall preserve these for a period of five ears after final payment, or for such longer period as may be required by law.

45. We would propose selecting individual construction expenditures to be audited using statistical sampling augmented by risk analysis. If acceptable, we would be glad to discuss the components of statistical sampling (sample size, confidence level, margin of error, etc). Additional risk-based selections, if any, would be determined during the planning stage and in consultation with you. If not acceptable, what parameters would you use?

Method described is acceptable.

46. Are there any reporting deadlines for the construction audits for each of the years? Have you determined if any contracts will require immediate construction audit services or will we be testing the contracts chronologically?

Yes. It is our intention that all construction audits will be completed by June 30, 2007. Priority of construction contracts to be audited will be set in the planning stage.

47. Has the University of Connecticut budgeted funds for the construction audit services? If so, how much by year and in total? How many hours were estimated to formulate those estimated fees?

No.

48. Do you have schedules by construction project comparing actual to budget?

No.

49. What is the probability that the construction audit services will be extended for the additional two years (June 30, 2009 and June 30, 2010)?

Please see Scope Clarification and Amendment document Part IV.

50. What is the dollar value of new construction compared to renovations? Did either include demolition? If so, what was the dollar value?

See attached Schedules 1-6

51. Construction claim related:
a. Extent of claims settled (favorable and unfavorable)
b. Extent of outstanding (favorable and unfavorable)

Not available.

52. Have a range of rate per hour been established for the engagement? If so, is it ranging based on level of service?

No.

53. Will detail construction in progress and completed contract schedules be provided for each contract by month throughout the related construction period?
- Total contract costs incurred through the reporting period?
 - Amounts billed to date?
 - Estimated cost to complete through each reporting period?
 - Over or under bills for each period?
 - Amounts of cost recognized in previous reporting periods?

Yes. The schedule of values includes total contract costs incurred through the reporting period, amounts billed to date, and amounts of costs recognized in previous reporting periods.

54. Will total contract revenues include a detailed analysis of the final contract value, original contract plus, approved change orders, liquidating changes, unapproved change order/claims, etc..

There are no contract revenues. Expenses only.

55. Will total contract costs incurred throughout the reporting period be supported by detailed job costs, full-time works reports relating to:
- Direct labor?
 - Materials?
 - Subcontractors?
 - Equipment rental?
 - Burden allocation—with support on the methodology and related documentation for cost adjustments related to back charges?

As supported by the trade contractors records.

56. Will documentation relating to the contractors be audited at a UCONN facility or at the contractors' location?

UCONN.

57. If a contractor which worked on a UCONN 2000 project is no longer in business, how is access to their records provided, and if not, will the scope of services require due diligence in pursuit of such records? (Example: Claims to surety requiring their pursuit of legal access to documents in possession of surety's principal to investigate possible latent construction defect.)

No.

58. Are you interested in procedures to detect fraud and abuse?

Not required.

59. Will indirect financial studies such as IRS Cost Segregation Audit Techniques be required by the RFP to assess both hard and soft cost aspects of project funding?

No.

60. Will all documentation relative to construction contract administration (bid estimate and award. proposal. contract. notice to proceed, surety & payment bonds, contract drawings, specifications, project manual, CCD, CO. COR. RFI, and other significant documentation be available for review for correlation to I certification of financial audit and analysis?

Yes.

61. Will construction contract administration documents be located in a centralized location?

Yes.

62. If latent defects are discovered in completed construction during the audit. will the scope of services for this RFP require a technical (construction) analysis and requisite financial impact analysis?

No.

63. Identify the most common forms of contract and project delivery methods, if applicable, for projects that will be audited (i.e., Lump Sum, Cost Plus. Cost Plus with GMP Guaranteed Maximum Price (with or without Shared Savings), CM, CM At Risk, Bid or Negotiated: Design-Bid-Build. Design Build)

Numerically-Design Bid Build. Dollar wise-Construction Manager at Risk.

64. Do UCONN 2000 program construction contracts make provisions for construction audit rights?

Yes.

65. Will projects subject to contractor / sub-contractors' surety bond default or litigation be audited?

No.

SCHEDULE 5

**UNIVERSITY OF CONNECTICUT
UCONN 2000 EXPENDITURES FOR FISCAL YEAR 2006
FOR THE NINE MONTHS ENDED MARCH 31, 2006**

<u>Projects</u>	<u>Actual Expenditures UConn 2000 Bond Funds Only</u>
Storrs & Regional Campus:	
Arjona and Monteith - Phase III	110
Avery Point Renovations	359,325
Business School Renovations - Phase II	14,330
Deferred Maint & Renov - Ph I & II & III	3,347,770
Equip, Library Coll, Telecom - Ph I & II	6,983,497
Farm Buildings Repairs/Replacement	66,377
Grad Dorm Renovations	333,556
Intramur, Recreatn'tl & Inter. Fac. - Ph III	18,144,080
Lakeside Renovation - Phase III	614,395
Law School Renovation/Improvememnts	849,427
Mus of Natl History Completion - Phase III	77,924
North Campus Renovations	40,205
North Hillside Road Completion Phase III	173,024
School of Pharmacy	7,868,619
Student Union Addition	11,719,816
Technology Quadrant - Phase IA	17,890
Technology Quadrant - Phase II, Eng & B	4,609
Torrey Life Science Renovations	177,145
Towers Renovations	358,098
West Campus Renovations	25,160
West Hartford Campus Renov/Improv	27,413
University of Connecticut Health Center:	
Capital Equipment	1,256,227
Deferred Maintenance-Phase III	824,666
Library/Student Computer Center Ren	16,839
Main Building Renovation	17
Medical School Acad Bldg Ren	32,150
Research Tower	218,302
UCONN 2000 Student Fee Revenue Bonds 2002-A:	
North Campus Renovations	67,844

Note: The above expenditures represent only those paid from UConn 2000 bond funds.

SCHEDULE 4
UCONN 2000
CASH FLOW AS OF JUNE 30,2005
Year Ended June 30, 2005

FRS Account	Projects	BOND INFO	BUDGET	COMMITMENT Encumbrance	CASH FLOW INFORMATION	
		Bonds Sold	Total Budget	Balance 6/30/2005	Actual Expenditures 6/30/2005	Budget Balance 6/30/2005
	Bonds sold January 1996	82,606,220.00				
	Bonds sold April 1997	121,080,861.48				
	Bonds sold June 1998	99,280,000.00				
	Bonds sold April 1999	79,032,918.52				
	Bonds sold March 2000	130,000,000.00				
	Bonds sold April 2001	100,000,000.00				
	Bonds sold April 2002	100,000,000.00				
	Bonds sold March 2003	100,000,000.00				
	Bonds sold January 2004	100,000,000.00				
	Bonds sold March 2005	83,610,000.00				
071044	Agricultural Biotechnology Facility		12,400,000.00	0.00	12,400,000.00	0.00
071072	Alumni Quadrant Renovations		11,500,000.00	0.00	11,239,794.61	260,205.39
073013	Arjona and Monteith - Phase III		1,400,000.00	0.00	102,959.77	1,297,040.23
073007	Avery Point Renovations		5,323,000.00	361,139.45	3,861,863.78	1,099,996.77
071025	Avery Pt Marine Sci Res Ctr - Ph I & II		37,341,000.00	12,617.94	37,328,341.56	40.50
073003	Beach Hall Renovations		0.00	0.00	0.00	0.00
071024	Benton State Art Museum Addition		700,000.00	0.00	700,000.00	0.00
071069	Business School Renovations - Phase II		8,000,000.00	40,538.87	7,956,164.42	3,296.71
071085	Central Warehouse Building		6,933,751.77	0.00	6,933,751.77	0.00
071023	Chemistry Building		53,062,000.00	0.00	53,062,000.00	0.00
071100 +	Deferred Maint & Renov - Ph I & II & III		175,957,360.13	2,434,995.10	171,191,651.68	2,330,713.35
073005	East Campus North Renovations		7,710,000.00	0.00	7,671,573.82	38,426.18
070000	Equip, Library Coll, Telecom - Ph I & II		166,312,000.00	3,995,309.66	160,830,119.12	1,486,571.22
073022	Farm Buildings Repairs/Replacement		2,100,000.00	139,903.09	1,926,202.31	33,894.60
071049	Gant Plaza Development		7,287,174.10	0.00	7,287,174.10	0.00
073004	Gentry Renovations		10,000,000.00	328,872.56	9,664,596.69	6,530.75
073008	Grad Dorm Renovations		3,000,000.00	404,090.00	2,594,672.00	1,238.00
071026	Heating Plant Upgrade		9,969,000.00	0.00	9,969,000.00	0.00
073001	Hilltop Dormitory Renovations		8,700,000.00	0.00	8,700,000.00	0.00
071027	Ice Rink Enclosure		3,280,000.00	0.00	3,280,000.00	0.00
073014	Intramur, Recreatn'tl & Inter. Fac. - Ph III		4,700,000.00	379,907.46	3,872,038.01	448,054.53
073015	Lakeside Renovation - Phase III		3,800,000.00	26,048.37	122,963.26	3,650,988.37
073023	Law School Renovation/Improvememnts		500,000.00	138,788.00	88,132.87	273,079.13

SCHEDULE 4
UCONN 2000
CASH FLOW AS OF JUNE 30,2005
Year Ended June 30, 2005

FRS Account	Projects	BOND INFO	BUDGET	COMMITMENT Encumbrance	CASH FLOW INFORMATION	
		Bonds Sold	Total Budget	Balance 6/30/2005	Actual Expenditures 6/30/2005	Budget Balance 6/30/2005
071028	Litchfield Agricultural Center		1,000,000.00	0.00	1,000,000.00	0.00
071021	Mansfield Apartments Renovations		2,071,000.00	0.00	2,071,000.00	0.00
073000	Mansfield Training School Improvements		3,500,000.00	0.00	3,472,486.70	27,513.30
071071	Monteith Renovations		444,348.00	0.00	444,348.00	0.00
071066	Mus Natl History (aka Intl House Conv)		800,000.00	0.00	800,000.00	0.00
073016	Mus of Natl History Completion - Phase III		500,000.00	200.00	18,616.33	481,183.67
071086	Music Drama Addition		7,400,000.00	0.00	7,400,000.00	0.00
071070	North Campus Renovations		11,866,000.00	42,284.44	11,823,715.56	0.00
073017	North Hillside Road Completion Phase III		1,000,000.00	195,382.49	446,065.34	358,552.17
071047	North Superblock Site and Utilities		7,668,000.00	0.00	7,668,000.00	0.00
071029	Northwest Quad Renov - Phase I & II		32,001,000.00	0.00	32,001,000.00	0.00
071030	Parking Garage North		9,658,000.00	0.00	9,658,000.00	0.00
071048	Pedest Walkwys (aka Fairfield Rd Ped Ma		6,074,000.00	0.00	6,074,000.00	0.00
073018	Residential Life Facilities-Phase III		750,000.00	0.00	750,000.00	0.00
071031	School of Business		25,652,366.00	0.00	25,652,366.00	0.00
071061	School of Pharmacy		88,609,000.00	2,577,919.59	84,405,089.02	1,625,991.39
073006	Shippee/Buckley Renovations		7,000,000.00	0.00	6,920,000.00	80,000.00
071032	South Campus Complex		12,251,000.00	0.00	12,251,000.00	0.00
073024	Stamford Campus Improvements		250,000.00	6,500.00	7,500.00	236,000.00
071020	Stamford Downtown Relocation - Phase I		55,785,000.00	0.00	55,783,971.55	1,028.45
071068	Student Union Addition		39,820,000.00	556,723.43	37,930,922.03	1,332,354.54
071045	Technology Quadrant - Phase IA		39,993,000.00	46,409.54	39,944,257.02	2,333.44
071067	Technology Quadrant - Phase II, Eng & B		34,120,000.00	91,527.90	34,027,580.93	891.17
073010	Torrey Life Science Renovations		3,181,000.00	53,162.94	2,906,200.13	221,636.93
073002	Towers Renovations		20,000,000.00	988,657.70	18,620,780.45	390,561.85
071046	Undergr Steam/ Water Upgr - Phase I, II		12,000,000.00	0.00	12,000,000.00	0.00
071035	Waring Chemistry Conversion		11,452,000.00	0.00	11,452,000.00	0.00
071036	Waterbury Property Purchase		200,000.00	0.00	200,000.00	0.00

SCHEDULE 4
UCONN 2000
CASH FLOW AS OF JUNE 30,2005
Year Ended June 30, 2005

		BOND INFO	BUDGET	COMMITMENT	CASH FLOW INFORMATION	
FRS		Bonds Sold	Total	Encumbrance	Actual	Budget
Account	Projects		Budget	Balance	Expenditures	Balance
				6/30/2005	6/30/2005	6/30/2005
073009	West Campus Renovations		500,000.00	0.00	370,907.80	129,092.20
073025	West Hartford Campus Renov/Improv		250,000.00	63,850.00	69,754.76	116,395.24
071037	White Building Renovations		2,430,000.00	0.00	2,427,605.54	2,394.46
071038	Wilbur Cross Building Renovation		17,409,000.00	0.00	17,409,000.00	0.00
	Subtotal Storrs & Regional Campus	995,610,000.00	995,610,000.00	12,884,828.53	966,789,166.93	15,936,004.54
University of Connecticut Health Center						
	Bonds sold March 2005	16,390,000.00				
080000	Capital Equipment		7,900,000.00	0.00	7,306.24	7,892,693.76
083001	CLAC Renov Biosafety Level 3 Lab		30,000.00	0.00	0.00	30,000.00
083300	Deferred Maintenance-Phase III		2,850,000.00	0.00	11,700.00	2,838,300.00
083002	Dental School Renovation		170,000.00	0.00	0.00	170,000.00
083003	Library/Student Computer Center Ren		150,000.00	19,489.00	24,019.86	106,491.14
083004	Main Building Renovation		75,000.00	0.00	0.00	75,000.00
083005	Medical School Acad Bldg Ren		2,210,000.00	0.00	0.00	2,210,000.00
083006	Research Tower		3,005,000.00	9,976.00	93,846.60	2,901,177.40
	Subtotal for Health Center	16,390,000.00	16,390,000.00	29,465.00	136,872.70	16,223,662.30
	Grand Total	1,012,000,000.00	1,012,000,000.00	12,914,293.53	966,926,039.63	32,159,666.84
	Cumulative Expense Totals				966,926,039.63	
	Bonds Sold Less Expenses				45,073,960.37	

UCONN 2000 Student Fee Revenue Bonds 2002-A
CASH FLOW AS OF JUNE 30, 2005
 Year Ended June 30, 2005

FRS Account	Projects	BOND INFO	BUDGET	COMMITMENT	CASH FLOW INFORMATION	
		Bonds Sold	Total Budget	Encumbrance Balance 6/30/2005	Actual Expenditures 6/30/2005	Budget Balance 6/30/2005
	Bonds sold Feb 2002	72,180,000.00				
074975	Alumni Quad Renovations		7,000,000.00	0.00	7,000,000.00	0.00
074980	Shippee/Buckley Renovations		5,000,000.00	0.00	5,000,000.00	0.00
074985	East Campus Renovations		1,000,000.00	0.00	1,000,000.00	0.00
074990	New Greek Housing Complex		14,180,000.00	0.00	14,180,000.00	0.00
074995	North Campus Renovations		45,000,000.00	0.00	44,876,448.95	123,551.05
	Totals	72,180,000.00	72,180,000.00	0.00	72,056,448.95	123,551.05
	Cumulative Expense Totals				72,056,448.95	
	Bonds Sold Less Expenses				123,551.05	

SCHEDULE 3

University of Connecticut
 Storrs & Regional Campuses
 UCONN 2000 - Equipment, Library Collections & Telecommunications Expenditures
 For Fiscal Years 1996-2005 (as of 9/30/05)

<u>Category</u>	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>	<u>FY 2000</u>	<u>FY 2001</u>	<u>FY 2002</u>	<u>FY 2003</u>	<u>FY 2004</u>	<u>FY 2005</u>	<u>Total</u>
Academic Services	\$2,940,377	\$8,128,127	\$7,574,733	\$10,237,010	\$9,014,022	\$10,805,768	\$11,436,705	\$13,713,793	\$7,738,516	\$8,375,174	\$89,964,226
Libraries	2,060,636	4,686,769	5,092,746	5,184,700	6,242,439	5,890,528	5,910,946	5,682,963	6,155,468	4,768,972	51,676,167
Student Services	14,540	59,328	258,219	209,278	242,544	216,111	503,255	101,088	472,100	229,892	2,306,353
Operational Support & Physical Plant Services	<u>208,131</u>	<u>1,508,420</u>	<u>2,286,198</u>	<u>1,832,423</u>	<u>1,159,177</u>	<u>2,549,020</u>	<u>2,247,481</u>	<u>2,963,768</u>	<u>1,009,343</u>	<u>1,119,411</u>	<u>16,883,373</u>
Total	\$5,223,683	\$14,382,644	\$15,211,897	\$17,463,412	\$16,658,181	\$19,461,427	\$20,098,387	\$22,461,613	\$15,375,426	\$14,493,449	\$160,830,119

Academic Services includes instruction, research, public service and academic support. Libraries are normally classified as part of academic support, but are shown separately on this report.

Student Services comprises all activities related to the University's student body excluding degree related activities and student records.

Operating Support & Physical Plant Services includes those activities within the University that provide campus-wide support such as executive management, fiscal operations, general administrative services and physical plant operations.

SCHEDULE 2

UNIVERSITY OF CONNECTICUT (Storrs & Regionals)
 UCONN 2000 PHASE I-III DEFERRED MAINTENANCE EXPENDITURES
 FOR FISCAL YEARS 1996 THROUGH 2005 (AS OF 9/30/05)

PROJ NUMBER	DESCRIPTION	TOTAL EXPENDED	EXPENDED 96	EXPENDED 97	EXPENDED 98	EXPENDED 99	EXPENDED 00	EXPENDED 01	EXPENDED 02	EXPENDED 03	EXPENDED 04	EXPENDED 05
UBI100159 Total	TITLE V EMISSIONS AUDIT	\$ 151,143.48	\$ -	\$ -	\$ -	\$ 9,018.97	\$ 17,040.70	\$ 33,800.29	\$ 12,915.55	\$ 78,081.22	\$ 286.75	\$ -
UBI200960 Total	MODULAR BLDG RELOC(OLD FACIL BLDG)	132,395.77	-	-	132,396.20	(0.43)	-	-	-	-	-	-
UBI700005 Total	BABBIDGE LIBRARY IMPROVEMENTS	1,266,000.22	-	83,686.83	70,941.64	1,111,371.75	-	-	-	-	-	-
UBI700225 Total	WTBY RENOVATIONS VARIOUS LOCATIONS	22,764.15	-	22,764.15	-	-	-	-	-	-	-	-
UBI900124 Total	GREER FIELD HOUSE	2,010,064.92	-	1,176,031.73	719,560.51	270,583.36	(156,110.68)	-	-	-	-	-
¹ UBI900203 Total	MUSIC DRAMA BUILDING (NEW)	-	-	-	-	4,479,424.20	2,734,625.44	(7,214,049.64)	-	-	-	-
UBI900205 Total	AP BRANFORD HOUSE RENOVATIONS	49,135.28	1,419.02	2,409.98	-	45,306.28	-	-	-	-	-	-
¹ UBI900211 Total	CENTRAL WAREHOUSE (NEW)	-	-	-	-	-	277,222.67	(277,222.67)	19,444.68	-	(19,444.68)	-
UBI900290 Total	EMERGENCY TELEPHONE-PARKING GARAGE NORTH	1,725.00	-	-	-	-	1,725.00	-	-	-	-	-
² UBI900302 Total	SCHOOL OF BUSINESS (NEW)	(11,292.01)	-	-	-	-	-	32.89	(11,324.90)	-	-	-
UBI900303 Total	ICE RINK ENCLOSURE	275,391.74	-	-	-	104,752.40	183,210.81	(12,571.47)	-	-	-	-
UBI900332 Total	FAIRFIELD ROAD PEDESTRIAN MALL	42,000.00	-	-	-	42,000.00	-	-	-	-	-	-
UBI900353 Total	ENGINEERING II ELEVATOR UPGRADE	15,598.97	-	-	-	15,598.97	-	-	-	-	-	-
UBI900362 Total	WHITE BUILDING RENOVATIONS	44,508.96	-	-	-	38,218.36	19,662.72	(13,372.12)	-	-	-	-
¹ UBI900392 Total	MTS FIRE ALARM SYSTEM PHASE 4	-	-	35,048.10	645.51	(35,693.61)	-	-	-	-	-	-
UBI900399 Total	AVERY POINT UNDERGROUND UTILITIES	3,304,834.55	212,465.53	20,833.53	1,046,900.93	184,824.82	393,497.04	(837.84)	1,387,318.56	59,831.98	-	-
UBI900407 Total	KLINK BLDG RENOVATION	22,009.53	-	22,415.02	-	(405.49)	-	-	-	-	-	-
¹ UBI900409 Total	MTS BROWN BLDG RENOVATION	(0.00)	-	51,166.42	25,876.54	(77,042.96)	-	-	-	-	-	-
¹ UBI90040A Total	MERRITT BLDG PHOTONICS CLEANROOM AT MTS	-	-	-	51,931.05	(51,931.05)	-	-	-	-	-	-
UBI900412 Total	LAW SCHOOL HOSMER RENOVATIONS	519,096.03	-	-	123,731.04	371,176.03	2,820.80	21,368.16	-	-	-	-
UBI900420 Total	FIELD HOUSE ROOF REPLACEMENT	1,694,775.89	-	1,506,895.21	192,643.78	3,044.90	-	-	-	-	(7,808.00)	-
¹ UBI900441 Total	HILLTOP COMPLEX RENOVATIONS	-	-	-	-	-	10,450.98	(10,450.98)	-	-	-	-
UBI900449 Total	SCHOOL OF BUSINESS LECTURE HALL RENOV.	535,021.00	37,139.95	456,364.26	43,540.10	(2,023.31)	-	-	-	-	-	-
UBI900453 Total	NORTHWEST QUAD RENOVATIONS	266,102.65	-	-	-	-	-	-	147,055.81	119,046.84	-	-
UBI900454 Total	TOWERS RENOVATIONS	-	-	-	-	-	-	-	-	-	-	-
UBI900456 Total	EAST CAMPUS SOUTH RENOVATION	-	44.27	(44.27)	-	-	-	-	-	-	-	-
UBI900489 Total	MOTOR POOL STORAGE BLDG.	488.25	44.27	443.98	-	-	-	-	-	-	-	-
UBI900508 Total	SHIPPEE & BUCKLEY HALL/STUDENT UNION	265,964.08	27,560.31	23,000.07	138,648.28	76,755.42	-	-	-	-	-	-
UBI900513 Total	UCONNECT HEATING PLANT UPGRADE	37,072.00	-	-	37,072.00	-	-	-	-	-	-	-
UBI900523 Total	WARING BLDG-CONVERT TO CLASS/OFFICE	2,620,007.71	-	-	-	-	-	-	2,487,286.26	132,721.45	-	-
UBI900541 Total	GAMPEL PAVILION ADDITIONAL SEATING	117,388.75	-	29,282.53	13,390.41	74,715.81	-	-	-	-	-	-
UBI900554 Total	FINE ARTS/SOUTH CAMPUS CENTRAL CHILLER	2,505,735.92	-	-	816,398.33	1,676,259.09	17,124.94	-	-	-	(4,046.44)	-
UBI900555 Total	GILBERT ROAD & SIDEWALK RECONSTRUCTION	1,525,873.71	434,337.94	1,096,846.21	1,726.85	(7,037.29)	-	-	-	-	-	-
UBI900564 Total	JORGENSEN ROOF REPAIR & SIDEWALK RECONST	681,315.02	-	101,598.72	341,904.04	235,537.26	2,275.00	-	-	-	-	-
UBI900569 Total	HORSEBARN HILL PARKING IMPROVEMENTS	298,031.76	7,785.17	294,185.29	106.24	(4,044.94)	-	-	-	-	-	-
¹ UBI900576 Total	STUDENT UNION ADDITION	-	-	-	-	97,733.54	(96,800.00)	6,672.35	(7,605.89)	-	-	-
UBI900582 Total	PHARMACY CLASSROOM 210 RENOVATION	39,389.45	36,141.40	3,248.05	-	-	-	-	-	-	-	-
UBI900596 Total	BARTLETT ARBORETUM WATERLINE	22,162.65	-	11,711.39	10,609.69	(158.43)	-	-	-	-	-	-
UBI900598 Total	SOUTH CAMPUS STEAM LINE REPLACEMENT	547,717.97	-	-	-	-	-	-	212.80	523,455.02	24,050.15	-
UBI900608 Total	FACILITIES MODULAR BUILDING SITE & UTIL	23,487.56	23,487.56	-	-	-	-	-	-	-	-	-
UBI900610 Total	GLENNBROOK RD PRIMARY UNDERGROUND CABLE	66,203.31	-	67,440.91	-	(1,237.60)	-	-	-	-	-	-
UBI900611 Total	ENGINEERING LEARNING CENTER PHASE 3	674,786.01	-	652,854.31	25,199.61	(5,142.67)	1,874.76	-	-	-	-	-
UBI900616 Total	JACOBSON BARN REPAIRS/DEMOLITION	14,785.29	-	-	-	-	-	14,785.29	-	-	-	-
UBI900621 Total	ARJONA/TORREY UCONNECT	285,621.56	175,165.94	110,455.62	-	-	-	-	-	-	-	-
UBI900629 Total	DRAMA MUSIC BLDG (NEW) HIGH VOLT CABLE	146,614.69	-	-	98,677.32	47,937.37	-	-	-	-	-	-
UBI900632 Total	MTS POTABLE WATER TANK REPAIR	603.75	-	-	-	603.75	-	-	-	-	-	-
UBI900633 Total	BEACH, WOOD AND MANCHESTER FACADE REPAIR	1,571,438.57	96,723.57	1,420,214.76	74,992.61	(20,492.37)	-	-	-	-	-	-
UBI900634 Total	STORRS & KOONS HALL ADA REPAIRS	965,640.72	2,063.95	208,725.01	732,480.10	19,643.71	2,727.95	-	-	-	-	-
UBI900635 Total	HEWITT BLDG AIR CONDITIONING	141,272.71	-	71,403.00	71,811.71	(1,942.00)	-	-	-	-	-	-
UBI900637 Total	LAW SCHOOL STEAM PLANT DRAFT CORRECTION	263,426.33	-	-	515.87	258,755.17	14,690.70	-	-	-	(10,535.41)	-
UBI900638 Total	GANT PLAZA INFILL	1,293,184.70	62,680.02	1,239,507.81	395.07	(9,398.20)	-	-	-	-	-	-
UBI900640 Total	MERRITT BLDG PHOTONICS CLEANROOM AT MTS	-	-	-	-	-	-	-	-	-	-	-
UBI900642 Total	SIGNAGE	846,813.23	-	-	21,563.11	32,187.38	63,627.03	127,076.79	637,829.92	(46,230.15)	10,759.15	-
UBI900649 Total	WILBUR CROSS EXTERIOR AND ROOF REPAIR	1,400,129.22	136,807.89	8,239.39	1,177,152.47	77,783.52	145.95	-	-	-	-	-
¹ UBI900670 Total	AVERY PT.-GYMNASIUM EXTERIOR REPAIRS	0.00	-	-	-	-	-	-	393,406.40	4,717.89	(398,124.29)	-
UBI900676 Total	CLASSROOM RENOVATIONS	1,106,709.46	154.90	1,083,191.43	31,291.10	(7,927.97)	-	-	-	-	-	-
UBI900677 Total	MATH/KOONS CLASSROOM RENOVATIONS	316,623.45	-	213,176.30	105,812.12	(2,364.97)	-	-	-	-	-	-
UBI900678 Total	CLASSROOM RENOVATIONS	387,187.23	-	1,956.11	373,626.52	11,604.60	-	-	-	-	-	-
UBI900680 Total	ENERGY MGMT HVAC CONTROL REPLAC. 10 BLDG	476,964.02	-	335,634.53	149,517.29	(8,187.80)	-	-	-	-	-	-
UBI900681 Total	BENEDICT MILLER & HART HOUSE RENOV.	242,206.35	-	921.42	10,501.95	4,009.53	222,499.99	4,273.46	-	-	-	-
UBI900688 Total	ASBESTOS AIR MONITORING	715,489.92	50,834.15	16,873.49	61,839.55	170,263.79	72,762.18	52,338.43	168,259.15	63,319.22	52,594.23	6,405.73
UBI900690 Total	LEAD PAINT SAMPLING ABATEMENT	20,554.95	-	4,194.21	16,740.19	(379.45)	-	-	-	-	-	-
UBI900691 Total	ENVIRONMENTAL AUDIT	41,022.03	-	38,281.03	2,741.00	-	-	-	-	-	-	-

SCHEDULE 2

PROJ NUMBER	DESCRIPTION	TOTAL EXPENDED	EXPENDED 96	EXPENDED 97	EXPENDED 98	EXPENDED 99	EXPENDED 00	EXPENDED 01	EXPENDED 02	EXPENDED 03	EXPENDED 04	EXPENDED 05
UBI900694 Total	HEATING PLANT	26,968.03	-	27,427.27	-	(459.24)	-	-	-	-	-	-
UBI900696 Total	LITCHFIELD AG CENTER	298,892.13	-	-	-	-	-	432,376.49	35,162.65	(168,647.01)	-	-
¹ UBI900701 Total	GENTRY BLDG RENOVATIONS	-	-	-	-	-	3,300.80	(2,535.99)	1,665.36	(2,430.17)	-	-
UBI900709 Total	JOHNSON JOHNSON & ROY/SVIGALS ASSOC	9,875.00	-	-	-	-	-	-	9,875.00	-	-	-
¹ UBI900710 Total	PARKING GARAGE SO /NEW COOP	(0.00)	-	-	61,914.79	10,923.36	125,719.17	(198,557.32)	-	-	-	-
UBI900711 Total	HILLTOP DORM-NEW	6,323.22	-	-	-	-	4,249.36	85,346.36	(83,272.50)	-	-	-
UBI900714 Total	FENTON WELLFIELD METERS & WEEL #5	764,021.44	712.16	29,445.01	8,789.48	327,988.66	243,636.41	84,840.46	53,501.46	6,589.80	8,518.00	-
UBI900719 Total	ASBESTOS ABATEMENT	1,709,921.92	18,387.16	70,267.87	94,513.01	82,008.65	87,427.71	(2,902.05)	316,572.78	620,215.65	204,127.08	219,304.06
UBI900720 Total	BIOBEHAVIORAL #4 GENERATOR MODIFICATION	42,593.86	-	42,593.86	-	-	-	-	-	-	-	-
UBI900722 Total	SCIENCE QUAD FEMA FLOOD HAZARD STUDY	16,059.00	13,603.02	2,455.98	-	-	-	-	-	-	-	-
UBI900728 Total	MCMAHON ELEVATOR IMPROVEMENTS	294,323.18	-	158,319.06	140,459.15	(4,455.03)	-	-	-	-	-	-
UBI900729 Total	BUS STORAGE PLANT	58,226.53	685.98	58,330.34	-	(789.79)	-	-	-	-	-	-
UBI900737 Total	MANAGEMENT REVIEW	16,688.00	-	-	-	-	-	-	16,688.00	-	-	-
UBI900738 Total	CARMODY & TORRENCE	-	-	-	-	-	-	-	-	-	-	-
UBI900742 Total	ICE RINK PARKING LOT EXPANSION	333,214.00	13,258.01	14,308.06	307,968.05	(2,320.12)	-	-	-	-	-	-
UBI900743 Total	EMERGENCY EYEWASH STATIONS VARIOUS	65,869.23	-	66,974.39	94.48	(1,199.64)	-	-	-	-	-	-
UBI900744 Total	SCHOOL OF NURSING MODULAR BUILDING	399,736.48	-	405,063.23	184.29	(5,511.04)	-	-	-	-	-	-
UBI900745 Total	JONES/HUMAN DEVELOPMENT BLDG ROOF REPLAC	252,280.58	-	-	255,268.06	(2,987.48)	-	-	-	-	-	-
¹ UBI900746 Total	MTS HEATING PLANT	-	-	141.13	-	(141.13)	-	-	-	-	-	-
UBI900748 Total	LANDFILL CLOSURE	12,738,328.70	-	15,115.84	21,590.60	777,831.25	2,634,032.51	2,939,001.42	2,830,275.19	1,356,258.08	1,322,678.80	841,545.01
UBI900749 Total	COMMUNICATION SCIENCE BOILER REPLACEMENT	59,132.94	-	41,907.27	18,548.75	(1,323.08)	-	-	-	-	-	-
UBI900751 Total	CONCRETE AND SOIL TESTING VARIOUS	142,909.32	-	43,876.24	12,645.05	86,388.03	-	-	-	-	-	-
UBI900756 Total	SOUTHEAST QUADRANT ENVIRONMENTAL STUDY	20,016.33	-	20,016.33	-	-	-	-	-	-	-	-
UBI900757 Total	AVERY PT BLDG 29 ROOF REPLACEMENT	21,018.93	-	18,086.10	3,490.81	(557.98)	-	-	-	-	-	-
UBI900758 Total	TLS ELEVATOR REPAIR	101,019.26	-	66,417.68	36,816.80	(2,215.22)	-	-	-	-	-	-
UBI900760 Total	NORTH CAMPUS ROOFS A/E SERIES	4,290.91	-	4,290.91	-	-	-	-	-	-	-	-
UBI900761 Total	GANT PLAZA PARADET INSPECTION	3,905.49	-	3,905.49	-	-	-	-	-	-	-	-
UBI900762 Total	TOWERS DORMITORY SIDE IMPROVEMENTS	19,829.17	-	11,768.27	7,021.01	1,039.89	-	-	-	-	-	-
UBI900763 Total	ASBESTOS & LEAD INSPECTION & ABATEMENT	7,838.70	-	7,838.70	-	-	-	-	-	-	-	-
UBI900765 Total	ROAD PAVING VAR LOCATIONS	33,073.96	-	33,073.96	-	-	-	-	-	-	-	-
UBI900766 Total	STORRS HALL ADDITIONS AND RENOVATIONS	5,136,414.68	-	-	-	66,693.66	4,380.58	136.57	714,722.21	4,090,208.44	260,273.22	-
UBI900767 Total	WTBY WHITE BLDG WINDOW	8,631.91	-	8,000.52	828.38	(196.99)	-	-	-	-	-	-
UBI900768 Total	ASBESTOS INSPECTION VARIOUS BUILDINGS	26,539.60	-	26,932.64	393.22	(786.26)	-	-	-	-	-	-
UBI900771 Total	ACADEMIC RENOVATIONS (UBI900771)	-	-	-	-	-	-	-	-	-	-	-
UBI900773 Total	ALUMNI QUAD MASONRY INSPECTIONS/SURVEY	125,417.49	-	29,000.23	30,066.95	27,363.58	10,220.22	28,766.51	-	-	-	-
UBI900774 Total	KING HILL ROAD PARKING	232,628.48	-	25,605.40	211,575.96	(4,552.88)	-	-	-	-	-	-
UBI900777 Total	HALLORAN & SAGE	-	-	-	-	-	-	-	-	-	-	-
UBI900779 Total	DELIVERY ORDER CONTRACT	11,475.99	-	-	-	-	-	-	3,841.88	7,634.11	-	-
UBI900781 Total	WILBUR CROSS ADMIN OFFICE STUDY	7,874.60	-	7,072.20	103.25	699.15	-	-	-	-	-	-
UBI900783 Total	PRECISION MANUFACTURING	1,166.05	-	978.13	54,455.63	(51,183.29)	(3,084.42)	-	-	-	-	-
UBI900785 Total	ENVIRONMENTAL HEALTH & SAFETY MODULAR	481,901.69	-	-	353,874.09	127,265.01	762.59	-	-	-	-	-
UBI900786 Total	ASIAN AMERICAN MODULAR BUILDING	13,659.25	-	2,778.61	8,682.97	2,197.67	-	-	-	-	-	-
UBI900788 Total	DRAMA MUSIC & DRM CLASS RM RENOV	602,290.98	-	-	534,380.17	67,910.81	-	-	-	-	-	-
UBI900790 Total	ASBESTOS/LEAD PROFESSIONAL SERVICES	4,319.16	-	1,498.15	1,435.43	1,385.58	-	-	-	-	-	-
UBI900792 Total	HTFD UNDERGRAD BLDG. VENTILATIONS	292,312.07	-	-	276,151.94	16,160.13	-	-	-	-	-	-
UBI900794 Total	STORRS HALL ADA REPAIRS	676,694.07	-	-	297,931.61	377,412.46	1,350.00	-	-	-	-	-
UBI900795 Total	WOLF ZACKIN G BLDG-GAMPEL	145,060.24	-	148.21	58,714.16	519.66	1,688.64	-	20,935.18	59,109.47	3,944.92	-
UBI900797 Total	HILLSIDE RD REALIGNMENT STUDY	1,231,287.98	-	-	17,939.03	1,008,223.16	205,125.79	-	-	-	-	-
UBI900798 Total	ARJONA/MONTEITH ELEVATOR REPAIRS	78,576.25	-	-	46,717.26	31,858.99	-	-	-	-	-	-
UBI900802 Total	STAMFORD LIBRARY ROOF	58,221.65	-	-	58,929.09	(707.44)	-	-	-	-	-	-
UBI900810 Total	BUS PULLOUTS	2,206.60	-	-	2,206.61	(0.01)	-	-	-	-	-	-
UBI900811 Total	NO. EAGLEVILLE RD EMERG STEAMLINE REPLAC	32,943.32	-	-	33,633.07	(689.75)	-	-	-	-	-	-
UBI900812 Total	WHITE & YOUNG CLASSROOM RENOV.	746,717.76	-	-	723,201.20	23,516.56	-	-	-	-	-	-
UBI900814 Total	HIGH SPEED COMBUSTION FACILITY	246,474.94	-	-	162,851.01	95,814.23	(12,190.40)	0.10	-	-	-	-
UBI900819 Total	BRUNDAGE POOL REVIEW OF RENOVATIONS	1,437,549.24	-	-	7,262.87	(63.67)	12,103.46	-	2,173.80	1,416,072.78	-	-
UBI900820 Total	TESTING & INSPECTION SERVICES	41,885.65	-	-	722.05	21,020.27	7,376.96	7,950.84	(10,506.64)	14,006.86	1,315.31	-
UBI900825 Total	WILLIAMS HEALTH PARKING IMPROVEMENTS	227,084.92	-	-	6,094.70	198,803.10	22,187.12	-	-	-	-	-
UBI900827 Total	BABBIDGE LIBRARY INTERIOR RENOVATIONS	1,390,672.56	-	-	16,699.24	1,243,180.65	132,651.64	(1,858.97)	-	-	-	-
UBI900829 Total	MONTEITH BLDG CLASSROOM RENOVATIONS	405,875.52	-	-	1,014.55	403,415.79	1,446.15	-	-	-	-	(0.97)
UBI900831 Total	BENTON STATE ART MUSEUM RENOVATIONS	1,322,402.21	-	-	-	-	-	-	-	223,712.94	936,227.85	162,461.42
UBI900832 Total	FIELDHOUSE SUBSTATION RELOCATION	155,897.04	-	-	-	-	63,797.82	14,571.51	65,701.47	7,886.50	3,939.74	-
UBI900835 Total	COMPUTER CENTER CONDENSER WATER PIPING	26,381.57	-	-	-	26,381.57	-	-	-	-	-	-
UBI900836 Total	MANSFIELD ROAD BUSWAY	2,212,485.73	-	-	-	2,085,319.16	83,722.60	39,932.33	3,511.64	-	-	-
UBI900837 Total	CLASSROOM RENOVATIONS PHASE XIII	635,956.55	-	-	-	602,487.91	33,468.64	-	-	-	-	-
UBI900838 Total	PATHOBIOLOGY VENTILATION STUDY	62,702.60	-	-	-	15,074.99	-	47,627.61	-	-	-	-
UBI900839 Total	WHETTEN BUILDING ROOF	149,010.83	-	-	-	149,010.83	-	-	-	-	-	-
UBI900841 Total	ROTC DEMOLITION	419,467.50	-	-	75,000.00	344,467.50	-	-	-	-	-	-
UBI900843 Total	GULLEY HALL PHASE 3&5 (1ST FLOOR)	87,108.24	-	-	-	82,601.21	2,239.82	2,267.21	-	-	-	-

SCHEDULE 2

PROJ NUMBER	DESCRIPTION	TOTAL EXPENDED	EXPENDED 96	EXPENDED 97	EXPENDED 98	EXPENDED 99	EXPENDED 00	EXPENDED 01	EXPENDED 02	EXPENDED 03	EXPENDED 04	EXPENDED 05
UBI900845 Total	VARIOUS BUILDINGS-UCONNECT	537,891.07	-	-	-	48,785.18	76,619.88	74,169.38	126,220.84	113,093.91	99,001.88	-
UBI900846 Total	LAW SCHOOL SIDEWALK REPAIRS	16,385.61	-	-	-	16,385.61	-	-	-	-	-	-
UBI900848 Total	STADIUM ROAD OUTSIDE FIBER AND CABLE	140,726.00	-	-	-	140,726.00	-	-	-	-	-	-
UBI900849 Total	HAWLEY ARMORY INTERIOR RENOVATION	7,852.66	-	-	-	7,852.66	-	-	-	-	-	-
UBI900855 Total	VARIOUS ELEVATOR REPAIRS	14,231.24	-	-	-	25,331.24	(11,100.00)	-	-	-	-	-
UBI900856 Total	AVERY POINT CLASSROOM RENOVATIONS	223,528.10	-	-	-	-	223,528.10	-	-	-	-	-
UBI900857 Total	HARTFORD CLASSROOM RENOVATION RM 331	222,276.71	-	-	-	-	222,276.71	-	-	-	-	-
UBI900858 Total	WATERBURY CLASSROOM RENOVATIONS	329,651.33	-	-	-	-	329,651.33	-	-	-	-	-
UBI900859 Total	BARTLETT ARBORETUM BOILER REPLACEMENT	12,786.19	-	-	-	12,779.63	6.56	-	-	-	-	-
UBI900860 Total	BRANFORD HOUSE STRUCTURAL REPAIRS	1,350,432.49	-	-	-	74,954.80	58,341.10	961,660.93	196,425.94	59,049.72	-	-
UBI900861 Total	F-LOT LANDFILL REMEDIATION	1,671,047.28	-	-	-	9,017.37	1,567,539.04	94,490.87	-	-	-	-
UBI900863 Total	CO-OP NEW VENDING AREA	80,828.42	-	-	-	80,828.42	-	-	-	-	-	-
UBI900864 Total	LAW SCHOOL RENOVATIONS	5,830,350.09	-	-	-	698.49	149,169.44	166,044.75	950,583.85	3,167,377.79	1,310,543.64	85,932.13
UBI900865 Total	VON DER MEHDEN COMPRESSOR REPLACEMENT	12,498.51	-	-	-	12,498.51	-	-	-	-	-	-
UBI900867 Total	ENGINEERING III-BRONWELL BLDG-ELEVATOR	23,106.20	-	-	-	23,106.20	-	-	-	-	-	-
UBI900868 Total	TRASH RECEPTACLES CAMPUS WIDE	79,319.96	-	-	-	71,074.37	8,245.59	-	-	-	-	-
UBI900869 Total	WHETTEN BUILDING RENOVATION	210,392.64	-	-	-	-	247,877.64	-	-	(20,345.00)	(17,140.00)	-
UBI900870 Total	BRANFORD HOUSE EXTERIOR REPAIRS	144,484.08	-	-	-	50,336.17	89,254.60	4,893.31	-	-	-	-
UBI900872 Total	TORRINGTON TOILET ROOM ADA	26,881.85	-	-	-	26,287.73	594.12	-	-	-	-	-
UBI900875 Total	STUDENT UNION ENTRANCEWAY IMPROVEMENTS	5,982.00	-	-	-	104,943.53	(98,961.53)	-	-	-	-	-
UBI900876 Total	BABBIDGE LIBRARY COOLING COILS	542,597.89	-	-	-	-	-	542,597.89	-	-	-	-
UBI900881 Total	REPLACE ROOF ON BREEDER/EGG SALE HOUSE	457.97	-	-	-	-	457.97	-	-	-	-	-
UBI900883 Total	SKIFF STREET AIR CONDITIONING	4.11	-	-	-	4.11	-	-	-	-	-	-
UBI900884 Total	SPILL PREVENTION CONTROL PLAN	55,332.77	-	-	-	-	24,962.94	4,275.08	23,831.59	2,263.16	-	-
¹ UBI900885 Total	NEW GREEK HOUSING COMPLEX	0.00	-	-	-	-	-	-	136,614.10	(136,586.99)	(27.11)	-
UBI900886 Total	COGENERATION/CHILLER FACILITY STUDY	30,802.60	-	-	-	-	62,544.50	43,246.67	87,964.63	(192,380.12)	29,426.92	-
UBI900889 Total	MECHANICAL IMPROVEMENTS SYSTEMWIDE	667,564.39	-	-	-	-	163,097.66	175,837.76	187,780.49	121,299.58	19,548.90	-
UBI900890 Total	WHITE BUILDING ASBESTOS REMOVAL	229,927.32	-	-	-	229,927.32	-	-	-	-	-	-
UBI900891 Total	CAMPUS CORE LANDSCAPING IMPROVEMENTS	108,057.11	-	-	-	16,395.84	90,851.56	-	809.71	-	-	-
¹ UBI900892 Total	AVERY PT UNDERGRADUATE RENOVATIONS	-	-	-	-	-	-	-	208,250.00	(208,250.00)	-	-
UBI900894 Total	ICE RINK REFRIGERATION	63,724.55	-	-	-	-	63,724.55	-	-	-	-	-
⁴ UBI900898 Total	BOLTON RD TO HILLSIDE RD CONNECTOR	2,616,088.54	-	-	-	-	-	17,025.06	179,220.64	2,420,999.43	78,843.41	(80,000.00)
UBI900899 Total	STAMFORD CAMPUS LANDSCAPE	74,016.48	-	-	-	-	52,699.68	2,539.68	18,777.12	-	-	-
UBI900900 Total	BUCKINGHAM WATERBURY	146,811.73	-	-	-	-	141,811.73	-	5,000.00	-	-	-
UBI900901 Total	ELEVATOR REPAIRS VARIOUS LOCATIONS	244,494.56	-	-	-	-	55,459.07	-	189,035.49	-	-	-
UBI900903 Total	INTERNATIONAL HOUSE PAINTING	7,125.21	-	-	-	-	7,125.21	-	-	-	-	-
UBI900904 Total	DEBRIS REMOVAL BY WPC	28,067.30	-	-	-	-	23,824.93	4,242.37	-	-	-	-
UBI900905 Total	HILLSIDE RD COPPER/CO-AX/FIBER REPAIR	17,698.10	-	-	-	-	17,698.10	-	-	-	-	-
UBI900906 Total	ICE RINK SIGNAGE	13,155.93	-	-	-	-	13,155.93	-	-	-	-	-
UBI900907 Total	SOUTH CAMPUS CLAIM RESOLUTION	703,943.82	-	-	-	-	703,059.27	884.55	-	-	-	-
UBI900909 Total	CHEM BLDG LECTURE HALL IMPROVEMENTS	60,676.26	-	-	-	-	23,075.15	37,601.11	-	-	-	-
UBI900910 Total	PORTABLE A/C'S	37,321.68	-	-	-	-	37,321.68	-	-	-	-	-
UBI900911 Total	STAMFORD TRAFFIC SIGNAL REQUIREMENTS	18,242.14	-	-	-	-	18,242.14	-	-	-	-	-
UBI900912 Total	SCHWENK GARDEN DEVELOPMENT	7,595.44	-	-	-	-	4,609.03	2,986.41	-	-	-	-
UBI900913 Total	HOLCOMB WHITNEY SPRAGUE FIRE ALARMS	34,984.65	-	-	-	-	34,984.65	-	-	-	-	-
UBI900914 Total	STAMFORD COOLING TOWERS	33,333.31	-	-	-	-	33,333.31	-	-	-	-	-
UBI900916 Total	IMS/PHYSICS SECURITY LOCKS	10,771.65	-	-	-	-	10,741.51	30.14	-	-	-	-
UBI900917 Total	VARIOUS CAMPUS IMPROVEMENTS	335,215.25	-	-	-	-	170,275.00	114,730.57	50,209.68	-	-	-
UBI900918 Total	SOUTH CAMPUS ROOF TRUSS REVIEW	5,043.92	-	-	-	-	5,043.92	-	-	-	-	-
UBI900919 Total	GAMPEL PAVILION EMS CONVERSION	14,745.48	-	-	-	-	13,952.44	793.04	-	-	-	-
UBI900920 Total	WHITNEY/SPRAGUE ELECTRICAL UPGRADE	63,847.28	-	-	-	-	63,751.83	95.45	-	-	-	-
³ UBI900923 Total	CENTRAL CAMPUS UTILITIES	1,748,013.53	-	-	-	-	489,266.34	5,651,927.37	(6,092,587.86)	-	(48,605.85)	1,748,013.53
UBI900924 Total	ENGR II-AUTO TRANSFER OF NORMAL POWER	161,119.05	-	-	-	-	40,960.26	86,083.74	34,075.05	-	-	-
¹ UBI900925 Total	AGRICULTURAL BIOTECHNOLOGY PHASE 3	-	-	-	-	-	-	-	-	3,715.18	(3,715.18)	-
UBI900926 Total	AG-BIOTECH ANIMAL CARE FACILITY	90,727.76	-	-	-	-	-	-	90,672.51	-	55.25	-
UBI900927 Total	UTILITY WIRE RELOCATION	35,345.19	-	-	-	-	-	35,345.19	-	-	-	-
UBI900928 Total	GULLEY HALL HANDICAPPED ACCESS	1,033,571.53	-	-	-	-	12,454.31	920,410.76	100,706.46	-	-	-
¹ UBI900929 Total	NORTHWEST QUAD UTILITIES	-	-	-	-	-	-	3,218,054.00	122,320.04	(2,207,938.27)	(1,132,435.77)	-
UBI900930 Total	ASBESTOS HAZARD COMMUNICATION PROGRAM	30,710.79	-	-	-	-	6,052.71	10,500.00	-	7,749.41	6,408.67	-
UBI900931 Total	WILBUR CROSS FACADE & ROOF PHASE 3	2,582,258.10	-	-	-	-	-	-	2,337,487.87	228,972.58	15,797.65	-
UBI900932 Total	BARTLETT ARBORETUM WATER DAMAGE REPAIR	7,174.47	-	-	-	-	7,174.47	-	-	-	-	-
UBI900933 Total	BROOKLYN EXT ROOF REPLACEMENT	39,375.34	-	-	-	-	37,386.48	1,988.86	-	-	-	-
UBI900934 Total	NEW LONDON EXT FACILITIES IMPROVEMENT	915,028.16	-	-	-	-	34,803.00	-	101,099.21	686,675.89	92,450.06	-
UBI900935 Total	EXCAVATING AND MECHANICAL SERVICES	755,274.06	-	-	-	-	3,505.16	92,687.31	115,215.73	218,647.04	257,056.11	68,162.71
UBI900936 Total	BUCKLEY HALL WINDOW REPLACEMENT	38,102.09	-	-	-	-	-	38,102.09	-	-	-	-
UBI900937 Total	SOUTH CAMPUS AIR HANDLING UNIT	135,000.00	-	-	-	-	135,000.00	-	-	-	-	-
UBI900938 Total	CLASSROOM RENOVATIONS	599,488.33	-	-	-	-	-	590,955.35	8,532.98	-	-	-
UBI900939 Total	DOOR CLOSURES-RESIDENCE HALLS	446,326.00	-	-	-	-	-	446,326.00	-	-	-	-

SCHEDULE 2

PROJ NUMBER	DESCRIPTION	TOTAL EXPENDED	EXPENDED 96	EXPENDED 97	EXPENDED 98	EXPENDED 99	EXPENDED 00	EXPENDED 01	EXPENDED 02	EXPENDED 03	EXPENDED 04	EXPENDED 05
¹ UBI900940 Total	UTILITY EXT NORTHWEST	(0.00)	-	-	-	-	-	2,941.18	(80,396.36)	77,602.18	(147.00)	-
UBI900941 Total	TORRINGTON GARAGE ROOF REPLACEMENT	53,216.42	-	-	-	-	-	53,216.42	-	-	-	-
UBI900942 Total	LIMITED PROPERTY LINE SURVEY	8,019.84	-	-	-	-	4,690.86	-	2,075.36	1,253.62	-	-
UBI900945 Total	CAMPUS BUS SHELTERS FY 01	331,976.53	-	-	-	-	-	296,841.04	35,135.49	-	-	-
UBI900946 Total	FIRE PROTECTION WATER MAIN EXPANSION	84,870.33	-	-	-	-	-	67,040.65	17,829.68	-	-	-
UBI900947 Total	BOILER FEEDWATER PUMP AND VALVES	183,184.90	-	-	-	-	-	183,184.90	-	-	-	-
UBI900948 Total	TORREY/PATHOLOGY HVAC IMPROVEMENTS	15,000.00	-	-	-	-	-	15,000.00	-	-	-	-
UBI900949 Total	MATH SCIENCE M029 HVAC STUDY	-	-	-	-	-	-	-	-	-	-	-
UBI900952 Total	ANIMAL RESEARCH IMPROVEMENT FACILITY	1,052,310.39	-	-	-	-	-	555,177.31	299,757.54	215,990.66	(199,019.93)	180,404.81
UBI900953 Total	MTS/ON CAMPUS PAVING	393,634.08	-	-	-	-	-	159,951.02	222,199.33	-	11,483.73	-
UBI900954 Total	GURLEYVILLE RD PROPERTY/WETLANDS SURVEY	-	-	-	-	-	-	-	-	-	-	-
UBI900955 Total	STAMFORD CONNDOT EASEMENT MAP	803.99	-	-	-	-	-	803.99	-	-	-	-
UBI900957 Total	NORTH CAMPUS DEVELOPMENT	226,162.69	-	-	-	-	-	89,542.25	62,330.85	(149,936.12)	224,225.71	-
UBI900958 Total	CHEMISTRY BUILDING SNOW GUARDS	121,464.24	-	-	-	-	-	115,774.56	-	-	1,689.68	4,000.00
UBI900959 Total	IMPLEMENT ENERGY EFFICIENCY MEASURES	1,470,135.89	-	-	-	-	-	1,470,135.89	-	-	-	-
UBI900960 Total	PARKING GARAGE SOUTH SITE PREPARATION	2,482,073.44	-	-	-	-	-	615,891.33	596,717.49	763,297.95	156,166.67	350,000.00
¹ UBI900961 Total	FACADE RESTORATION SHIPPEE HALL	-	-	-	-	-	-	41,731.23	(41,731.23)	-	-	-
¹ UBI900962 Total	SALARY	0.00	-	-	-	-	-	26,343.58	1,016.25	(27,328.30)	(31.53)	-
UBI900964 Total	JACOBSON BARN REPAIRS/DEMOLITION	446,860.56	-	-	-	-	-	83,624.39	18,687.24	344,548.93	-	-
UBI900965 Total	NORTH HILLSIDE ROAD	-	-	-	-	-	-	-	-	-	-	-
UBI900966 Total	FACILITIES OFFICE RENOVATIONS	25,992.24	-	-	-	-	-	20,034.56	5,957.68	-	-	-
UBI900967 Total	GREENHOUSE EMERGENCY GENERATOR PERMIT	1,509.74	-	-	-	-	-	1,509.74	-	-	-	-
UBI900968 Total	STADIUM ROAD SIDEWALK	420,194.35	-	-	-	-	-	17,000.00	330,691.85	70,251.90	2,250.60	-
UBI900969 Total	ADMINISTRATIVE UPDATE-FCA	-	-	-	-	-	-	-	-	-	-	-
UBI900970 Total	UCONN 2000 FINANCIAL AUDIT	194,568.60	-	-	-	-	-	46,739.92	48,403.84	27,562.93	41,861.91	30,000.00
UBI900971 Total	OVERFLOW LOT	41,875.45	-	-	-	-	-	41,875.45	-	-	-	-
UBI900972 Total	CASTLEMAN CONCRETE CURING ROOM	18,205.48	-	-	-	-	-	3,883.34	14,322.14	-	-	-
UBI900973 Total	CAMPUS WIDE STORMWATER MGMT PLAN	173,127.20	-	-	-	-	-	57,896.25	33,935.69	-	74,435.50	6,859.76
UBI900974 Total	APERTURE SPACE MGMT SYSTEM	143,276.97	-	-	-	-	-	-	96,012.92	47,264.05	-	-
UBI900975 Total	CAREER SERVICES RELOCATION	-	-	-	-	-	-	-	-	-	-	-
UBI900976 Total	CONVERT MECH ARTS TO ISLAMIC CENTER	2,960.00	-	-	-	-	-	-	2,960.00	-	-	-
UBI900977 Total	HEIFER BARN GUTTER MODIFICATIONS	8,212.70	-	-	-	-	-	8,212.70	-	-	-	-
UBI900978 Total	PARKING GARAGE NORTH LANDSCAPING	104,322.33	-	-	-	-	-	104,322.33	-	-	-	-
UBI900979 Total	LITCHFIELD AGRIC SEPTIC SYSTEM	84,067.00	-	-	-	-	-	84,067.00	-	-	-	-
³ UBI900980 Total	BABBIDGE ACCESS/CENTRAL CAMPUS WALKWAY	3,231,838.42	-	-	-	-	-	55,212.72	71,055.40	44.80	(125,307.50)	3,230,833.00
UBI900981 Total	BOLARDS ON CAMPUS	12,118.22	-	-	-	-	-	7,266.83	4,851.39	-	-	-
UBI900983 Total	AVERY PT CAMPUS BOATHOUSE	6,002.65	-	-	-	-	-	-	6,002.65	-	-	-
UBI900984 Total	SIDEWALK PROJ. WILBUR CROSS TO RTE 196	60,984.08	-	-	-	-	-	60,984.08	-	-	-	-
UBI900986 Total	NORTHWEST LANDSCAPE IMPROVEMENTS	174,711.27	-	-	-	-	-	174,711.27	-	-	-	-
UBI900987 Total	ALUMNI HOUSE TRANSFORMER	9,160.59	-	-	-	-	-	3,843.13	5,317.46	-	-	-
UBI900988 Total	UTILITY EXTENSION NEW SCHOOL OF BUSINESS	391,185.49	-	-	-	-	-	218,507.64	172,677.85	-	-	-
UBI900989 Total	GRANITE CURBING AROUND ROUTE 196	6,521.71	-	-	-	-	-	6,521.71	-	-	-	-
UBI900990 Total	TELECOMM-WAGE ADJ.	14,172.35	-	-	-	-	-	14,172.35	-	-	-	-
UBI900991 Total	STAMFORD-VARIOUS IMPROVEMENTS & REPAIRS	28,185.56	-	-	-	-	-	26,178.79	2,006.77	-	-	-
UBI900992 Total	ART PRINT SHOP RENOVATIONS	64,982.23	-	-	-	-	-	-	64,982.23	-	-	-
UBI900993 Total	ROUTE 195 TRAFFIC EVALUATION	579.29	-	-	-	-	-	-	579.29	-	-	-
UBI900994 Total	EVALUATION OF GREENHOUSE/FARM DEPT BLDG	30,888.34	-	-	-	-	-	-	19,636.13	11,252.21	-	-
UBI900995 Total	APPLE SALES BUILDING MISC. REPAIRS	97,909.00	-	-	-	-	-	97,909.00	-	-	-	-
UBI900997 Total	LAW SCH/HARTRANFT. CLASSROOM RENOV	468,744.71	-	-	-	-	-	-	422,832.23	32,207.76	13,704.72	-
UBI900998 Total	LAW SCHOOL PARKING LOT REPAIR	53,844.56	-	-	-	-	-	-	53,844.56	-	-	-
UBI900999 Total	UTEB ROOF AND PARAPET REPAIR	51,575.97	-	-	-	-	-	-	45,300.73	6,275.24	-	-
UBI901931 Total	WILBUR CROSS FACADE & ROOF PHASE 3	8,313.03	-	-	-	-	8,313.03	-	-	-	-	-
UBI901000 Total	AVERY POINT POLICE HEADQUARTERS BLDG	16,262.70	-	-	-	-	-	-	16,262.70	-	-	-
UBI901001 Total	DORM SPRINKLERS PHASE 1B-MCMAHON	7,664,134.27	-	-	-	-	-	39,463.93	4,977,073.14	2,880,804.97	(233,207.77)	-
UBI901003 Total	CLASSROOM RENOVATIONS FY 03	223,108.29	-	-	-	-	-	-	223,108.29	-	-	-
UBI901004 Total	N EAGLEVILLE RD WATER SERVICE CONNECTION	613,022.52	-	-	-	-	-	-	441,540.12	6,015.40	13,056.82	152,410.18
UBI901005 Total	S CAMPUS WINDOW LEAKAGE INVESTIGATION	36,001.91	-	-	-	-	-	-	10,771.83	25,230.08	-	-
UBI901006 Total	THOMSON BLDG RENOVATIONS-DRAMATIC ARTS	189,967.06	-	-	-	-	-	-	-	373,788.33	(183,821.27)	-
UBI901007 Total	GRAD STUDENT APTS E.I.A.	267,852.93	-	-	-	-	-	-	160,243.27	68,176.44	27,233.22	12,200.00
UBI901008 Total	KOONS HALL OFFICE AREA CONSTRUCTION	9,499.10	-	-	-	-	-	-	7,002.04	-	2,497.06	-
UBI901009 Total	ADMISSIONS PARQUET FLOORING-TASKER BLDG	10,134.65	-	-	-	-	-	-	10,134.65	-	-	-
UBI901010 Total	A-2 BOUNDARY SURVEY-NORTH CAMPUS APTS.	9,973.80	-	-	-	-	-	-	9,973.80	-	-	-
UBI901011 Total	COLCHESTER/LEBANON COTTAGE ELECT UPGRADE	-	-	-	-	-	-	-	-	-	-	-
UBI901012 Total	BOOTH RESEARCH CENTER RELOCATION	2,619,492.66	-	-	-	-	-	-	2,792,492.66	(173,000.00)	-	-
UBI901013 Total	GULLEY HALL - CORRECT WATER INFILTRATION	3,134.87	-	-	-	-	-	-	1,882.63	1,252.24	-	-
UBI901014 Total	LAW SCHOOL BOILER PERMIT	6,447.77	-	-	-	-	-	-	6,447.77	-	-	-
UBI901016 Total	COLUMN/PIPE MODIFICATIONS TO EHS BLDG	12,720.50	-	-	-	-	-	-	12,720.50	-	-	-
UBI901018 Total	HUNTING LODGE ROAD CULVERT	330,168.27	-	-	-	-	-	-	40,856.06	47,525.01	20,279.10	221,508.10

SCHEDULE 2

PROJ NUMBER	DESCRIPTION	TOTAL EXPENDED	EXPENDED 96	EXPENDED 97	EXPENDED 98	EXPENDED 99	EXPENDED 00	EXPENDED 01	EXPENDED 02	EXPENDED 03	EXPENDED 04	EXPENDED 05
UBI901019 Total	KING HILL ROAD SURVEY	4,605.18	-	-	-	-	-	-	4,605.18	-	-	-
UBI901020 Total	PREPARATION OF GENERAL PERMITS	79,473.62	-	-	-	-	-	-	17,582.37	17,415.40	44,115.85	360.00
UBI901022 Total	INSTALLATION/REPAIR OF HOODS AT IMS	5,418.78	-	-	-	-	-	-	5,418.78	-	-	-
UBI901023 Total	GANT PARAPETS	228,885.77	-	-	-	-	-	-	456.62	183,080.72	22,276.77	23,071.66
UBI901024 Total	NORTH CAMPUS GARAGE STRUCTURAL REPAIR	27,321.18	-	-	-	-	-	-	28,108.00	-	(786.82)	-
UBI901026 Total	HEATING PLANT START UP/MONITORING CEMS	73,534.41	-	-	-	-	-	-	54,503.07	19,031.34	-	-
UBI901027 Total	MOTOR POOL OIL/WATER SEPARATOR	93,179.39	-	-	-	-	-	-	93,179.39	-	-	-
UBI901028 Total	GEOGRAPHIC INFORMATION SYSTEM (GIS)	7,215.44	-	-	-	-	-	-	8,523.12	(1,307.68)	-	-
UBI901029 Total	DEMOLITION OF CO-OP BUILDING	364,447.54	-	-	-	-	-	-	6,044.73	11,722.66	249,388.24	97,291.91
UBI901030 Total	STORM WATER SAMPLING-HILLTOP APTS	484.36	-	-	-	-	-	-	12,304.30	60,617.91	11,656.68	(84,094.53)
UBI901031 Total	NATHAN HALE HOTEL LAWN	27,974.90	-	-	-	-	-	-	27,597.38	377.52	-	-
UBI901032 Total	BOUSFIELD BLDG RENOVATIONS TO VIVARIUM	3,189,510.13	-	-	-	-	-	-	-	50,879.71	92,258.22	3,046,372.20
UBI901033 Total	AVERY POINT-WIDEN SHENNECOSSET ROAD	-	-	-	-	-	-	-	-	-	-	-
UBI901034 Total	NORTHWEST QUAD SECURITY SYSTEM	71,482.08	-	-	-	-	-	-	71,482.08	-	-	-
UBI901035 Total	AVERY POINT ROAD WIDENING	67,593.62	-	-	-	-	-	-	67,593.62	-	-	-
UBI901036 Total	FACILITY CONDITION ANALYSIS UPDATE	97,849.94	-	-	-	-	-	-	93,438.25	4,411.69	-	-
UBI901037 Total	AVERY POINT UNDERGROUND TANK REMOVAL	60,366.81	-	-	-	-	-	-	3,109.99	57,340.09	(83.27)	-
UBI901038 Total	BABBIDGE LIBRARY ELEVATOR MODIFICATIONS	190,044.35	-	-	-	-	-	-	45,139.03	144,905.32	-	-
UBI901039 Total	BUDDS BUILDING INTERIOR RENOVATIONS	741,268.84	-	-	-	-	-	-	230,060.10	453,239.20	57,969.54	-
UBI901040 Total	HALL DORM RENOVATIONS-ROTC	383,363.76	-	-	-	-	-	-	178,516.26	145,072.31	58,454.47	1,320.72
UBI901041 Total	AVERY POINT CHILLER PLANT CONTROLS	7,660.83	-	-	-	-	-	-	7,660.83	-	-	-
UBI901042 Total	HILLSIDE ROAD ELECTRICAL CONVERSION	73,813.65	-	-	-	-	-	-	73,813.65	-	-	-
UBI901043 Total	WARING LECTURE HALL ROOFING	120,302.89	-	-	-	-	-	-	120,302.89	-	-	-
UBI901044 Total	HILLTOP DETENTION BASIN	223,898.64	-	-	-	-	-	-	126,729.38	72,424.91	24,744.35	-
UBI901045 Total	WOOD HALL RENOVATIONS 1ST FLOOR	59,701.23	-	-	-	-	-	-	43,762.42	12,235.81	3,703.00	-
UBI901046 Total	ELECTRICAL UPGRADES VARIOUS AREAS	81,997.82	-	-	-	-	-	-	6,269.73	75,728.09	-	-
UBI901047 Total	MICROCHEMISTRY LAB FIRE ALARM	9,373.32	-	-	-	-	-	-	9,140.01	233.31	-	-
UBI901048 Total	ATHLETICS ICE ARENA STRIPE REPLACEMENT	4,613.27	-	-	-	-	-	-	-	4,613.27	-	-
UBI901049 Total	UNIVERSITY'S WATER SYSTEM EVALUATION	36,888.17	-	-	-	-	-	-	-	16,938.65	3,218.69	16,730.83
UBI901050 Total	SEPARATIST ROAD HOUSE DEMOLITION	-	-	-	-	-	-	-	-	-	-	-
UBI901051 Total	PHYSICS-LECTURE HALL PB-36 SEATING	798,341.52	-	-	-	-	-	-	-	792,543.38	5,798.14	-
UBI901053 Total	BOUSFIELD HALL-PLAZA & FACADE REHABIL	1,173,878.17	-	-	-	-	-	-	63,974.98	906,891.57	-	203,011.62
UBI901054 Total	MARINE SCIENCE BLDG-3RD FLOOR FITOUT	-	-	-	-	-	-	-	-	-	-	-
UBI901055 Total	BEACH HALL APPLIED GENETIC LAB	22,503.52	-	-	-	-	-	-	-	19,580.03	2,198.49	725.00
UBI901057 Total	UNIVERSITY UTILITY SYSTEM EXTENSION	5,698,614.80	-	-	-	-	-	-	-	2,843,211.05	2,854,125.35	1,278.40
UBI901058 Total	GANT FIT-OUT	241,816.62	-	-	-	-	-	-	-	241,816.62	-	-
UBI901059 Total	SIGN INST-REGIONAL CAMPUSES & STORRS	403,508.20	-	-	-	-	-	-	-	-	122,667.43	280,840.77
UBI901060 Total	WATER INFILTRATION INVESTIGATION	311,882.87	-	-	-	-	-	-	-	68,742.19	25,075.56	218,065.12
UBI901062 Total	UCONN WATER MAIN REPLACEMENT	33,046.30	-	-	-	-	-	-	-	27,575.82	5,470.48	-
UBI901063 Total	BURSAR'S OFFICE MODIFICATIONS	8,030.05	-	-	-	-	-	-	-	-	8,030.05	-
UBI901064 Total	BRONWELL BUILDING RENOVATIONS	3,425,575.66	-	-	-	-	-	-	-	167,585.06	1,777,989.54	1,480,001.06
UBI901065 Total	RATCLIFFE HICKS ARENA FLOOR	70,362.16	-	-	-	-	-	-	-	69,874.66	487.50	-
UBI901066 Total	BABBIDGE LIBRARY BATHROOM PARTITIONS	12,141.43	-	-	-	-	-	-	-	12,141.43	-	-
UBI901068 Total	LAW SCH/HARTRANFT RM 210 RENOVATIONS	340,424.63	-	-	-	-	-	-	-	339,017.17	1,407.46	-
UBI901069 Total	FENTON RIVER EQUATIC STUDY	513,564.18	-	-	-	-	-	-	-	115,544.14	174,983.63	223,036.41
UBI901071 Total	AG-BIOTECH INCUBATOR SPACE	185,168.93	-	-	-	-	-	-	-	-	17,579.12	167,589.81
UBI901072 Total	PRESIDENT'S RESIDENCE RENOV-HOUSE #133	522,881.67	-	-	-	-	-	-	-	46,753.38	51,926.69	424,201.60
UBI901074 Total	REPAIR BUS SHELTER ROOF	11,283.40	-	-	-	-	-	-	-	10,393.19	890.21	-
UBI901075 Total	RENOVATE SPACE FOR CHIP	230,350.00	-	-	-	-	-	-	-	230,350.00	-	-
UBI901076 Total	DAIRY BARN COMPLEX HISTORICAL ANALYSIS	437,012.72	-	-	-	-	-	-	-	5,132.73	3,400.00	428,479.99
UBI901077 Total	DM WORK CUE RENOVATIONS	4,095,766.43	-	-	-	-	-	-	-	1,408,661.39	1,186,650.88	1,500,454.16
UBI901078 Total	PUBLICATIONS BUILDING ROOF REPAIR	139,494.00	-	-	-	-	-	-	-	8,896.06	130,597.94	-
UBI901079 Total	LAW SCHOOL UNDERGROUND TANK REMOVAL	68,180.97	-	-	-	-	-	-	-	-	68,180.97	-
UBI901081 Total	SCH. OF BUSINESS MASONRY INVESTIGATION	9,550.65	-	-	-	-	-	-	-	-	9,550.65	-
¹ UBI901082 Total	CHICKEN COOP FACILITY NEW	-	-	-	-	-	-	-	-	-	385,906.10	(385,906.10)
UBI901083 Total	LAW SCHOOL LIBRARY LEAK INVESTIGATION	275,028.81	-	-	-	-	-	-	-	-	232,511.11	42,517.70
UBI901085 Total	LS KNIGHT 115 HIGH TECH CLASSROOM	381,058.14	-	-	-	-	-	-	-	-	341,416.04	39,642.10
UBI901086 Total	DEFERRED MAINTENANCE WORK-GENTRY	5,345,200.91	-	-	-	-	-	-	-	27,777.09	1,963,822.39	3,353,601.43
¹ UBI901087 Total	BIOLOGY/PHYSICS LAB RENOVATIONS	-	-	-	-	-	-	-	-	153,981.73	(153,981.73)	-
UBI901088 Total	SIDEWALK ALONG SEPARATIST ROAD	-	-	-	-	-	-	-	-	-	-	-
UBI901089 Total	PAINT EXTERIOR DAIRY BARN	8,030.05	-	-	-	-	-	-	-	-	8,030.05	-
UBI901090 Total	STAMFORD PARKING GARAGE	-	-	-	-	-	-	-	-	-	-	-
UBI901091 Total	STADIUM ROAD CURB CUTS & RAMPS	3,011.27	-	-	-	-	-	-	-	-	3,011.27	-
UBI901092 Total	MODULAR WASTE STORAGE FACILITY	62,257.10	-	-	-	-	-	-	-	-	62,257.10	-
UBI901093 Total	MASTER PLAN UPDATE 2004	42,267.08	-	-	-	-	-	-	-	-	-	42,267.08
UBI901094 Total	HVAC SYSTEM EVAL. AND REPAIR-GANT	18,050.14	-	-	-	-	-	-	-	-	17,141.34	908.80
UBI901095 Total	SCHOOL OF SOCIAL WORK ROOF REPAIRS	9,953.30	-	-	-	-	-	-	-	-	9,953.30	-
UBI901096 Total	RCR 90-DAY STORAGE FACILITY SITING STUDY	46,267.24	-	-	-	-	-	-	-	-	19,187.35	27,079.89

SCHEDULE 2

PROJ NUMBER	DESCRIPTION	TOTAL EXPENDED	EXPENDED 96	EXPENDED 97	EXPENDED 98	EXPENDED 99	EXPENDED 00	EXPENDED 01	EXPENDED 02	EXPENDED 03	EXPENDED 04	EXPENDED 05
UBI901097 Total	WATERBURY PROPERTY TRANSFER	136,297.29	-	-	-	-	-	-	-	-	111,355.10	24,942.19
UBI901098 Total	BUDDS BUILDING ROOF REPAIR	291,431.31	-	-	-	-	-	-	-	-	-	291,431.31
UBI901099 Total	ASSESSMENT OPTIONS FOR TROUT BROOK	2,597.17	-	-	-	-	-	-	-	-	2,597.17	-
UBI901100 Total	REMOVE REGULATED WASTE AT KNIGHT HOSP.	11,320.59	-	-	-	-	-	-	-	-	11,320.59	-
UBI901101 Total	LANDSCAPE DEV. STUDENT UNION QUADRANGLE	84,377.73	-	-	-	-	-	-	-	-	-	84,377.73
UBI901103 Total	W.B. YOUNG ELEVATOR REPAIR	94,512.00	-	-	-	-	-	-	-	-	94,512.00	-
UBI901104 Total	WILBUR CROSS & GANT FACADE INVESTIGATION	41,899.20	-	-	-	-	-	-	-	-	24,120.40	17,778.80
UBI901107 Total	MOLD CONSULTING SERVICES	43,788.17	-	-	-	-	-	-	-	-	43,788.17	-
UBI901108 Total	HAWLEY ARMORY BLDG ENVELOP INVESTIGATION	39,927.21	-	-	-	-	-	-	-	-	-	39,927.21
UBI901109 Total	BUDDS RENOV ATTORNEY GENERAL OFFICE	359,873.78	-	-	-	-	-	-	-	-	19,571.87	340,301.91
UBI901110 Total	WEST HARTFORD CAMPUS REPAIR PARKING LOTS	-	-	-	-	-	-	-	-	-	-	-
UBI901111 Total	GANT BLDG RENOVATION OF PB 39	464,609.94	-	-	-	-	-	-	-	-	119.98	464,489.96
UBI901112 Total	PUBLIC SAFETY DISPATCH CTR ALTERATIONS	449,837.27	-	-	-	-	-	-	-	-	-	449,837.27
UBI901113 Total	WATER & WASTEWATER PROCUREMENT ASSIST	27,887.84	-	-	-	-	-	-	-	-	26,668.73	1,219.11
UBI901114 Total	CENTER FOR VACCINE RESEARCH	1,655.96	-	-	-	-	-	-	-	-	1,655.96	-
UBI901115 Total	WEST CAMPUS DORMS FIRE SPRINKLERS	-	-	-	-	-	-	-	-	-	-	-
UBI901116 Total	SWAN AND MIRROR LAKE AQUATIC MGMT	3,600.00	-	-	-	-	-	-	-	-	-	3,600.00
UBI901117 Total	NORTH HAVEN EXTENSION CENTER-ROOF REPAIR	18,085.62	-	-	-	-	-	-	-	-	-	18,085.62
UBI901118 Total	SPCC IMPLEMENTATION	814,214.95	-	-	-	-	-	-	-	-	672,288.27	141,926.68
UBI901119 Total	PLANT SCIENCE GREENHOUSE STRUCTURAL	10,348.87	-	-	-	-	-	-	-	-	-	10,348.87
UBI901121 Total	W. HTFD LIBRARY BLDG. ROOF REPAIR	4,495.00	-	-	-	-	-	-	-	-	-	4,495.00
UBI901123 Total	ENVIRONMENTAL POLICY OFFICE MOVE	13,326.53	-	-	-	-	-	-	-	-	13,326.53	-
UBI901125 Total	WILBUR CROSS MASONRY CONDITION	124,541.52	-	-	-	-	-	-	-	-	-	124,541.52
UBI901127 Total	HUSKY VILLAGE LANDSCAPE DEVELOPMENT	7,209.61	-	-	-	-	-	-	-	-	887.40	6,322.21
UBI901128 Total	WATER DISTRIBUTION SYSTEM MODEL	56,213.77	-	-	-	-	-	-	-	-	-	56,213.77
UBI901131 Total	HARTRANFT HALL ELEVATOR	-	-	-	-	-	-	-	-	-	-	-
UBI901138 Total	MUSIC & DRAMA ADA IMPROVEMENTS	8,841.85	-	-	-	-	-	-	-	-	-	8,841.85
UBI901139 Total	MECH ENG SUPPORT-ACADEMIC RENOVATIONS	-	-	-	-	-	-	-	-	-	-	-
UBI901140 Total	MEMORIAL STADIUM DEMOLITION	20,788.50	-	-	-	-	-	-	-	-	-	20,788.50
UBI901142 Total	JONES BLDG-ROOM 229 RENOVATIONS	7,990.75	-	-	-	-	-	-	-	-	-	7,990.75
UBI901143 Total	STAMFORD FACILITY CONDITION ASSESSMENT	-	-	-	-	-	-	-	-	-	-	-
UBI901144 Total	WEST HARTFORD LIBRARY ELEVATOR	58,351.82	-	-	-	-	-	-	-	-	-	58,351.82
UBI901145 Total	WILBUR CROSS ACOUSTICAL CONSULTING	1,875.90	-	-	-	-	-	-	-	-	-	1,875.90
UBI901146 Total	ASBESTOS,TESTING,MONITORING/MOLD ABATE	639,812.79	-	-	-	-	-	-	-	-	-	639,812.79
UBI901147 Total	TESTING AND INSPECTION SERVICES	331.00	-	-	-	-	-	-	-	-	-	331.00
UBI901148 Total	EXCAVATING AND MECHANICAL IMPROVEMENTS	412,775.42	-	-	-	-	-	-	-	-	-	412,775.42
UBI901149 Total	MATH SCIENCE HVAC SYSTEM UPGRADE	200,000.00	-	-	-	-	-	-	-	-	-	200,000.00
UBI901151 Total	WILBUR CROSS - INSTALL DRAPES	9,950.00	-	-	-	-	-	-	-	-	-	9,950.00
UBI901152 Total	HALL DORM-STUDENT SENATE MECHANICALS	43,810.70	-	-	-	-	-	-	-	-	-	43,810.70
UBI901154 Total	NORTH HILLSIDE ROAD PARKING LOT	61,436.48	-	-	-	-	-	-	-	-	-	61,436.48
UBI901155 Total	MONTEITH ROOF REPAIR	-	-	-	-	-	-	-	-	-	-	-
UBIDH894 Total	LAW LIBRARY RELOCATION	73,414.00	-	73,414.00	-	-	-	-	-	-	-	-
UBII90060 Total	FACILITIES MODULAR BUILDING SITE & UTIL	13,704.47	-	13,704.47	-	-	-	-	-	-	-	-
UC200933 Total	HEIFER BARN-NEW	382,629.38	-	-	-	305,057.21	77,572.17	-	-	-	-	-
UC200958 Total	HADDAM EXT. CENTER MISC. REPAIRS	44,713.51	-	-	-	-	-	44,713.51	-	-	-	-
UC200981 Total	PFIZER SITE PREPARATION	37,838.37	-	-	32,047.39	5,790.98	-	-	-	-	-	-
UC200993 Total	PFIZER VACCINE RESEARCH BUILDING	18,046.72	-	-	-	-	18,046.72	-	-	-	-	-
UC201014 Total	VISITOR CTR	830,422.79	-	-	-	-	-	830,422.79	-	-	-	-
UC201014F Total	VISITOR CTR	91,541.81	-	-	-	-	-	-	51,131.98	43,057.32	(2,647.49)	-
UC201032 Total	COMPUTER LAB RENOV. TO COMMUNITY CTR.	2,262,995.40	-	-	-	-	-	1,428,228.80	608,310.30	114,774.65	111,681.65	-
UC201040 Total	NEW POLO ARENA	381,758.88	-	-	-	-	-	131,337.37	259,134.82	-	(8,713.31)	-
UC201041 Total	CLASSROOM MULTIMEDIA EQUIPMENT	4,941.30	-	-	-	4,941.30	-	-	-	-	-	-
UC201075 Total	ANIMAL SCIENCE-CONFERENCE ROOM (YOUNG)	7,073.86	-	-	-	3,615.03	3,540.53	(81.70)	-	-	-	-
UC201081 Total	UCONNECT-WHETTEN GRAD CTR RENOVATIONS	40,984.03	-	-	-	-	34,727.03	786.00	5,471.00	-	-	-
UC201093 Total	CAGE WASHER RM RENOV. PSYCHOLOGY BLDG	148,136.39	-	-	-	-	-	138,899.61	9,236.78	-	-	-
UC201103 Total	JORGENSEN WINCH & RIGGING MODIFICATIONS	817.95	-	-	-	-	12,609.80	393.50	(12,185.35)	-	-	-
UC201149 Total	IMS BUILDING DUCT ENCLOSURE	46,545.73	-	-	-	-	-	46,545.73	-	-	-	-
UC201207 Total	FINE ARTS-NEW THRUST THEATER	3,627,594.83	-	-	-	-	-	-	-	-	1,977,068.15	1,650,526.68
UC201210 Total	CAMPUS CORE SEAL REPAIR	118,738.63	-	-	-	-	-	-	-	-	75,195.06	43,543.57
UC201232 Total	GAMPEL BASEMENT LEVEL RENOVATIONS	2,000,000.00	-	-	-	-	-	-	-	2,005,900.79	(5,900.79)	-
UC201234 Total	CO-OP FIT OUT	79,956.02	-	-	-	-	-	-	36,486.80	100,288.44	-	(56,819.22)
UC201255F Total	GULLEY HALL AIR CONDITIONING	43,210.29	-	-	-	-	-	-	-	43,210.29	-	-
UC201264 Total	SPCC IMPLEMENTATION	9,771.46	-	-	-	-	-	-	-	9,771.46	-	-
UC201283 Total	FINE ARTS PHASE II	159,513.49	-	-	-	-	-	-	-	-	-	159,513.49
UC201343 Total	CANTINO LAB RENOVATION REIMBURSEMENT	7,274.24	-	-	-	-	-	-	-	-	-	7,274.24
UCEPI LEG Total	UCEPI LEGAL ADMIN	27,432.71	-	-	-	16,546.53	10,886.18	-	-	-	-	-
² CLEARING Total	DEFERRED MAINTENANCE CLEARING ACCT	(22,967.99)	-	-	-	(22,967.99)	-	-	-	-	-	-
CONTRACT Total	GORDON MUIR	97,359.52	56,334.50	181,760.01	146,637.57	(409,288.93)	65,597.81	(30,441.81)	5,567.77	45,970.00	21,560.60	13,662.00

SCHEDULE 2

PROJ NUMBER	DESCRIPTION	TOTAL EXPENDED	EXPENDED 96	EXPENDED 97	EXPENDED 98	EXPENDED 99	EXPENDED 00	EXPENDED 01	EXPENDED 02	EXPENDED 03	EXPENDED 04	EXPENDED 05
DEF MAINT Total	DEFERRED MAINTENANCE - PHASE III	-	-	-	-	-	-	-	-	-	-	-
DM BUDGET Total	DM BUDGET CONTROL ACCOUNT	0.00	-	-	-	-	-	696.00	(21,135.69)	(7,607.51)	-	28,047.20
DM/TL BUD Total	TASK LABOR OVERAGE	8,244.50	-	-	-	-	-	-	-	-	-	8,244.50
DORM SPRI Total	DORM SPRINKLERS PHASE IA	-	-	-	-	-	-	-	-	-	-	-
L1365 Total	TORREY LIFE SCI-RM 286 A/C REPLACEMENT	776.14	-	-	-	-	-	-	-	776.14	-	-
L98826 Total	EEB TLS 383/383A	600.72	-	-	600.72	-	-	-	-	-	-	-
MASTER PL Total	JOHNSON JOHNSON & ROY/SVIGALS ASSOC	836,875.46	-	310,883.23	41,429.68	269,260.70	215,301.85	-	-	-	-	-
MGMT REV Total	MANAGEMENT REVIEW	34,899.47	-	86,094.81	(2,658.10)	(83,436.71)	-	34,899.47	-	-	-	-
MTS IMPRO Total	MTS IMPROVEMENTS	-	-	-	-	-	-	-	-	-	-	-
OCIP EXPE Total	OWNER CONTROLLED INSURANCE PROGRAM	0.00	-	953.83	(423.83)	(530.00)	-	-	-	-	-	-
OCIP PREM Total	OWNER CONTROLLED INSURANCE PROGRAM	1,993,829.71	-	1,365,832.59	134,631.88	(1,451,278.47)	1,600,819.38	(1,082,432.03)	(23,704.70)	(723,627.49)	179,758.84	1,993,829.71
PAYROLL B Total	TO BUDGET PAYROLL ALLOCATIONS TO PROJ	-	-	-	-	-	-	-	-	-	-	-
PROJ LABO Total	HALLORAN & SAGE	39,003.63	-	27,792.73	(7,775.48)	18,986.38	-	-	-	-	-	-
RISK MGMT Total	CARMODY & TORRENCE	1,104.65	12,291.30	16,843.13	10,063.31	(39,197.74)	210.00	894.65	-	-	-	-
TL Total	ACADEMIC RENOVATIONS (TL VARIOUS PROJECTS)	5,169,762.14	-	422,355.86	1,218,718.68	1,028,298.86	667,583.03	290,642.95	621,036.22	654,549.98	193,355.42	73,221.14
TOTAL EXPENDITURES		\$ 171,191,651.68	\$ 1,420,127.97	\$ 14,154,228.27	\$ 12,246,321.32	\$ 18,037,696.87	\$ 15,641,050.41	\$ 16,255,971.26	\$ 22,577,978.19	\$ 28,592,477.50	\$ 16,410,065.11	\$ 25,855,734.78

¹ Expenditures recoded to UCONN 2000 named projects (DSC/Revenue Bonds) or State GO Bonds.

² Excess credits applied; to be reconciled.

³ Expenses recoded from Pharmacy project per BOT approval 6/05.

⁴ Expenses recoded to School of Business project per BOT approval 6/05.

Notes: Pending ongoing reconciliations, some named project expenditures are currently coded to Deferred Maintenance. Also, depending on the start and duration of a project, expenditures could occur over multiple years.

SCHEDULE 1

DRAFT
 UNIVERSITY OF CONNECTICUT
 UNAUDITED PROJECTS THROUGH FISCAL YEAR 2006
 AS OF 3/22/06 (Does not include DM projects)

Project Name	Project Number(s)	Total Actual Expenditures (As of 9/30/05)	Estimated Completion Date	Construction Contractor	Delivery Method
PROJECTS THROUGH FY 2004:					
Alumni Quadrant Renovations	900458	\$ 18,239,795	4/03	Whiting Turner	CMAR
Benton State Art Museum Addition (Completion)	900831	2,322,839	1/04	Gilbane	CMAR
Business School Renovation - Phase II	900704	10,918,974	1/04	Gilbane	CMAR
East Campus North Renovations	900455	8,671,574	8/02	Whiting Turner	CMAR
Gentry Renovation & Completion	900701	10,437,395	approx. 5/04	Gilbane	CMAR
Grad Dorm Renovations	900460	2,594,672	8/03	Whiting Turner	CMAR
Mansfield Training School Improvements - Phase II & III (2 largest projects - \$2.7M)	900442	3,472,487	9/02 & 10/02	MCC/Konover/Others	PRIMARILY DBB
North Campus Renovation	900452	13,694,548	9/03	Whiting Turner	CMAR
North Campus Renovation (including North Campus Student Suites & Apts)	900957	44,909,034	8/03	JPI	DB
North Superblock Site & Utilities	900333	7,668,000	5/99	All State Boiler	DBB
Shippee/Buckley Renovations	901002	11,920,000	8/02	Whiting Turner	CMAR
Technology Quadrant - Phase IA	900210D	70,529,321	2/03	Turner	DBB
Technology Quadrant-Phase II	900331	34,137,454	6/03	O & G Industries	CMAR
Towers Renovation	900454/201248	25,868,732	8/03	Whiting Turner	CMAR
Towers Renovation (including Greek Housing)	900885	14,650,380	8/03	Capstone	DB
Underground Steam & Water Upgrade & Completion - Phase I & II	900515	12,000,000	2/02	All State Boiler	DBB
PROJECTS SUBSTANTIALLY COMPLETED DURING FY 2005:					
Residential Life Facilities (West Campus Fire Sprinkler Project Only)	901115	750,000	8/04	Whiting -Turner	CMAR
School of Pharmacy/Biology Completion	900330	89,128,681	6/05	Gilbane	CMAR
West Campus Renovations	900457	395,557	9/04	Whiting -Turner	DBB
PROJECTS SUBSTANTIALLY COMPLETED DURING FY 2006 (ESTIMATED):					
Farm Buildings Repairs/Replacement (Chicken Coop)	901076/901082	1,986,814	approx.8/05	Kronenberger & Sons	DBB
Heating Plant Upgrade (a.k.a. Cogeneration Chiller Facility)	900886	64,641,826	1/06	ect Energy/URS Enginee	DB
Law School Renovations/Improvements (Hartranft Hall elevator)	901131	456,534	6/06	Kronenberger & Sons	DBB
Student Union Addition - Phase II & III	900576	50,407,905	6/06	Konover	CMAR
Hilltop Apartments - Corrective Action Plan	201315	10,428,432.00	8/05	Dimeo Construction	CMAR

Note: The above list included certain projects representing separate budgeted parts under the named project line, e.g. Chicken Coop under Farm Buildings Repair. Any such projects with anticipated costs of \$500K as of the end of the fiscal year are included in the scope even though the entire named project funds are not spent.

ATTACHMENT E

UNIVERSITY OF CONNECTICUT			
VENDOR LIST FOR UNAUDITED PROJECTS			
THROUGH FISCAL YEAR 2006			
AS OF 3-22-06 (DOES NOT INCLUDE DM PROJECTS)			
PO NO	VENDOR	PROJ ID	PROJ NAME
226822-C	BECHTEL/FUSCO - 201248	201248	TOWERS RENO
316154-P	CONNECTICUT ELECTRIC EQUIPMENT - 201248	201248	TOWERS RENO
411442-C	Eastern Materials Testing - 201248	201248	TOWERS RENO
315738-C	F I P - 201248	201248	TOWERS RENO
222958-c	Gordon Muir & Foley	201248	TOWERS RENO
218311-C	HALEY AND ALDRICH - 900454	201248	TOWERS RENO
127246-C	HALEY AND ALDRICH, INC. - 201248	201248	TOWERS RENO
515761-C	JPI APARTMENT DEVELOPMENT -	201248	TOWERS RENO
220428-C	Livermore, Edwards and Assoc. - 900454	201248	TOWERS RENO
210176-C	LIVERMORE, EDWARDS AND ASSOCIATES-900454	201248	TOWERS RENO
327565-P	Mercury Cabling - 201248	201248	TOWERS RENO
311445-C	Special Testing Laboratories - 201248	201248	TOWERS RENO
324147-C	UConnect - 201248	201248	TOWERS RENO
325363-C	Uconnect - 201248	201248	TOWERS RENO
325880-C	Uconnect - 201248	201248	TOWERS RENO
210261-C	URS CORPORATION - 900454	201248	TOWERS RENO
225333-C	Whiting Turner Contracting Co. - 201248	201248	TOWERS RENO
513722-C	Aerial Lighting & Electric - 900458	201315	HILLTOP APTS CORRECTIVE
517999-C	AZ Corporation - 201315	201315	HILLTOP APTS CORRECTIVE
315812-C	BVH INTEGRATED SERVICES - 201315	201315	HILLTOP APTS CORRECTIVE
417359-C	Camp Dresser & McKee Inc. - 201315	201315	HILLTOP APTS CORRECTIVE
512981-C	Connecticut Natural Gas - 900454	201315	HILLTOP APTS CORRECTIVE
522853-C	Dimeo - 201315	201315	HILLTOP APTS CORRECTIVE
P201315_SHP	Fed Ex - 201315	201315	HILLTOP APTS CORRECTIVE
592006-D	Fly on the Wall Productions - 201315	201315	HILLTOP APTS CORRECTIVE
514358-C	Gilbane - 201315	201315	HILLTOP APTS CORRECTIVE
514760-C	Gordon Muir & Foley	201315	HILLTOP APTS CORRECTIVE
513332-C	Independent Materials Testing - 900576	201315	HILLTOP APTS CORRECTIVE
618689-C	MCC Construction	201315	HILLTOP APTS CORRECTIVE
590000-D	Nationwide Moving & Storage - 201315	201315	HILLTOP APTS CORRECTIVE

ATTACHMENT E

PO_NO	VENDOR	PROJ_ID	PROJ_NAME
517436-C	Pierz Associates- 201315	201315	HILLTOP APTS CORRECTIVE
523348-C	UConnect - 201315	201315	HILLTOP APTS CORRECTIVE
616249-C	URS Corp - 201315	201315	HILLTOP APTS CORRECTIVE
316145-C	URS CORPORATION - 201315	201315	HILLTOP APTS CORRECTIVE
525624-C	Alert Scientific - 900330	900330	PHARMACY
519477-P	Animal Care Systems - 900330	900330	PHARMACY
525469-C	Applied Biosystems Inc. - 900330	900330	PHARMACY
524798-C	B & V Testing - 900330	900330	PHARMACY
320755-D	B and V Testing - 900330	900330	PHARMACY
019445-C	BECHTEL FUSCO - 900330	900330	PHARMACY
318832-C	Bechtel Fusco - 900330	900330	PHARMACY
TV371105	Billing	900330	PHARMACY
321363-D	Biorad Laboratories - 900330	900330	PHARMACY
516355-P	BKM - 900330	900330	PHARMACY
519659-P	BKM - 900330	900330	PHARMACY
521275-P	BKM - 900330	900330	PHARMACY
210262-C	BVH ENGINEERS - 900330	900330	PHARMACY
P901093_CAT	Catering - 901093	900330	PHARMACY
610521-P	Clean Harbors - 900330	900330	PHARMACY
60931925-P	CONKLIN AND SOROKA - 900330	900330	PHARMACY
210167-C	Conklin and Soroka Inc. - 900330	900330	PHARMACY
320763-D	Connecticut Light & Power - 900330	900330	PHARMACY
60926082-P	Davis Brody Bond LLP - 900330	900330	PHARMACY
212953-D	DELL - 900330	900330	PHARMACY
39875_TI	Department of Environmental Protection	900330	PHARMACY
P900330_CO17	Department of Public Safety - 900330	900330	PHARMACY
114770-C	EARTH TECH - 900330	900330	PHARMACY
317845-C	Earth Tech - 900980	900330	PHARMACY
319204-C	Eastern Materials Testing - 900330	900330	PHARMACY
414970-C	Enviromed Services Inc. - 900330	900330	PHARMACY
322057-C	EnviroScience - 900330	900330	PHARMACY
210257-C	EnviroScience Consultants - 900330	900330	PHARMACY
321418-P	Fisher Scientific - 900330	900330	PHARMACY
321588-P	Fisher Scientific - 900330	900330	PHARMACY
610978-C	Getinge USA Inc - 900330	900330	PHARMACY

ATTACHMENT E

PO_NO	VENDOR	PROJ_ID	PROJ_NAME
218229-C	Gilbane - 900330	900330	PHARMACY
523439-C	Gilbane Bldg. - 900330	900330	PHARMACY
310804-C	Gilbane Building Company - 900330	900330	PHARMACY
P900330_LGL	Gordon Muir Foley, Carmody Torrance Etc.	900330	PHARMACY
327514-P	Grainger - 900330	900330	PHARMACY
614782-C	Grason - Stadler - 900330	900330	PHARMACY
318550-C	Haley & Aldrich - 900330	900330	PHARMACY
323254-C	Hygienetics Enviro - 900330	900330	PHARMACY
321410-D	Insalco - 900330	900330	PHARMACY
519661-P	Insalco Corp - 900330	900330	PHARMACY
618089-D	Insalco Corp - 900330	900330	PHARMACY
319663-C	ISES CORPORATION -900330	900330	PHARMACY
525470-C	Jasco Inc. - 900330	900330	PHARMACY
510545-C	JJR, LLC - 901093	900330	PHARMACY
616655-C	Leica Microsystems - 900330	900330	PHARMACY
524463-C	Minus-Eleven, Inc. - 900330	900330	PHARMACY
524464-C	Multi-Tech Services - 900330	900330	PHARMACY
522765-P	Nationwide - 900330	900330	PHARMACY
319981-P	Nationwide Moving and Storage - 900330	900330	PHARMACY
127478-P	OCE' ENGINEERING SYSTEMS - 900330	900330	PHARMACY
128124-D	OCE' ENGINEERING SYSTEMS - 900330	900330	PHARMACY
616633-C	Parker X-Ray Solutions Services Inc.	900330	PHARMACY
616632-C	Perkin Elmer - 900330	900330	PHARMACY
JV_02078	PETCO - 900719	900330	PHARMACY
321706-C	PETCO INSULATION CO. INC. - 900330	900330	PHARMACY
319439-P	Radiation Safety Associates - 900330	900330	PHARMACY
321500-D	Sears - 900330	900330	PHARMACY
110413-C	Simpson Gumpertz & Heger - 900330	900330	PHARMACY
411720-C	Smith Group JJR - 901093	900330	PHARMACY
P900330_TL	Task Labor - 900330	900330	PHARMACY
521135-P	The Baker Co - 900330	900330	PHARMACY
P900330_ADV	THE CHRONICLE - 900330	900330	PHARMACY
P900330_TRAV	Travel	900330	PHARMACY
P900330	TRAVEL AUTHORIZATION - 900330	900330	PHARMACY
320752-P	TRIUMVIRATE ENVIRONMENTAL INC - 900330	900330	PHARMACY
320321-C	UConnect - 900330	900330	PHARMACY
323473-C	UConnect - 900330	900330	PHARMACY

ATTACHMENT E

PO_NO	VENDOR	PROJ_ID	PROJ_NAME
321364-D	USA Scientific - 900330	900330	PHARMACY
CO17_AA	Alan Auerbach - 900331	900331	TECH QUAD PHASE II
CO17_AS	Alison Sky - 900331	900331	TECH QUAD PHASE II
CO17_BG	Barbara Grygatis - 900331	900331	TECH QUAD PHASE II
215523-C	BECHTEL/FUSCO - 900331	900331	TECH QUAD PHASE II
321298-P	BKM Total Office - 900331	900331	TECH QUAD PHASE II
326004-D	Builders Hardware - 900331	900331	TECH QUAD PHASE II
114981-C	BURT HILL KOSAR RITTELMANN - 900331	900331	TECH QUAD PHASE II
210262-C	BVH ENGINEERS - 900331	900331	TECH QUAD PHASE II
00462664	CAPITAL PROJECT/CONTRACT ADMIN - 900331	900331	TECH QUAD PHASE II
318111-W	Connecticut Electric Equip. - 900331	900331	TECH QUAD PHASE II
321709-C	Connecticut Electric Equipment	900331	TECH QUAD PHASE II
CO17_CM	Cork Marcheschi - 900331	900331	TECH QUAD PHASE II
212953-D	DELL - 900331	900331	TECH QUAD PHASE II
TI00039925	DEPARTMENT OF PUBLIC SAFETY - 900331	900331	TECH QUAD PHASE II
219868-C	Eastern Materials Testing Laboratory	900331	TECH QUAD PHASE II
114199-C	GIBBLE NORDEN CHAMPION - 900331	900331	TECH QUAD PHASE II
222958-c	Gordon Muir & Foley	900331	TECH QUAD PHASE II
P900331_LGL	Gordon Muir Foley, Carmody Torrance Etc.	900331	TECH QUAD PHASE II
114035-C	HALEY AND ALDRICH - 900331	900331	TECH QUAD PHASE II
127246-C	HALEY AND ALDRICH, INC. - 900331	900331	TECH QUAD PHASE II
410147-P	HB Communications - 900331	900331	TECH QUAD PHASE II
418945-P	Ilan Averbuch - 900331	900331	TECH QUAD PHASE II
319663-C	ISES CORPORATION -900331	900331	TECH QUAD PHASE II
P900331_LOCK	Locksmith - 900331	900331	TECH QUAD PHASE II
015910-P	MATERIALS TESTING - 900331	900331	TECH QUAD PHASE II
327504-D	Micro Warehouse - 900331	900331	TECH QUAD PHASE II
317495-P	Micros-to-mainframes Inc.	900331	TECH QUAD PHASE II
324942-P	Nationwide Moving and Storage - 900331	900331	TECH QUAD PHASE II
522537-C	O & G Industries Inc.	900331	TECH QUAD PHASE II
218205-C	O and G Industries - 900331	900331	TECH QUAD PHASE II
127478-P	OCE' ENGINEERING SYSTEMS - 900331	900331	TECH QUAD PHASE II
128124-D	OCE' ENGINEERING SYSTEMS - 900331	900331	TECH QUAD PHASE II
836077_CO17	Shan Shan Shent - 900331	900331	TECH QUAD PHASE II
110413-C	Simpson, Gumpertz and Heger - 900331	900331	TECH QUAD PHASE II

ATTACHMENT E

PO_NO	VENDOR	PROJ_ID	PROJ_NAME
836104_CO17	Sukran Aziz - 900331	900331	TECH QUAD PHASE II
836103_CO17	Susan Kapron - 900331	900331	TECH QUAD PHASE II
836076_CO17	Terry Wells - 900331	900331	TECH QUAD PHASE II
836105_CO17	Terry Wells - 900331	900331	TECH QUAD PHASE II
326302-C	Uconnect - 900331	900331	TECH QUAD PHASE II
016142-P	URS GREINER INC - 900331	900331	TECH QUAD PHASE II
P900333_LGL	Gordon Muir Foley, Carmody Torrance Etc.	900333	NORTH SUPERBLOCK
60930866-P	BARNHART JOHNSON FRANCIS & WILD -900452	900452	NORTH CAMPUS RENO
022183-C	BECHTEL / FUSCO - 900452	900452	NORTH CAMPUS RENO
019444-C	BECHTEL FUSCO - 900452	900452	NORTH CAMPUS RENO
312476-P	Clean Harbors - 900452	900452	NORTH CAMPUS RENO
318551-C	Du Bose Associates - 900452	900452	NORTH CAMPUS RENO
227697-C	Eastern Materials Testing - Jaworski Geo	900452	NORTH CAMPUS RENO
210257-C	EnviroScience Consultants - 900957	900452	NORTH CAMPUS RENO
015907-P	INDEPENDENT MATERIALS TESTING - 900452	900452	NORTH CAMPUS RENO
114215-D	SIEMENS BUILDING TECHNOLOGIES - 900452	900452	NORTH CAMPUS RENO
414662-C	Uconnect - 900452	900452	NORTH CAMPUS RENO
P900452_TEL	UCONNECT - 900452	900452	NORTH CAMPUS RENO
025352-C	WHITING AND TURNER - 900940	900452	NORTH CAMPUS RENO
025640-C	Whiting Turner - 900452	900452	NORTH CAMPUS RENO
022255-C	WHITING TURNER - 900929	900452	NORTH CAMPUS RENO
310928-C	Whiting Turner Construction - 900452	900452	NORTH CAMPUS RENO
410611-C	Whiting Turner Contracting co. - 900452	900452	NORTH CAMPUS RENO
128730-C	Barnhart Johnson Francis & Wild - 900454	900454	TOWERS RENO
316278-C	Capstone - 900885	900454	TOWERS RENO
316205-P	CONNECTICUT ELECTRIC EQUIPMENT - 900454	900454	TOWERS RENO
323117-C	CT Electric Equipment - 900454	900454	TOWERS RENO
320737-C	Eastern Materials Testing - 900454	900454	TOWERS RENO
513209-P	Emcor Services New England Mechanical	900454	TOWERS RENO
322057-C	EnviroScience - 900892	900454	TOWERS RENO
315738-C	F I P	900454	TOWERS RENO

ATTACHMENT E

PO_NO	VENDOR	PROJ_ID	PROJ_NAME
316143-C	GEI CONSULTANTS INC - 900576	900454	TOWERS RENO
422139-C	HERBERT S. NEWMAN & PARTNERS - 900454	900454	TOWERS RENO
515761-C	JPI APARTMENT DEVELOPMENT -	900454	TOWERS RENO
118193-C	Lenard Engineering - 900454	900454	TOWERS RENO
316146-C	LENARD ENGINEERING INC. - 901111	900454	TOWERS RENO
325415-P	Nationwide Moving and Storage - 900454	900454	TOWERS RENO
422148-C	Occupational Risk Control Svcs. - 900454	900454	TOWERS RENO
410534-C	Special Testing Laboratories Inc. - 9004	900454	TOWERS RENO
320318-C	Uconnect - 900454	900454	TOWERS RENO
324150-C	UConnect - 900454	900454	TOWERS RENO
210261-C	URS Corporation - 900454	900454	TOWERS RENO
316145-C	URS CORPORATION - 900454	900454	TOWERS RENO
413669-C	VanZelm Heywood & Shadford - 201271	900454	TOWERS RENO
313277-C	Whiting Turner - 900454	900454	TOWERS RENO
414507-C	ATC - 901115	900455	EAST CAMPUS NORTH RENO
128730-C	Barnhart Johnson Francis & Wild - 900455	900455	EAST CAMPUS NORTH RENO
019449-C	BECHTEL FUSCO - 900455	900455	EAST CAMPUS NORTH RENO
318835-C	Bechtel/Fusco - 900455	900455	EAST CAMPUS NORTH RENO
410901-D	Communications Supply - 900455	900455	EAST CAMPUS NORTH RENO
JV02474_900455	ENVIROMED SERVICES - 900455	900455	EAST CAMPUS NORTH RENO
222117-C	Enviromed Services Inc. - 900455	900455	EAST CAMPUS NORTH RENO
225373-C	EnviroMed Services Inc. - 900455	900455	EAST CAMPUS NORTH RENO
322057-C	EnviroScience - 900455	900455	EAST CAMPUS NORTH RENO
P900455_PRN	Joseph Merritt	900455	EAST CAMPUS NORTH RENO
424358-C	MCC Construction - 901115	900455	EAST CAMPUS NORTH RENO
225826-D	Nationwide Moving and Storage	900455	EAST CAMPUS NORTH RENO
325414-P	Nationwide Moving and Storage	900455	EAST CAMPUS NORTH RENO
317846-C	SEA Consultants, Inc. - 900455	900455	EAST CAMPUS NORTH RENO
412850-C	SPECIAL TESTING LABORATORIES	900455	EAST CAMPUS NORTH RENO
325365-C	Uconnect - 900455	900455	EAST CAMPUS NORTH RENO
424841-C	UCONNECT - 900455	900455	EAST CAMPUS NORTH RENO
211282-C	Whiting - Turner Contracting - 900455	900455	EAST CAMPUS NORTH RENO
211284-C	Whiting - Turner Contracting - 901001	900455	EAST CAMPUS NORTH RENO
226301-C	Whiting Turner Contracting Co. - 900455	900455	EAST CAMPUS NORTH RENO

ATTACHMENT E

PO_NO	VENDOR	PROJ_ID	PROJ_NAME
515761-C	JPI APARTMENT DEVELOPMENT -	900457	WEST CAMPUS RENO
412850-C	SPECIAL TESTING LABORATORIES	900457	WEST CAMPUS RENO
410182-C	Whiting Turner - 900457	900457	WEST CAMPUS RENO
226601-C	BECHTEL/FUSCO - 900458	900458	ALUMNI QUAD RENO
320790-P	BKM - 900458	900458	ALUMNI QUAD RENO
415374-P	CLEAN HARBORS ENVIRONMENTAL	900458	ALUMNI QUAD RENO
60931925-P	CONKLIN AND SOROKA - 900458	900458	ALUMNI QUAD RENO
210167-C	Conklin and Soroka Inc. - 900458	900458	ALUMNI QUAD RENO
310588-C	Eastern Materials Test/Jaworski Geotech	900458	ALUMNI QUAD RENO
320442-D	Electronic Security & Control - 901075	900458	ALUMNI QUAD RENO
224421-C	Enviromed - 900458	900458	ALUMNI QUAD RENO
JV02746_900458	ENVIROMED SERVICES - 900458	900458	ALUMNI QUAD RENO
JV02474_900458	ENVIROMED SERVICES - 900458	900458	ALUMNI QUAD RENO
JV03420_900458	Enviromed Services - 900458	900458	ALUMNI QUAD RENO
225374-C	EnviroMed Services Inc. - 900458	900458	ALUMNI QUAD RENO
025582-C	G and C Construction Corp. - 900458	900458	ALUMNI QUAD RENO
P900458_LGL	Gordon Muir Foley, Carmody Torrance Etc.	900458	ALUMNI QUAD RENO
127246-C	HALEY AND ALDRICH, INC. - 900458	900458	ALUMNI QUAD RENO
128532-D	JOHNSTONE SUPPLY - 900458	900458	ALUMNI QUAD RENO
114203-C	MARTIN A BENASSI - 900773	900458	ALUMNI QUAD RENO
020807-C	MARTIN BENASSI - 900458	900458	ALUMNI QUAD RENO
016204-P	MCC CONSTRUCTION - 900458	900458	ALUMNI QUAD RENO
123503-P	Millar Elevator Service Co. - 900458	900458	ALUMNI QUAD RENO
123740-P	Millar Elevator Service Co. - 900458	900458	ALUMNI QUAD RENO
225784-P	Nationwide Moving and Storage -900458	900458	ALUMNI QUAD RENO
028509-C	PETCO INSULATION - 900458	900458	ALUMNI QUAD RENO
JV02159	Samp & Analyt Labs - 900458	900458	ALUMNI QUAD RENO
223364-D	Simplex - 900458	900458	ALUMNI QUAD RENO
320322-C	UCONNECT - 900458	900458	ALUMNI QUAD RENO
327304-C	Uconnect - 900458	900458	ALUMNI QUAD RENO
424461-C	Uconnect - 900458	900458	ALUMNI QUAD RENO
900458_uconnec	Uconnect - 900458	900458	ALUMNI QUAD RENO
P900458_TEL	UCONNECT - 900458	900458	ALUMNI QUAD RENO
320635-C	UConnect - 901075	900458	ALUMNI QUAD RENO

ATTACHMENT E

PO NO	VENDOR	PROJ ID	PROJ NAME
221383-C	Wank Adams Alavin and Associates	900458	ALUMNI QUAD RENO
221383-C	Wank Adams Alavin and Associates	900458	ALUMNI QUAD RENO
221383-C	Wank Adams Alavin and Associates	900458	ALUMNI QUAD RENO
222412-C	Whiting - Final Cost	900458	ALUMNI QUAD RENO
022255-C	WHITING TURNER - 900929	900458	ALUMNI QUAD RENO
211282-C	Whiting Turner Contracting Co. - 900458	900458	ALUMNI QUAD RENO
222412-C	Whiting-Turner Contracting Co. - 900458	900458	ALUMNI QUAD RENO
128730-C	Barnhart Johnson Francis & Wild - 900460	900460	GRAD DORM RENOS
410180-C	Whiting Turner - 900460	900460	GRAD DORM RENOS
60723493-P	Bechtel/ Fusco - 900515	900515	UNDERGROUND STEAM
P900515_LGL	Gordon Muir Foley, Carmody Torrance Etc.	900515	UNDERGROUND STEAM
027875-C	ALL STATE BOILER AND CONSTRUCTION-900576	900576	STUDENT UNION ADDITION
017249-D	BKM - 900576	900576	STUDENT UNION ADDITION
425660-P	BKM - 900576	900576	STUDENT UNION ADDITION
513300-D	BKM - 900576	900576	STUDENT UNION ADDITION
515232-P	BKM - 900576	900576	STUDENT UNION ADDITION
424130-P	Business Environs - 900576	900576	STUDENT UNION ADDITION
210262-C	BVH ENGINEERS - 900576	900576	STUDENT UNION ADDITION
021444-C	CANNON - 900576	900576	STUDENT UNION ADDITION
60923653-P	CANNON - 900576	900576	STUDENT UNION ADDITION
423946-D	CBS Bloom's Business Systems - 900576	900576	STUDENT UNION ADDITION
510677-C	Clifford B. Green & Sons Inc. - 900576	900576	STUDENT UNION ADDITION
60931924-P	CME ASSOCIATES - 900576	900576	STUDENT UNION ADDITION
128732-C	CME ASSOCIATES INC. - 900576	900576	STUDENT UNION ADDITION
621384-C	CONKLIN AND SOROKA - 900576	900576	STUDENT UNION ADDITION
TI00039862	DEPT OF ENVIRONMENTAL PROTECTION -900576	900576	STUDENT UNION ADDITION
836165	Dept. of Public Safety - 900576	900576	STUDENT UNION ADDITION
310593-C	Eastern Materials Test/Jaworski Geotech	900576	STUDENT UNION ADDITION
219923-C	Edwards and Kelcey Architectural - 90057	900576	STUDENT UNION ADDITION
513329-C	Enviromed Services - 900576	900576	STUDENT UNION ADDITION
JV03163_900576	Enviromed Services - 900576	900576	STUDENT UNION ADDITION

ATTACHMENT E

PO NO	VENDOR	PROJ ID	PROJ NAME
210168-C	EnviroMed Services Inc. - 900576	900576	STUDENT UNION ADDITION
414970-C	Enviromed Services Inc. - 900576	900576	STUDENT UNION ADDITION
128725-C	FUSS AND O'NEILL - 900576	900576	STUDENT UNION ADDITION
316143-C	GEI - 900576	900576	STUDENT UNION ADDITION
114226-C	GEI CONSULTANTS - 900576	900576	STUDENT UNION ADDITION
316143-C	GEI CONSULTANTS INC - 900576	900576	STUDENT UNION ADDITION
017360-C	GEI CONSULTANTS INC. - 900576	900576	STUDENT UNION ADDITION
222958-c	Gordon Muir & Foley	900576	STUDENT UNION ADDITION
514760-C	Gordon Muir & Foley - 900576	900576	STUDENT UNION ADDITION
P900576_LGL	Gordon Muir Foley, Carmody Torrance Etc.	900576	STUDENT UNION ADDITION
511753-D	HB Communications - 900576	900576	STUDENT UNION ADDITION
511938-P	HB Communications - 900576	900576	STUDENT UNION ADDITION
JV01851_900576	HYGIENETICS ENVIRONMENTAL - 900576	900576	STUDENT UNION ADDITION
513332-C	Independent Materials Testing Labs-	900576	STUDENT UNION ADDITION
012340-D	INDUSTRIAL SAFETY SUPPLY - 900576	900576	STUDENT UNION ADDITION
516096-P	Kittredge Equipment - 900576	900576	STUDENT UNION ADDITION
220578-C	Konover Construction	900576	STUDENT UNION ADDITION
116311-C	Konover Construction - 900711	900576	STUDENT UNION ADDITION
227119-C	KONOVER CONSTRUCTION CORP - 900576	900576	STUDENT UNION ADDITION
414506-C	Legnos & Cramer - 900576	900576	STUDENT UNION ADDITION
836107_CO17	Leila Daw - 900576	900576	STUDENT UNION ADDITION
220428-C	Livermore, Edwards and Assoc. - 900454	900576	STUDENT UNION ADDITION
012057-P	MCC CONSTRUCTION - 900576	900576	STUDENT UNION ADDITION
60921164-P	MCC Construction - 900576	900576	STUDENT UNION ADDITION
012788-P	MCC CONSTRUCTION - WA71	900576	STUDENT UNION ADDITION
511776-P	MD Stetson Co. Inc. - 900576	900576	STUDENT UNION ADDITION
114219-C	Michael Horton and Associates - 900576	900576	STUDENT UNION ADDITION
423640-D	Mity-Lite - 900576	900576	STUDENT UNION ADDITION
423828-P	Nationwide - 900576	900576	STUDENT UNION ADDITION
836117_CO17	Ned Kahn - 900576	900576	STUDENT UNION ADDITION
JV01189_900576	PETCO INSULATION - 900576	900576	STUDENT UNION ADDITION
836118_CO17	Pu Shu Wang - 900576	900576	STUDENT UNION ADDITION
836079_CO17	R. M. Fischer Artwork Inc. - 900576	900576	STUDENT UNION ADDITION
TV347055	Rainbow Center - 900576	900576	STUDENT UNION ADDITION
219879-C	Redicheck International Inc - 900576	900576	STUDENT UNION ADDITION
836106_CO17	Richard Kline - 900576	900576	STUDENT UNION ADDITION
513346-P	RM Fischer Artwork Inc. - 900576	900576	STUDENT UNION ADDITION

ATTACHMENT E

PO NO	VENDOR	PROJ ID	PROJ NAME
518205-D	Seating Concepts - 900576	900576	STUDENT UNION ADDITION
011854-P	SIMPLEX TIME RECORDER - 900576	900576	STUDENT UNION ADDITION
110413-C	Simpson Gumpertz & Heger - 900576	900576	STUDENT UNION ADDITION
411720-C	Smith Group JJR - 901093	900576	STUDENT UNION ADDITION
114028-C	SPIEGEL ZAMECNIK AND SHAH - 900576	900576	STUDENT UNION ADDITION
512984-C	TRC - 901146	900576	STUDENT UNION ADDITION
60931933-P	TRC COMPANIES, INC. - 900576	900576	STUDENT UNION ADDITION
415194-C	TRC Environmental - 900576	900576	STUDENT UNION ADDITION
326570-C	Uconnect - 900576	900576	STUDENT UNION ADDITION
P900576_TEL	UConnect - 900576	900576	STUDENT UNION ADDITION
511762-D	W W Grainger - 900576	900576	STUDENT UNION ADDITION
214338-D	WALCH AND COMPANY - 900576	900576	STUDENT UNION ADDITION
027875-C	ALL STATE BOILER AND CONSTRUCTION-900576	900701	GENTRY
128730-C	Barnhart Johnson Francis & Wild - 900701	900701	GENTRY
226604-C	BECHTEL/FUSCO - 900701	900701	GENTRY
325680-D	BKM - 900701	900701	GENTRY
414733-D	BKM - 900701	900701	GENTRY
420994-P	BKM - 900701	900701	GENTRY
424163-D	BKM - 900701	900701	GENTRY
425645-D	BKM Total Office - 900701	900701	GENTRY
210709-C	Cama Inc.	900701	GENTRY
P900701_DEIBLER	Cora Lyn Deibler - 900701	900701	GENTRY
212953-D	DELL - 900701	900701	GENTRY
024503-C	Earth Tech - 900701	900701	GENTRY
P900701_YEGIR	Edvin Yegir - 900701	900701	GENTRY
010794-P	ENVIROSCIENCE CONSULTANTS - 900701	900701	GENTRY
311447-C	Fairfield Testing - 900701	900701	GENTRY
310797-C	Gilbane Building Company - 900701	900701	GENTRY
512348-C	Gilbane Building Company - 900701	900701	GENTRY
310797-C	Gilbane Building Company - 901086	900701	GENTRY
222958-c	Gordon Muir & Foley	900701	GENTRY
P900701_LGL	Gordon Muir Foley, Carmody Torrance Etc.	900701	GENTRY
318550-C	Haley & Aldrich - 900701	900701	GENTRY
218311-C	HALEY AND ALDRICH - 900701	900701	GENTRY
127246-C	HALEY AND ALDRICH, INC. - 900701	900701	GENTRY

ATTACHMENT E

PO NO	VENDOR	PROJ ID	PROJ NAME
325759-C	J and G Glass Co. Inc. - 900701	900701	GENTRY
210172-C	James A. Tuller - 900701	900701	GENTRY
421972-P	John P. Keane - 900701	900701	GENTRY
P900701_ZUROL	Mark Zurolo - 900701	900701	GENTRY
025411-D	McPhee Electric - 900701	900701	GENTRY
025492-D	McPhee Electric - 900701	900701	GENTRY
315799-C	MICHAEL HORTON AND ASSOCIATES -900701	900701	GENTRY
112887-C	MILLAR ELEVATOR - 900701	900701	GENTRY
325416-P	Nationwide Moving and Storage - 900701	900701	GENTRY
127478-P	OCE' ENGINEERING SYSTEMS - 900701	900701	GENTRY
128124-D	OCE' ENGINEERING SYSTEMS - 900701	900701	GENTRY
326339-C	Rand Associates - 900701	900701	GENTRY
315760-C	Special Testing Laboratories - 900701	900701	GENTRY
110559-C	SVIGALS ASSOCIATES - 900701	900701	GENTRY
124340-C	SVIGALS ASSOCIATES - 900701	900701	GENTRY
423051-C	T R C Environmental - 900688	900701	GENTRY
325523-C	Uconnect - 900701	900701	GENTRY
016142-P	URS GREINER INC - 900701	900701	GENTRY
424800-P	Victor Rome Contract Furnishings - 90070	900701	GENTRY
60930866-P	BARNHART JOHNSON FRANCIS & WILD -900704	900704	BUSINESS SCHOOL RENO
128730-C	Barnhart Johnson Francis & Wild - 900704	900704	BUSINESS SCHOOL RENO
226602-C	BECHTEL/FUSCO - 900957	900704	BUSINESS SCHOOL RENO
414614-P	BKM - 900704	900704	BUSINESS SCHOOL RENO
417100-D	BKM - 900704	900704	BUSINESS SCHOOL RENO
417101-D	BKM - 900704	900704	BUSINESS SCHOOL RENO
417791-D	BKM - 900704	900704	BUSINESS SCHOOL RENO
417792-D	BKM - 900704	900704	BUSINESS SCHOOL RENO
418441-D	BKM - 900704	900704	BUSINESS SCHOOL RENO
419180-D	BKM - 900704	900704	BUSINESS SCHOOL RENO
420316-D	BKM - 900704	900704	BUSINESS SCHOOL RENO
325681-D	BKM Total Office - 900704	900704	BUSINESS SCHOOL RENO
327440-P	BKM Total Office - 900704	900704	BUSINESS SCHOOL RENO
411856-P	BKM Total Office - 900704	900704	BUSINESS SCHOOL RENO
416734-D	BKM Total Office - 900704	900704	BUSINESS SCHOOL RENO
417402-D	BKM Total Office - 900704	900704	BUSINESS SCHOOL RENO

ATTACHMENT E

PO NO	VENDOR	PROJ ID	PROJ NAME
417405-D	BKM Total Office - 900704	900704	BUSINESS SCHOOL RENO
327439-D	Business Invirons - 900704	900704	BUSINESS SCHOOL RENO
210709-C	Cama Inc.	900704	BUSINESS SCHOOL RENO
R32623	Central Stores - 900704	900704	BUSINESS SCHOOL RENO
322742-C	Combustion Sys & Instrum. - 900704	900704	BUSINESS SCHOOL RENO
317686-D	Dell - 900704	900704	BUSINESS SCHOOL RENO
419427-P	Dell Marketing - 900704	900704	BUSINESS SCHOOL RENO
424293-C	Denise Stillwaggon Leone	900704	BUSINESS SCHOOL RENO
224211Q	Electronic Security and Cont. - 900704	900704	BUSINESS SCHOOL RENO
311449-C	Fairfield Testing - 900704	900704	BUSINESS SCHOOL RENO
310796-C	Gilbane Building Company - 900704	900704	BUSINESS SCHOOL RENO
P900704_LGL	Gordon Muir Foley, Carmody Torrance Etc.	900704	BUSINESS SCHOOL RENO
127246-C	HALEY AND ALDRICH, INC. - 900704	900704	BUSINESS SCHOOL RENO
JV02072_900704	HYGIENETICS - 900704	900704	BUSINESS SCHOOL RENO
414616-P	Insalco - 900704	900704	BUSINESS SCHOOL RENO
414615-P	John Watts - 900704	900704	BUSINESS SCHOOL RENO
327436-D	John Watts Assoc. - 900704	900704	BUSINESS SCHOOL RENO
114203-C	MARTIN A BENASSI - 900773	900704	BUSINESS SCHOOL RENO
025411-D	McPhee Electric - 900701	900704	BUSINESS SCHOOL RENO
114219-C	Michael Horton and Associates - 900704	900704	BUSINESS SCHOOL RENO
417228-P	Nationwide Moving & Storage - 900704	900704	BUSINESS SCHOOL RENO
126048-P	NATIONWIDE MOVING AND STORAGE - 900704	900704	BUSINESS SCHOOL RENO
326362-D	Nationwide Moving and Storage - 900704	900704	BUSINESS SCHOOL RENO
JV01694-900704	PETCO INSULATION - 900704	900704	BUSINESS SCHOOL RENO
326339-C	Rand Associates - 900704	900704	BUSINESS SCHOOL RENO
110413-C	Simpson, Gumpertz and Heger - 900302	900704	BUSINESS SCHOOL RENO
315753-C	Special Testing Laboratories - 900704	900704	BUSINESS SCHOOL RENO
020764-C	SVIGALS ASSOCIATES - 900704	900704	BUSINESS SCHOOL RENO
113126-C	Svigals Associates - 900704	900704	BUSINESS SCHOOL RENO
411595-C	UConnect - 900704	900704	BUSINESS SCHOOL RENO
416331-P	Walch & Company - 900704	900704	BUSINESS SCHOOL RENO
PWhiting	WHITING TURNER - C-022255	900704	BUSINESS SCHOOL RENO
126611-D	ASPLUNDH TREE - 900736	900736	MANSFIELD TRG SCHOOL
114203-C	MARTIN A BENASSI - 900736	900736	MANSFIELD TRG SCHOOL
60912987-P	Martin A. Benassi - 900736	900736	MANSFIELD TRG SCHOOL

ATTACHMENT E

PO NO	VENDOR	PROJ ID	PROJ NAME
60931325-P	MARTIN BENASSI - 900736	900736	MANSFIELD TRG SCHOOL
125948-C	MCC CONSTRUCTION - WA#140	900736	MANSFIELD TRG SCHOOL
114224-C	ARBONIES KING VLOCK - 900831	900831	BENTON STATE ART MUS
414507-C	ATC - 900831	900831	BENTON STATE ART MUS
128730-C	Barnhart Johnson Francis & Wild - 900831	900831	BENTON STATE ART MUS
318827-C	Bechtel Fusco - 900831	900831	BENTON STATE ART MUS
410537-C	Eastern Materials Testing Testing - 9008	900831	BENTON STATE ART MUS
418314-D	Electrical Wholesalers - 900831	900831	BENTON STATE ART MUS
114199-C	GIBBLE NORDEN CHAMPION - 900831	900831	BENTON STATE ART MUS
317233-C	Gilbane - 900831	900831	BENTON STATE ART MUS
222958-c	Gordon Muir & Foley	900831	BENTON STATE ART MUS
025554-C	Gregg & Wies Architects - 900831	900831	BENTON STATE ART MUS
124343-C	GREGG AND WIES ARCHITECTS - 900831	900831	BENTON STATE ART MUS
318550-C	Haley & Aldrich - 900831	900831	BENTON STATE ART MUS
321706-C	PETCO INSULATION CO. INC. - 900831	900831	BENTON STATE ART MUS
110413-C	Simpson, Gumpertz and Heger - 900831	900831	BENTON STATE ART MUS
417774-C	Uconnect - 900831	900831	BENTON STATE ART MUS
128730-C	Barnhart Johnson Francis & Wild - 900885	900885	TOWERS RENO
226605-C	BECHTEL/FUSCO - 900885	900885	TOWERS RENO
324644-D	BKM - 900885	900885	TOWERS RENO
411389-P	BKM - 900885	900885	TOWERS RENO
316278-C	Capstone - 900885	900885	TOWERS RENO
312517-D	Clean Harbors - 900885	900885	TOWERS RENO
316207-P	CONNECTICUT ELECTRIC EQUIPMENT - 900885	900885	TOWERS RENO
114770-C	EARTH TECH - 900885	900885	TOWERS RENO
317236-C	Eastern Materials Testing - 900885	900885	TOWERS RENO
513209-P	Emcor Services New England Mechanical	900885	TOWERS RENO
210168-C	EnviroMed Services Inc. - 900885	900885	TOWERS RENO
311448-C	Fairfield Testing - 900885	900885	TOWERS RENO
114199-C	Gibble Nordon Champion	900885	TOWERS RENO
222958-c	Gordon Muir & Foley	900885	TOWERS RENO
218311-C	HALEY AND ALDRICH - 900885	900885	TOWERS RENO
127246-C	HALEY AND ALDRICH, INC. - 900885	900885	TOWERS RENO

ATTACHMENT E

PO_NO	VENDOR	PROJ_ID	PROJ_NAME
213938-C	Hanbury Evans Newill Vlattas Austin & Co	900885	TOWERS RENO
324868-P	Insalco - 900885	900885	TOWERS RENO
770785_CO17	Mayo, Gilligan & Zito, LLP - 900885	900885	TOWERS RENO
326316-P	Mercury Cabling - 900885	900885	TOWERS RENO
128719-C	Milone & MacBroom Inc. - 900885	900885	TOWERS RENO
424781-C	Milton C. Beebe & Sons Inc. - 900885	900885	TOWERS RENO
418134-P	New England Mechanical Services -900885	900885	TOWERS RENO
327022-D	Raymour and Flanigan - 900885	900885	TOWERS RENO
60926263-P	Robert Orr & Associates - 900885	900885	TOWERS RENO
60926263-P	Robert Orr & Associates - 900885	900885	TOWERS RENO
60926263-P	Robert Orr & Associates - 900885	900885	TOWERS RENO
325207-C	Uconnect - 900885	900885	TOWERS RENO
414337-C	Uconnect - 900885	900885	TOWERS RENO
210261-C	URS Corporation - 900885	900885	TOWERS RENO
316190-P	W W GRAINGER INC - 900885	900885	TOWERS RENO
590005-D	Wings Testing - 900885	900885	TOWERS RENO
425713-D	Wings Testing & Balancing Co.	900885	TOWERS RENO
512360-C	Bechtel Fusco - 900886	900886	HEATING PLANT (CO-GEN)
315812-C	BVH INTEGRATED SERVICES - 900886	900886	HEATING PLANT (CO-GEN)
P900886_CAPIN	Capitalized Interest - 900886	900886	HEATING PLANT (CO-GEN)
422462-C	Caterpillar Financial Services - 900886	900886	HEATING PLANT (CO-GEN)
TV380919	Central Stores - 900886	900886	HEATING PLANT (CO-GEN)
417752-C	Clean Harbors Enviornmental Services	900886	HEATING PLANT (CO-GEN)
512390-P	Clean Harbors Environmental - 900886	900886	HEATING PLANT (CO-GEN)
522304-C	Connecticut Light & Power - 900886	900886	HEATING PLANT (CO-GEN)
012239-P	DAHLEN, BERG AND COMPANY - 900886	900886	HEATING PLANT (CO-GEN)
CO17_83181	Department of Environmental Protection	900886	HEATING PLANT (CO-GEN)
CO17_83194	Department of Public Safety	900886	HEATING PLANT (CO-GEN)
416404-C	Eastern Materials Testing - 900886	900886	HEATING PLANT (CO-GEN)
210168-C	EnviroMed Services Inc. - 900886	900886	HEATING PLANT (CO-GEN)
128713-C	ENVIRONMENTAL RISK LIMITED - 900886	900886	HEATING PLANT (CO-GEN)
415190-C	Environmental Risk Limited - 900886	900886	HEATING PLANT (CO-GEN)
TV439217	Fire Department - 900886	900886	HEATING PLANT (CO-GEN)
619957-C	Gordon Muir & Foley	900886	HEATING PLANT (CO-GEN)
318550-C	Haley & Aldrich - 900886	900886	HEATING PLANT (CO-GEN)

ATTACHMENT E

PO_NO	VENDOR	PROJ_ID	PROJ_NAME
510694-C	ISO New England - 900886	900886	HEATING PLANT (CO-GEN)
214819-C	MACCHI ENGINEERS - 900886	900886	HEATING PLANT (CO-GEN)
327458-P	Matthew Brady - 900886	900886	HEATING PLANT (CO-GEN)
509324-P	Matthew Brady - 900886	900886	HEATING PLANT (CO-GEN)
510690-C	Northeast Utilities Service Co - 900886	900886	HEATING PLANT (CO-GEN)
510548-C	Petco - 900886	900886	HEATING PLANT (CO-GEN)
611770-C	Petco - Various Depts/Projects - 901146	900886	HEATING PLANT (CO-GEN)
327453-P	Pullman & Comley -900886	900886	HEATING PLANT (CO-GEN)
509321-P	Pullman & Comley -900886	900886	HEATING PLANT (CO-GEN)
609003-P	Pullman & Comley -900886	900886	HEATING PLANT (CO-GEN)
327464-C	Select Energy - 900886	900886	HEATING PLANT (CO-GEN)
P900886_SELEC	Select Energy Services Inc. - 900886	900886	HEATING PLANT (CO-GEN)
P900886_STATE	STATE OF CONNECTICUT	900886	HEATING PLANT (CO-GEN)
P900886_TELDIS	Telecom Distribution OCIP	900886	HEATING PLANT (CO-GEN)
415194-C	TRC Environmental - 901105	900886	HEATING PLANT (CO-GEN)
422718-C	UConnect - 900886	900886	HEATING PLANT (CO-GEN)
511986-C	UConnect - 900886	900886	HEATING PLANT (CO-GEN)
316145-C	URS CORPORATION - 900886	900886	HEATING PLANT (CO-GEN)
420622-C	Water & Waste Equipment	900886	HEATING PLANT (CO-GEN)
420329-C	Aerial Lighting & Electric - 900957	900957	WEST CAMPUS RENO
226602-C	BECHTEL/FUSCO - 900957	900957	WEST CAMPUS RENO
314365-D	BEMERS - 900957	900957	WEST CAMPUS RENO
318810-D	BKM	900957	WEST CAMPUS RENO
210262-C	BVH ENGINEERS - 900957	900957	WEST CAMPUS RENO
417359-C	Camp Dresser & McKee Inc. - 900957	900957	WEST CAMPUS RENO
128732-C	CME ASSOCIATES INC. - 901010	900957	WEST CAMPUS RENO
P900957_AG	College of Ag. - 900957	900957	WEST CAMPUS RENO
313778-P	Connecticut Electric Equipment	900957	WEST CAMPUS RENO
319073-C	Connecticut Electric Equipment	900957	WEST CAMPUS RENO
228470-c	Connecticut Light & Power	900957	WEST CAMPUS RENO
319486-C	Connecticut Light & Power - 900957	900957	WEST CAMPUS RENO
TI00039867	Department of Environmental Protection	900957	WEST CAMPUS RENO
TI00039872	Department of Environmental Protection	900957	WEST CAMPUS RENO
TI00038977	DEPT OF ENVIRONMENTAL PROTECTION-900957	900957	WEST CAMPUS RENO
420093-C	Donald Haes Jr - 900957	900957	WEST CAMPUS RENO

ATTACHMENT E

PO NO	VENDOR	PROJ ID	PROJ NAME
123235-C	Earth Tech - 900957	900957	WEST CAMPUS RENO
114770-C	EARTH TECH - 900993	900957	WEST CAMPUS RENO
411442-C	Eastern Materials Testing - 900957	900957	WEST CAMPUS RENO
317498-P	Electronic Security	900957	WEST CAMPUS RENO
227998-C	Environmental Planning & Soil Science	900957	WEST CAMPUS RENO
115567-C	Frederic R. Harris Inc - 900957	900957	WEST CAMPUS RENO
128725-C	FUSS AND O'NEILL - 900957	900957	WEST CAMPUS RENO
326832-P	Gardner Fence Systems	900957	WEST CAMPUS RENO
P900957_ENG	Geoprobe Sampling - 900957	900957	WEST CAMPUS RENO
222958-c	Gordon Muir & Foley	900957	WEST CAMPUS RENO
318550-C	Haley & Aldrich - 900957	900957	WEST CAMPUS RENO
127246-C	HALEY AND ALDRICH, INC. - 900957	900957	WEST CAMPUS RENO
414037-C	Hart Plumbing Co. - 900957	900957	WEST CAMPUS RENO
221889-C	JPI APARTMENT DEVELOPMENT -	900957	WEST CAMPUS RENO
515761-C	JPI APARTMENT DEVELOPMENT -	900957	WEST CAMPUS RENO
318931-P	Kahn Tractor & Equip.	900957	WEST CAMPUS RENO
421074-C	KLGL Associates(Service Master)- 900957	900957	WEST CAMPUS RENO
118193-C	Lenard Engineering - 900957	900957	WEST CAMPUS RENO
316273-C	MCPHEE ELECTRIC - 900957	900957	WEST CAMPUS RENO
314782-C	Milton C. Beebe & Sons	900957	WEST CAMPUS RENO
327744-D	O'Brien & SOns - 900957	900957	WEST CAMPUS RENO
326093-C	Phoenix Environmental - 900957	900957	WEST CAMPUS RENO
110413-C	Simpson Gumpertz & Heger - 900957	900957	WEST CAMPUS RENO
325242-C	Tennett Tree Service - 900957	900957	WEST CAMPUS RENO
319418-D	THE ALL-GAS AND EQUIPMENT CO. - 900957	900957	WEST CAMPUS RENO
420318-C	Titan Mechanical - 900957	900957	WEST CAMPUS RENO
128714-C	TRC ENVIRONMENTAL - 900957	900957	WEST CAMPUS RENO
322938-C	Uconnect - 900957	900957	WEST CAMPUS RENO
324494-C	Uconnect - 900957	900957	WEST CAMPUS RENO
327306-C	Uconnect - 900957	900957	WEST CAMPUS RENO
424456-C	Uconnect - 900957	900957	WEST CAMPUS RENO
P900957_TEL	UCONNECT - 900957	900957	WEST CAMPUS RENO
025352-C	WHITING AND TURNER - 900940	900957	WEST CAMPUS RENO
z891582	ALL STATE BOILER	901002	SHIPPEE BUCKLEY RENO
027875-C	ALL STATE BOILER AND CONSTRUCTION-900704	901002	SHIPPEE BUCKLEY RENO

ATTACHMENT E

PO NO	VENDOR	PROJ ID	PROJ NAME
128730-C	Barnhart Johnson Francis & Wild - 901002	901002	SHIPPEE BUCKLEY RENO
022183-C	BECHTEL / FUSCO - 900701	901002	SHIPPEE BUCKLEY RENO
019449-C	BECHTEL FUSCO - 901002	901002	SHIPPEE BUCKLEY RENO
224420-C	Enviromed - 901002	901002	SHIPPEE BUCKLEY RENO
225331-C	EnviroScience Consultants - 901002	901002	SHIPPEE BUCKLEY RENO
226600-C	EnviroScience Consultants - 901002	901002	SHIPPEE BUCKLEY RENO
125501-C	G and C Construction - 900961	901002	SHIPPEE BUCKLEY RENO
222958-c	Gordon Muir & Foley	901002	SHIPPEE BUCKLEY RENO
114203-C	MARTIN A BENASSI - 900961	901002	SHIPPEE BUCKLEY RENO
225828-P	Nationwide Moving and Storage	901002	SHIPPEE BUCKLEY RENO
110413-C	Simpson, Gumpertz and Heger - 900961	901002	SHIPPEE BUCKLEY RENO
424457-C	UCONNECT - 901002	901002	SHIPPEE BUCKLEY RENO
222179-C	Wank Adams Slavin and Associates -901002	901002	SHIPPEE BUCKLEY RENO
211282-C	Whiting - Turner Contracting - 901002	901002	SHIPPEE BUCKLEY RENO
211284-C	Whiting - Turner Contracting - 901002	901002	SHIPPEE BUCKLEY RENO
324694-C	Whiting Turner Construction Co. - 201271	901002	SHIPPEE BUCKLEY RENO
222411-C	Whiting-Turner Contracting Co. - 901002	901002	SHIPPEE BUCKLEY RENO
316151-C	BARKIN ANDRADE ARCHITECTS PC	901006	MANSFIELD TRG SCHOOL
116807-C	BARKIN ANDRADE ARCHITECTS PC - 901006	901006	MANSFIELD TRG SCHOOL
210262-C	BVH ENGINEERS - 901006	901006	MANSFIELD TRG SCHOOL
210167-C	Conklin and Soroka Inc. - 901006	901006	MANSFIELD TRG SCHOOL
411442-C	Eastern Materials Testing - 901006	901006	MANSFIELD TRG SCHOOL
JV03420_901006	Enviro Science - 901006	901006	MANSFIELD TRG SCHOOL
316143-C	GEI CONSULTANTS INC - 901006	901006	MANSFIELD TRG SCHOOL
227126-C	KONOVER CONSTRUCTION CORP - 901006	901006	MANSFIELD TRG SCHOOL
320909-C	MCC Construction - WA#210	901006	MANSFIELD TRG SCHOOL
414176-D	Nationwide Moving & Storage Co. - 901006	901006	MANSFIELD TRG SCHOOL
225992-P	Nationwide Moving and Storage	901006	MANSFIELD TRG SCHOOL
321706-C	PETCO INSULATION CO. INC. - 900719	901006	MANSFIELD TRG SCHOOL
320319-C	Uconnect - 901006	901006	MANSFIELD TRG SCHOOL
418221-C	Uconnect - 901006	901006	MANSFIELD TRG SCHOOL
516157-P	Clean Harbors - 901076	901076	FARM BUILDINGS
515931-C	Kronenberger & Sons - 901076	901076	FARM BUILDINGS

ATTACHMENT E

PO NO	VENDOR	PROJ ID	PROJ NAME
321731-C	Raber Associates - 901076	901076	FARM BUILDINGS
317846-C	SEA Consultants, Inc. - 901076	901076	FARM BUILDINGS
510547-C	Eastern Materials Testing -	901082	FARM BUILDINGS
411442-C	Eastern Materials Testing - 201207	901082	FARM BUILDINGS
512038-D	Fish Associates - 901082	901082	FARM BUILDINGS
127247-C	Flad & Associates - 901082	901082	FARM BUILDINGS
320691-C	Flad & Associates - 901082	901082	FARM BUILDINGS
127247-C	Flad and Associates - 901082	901082	FARM BUILDINGS
222958-c	Gordon Muir & Foley	901082	FARM BUILDINGS
423875-C	Kronenberger & Sons Restoration, Inc. -	901082	FARM BUILDINGS
512112-D	Northeast Agri Systems Inc. - 901082	901082	FARM BUILDINGS
524618-D	Select Energy - 901082	901082	FARM BUILDINGS
514732-C	Uconnect - 901082	901082	FARM BUILDINGS
510547-C	Eastern Materials Testing -	901115	RES LIFE FACILIITIES
424358-C	MCC Construction - 901115	901115	RES LIFE FACILIITIES
P901131_PRN	Crest Graphics	901131	LAW SCHOOL RENO
318551-C	Du Bose Associates - 901131	901131	LAW SCHOOL RENO
510547-C	Eastern Materials Testing	901131	LAW SCHOOL RENO
610221-C	Kronenberger & Sons - 901131	901131	LAW SCHOOL RENO
523037-C	Otis Elevator - 901131	901131	LAW SCHOOL RENO
611770-C	Petco - Various Depts/Projects - 901146	901131	LAW SCHOOL RENO
512984-C	TRC - 901146	901131	LAW SCHOOL RENO
522376-C	Uconnect - 901131	901131	LAW SCHOOL RENO
324042-C	UConnect - 900210D	900210A	TECH QUAD PHASE 1A
127407-D	AIR TOX ENVIRONMENTAL - 900513	900210d	TECH QUAD PHASE 1A
318812-D	Alert Scientific - 900210D	900210D	TECH QUAD PHASE 1A
60617298-P	Allan Dehar Associates - 900210D	900210D	TECH QUAD PHASE 1A
P900210D	Allan Dehar Associates - 900210D	900210D	TECH QUAD PHASE 1A
323861-D	Applied Biosystems - 900210D	900210D	TECH QUAD PHASE 1A
225431-C	B & G Mechanical - 900210D	900210d	TECH QUAD PHASE 1A
128730-C	Barnhart Johnson Francis & Wild - 90021D	900210D	TECH QUAD PHASE 1A

ATTACHMENT E

PO_NO	VENDOR	PROJ_ID	PROJ_NAME
512358-C	Bechtel Fusco - 900210D	900210D	TECH QUAD PHASE 1A
60723595-P	Bechtel/ Fusco - 900210D	900210D	TECH QUAD PHASE 1A
325814-D	Beckman Coulter	900210D	TECH QUAD PHASE 1A
324805-D	Beckman Coulter - 900210D	900210D	TECH QUAD PHASE 1A
318751-D	Bio-Rad Laboratories - 900210D	900210D	TECH QUAD PHASE 1A
316752-P	BKM - 900210D	900210D	TECH QUAD PHASE 1A
315316-P	BKM Total Office - 900210D	900210D	TECH QUAD PHASE 1A
836129-CO17	Cambridge Integrated Services Group Inc	900210D	TECH QUAD PHASE 1A
P900210D_DPW	Carry Over DPW Fees	900210D	TECH QUAD PHASE 1A
P900210D_MOV	Central Stores - 900210D	900210D	TECH QUAD PHASE 1A
213988-P	Clean Harbors Environmental - 900210D	900210D	TECH QUAD PHASE 1A
60931924-P	CME ASSOCIATES - 900210D	900210D	TECH QUAD PHASE 1A
P900210D_PRE	CUH2A - 900210D	900210D	TECH QUAD PHASE 1A
114987-P	DELL MARKETING - 900210D	900210D	TECH QUAD PHASE 1A
116535-D	DELL MARKETING - 900210D	900210D	TECH QUAD PHASE 1A
TV423847	DRY ICE BIO MOVE BILLING	900210D	TECH QUAD PHASE 1A
318321-D	Electrical Wholesalers	900210D	TECH QUAD PHASE 1A
319140-Q	Esquire Gas Products - 900210D	900210D	TECH QUAD PHASE 1A
514760-C	Gordon Muir & Foley	900210D	TECH QUAD PHASE 1A
P900210D_LGL	Gordon Muir Foley, Carmody Torrance Etc.	900210D	TECH QUAD PHASE 1A
127246-C	HALEY AND ALDRICH, INC. - 900210D	900210D	TECH QUAD PHASE 1A
213839-C	HP ENVIRONMENTAL - 900210D	900210D	TECH QUAD PHASE 1A
60815332-P	HRH/Atlas Construction - 900210D	900210D	TECH QUAD PHASE 1A
6865484	Hugh O'Donnell - 900210D	900210D	TECH QUAD PHASE 1A
60912453-P	INDEPENDENT MATERIALS TESTING - 900210D	900210D	TECH QUAD PHASE 1A
316748-P	Insalco Corp - 900210D	900210D	TECH QUAD PHASE 1A
325017-P	John Watts - 900210D	900210D	TECH QUAD PHASE 1A
6865482	Joy Wolke - 900210D	900210D	TECH QUAD PHASE 1A
024000-C	JUN KANEKO - 900210D	900210D	TECH QUAD PHASE 1A
318758-D	Leica Microsystems - 900210D	900210D	TECH QUAD PHASE 1A
60808150-P	LENARD ENGINEERING - 900210D	900210D	TECH QUAD PHASE 1A
323076-P	LEO Electron Microscopy - 900210D	900210D	TECH QUAD PHASE 1A
6865483	Mary Bailey - 900210D	900210D	TECH QUAD PHASE 1A
316785-P	McCabe Office Furniture - 900210D	900210D	TECH QUAD PHASE 1A
225328-C	National Air Technologies - 900210D	900210D	TECH QUAD PHASE 1A
324197-P	Nationwide Moving & Storage - 900210D	900210D	TECH QUAD PHASE 1A
318323-P	NEC Business Networks	900210D	TECH QUAD PHASE 1A

ATTACHMENT E

PO_NO	VENDOR	PROJ_ID	PROJ_NAME
217556-C	Occupational Risk Control Services	900210d	TECH QUAD PHASE 1A
323944-P	OFI - 900210D	900210D	TECH QUAD PHASE 1A
316746-P	OFI Contract Interiors - 900210D	900210D	TECH QUAD PHASE 1A
323075-D	Optech Inc	900210D	TECH QUAD PHASE 1A
321663-D	Parker X-Ray Solution Services - 900210D	900210D	TECH QUAD PHASE 1A
JV01131_900210	PETCO - 900210D	900210D	TECH QUAD PHASE 1A
JV01189_900210	PETCO INSULATION - 900210D	900210D	TECH QUAD PHASE 1A
214433-C	Petco Insulation - 900210D	900210d	TECH QUAD PHASE 1A
021282-C	PINNACLE ONE - 90021D	900210D	TECH QUAD PHASE 1A
323152-D	Quaigen Inc - 900210D	900210D	TECH QUAD PHASE 1A
P900210D_REIC	Reich & Tang Fees	900210D	TECH QUAD PHASE 1A
TV_413877	Rogers Const mngt - 900210D	900210D	TECH QUAD PHASE 1A
316915-P	Rugs & Colors Inc. - 900210D	900210D	TECH QUAD PHASE 1A
321656-D	Simplex Grinnell LP - 900210D	900210D	TECH QUAD PHASE 1A
013328-P	SIMPLEX TIME RECORDER - 900210D	900210D	TECH QUAD PHASE 1A
015114-D	SIMPLEX TIME RECORDER - 900210D	900210D	TECH QUAD PHASE 1A
60818181-P	SIMPLEX TIME RECORDER - 900210D	900210D	TECH QUAD PHASE 1A
60920505-P	SIMPLEX TIME RECORDER - 900210D	900210D	TECH QUAD PHASE 1A
P900210D_CM	Sordoni Skanska Construction - 900210D	900210D	TECH QUAD PHASE 1A
219580-P	Spacesavers Storage Systems - 900210D	900210D	TECH QUAD PHASE 1A
P900210D_ART	State Art Commission - 900210D	900210D	TECH QUAD PHASE 1A
60931934-P	TRC COMPANIES, INC.	900210D	TECH QUAD PHASE 1A
115275-C	TURNER CONST. - 900210D	900210D	TECH QUAD PHASE 1A
419311-C	Turner Const. - 901087	900210D	TECH QUAD PHASE 1A
128872-C	TURNER CONSTRUCTION - 900210D	900210D	TECH QUAD PHASE 1A
324042-C	UConnect - 900210D	900210D	TECH QUAD PHASE 1A
324114-C	UConnect - 900210D	900210D	TECH QUAD PHASE 1A
518145-C	UConnect - 900210D	900210D	TECH QUAD PHASE 1A
P900210D_TEL	UCONNECT - 900210D	900210D	TECH QUAD PHASE 1A
P900210D_TEL	UCONNECT - 900210D	900210D	TECH QUAD PHASE 1A
P900210D_ENV	United International - 900210D	900210D	TECH QUAD PHASE 1A
316754-P	Waldner's Business Environments - 900210D	900210D	TECH QUAD PHASE 1A
112658-C	Whiting Turner Construction - 900210D	900210D	TECH QUAD PHASE 1A

UNIVERSITY OF CONNECTICUT
\$10.2 MILLION UCONN 2000 FY 2006 DEFERRED MAINTENANCE ALLOCATED PROJECTS
ACTIVITY THROUGH 03/28/06

(REPORT DOES NOT INCLUDE ALL PRIOR YEARS DEFERRED MAINTENANCE ALLOCATED PROJECTS)

Project Name	Budget Allocated FY 06	Changes	Revised Budget FY 06	Expended FY 06	Encumbered	Budget Balance Available
Roof Repairs and Replacements						
Roof - Monteith	500,000.00	3 (250,000.00)	250,000.00	186,628.80	14,152.80	49,218.40
Roof - Communication Sciences	250,000.00	3 (220,000.00)	30,000.00	216.51	-	29,783.49
Roof - School of Social Work	350,000.00	3 (250,000.00)	100,000.00	84,467.84	10,500.00	5,032.16
Chemistry Snowguard	-	3 250,000.00	250,000.00	-	-	250,000.00
Unallocated Roof Repairs and Replacements	150,000.00	-	150,000.00	-	-	150,000.00
Subtotals	1,250,000.00	(470,000.00)	780,000.00	271,313.15	24,652.80	484,034.05
Mold/Lead/Asbestos Removal						
Cantino Lab	45,000.00	-	45,000.00	45,000.00	-	-
Pharmacy Decontamination	100,000.00	-	100,000.00	40,209.97	51,110.92	8,679.11
Mold/Lead/Asb Misc-Phase III FY06 Lumpsum	-	438,221.00	438,221.00	131,623.15	152,054.45	154,543.40
Unallocated Mold/Lead/Asbestos Removal	535,000.00	1 (438,221.00)	96,779.00	-	-	96,779.00
Subtotals	680,000.00	-	680,000.00	216,833.12	203,165.37	260,001.51
Designated Project Budgets						
Landfill Closure	7,000,000.00	2 -	7,000,000.00	-	710,405.20	6,289,594.80
Bronwell Cold Room	265,000.00	-	265,000.00	221,748.52	2,400.00	40,851.48
North Eagleville/Hillside Intersection Lights	20,000.00	-	20,000.00	-	-	20,000.00
Willimantic River Water Trans. Line - Part. Repl.	-	3 400,000.00	400,000.00	400,000.00	-	-
Central W/H Facilities Cond. Assess.	-	4 65,000.00	65,000.00	39,250.00	10,750.00	15,000.00
Re-nose South Campus Site Stairs	50,000.00	-	50,000.00	-	-	50,000.00
Subtotals	7,335,000.00	465,000.00	7,800,000.00	660,998.52	723,555.20	6,415,446.28
Relocations						
Torrey 8500 SF Renovations						
-Mold\Lead\Asb. Rem - Torrey	120,000.00	1 -	120,000.00	117,046.26	-	2,953.74
-Acad. Ren. - EEB New Faculty	100,000.00	-	100,000.00	12,980.76	912.00	86,107.24
-Acad. Ren. - PNB Renov.	325,000.00	2,052.80	327,052.80	167,936.63	2,945.54	156,170.63
-Acad. Ren. - Rm 381, 383, 386	25,000.00	(2,052.80)	22,947.20	22,947.20	-	-
Total Torrey 8500 SF Renovations	570,000.00	-	570,000.00	320,910.85	3,857.54	245,231.61
Unallocated Relocations	50,000.00	4 (50,000.00)	-	-	-	-
Subtotals	620,000.00	(50,000.00)	570,000.00	320,910.85	3,857.54	245,231.61
Emergencies						
Unallocated Emergencies	315,000.00	3,4 55,000.00	370,000.00	-	-	370,000.00
TOTAL FY 06	10,200,000.00	-	10,200,000.00	1,470,055.64	955,230.91	7,774,713.45

1 Approved transfer of Budget for \$120k from Unalloc. Mold/Lead/Asb to Torrey 8500 SF Renov.

2 These projects were in existence prior to FY 06 and have prior FY 05 Phase III funding, expenditures, and commitments not included above

3 Per B&G meeting on 10-14-05

4 Per B&G meeting on 12-9-05

DRAFT AIA[®] Document A121[™]CMc - 2003 and AGC Document 565

Standard Form of Agreement Between Owner and Construction Manager

where the Construction Manager is Also the Constructor

The following document the AIA A121 CMc and AGC Document 565 as modified by the University of Connecticut.

Modification date: January 19, 2006

AGREEMENT

made as of the [] day of [] in the year of Two Thousand and Six
(In words, indicate day, month and year)

BETWEEN the Owner:
(Name and address)

Architectural and Engineering Services, University of Connecticut
31 LeDoyt Road, Unit 3047
Storrs, Connecticut 06269

and the Construction Manager:
(Name and address)

The Project is:
(Name, address and brief description)

SAMPLE
sample
sample

The Architect is:
(Name and address)

sample
sample

The Owner and Construction Manager agree as set forth below:

ADDITIONS AND DELETIONS:
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

The 1997 Edition of AIA Document A201, General Conditions of the Contract for Construction, is referred to herein. This Agreement requires modification if other general conditions are utilized.

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Attachment A

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- § 2.2 Guaranteed Maximum Price Proposal and Contract Time
- § 2.3 Construction Phase
- § 2.4 Professional Services
- § 2.5 Hazardous Materials

ARTICLE 3 OWNER'S RESPONSIBILITIES

- § 3.1 Information and Services
- § 3.2 Owner's Designated Representative
- § 3.3 Architect
- § 3.4 Legal Requirements

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ARTICLE 11 OTHER CONDITIONS AND SERVICES



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The following document the AIA A121 CMc and AGC Document 565 as modified by the University of Connecticut.
Modification date: January 19, 2006

ARTICLE 1 GENERAL PROVISIONS

§ 1.1 RELATIONSHIP OF PARTIES

The Construction Manager accepts the relationship of trust and confidence established with the Owner by this Agreement, and covenants with the Owner to furnish the Construction Manager's reasonable skill and judgment and to cooperate with the Architect in furthering the interests of the Owner. The Construction Manager shall furnish construction administration and management services and use the Construction Manager's best efforts to perform the Project in an expeditious and economical manner consistent with the interests of the Owner. The Owner shall endeavor to promote harmony and cooperation among the Owner, Architect, Construction Manager and other persons or entities employed by the Owner for the Project.

§ 1.2 GENERAL CONDITIONS

For the Construction Phase, the General Conditions of the contract shall be the AIA® Document A201™-1997, General Conditions of the Contract for Construction, which is incorporated herein by reference. For the Preconstruction Phase, or in the event that the Preconstruction and Construction Phases proceed concurrently, A201™-1997 shall apply to the Preconstruction Phase only as specifically provided in this Agreement. The term "Contractor" as used in A201™-1997 shall mean the Construction Manager.

1.3 The Construction Manager shall identify key staff to be assigned to the Project, as required pursuant to Section 01-040 of the Contract Documents, and list them in Exhibit B to be attached to the Agreement. Listed individuals shall be the same individuals identified in the Construction Manager's Proposal, as accepted by the Owner, and shall remain assigned for the duration of the Project unless the Owner approves of their removal in writing. The Owner shall have the right to direct that any of the Construction Manager's staff assigned to the Project be removed at any time.

ARTICLE 2 CONSTRUCTION MANAGER'S RESPONSIBILITIES

The Construction Manager shall perform the services described in this Article. The services to be provided under Sections 2.1 and 2.2 constitute the Preconstruction Phase services. If the Owner and Construction Manager agree, after consultation with the Architect, the Construction Phase may commence before the Preconstruction Phase is completed, in which case both phases will proceed concurrently.

§ 2.1 PRECONSTRUCTION PHASE

§ 2.1.1 PRELIMINARY EVALUATION

§ 2.1.2 CONSULTATION

The Construction Manager with the Architect shall jointly schedule and attend regular meetings with the Owner. The Construction Manager shall consult with the Owner and Architect regarding site use and improvements and the selection of materials, building systems and equipment. The Construction Manager shall provide recommendations on construction feasibility; actions designed to minimize adverse effects of labor or material shortages; time requirements for procurement, installation and construction completion; and factors related to construction cost, including estimates of alternative designs or materials, preliminary budgets and possible economies.

The Construction Manager shall review the Contract Documents including all existing plans and specifications, and the scope of the Work set forth therein, in accordance with good construction principles to determine constructability and that the Construction Manager has found the documents to be adequate for the construction of the Work as represented, create no unusual construction difficulties and are consistent with the Construction Manager's understanding of the Project. The Construction Manager represents that, based on such review, it has not observed any defect, error, or deficiency in the Contract Documents, or any inconsistency between such Contract Documents and any Applicable Law, or has informed the Owner and Architect thereof in writing. Furthermore, the Construction Manager shall review in the same manner all Construction Documents that are developed for the Project after the date of execution of this Contract and will make a similar representation to the Owner, subject to any specific defects, errors, inconsistencies or deficiencies, which are identified in writing to the Owner in a timely fashion. To the extent the Construction Manager's subsequent review discloses any incompleteness, inaccuracy, defect, error, deficiency, omission, inconsistency with Applicable Law, problems of constructability or quality or inconsistency with the Project Schedule, the Construction Manager shall immediately notify the Owner in writing, shall make recommendations regarding the resolution thereof, and shall coordinate closely with the Owner and

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Architect in the prompt and economical resolution of each such issue. Notwithstanding any provision of the Contract Documents to the contrary, the Construction Manager's design review responsibility will not make the Construction Manager a guarantor of the Architect's design or otherwise relieve the Architect of any responsibility or obligation it may have to the Owner under any separate agreement with Owner or under Applicable Law.

§ 2.1.3 PRELIMINARY PROJECT SCHEDULE

When Project requirements described in Section 3.1.1 have been sufficiently identified, the Construction Manager shall prepare, and will submit monthly updates of a preliminary Project schedule for the Architect's review and the Owner's approval. The Construction Manager shall obtain the Architect's approval of the portion of the preliminary Project schedule relating to the performance of the Architect's services. The Construction Manager shall coordinate and integrate the preliminary Project schedule with the services and activities of the Owner, Architect and Construction Manager. As design proceeds, the preliminary Project schedule shall be updated to indicate proposed activity sequences and durations, milestone dates for receipt and approval of pertinent information, submittal of a Guaranteed Maximum Price proposal, preparation and processing of shop drawings and samples, delivery of materials or equipment requiring long-lead-time procurement, Owner's occupancy requirements showing portions of the Project having occupancy priority, and proposed date of Substantial Completion. If preliminary Project schedule updates indicate that previously approved schedules may not be met, the Construction Manager shall make appropriate recommendations to the Owner and Architect and take all steps necessary to ensure completion of the aspects of Work within its control within the time period required by the Contract Documents.

§ 2.1.4 PHASED CONSTRUCTION

The Construction Manager shall make recommendations to the Owner and Architect regarding the phased issuance of Drawings and Specifications to facilitate phased construction of the Work, if such phased construction is appropriate for the Project, taking into consideration such factors as economies, time of performance, availability of labor and materials, and provisions for temporary facilities.

§ 2.1.5 PRELIMINARY COST ESTIMATES

§ 2.1.5.1 not used

§ 2.1.5.2 When Schematic Design Documents have been prepared by the Architect and approved by the Owner, the Construction Manager shall prepare, for the review of the Architect and approval of the Owner, a more detailed estimate with supporting data. During the preparation of the Design Development Documents, the Construction Manager shall update and refine this estimate at appropriate intervals agreed to by the Owner, Architect and Construction Manager.

§ 2.1.5.3 When Design Development Documents have been prepared by the Architect and approved by the Owner, the Construction Manager shall prepare a detailed estimate with supporting data for review by the Architect and approval by the Owner. During the preparation of the Construction Documents, the Construction Manager shall update and refine this estimate at appropriate intervals agreed to by the Owner, Architect and Construction Manager.

§ 2.1.5.4 If any estimate submitted to the Owner exceeds previously approved estimates or the Owner's budget, the Construction Manager shall make appropriate recommendations to the Owner and Architect and modify such estimates to reflect the Owner's acceptance of any such recommendations.

§ 2.1.6 SUBCONTRACTORS AND SUPPLIERS

The Construction Manager shall seek to develop subcontractor interest in the Project and shall furnish to the Owner and Architect for their information a list of possible subcontractors, including suppliers who are to furnish materials or equipment fabricated to a special design, from whom proposals will be requested for each principal portion of the Work. The Owner will reply in writing to the Construction Manager if the Architect or Owner knows of any objection to such subcontractor or supplier. The receipt of such list shall not require the Owner or Architect to investigate the qualifications of proposed subcontractors or suppliers, nor shall it waive the right of the Owner or Architect later to object to or reject any proposed subcontractor or supplier.

2.1.6.1 The Construction Manager will analyze all bids and proposals to verify that the proposals are complete and that no unacceptable qualifications are made. When negotiations are complete, the Construction Manager will submit to the Owner a summary of terms and conditions prior to awarding any Subcontract.

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2.1.6.2 The Construction Manager shall be solely and fully responsible for the performance of each of the Subcontractors and shall indemnify and hold harmless the Owner from and against any and all additional costs and liability in excess of the Guaranteed Maximum Price incurred as a result of failure of any Subcontractor to perform in accordance with the applicable Subcontract or the performance of such Subcontract in a negligent manner. In no event will any cost or expense resulting in any manner from the negligence, fault, breach or failure of any Subcontractor to perform be a Cost of the Work as defined in this Agreement.

§ 2.1.7 LONG-LEAD-TIME ITEMS

At its sole discretion; the Owner may direct the Construction Manager to proceed with critical aspects of the Work and the purchase of long lead time items prior to submission and approval of the Guaranteed Maximum Price. The Construction Manager will make recommendations and upon approval by the Owner and issuance of an amendment to the Contract, may undertake, performance of the Work, such items may include, but are not limited to, the purchase of materials with long lead time, selected demolition, excavation, site work or other work in phases as is appropriate to ensure completion of the Work within the time period required by the Contract Documents. Cost of the work, time and schedule for performing the work shall be substantiated by documentation satisfactory to the Owner.

§ 2.1.8 EXTENT OF RESPONSIBILITY

The Construction Manager does not warrant or guarantee estimates and schedules except as may be included as part of the Guaranteed Maximum Price. The recommendations and advice of the Construction Manager concerning design alternatives shall be subject to the review and approval of the Owner and the Owner's professional consultants. It is not the Construction Manager's responsibility to ascertain that the Drawings and Specifications are in accordance with applicable laws, statutes, and ordinances, building codes, rules and regulations. However, if the Construction Manager recognizes that portions of the Drawings and Specifications are at variance therewith, the Construction Manager shall promptly notify the Architect and Owner in writing.

§ 2.1.9 EQUAL EMPLOYMENT OPPORTUNITY AND AFFIRMATIVE ACTION

The Construction Manager shall comply with applicable laws, regulations and special requirements of the Contract Documents regarding equal employment opportunity and affirmative action programs.

§ 2.2 GUARANTEED MAXIMUM PRICE PROPOSAL AND CONTRACT TIME

§ 2.2.1 When the Drawings and Specifications are sufficiently complete, as determined by the Owner, the Construction Manager shall propose a Guaranteed Maximum Price, which shall be the sum of the estimated Cost of the Work and the Construction Manager's Fee. The GMP shall be organized in the format approved by the Owner.

§ 2.2.2 As the Drawings and Specifications may not be finished at the time the Guaranteed Maximum Price proposal is prepared, the Construction Manager shall provide in the Guaranteed Maximum Price for further development of the Drawings and Specifications by the Architect that is consistent with the Contract Documents and reasonably inferable therefrom. Such further development does not include such things as changes in scope, systems, kinds and quality of materials, finishes or equipment, all of which, if required, shall be incorporated by Change Order.

§ 2.2.3 The Guaranteed Maximum Price (GMP) shall include a Construction Contingency dollar amount as a percent of the Cost of the Work to be negotiated and identified in the GMP. The Construction Contingency will be applied to those Costs of the Work, which may arise during construction but which were not fully identified or defined as of the time of the establishment of the GMP. The Construction Contingency shall not be used to pay for work that is properly reimbursable as a Change Order. In no event will the Construction Contingency be available to cover any cost arising as a result of the following:

1. Errors and Omissions of the Construction Manager or any of its Subcontractors;
2. Losses not covered by insurance, including insurance deductibles, resulting from the neglect, negligence, or intentional act or omission of the Construction Manager or any of its subcontractors;
3. Acceleration of the Project Work in accordance with Paragraph 2.3.2.5.1 hereafter, including but not limited to overtime, premium time, additional manpower, additional shifts or other measure for expediting the work;

No expenditures from the Construction Contingency shall be made without prior written approval of the Owner, whose approval will not be unreasonable withheld, for (a) any change in excess of \$5,000 to any subcontract or (b) any use of payment of labor employed or hired directly by the Construction Manager. For subcontract changes less

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than \$5,000 the Owner's approval is not required. The Construction Manager shall account to the Owner for the allocation of the Construction Contingency monthly in a manner acceptable to the Owner.

§ 2.2.4 BASIS OF GUARANTEED MAXIMUM PRICE

The Construction Manager shall include with the Guaranteed Maximum Price proposal a written statement of its basis, which shall include:

- .1 A list of the Drawings and Specifications, including all addenda thereto and the Conditions of the Contract, which were used in preparation of the Guaranteed Maximum Price proposal.
- .2 A list of allowances and a statement of their basis.
- .3 A list of the clarifications and assumptions made by the Construction Manager in the preparation of the Guaranteed Maximum Price proposal to supplement the information contained in the Drawings and Specifications.
- .4 The proposed Guaranteed Maximum Price, including a statement of the estimated cost organized by trade categories, allowances, contingency, and other items and the Fee that comprise the Guaranteed Maximum Price.
- .5 All costs incurred for work performed or long lead time items purchased pursuant to Subparagraph 2.1.7 of this Agreement prior to acceptance of the Guaranteed Maximum Price.
- .6 If when subcontract representing ninety percent of the total scheduled subcontract value as shown in the GMP are approved and awarded to Subcontractors the aggregate amount of such subcontracts is less than the aggregate scheduled value of the same as shown in the GMP then the Owner may require that seventy-five percent of the difference be returned by Change Order for the Owner's use subject to the Construction Manager's consent which shall not be unreasonably withheld. The amounts of the Construction Manager's fee, General Conditions and Construction Contingency shall remain unchanged.
- .7 The Date of Substantial Completion upon which the proposed Guaranteed Maximum Price is based, and a schedule of the Construction Documents issuance dates upon which the date of Substantial Completion is based.

§ 2.2.5 The Construction Manager shall meet with the Owner and Architect to review the Guaranteed Maximum Price proposal and the written statement of its basis. In the event that the Owner or Architect discovers any inconsistencies or inaccuracies in the information presented, they shall promptly notify the Construction Manager, who shall make appropriate adjustments to the Guaranteed Maximum Price proposal, its basis, or both.

§ 2.2.6 Unless the Owner accepts the Guaranteed Maximum Price proposal in writing within thirty (30) days of receipt and so notifies the Construction Manager, the Guaranteed Maximum Price proposal shall not be effective without written acceptance by the Construction Manager.

§ 2.2.7 Prior to the Owner's acceptance of the Construction Manager's Guaranteed Maximum Price proposal and issuance of a Notice to Proceed, the Construction Manager shall not incur any cost to be reimbursed as part of the Cost of the Work, except as the Owner may specifically authorize in writing.

§ 2.2.8 Upon acceptance by the Owner of the Guaranteed Maximum Price proposal, the Guaranteed Maximum Price and its basis shall be set forth in Amendment No. 1. The Guaranteed Maximum Price shall be subject to additions and deductions by a change in the Work as provided in the Contract Documents, and the Date of Substantial Completion shall be subject to adjustment as provided in the Contract Documents.

§ 2.2.9 The Owner shall authorize and cause the Architect to revise the Drawings and Specifications to the extent necessary to reflect the agreed-upon assumptions and clarifications contained in Amendment No. 1. Such revised Drawings and Specifications shall be furnished to the Construction Manager in accordance with schedules agreed to by the Owner, Architect and Construction Manager. The Construction Manager shall promptly notify the Architect and Owner if such revised Drawings and Specifications are inconsistent with the agreed-upon assumptions and clarifications.

§ 2.2.10 The University of Connecticut is a tax exempt institution. The Construction Manager shall be familiar with the current regulations of the Department of Revenue Services. The tax on materials or supplies exempted by such regulation shall not be included as part of the Guaranteed Maximum Price. A sales tax certificate is available from the Owner upon written request.

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§ 2.3 CONSTRUCTION PHASE

§ 2.3.1 GENERAL

§ 2.3.1.1 The Construction Phase shall commence on the earlier of:

- (1) the Owner's acceptance of the Construction Manager's Guaranteed Maximum Price proposal and issuance of a Notice to Proceed, or
- (2) the Owner's first authorization to the Construction Manager to:
 - (a) award a subcontract, or
 - (b) undertake construction Work with the Construction Manager's own forces, or
 - (c) issue a purchase order for materials or equipment required for the Work.

§ 2.3.2 ADMINISTRATION

§ 2.3.2.1 Those portions of the Work that the Construction Manager does not customarily perform with the Construction Manager's own personnel shall be performed under subcontracts or by other appropriate agreements with the Construction Manager. The Construction Manager shall obtain bids from Subcontractors and from suppliers of materials or equipment fabricated to a special design for the Work from the list previously reviewed and, after analyzing such bids, shall deliver such bids to the Owner and Architect. The Owner will then determine, with the advice of the Construction Manager and subject to the reasonable objection of the Architect, which bids will be accepted. The Owner may designate specific persons or entities from whom the Construction Manager shall obtain bids; however, if the Guaranteed Maximum Price has been established, the Owner may not prohibit the Construction Manager from obtaining bids from other qualified bidders. The Construction Manager shall not be required to contract with anyone to whom the Construction Manager has reasonable objection.

§ 2.3.2.2 If the Guaranteed Maximum Price has been established and a specific bidder among those whose bids are delivered by the Construction Manager to the Owner and Architect (1) is recommended to the Owner by the Construction Manager; (2) is qualified to perform that portion of the Work; and (3) has submitted a bid which conforms to the requirements of the Contract Documents without reservations or exceptions, but the Owner requires that another bid be accepted, then the Construction Manager may request that a change in the Work be issued to adjust the Contract Time and the Guaranteed Maximum Price by the difference between the bid of the person or entity recommended to the Owner by the Construction Manager and the amount of the subcontract or other agreement actually signed with the person or entity designated by the Owner.

§ 2.3.2.3 Subcontracts and agreements with suppliers furnishing materials or equipment fabricated to a special design shall conform to the payment provisions of Sections 7.1.8 and 7.1.9 and shall not be awarded on the basis of cost plus a fee without the prior consent of the Owner.

§ 2.3.2.4 The Construction Manager shall schedule and conduct meetings at which the Owner, Architect, Construction Manager and appropriate Subcontractors can discuss the status of the Work. The Construction Manager shall prepare and promptly distribute meeting minutes.

§ 2.3.2.5 Promptly after the Owner's acceptance of the Guaranteed Maximum Price proposal, the Construction Manager shall prepare a schedule in accordance with Section 3.10 of A201™-1997, including the Owner's occupancy requirements.

§ 2.3.2.6 The Construction Manager shall provide monthly written reports to the Owner and Architect on the progress of the entire Work. The Construction Manager shall maintain a daily log containing a record of weather, Subcontractors working on the site, number of workers, Work accomplished, problems encountered and other similar relevant data as the Owner may reasonably require. The log shall be available to the Owner and Architect.

§ 2.3.2.7 The Construction Manager shall develop a system of cost control for the Work, including regular monitoring of actual costs for activities in progress and estimates for uncompleted tasks and proposed changes. The Construction Manager shall identify variances between actual and estimated costs and report the variances to the Owner and Architect at regular intervals.

2.3.2.8 The Construction Manager will provide administrative, management and related services as required to coordinate work of the trade contractors with each other and with the activities and responsibilities of the Construction Manager, the Owner, and the Architect to complete the Project in accordance with Owner's objectives

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for cost, time and quality and provide sufficient organization, personnel and management to carry out the requirements of this Agreement.

2.3.2.9 The Construction Manager will schedule and conduct preconstruction meetings, construction progress meetings, and preinstallation conferences to discuss such matters as procedures, progress, quality control, safety, scheduling and changes in the work and will prepare and promptly distribute minutes of meetings.

2.3.2.10 The Construction Manager will consistent with the project schedules developed pursuant to Paragraph 2.3.2.5 of this Agreement and as otherwise required by the Contract Documents and utilizing the trade contractors input, update the Project Construction Schedules not less than once a month or more frequently if necessary to accurately monitor job conditions and progress of the Project incorporating the activities of each trade contractor on the Project. The Construction Manager will include a sufficient number of activity sequences and durations to clearly define the project status and list the total number of man hours for each trade duration, allocation of labor and materials processing of Shop Drawings, Product data and samples and delivery of products requiring long lead time procurement.

2.3.2.11 The Construction Manager will provide regular monitoring of the approved Guaranteed Maximum Price, showing actual costs for activities in progress and estimates for uncompleted tasks.

2.3.2.12 The Construction Manager will consistent with the requirements of the project schedule and budget, endeavor to prevent and eliminate the necessity or requirement for any changes to the work and to the extent such changes are nevertheless determined to be necessary or desired by the Owner, make recommendations to the Architect and the Owner, prepare proposed changes orders, review requests for changes, negotiate trade contractor's change proposals and if change orders are acceptable sign change orders prepared by the Architect and Owner.

§ 2.4 PROFESSIONAL SERVICES

Section 3.12.10 of A201™-1997 shall apply to both the Preconstruction and Construction Phases.

§ 2.5 HAZARDOUS MATERIALS

Section 10.3 of A201™-1997 shall apply to both the Preconstruction and Construction Phases.

ARTICLE 3 OWNER'S RESPONSIBILITIES

§ 3.1 INFORMATION AND SERVICES

§ 3.1.1 The Owner shall provide available information in a timely manner regarding the requirements of the Project, including a program which sets forth the Owner's objectives, constraints and criteria, including space requirements and relationships, flexibility and expandability requirements, special equipment and systems, and site requirements.

§ 3.1.2 not used

§ 3.1.3 The Owner shall establish and update an overall budget for the Project, based on consultation with the Construction Manager and Architect, which shall include contingencies for changes in the Work and other costs which are the responsibility of the Owner.

§ 3.1.4 STRUCTURAL AND ENVIRONMENTAL TESTS, SURVEYS AND REPORTS

In the Preconstruction Phase, the Owner shall furnish the following with reasonable promptness and at the Owner's expense. Except to the extent that the Construction Manager knows of any inaccuracy, the Construction Manager shall be entitled to rely upon the accuracy of any such information, reports, surveys, drawings and tests described in Sections 3.1.4.1 through 3.1.4.4 but shall exercise customary precautions relating to the performance of the Work. The Owner does not represent that the information contained there is complete, but only that it constitutes a disclosure of information known to the Owner at this time regarding such conditions. The Construction Manager shall promptly advise the Owner in writing of any further tests, reports or recommendations by the Geotechnical Engineer or other consultants that it deems necessary.

§ 3.1.4.1 Reports, surveys, drawings and tests concerning the conditions of the site which are required by law.

§ 3.1.4.2 Surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a written legal description of the site. The surveys and legal information shall include, as applicable, grades and lines of streets, alleys, pavements and adjoining property and structures; adjacent drainage; rights-of-way,

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restrictions, easements, encroachments, zoning, deed restrictions, boundaries and contours of the site; locations, dimensions and necessary data pertaining to existing buildings, other improvements and trees; and information concerning available utility services and lines, both public and private, above and below grade, including inverts and depths. All information on the survey shall be referenced to a project benchmark.

§ 3.1.4.3 The services of a geotechnical engineer may be provided by the Owner. Such services may include but are not limited to test borings, test pits, determinations of soil bearing values, percolation tests, evaluations of hazardous materials, ground corrosion and resistivity tests, including necessary operations for anticipating subsoil conditions, with reports and appropriate professional recommendations.

§ 3.1.4.4 Structural, mechanical, chemical, air and water pollution tests, tests for hazardous materials, and other laboratory and environmental tests, inspections and reports which are required by law.

§ 3.1.4.5 The services of other consultants when such services are reasonably required by the scope of the Project and are requested in writing by the Construction Manager. The Construction Manager and its Subcontractors shall visit the Project Site and become acquainted with all existing conditions and may conduct any tests, examinations or inspections including but not necessarily limited to any subsurface investigations it deems necessary or as required by law, at Construction Manager's sole expense, to satisfy themselves as to existing conditions on the sites, including subsurface conditions. No such tests, examinations or inspections shall be conducted without the Owner's prior written approval and the Owner's approval of any engineer or consultant engaged to perform such test, examination or inspection.

§ 3.2 OWNER'S DESIGNATED REPRESENTATIVE

A staff member of the Owner shall be designated as the "University Representative". All contact and communication with the Owner shall be through the University Representative. The Owner also intends to retain the services of an outside Construction Administrator, who may be authorized to exercise certain contractual powers of the University Representative and/or the Architect. The Construction Manager will be advised in writing, as appropriate of the scope and nature of this Construction Administrator's role pursuant to these Contract Documents.

§ 3.3 ARCHITECT

The Owner has retained the Architect to provide certain architectural services with respect to the Project in accordance with the Agreement between Owner and Architect, a copy of which will be furnished to the Construction Manager upon request for its information.

§ 3.4 LEGAL REQUIREMENTS

In performing its obligations under this Agreement, the Construction Manager shall comply with all applicable statutes, laws, ordinances, regulations, codes, rules or orders of, or issued by any governmental body having jurisdiction over the Work, location of the Work or this Agreement.

ARTICLE 4 COMPENSATION AND PAYMENTS FOR PRECONSTRUCTION PHASE SERVICES

The Owner shall compensate and make payments to the Construction Manager for Preconstruction Phase services as follows:

§ 4.1 COMPENSATION

§ 4.1.1 For the services described in Sections 2.1 and 2.2, the Construction Manager's compensation shall be calculated as follows:

GMP

(State basis of compensation, whether a stipulated sum, multiple of Direct Personnel Expense, actual cost, etc. Include a statement of reimbursable cost items as applicable.)

§ 4.1.2 Compensation for Preconstruction Phase Services shall be equitably adjusted **Twenty (20)** if the originally contemplated scope of services is significantly modified.

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§ 4.1.3 If compensation is based on a multiple of Direct Personnel Expense, Direct Personnel Expense is defined as the direct salaries of the Construction Manager's personnel engaged in the Project and the portion of the cost of their mandatory and customary contributions and benefits related thereto, such as employment taxes and other statutory employee benefits, insurance, sick leave, holidays, vacations, pensions and similar contributions and benefits.

§ 4.2 PAYMENTS

§ 4.2.1 Payments shall be made monthly following presentation of the Construction Manager's invoice and, where applicable, shall be in proportion to services performed.

§ 4.2.2 Payments are due and payable **Thirty** (**30**) days from the date the Construction Manager's invoice is received and accepted by the Owner..
(Insert rate of interest agreed upon.)

per annum

(Usury laws and requirements under the Federal Truth in Lending Act, similar state and local consumer credit laws and other regulations at the Owner's and Contractor's principal places of business, the location of the Project and elsewhere may affect the validity of this provision. Legal advice should be obtained with respect to deletions or modifications, and also regarding requirements such as written disclosures or waivers.)

ARTICLE 5 COMPENSATION FOR CONSTRUCTION PHASE SERVICES

The Owner shall compensate the Construction Manager for Construction Phase services as follows:

§ 5.1 COMPENSATION

§ 5.1.1 For the Construction Manager's performance of the Work as described in Section 2.3, the Owner shall pay the Construction Manager in current funds the Contract Sum consisting of the Cost of the Work as defined in Article 7 and the Construction Manager's Fee determined as set forth in Subparagraph 5.1.1.1 through 5.1.1.4

100,000.00

(State a lump sum, percentage of actual Cost of the Work or other provision for determining the Construction Manager's Fee, and explain how the Construction Manager's Fee is to be adjusted for changes in the Work.)

5.1.1.1 The Construction Manager's Fee shall be _____ (_____ %) of the cost of the Work, excluding the Construction Contingency.

5.1.1.2 Upon the Owner's acceptance of the Guaranteed Maximum Price, the Construction Manager's Fee shall be converted to a lump sum amount equivalent to the above stated percentage of the Cost of the Work.

5.1.1.3 No increase to the Construction Manager's Fee will be allowed for Changes up to the amount of the savings returned under Subparagraph 2.2.4.6 of the Agreement. Further, no increase in Construction Manager's Fee will be allowed except in the case of an approved single change in the Work exceeding \$25,000 or in the event the Cost of the Work is increased as a result of approved change orders totaling more than 10% of the estimated Cost of the Work as set forth in the Guaranteed Maximum Price and only to that portion of the work in excess of 10% of the estimated Cost of the Work as included in the approved Guaranteed Maximum Price. Under such circumstances, the Construction Manager's Fee will be increased in accordance with the provisions of Paragraph 7.2 and 7.3 of AIA Document A201-1997 as supplemented.

5.1.1.4 There will be no reduction to the Construction Manager's Fee as a result of approved change orders which reduce the scope of work or in the event the actual Cost of the Work is less than that set forth in the Guaranteed Maximum Price as approved by the Owner.

§ 5.2 GUARANTEED MAXIMUM PRICE

§ 5.2.1 The sum of the Cost of the Work and the Construction Manager's Fee are guaranteed by the Construction Manager not to exceed the amount provided in Amendment No. 1, subject to additions and deductions by changes in the Work as provided in the Contract Documents. Such maximum sum as adjusted by approved changes in the Work is referred to in the Contract Documents as the Guaranteed Maximum Price. Costs which would cause the

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Guaranteed Maximum Price to be exceeded shall be paid by the Construction Manager without reimbursement by the Owner.

(Insert specific provisions if the Construction Manager is to participate in any savings.)

§ 5.3 CHANGES IN THE WORK

§ 5.3.1 Adjustments to the Guaranteed Maximum Price on account of changes in the Work subsequent to the execution of Amendment No. 1 may be determined by any of the methods listed in Section 7.3.3 of A201™-1997.

§ 5.3.2 In calculating adjustments to subcontracts (except those awarded with the Owner's prior consent on the basis of cost plus a fee), the terms "cost" and "fee" as used in Section 7.3.3.3 of A201™-1997 and the terms "costs" and "a reasonable allowance for overhead and profit" as used in Section 7.3.6 of A201™-1997 shall have the meanings assigned to them in that document and shall not be modified by this Article 5. Adjustments to subcontracts awarded with the Owner's prior consent on the basis of cost plus a fee shall be calculated in accordance with the terms of those subcontracts.

§ 5.3.3 In calculating adjustments to the Contract, the terms "cost" and "costs" as used in the above-referenced provisions of A201™-1997 shall mean the Cost of the Work as defined in Article 6 of this Agreement, and the term "and a reasonable allowance for overhead and profit" shall mean the Construction Manager's Fee as defined in Section 5.1.1 of this Agreement.

§ 5.3.4 not used

ARTICLE 6 COST OF THE WORK FOR CONSTRUCTION PHASE

§ 6.1 COSTS TO BE REIMBURSED

§ 6.1.1 The term "Cost of the Work" shall mean costs necessarily incurred by the Construction Manager in the proper performance of the Work. Such costs shall be at rates not higher than those customarily paid at the place of the Project except with prior consent of the Owner. The Cost of the Work shall include only the items set forth in this Article 6.

§ 6.1.2 LABOR COSTS

- .1 Wages of construction workers directly employed by the Construction Manager to perform the construction of the Work at the site or, with the Owner's agreement, at off-site workshops.
- .2 Wages or salaries of the Construction Manager's supervisory and administrative personnel when stationed at the site with the Owner's agreement. In no event shall the wages or salaries of the Construction Manager's supervisory and administrative personnel include individuals who are not assigned to the Project site on a full time basis without the prior written approval of the Owner.

Classification

Name

[Redacted Classification and Name fields]

(If it is intended that the wages or salaries of certain personnel stationed at the Construction Manager's principal office or offices other than the site office shall be included in the Cost of the Work, such personnel shall be identified below.)

- .3 not used
- .4 Costs paid or incurred by the Construction Manager for taxes, insurance, contributions, assessments and benefits required by law or collective bargaining agreements, and, for personnel not covered by such agreements, customary benefits such as sick leave, medical and health benefits, holidays, vacations and pensions, provided that such costs are based on wages and salaries included in the Cost of the Work under Sections 6.1.2.1 through 6.1.2.2.

§ 6.1.3 SUBCONTRACT COSTS

Payments made by the Construction Manager to Subcontractors in accordance with the requirements of the subcontracts.

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§ 6.1.4 COSTS OF MATERIALS AND EQUIPMENT INCORPORATED IN THE COMPLETED CONSTRUCTION

- .1 Costs, including transportation, of materials and equipment incorporated or to be incorporated in the completed construction.
- .2 Costs of materials described in the preceding Section 6.1.4.1 in excess of those actually installed but required to provide reasonable allowance for waste and for spoilage. Unused excess materials, if any, shall be handed over to the Owner at the completion of the Work or, at the Owner's option, shall be sold by the Construction Manager; amounts realized, if any, from such sales shall be credited to the Owner as a deduction from the Cost of the Work.

§ 6.1.5 COSTS OF OTHER MATERIALS AND EQUIPMENT, TEMPORARY FACILITIES AND RELATED ITEMS

- .1 Costs, including transportation, installation, maintenance, dismantling and removal of materials, supplies, temporary facilities, machinery, equipment, and hand tools not customarily owned by the construction workers, which are provided by the Construction Manager at the site and fully consumed in the performance of the Work; and cost less salvage value on such items if not fully consumed, whether sold to others or retained by the Construction Manager. Cost for items previously used by the Construction Manager shall mean fair market value.
- .2 Rental charges for temporary facilities, machinery, equipment and hand tools not customarily owned by the construction workers, which are provided by the Construction Manager at the site, whether rented from the Construction Manager or others, and costs of transportation, installation, minor repairs and replacements, dismantling and removal thereof. Rates and quantities of equipment rented shall be subject to the Owner's prior approval. Rental charges for all tools and equipment shall be according to the approved schedule of rentals. In no case shall the aggregate total rental cost for any tool or piece of equipment exceed the purchase price of a functionally comparable item. In no event will the Construction Manager be entitled to the cost of rentals from any affiliated entity or from itself or from its subcontractors.
- .3 Costs of removal of debris from the site.
- .4 Reproduction costs, costs of telegrams, facsimile transmissions and long-distance telephone calls, postage and express delivery charges, telephone at the site and reasonable petty cash expenses of the site office with prior approval of the Owner.
- .5 That portion of the reasonable travel and subsistence expenses of the Construction Manager's personnel incurred while traveling in discharge of duties connected with the Work with prior approval of the Owner.

§ 6.1.6 MISCELLANEOUS COSTS

- .1 That portion directly attributable to this Contract of premiums for insurance and bonds. Subject to provisions of Article 11 of AIA Document A201.
(If charges for self-insurance are to be included, specify the basis of reimbursement.)
- .2 Sales, use or similar taxes imposed by a governmental authority which are related to the Work and for which the Construction Manager is liable.
- .3 Fees and assessments for the building permit and for other permits, licenses and inspections for which the Construction Manager is required by the Contract Documents to pay.
- .4 Fees of testing laboratories for tests required by the Contract Documents, except those related to nonconforming Work other than that for which payment is permitted by Section 6.1.8.2.
- .5 Royalties and license fees paid for the use of a particular design, process or product required by the Contract Documents; the cost of defending suits or claims for infringement of patent or other intellectual property rights arising from such requirement by the Contract Documents.
- .6 Data processing costs related to the Work.
- .7 Deposits lost for causes other than the Construction Manager's negligence or failure to fulfill a specific responsibility to the Owner set forth in this Agreement.
- .8 not used
- .9 Expenses incurred in accordance with Construction Manager's standard personnel policy for relocation and temporary living allowances of personnel required for the Work, in case it is necessary to relocate such personnel from distant locations with the prior written approval of the Owner.
- .10 Such other direct costs as are customarily required for proper execution of the work provided such other direct costs itemized and are subject to the review and approval of the Owner.

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§ 6.1.7 OTHER COSTS

- .1 Other costs incurred in the performance of the Work if and to the extent approved in advance in writing by the Owner.

§ 6.1.8 EMERGENCIES AND REPAIRS TO DAMAGED OR NONCONFORMING WORK

The Cost of the Work shall also include costs described in Section 6.1.1 which are incurred by the Construction Manager:

- .1 In taking action to prevent threatened damage, injury or loss in case of an emergency affecting the safety of persons and property, as provided in Section 10.6 of A201™-1997.
- .2 In repairing or correcting damaged or nonconforming Work executed by the Construction Manager or the Construction Manager's Subcontractors or suppliers, provided that such damaged or nonconforming Work was not caused by the negligence or failure to fulfill a specific responsibility to the Owner set forth in this agreement of the Construction Manager or the Construction Manager's foremen, engineers or superintendents, or other supervisory, administrative or managerial personnel of the Construction Manager, or the failure of the Construction Manager's personnel to supervise adequately the Work of the Subcontractors or suppliers, and only to the extent that the cost of repair or correction is not recoverable by the Construction Manager from insurance, Subcontractors or suppliers.

§ 6.1.9 The costs described in Sections 6.1.1 through 6.1.8 shall be included in the Cost of the Work notwithstanding any provision of AIA or A201™-1997 other Conditions of the Contract which may require the Construction Manager to pay such costs, unless such costs are excluded by the provisions of Section 6.2.

§ 6.2 COSTS NOT TO BE REIMBURSED

§ 6.2.1 The Cost of the Work shall not include:

- .1 Salaries and other compensation of the Construction Manager's personnel stationed at the Construction Manager's principal office or offices other than the site office, except as specifically provided in Sections 6.1.2.2 and 6.1.2.3.
- .2 Expenses of the Construction Manager's principal office and offices other than the site office, except as specifically provided in Section 6.1.
- .3 Overhead and general expenses, except as may be expressly included in Section 6.1.
- .4 The Construction Manager's capital expenses, including interest on the Construction Manager's capital employed for the Work.
- .5 Rental costs of machinery and equipment, except as specifically provided in Section 6.1.5.2.
- .6 Except as provided in Section 6.1.8.2, costs due to the negligence of the Construction Manager or to the failure of the Construction Manager to fulfill a specific responsibility to the Owner set forth in this Agreement.
- .7 not used
- .8 Except as provided in Section 6.1.7.1, any cost not specifically and expressly described in Section 6.1.
- .9 Costs which would cause the Guaranteed Maximum Price to be exceeded.
- .10 Losses not covered by insurance, including deductibles.

§ 6.3 DISCOUNTS, REBATES AND REFUNDS

§ 6.3.1 Cash discounts obtained on payments made by the Construction Manager shall accrue to the Owner if (1) before making the payment, the Construction Manager included them in an Application for Payment and received payment therefor from the Owner, or (2) the Owner has deposited funds with the Construction Manager with which to make payments; otherwise, cash discounts shall accrue to the Construction Manager. Trade discounts, rebates, refunds and amounts received from sales of surplus materials and equipment shall accrue to the Owner, and the Construction Manager shall make provisions so that they can be secured.

§ 6.3.2 Amounts which accrue to the Owner in accordance with the provisions of Section 6.3.1 shall be credited to the Owner as a deduction from the Cost of the Work and shall be credited on the next monthly pay requisition after receipt..

§ 6.4 ACCOUNTING RECORDS

§ 6.4.1 The Construction Manager shall keep full and detailed accounts and exercise such controls as may be necessary for proper financial management under this Contract; the accounting and control systems shall be

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satisfactory to the Owner. The Owner and the Owner's accountants shall be afforded access to the Construction Manager's records, books, correspondence, instructions, drawings, receipts, subcontracts, purchase orders, vouchers, memoranda and other data relating to this Project, and the Construction Manager shall preserve these for a period of five years after final payment, or for such longer period as may be required by law.

ARTICLE 7 CONSTRUCTION PHASE

§ 7.1 PROGRESS PAYMENTS

§ 7.1.1 Based upon Applications for Payment submitted to the Owner by the Construction Manager in accordance with provisions of AIA A201, General Conditions, Article 9, the Owner shall make progress payments on account of the Contract Sum to the Construction Manager as provided in the Contract Documents.

§ 7.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

§ 7.1.3 Within thirty (30) days of receipt of Architect's Certification of a properly submitted and correct Application for Payment in accordance with provisions of AIA A201, General Conditions, Article 9, the Owner shall make payment to the Construction Manager

§ 7.1.4 With each Application for Payment, the Construction Manager shall submit payrolls, petty cash accounts, receipted invoices or invoices with check vouchers attached and any other evidence required by the Owner or Architect to demonstrate that cash disbursements already made by the Construction Manager on account of the Cost of the Work equal or exceed (1) progress payments already received by the Construction Manager; less (2) that portion of those payments attributable to the Construction Manager's Fee; plus (3) payrolls for the period covered by the present Application for Payment.

§ 7.1.5 not used

§ 7.1.6 Applications for Payment shall show the percentage completion of each portion of the Work as of the end of the period covered by the Application for Payment. The percentage completion shall be the lesser of (1) the percentage of that portion of the Work which has actually been completed or (2) the percentage obtained by dividing (a) the expense which has actually been incurred by the Construction Manager on account of that portion of the Work for which the Construction Manager has made or intends to make actual payment prior to the next Application for Payment by (b) the share of the Guaranteed Maximum Price allocated to that portion of the Work in the schedule of values.

§ 7.1.7 Subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

- .1 Take that portion of the Guaranteed Maximum Price properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Guaranteed Maximum Price allocated to that portion of the Work in the schedule of values.
- .2 Add that portion of the Guaranteed Maximum Price properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work or, if approved in advance by the Owner, in accordance with paragraph 9.3.2 of AIA A201 suitably stored off the site at a location agreed upon in writing.
- .3 Add the Construction Manager's Fee, less retainage of **Ten Percent (10%)**. The Construction Manager's Fee shall be computed upon the Cost of the Work described in the two preceding Sections at the rate stated in Section 5.1.1 or, if the Construction Manager's Fee is stated as a fixed sum in that Section, shall be an amount which bears the same ratio to that fixed-sum Fee as the Cost of the Work in the two preceding Sections bears to a reasonable estimate of the probable Cost of the Work upon its completion.
- .4 Subtract the aggregate of previous payments made by the Owner.
- .5 Subtract the shortfall, if any, indicated by the Construction Manager in the documentation required by Section 7.1.4 to substantiate prior Applications for Payment, or resulting from errors subsequently discovered by the Owner's accountants in such documentation.
- .6 Subtract amounts, if any, for which the Architect has withheld or nullified a Certificate for Payment as provided in Section 9.5 of A201TM-1997.

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§ 7.1.8 For every contract with the University for construction, alteration, or repair of any building or work:

- .1 the Construction Manager within thirty (30) days after payment to the Construction Manger by the Owner, shall be required to pay any amounts due any Subcontractor, whether for labor performed or materials furnished, when the labor or materials have been included in a requisition submitted by the Construction Manager and paid by the Owner.
- .2 the Construction Manager shall include in each of its subcontracts a provision requiring each Subcontractor to pay any amounts due any of its Subcontractors, whether for labor performed or materials furnished, within 30 days after each Subcontractor receives a payment from the Construction Manager which encompasses labor or materials furnished by such Subcontractor.

§ 7.1.9 Except with the Owner's prior approval, the Construction Manager shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

§ 7.1.10 not used

§ 7.2 FINAL PAYMENT

§ 7.2.1 Final payment shall be made by the Owner to the Construction Manager when (1) the Contract has been fully performed by the Construction Manager except for the Construction Manager's responsibility to satisfy other requirements, if any, which necessarily survive final payment; (2) a final Application for Payment and a final accounting for the Cost of the Work have been submitted by the Construction Manager and reviewed by the Owner's accountants; and (3) a final Certificate for Payment has then been issued by the University Representative; such final payment shall be made by the Owner not more than 30 days after the issuance of the University Representative's final Certificate for Payment, or as follows:

§ 7.2.2 The amount of the final payment shall be calculated as follows:

- .1 Take the sum of the Cost of the Work substantiated by the Construction Manager's final accounting and the Construction Manager's Fee, but not more than the Guaranteed Maximum Price.
- .2 Subtract amounts, if any, for which the University Representative withholds, in whole or in part, a final Certificate for Payment as provided in Section 9.5.1 of A201™-1997 or other provisions of the Contract Documents.
- .3 Subtract the aggregate of previous payments made by the Owner.

If the aggregate of previous payments made by the Owner exceeds the amount due the Construction Manager, the Construction Manager shall reimburse the difference to the Owner.

§ 7.2.3 The Owner's accountants will review and report in writing on the Construction Manager's final accounting within 30 days after delivery of the final accounting to the Architect by the Construction Manager. Based upon such Cost of the Work as the Owner's accountants report to be substantiated by the Construction Manager's final accounting, and provided the other conditions of Section 7.2.1 have been met, the Architect will, within seven days after receipt of the written report of the Owner's accountants, either issue to the Owner a final Certificate for Payment with a copy to the Construction Manager or notify the Construction Manager and Owner in writing of the Architect's reasons for withholding a certificate as provided in Section 9.5.1 of A201™-1997. The time periods stated in this Section 7.2 supersede those stated in Section 9.4.1 of A201™-1997.

§ 7.2.4 If the Owner's accountants report the Cost of the Work as substantiated by the Construction Manager's final accounting to be less than claimed by the Construction Manager, the Construction Manager shall be entitled to proceed in accordance with Article 9 without a further decision of the Architect. Unless agreed to otherwise, a demand for mediation or arbitration of the disputed amount shall be made by the Construction Manager within 60 days after the Construction Manager's receipt of a copy of the Architect's final Certificate for Payment. Failure to make such demand within this 60-day period shall result in the substantiated amount reported by the Owner's accountants becoming binding on the Construction Manager. Pending a final resolution of the disputed amount, the Owner shall pay the Construction Manager the amount certified in the Architect's final Certificate for Payment.

§ 7.2.5 If, subsequent to final payment and at the Owner's request, the Construction Manager incurs costs described in Section 6.1 and not excluded by Section 6.2 (1) to correct nonconforming Work or (2) arising from the resolution

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of disputes, the Owner shall reimburse the Construction Manager such costs and the Construction Manager's Fee, if any, related thereto on the same basis as if such costs had been incurred prior to final payment, but not in excess of the Guaranteed Maximum Price.

ARTICLE 8 INSURANCE AND BONDS

§ 8.1 INSURANCE REQUIRED OF THE CONSTRUCTION MANAGER

During both phases of the Project, the Construction Manager shall be bound by and may be subject to inclusion in the Owner Controlled Insurance Program as set forth in Articles 11 or 11A of A201™-1997.

§ 8.1.1 not used

§ 8.1.2 not used

§ 8.1.3 not used

§ 8.1.4 not used

(If Umbrella Excess Liability coverage is required over the primary insurance or retention, insert the coverage limits. Commercial General Liability and Automobile Liability limits may be attained by individual policies or by a combination of primary policies and Umbrella and/or Excess Liability policies. If Project Management Protective Liability Insurance is to be provided, state the limits here.)

§ 8.2 INSURANCE REQUIRED OF THE OWNER

Not used

§ 8.2.1 not used

§ 8.2.2 not used.

(If not a blanket policy, list the objects to be insured.)

§ 8.3 PERFORMANCE BOND AND PAYMENT BOND

§ 8.3.1 The Contractor shall furnish to the Owner Performance and Payment Bonds pursuant to the requirements of Connecticut General Statutes Section 49-41 et. seq. The Construction Manager shall furnish bonds covering faithful performance of the Contract and payment of obligations arising thereunder. Each such Bond shall be in a form acceptable to the Owner and shall be provided by a Surety Company licensed to do business in the State of Connecticut and that is acceptable to the Owner; and is named in the current list of "Surety Companies Acceptable on Federal Bonds" as published in the "Treasury Department Circular 570". The Surety Company's underwriting limitation, as further set forth in "Treasury Department Circular 570", must not be less than the full amount required by the bond itself. The amount of each bond shall be equal to **One Hundred Percent (100%)** of the Contract Sum.

§ 8.3.2 The Construction Manager shall deliver the required bonds to the Owner with the Amendment intended to authorized the start of construction together with the Wage Certification Form, fully completed and executed before the commencement of any Work at the Project site.

ARTICLE 9 MISCELLANEOUS PROVISIONS

§ 9.1 DISPUTE RESOLUTION

§ 9.1.1 Any controversy, claim or dispute between the parties arising out of, or relating to this Agreement or the breach thereof will be resolved in accordance with the procedures set forth in Subparagraph 4.4.1 of the AIA A201, General Conditions.

- .1 pending final resolution of any controversy, claim or dispute, including by mediation and arbitration, the Construction Manager shall proceed diligently with the performance of all Pre-construction Phase

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Services under this Agreement and the Owner shall continue to make payments in accordance with the Contract Documents.

- .2 pending final resolution of any controversy, claim or dispute, including mediation and arbitration, the Construction Manager shall proceed diligently with the performance of all Construction Phase Services under this Agreement and the Owner shall continue to make payments in accordance with the Contract Documents.

§ 9.2 OTHER PROVISIONS

§ 9.2.1 Unless otherwise noted, the terms used in this Agreement shall have the same meaning as those in A201™-1997, *General Conditions of the Contract for Construction*.

§ 9.2.2 EXTENT OF CONTRACT

This Contract, which includes this Agreement and the other documents incorporated herein by reference, represents the entire and integrated agreement between the Owner and the Construction Manager and supersedes all prior negotiations, representations or agreements, either written or oral. This Agreement may be amended only by written instrument signed by both the Owner and Construction Manager. If anything in any document incorporated into this Agreement is inconsistent with this Agreement, this Agreement shall govern.

§ 9.2.3 OWNERSHIP AND USE OF DOCUMENTS

Article 1.6 of A201™-1997 shall apply to both the Preconstruction and Construction Phases.

§ 9.2.4 GOVERNING LAW

The Contract shall be governed by the law of the place where the Project is located.

§ 9.2.5 ASSIGNMENT

The Owner and Construction Manager respectively bind themselves, their partners, successors, assigns and legal representatives to the other party hereto and to partners, successors, assigns and legal representatives of such other party in respect to covenants, agreements and obligations contained in the Contract Documents. Except as provided in Section 13.2.2 of A201™-1997, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make such an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

9.2.6 In the event that any provision hereof, shall be deemed to be invalid or unenforceable in any context, such invalidity or unenforceability shall affect only the particular provision in the particular context and shall not have any effect upon the remaining provision hereof, or the application of the challenged provision in any other context.

ARTICLE 10 TERMINATION OR SUSPENSION

§ 10.1 TERMINATION PRIOR TO ESTABLISHING GUARANTEED MAXIMUM PRICE

§ 10.1.1 Prior to execution by both parties of Amendment No. 1 establishing the Guaranteed Maximum Price, the Owner may terminate this Contract at any time without cause, and the Construction Manager may terminate this Contract for any of the reasons described in Section 14.1.1 of A201™-1997.

§ 10.1.2 If the Owner or Construction Manager terminates this Contract pursuant to this Section 10.1 prior to commencement of the Construction Phase, the Construction Manager shall be equitably compensated for Preconstruction Phase Services performed prior to receipt of notice of termination; provided, however, that the compensation for such services shall not exceed the compensation set forth in Section 4.1.1.

§ 10.1.3 If the Owner or Construction Manager terminates this Contract pursuant to this Section 10.1 after commencement of the Construction Phase, the Construction Manager shall, in addition to the compensation provided in Section 10.1.2, be paid an amount calculated as follows:

- .1 Take the Cost of the Work incurred by the Construction Manager.
- .2 Add the Construction Manager's Fee computed upon the Cost of the Work to the date of termination at the rate stated in Section 5.1 or, if the Construction Manager's Fee is stated as a fixed sum in that Section, an amount which bears the same ratio to that fixed-sum Fee as the Cost of the Work at the time of termination bears to a reasonable estimate of the probable Cost of the Work upon its completion.
- .3 Subtract the aggregate of previous payments made by the Owner on account of the Construction Phase.

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The Owner shall also pay the Construction Manager fair compensation, either by purchase or rental at the election of the Owner, for any equipment owned by the Construction Manager which the Owner elects to retain and which is not otherwise included in the Cost of the Work under Section 10.1.3.1. To the extent that the Owner elects to take legal assignment of subcontracts and purchase orders (including rental agreements), the Construction Manager shall, as a condition of receiving the payments referred to in this Article 10, execute and deliver all such papers and take all such steps, including the legal assignment of such subcontracts and other contractual rights of the Construction Manager, as the Owner may require for the purpose of fully vesting in the Owner the rights and benefits of the Construction Manager under such subcontracts or purchase orders.

Subcontracts, purchase orders and rental agreements entered into by the Construction Manager with the Owner's written approval prior to the execution of Amendment No. 1 shall contain provisions permitting assignment to the Owner as described above. If the Owner accepts such assignment, the Owner shall reimburse or indemnify the Construction Manager with respect to all costs arising under the subcontract, purchase order or rental agreement except those which would not have been reimbursable as Cost of the Work if the contract had not been terminated. If the Owner elects not to accept the assignment of any subcontract, purchase order or rental agreement which would have constituted a Cost of the Work had this agreement not been terminated, the Construction Manager shall terminate such subcontract, purchase order or rental agreement and the Owner shall pay the Construction Manager the costs necessarily incurred by the Construction Manager by reason of such termination.

§ 10.2 TERMINATION SUBSEQUENT TO ESTABLISHING GUARANTEED MAXIMUM PRICE

Subsequent to execution by both parties of Amendment No. 1, the Contract may be terminated as provided in Article 14 of A201™-1997.

§ 10.2.1 not used

§ 10.2.2 not used

§ 10.3 SUSPENSION

The Work may be suspended by the Owner as provided in Article 14 of A201™-1997; in such case, the Guaranteed Maximum Price, if established, shall be increased as provided in Section 14.3.2 of A201™-1997 except that the term "cost of performance of the Contract" in that Section shall be understood to mean the Cost of the Work and the term "profit" shall be understood to mean the Construction Manager's Fee as described in Sections 5.1.1 of this Agreement.

ARTICLE 11 OTHER CONDITIONS AND SERVICES

11.2 LIQUIDATED DAMAGES

Time is of the essence to the Contract Documents and all obligations there under. The construction Manager acknowledges and recognizes that (1) the Owner is entitled to full and beneficial occupancy and used of the completed Work immediately following expiration of the Contract Time and (2) the Owner has entered into, or will enter into binding agreements demising all or part of the premises where Work is to be completed based upon the Contractor achieving Substantial Completion of the Work within the Contract Time. The Construction Manager further acknowledges and agrees that if the Construction Manager fails to complete substantially, or cause the Substantial Completion of any portion of the Work, within the Contract Time, the Owner will sustain extensive damages and serious loss as a result of such failure. The exact amount of such damages will be extremely difficult to ascertain. Therefore, the Owner and Construction Manager agree as follows:

11.2.1 If the Construction Manager fails to achieve Substantial Completion of the Work within the Contract Time and as otherwise required by the Contract Documents, the Owner shall be entitled to retain or recover from the Construction Manager, as liquidated damages and not as a penalty, the sum of \$ _____, commencing upon the first day following the expiration of the Contract Time for Substantial Completion and continuing until the actual Date of Substantial Completion. Such liquidated damages are hereby agreed to be a reasonable pre-estimate of damages the Owner will incur as a result of delayed completion of the Work.

11.2.2 The Owner may deduct liquidated damages described in Paragraph 11.2.1 from any unpaid amounts then or thereafter due to the Construction Manager under this Agreement. Any liquidated damages not so deducted from

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any unpaid amounts due the Construction Manager shall be payable to the Owner at the demand of the Owner, together with interest from the date of the demand at the legal rate.

This Agreement entered into as of the day and year first written above.

OWNER

CONSTRUCTION MANAGER

(Signature)

(Signature)

(Printed name and title)

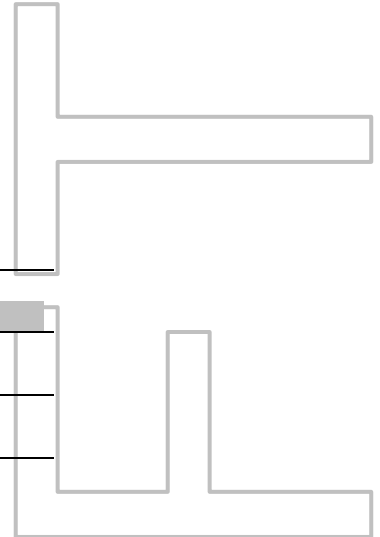
(Printed name and title)

Date

Date

ATTEST

ATTEST



DRAFT AIA® Document A101™ - 1997

Standard Form of Agreement Between Owner and Contractor
where the basis of payment is a STIPULATED SUM

AGREEMENT made as of the Twentieth day of July in the year of Two Thousand and Five
(In words, indicate day, month and year)

BETWEEN the Owner:
(Name, address and other information)

Architectural and Engineering Services, University of Connecticut
31 LeDoyt Road, Unit 3047
Storrs, Connecticut 06269
Telephone Number: 860.486.2618
Fax Number: 860.486.1953

and the Contractor:
(Name, address and other information)

sample
sample
Telephone Number: sample

The Project is:
(Name and location)

SAMPLE
MINI-MAC Contract

The Architect is:
(Name, address and other information)

sample
sample
Telephone Number: sample
Fax Number: sample

The Owner and Contractor agree as follows.



ADDITIONS AND DELETIONS:
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

AIA Document A201-1997, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

This document has been approved and endorsed by The Associated General Contractors of America.

ELECTRONIC COPYING of any portion of this AIA® Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

Attachment B

ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of MAC Project Information Sheet, the Invitation to Bid, the Notice and Instructions to Bidders, Proposal, as accepted by the University, Performance and Payment Bonds, this Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement and Modifications issued after execution of this Agreement; these form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral. An enumeration of the Contract Documents, other than Modifications, appears in Article 8.

ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except to the extent specifically indicated in the Contract Documents to be the responsibility of others.

ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be the date of this Agreement unless a different date is stated below or provision is made for the date to be fixed in a notice to proceed issued by the Owner.

(Insert the date of commencement if it differs from the date of this Agreement or, if applicable, state that the date will be fixed in a notice to proceed.)

Date of Commencement will be stated in the Notice to Proceed.

If, prior to the commencement of the Work, the Owner requires time to file mortgages, mechanic's liens and other security interests, the Owner's time requirement shall be as follows:

§ 3.2 The Contract Time shall be measured from the date of commencement.

§ 3.3 The Contractor shall achieve Substantial Completion of the entire Work not later than _____ days from the date of commencement, or as follows:

(Insert number of calendar days. Alternatively, a calendar date may be used when coordinated with the date of commencement. Unless stated elsewhere in the Contract Documents, insert any requirements for earlier Substantial Completion of certain portions of the Work.)

Portion of Work

Substantial Completion Date

, subject to adjustments of this Contract Time as provided in the Contract Documents.

(Insert provisions, if any, for liquidated damages relating to failure to complete on time or for bonus payments for early completion of the Work.)

Liquidated Damages shall be assessed as provided in Paragraph 9.11 of the AIA Document A201

ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be **One Dollar and Zero Cents (\$ 1.00)**, subject to additions and deductions as provided in the Contract Documents.

§ 4.2 The Contract Sum is based upon the following alternates, if any, which are described in the Contract Documents and are hereby accepted by the Owner:

(State the numbers or other identification of accepted alternates. If decisions on other alternates are to be made by the Owner subsequent to the execution of this Agreement, attach a schedule of such other alternates showing the amount for each and the date when that amount expires)

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§ 4.3 Unit prices, if any, are as follows:

Description	Units	Price (\$ 0.00)
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ARTICLE 5 PAYMENTS

§ 5.1 PROGRESS PAYMENTS

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

§ 5.1.3 Within Thirty (30) days of receipt Architect's Certification of a properly submitted and correct Application for Payment in accordance with provisions of AIA A201, General Conditions, Article 9, the Owner shall make payment to the Contractor.

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall indicate the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 Subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

- 1 Take that portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values, less retainage of **Ten Percent (10%)**;
- 2 Add that portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction (or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing), less retainage of **Ten Percent (10%)**;
- 3 Subtract the aggregate of previous payments made by the Owner; and
- 4 Subtract amounts, if any, for which the University Representative has withheld or nullified a Certificate for Payment as provided in Section 9.5 of AIA Document A201-1997.

§ 5.1.7 The progress payment amount determined in accordance with Section 5.1.6 shall be further modified under the following circumstances:

- 1 Add, upon Substantial Completion of the Work, a sum sufficient to increase the total payments to the full amount of the Contract Sum, less such amounts as the University Representative shall determine for incomplete Work, retainage applicable to such work and unsettled claims; and
(Section 9.8.5 of AIA Document A201-1997 requires release of applicable retainage upon Substantial Completion of Work with consent of surety, if any.)

Attachment B

- .2 Add, if final completion of the Work is thereafter materially delayed through no fault of the Contractor, any additional amounts payable in accordance with Section 9.10.3 of AIA Document A201-1997.

§ 5.1.8 Reduction or limitation of retainage, if any, shall be as follows:

(If it is intended, prior to Substantial Completion of the entire Work, to reduce or limit the retainage resulting from the percentages inserted in Sections 5.1.6.1 and 5.1.6.2 above, and this is not explained elsewhere in the Contract Documents, insert here provisions for such reduction or limitation.)

§ 5.1.9 Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

§ 5.2 FINAL PAYMENT

§ 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when:

- .1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Section 12.2.2 of AIA Document A201-1997, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment has been issued by the University Representative .

§ 5.2.2 The Owner's final payment to the Contractor shall be made no later than 30 days of receipt of the Architect's final Certificate for Payment.

ARTICLE 6 TERMINATION OR SUSPENSION

§ 6.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201-1997.

§ 6.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201-1997.

ARTICLE 7 MISCELLANEOUS PROVISIONS

§ 7.1 Where reference is made in this Agreement to a provision of AIA Document A201-1997 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 7.2

(Insert rate of interest agreed upon, if any.)

() per annum

§ 7.3

(Name, address and other information)

31 LeDoyt Road, Unit 3047
Storrs, Connecticut 06269

§ 7.4

(Name, address and other information)

Attachment B

sample

§ 7.5

§ 7.6 Other provisions:

The Contractor is hereby specifically cautioned that unless specifically authorized, in writing, by the University's Vice President for Administration and Operations Services, on a case by case basis, the Contractor shall have no right to use, and shall not use, in any manner, the name of the University of Connecticut, its officials or employees, or the Seal of the University;

- a) in any advertising, publicity, promotion nor;
- b) to express or to imply any endorsement of Contractor's work product or services

ARTICLE 8 ENUMERATION OF CONTRACT DOCUMENTS

§ 8.1 The Contract Documents, except for Modifications issued after execution of this Agreement, are enumerated as follows:

§ 8.1.1 The Agreement is this executed 1997 edition of the Standard Form of Agreement Between Owner and Contractor, AIA Document A101-1997.

§ 8.1.2 The General Conditions are the 1997 edition of the General Conditions of the Contract for Construction, AIA Document A201-1997.

§ 8.1.3 The Supplementary and other Conditions of the Contract are those contained in the Project Manual dated , and are as follows

Document	Title	Pages
A201	General Conditions	

§ 8.1.4 The Specifications are those contained in the Project Manual dated as in Section 8.1.3, and are as follows: *(Either list the Specifications here or refer to an exhibit attached to this Agreement.)*

Title of Specifications exhibit:

§ 8.1.5 The Drawings are as follows, and are dated unless a different date is shown below:

(Either list the Drawings here or refer to an exhibit attached to this Agreement.)

Title of Drawings exhibit:

§ 8.1.6 The Addenda, if any, are as follows:

Number	Date	Pages
--------	------	-------

Portions of Addenda relating to bidding requirements are not part of the Contract Documents unless the bidding requirements are also enumerated in this Article 8.

§ 8.1.7 Other documents, if any, forming part of the Contract Documents are as follows:

(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201-1997 provides that bidding requirements such as advertisement or invitation to bid, Instructions to Bidders, sample forms and the Contractor's bid are not part of the Contract Documents unless enumerated in this Agreement. They should be listed here only if intended to be part of the Contract Documents.)

MAC Project Information Sheet

Invitation to Bid

Notice and Instructions to Bidders

Proposal Form, as accepted by the University, including Proposal Form Sheets and all attachments

Performance and Payment Bonds

Attachment B

This Agreement is entered into as of the day and year first written above and is executed in at least three original copies, of which one is to be delivered to the Contractor, one to the Architect for use in the administration of the Contract, and the remainder to the Owner.

OWNER *(Signature)*

Duly authorized, 10a-109d(a)(5)
Linda Flaherty-Goldsmith
Vice President & Chief Operating Officer

(Printed name and title)

CONTRACTOR *(Signature)*

[Redacted Signature]

(Printed name and title)



Attachment B
Certification By Agency Official or Employee
Authorized to Execute Contracts

I, Linda Flaherty-Goldsmith am authorized to execute the attached contract on behalf of the University of Connecticut (agency name). I hereby certify that the selection of the person, firm or corporation for the attached large state contract, was not the result of collusion, the giving of a gift or the promise of a gift, compensation, fraud or inappropriate influence from any person.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Signature

Date

Sworn and subscribed before me on this _____ day of _____, 200__

Commissioner of the Superior Court
Notary Public



DRAFT AIA® Document A101™ - 1997

Standard Form of Agreement Between Owner and Contractor
where the basis of payment is a STIPULATED SUM

AGREEMENT made as of the Twentieth day of July in the year of Two Thousand and Five
(In words, indicate day, month and year)

BETWEEN the Owner:
(Name, address and other information)

Architectural and Engineering Services, University of Connecticut
31 LeDoyt Road, Unit 3047
Storrs, Connecticut 06269
Telephone Number: 860.486.2618
Fax Number: 860.486.1953

and the Contractor:
(Name, address and other information)

sample
sample
Telephone Number: sample

The Project is:
(Name and location)

SAMPLE
MAC Contract

The Architect is:
(Name, address and other information)

sample
sample
Telephone Number: sample
Fax Number: sample

The Owner and Contractor agree as follows.



ADDITIONS AND DELETIONS:
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

AIA Document A201-1997, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

This document has been approved and endorsed by The Associated General Contractors of America.

ELECTRONIC COPYING of any portion of this AIA® Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

Attachment C

ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of MAC Project Information Sheet, the Invitation to Bid, the Notice and Instructions to Bidders, Proposal, as accepted by the University, Performance and Payment Bonds, this Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement and Modifications issued after execution of this Agreement; these form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral. An enumeration of the Contract Documents, other than Modifications, appears in Article 8.

ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except to the extent specifically indicated in the Contract Documents to be the responsibility of others.

ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be the date of this Agreement unless a different date is stated below or provision is made for the date to be fixed in a notice to proceed issued by the Owner.

(Insert the date of commencement if it differs from the date of this Agreement or, if applicable, state that the date will be fixed in a notice to proceed.)

Date of Commencement will be stated in the Notice to Proceed.

If, prior to the commencement of the Work, the Owner requires time to file mortgages, mechanic's liens and other security interests, the Owner's time requirement shall be as follows:

§ 3.2 The Contract Time shall be measured from the date of commencement.

§ 3.3 The Contractor shall achieve Substantial Completion of the entire Work not later than _____ days from the date of commencement, or as follows:

(Insert number of calendar days. Alternatively, a calendar date may be used when coordinated with the date of commencement. Unless stated elsewhere in the Contract Documents, insert any requirements for earlier Substantial Completion of certain portions of the Work.)

Portion of Work

Substantial Completion Date

, subject to adjustments of this Contract Time as provided in the Contract Documents.

(Insert provisions, if any, for liquidated damages relating to failure to complete on time or for bonus payments for early completion of the Work.)

Liquidated Damages shall be assessed as provided in Paragraph 9.11 of the AIA Document A201

ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be **One Dollar and Zero Cents (\$ 1.00)**, subject to additions and deductions as provided in the Contract Documents.

§ 4.2 The Contract Sum is based upon the following alternates, if any, which are described in the Contract Documents and are hereby accepted by the Owner:

(State the numbers or other identification of accepted alternates. If decisions on other alternates are to be made by the Owner subsequent to the execution of this Agreement, attach a schedule of such other alternates showing the amount for each and the date when that amount expires)

Attachment C

§ 4.3 Unit prices, if any, are as follows:

Description	Units	Price (\$ 0.00)

ARTICLE 5 PAYMENTS

§ 5.1 PROGRESS PAYMENTS

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

§ 5.1.3 Within Thirty (30) days of receipt Architect's Certification of a properly submitted and correct Application for Payment in accordance with provisions of AIA A201, General Conditions, Article 9, the Owner shall make payment to the Contractor.

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall indicate the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 Subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

- 1 Take that portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values, less retainage of **Ten Percent (10%)**;
- 2 Add that portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction (or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing), less retainage of **Ten Percent (10%)**;
- 3 Subtract the aggregate of previous payments made by the Owner; and
- 4 Subtract amounts, if any, for which the University Representative has withheld or nullified a Certificate for Payment as provided in Section 9.5 of AIA Document A201-1997.

§ 5.1.7 The progress payment amount determined in accordance with Section 5.1.6 shall be further modified under the following circumstances:

- 1 Add, upon Substantial Completion of the Work, a sum sufficient to increase the total payments to the full amount of the Contract Sum, less such amounts as the University Representative shall determine for incomplete Work, retainage applicable to such work and unsettled claims; and
(Section 9.8.5 of AIA Document A201-1997 requires release of applicable retainage upon Substantial Completion of Work with consent of surety, if any.)

Attachment C

- .2 Add, if final completion of the Work is thereafter materially delayed through no fault of the Contractor, any additional amounts payable in accordance with Section 9.10.3 of AIA Document A201-1997.

§ 5.1.8 Reduction or limitation of retainage, if any, shall be as follows:

(If it is intended, prior to Substantial Completion of the entire Work, to reduce or limit the retainage resulting from the percentages inserted in Sections 5.1.6.1 and 5.1.6.2 above, and this is not explained elsewhere in the Contract Documents, insert here provisions for such reduction or limitation.)

§ 5.1.9 Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

§ 5.2 FINAL PAYMENT

§ 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when:

- .1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Section 12.2.2 of AIA Document A201-1997, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment has been issued by the University Representative .

§ 5.2.2 The Owner's final payment to the Contractor shall be made no later than 30 days of receipt of the Architect's final Certificate for Payment.

ARTICLE 6 TERMINATION OR SUSPENSION

§ 6.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201-1997.

§ 6.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201-1997.

ARTICLE 7 MISCELLANEOUS PROVISIONS

§ 7.1 Where reference is made in this Agreement to a provision of AIA Document A201-1997 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 7.2

(Insert rate of interest agreed upon, if any.)

() per annum

§ 7.3

(Name, address and other information)

31 LeDoyt Road, Unit 3047
Storrs, Connecticut 06269

§ 7.4

(Name, address and other information)

Attachment C

sample

§ 7.5

§ 7.6 Other provisions:

The Contractor is hereby specifically cautioned that unless specifically authorized, in writing, by the University's Vice President for Administration and Operations Services, on a case by case basis, the Contractor shall have no right to use, and shall not use, in any manner, the name of the University of Connecticut, its officials or employees, or the Seal of the University;

- a) in any advertising, publicity, promotion nor;
- b) to express or to imply any endorsement of Contractor's work product or services

ARTICLE 8 ENUMERATION OF CONTRACT DOCUMENTS

§ 8.1 The Contract Documents, except for Modifications issued after execution of this Agreement, are enumerated as follows:

§ 8.1.1 The Agreement is this executed 1997 edition of the Standard Form of Agreement Between Owner and Contractor, AIA Document A101-1997.

§ 8.1.2 The General Conditions are the 1997 edition of the General Conditions of the Contract for Construction, AIA Document A201-1997.

§ 8.1.3 The Supplementary and other Conditions of the Contract are those contained in the Project Manual dated , and are as follows

Document	Title	Pages
A201	General Conditions	

§ 8.1.4 The Specifications are those contained in the Project Manual dated as in Section 8.1.3, and are as follows: *(Either list the Specifications here or refer to an exhibit attached to this Agreement.)*

Title of Specifications exhibit:

§ 8.1.5 The Drawings are as follows, and are dated unless a different date is shown below:

(Either list the Drawings here or refer to an exhibit attached to this Agreement.)

Title of Drawings exhibit:

§ 8.1.6 The Addenda, if any, are as follows:

Number	Date	Pages
--------	------	-------

Portions of Addenda relating to bidding requirements are not part of the Contract Documents unless the bidding requirements are also enumerated in this Article 8.

§ 8.1.7 Other documents, if any, forming part of the Contract Documents are as follows:

(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201-1997 provides that bidding requirements such as advertisement or invitation to bid, Instructions to Bidders, sample forms and the Contractor's bid are not part of the Contract Documents unless enumerated in this Agreement. They should be listed here only if intended to be part of the Contract Documents.)

MAC Project Information Sheet

Invitation to Bid

Notice and Instructions to Bidders

Proposal Form, as accepted by the University, including Proposal Form Sheets and all attachments

Performance and Payment Bonds

Attachment C

This Agreement is entered into as of the day and year first written above and is executed in at least three original copies, of which one is to be delivered to the Contractor, one to the Architect for use in the administration of the Contract, and the remainder to the Owner.

OWNER *(Signature)*

Duly authorized, 10a-109d(a)(5)
Linda Flaherty-Goldsmith
Vice President & Chief Operating Officer

(Printed name and title)

CONTRACTOR *(Signature)*

[Redacted Signature]

(Printed name and title)



Attachment C
Certification By Agency Official or Employee
Authorized to Execute Contracts

I, Linda Flaherty-Goldsmith am authorized to execute the attached contract on behalf of the University of Connecticut (agency name). I hereby certify that the selection of the person, firm or corporation for the attached large state contract, was not the result of collusion, the giving of a gift or the promise of a gift, compensation, fraud or inappropriate influence from any person.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Signature

Date

Sworn and subscribed before me on this _____ day of _____, 200__

Commissioner of the Superior Court
Notary Public



DRAFT AIA[®] Document A101[™] - 1997

Standard Form of Agreement Between Owner and Contractor *where the basis of payment is a STIPULATED SUM*

AGREEMENT made as of the Twentieth day of July in the year of Two Thousand and Five
(In words, indicate day, month and year)

BETWEEN the Owner:
(Name, address and other information)

Architectural and Engineering Services, University of Connecticut
31 LeDoyt Road, Unit 3047
Storrs, Connecticut 06269
Telephone Number: 860.486.2618
Fax Number: 860.486.1953

and the Contractor:
(Name, address and other information)

sample
sample
Telephone Number: sample

The Project is:
(Name and location)

SAMPLE
Standard Contract

The Architect is:
(Name, address and other information)

sample
sample
Telephone Number: sample
Fax Number: sample

The Owner and Contractor agree as follows.



ADDITIONS AND DELETIONS:
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

AIA Document A201-1997, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

This document has been approved and endorsed by The Associated General Contractors of America.

ELECTRONIC COPYING of any portion of this AIA[®] Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

Attachement D

ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda, the Invitation to Bid, Notice and Instructions to Bidders, Proposal, as accepted by the University, Performance and Payment Bonds issued prior to execution of this Agreement, other documents listed in this Agreement and Modifications issued after execution of this Agreement; these form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral. An enumeration of the Contract Documents, other than Modifications, appears in Article 8.

ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except to the extent specifically indicated in the Contract Documents to be the responsibility of others.

ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be the date of this Agreement unless a different date is stated below or provision is made for the date to be fixed in a notice to proceed issued by the Owner.

(Insert the date of commencement if it differs from the date of this Agreement or, if applicable, state that the date will be fixed in a notice to proceed.)

Date of Commencement will be stated in the Notice to Proceed.

If, prior to the commencement of the Work, the Owner requires time to file mortgages, mechanic's liens and other security interests, the Owner's time requirement shall be as follows:

§ 3.2 The Contract Time shall be measured from the date of commencement.

§ 3.3 The Contractor shall achieve Substantial Completion of the entire Work not later than _____ days from the date of commencement, or as follows:

(Insert number of calendar days. Alternatively, a calendar date may be used when coordinated with the date of commencement. Unless stated elsewhere in the Contract Documents, insert any requirements for earlier Substantial Completion of certain portions of the Work.)

Portion of Work

Substantial Completion Date

, subject to adjustments of this Contract Time as provided in the Contract Documents.

(Insert provisions, if any, for liquidated damages relating to failure to complete on time or for bonus payments for early completion of the Work.)

Liquidated Damages shall be assessed as provided in Paragraph 9.11 of the General Conditions.

ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be **One Dollar and Zero Cents (\$ 1.00)**, subject to additions and deductions as provided in the Contract Documents.

§ 4.2 The Contract Sum is based upon the following alternates, if any, which are described in the Contract Documents and are hereby accepted by the Owner:

(State the numbers or other identification of accepted alternates. If decisions on other alternates are to be made by the Owner subsequent to the execution of this Agreement, attach a schedule of such other alternates showing the amount for each and the date when that amount expires)

Attachement D

§ 4.3 Unit prices, if any, are as follows:

Description	Units	Price (\$ 0.00)
-------------	-------	-----------------

ARTICLE 5 PAYMENTS

§ 5.1 PROGRESS PAYMENTS

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

§ 5.1.3

Within 30 days of receipt Architect's Certification of a properly submitted and correct Application for Payment in accordance with provisions of AIA A201 General Conditions, Article 9, the Owner shall make payment to the Contractor.

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall indicate the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 Subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

- 1 Take that portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values, less retainage of **Ten Percent (10%)**.
- 2 Add that portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction (or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing), less retainage of **Ten Percent (10%)**;
- 3 Subtract the aggregate of previous payments made by the Owner; and
- 4 Subtract amounts, if any, for which the University Representative has withheld or nullified a Certificate for Payment as provided in Section 9.5 of AIA Document A201-1997.

§ 5.1.7 The progress payment amount determined in accordance with Section 5.1.6 shall be further modified under the following circumstances:

- 1 Add, upon Substantial Completion of the Work, a sum sufficient to increase the total payments to the full amount of the Contract Sum, less such amounts as the University Representative shall determine for incomplete Work, retainage applicable to such work and unsettled claims; and
(Section 9.8.5 of AIA Document A201-1997 requires release of applicable retainage upon Substantial Completion of Work with consent of surety, if any.)

Attachement D

- 2 Add, if final completion of the Work is thereafter materially delayed through no fault of the Contractor, any additional amounts payable in accordance with Section 9.10.3 of AIA Document A201-1997.

§ 5.1.8 Reduction or limitation of retainage, if any, shall be as follows:

(If it is intended, prior to Substantial Completion of the entire Work, to reduce or limit the retainage resulting from the percentages inserted in Sections 5.1.6.1 and 5.1.6.2 above, and this is not explained elsewhere in the Contract Documents, insert here provisions for such reduction or limitation.)

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§ 5.2 FINAL PAYMENT

§ 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when:

- .1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Section 12.2.2 of AIA Document A201-1997, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment has been issued by the University Representative

§ 5.2.2 The Owner's final payment to the Contractor shall be made no later than 30 days of receipt the Architect's final Certificate for Payment, or as follows:

ARTICLE 6 TERMINATION OR SUSPENSION

§ 6.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201-1997.

§ 6.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201-1997.

ARTICLE 7 MISCELLANEOUS PROVISIONS

§ 7.1 Where reference is made in this Agreement to a provision of AIA Document A201-1997 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 7.2

() per annum

§ 7.3

(Name, address and other information)

31 LeDoyt Road, Unit 3047
Storrs, Connecticut 06269

§ 7.4

(Name, address and other information)

sample

Attachement D

§ 7.5

§ 7.6 Other provisions: The Contractor is hereby specifically cautioned that unless specifically authorized, in writing, by the University's Vice President for Administration and Operations Services, on a case by case basis, the Contractor shall have no right to use, and shall not use, in any manner, the name of the University of Connecticut, its officials or employees, or the Seal of the University:

- (a) in any advertising, publicity, promotion, nor;
- (b) to express or to imply any endorsement of Contractor's work product or services.

ARTICLE 8 ENUMERATION OF CONTRACT DOCUMENTS

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§ 8.1.3 The Supplementary and other Conditions of the Contract are those contained in the Project Manual dated _____, and are as follows

Document	Title	Pages
A201	General Conditions	

§ 8.1.4 The Specifications are those contained in the Project Manual dated as in Section 8.1.3, and are as follows: *(Either list the Specifications here or refer to an exhibit attached to this Agreement.)*

Table of Contents

§ 8.1.5 The Drawings are as follows, and are dated _____ unless a different date is shown below: *(Either list the Drawings here or refer to an exhibit attached to this Agreement.)*

List of Drawings

§ 8.1.6 The Addenda, if any, are as follows:

Number	Date	Pages
--------	------	-------

Portions of Addenda relating to bidding requirements are not part of the Contract Documents unless the bidding requirements are also enumerated in this Article 8.

§ 8.1.7 Other documents, if any, forming part of the Contract Documents are as follows:

(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201-1997 provides that bidding requirements such as advertisement or invitation to bid, Instructions to Bidders, sample forms and the Contractor's bid are not part of the Contract Documents unless enumerated in this Agreement. They should be listed here only if intended to be part of the Contract Documents.)

the Invitation to Bid

Notice and Instructions to Bidders

Proposal Form., as accepted by the University, including proposal form sheet and all attachments

Payment and Performance Bonds

Attachement D

This Agreement is entered into as of the day and year first written above and is executed in at least three original copies, of which one is to be delivered to the Contractor, one to the Architect for use in the administration of the Contract, and the remainder to the Owner.

OWNER (Signature)

Linda Flaherty-Goldsmith
Vice President and Chief Operating Officer

Duly authorized: 10a-109d(a)(5)

(Printed name and title)

CONTRACTOR (Signature)

[Redacted Signature]

(Printed name and title)



Attachement D
Certification By Agency Official or Employee
Authorized to Execute Contracts

I, Linda Flaherty-Goldsmith, am authorized to execute the attached contract on behalf of the University of Connecticut (agency name). I hereby certify that the selection of the person, firm or corporation for the attached large state contract, was not the result of collusion, the giving of a gift or the promise of a gift, compensation, fraud or inappropriate influence from any person.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Signature

Date

Sworn and subscribed before me on this _____ day of _____, 200__

Commissioner of the Superior Court
Notary Public





University of Connecticut
Administration and Operations Services

Purchasing Department

ADDENDUM # 2

For

BID #SA021506
To Provide Construction Audit Services

DATE: March 28, 2006
TO: All Bidders of Record
FROM: Sharon L. Alexander, C.P.M.
RE: New Bid Opening Information for Bid Proposal RFP # SA021506

Due to the volume of questions received and the time necessary to research and provide the appropriate response, the bid opening date for RFP # SA021506 has been extended.

The University anticipates releasing the aforementioned additional information accompanied by a new bid opening date no later than April 14th, 2006.

An Equal Opportunity Employer

3 North Hillside Road Unit 6076
Storrs, Connecticut 06269-6076

Telephone: (860) 486-2619
Facsimile: (860) 486-5051
web: www.purchasing.uconn.edu

March 2, 2006

ADDENDUM #1

For

BID #SA021506

TO: All Bidders of Record

FROM: Sharon L. Alexander, C.P.M.
Director of Procurement & Logistical Services

RE: **NEW BID OPENING DATE**

The above referenced Request for Proposal to provide Construction Audit Services has generated a significant number of questions from potential bidders. So as to provide the most accurate and updated information to all inquiries, it has become necessary to extend the bid opening date for the RFP. The new bid opening date will be March 31, 2006 at 2:00 p.m. in the Purchasing Bid Room.

An additional addendum will be issued in response to all inquiries, as soon as the University has collected all known information. Thank you for your consideration in this matter.



The University of Connecticut

REQUEST FOR PROPOSAL

No. SA021506

TO PROVIDE CONSTRUCTION AUDIT SERVICES

February 15, 2006

Proposal Due Date:

March 15, 2006

**Issued By: Sharon L. Alexander, C.P.M.
Director of Procurement & Logistical Services
3 North Hillside Road Unit 6076
Storrs, CT 06269-6076
Phone: (860) 486-2616
Fax: (860) 486-0012**

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REQUIRED FORMS AND INSTRUCTION:

The following forms **must** accompany the bid response to be considered complete and compliant:

- Form of Proposal
- Bidder Contract Compliance Monitoring Report
- Gift Affidavit
- Consulting Affidavit
- Campaign Affidavit
- Annual Affidavit
- Summary of State Ethics Laws Acknowledgement
- References

SECTION I

1.1 **Project:**

The University of Connecticut (hereinafter referred to as the “University”) in Storrs, Connecticut, desires to obtain proposals from highly qualified Certified Public Accounting firms (Firm) with extensive construction auditing experience. The purpose of the engagement will be to conduct independent audits of the University’s UCONN 2000 Construction Program in accordance with Generally Accepted Auditing Standards. A description of the required work can be found in more detail in the *Scope of Work* section.

1.2 **Demographics:**

The University of Connecticut is comprised of the main campus located at Storrs with branch campuses in West Hartford, Waterbury, Avery Point, Torrington and Stamford, a School of Social Work located at the Greater Hartford Campus, a School of Law located in Hartford, CT., and Schools of Medicine and Dental Medicine, graduate programs, medical and dental clinics and the John Dempsey Hospital, all of which comprise the UCONN Health Center, located in Farmington, CT. Total enrollment at all campuses is approximately 27, 579 students. The Storrs campus has an enrollment of approximately 20,151 undergraduate and graduate students, including a resident undergraduate population of approximately 11,340 students. There are approximately 8,802 full and part-time faculty and staff.

1.3 **Background Information:**

On June 22, 1995, Public Act 95-230, *An Act to Enhance the Infrastructure of the University of Connecticut*, was signed into law. This law, also known as UCONN 2000, was subsequently codified as Sections 10a-109a through the Connecticut General Statutes. UCONN 2000 enabled the University to secure bonds in its own name for a special ten year capital improvement program designed to modernize, rehabilitate and expand the physical plant of the University. In 2002, the General Assembly of the State of Connecticut enacted, and the Governor signed into law, Public Act 02-3, *An Act Concerning 21st Century UConn*. This act extended the UCONN 2000 program, originally scheduled to end in 2005 for an additional 10 years to June 30, 2015 and broadened the program’s scope to include the UCONN Health Center. The original law authorized projects for Phases I and II of UCONN 2000, estimated to cost \$1,250,000,000, of which \$962,000,000 was to be financed by UCONN 2000 Debt Service Commitment bonds; \$18,000,000 was to be financed by State General Obligation bonds; and an estimated \$270,000,000 was financed by gifts, other revenue or other borrowing resources of the University, including UCONN 2000 Special Obligation bonds. With the enactment of 21st Century UCONN, the law created Phase III of UCONN 2000, which authorizes additional projects for the University and the Health Center at an estimated cost of \$1,348,400,000, of which \$1,300,000,000 is to be financed by UCONN 2000 Debt Service Commitment bonds and an estimated \$48,400,000 is to be financed by gifts, other revenue or other borrowing resources of the University, including UCONN 2000 Special Obligation bonds. The estimated project costs

are \$305,400,000 for the Health Center and \$1,043,000,000 for the University. The UCONN 2000 program, including Phases I, II and III and all funding sources, is estimated to total \$2,598,400,000.

SECTION II

2.0 Scope of Work:

The purposes of the audits are as follows:

- A) To ensure that the University's procedures and expenditures are consistent with budgets, enabling legislation, bond indentures, Board of Trustee (BOT) approval, and state statutes and other laws and are accounted for in accordance with Generally Accepted Accounting Principles and are made in a fiscally responsible manner, so as to protect the interests of the State and Connecticut and its taxpayers; and
- B) To ensure that the contracts are awarded and administered in accordance with University policy and that the contracts are paid in accordance with terms of the contract.

The selected Firm will be responsible for the following as it relates to the Scope noted as "A" above:

- Conducting a comprehensive audit of construction expenditures of UCONN 2000 projects, other than equipment and deferred maintenance projects, substantially completed during the fiscal year ended June 30, 2004;
- Conducting a comprehensive audit of construction expenditures of UConn 2000 projects, other than equipment and deferred maintenance projects, substantially completed during fiscal year ended June 30, 2005;
- Conducting a comprehensive audit of the construction expenditures of UCONN 2000 projects, other than equipment and deferred maintenance projects, substantially completed during the fiscal year ending June 30, 2006;
- Conducting a comprehensive audit of equipment expenditures and deferred maintenance expenditures from inception of the UCONN 2000 program through the fiscal year ended June 30, 2005. The scope of the audit may be limited based on the availability of records;
- Providing audited statements and opinions and management reports to the University administration, and the Board of Trustees and its Joint Audit and Compliance Committee and Legislatively appointed construction committee.

The selected Firm or Firms will be responsible for the following as it relates to the Scope noted as "B" above:

- Conducting a comprehensive audit of the administration and payment of contracts for UCONN 2000 projects, other than equipment and deferred maintenance projects, substantially completed during the fiscal year ending June 30, 2006;
- For above projects, review contractor's records for allowable costs, allocation methods, compliance with laws, including labor rates and workers compensation charges, etc.

Due to the size of the Scope of Work as noted above, the University is interested in receiving, and Bidders are encouraged to present, proposals for the full Scope of Work or individual or multiple segments of the Scope of Work. Where applicable, the University may elect to utilize the services of the same Firm to conduct subsequent audits of all completed projects and equipment and deferred maintenance on an annual basis, throughout the remaining ten (10) years of the UCONN 2000 program, or any part thereof. Accordingly, Firms submitting proposals should view their responses to this RFP as a potential long-term contracting opportunity.

SECTION III

3.0 Letter of Engagement:

A draft Letter of Engagement will be required before a contract is finalized with the apparent awarded bidder or bidders.

SECTION IV

4.0 **Proposal Content:**

Each proposal shall contain, at a minimum, the following information:

Services:

- 4.1.1 A description of what strategies would be used to help the University meet its goals, as outlined in the Scope of Work. Be specific.
- 4.1.2 What can your firm offer to the University, in the areas outlined in the Scope of Work, that other firms could not.

Experience:

- 4.1.3 A detailed description of similar engagements performed during the past five years that highlight Bidder's experience in meeting the Scope of Work, especially with audits of large public research universities with health centers/hospitals.
- 4.1.4 Provide an overview of the proposed staffing arrangement including technical resumes and other pertinent information on all specific staff who would actively participate in project effort should Bidder's proposal be accepted. In particular, the University is interested in the expertise of Bidder's staff in similar projects and the firm's policy of staff rotation.
- 4.1.5 Furnish information on the senior person(s) who will be the University's contact. Include a detailed description of the individual's experience in providing these services.
- 4.1.6 Detail what percentage of each individual's time would be spent working on the University account.
- 4.1.7 Identify the firm's resources that could be called upon to advise on issues unique to a public higher education/academic health center environment.
- 4.1.8 Describe the firm's approach to assessing control risk of an institution of higher education and health care.
- 4.1.9 Provide examples of previous engagements with clients where a comparable Scope of Work was undertaken. Include names, addresses, telephone numbers and e/mail addresses of, at a minimum, three clients who can speak to Bidder's involvement and expertise.
- 4.1.10 Identify any litigation or professional disciplinary action that might adversely affect the firm's ability to establish and fulfill a multi-year arrangement.
- 4.1.11 Provide an executive summary highlighting the key elements listed above.

4.1 **Statement of Fees:**

For each proposal submitted, a one year statement of fees associated with the services is requested, along with a delineation of the number of hours and associated hourly cost recommended for each staff level; e.g. manager, senior manager, partner, other staff levels.

All prices offered in response to this proposal shall remain fixed for the initial term of the contract. After the initial term, the prices quoted in response to this proposal may be adjusted in an amount not to exceed the Consumer Price Index (CPI), appropriate for the commodity or service, as published by the United States Department of Labor. The University will expect any increases to be consistent with those applied to other customers of comparable size and nature.

SECTION V

EVALUATION PROCESS:

The award of an Agreement to this RFP will be based upon a comprehensive review, analysis and negotiation of the proposal which best meets the needs of the University. The contract award will be based on a points-earned matrix derived from a technical and financial evaluation.

The award will be made to the most responsive Bidder offering the best value and with the highest total matrix scores as determined by the University. All vendors submitting proposals concur with this method of award and will not, under any circumstances or in any manner, dispute any award made using this method.

All proposals will be evaluated by a committee, which will use the specific evaluation criteria listed below. The importance given to each element is represented proportionately by the respective weight assignments. Proposals will be evaluated as to the Firm's response to the following criteria:

Evaluation Criteria:

- A. Organizational Experience of Firm: 20 points
1. Ability to successfully achieve the goals and objectives identified within the content of the RFP.
 2. Quality of proposed plan development methodology and engagement task plan.
- B. Demonstrated Experience: 35 points
1. Three references that demonstrate a history of experience in successfully providing identified services especially to large public research institutions with health and/or medical centers, similar in size and scope to those of the University of Connecticut.
 2. Experience of Staff committed to the audit. Areas of experience desired are public research universities with health/medical centers, who have undertaken ambitious building programs similar to those identified in the Background and Scope of Work sections of this RFP.
- C. Qualifications 35 points
1. Depth of client base for responsible office or firm
 2. Firm's capability to staff, manage and evaluate risk and conduct audits in the identified areas of interest.
 3. Absence of litigation or professional disciplinary action with probable adverse effects.
- D. Pricing 10 points

Total maximum points available: 100 points

SECTION VI

6.0 Receipt of Proposals and Estimated Timetable:

6.1 Receipt of Proposals

All inquiries relative to the conditions and specifications listed herein as well as clarification of any information contained in this Request for Proposal must be made to:

Sharon L. Alexander, C.P.M.
Director of Procurement & Logistical Services
University of Connecticut
Purchasing Department
3 North Hillside Road Unit 6076
Storrs, CT 06269-6076
Phone: (860) 486-2616
Fax: (860) 486-0012
E-mail: Sharon.Alexander@uconn.edu

All requests for clarification must be in writing. Subsequently, the University's response to any request for clarification, together with a copy of the written request, will be provided contemporaneously by the University to all known parties receiving this RFP.

Responses to all written requests will also be posted on the University Purchasing Department website:
<http://www.purchasing.uconn.edu/currentbid/currentbid.html> as well as the DAS website at
http://www.das.state.ct.us/Purchase/Portal/Portal_Home.asp.

Under no circumstances may any Bidder or its representative contact any employee or representative of the University regarding the RFP prior to the closing date, other than as provided in this section. Strict adherence to this important procedural safeguard is required and appreciated.

Any violation of this condition may result in bidder being considered non-compliant and ineligible for award.

6.2 Requests for clarification by the University

The University may request that any bidder clarify or supplement any information contained in any Construction Audit Service proposal. Bidders are required to provide a written response within five (5) business days, or sooner, of receipt of any request for clarification by the University.

6.3 Submission Format/Receipt of Proposal

An **original and eight (8) copies** of the proposal must be submitted in a sealed envelope or box to:

Sharon L. Alexander, C.P.M.
Director of Procurement & Logistical Services
University of Connecticut
3 North Hillside Road Unit 6076
Storrs, CT 06269-6076
Reference RFP No. SA021506
"Construction Audit Services"

On or before 2 p.m., March 15, 2006

At the specified time stated above, all proposals received as stipulated, shall be publicly opened and announced. However, due to the complexity of the bid, only the names of the respondents will be read, as no immediate decision will be made. All information will be confidential until after review and action by the Evaluation Committee. All interested parties are, however, welcome to attend the bid opening.

All proposals must be submitted in three ring binders within a sealed envelope or box and labeled as noted above. No responsibility will be attached to any person for the premature opening of any proposal that is not properly identified.

E-mail or electronic attachments are not acceptable means of submitting a proposal and will be rejected as non-conforming. If you intend to use an express delivery service, it is recommended that you stress the need to deliver your package to the building and office designated above. Packages delivered by express mail to other locations might not be re-delivered to the appropriate address in time to be considered.

Proposals that do not substantially conform to the contents of the bid request, consequently altering the basis for proposal comparison, may be disregarded and considered as unresponsive.

6.4 **Informal Communications:**

From the date of receipt of this RFP by each Bidder, until a binding contractual agreement exists with the selected Firm or Firms and all other Bidders have been notified or when the University rejects all proposals, **informal communications regarding this procurement shall cease.** Informal communications shall include, but are not limited to:

- a) Requests from the Bidders to any department(s) at the University, for information, comments, speculation, etc; and
- b) Requests from any department at the University, or any employee of the University for information, comments, speculations, etc.

6.5 **Formal Communications:**

From the date of receipt of this Request for Proposal by each Bidder until a binding contractual agreement exists, as noted above, communications between the University and the Bidders will be formal. Formal communications shall include but not be limited to:

- a) Oral Presentations, if required

b) Pre-Award Negotiations

6.6 Estimated Timetable:

The following schedule will apply to this RFP:

Release of RFP	February 15, 2006
Closing Date for Inquiries	March 1, 2006
Submission of RFP due	March 15, 2006
Oral Presentations, if necessary	Date to be determined
<u>Anticipated Award Date</u>	April 1, 2006
Service <u>anticipated</u> to commence	April 10, 2006

This timetable is tentative and subject to change. Any questions or concerns about the timetable should be communicated in writing immediately upon receipt of this RFP. Failure to meet the delivery dates as outlined above may be basis for disqualification of your proposal.

6.7 The complete response to this RFP **must** include:

- An exact copy of the "Form of Proposal" included herein,
- A comprehensive point-by point response to all items listed herein, where applicable
- Bidder Contract Compliance Monitoring Report
- Gift Affidavit - Form 1A
- Consulting Affidavit - Form 5
- Campaign Affidavit - Form 2A
- Annual Affidavit - Form 4
- Summary of State Ethics Laws - Form 6A
- References

SECTION VII

7.0 GENERAL TERMS AND CONDITIONS

7.1 Each firm, by submitting a proposal, represents that the firm has:

- A. Read and completely understood the RFP documents and attachments thereto.
- B. Is familiar with the conditions under which services would be provided, including availability and cost of materials, equipment and personnel.

7.2 Additional information related to Receipt of Proposals

- A. Any proposal received after the time and date specified for receipt of proposals shall not be considered and shall be returned unopened via regular mail.
- C. Each respondent shall be solely responsible for the delivery of his or her proposal to the University at the place and before the time as specified above.
- D. Unless otherwise noted in this document, all materials submitted in response to this RFP shall become the property of the University of Connecticut upon delivery and are to be appended to any formal documentation, which would further define or expand the contractual relationship between the University and the respondent.
- E. The University reserves the right to reject any or all proposals submitted for consideration in whole or in part; and to waive technical defects, irregularities or omissions, if, in its judgment, the best interest of the University would be served thereby. Non-acceptance of a proposal shall mean that another proposal was deemed more advantageous to the University, or that all proposals were rejected. Firms whose proposals are not accepted shall be notified, upon request, after a binding contractual agreement between the University and the selected respondent exists, or after the University has rejected all proposals.
- F. A respondent shall promptly notify the University of any ambiguity, inconsistency, or error, which they may discover upon examination of the proposal documents.
- G. Proposals are treated as confidential by the University until after the award is issued. At that time, they become subject to disclosure under the Freedom of Information Act. If a respondent wishes to supply any information that it believes is exempt from disclosure under the Act, respondent should summarize such information in a separate envelope and each page submitted should clearly state "Confidential," but otherwise be presented in the same manner as the Proposal. However, any such information is provided entirely at

the respondent's own risk and the University assumes no liability for any loss or damage which may result from the University's disclosure at any time of any information provided by the respondent in connection with its proposal.

7.3 **Preparation of Proposals**

- A. Proposals shall include an exact copy of the "Form of Proposal" included within these documents. All applicable blank spaces shall be filled in, typewritten or in ink, and amounts shall be written in both words and figures. If there is a discrepancy between the words and the figures, the amount shown in words shall be deemed correct.
- B. Respondents shall provide a written, itemized list of any exceptions to this RFP with their proposal.
- C. Proposals shall indicate the full name of the respondent submitting the proposal and shall bear the signature of the principal duly authorized to execute contracts on behalf of the Respondent. The name of each person signing the proposal shall be typed or printed below the signature. Further, all required documents as noted further in this document shall also be signed by the principal duly authorized to make such determination for the firm.
- D. All erasures or corrections shall be initialed by the person(s) signing the proposal.
- E. The terms and provisions of this RFP and any contract resulting from this RFP shall be construed in accordance with the laws of the State of Connecticut. All deliveries hereunder shall comply in every respect with all applicable laws of the Federal Government and/or State of Connecticut.
- F. Any interpretation, correction, or change to this RFP shall be made by a written addendum to this contract. Interpretations, corrections or changes to the RFP made in any other manner shall not be binding and respondents shall not rely upon such interpretations, corrections or changes. Any changes or corrections shall be issued by the University Purchasing Department. Questions regarding this RFP shall be submitted in writing and directed to:

Sharon L. Alexander, C.P.M.
Director of Procurement & Logistical Services
3 North Hillside Road Unit 6076
Storrs, CT 06269-6076

Questions will be accepted via Facsimile to 860-486-0012 or via e/mail to Sharon.alexander@uconn.edu.

- G. Additional Charges - All additional charges must be included in the proposal prices. Charges not specified in the proposal will not be honored unless agreed to in writing by the University Purchasing Department.
- H. Addenda - All addenda shall be mailed or delivered to all who are known to have received the RFP and posted on the websites previously cited. No addenda shall be issued later than seven (7) days prior to the date for receipt of proposals except an addendum, if necessary, postponing the date for receipt of proposals or withdrawing the RFP. Bidders who download the bid from one of the websites noted herein are encouraged to check the website(s) regularly to determine whether any addenda have been issued.

7.4 **Format of Proposals**

- A. Proposals **must include** a point-by-point response to this RFP, where required. Each such response must be cross-referenced to the correspondingly numbered item in this RFP and described in as much detail as possible. Likewise, any samples and/or examples, which are provided to support responses, shall be labeled to correspond with the specific requirement in this RFP. This MANDATORY REQUIREMENT will facilitate a more expedient evaluation of the proposals.
- C. **Failure to respond to all points may be grounds for rejection. Likewise, failure to supply any information requested to accompany proposals may cause rejection of the proposal as noncompliant.** The University reserves the right to request additional information if clarification is needed.

7.5 **Submittal of Proposals**

- A. No oral, telephonic or telegraphic proposals will be accepted. If a proposal is sent by mail, allowance should be made for the time required for such transmission. The officer whose duty it is to open proposals shall decide when the specified time has arrived and no proposal received thereafter will be considered.

7.6 **Modifications or Withdrawal of Proposals Will Be Executed As Follows:**

- A. A proposal shall not be modified, withdrawn or canceled by the respondent for a ninety (90) day period following the time and date assigned for the receipt of proposals as specified in paragraph 6.3 above and the respondent so agrees in submitting a proposal.
- B. Prior to the time and date assigned for receipt, proposals submitted early shall be modified or withdrawn only by written notice to the University prior to the designated date and time for receipt of proposals as provided in paragraph 6.3 above.

- C. Withdrawn proposals may be submitted up to the time designated for receipt of proposals provided they are then fully in conformance with these terms and conditions.

7.7 **Formation of Agreement**

- A. The response to this RFP will be considered an offer to contract. At its option, the University may take either one of the following actions in order to form an agreement between the University and the selected respondent:
- Accept a proposal as written by issuing a written "Notice of Award" to the selected respondent which refers to this RFP and accepts the proposal as submitted; or
 - Enter into negotiations with one or more respondents in an effort to reach a mutually satisfactory agreement that will be executed by both parties and will be based on this RFP, the proposal submitted by the selected respondent and the negotiations concerning these.
- B. Because the University may use the first alternative described above, each respondent should include in his or her written proposal all requirements, terms or conditions it may have, and should not assume an opportunity will exist to add such matters after the proposal has been submitted.
- C. The University reserves the right to award a contract not based solely on the firm with the lowest cost, but based on an offer which, in the sole opinion of the University best fulfills or exceeds the requirements of this RFP and is deemed to be in the best interest of the University.
- D. The contract, when duly executed, shall represent the entire agreement between the parties.

7.8 **Presentations**

Potential firms may be asked to discuss their written responses to this document at a presentation at the Storrs campus on date(s) mutually agreed upon between the firm and the University. If a firm is requested to make a presentation, the firm will make the necessary arrangements and bear any costs associated with the demonstration. Presentations will be by scheduled appointment only by the Purchasing Department

7.9 **Qualifications of Firm**

- A. Proposals will be considered only from those firms or persons with a demonstrated and substantial history of experience in successfully providing construction audit services in the areas identified in this RFP whose requirements are similar in size and scope to those of the University. Preference will be given to firms with such confirmed experience.

- B. Prospective firms must be prepared to provide any evidence of experience, performance ability and/or financial surety the University deems necessary to fully establish the performance capabilities represented in their proposal.
- C. The University will reject the proposal of any firm and void any award resulting from this RFP to any firm who makes any material misrepresentation in their proposal.

7.10 **Assignment**

Any contract resulting from this RFP may not be assigned or transferred without the prior written consent of both parties.

Bids submitted by firms under “joint venture” arrangements or other multi-party agreements must submit a power of attorney delegating authority to one principal with authority to negotiate and execute any/all contract documents resulting from negotiations and/or award of this RFP.

7.11 **Indemnification**

The respondent agrees to jointly and severally indemnify and hold the University, its successors and assigns harmless from and against all liability, loss, damage or expense, including reasonable attorney's fees, which the State may incur or sustain by reason of the failure of the respondent to fully perform and comply with the terms and conditions of any contract resulting from this RFP.

State of Connecticut agencies (University of Connecticut) may not enter into indemnification or “hold harmless” agreements. In the event of a loss by the vendor or any third party, recourse may be found through the State of Connecticut Claims Commission, as provided under Chapter 53 of the General Statutes of the State of Connecticut, in which all claims against the State of Connecticut and the University of Connecticut will be filed with the Connecticut Claims Commissioner.

7.12 **Contract Termination for Cause**

- A. The University may terminate any resulting contract for cause by providing a Notice to Cure to the respondent citing the instances of noncompliance with the contract.
 - The respondent shall have ten (10) days to reply to the Notice to Cure, indicate why the contract should not be terminated, and recommend remedies to be taken.
 - If the respondent and the University reach an agreed upon solution, the respondent shall then have thirty (30) days after such agreement is reached to cure the noncompliance cited in the Notice to Cure.
 - If a mutually agreed upon solution cannot be reached within ten (10) days after receipt of Notice to Cure by respondent, the University reserves the right to terminate the agreement.

- If the mutually agreed upon solution is not implemented within thirty (30) days from the date of agreement, the University reserves the right to terminate the contract.

B. The University shall be obligated only for those services rendered and accepted prior to the date of Notice of Termination.

7.13 **Responsibility of Those Performing the Work**

- A. The firm shall be responsible for the acts and omissions of all the firm's employees and all subcontractors, their agents and employees and all other persons performing any of the work under a contract with the vendor.
- B. The firm shall at all time enforce strict discipline and good order among the firm's employees and shall not employ any unfit person or anyone not skilled in the task assigned.
- B. The partner and its employees will not disclose information acquired in connection with the performance of services under this agreement which is proprietary or confidential in nature to the University without written permission from the University. This confidentiality will continue to be in effect even after the contract has been completed or terminated for any reason.

7.14 **Insurance Requirements:**

The proposer shall secure and pay the premium or premiums of the following policies of insurance with respect to which minimum limits are fixed in the schedule set forth below. The University of Connecticut shall be included as a named insured on all such policies. Each such policy shall be maintained in at least the limit fixed with respect thereto, and shall cover all of the proposer's operations hereunder, and shall be effective throughout the period of this contract or any extension thereof. It is not the intent of this schedule to limit the types of insurance required herein.

1) Worker's Compensation Insurance:

Must meet statutory requirements of the laws of the State of Connecticut and any additional requirements of the University of Connecticut. A statutory exemption from Worker's Compensation shall not be deemed a satisfactory alternate to meeting this requirement. In no event shall an award be made to any firm failing to provide such evidence in a form satisfactory to the University.

2) Public Liability Insurance: \$1,000,000.00

3) Property Damage Insurance \$1,000,000.00

As to insurance required by this agreement, a certified copy of each of the policies or a certificate or certificates evidencing the existence thereof, or binders, shall be delivered to the University of Connecticut Purchasing Department within fifteen (15) days after the tentative award of this agreement. In the event any binder is delivered, it shall be replaced within thirty (30) days by a certified copy of the policy or a certificate in lieu thereof. Each such copy or certificate shall contain a valid provision or endorsement

that the policy may not be cancelled, terminated, changed or modified without giving thirty (30) days written advance notice thereof to the University's representative and that the insurance reflected thereon meets the minimum requirements of the proposal. A renewal policy or certificate shall be delivered to the University at least thirty (30) days prior to the expiration date of each expiring policy. If at any time, any of the policies shall be or become unsatisfactory to the University as to form or substance, or if any of the carriers issuing such policies shall be or become unsatisfactory to the University, the Awardee shall promptly obtain a new and satisfactory policy in replacement upon such written notice from the University.

7.15 **Promotion**

Unless specifically authorized in writing by University Communications, on a case by case basis, the firm shall have no right to use, and shall not use, the name of the University of Connecticut, its officials or employees, or the seal of the University: (A) in any advertising, publicity, promotion, nor (B) to express or to imply any endorsement of contractor's products or services: nor (C) to use the name of the state, its officials or employees or the University seal in any manner (whether or not similar to uses prohibited by subparagraphs (A) or (B) above), except only to manufacture and deliver in accordance with this agreement such items as are hereby contracted by the University.

7.16 **Contract Provisions by Reference**

It is mutually agreed by and between the University and the respondent that acceptance of the respondent's offer by the issuance of a purchase order shall create a contract between the parties thereto containing all specifications, terms and conditions in this RFP except as amended in the purchase order.

7.17 **Taxes**

The University of Connecticut is exempt from Federal Excise taxes, and from State and local sales and use taxes. Tax exemption certificates can be furnished to the awarded vendor(s) upon request.

7.18 **Federal, State and Local Taxes, Licenses and Permits**

The successful respondent(s) will comply with all laws and regulations on taxes, licenses and permits.

7.19 **Waiver of Rights**

No delay or failure to enforce any provision of this agreement shall constitute a waiver or limitations of University's rights under any resulting contract.

7.20 **Prior Course of Dealings**

The parties hereby agree that no trade usage, prior course of dealing or course of performance under other contracts shall be a part of this agreement or shall be used in the interpretation or construction of this agreement.

7.21 **Choice of Law and Venue**

The terms and provisions of this RFP and any ensuing contract shall be governed by and construed in accordance with the laws of the State of Connecticut.

7.22 **Executive Orders of the Governor:**

- A. Any Agreement subsequent to this RFP is subject to the provisions of **Executive Order No. 3 of Governor Thomas J. Meskill promulgated June 16, 1971**, and as such, resulting Agreement may be cancelled, terminated or suspended by the state labor commissioner for violation of or noncompliance with said Executive Order No. Three, or any state or federal law concerning nondiscrimination, notwithstanding that the labor commissioner is not a party to said contract. The Parties to such Agreement, as part of the consideration hereof, agree that said Executive Order No. Three is incorporated herein by reference and made a part hereof. The Parties agree to abide by said Executive Order and agree that the state labor commissioner shall have continuing jurisdiction in respect to contract performance in regard to nondiscrimination, until the contract is completed or terminated prior to completion. The CONTRACTOR agrees, as part consideration hereof, that said Agreement will be subject to the Guidelines and Rules issued by the state labor commissioner to implement Executive Order No. Three, and that it will not discriminate in its employment practices or policies, will file all reports as required, and will fully cooperate with the State of Connecticut and the state labor commissioner.
- B. Any Agreement subsequent to this RFP is subject to the provisions of **Executive Order No. 17 of Governor Thomas J. Meskill promulgated February 15, 1973**, and, as such, resulting Agreement may be cancelled, terminated or suspended by the contracting agency or the State Labor Commissioner for violation of or noncompliance with said Executive Order No. Seventeen, notwithstanding that the Labor Commissioner may not be a party to said Agreement. The Parties to said Agreement, as part of the consideration hereof, agree that Executive Order No. Seventeen is incorporated herein by reference and made a part hereof. The Parties agree to abide by said Executive Order and agree that the contracting agency and the State Labor Commissioner shall have joint and several continuing jurisdiction in respect to contract performance in regard to listing all employment opening with the Connecticut State Employment Service.
- C. Any Agreement subsequent to this RFP is subject to the provisions of **Executive Order No. 16 of Governor John G. Rowland promulgated August 4, 1999**, and, as such, resulting Agreement may be canceled, terminated or suspended by the state for violation of or noncompliance with said Executive Order No. Sixteen. The Parties of said Agreement, as part of the consideration hereof, agree that:
- (a) The CONTRACTOR shall prohibit employees from bringing into the state work site, except as may be required as a condition of employment, any weapon or dangerous instruments as defined in (b) below.
 - (b) Weapon means any firearm, including a BB gun, whether loaded or unloaded, any knife (excluding a small pen or pocket knife), including a switchblade or other knife having an automatic spring release device, a stiletto, any police baton or nightstick or any martial arts weapon or electronic defense weapon.

Dangerous instrument means any instrument, article, or substance that, under the circumstances, is capable of causing death or serious physical injury.

- (c) The CONTRACTOR shall prohibit employees from attempting to use, or threaten to use, any such weapon or dangerous instrument in the state work site and employees shall be prohibited from causing, or threatening to cause, physical injury or death to any individual in the state work site.
- (d) The CONTRACTOR shall adopt the above prohibitions as work rules, violations of which shall subject the employee to disciplinary action up to and including discharge. The CONTRACTOR shall insure and require that all employees are aware of such work rules.
- (e) The CONTRACTOR agrees that any subcontract it enters into in furtherance of the work to be performed hereunder shall contain provisions (a) through (d) of this Section.

D. Any Agreement subsequent to this RFP is subject to **Executive Order No. 7B of Governor M.Jodi Rell, promulgated on November 16, 2005**. The Parties to said Agreement, as part of the consideration hereof, agree that:

- (a) The State Contracting Standards Board (“the Board”) may review any subsequent Agreement or Contract and recommend to the state contracting agency termination of the contract for cause. The state contracting agency shall consider the recommendations and act as required or permitted in accordance with the contract and applicable law. The Board shall provide the results of its review, together with its recommendations, to the state contracting agency and any other affected party in accordance with the notice provisions in the contract no later than fifteen (15) days after the Board finalizes its recommendation. For the purposes of this Section, “for cause” means:
 - (1) a violation of the State Ethics Code (Conn. Gen. Stat. Chapter 10) or Section 4A-100 of the Conn. Gen. Statutes, or
 - (2) wanton or reckless disregard of any state contracting and procurement process by any person substantially involved in such contract or state contracting agency.
- (b) For the purposes of this Section, “contract” shall not include real property transactions involving less than a fee simple interest or financial assistance comprised of state or federal funds, the form of which may include but is not limited to grants, loans, loan guarantees and participation interests in loans, equity investments and tax credit programs. Notwithstanding the foregoing, the Board shall not have any authority to recommend the termination of a contract for the sale or purchase of a fee simple interest in real property following transfer of title.
- (c) Effective January 1, 2006, notwithstanding the contract value listed in Conn. Gen. Stat. §§ 4-250 and 4-251, all procurements between state agencies and private entities with a value of \$50,000 (fifty thousand dollars) or more in a

calendar or fiscal year shall comply with the gift affidavit requirements of said Sections. Certification by agency officials or employees required by Conn. Gen. Stat. §§ 4-252 shall not be affected by this Section.

7.23 **Mandatory Affidavits:**

In light of recent executive and legislative changes to Connecticut’s state contracting requirements, the Office of Policy and Management (“OPM”) has updated its contracting affidavits, certifications and affirmations. Pursuant to Conn. Gen. Stat. §§ 4-250 and 251, and Governor M. Jodi Rell’s Executive Order No. 1, para 8, Large State Contracts between the State of Connecticut and private entities are required to be accompanied by an Agency Certification and the appropriate Gift/Campaign Contribution Affidavits. Subsequently, Governor M. Jodi Rell’s Executive Order No. 7B (see Sec 6.7), modified the contract thresholds provided in Conn. Gen. Stat. §§4-250 and 251 for all procurements with a value of \$50,000 or more in a calendar or fiscal year.

In addition, Section 51 of Public Act 05-287 requires that state agencies obtain Consulting Affidavits from contractors with whom the agencies contract for the purchase of goods and services, which contract has a total value of \$50,000 or more in any calendar or fiscal year. Section 37 of Public Act 05-287 also requires that for Large State Construction or Procurement Contracts state agencies provide contractors with a summary of State ethics laws developed by the State Ethics Commission. Such contractor must provide affirmations regarding the receipt and compliance of said summary for itself and its subcontractors and consultants, if any.

Therefore, all state contracts that meet the requirements provided in the aforementioned decrees shall be accompanied by all appropriate affidavits, certification and affirmations. **These mandatory affidavits can be found at: <http://www.opm.state.ct.us/policies.htm#Office Secretary>**, scrolling to the Section entitled “Office of the Secretary”. They can be completed online, printed and submitted with the bid response.

Your proposal response must include the following original, notarized affidavits to be considered complaint:

- **“Gift Affidavit” - Form 1A**
- **“Campaign Contribution Affidavit” - Form 2A**
- **“Annual Contract Affidavit” - Form 4**
- **“Consulting Affidavit” - Form 5**
- **“Acknowledgement of Receipt of Summary of State Ethics Laws” - Form 6A**

7.23 **Definition of Terms**

“Purchaser”, “Buyer”, “UConn”
“University of Connecticut”, or
“University”

The University of Connecticut

“Bidder”, “Contractor”, “Vendor”
“Seller”, “Proposer” or “Firm(s)”

Person, firm or corporation
submitting proposal to this RFP

“Coordinator”

Sharon L. Alexander, C.P.M. or
Other authorized University Purchasing
Department personnel

**PART VIII
FORM OF PROPOSAL**

TO: University of Connecticut
Purchasing Department
3 North Hillside Road Unit 6076
Storrs, CT. 06269-6076

1. The undersigned bidder, in response to our Request for Proposal for Construction Audit Services, having examined the bid documents and being familiar with the conditions surrounding the proposed project, hereby proposes to provide auditing services meeting the requirements outlined in this Request for Proposal, in accordance with the proposal attached hereto, for the fixed price of \$_____. Additional services, over and above those defined in this RFP and in the proposal attached hereto, will be invoiced in accordance with the schedule of fees also attached hereto.
2. Bidder acknowledges receipt of the following addenda which are a part of the bidding documents: _____.
3. Bidder understands that the University reserves the right to reject any and all proposals, waive irregularities or technicalities in any offer, and accept any offer in whole or in part which it deems to be in its best interest.
4. Bidder agrees that this offer shall be good and may not be withdrawn for a period of 90 days after the public bid opening.
5. Bidder hereby certifies: (a) that this bid is genuine and is not made in the interest of or on behalf of any undisclosed person, firm or corporation; (b) that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid; (c) that the bidder has not solicited or induced any person, firm or corporation to refrain from bidding; and (d) that the bidder has not sought by collusion to obtain any advantage over any other bidder or over the University.
6. Bidder agrees that the response to this proposal is a legal and binding offer and the authority to make the offer is vested in the signer. Minor differences and informalities will be resolved by negotiation prior to acceptance of the offer.

FIRM: _____ **BY:** _____

ADDRESS: _____ **TITLE:** _____

_____ **DATE:** _____

PHONE #: _____ **FAX #:** _____

F.E.I.N. #: _____

**COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES
CONTRACT COMPLIANCE REGULATIONS
NOTIFICATION TO BIDDERS**

The contract to be awarded is subject to contract compliance requirements mandated by Sections 4a-60 and 4a-60a of the Connecticut General Statutes; and, when the awarding agency is the State, Sections 46a-71(d) and 46a-81i(d) of the Connecticut General Statutes. There are Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71(d) of the Connecticut General Statutes.

According to Section 46a-68j-30(9) of the Contract Compliance Regulations, every agency awarding a contract subject to the contract compliance requirements has an obligation to “aggressively solicit the participation of legitimate minority business enterprises as bidders, contractors, subcontractors and suppliers of materials.” “Minority business enterprise” is defined in Section 4a-60 of the Connecticut General Statutes as a business wherein fifty-one percent or more of the capital stock, or assets belong to a person or persons: “(1) Who are active in daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in subsection (a) of Section 32-9n.” “Minority” groups are defined in Section 32-9n of the Connecticut General Statutes as “(1) Black Americans . . . (2) Hispanic Americans . . . (3) persons who have origins in the Iberian Peninsula . . . (4) Women . . . (5) Asian Pacific Americans and Pacific Islanders; (6) American Indians . . .” An individual with a disability is also a minority business enterprise as provided by Section 4a-60g of the Connecticut General Statutes. The above definitions apply to the contract compliance requirements by virtue of Section 46a-68j-21(11) of the Contract Compliance Regulations.

The awarding agency will consider the following factors when reviewing the bidder’s qualifications under the contract compliance requirements:

- (a) the bidder’s success in implementing an affirmative action plan;
 - (b) the bidder’s success in developing an apprenticeship program complying with Sections 46a-68-1 to 46a-68-17 of the Administrative Regulations of Connecticut State Agencies, inclusive;
 - (c) the bidder’s promise to develop and implement a successful affirmative action plan;
 - (d) the bidder’s submission of employment statistics contained in the “Employment Information Form”, indicating that the composition of its workforce is at or near parity when compared to the racial and sexual composition of the workforce in the relevant labor market area; and
 - (e) the bidder’s promise to set aside a portion of the contract for legitimate minority business enterprises. See Section 46a-68j-30(10)(E) of the Contract Compliance Regulations.
-

INSTRUCTIONS AND OTHER INFORMATION

The following BIDDER CONTRACT COMPLIANCE MONITORING REPORT must be completed in full, signed, and submitted with the bid for this contract. The contract awarding agency and the Commission on Human Rights and Opportunities will use the information contained thereon to determine the bidders compliance to Sections 4a-60 and 4a-60a CONN. GEN. STAT., and Sections 46a-68j-23 of the Regulations of Connecticut State Agencies regarding equal employment opportunity, and the bidders' good faith efforts to include minority business enterprises as subcontractors and suppliers for the work of the contract.

1) Definition of Small Contractor

Section 4a-60g CONN. GEN. STAT. defines a small contractor as a company that has been doing business under the same management and control and has maintained its principal place of business in Connecticut for a one year period immediately prior to its application for certification under this section, had gross revenues not exceeding ten million dollars in the most recently completed fiscal year, and at least fifty-one percent of the ownership of which is held by a person or persons who are active in the daily affairs of the company, and have the power to direct the management and policies of the company, except that a nonprofit corporation shall be construed to be a small contractor if such nonprofit corporation meets the requirements of subparagraphs (A) and (B) of subdivision 4a-60g CONN. GEN. STAT.

2) Description of Job Categories (as used in Part IV Bidder Employment Information)
(Page 2)

MANAGEMENT: Managers plan, organize, direct, and control the major functions of an organization through subordinates who are at the managerial or supervisory level. They make policy decisions and set objectives for the company or departments. They are not usually directly involved in production or providing services. Examples include top executives, public relations managers, managers of operations specialties (such as financial, human resources, or purchasing managers), and construction and engineering managers.

BUSINESS AND FINANCIAL OPERATIONS: These occupations include managers and professionals who work with the financial aspects of the business. These occupations include accountants and auditors, purchasing agents, management analysts, labor relations specialists, and budget, credit, and financial analysts.

COMPUTER SPECIALISTS: Professionals responsible for the computer operations within a company are grouped in this category. Examples of job titles in this category include computer programmers, software engineers, database administrators, computer scientists, systems analysts, and computer support specialists

ARCHITECTURE AND ENGINEERING: Occupations related to architecture, surveying, engineering, and drafting are included in this category. Some of the job titles in this category include electrical and electronic engineers, surveyors, architects, drafters, mechanical engineers, materials engineers, mapping technicians, and civil engineers.

OFFICE AND ADMINISTRATIVE SUPPORT: All clerical-type work is included in this category. These jobs involve the preparing, transcribing, and preserving of written communications and records; collecting accounts; gathering and distributing information; operating office machines and electronic data processing equipment; and distributing mail. Job titles listed in this category include telephone operators, payroll clerks, bill and account collectors, customer service representatives, files clerks, dispatchers, shipping clerks, secretaries and administrative assistants, computer operators, mail clerks, and stock clerks.

BUILDING AND GROUNDS CLEANING AND MAINTENANCE: This category includes occupations involving landscaping, housekeeping, and janitorial services. Job titles found in this category include supervisors of landscaping or housekeeping, janitors, maids, grounds maintenance workers, and pest control workers.

CONSTRUCTION AND EXTRACTION: This category includes construction trades and related occupations. Job titles found in this category include boilermakers, masons (all types), carpenters, construction laborers, electricians, plumbers (and related trades), roofers, sheet metal workers, elevator installers, hazardous materials removal workers, paperhangers, and painters. Paving, surfacing, and tamping equipment operators; drywall and ceiling tile installers; and carpet, floor and tile installers and finishers are also included in this category. First line supervisors, foremen, and helpers in these trades are also grouped in this category..

INSTALLATION, MAINTENANCE AND REPAIR: Occupations involving the installation, maintenance, and repair of equipment are included in this group. Examples of job titles found here are heating, ac, and refrigeration mechanics and installers; telecommunication line installers and repairers; heavy vehicle and mobile equipment service technicians and mechanics; small engine mechanics; security and fire alarm systems installers; electric/electronic repair, industrial, utility and transportation equipment; millwrights; riggers; and manufactured building and mobile home installers. First line supervisors, foremen, and helpers for these jobs are also included in the category.

MATERIAL MOVING WORKERS: The job titles included in this group are Crane and tower operators; dredge, excavating, and lading machine operators; hoist and winch operators; industrial truck and tractor operators; cleaners of vehicles and equipment; laborers and freight, stock, and material movers, hand; machine feeders and offbearers; packers and packagers, hand; pumping station operators; refuse and recyclable material collectors; and miscellaneous material moving workers.

3) Definition of Racial and Ethnic Terms (as used in Part IV Bidder Employment Information)

<p><u>White</u> (not of Hispanic Origin) - All persons having origins in any of the original peoples of Europe, North Africa, or the Middle East.</p> <p><u>Black</u> (not of Hispanic Origin) - All persons having origins in any of the Black racial groups of Africa.</p> <p><u>Hispanic</u>- All persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race.</p>	<p><u>Asian or Pacific Islander</u>- All persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands. This area includes China, India, Japan, Korea, the Philippine Islands, and Samoa.</p> <p><u>American Indian or Alaskan Native</u>- All persons having origins in any of the original peoples of North America, and who maintain cultural identification through tribal affiliation or community recognition.</p>
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BIDDER CONTRACT COMPLIANCE MONITORING REPORT

PART I - Bidder Information

(Page 3)

Company Name Street Address City & State Chief Executive	Bidder Federal Employer Identification Number _____ Or Social Security Number _____
Major Business Activity (brief description)	Bidder Identification (response optional/ definitions on page 1) -Bidder is a small contractor. Yes__ No__ -Bidder is a minority business enterprise Yes__ No__ (If yes, check ownership category) Black__ Hispanic__ Asian American__ American Indian/ Alaskan Native__ Iberian Peninsula__ Individual(s) with a Physical Disability__ Female__
Bidder Parent Company (If any)	- Bidder is certified as above by State of CT Yes__ No__
Other Locations in Ct. (If any)	- DAS Certification Number _____

PART II - Bidder Nondiscrimination Policies and Procedures

1. Does your company have a written Affirmative Action/Equal Employment Opportunity statement posted on company bulletin boards? Yes__ No__	7. Do all of your company contracts and purchase orders contain non-discrimination statements as required by Sections 4a-60 & 4a-60a Conn. Gen. Stat.? Yes__ No__
2. Does your company have the state-mandated sexual harassment prevention in the workplace policy posted on company bulletin boards? Yes__ No__	8. Do you, upon request, provide reasonable accommodation to employees, or applicants for employment, who have physical or mental disability? Yes__ No__
3. Do you notify all recruitment sources in writing of your company's Affirmative Action/Equal Employment Opportunity employment policy? Yes__ No__	9. Does your company have a mandatory retirement age for all employees? Yes__ No__
4. Do your company advertisements contain a written statement that you are an Affirmative Action/Equal Opportunity Employer? Yes__ No__	10. If your company has 50 or more employees, have you provided at least two (2) hours of sexual harassment training to all of your supervisors? Yes__ No__ NA__
5. Do you notify the Ct. State Employment Service of all employment openings with your company? Yes__ No__	11. If your company has apprenticeship programs, do they meet the Affirmative Action/Equal Employment Opportunity requirements of the apprenticeship standards of the Ct. Dept. of Labor? Yes__ No__ NA__
6. Does your company have a collective bargaining agreement with workers? Yes__ No__ 6a. If yes, do the collective bargaining agreements contain non-discrimination clauses covering all workers? Yes__ No__ 6b. Have you notified each union in writing of your commitments under the nondiscrimination requirements of contracts with the state of Ct? Yes__ No__	12. Does your company have a written affirmative action Plan? Yes__ No__ If no, please explain. 13. Is there a person in your company who is responsible for equal employment opportunity? Yes__ No__ If yes, give name and phone number. _____

Part III - Bidder Subcontracting Practices

1. Will the work of this contract include subcontractors or suppliers? Yes__ No__ 1a. If yes, please list all subcontractors and suppliers and report if they are a small contractor and/or a minority business enterprise. (defined on page 1 / use additional sheet if necessary)	1b. Will the work of this contract require additional subcontractors or suppliers other than those identified in 1a. above? Yes__ No__
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PART IV - Bidder Employment Information

Date:

(Page 4)

JOB CATEGORY	OVERALL TOTALS	WHITE (not of Hispanic origin)		BLACK (not of Hispanic origin)		HISPANIC		ASIAN or PACIFIC ISLANDER		AMERICAN INDIAN or ALASKAN NATIVE	
		Male	Female	Male	Female	Male	Female	Male	Female	male	female
Management											
Business & Financial Ops											
Computer Specialists											
Architecture/Engineering											
Office & Admin Support											
Bldg/ Grounds Cleaning/Maintenance											
Construction & Extraction											
Installation, Maintenance & Repair											
Material Moving Workers											
TOTALS ABOVE											
Total One Year Ago											
FORMAL ON THE JOB TRAINEES (ENTER FIGURES FOR THE SAME CATEGORIES AS ARE SHOWN ABOVE)											
Apprentices											
Trainees											

PART V - Bidder Hiring and Recruitment Practices

1. Which of the following recruitment sources are used by you? (Check yes or no, and report percent used)				2. Check (X) any of the below listed requirements that you use as a hiring qualification (X)		3. Describe below any other practices or actions that you take which show that you hire, train, and promote employees without discrimination	
SOURCE	YES	NO	% of applicants provided by source				
State Employment Service					Work Experience		
Private Employment Agencies					Ability to Speak or Write English		
Schools and Colleges					Written Tests		
Newspaper Advertisement					High School Diploma		
Walk Ins					College Degree		
Present Employees					Union Membership		
Labor Organizations					Personal Recommendation		
Minority/Community Organizations					Height or Weight		
Others (please identify)					Car Ownership		
					Arrest Record		
					Wage Garnishments		

Certification (Read this form and check your statements on it CAREFULLY before signing). I certify that the statements made by me on this BIDDER CONTRACT COMPLIANCE MONITORING REPORT are complete and true to the best of my knowledge and belief, and are made in good faith. I understand that if I knowingly make any misstatements of facts, I am subject to be declared in non-compliance with Section 4a-60, 4a-60a, and related sections of the CONN. GEN. STAT.

(Signature)	(Title)	(Date Signed)	(Telephone)
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ATTACHMENT A

Provisions of this Contract Required by Connecticut General Statutes 4a-60

(a) Every contract to which the State or any political subdivision of the State other than a municipality is a party shall contain the following provisions: (1) The Contractor agrees and warrants that in the performance of the contract such Contractor will not discriminate or permit discrimination against any persons or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation or physical disability, including but not limited to blindness, unless it is shown by such Contractor that such disability prevent performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut. The Contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that the employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation or physical disability, including but not limited to blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved;(2) the Contractor to state that is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission advising the labor union or worker's representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this section and sections (46a-68e) and (46a-68f) and with each regulation or relevant order issued by said Commission pursuant to sections (46a-56),(46a-68e) and (46a-68f); (5) the contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission , and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as related to the provisions of this section and section (46a-56). If the contract is a public works contract, the Contractor agrees and warrants that they will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works project.

(b) For purposes of this section, "Minority Business Enterprise" means any small Contractor or supplier of materials fifty-one percent or more of the capitol stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) Who have the power to direct the management and policies of the enterprise and (3) who are member of a minority, as such term is defined in subsection (a) of section (32-9n); and "good faith efforts" shall include, but shall not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements.

(c) Determination of a Contractor's good faith efforts shall include but not be limited to the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and other such reasonable activities efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.

(d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.

(e) The Contractor shall include the provisions of subsection (a) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on the subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The contractor shall take such action with respect to any subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with section (46a-56); provided, if such Contractor becomes involved in, or is threatened with litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

Provisions of this Contract Required by Connecticut General Statutes 4a-60a

(a) The Contractor agrees to the following provisions: (1) The Contractor agrees and warrants that in the performance of the contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2)) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission advising the labor union or worker's representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and sections (46a-68e) and (46a-68f) and with each regulation or relevant order issued by said Commission pursuant to sections (46a-56),(46a-68e) and (46a-68f) of the General Statutes

(b) The Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as related to the provisions of this section and section (46a-56). If the contract is a public works contract, the Contractor agrees and warrants that they will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works project.

(c) The Contractor shall include the provisions of subsection (a) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on the subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The contractor shall take such action with respect to any subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with section (46a-56); provided, if such Contractor becomes involved in, or is threatened with litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

INSTRUCTIONS TO BIDDERS

1. All bids must be submitted on and in accordance with this form. If more space is required to furnish a description of the commodities and/or services offered or delivery terms, the bidder may attach a letter hereto which will be made part of the bid.
2. Bids and amendments thereto, or withdrawal of bids submitted, if received by the University after the date and time specified for the bid opening, will not be considered.
3. Prices should be stated in units of quantity specified, with packing and delivery to destination included.
4. The time of proposed delivery must be stated in definite terms. If time of delivery for different commodities varies, the bidder shall so state.
5. Samples, when requested, must be furnished free of expense and if not destroyed, will, upon request, be returned at the bidder's risk and expense.
6. Bids must show unit price, amount and grand total or bid may be rejected.
7. Unless qualified by the provision "NO SUBSTITUTE" the use of the name of a manufacturer, brand, make or catalog designation in specifying an item does not restrict bidders to the manufacturer, brand, make or catalog designation identification. This is used simply to indicate the character, quality and/or performance equivalence of the commodity desired, but the commodity on which proposals are submitted must be of the same character, quality and/or performance equivalence that it will serve the purpose for which it is to be used equally as well as that specified. In submitting a proposal on a commodity other than as specified, bidder shall furnish complete data and identification with respect to the alternate commodity he proposes to furnish. Consideration will be given to proposals submitted on alternate commodities to the extent that such action is deemed to serve best the interests of the State. If the bidder does not indicate that the commodity he proposes to furnish is other than specified, it will be construed to mean that the bidder proposes to furnish the exact commodity described.
8. In the event that you are unable to submit a proposal against this bid, we will appreciate your advising this office to that effect. Failure to submit proposals against three consecutive bids will result in you name being removed from the mailing list, unless a specific request is made in writing for the retention of your name on said list.
9. The contractor agrees and warrants that in the performance of this contract he will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religion, national origin, sex, age, physical disability, including but not limited to blindness, or learning disability, unless it is shown by such contractor that such disability prevents performance of the work involved in any manner prohibited by the laws of the United States or of the State of Connecticut, and further agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission concerning the employment practices and procedures of the contractor as related to the provisions of this contract.
10. The University of Connecticut is an equal opportunity employer.

AWARD AND CONTRACT

1. The University reserves the right to award by item, groups of items or total bid; to reject any and all bids in whole or in part, and to waive any informality or technical defects if, in its judgment, the best interests of the University will be served.
2. Cash discounts may be offered by bidder for prompt payment of bills, but such discount will not be taken into consideration in determining the low bidder but will be taken into consideration in awarding tie bids. The discount period will be computed from the date delivery is accepted at destination or from date correct invoice is received by the consignee, whichever is the later date.
3. ACCEPTANCE OF A BID BY THE UNIVERSITY IS NOT AN ORDER TO SHIP.
4. Each bid is received with the understanding that the acceptance in writing by the University of the offer to furnish any or all of the commodities and/or services described therein, shall constitute a contract between the bidder and the University, which shall bind the bidder on his part to furnish and deliver the articles quoted on at the prices stated and in accordance with the conditions of said accepted bid; and the University on its part to order from such contractor, except for causes beyond reasonable control; and to pay for , at the agreed prices, all articles specified and delivered.
5. In event of default by the contractor, the University reserves the right to procure the commodities and/or services from other sources, and hold the contractor liable for any excess cost occasioned thereby. If, however, public necessity requires use of material or supplies not conforming to the specifications, they may be accepted and payment therefore shall be made at a proper reduction in price.
6. The contractor guarantees to save the University, its agents or employees, harmless from liability of any nature or kind, for use of any copyrighted or uncopyrighted composition, secret process, patented or unpatented invention, articles or appliances furnished or used in the performance of the contract, of which the contractor is not the patentee, assignee or licensee.
7. It is understood and agreed that the contractor shall not be held liable for any failure or delays in the fulfillment of his contract arising from strikes, fires, or acts of God, or any other cause or causes beyond his reasonable control.
8. In the event there is a need for material bonding, performance bonding and/or insurance, the bidder will provide the bonding and/or insurance when requested and do this within fifteen (15) days after receipt of our notification of apparent low bidder, otherwise, the University reserves the right to go to the next qualified bidder who can comply.