

CONTRACT SUPPLEMENT
SP-37 - Rev. 11/14/19
Prev. Rev. 10/24/19

Eva Orłinski
Contract Specialist

860-713-5083
Telephone Number

STATE OF CONNECTICUT

DEPARTMENT OF ADMINISTRATIVE SERVICES

PROCUREMENT DIVISION

450 Columbus Boulevard, Hartford, CT 06103

CONTRACT AWARD NO.:

18PSX0104

Contract Award Date:

1 November 2018

Bid Due Date:

10 September 2018

SUPPLEMENT DATE:

4 February 2020

CONTRACT AWARD SUPPLEMENT #2

IMPORTANT: THIS IS NOT A PURCHASE ORDER. DO NOT PRODUCE OR SHIP WITHOUT AN AGENCY PURCHASE ORDER.

DESCRIPTION: Energy Efficiency Retrofits and Energy Cost-Saving Services for Existing Buildings

FOR: All Using State Agencies, Political Subdivisions, and Not-for-Profit Organizations		TERM OF CONTRACT: December 15, 2018 through December 31, 2022	
		AGENCY REQUISITION NUMBER: 5263	
CHANGE TO IN STATE (NON-SB) CONTRACT VALUE	CHANGE TO DAS-CERTIFIED SMALL BUSINESS CONTRACT VALUE	CHANGE TO OUT OF STATE CONTRACT VALUE	CHANGE TO TOTAL CONTRACT AWARD VALUE
			No Change

NOTICE TO CONTRACTORS: This notice is not an order to ship. Purchase Orders against contracts will be furnished by the using agency or agencies on whose behalf the contract is made. INVOICE SHALL BE RENDERED DIRECT TO THE ORDERING AGENCY.

DISCLAIMER OF VALUE: The total Contract Award amount stated is intended solely as an estimate, and does not constitute a representation of the actual value of the Contract.

CASH DISCOUNTS: Cash discounts, if any, shall be given SPECIAL ATTENTION, but such cash discount shall not be taken unless payment is made within the discount period.

PRICE BASIS: Unless otherwise noted, prices include delivery and transportation charges fully prepaid f.o.b. agency. No extra charge is to be made for packing or packages.

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (<http://das.ct.gov/mp1.aspx?page=8>)

Company Name: **Johnson Controls, Inc.**

Company Address: **27 Inwood Road, Rocky Hill, CT 06067**

Contact Person: **Aaron Alibrio**

Tel. No.: **860-471-0385**

Contact Person email address: Aaron.Alibrio@jci.com

Certification Type (SBE, MBE or None): **None**

Prompt Payment Terms: **0% 00 Net 45**

Agrees to Supply Political SubDivisions: **Yes**

PLEASE NOTE:

The purpose of this supplement is to update the contact person for Johnson Controls, Inc. Please see new information above. All terms and conditions not otherwise affected by this supplement remain unchanged and in full force and effect.

DEPARTMENT OF ADMINISTRATIVE SERVICES

By: _____
(Original Signature on Document in Procurement Files)

Name: **EVA ORLINSKI**

Title: Contract Specialist

Date: February 4, 2020

Eva Orłinski
Contract Specialist

860-713-5083
Telephone Number

STATE OF CONNECTICUT

DEPARTMENT OF ADMINISTRATIVE SERVICES

PROCUREMENT DIVISION

450 Columbus Boulevard, Hartford, CT 06103

CONTRACT AWARD NO.:

18PSX0104

Contract Award Date:

1 November 2018

Bid Due Date:

10 September 2018

SUPPLEMENT DATE:

23 September 2019

CONTRACT AWARD SUPPLEMENT #1

IMPORTANT: THIS IS NOT A PURCHASE ORDER. DO NOT PRODUCE OR SHIP WITHOUT AN AGENCY PURCHASE ORDER.

DESCRIPTION: Energy Efficiency Retrofits and Energy Cost-Saving Services for Existing Buildings

FOR: All Using State Agencies, Political Subdivisions, and Not-for-Profit Organizations		TERM OF CONTRACT: December 15, 2018 through December 31, 2022	
		AGENCY REQUISITION NUMBER: 5263	
CHANGE TO IN STATE (NON-SB) CONTRACT VALUE	CHANGE TO DAS-CERTIFIED SMALL BUSINESS CONTRACT VALUE	CHANGE TO OUT OF STATE CONTRACT VALUE	CHANGE TO TOTAL CONTRACT AWARD VALUE
No Change			No Change

NOTICE TO CONTRACTORS: This notice is not an order to ship. Purchase Orders against contracts will be furnished by the using agency or agencies on whose behalf the contract is made. INVOICE SHALL BE RENDERED DIRECT TO THE ORDERING AGENCY.

NOTE: Dollar amounts listed next to each contractor are possible award amounts, however, they do not reflect any expected purchase amounts (actual or implied). They are for CHRO use only.

NOTICE TO AGENCIES: A complete explanatory report shall be furnished promptly to the Procurement Manager concerning items delivered and/or services rendered on orders placed against awards listed herein which are found not to comply with the specifications or which are otherwise unsatisfactory from the agency's viewpoint, as well as failure of the contractor to deliver within a reasonable period of time specified. Please issue orders and process invoices promptly.

CASH DISCOUNTS: Cash discounts, if any, shall be given SPECIAL ATTENTION, but such cash discount shall not be taken unless payment is made within the discount period.

PRICE BASIS: Unless otherwise noted, prices include delivery and transportation charges fully prepaid f.o.b. agency. No extra charge is to be made for packing or packages.

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (<http://das.ct.gov/mp1.aspx?page=8>)

Company Name: **J.B.A. Consulting Engineers, Inc.**

Company Address: **437 Naubuc Avenue, Suite 106, Glastonbury, CT 06033**

Contact Person: **Walt Donzila**

Tel. No.: **(860) 882-1515 x 312**

Company/Contact Person Email Address: Walt.Donzila@nv5.com

Company Web Site: www.celticenergy.com

Prompt Payment Terms: **0% 00 Net 45**

Agrees to Supply Political SubDivisions: **Yes**

Effective immediately Supplement 1 has been issued for the assignment and assumption of Celtic Energy, Inc. to J.B.A. Consulting Engineers, Inc. who has assumed all of the contractual rights and responsibilities and has replaced them on this contract. Please use supplier ID # 190593 when using CORE. All terms and conditions not otherwise affected by this supplement remain unchanged and in full force and effect.

DEPARTMENT OF ADMINISTRATIVE SERVICES

By: _____
(Original Signature on Document in Procurement Files)

Name: **EVA ORLINSKI**

Title: Contract Specialist

Date: September 23, 2019

CONTRACT AWARD
RFP-38 Rev. 11/18/16
Prev. Rev. 3/12/14

STATE OF CONNECTICUT

DEPARTMENT OF ADMINISTRATIVE SERVICES

PROCUREMENT DIVISION

450 Columbus Boulevard, Hartford, CT 06103

Mark Carroza
Contract Specialist

860-713-5047
Telephone Number

CONTRACT AWARD NO.:

18PSX0104

Contract Award Date:

1 November 2018

RFP Due Date:

10 September 2018

CONTRACT AWARD

IMPORTANT: THIS IS NOT A PURCHASE ORDER. DO NOT PRODUCE OR SHIP WITHOUT AN AGENCY PURCHASE ORDER.

DESCRIPTION: ENERGY EFFICIENCY RETROFITS AND ENERGY COST-SAVING SERVICES FOR EXISTING BUILDINGS

FOR:
All Using State Agencies, Political Subdivisions, and Not-for-Profit Organizations

TERM OF CONTRACT:
1 January 2019 through 31 December 2022

AGENCY REQUISITION NUMBER: 5263

IN STATE (NON-SB) CONTRACT VALUE	DAS CERTIFIED SMALL BUSINESS CONTRACT VALUE	OUT OF STATE CONTRACT VALUE	TOTAL CONTRACT AWARD VALUE
n/a	n/a	n/a	\$2,100,000.00 est.

NOTICE TO CONTRACTORS: This notice is not an order to ship. Purchase Orders against contracts will be furnished by the using agency or agencies on whose behalf the contract is made. INVOICE SHALL BE RENDERED DIRECT TO THE ORDERING AGENCY.

NOTE: Dollar amounts listed next to each contractor are possible award amounts, however, they do not reflect any expected purchase amounts (actual or implied). They are for CHRO use only.

NOTICE TO AGENCIES: A complete explanatory report shall be furnished promptly to the Procurement Manager concerning items delivered and/or services rendered on orders placed against awards listed herein which are found not to comply with the specifications or which are otherwise unsatisfactory from the agency's viewpoint, as well as failure of the contractor to deliver within a reasonable period of time specified. Please issue orders and process invoices promptly.

CASH DISCOUNTS: Cash discounts, if any, shall be given SPECIAL ATTENTION, but such cash discount shall not be taken unless payment is made within the discount period.

PRICE BASIS: Unless otherwise noted, prices include delivery and transportation charges fully prepaid f.o.b. agency. No extra charge is to be made for packing or packages.

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (<http://das.ct.gov/mp1.aspx?page=8>)

SEE FOLLOWING PAGES FOR CONTRACTOR INFORMATION.

APPROVED _____

DEVIN MARQUEZ

Manager of Procurement Programs & Services
(Original Signature on Document in Procurement Files)

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (<http://das.ct.gov/mp1.aspx?page=8>)

Company Name: **American Development Institute, LLC**

Company Address: **37 Thurber Blvd., Suite 107, Smithfield, RI 02917**

Tel. No.: **(401) 349-4053**

Fax No.: **n/a**

Certification Type: **None**

Contact Person: **Jeanette Coleman-Hall**

Payment Terms: **Net 45**

Contact E-mail Address: **jch@adienergy.com**

Company Name: **Automated Building Systems, Inc.**

Company Address: **125 Kreiger Lane, Glastonbury, CT 06033**

Tel. No.: **(860) 657-9257**

Fax No.: **(860) 657-3135**

Certification Type: **None**

Contact Person: **Gregory Canna**

Payment Terms: **Net 45**

Contact E-mail Address: **gcanna@absddc.com**

Company Name: **CDM Smith, Inc.**

Company Address: **75 State Street, Boston, MA 02109**

Tel. No.: **(860) 808-2277**

Fax No.: **n/a**

Certification Type: **None**

Contact Person: **Joseph Laliberte**

Payment Terms: **Net 45**

Contact E-mail Address: **lalibertejl@cdmsmith.com**

Company Name: **Celtic Energy, Inc.**

Company Address: **437 Naubuc Ave., Suite 106, Glastonbury, CT 06033**

Tel. No.: **(860) 882-1515**

Fax No.: **(860) 882-1593**

Certification Type: **SBE**

Contact Person: **Walt Donzila**

Payment Terms: **Net 30**

Contact E-mail Address: **wdonzila@celticenergy.com**

Company Name: **Earthlight Technologies**

Company Address: **92 West Road, Ellington, CT 06029**

Tel. No.: **(860) 871-9700**

Fax No.: **(860) 871-9439**

Certification Type: **None**

Contact Person: **Samuel J. Schneider**

Payment Terms: **Net 45**

Contact E-mail Address: **sam@earthlighttech.com**

Company Name: **Ecosystem Energy Services USA, Inc.**

Company Address: **501 7th Avenue, New York, NY 10018**

Tel. No.: **(401) 808-0589**

Fax No.: **(646)-692-7898**

Certification Type: **None**

Contact Person: **Robert Mancini**

Payment Terms: **Net 45**

Contact E-mail Address: **robert.mancini@ecosystem-energy.com**

Company Name: **Energy Resources USA, LLC**

Company Address: **76 Watertown Road, Suite 2A, Thomaston, CT 06787**

Tel. No.: **(475) 559-8272**

Fax No.: **(860) 880-8304**

Certification Type: **None**

Contact Person: **Matt James**

Payment Terms: **Net 45**

Contact E-mail Address: **mjames@energyresourcesusa.net**

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (<http://das.ct.gov/mp1.aspx?page=8>)

Company Name: **ENGIE Services U.S., Inc.**

Company Address: **500 12th Street, Suite 300, Oakland, CA 94607**

Tel. No.: **(913) 225-7119**

Fax No.: **n/a**

Certification Type: **None**

Contact Person: **Scott Johnson**

Payment Terms: **Net 45**

Contact E-mail Address: **ss.johnson@engie.com**

Company Name: **Environmental Systems Corp.**

Company Address: **18 Jansen Court, West Hartford, CT 06110**

Tel. No.: **(860) 953-953-8800**

Fax No.: **(860) 953-1094**

Certification Type: **None**

Contact Person: **Michael Mullin**

Payment Terms: **Net 45**

Contact E-mail Address: **m.mullin@escontrols.com**

Company Name: **Eversource Energy Service Co.**

Company Address: **107 Selden Street, Berlin, CT 06037**

Tel. No.: **(860) 665-4752**

Fax No.: **(860) 665-3030**

Certification Type: **None**

Contact Person: **Stephen J. Bruno**

Payment Terms: **Net 45**

Contact E-mail Address: **stephen.bruno@eversource.com**

Company Name: **GreenerU**

Company Address: **480 Pleasant Street, Suite C300, Watertown, MA 02472**

Tel. No.: **(612) 240-4641**

Fax No.: **n/a**

Certification Type: **None**

Contact Person: **Jennifer Haugh**

Payment Terms: **Net 45**

Contact E-mail Address: **jennifer.h@greeneru.com**

Company Name: **Greenleaf Energy Solutions, LLC**

Company Address: **119 Hawley Road, Oxford, CT 06478**

Tel. No.: **(475) 675-5972**

Fax No.: **(475) 675-5982**

Certification Type: **None**

Contact Person: **George Porto**

Payment Terms: **Net 45**

Contact E-mail Address: **gporto@greenleafenergysolutions.net**

Company Name: **Honeywell International, Inc.**

Company Address: **712 Brook Street, Suite 106, Rocky Hill, CT 06067**

Tel. No.: **(518) 320-6268**

Fax No.: **n/a**

Certification Type: **None**

Contact Person: **Kathy Gicobbi**

Payment Terms: **Net 45**

Contact E-mail Address: **kathy.gicobbi@honeywell.com**

Company Name: **Johnson Controls, Inc.**

Company Address: **27 Inwood Road, Rocky Hill, CT 06067**

Tel. No.: **(860) 571-3300**

Fax No.: **(860) 571-3301**

Certification Type: **None**

Contact Person: **Ronald H. Jaquith**

Payment Terms: **Net 45**

Contact E-mail Address: **Ronald.h.jaquith@jci.com**

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (<http://das.ct.gov/mp1.aspx?page=8>)

Company Name: NORESKO, LLC

Company Address: 1 Research Drive, Suite 400C, Westborough, MA 015801

Tel. No.: (508) 614-1000

Fax No.: n/a

Certification Type: None

Contact Person: Jessica Newfell

Payment Terms: Net 45

Contact E-mail Address: jnewfell@noresko.com

Company Name: Prism Consulting, Inc.

Company Address: 69 Alberts Hill Road, Sandy Hook, CT 06482

Tel. No.: (203) 240-2133

Fax No.: n/a

Certification Type: None

Contact Person: Wendy Simmons

Payment Terms: Net 45

Contact E-mail Address: wendys@prismenergyservices.com

Company Name: Steven Winter Associates, Inc.

Company Address: 61 Washington Street, Norwalk, CT 06854

Tel. No.: (212) 564-5800

Fax No.: n/a

Certification Type: None

Contact Person: Marie A. Starnes

Payment Terms: Net 45

Contact E-mail Address: mstarnes@swinter.com

Company Name: Strategic Building Solutions, LLC

Company Address: 135 New Road, Madison, CT 06443

Tel. No.: (860) 395-0055

Fax No.: (203) 779-5661

Certification Type: None

Contact Person: Jonathan Winikur

Payment Terms: Net 45

Contact E-mail Address: jonathan.winikur@colliers.com

Company Name: Sustainable Engineering Solutions, LLC

Company Address: 120 Willow Brook Drive, Berlin, CT 06037

Tel. No.: (860) 270-0413

Fax No.: (888) 316-0452

Certification Type: SBE

Contact Person: Ernest Lawas

Payment Terms: Net 30

Contact E-mail Address: elawas@sustainable-eng.com

Company Name: U.S. Energy Systems

Company Address: 222 Pitkin Street, East Hartford, CT 06108

Tel. No.: (860) 985-8585

Fax No.: n/a

Certification Type: None

Contact Person: Alan M. Wiernasz

Payment Terms: Net 45

Contact E-mail Address: amwiernasz@gmail.com

Company Name: Wendel Energy Services, LLC

Company Address: 375 Essjay Road, Suite 200, Williamsville, NY 14221

Tel. No.: (877) 293-6335

Fax No.: n/a

Certification Type: None

Contact Person: Joseph DeFazio

Payment Terms: Net 45

Contact E-mail Address: marketing@wendelcompanies.com

CONTRACT

18PSX0104

Between

THE STATE OF CONNECTICUT

Acting by its

DEPARTMENT OF ADMINISTRATIVE SERVICES

AND

**AMERICAN DEVELOPMENT INSTITUTE, LLC; AUTOMATED BUILDING SYSTEMS, INC.; CDM SMITH, INC.;
CELTIC ENERGY, INC.; EARTHLIGHT TECHNOLOGIES; ECOSYSTEM ENERGY SERVICES USA, INC.;
ENERGY RESOURCES USA, LLC; ENGIE SERVICES U.S., INC.; ENVIRONMENTAL SYSTEMS CORPORATION;
EVERSOURCE ENERGY SERVICE COMPANY; GREENER U; GREENLEAF ENERGY SOLUTIONS, LLC;
HONEYWELL INTERNATIONAL, INC.; JOHNSON CONTROLS, INC.; NORESKO, LLC; PRISM CONSULTING, INC.;
STEVEN WINTER ASSOCIATES, INC.; STRATEGIC BUILDING SOLUTIONS, LLC;
SUSTAINABLE ENGINEERING SOLUTIONS, LLC; U.S. ENERGY SYSTEMS; WENDEL ENERGY SERVICES, LLC**

ENERGY EFFICIENCY RETROFITS AND ENERGY COST-SAVING SERVICES FOR EXISTING BUILDINGS

Contract # 18PSX0104

Contract Document

RFP-50 Rev. 11/18/16

Prev. Rev. 8/16/16

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Contract # 18PSX0104

Contract Document

RFP-50 Rev. 11/18/16

Prev. Rev. 8/16/16

This Contract (the "Contract") is made as of the Effective Date by and between:

- a) American Development Institute, LLC (the "Contractor,") with a principal place of business at 37 Thurber Blvd., Smithfield, Rhode Island, acting by John Rizzo, its Chief Executive Officer;
- b) Automated Building Systems, Inc. (the "Contractor,") with a principal place of business at 126 Kreiger Lane, Glastonbury, Connecticut, acting by Gregory Canna, its Vice President;
- c) CDM Smith, Inc. (the "Contractor,") with a principal place of business at 75 State Street, Boston, Massachusetts, acting by Joseph Laliberte, its Associate;
- d) Celtic Energy, Inc. (the "Contractor,") with a principal place of business at 437 Naubuc Avenue, Glastonbury, Connecticut, acting by Chris Halpin, its President;
- e) Earthlight Technologies (the "Contractor,") with a principal place of business at 92 West Road, Ellington, Connecticut, acting by Samuel J. Schneider, its Chief Operating Officer;
- f) Ecosystem Energy Services USA, Inc. (the "Contractor,") with a principal place of business at 501 Seventh Avenue, New York, New York, acting by Karim Hajjaj, its Executive Vice President;
- g) Energy Resources USA, LLC (the "Contractor,") with a principal place of business at 76 Watertown Road, Thomaston, Connecticut, acting by Matt James, its Chief Executive Officer;
- h) ENGIE Services U.S., Inc. (the "Contractor,") with a principal place of business at 500 12th Street, Oakland, California, acting by Scott Johnson, its Vice President of Operations;
- i) Environmental Systems Corporation (the "Contractor,") with a principal place of business at 18 Jansen Court, West Hartford, Connecticut, acting by Michael Mullin, its Vice President of Operations;
- j) Eversource Energy Service Company (the "Contractor,") with a principal place of business at 107 Selden Street, Berlin, Connecticut, acting by June Wooding, its Category Lead;
- k) GreenerU (the "Contractor,") with a principal place of business at 480 Pleasant Street, Watertown, Massachusetts, acting by David Adamian, its Chief Executive Officer;
- l) Greenleaf Energy Solutions, LLC (the "Contractor,") with a principal place of business at 119 Hawley Road, Oxford, Connecticut, acting by George Porto, its Chief Financial Officer;
- m) Honeywell International, Inc. (the "Contractor,") with a principal place of business at 712 Brook Street, Rocky Hill, Connecticut, acting by Kathy Gicobbi, its Proposal Writer;

Contract # 18PSX0104

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- n) Johnson Controls, Inc. (the “Contractor,”) with a principal place of business at 27 Inwood Road, Rocky Hill, Connecticut, acting by Ronald H. Jacquith, its Market Director;
- o) Noresco, LLC (the “Contractor,”) with a principal place of business at 1 Research Drive, Westborough, Massachusetts, acting by David G. Mannherz, its Executive Vice President & CFO;
- p) Prism Consulting, Inc. (the “Contractor,”) with a principal place of business at 69 Alberts Hill Road, Sandy Hook, Connecticut, acting by Wendy Simmons, its President;
- q) Steven Winter Associates, Inc. (the “Contractor,”) with a principal place of business at 61 Washington Street, Norwalk, Connecticut, acting by Dianne Griffiths, its President;
- r) Strategic Building Solutions, LLC (the “Contractor,”) with a principal place of business at 135 New Road, Madison, Connecticut, acting by Jonathan Winikur, its Executive Managing Director;
- s) Sustainable Engineering Solutions, LLC (the “Contractor,”) with a principal place of business at 120 Willow Brook Drive, Berlin, Connecticut, acting by Ernest Lawas, its Principal;
- t) U.S. Energy Systems (the “Contractor,”) with a principal place of business at 222 Pitkin Street, East Hartford, Connecticut, acting by Alan M. Wiernasz, its President;
- u) Wendel Energy Services, LLC (the “Contractor,”) with a principal place of business at 375 Essjay Road, Williamsville, New York, acting by Joseph DeFazio, its Executive Vice President;

and the State of Connecticut Department of Administrative Services (“DAS”), with a principal place of business at 450 Columbus Boulevard, Hartford, Connecticut 06103, acting by Devin Marquez, its Manager of Procurement Programs & Services, in accordance with Sections 4a-2 and 4a-51 of the Connecticut General Statutes.

Now therefore, in consideration of these presents, and for other good and valuable consideration, the receipt and sufficiency of which the parties acknowledge, the Contractor and the State agree as follows:

1. Definitions. Unless otherwise indicated, the following terms shall have the following corresponding definitions:

- (a) Claims: All actions, suits, claims, demands, investigations and proceedings of any kind, open, pending or threatened, whether mature, un-matured, contingent, known or unknown, at law or in equity, in any forum.
- (b) Client Agency: Any department, commission, board, bureau, agency, institution, public authority, office, council, association, instrumentality or political subdivision of the State of Connecticut, as applicable, who is authorized and chooses to make purchases under, and pursuant to the terms and conditions of, this Contract.

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- (c) Confidential Information: This shall mean any name, number or other information that may be used, alone or in conjunction with any other information, to identify a specific individual including, but not limited to, such individual's name, date of birth, mother's maiden name, motor vehicle operator's license number, Social Security number, employee identification number, employer or taxpayer identification number, alien registration number, government passport number, health insurance identification number, demand deposit account number, savings account number, credit card number, debit card number or unique biometric data such as fingerprint, voice print, retina or iris image, or other unique physical representation. Without limiting the foregoing, Confidential Information shall also include any information that the Client Agency or DAS classifies as "confidential" or "restricted." Confidential Information shall not include information that may be lawfully obtained from publicly available sources or from federal, state, or local government records which are lawfully made available to the general public.
- (d) Confidential Information Breach: This shall mean, generally, an instance where an unauthorized person or entity accesses Confidential Information in any manner, including but not limited to the following occurrences: (1) any Confidential Information that is not encrypted or protected is misplaced, lost, stolen or in any way compromised; (2) one or more third parties have had access to or taken control or possession of any Confidential Information that is not encrypted or protected without prior written authorization from the State; (3) the unauthorized acquisition of encrypted or protected Confidential Information together with the confidential process or key that is capable of compromising the integrity of the Confidential Information; or (4) if there is a substantial risk of identity theft or fraud to Client Agency, the Contractor, DAS or State.
- (e) Contract: The agreement, as of its Effective Date, between the Contractor and the State for any or all Goods or Services at the Proposal price.
- (f) Contractor: A person or entity who submits a Proposal and who executes a Contract.
- (g) Contractor Parties: A Contractor's members, directors, officers, shareholders, partners, managers, principal officers, representatives, agents, servants, consultants, employees or any one of them or any other person or entity with whom the Contractor is in privity of oral or written contract and the Contractor intends for such other person or entity to Perform under the Contract in any capacity.
- (h) Day: All calendar days other than Saturdays, Sundays and days designated as national or State of Connecticut holidays upon which banks in Connecticut are closed.
- (i) Force Majeure: Events that materially affect the cost of the Goods or Services or the time schedule within which to Perform and are outside the control of the party asserting that such an event has occurred, including, but not limited to, labor troubles unrelated to the Contractor, failure of or inadequate permanent power, unavoidable casualties, fire not caused by the Contractor, extraordinary weather conditions, disasters, riots, acts of God, insurrection or war.
- (j) Goods: For purposes of the Contract, all things which are movable at the time that the Contract is effective and which include, without limiting this definition, supplies, materials and equipment, as specified in the Request for Proposals and set forth in Exhibit A.
- (k) Goods or Services: Goods, Services or both, as specified in the Request for Proposals and set forth in Exhibit A.

Contract # 18PSX0104

Contract Document

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- (l) Proposal: A submittal in response to a Request for Proposals.
 - (m) Records: All working papers and such other information and materials as may have been accumulated by the Contractor in performing the Contract, including but not limited to, documents, data, plans, books, computations, drawings, specifications, notes, reports, records, estimates, summaries and correspondence, kept or stored in any form.
 - (n) Request for Proposals: A State request inviting proposals for Goods or Services. This Contract shall be governed by the statutes, regulations and procedures of the State of Connecticut, Department of Administrative Services.
 - (o) Services: The performance of labor or work, as specified in the Request for Proposals and set forth in Exhibit A.
 - (p) State: The State of Connecticut, including DAS, the Client Agency and any office, department, board, council, commission, institution or other agency of the State.
 - (q) Termination: An end to the Contract prior to the end of its term whether effected pursuant to a right which the Contract creates or for a breach.
 - (r) Title: all ownership, title, licenses, rights and interest, including, but not limited to, perpetual use, of and to the Goods or Services.
2. Term of Contract; Contract Extension. The Contract will be in effect from the Effective Date (1 January 2019) through 31 December 2022. DAS, in its sole discretion, may extend this Contract for additional terms beyond the original term, prior to Termination or expiration, one or more times for a combined total period not to exceed the complete length of the original term.
3. Description of Goods or Services and Additional Terms and Conditions. The Contractor shall perform as set forth in Exhibit A. For purposes of this Contract, to perform and the performance in Exhibit A is referred to as "Perform" and the "Performance."
4. Price Schedule, Payment Terms and Billing, and Price Adjustments.
- (a) Price Schedule: Price Schedule under this Contract is set forth in Exhibit B.
 - (b) Payment Terms and Billing:
 - (1) Payment shall be made only after the Client Agency receives and accepts the Goods or Services and after it receives a properly completed invoice. Unless otherwise specified in the Contract, payment for all accepted Goods or Services shall be due within forty-five (45) days after acceptance of the Goods or Services, or thirty (30) days if the Contractor is a certified small contractor or minority business enterprise as defined in Conn. Gen. Stat. § 4a-60g. The Contractor shall submit an invoice to the Client Agency for the Performance. The invoice shall include detailed information for Goods or Services, delivered and Performed, as applicable, and accepted. Any late payment charges shall be calculated in accordance with the Connecticut General Statutes.
 - (2) THE STATE SHALL MAKE ALL PAYMENTS TO THE CONTRACTOR THROUGH ELECTRONIC FUNDS TRANSFER VIA THE AUTOMATED CLEARING HOUSE ("ACH"). CONTRACTOR SHALL ENROLL IN ACH THROUGH THE OFFICE OF THE STATE COMPTROLLER PRIOR TO SENDING

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ANY INVOICE TO THE STATE. THE CONTRACTOR MAY OBTAIN DETAILED INFORMATION REGARDING ACH AT: [HTTP://WWW.OSC.CT.GOV/VENDOR/DIRECTDEPOSIT.HTML](http://www.osc.ct.gov/vendor/directdeposit.html).

- (c) Notwithstanding any language regarding Contractor price increases herein, the Price Schedule will be adjusted to reflect any increase in the minimum wage rate that may occur during the term of this Contract as mandated by State law and in accordance with the terms of this section. Contractor shall provide documentation, in the form of certified payroll or other documentation acceptable to the State, substantiating the amount of any increase in Contractor labor costs as a result of changes to the minimum wage rate within ninety (90) days of the statutorily identified effective date of any increase in the minimum wage. Upon receipt, and verification of Contractor documentation DAS shall adjust the Price Schedule accordingly through a supplement to this Contract.
- (d) The Contractor shall comply with all provisions of Section 31-57f of the Connecticut General Statutes concerning standard wages. Current standard wage rates are included in Exhibit D. Notwithstanding any language regarding Contractor price increases, the Price Schedule will be adjusted to reflect any increase in the standard wage rate that may occur, as mandated by State law. Exhibit D will not be adjusted to reflect new standard wage rates until the Contractor provides documentation, in the form of certified payroll or other documentation acceptable to the State, substantiating the increase in Contractor labor costs as a result of changes to the standard wage rate. The Contractor must provide this documentation to the State within ninety (90) days' of the effective date that the State Department of Labor establishes for the increase in the standard wage. Upon receipt and verification of Contractor documentation, DAS shall adjust the Price Schedule and update Exhibit D accordingly through a supplement to this Contract.
- (e) Price Adjustments:
No price increases are allowed under this Contract.

5. Rejected Items; Abandonment.

- (a) The Contractor may deliver, cause to be delivered, or, in any other way, bring or cause to be brought, to any State premises or other destination, Goods, as samples or otherwise, and other supplies, materials, equipment or other tangible personal property. The State may, by written notice and in accordance with the terms and conditions of the Contract, direct the Contractor to remove any or all such Goods ("the "Rejected Goods") and any or all other supplies, materials, equipment or other tangible personal property (collectively, the "Contractor Property") from and out of State premises and any other location which the State manages, leases or controls. The Contractor shall remove the Rejected Goods and the Contractor Property in accordance with the terms and conditions of the written notice. Failure to remove the Rejected Goods or the Contractor Property in accordance with the terms and conditions of the written notice shall mean, for itself and all Contractor Parties, that:
 - (1) they have voluntarily, intentionally, unconditionally, unequivocally and absolutely abandoned and left unclaimed the Rejected Goods and Contractor Property and relinquished all ownership, title, licenses, rights, possession and interest of, in and to (collectively, "Title") the Rejected Goods and Contractor Property with the specific and express intent of (A) terminating all of their Title to the Rejected Goods and Contractor Property, (B) vesting Title to the Rejected Goods and Contractor Property in the State of Connecticut and (C) not ever reclaiming Title or any future rights of any type in and to the Rejected Goods and Contractor Property;

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- (2) there is no ignorance, inadvertence or unawareness to mitigate against the intent to abandon the Rejected Goods or Contractor Property;
 - (3) they vest authority, without any further act required on their part or the State's part, in the Client Agency and the State to use or dispose of the Rejected Goods and Contractor Property, in the State's sole discretion, as if the Rejected Goods and Contractor Property were the State's own property and in accordance with law, without incurring any liability or obligation to the Contractor or any other party;
 - (4) if the State incurs any costs or expenses in connection with disposing of the Rejected Goods and Contractor Property, including, but not limited to, advertising, moving or storing the Rejected Goods and Contractor Property, auction and other activities, the State shall invoice the Contractor for all such cost and expenses and the Contractor shall reimburse the State no later than thirty (30) days after the date of invoice; and
 - (5) they do remise, release and forever discharge the State and its employees, departments, commissions, boards, bureaus, agencies, instrumentalities or political subdivisions and their respective successors, heirs, executors and assigns (collectively, the "State and Its Agents") of and from all Claims which they and their respective successors or assigns, jointly or severally, ever had, now have or will have against the State and Its Agents arising from the use or disposition of the Rejected Goods and Contractor Property.
- (b) The Contractor shall secure from each Contractor Party, such document or instrument as necessary or appropriate as will vest in the Contractor plenary authority to bind the Contractor Parties to the full extent necessary or appropriate to give full effect to all of the terms and conditions of this section. The Contractor shall provide, no later than fifteen (15) days after receiving a request from the State, such information as the State may require to evidence, in the State's sole determination, compliance with this section.
6. Order and Delivery. The Contract shall bind the Contractor to furnish and deliver the Goods or Services in accordance with Exhibit A and at the prices set forth in Exhibit B. Subject to the sections in this Contract concerning Force Majeure, Termination and Open Market Purchases, the Contract shall bind the Client Agency to order the Goods or Services from the Contractor, and to pay for the accepted Goods or Services in accordance with Exhibit B.
7. Contract Amendments.
No amendment to or modification or other alteration of the Contract shall be valid or binding upon the State unless made in writing, signed by both parties and, if applicable, approved by the Connecticut Attorney General.
8. Assignment. The Contractor shall not assign any of its rights or obligations under the Contract, voluntarily or otherwise, in any manner without the prior written consent of DAS. DAS may void any purported assignment in violation of this section and declare the Contractor in breach of Contract. Any Termination by DAS for a breach is without prejudice to DAS's or the State's rights or possible Claims.
9. Termination.
- (a) Notwithstanding any provisions in this Contract, DAS, through a duly authorized employee, may Terminate the Contract whenever DAS makes a written determination that such Termination is in the best interests of the State. DAS shall notify the Contractor in writing of Termination pursuant to this section, which notice shall specify the effective date of

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Termination and the extent to which the Contractor must complete its Performance under the Contract prior to such date.

- (b) Notwithstanding any provisions in this Contract, DAS, through a duly authorized employee, may, after making a written determination that the Contractor has breached the Contract, Terminate the Contract in accordance with the provisions in the Breach section of this Contract.
- (c) DAS shall send the notice of Termination via certified mail, return receipt requested, to the Contractor at the most current address which the Contractor has furnished to DAS for purposes of correspondence, or by hand delivery. Upon receiving the notice from DAS, the Contractor shall immediately discontinue all services affected in accordance with the notice, undertake all commercially reasonable efforts to mitigate any losses or damages, and deliver to the Client Agency all Records. The Records are deemed to be the property of the Client Agency and the Contractor shall deliver them to the Client Agency no later than thirty (30) days after the Termination of the Contract or fifteen (15) days after the Contractor receives a written request from either DAS or the Client Agency for the Records. The Contractor shall deliver those Records that exist in electronic, magnetic or other intangible form in a non-proprietary format, such as, but not limited to, ASCII or .TXT.
- (d) Upon receipt of a written notice of Termination from DAS, the Contractor shall cease operations as DAS directs in the notice, and take all actions that are necessary or appropriate, or that DAS may reasonably direct, for the protection, and preservation of the Goods and any other property. Except for any work which DAS directs the Contractor to Perform in the notice prior to the effective date of Termination, and except as otherwise provided in the notice, the Contractor shall terminate or conclude all existing subcontracts and purchase orders and shall not enter into any further subcontracts, purchase orders or commitments.
- (e) The Client Agency shall, within forty-five (45) days of the effective date of Termination, reimburse the Contractor for its Performance rendered and accepted by the Client Agency in accordance with Exhibit A, in addition to all actual and reasonable costs incurred after Termination in completing those portions of the Performance which the notice required the Contractor to complete. However, the Contractor is not entitled to receive and the Client Agency is not obligated to tender to the Contractor any payments for anticipated or lost profits. Upon request by DAS or the Client Agency, as applicable, the Contractor shall assign to DAS or the Client Agency, or any replacement contractor which DAS or the Client Agency designates, all subcontracts, purchase orders and other commitments, deliver to DAS or the Client Agency all Records and other information pertaining to its Performance, and remove from State premises, whether leased or owned, all of Contractor's property, equipment, waste material and rubbish related to its Performance, all as DAS or the Client Agency may request.
- (f) For breach or violation of any of the provisions in the section concerning Representations and Warranties, DAS may Terminate the Contract in accordance with its terms and revoke any consents to assignments given as if the assignments had never been requested or consented to, without liability to the Contractor or Contractor Parties or any third party.
- (g) Upon Termination of the Contract, all rights and obligations shall be null and void, so that no party shall have any further rights or obligations to any other party, except with respect to the sections which survive Termination. All representations, warranties, agreements and rights of the parties under the Contract shall survive such Termination to the extent not otherwise limited in the Contract and without each one of them having to be specifically

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mentioned in the Contract.

(h) Termination of the Contract pursuant to this section shall not be deemed to be a breach of contract by DAS.

10. Cost Modifications. The parties may agree to a reduction in the cost of the Contract at any time during which the Contract is in effect. Without intending to impose a limitation on the nature of the reduction, the reduction may be to hourly, staffing or unit costs, the total cost of the Contract or the reduction may take such other form as the State deems to be necessary or appropriate.

11. Breach. If either party breaches the Contract in any respect, the non-breaching party shall provide written notice of such breach to the breaching party and afford the breaching party an opportunity to cure the breach within ten (10) days from the date that the breaching party receives such notice. Any other time provided for in the notice shall trump such ten (10) days. Such right to cure period shall be extended if the non-breaching party is satisfied that the breaching party is making a good faith effort to cure but the nature of the breach is such that it cannot be cured within the right to cure period. The notice may include an effective Contract Termination date if the breach is not cured by the stated date and, unless otherwise modified by the non-breaching party in writing prior to the Termination date, no further action shall be required of any party to effect the Termination as of the stated date. If the notice does not set forth an effective Contract Termination date, then the non-breaching party may Terminate the Contract by giving the breaching party no less than twenty four (24) hours' prior written notice. If DAS believes that the Contractor has not performed according to the Contract, the Client Agency may withhold payment in whole or in part pending resolution of the Performance issue, provided that DAS notifies the Contractor in writing prior to the date that the payment would have been due in accordance with Exhibit B.

12. Waiver.

(a) No waiver of any breach of the Contract shall be interpreted or deemed to be a waiver of any other or subsequent breach. All remedies afforded in the Contract shall be taken and construed as cumulative, that is, in addition to every other remedy provided in the Contract or at law or in equity.

(b) A party's failure to insist on strict performance of any provision of the Contract shall only be deemed to be a waiver of rights and remedies concerning that specific instance of Performance and shall not be deemed to be a waiver of any subsequent rights, remedies or breach.

13. Open Market Purchases. Failure of the Contractor to Perform within the time specified in the Contract, or failure to replace rejected or substandard Goods or fulfill unperformed Services when so requested and as the Contract provides or allows, constitutes a breach of the Contract and as a remedy for such breach, such failure shall constitute authority for DAS, if it deems it to be necessary or appropriate in its sole discretion, to Terminate the Contract and/or to purchase on the open market, Goods or Services to replace those which have been rejected, not delivered, or not Performed. The Client Agency shall invoice the Contractor for all such purchases to the extent that they exceed the costs and expenses in Exhibit B and the Contractor shall pay the Client Agency's invoice immediately after receiving the invoice. If DAS does not Terminate the Contract, the Client Agency will deduct such open market purchases from the Contract quantities. However, if the Client Agency deems it to be in the best interest of the State, the Client Agency may accept and use the

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Goods or Services delivered which are substandard in quality, subject to an adjustment in price to be determined by the Client Agency.

14. Purchase Orders.

- (a) The Contract itself is not an authorization for the Contractor to ship Goods or begin Performance in any way. The Contractor may begin Performance only after it has received a duly issued purchase order against the Contract for Performance.
- (b) The Client Agency shall issue a purchase order against the Contract directly to the Contractor and to no other party.
- (c) All purchase orders shall be in written or electronic form, bear the Contract number (if any) and comply with all other State and Client Agency requirements, particularly the Client Agency's requirements concerning procurement. Purchase orders issued in compliance with such requirements shall be deemed to be duly issued.
- (d) A Contractor making delivery without a duly issued purchase order in accordance with this section does so at the Contractor's own risk.
- (e) The Client Agency may, in its sole discretion, deliver to the Contractor any or all duly issued purchase orders via electronic means only, such that the Client Agency shall not have any additional obligation to deliver to the Contractor a "hard copy" of the purchase order or a copy bearing any hand-written signature or other "original" marking.

15. Indemnification.

- (a) The Contractor shall indemnify, defend and hold harmless the State and its officers, representatives, agents, servants, employees, successors and assigns from and against any and all (1) Claims arising, directly or indirectly, in connection with the Contract, including the acts of commission or omission (collectively, the "Acts") of the Contractor or Contractor Parties; and (2) liabilities, damages, losses, costs and expenses, including but not limited to, attorneys' and other professionals' fees, arising, directly or indirectly, in connection with Claims, Acts or the Contract. The Contractor shall use counsel reasonably acceptable to the State in carrying out its obligations under this section. The Contractor's obligations under this section to indemnify, defend and hold harmless against Claims includes Claims concerning confidentiality of any part of or all of the Contractor's bid, proposal or any Records, any intellectual property rights, other proprietary rights of any person or entity, copyrighted or uncopyrighted compositions, secret processes, patented or unpatented inventions, articles or appliances furnished or used in the Performance.
- (b) The Contractor shall not be responsible for indemnifying or holding the State harmless from any liability arising due to the negligence of the State or any other person or entity acting under the direct control or supervision of the State.
- (c) The Contractor shall reimburse the State for any and all damages to the real or personal property of the State caused by the Acts of the Contractor or any Contractor Parties. The State shall give the Contractor reasonable notice of any such Claims.
- (d) The Contractor's duties under this section shall remain fully in effect and binding in accordance with the terms and conditions of the Contract, without being lessened or compromised in any way, even where the Contractor is alleged or is found to have merely

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contributed in part to the Acts giving rise to the Claims and/or where the State is alleged or is found to have contributed to the Acts giving rise to the Claims.

(e) The Contractor shall carry and maintain at all times during the term of the Contract, and during the time that any provisions survive the term of the Contract, sufficient general liability insurance to satisfy its obligations under this Contract. The Contractor shall cause the State to be named as an additional insured on the policy and shall provide (1) a certificate of insurance, (2) the declaration page and (3) the additional insured endorsement to the policy to DAS all in an electronic format acceptable to DAS prior to the Effective Date of the Contract evidencing that the State is an additional insured. The Contractor shall not begin Performance until the delivery of these 3 documents to DAS. Contractor shall provide an annual electronic update of the 3 documents to DAS on or before each anniversary of the Effective Date during the Contract Term. State shall be entitled to recover under the insurance policy even if a body of competent jurisdiction determines that State is contributorily negligent.

(f) This section shall survive the Termination of the Contract and shall not be limited by reason of any insurance coverage.

16. Forum and Choice of Law. The parties deem the Contract to have been made in the City of Hartford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Contract to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by Federal law or the laws of the State of Connecticut do not bar an action against the State, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Hartford only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut. The Contractor waives any objection which it may now have or will have to the laying of venue of any Claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.

17. Contractor Guaranties. Contractor shall:

- (a) Perform fully under the Contract;
- (b) Guarantee the Goods or Services against defective material or workmanship and to repair any damage or marring occasioned in transit or, at the Client Agency's option, replace them;
- (c) Furnish adequate protection from damage for all work and to repair damage of any kind, for which its workers are responsible, to the premises, Goods, the Contractor's work or that of Contractor Parties;
- (d) With respect to the provision of Services, pay for all permits, licenses and fees and give all required or appropriate notices;
- (e) Adhere to all Contractual provisions ensuring the confidentiality of Records that the Contractor has access to and are exempt from disclosure under the State's Freedom of Information Act or other applicable law; and
- (f) Neither disclaim, exclude nor modify the implied warranties of fitness for a particular purpose or of merchantability.

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18. Implied Warranties. DAS does not disclaim, exclude or modify the implied warranty of fitness for a particular purpose or the warranty of merchantability.
19. Goods, Standards and Appurtenances. Any Goods delivered must be standard new Goods, latest model, except as otherwise specifically stated in the Contract. Remanufactured, refurbished or reconditioned equipment may be accepted but only to the extent allowed under the Contract. Where the Contract does not specifically list or describe any part or nominal appurtenances of equipment for the Goods, it shall be understood that the Contractor shall deliver such parts and appurtenances as are usually provided with the manufacturer's stock model.
20. Delivery.
- (a) Delivery shall be made as ordered and in accordance with the Contract. Unless otherwise specified in the Contract, delivery shall be to a loading dock or receiving platform. The Contractor or Contractor's shipping designee shall be responsible for removal of Goods from the carrier and placement on the Client Agency loading dock or receiving platform. The receiving personnel of the Client Agency are not required to assist in this process. The decision of DAS as to reasonable compliance with delivery terms shall be final and binding. The burden of proof of proper receipt of the order shall rest with the Contractor.
 - (b) In order for the time of delivery to be extended, the Client Agency must first approve a request for extension from the time specified in the Contract, such extension applying only to the particular item or shipment.
 - (c) Goods shall be securely and properly packed for shipment, according to accepted standard commercial practice, without extra charge for packing cases, baling or sacks. The containers shall remain the property of the Client Agency unless otherwise stated in the Contract.
 - (d) All risk of loss and damage to the Goods transfers to the Client Agency upon Title vesting in the Client Agency.
21. Goods Inspection. The Commissioner of DAS, in consultation with the Client Agency, shall determine the manner and prescribe the inspection of all Goods and the tests of all samples submitted to determine whether they comply with all of the specifications in the Contract. If any Goods fail in any way to meet the specifications in the Contract, the Client Agency or the Commissioner of DAS may, in its sole discretion, either reject it and owe nothing or accept it and pay for it on an adjusted price basis, depending on the degree to which the Goods meet the specifications. Any decision pertaining to any such failure or rejection shall be final and binding.
22. Emergency Standby for Goods and/or Services. If any Federal or State official, having authority to do so, declares an emergency or the occurrence of a natural disaster within the State of Connecticut, DAS and the Client Agency may request the Goods and Services on an expedited and prioritized basis. Upon receipt of such a request the Contractor shall make all necessary and appropriate commercially reasonable efforts to reallocate its staffing and other resources in order to give primary preference to Performing this Contract ahead of or prior to fulfilling, in whole or in part, any other contractual obligations that the Contractor may have. The Contractor is not obligated to make those efforts to Perform on an expedited and prioritized basis in accordance with this paragraph if doing so will make the Contractor materially breach any other contractual obligations that the Contractor may have. Contractor shall acknowledge receipt of any request made pursuant to this

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paragraph within 2 hours from the time that the Contractor receives it via purchase order or through a request to make an expedited or prioritized purchase through the State of Connecticut Purchasing Card (MasterCard) Program (the "P-Card Program"). If the Contractor fails to acknowledge receipt within 2 hours, confirm its obligation to Perform or actually Perform, as set forth in the purchase order or through the P-Card Program, then DAS and the Client Agency may procure the Performance from another source without further notice to Contractor and without creating any right of recourse at law or in equity against DAS or Client Agency.

23. Setoff. In addition to all other remedies available hereunder, the State, in its sole discretion, may setoff (1) any costs or expenses that the State incurs resulting from the Contractor's unexcused nonperformance under the Contract and under any other agreement or arrangement that the Contractor has with the State and (2) any other amounts that are due or may become due from the State to the Contractor, against amounts otherwise due or that may become due to the Contractor under the Contract, or under any other agreement or arrangement that the Contractor has with the State. The State's right of setoff shall not be deemed to be the State's exclusive remedy for the Contractor's or Contractor Parties' breach of the Contract, all of which shall survive any setoffs by the State.
24. Force Majeure. The State and the Contractor shall not be excused from their obligation to Perform in accordance with the Contract except in the case of Force Majeure events and as otherwise provided for in the Contract. In the case of any such exception, the nonperforming party shall give immediate written notice to the other, explaining the cause and probable duration of any such nonperformance.
25. Advertising. The Contractor shall not refer to sales to the State for advertising or promotional purposes, including, but not limited to, posting any material or data on the Internet, without DAS's prior written approval.
26. Americans With Disabilities Act. The Contractor shall be and remain in compliance with the Americans with Disabilities Act of 1990 ("Act"), to the extent applicable, during the term of the Contract. DAS may Terminate the Contract if the Contractor fails to comply with the Act.
27. Representations and Warranties. The Contractor, represents and warrants to DAS for itself and Contractor Parties, that:
 - (a) if they are entities, they are duly and validly existing under the laws of their respective states of organization and authorized to conduct business in the State of Connecticut in the manner contemplated by the Contract. Further, as appropriate, they have taken all necessary action to authorize the execution, delivery and Performance of the Contract and have the power and authority to execute, deliver and Perform their obligations under the Contract;
 - (b) they will comply with all applicable State and Federal laws and municipal ordinances in satisfying their obligations to the State under and pursuant to the Contract, including, but not limited to (1) Connecticut General Statutes Title 1, Chapter 10, concerning the State's Codes of Ethics and (2) Title 4a concerning State purchasing, including, but not limited to Section 22a-194a concerning the use of polystyrene foam;
 - (c) the execution, delivery and Performance of the Contract will not violate, be in conflict with, result in a breach of or constitute (with or without due notice and/or lapse of time) a default under any of the following, as applicable: (1) any provision of law; (2) any order of

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- any court or the State; or (3) any indenture, agreement, document or other instrument to which it is a party or by which it may be bound;
- (d) they are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any governmental entity;
 - (e) as applicable, they have not, within the three years preceding the Contract, in any of their current or former jobs, been convicted of, or had a civil judgment rendered against them or against any person who would Perform under the Contract, for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a transaction or contract with any governmental entity. This includes, but is not limited to, violation of Federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (f) they are not presently indicted for or otherwise criminally or civilly charged by any governmental entity with commission of any of the offenses listed above;
 - (g) they have not within the three years preceding the Contract had one or more contracts with any governmental entity Terminated;
 - (h) they have not employed or retained any entity or person, other than a bona fide employee working solely for them, to solicit or secure the Contract and that they have not paid or agreed to pay any entity or person, other than a bona fide employee working solely for them, any fee, commission, percentage, brokerage fee, gifts, or any other consideration contingent upon or resulting from the award or making of the Contract or any assignments made in accordance with the terms of the Contract;
 - (i) to the best of their knowledge, there are no Claims involving Contractor or Contractor Parties that might reasonably be expected to materially adversely affect their businesses, operations, assets, properties, financial stability, business prospects or ability to Perform fully under the Contract;
 - (j) they shall disclose, to the best of their knowledge, to DAS in writing any Claims involving them that might reasonably be expected to materially adversely affect their businesses, operations, assets, properties, financial stability, business prospects or ability to Perform fully under the Contract, no later than ten (10) Days after becoming aware or after they should have become aware of any such Claims. For purposes of the Contractor's obligation to disclose any Claims to DAS, the ten (10) Days in the section of this Contract concerning Disclosure of Contractor Parties Litigation shall run consecutively with the ten (10) Days provided for in this representation and warranty;
 - (k) their participation in the Request for Proposals process is not a conflict of interest or a breach of ethics under the provisions of Title 1, Chapter 10 of the Connecticut General Statutes concerning the State's Code of Ethics;
 - (l) the Proposal was not made in connection or concert with any other person or entity, including any affiliate (as defined in the Tangible Personal Property section of this Contract) of the Contractor, submitting a proposal for the same Goods or Services, and is in all respects fair and without collusion or fraud;
 - (m) they are able to Perform under the Contract using their own resources or the resources of a party who is not a Contractor;

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- (n) the Contractor shall obtain in a written contract all of the representations and warranties in this section from any Contractor Parties and to require that provision to be included in any contracts and purchase orders with Contractor Parties;
- (o) they have paid all applicable workers' compensation second injury fund assessments concerning all previous work done in Connecticut;
- (p) they have a record of compliance with Occupational Health and Safety Administration regulations without any unabated, willful or serious violations;
- (q) they owe no unemployment compensation contributions;
- (r) they are not delinquent in the payment of any taxes owed, or, that they have filed a sales tax security bond, and they have, if and as applicable, filed for motor carrier road tax stickers and have paid all outstanding road taxes;
- (s) all of their vehicles have current registrations and, unless such vehicles are no longer in service, they shall not allow any such registrations to lapse;
- (t) each Contractor Party has vested in the Contractor plenary authority to bind the Contractor Parties to the full extent necessary or appropriate to ensure full compliance with and Performance in accordance with all of the terms and conditions of the Contract and that all appropriate parties shall also provide to DAS, no later than fifteen (15) days after receiving a request from DAS, such information as DAS may require to evidence, in DAS's sole determination, compliance with this section;
- (u) except to the extent modified or abrogated in the Contract, all Title shall pass to the Client Agency upon complete installation, testing and acceptance of the Goods or Services and payment by the Client Agency;
- (v) if either party Terminates the Contract, for any reason, they shall relinquish to the Client Agency all Title to the Goods delivered, accepted and paid for (except to the extent any invoiced amount is disputed) by the Client Agency;
- (w) with regard to third party products provided with the Goods, they shall transfer all licenses which they are permitted to transfer in accordance with the applicable third party license;
- (x) they shall not copyright, register, distribute or claim any rights in or to the Goods after the Effective Date of the Contract without DAS's prior written consent;
- (y) they either own or have the authority to use all Title of and to the Goods, and that such Title is not the subject of any encumbrances, liens or claims of ownership by any third party;
- (z) the Goods do not infringe or misappropriate any patent, trade secret or other intellectual property right of a third party;
- (aa) the Client Agency's use of any Goods shall not infringe or misappropriate any patent, trade secret or other intellectual property right of a third party;
- (bb) if they procure any Goods, they shall sub-license such Goods and that the Client Agency shall be afforded the full benefits of any manufacturer or subcontractor licenses for the use of the Goods; and

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(cc) they shall assign or otherwise transfer to the Client Agency, or afford the Client Agency the full benefits of any manufacturer's warranty for the Goods, to the extent that such warranties are assignable or otherwise transferable to the Client Agency.

28. Representations and Warranties Concerning Motor Vehicles. If in the course of Performance or in any other way related to the Contract the Contractor at any time uses or operates "motor vehicles," as that term is defined by Conn. Gen. Stat. §14-1 (including, but not limited to such services as snow plowing, sanding, hauling or delivery of materials, freight or merchandise, or the transportation of passengers), the Contractor, represents and warrants for itself and the Contractor Parties, that:

- (a) It is the owner of record or lessee of record of each such motor vehicle used in the Performance of the Contract, and each such motor vehicle is duly registered with the Connecticut Department of Motor Vehicles ("ConnDMV") in accordance with the provisions of Chapter 246 of the Connecticut General Statutes. Each such registration shall be in valid status, and shall not be expired, suspended or revoked by ConnDMV, for any reason or cause. If such motor vehicle is not registered with ConnDMV, then it shall be duly registered with another state or commonwealth in accordance with such other state's or commonwealth's applicable statutes. Each such registration shall be in valid status, and shall not be expired, suspended or revoked by such other state or commonwealth for any reason or cause.
- (b) Each such motor vehicle shall be fully insured in accordance with the provisions of Sections 14-12b, 14-112 and 38a-371 of the Connecticut General Statutes, as amended, in the amounts required by the said sections or in such higher amounts as have been specified by ConnDMV as a condition for the award of the Contract, or in accordance with all substantially similar provisions imposed by the law of the jurisdiction where the motor vehicle is registered.
- (c) Each Contractor Party who uses or operates a motor vehicle at any time in the Performance of the Contract shall have and maintain a motor vehicle operator's license or commercial driver's license of the appropriate class for the motor vehicle being used or operated. Each such license shall bear the endorsement or endorsements required by the provisions of Section 14-36a of the Connecticut General Statutes, as amended, to operate such motor vehicle, or required by substantially similar provisions imposed by the law of another jurisdiction in which the operator is licensed to operate such motor vehicle. The license shall be in valid status, and shall not be expired, suspended or revoked by ConnDMV or such other jurisdiction for any reason or cause.
- (d) Each motor vehicle shall be in full compliance with all of the terms and conditions of all provisions of the Connecticut General Statutes and regulations, or those of the jurisdiction where the motor vehicle is registered, pertaining to the mechanical condition, equipment, marking and operation of motor vehicles of such type, class and weight, including, but not limited to, requirements for intrastate carriers with motor vehicles having a gross vehicle weight rating or gross combination weight rating or gross vehicle weight or gross combination weight of 18,001 pounds or more or interstate carriers with motor vehicles having a gross vehicle weight rating or gross combination weight rating or gross vehicle weight or gross combination weight of 10,001 pounds or more otherwise described by the provisions of Conn. Gen. Stat. § 14-163c(a) and all applicable provisions of the Federal Motor Carrier Safety Regulations, as set forth in Title 49, Parts 382 to 399, inclusive, of the Code of Federal Regulations. If the Contractor is a "motor carrier," as that term is defined in section 49 CFR Part 390, and the Contractor is subject to an order issued by the Federal

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Motor Carrier Safety Administration that prohibits such Contractor from operating or allowing the operation of a motor vehicle, then the Contractor shall comply fully with such order. In addition, if a motor vehicle or its operator is declared out of service pursuant to Conn. Gen. Stat. § 14-163c(d)(4), then the Contractor shall not operate or allow the operation of that motor vehicle and shall not allow the operator to operate a motor vehicle while the respective subject out-of-service order is in effect.

29. Disclosure of Contractor Parties Litigation. The Contractor shall require that all Contractor Parties, as appropriate, disclose to the Contractor, to the best of their knowledge, any Claims involving the Contractor Parties that might reasonably be expected to materially adversely affect their businesses, operations, assets, properties, financial stability, business prospects or ability to Perform fully under the Contract, no later than ten (10) Days after becoming aware or after they should have become aware of any such Claims. Disclosure shall be in writing.
30. Entirety of Contract. The Contract is the entire agreement between the parties with respect to its subject matter, and supersedes all prior agreements, proposals, offers, counteroffers and understandings of the parties, whether written or oral. The Contract has been entered into after full investigation, neither party relying upon any statement or representation by the other unless such statement or representation is specifically embodied in the Contract.
31. Exhibits. All exhibits referred to in and attached to this Contract are incorporated in this Contract by such reference and shall be deemed to be a part of it as if they had been fully set forth in it.
32. Executive Orders. This Contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of the Contract as if they had been fully set forth in it. The Contract may also be subject to Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services and to Executive Order No. 49 of Governor Dannel P. Malloy, promulgated May 22, 2015, mandating disclosure of certain gifts to public employees and contributions to certain candidates for office. If Executive Order 14 and/or Executive Order 49 are applicable, they are deemed to be incorporated into and are made a part of the Contract as if they had been fully set forth in it. At the Contractor's request, the Client Agency or DAS shall provide a copy of these orders to the Contractor.
33. Non-discrimination.
- (a) For purposes of this Section, the following terms are defined as follows:
- (1) "Commission" means the Commission on Human Rights and Opportunities;
 - (2) "Contract" and "contract" include any extension or modification of the Contract or contract;
 - (3) "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;

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(4) "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.

(5) "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;

(6) "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;

(7) "marital status" means being single, married as recognized by the state of Connecticut, widowed, separated or divorced;

(8) "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;

(9) "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Connecticut General Statutes § 32-9n; and

(10) "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, (2) a quasi-public agency, as defined in Conn. Gen. Stat. Section 1-120, (3) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. Section 1-267, (4) the federal government, (5) a foreign government, or (6) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), (4) or (5).

(b)

(1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such

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Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved;

(2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission;

(3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment;

(4) the Contractor agrees to comply with each provision of this Section and Connecticut General Statutes §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes §§ 46a-56, 46a-68e and 46a-68f; and

(5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Connecticut General Statutes § 46a-56. If the contract is a public works contract, the Contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works projects.

- (c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- (d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.
- (e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes §46a-56; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor

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may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

- (f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.
- (g)
 - (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation;
 - (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment;
 - (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes § 46a-56; and
 - (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Connecticut General Statutes § 46a-56.
- (h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes § 46a-56; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

34. Tangible Personal Property.

- (a) The Contractor on its behalf and on behalf of its Affiliates, as defined below, shall comply with the provisions of Conn. Gen. Stat. §12-411b, as follows:
 - (1) For the term of the Contract, the Contractor and its Affiliates shall collect and remit to the State of Connecticut, Department of Revenue Services, any Connecticut use tax due under the provisions of Chapter 219 of the Connecticut General Statutes for items of tangible personal property sold by the Contractor or by any of its Affiliates in the same manner as if

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the Contractor and such Affiliates were engaged in the business of selling tangible personal property for use in Connecticut and had sufficient nexus under the provisions of Chapter 219 to be required to collect Connecticut use tax;

- (2) A customer's payment of a use tax to the Contractor or its Affiliates relieves the customer of liability for the use tax;
 - (3) The Contractor and its Affiliates shall remit all use taxes they collect from customers on or before the due date specified in the Contract, which may not be later than the last day of the month next succeeding the end of a calendar quarter or other tax collection period during which the tax was collected;
 - (4) The Contractor and its Affiliates are not liable for use tax billed by them but not paid to them by a customer; and
 - (5) Any Contractor or Affiliate who fails to remit use taxes collected on behalf of its customers by the due date specified in the Contract shall be subject to the interest and penalties provided for persons required to collect sales tax under chapter 219 of the general statutes.
- (b) For purposes of this section of the Contract, the word "Affiliate" means any person, as defined in section 12-1 of the general statutes, that controls, is controlled by, or is under common control with another person. A person controls another person if the person owns, directly or indirectly, more than ten per cent of the voting securities of the other person. The word "voting security" means a security that confers upon the holder the right to vote for the election of members of the board of directors or similar governing body of the business, or that is convertible into, or entitles the holder to receive, upon its exercise, a security that confers such a right to vote. "Voting security" includes a general partnership interest.
- (c) The Contractor represents and warrants that each of its Affiliates has vested in the Contractor plenary authority to so bind the Affiliates in any agreement with the State of Connecticut. The Contractor on its own behalf and on behalf of its Affiliates shall also provide, no later than 30 days after receiving a request by the State's contracting authority, such information as the State may require to ensure, in the State's sole determination, compliance with the provisions of Chapter 219 of the Connecticut General Statutes, including, but not limited to, §12-411b.
35. Whistleblowing. This Contract may be subject to the provisions of Section 4-61dd of the Connecticut General Statutes. In accordance with this statute, if an officer, employee or appointing authority of the Contractor takes or threatens to take any personnel action against any employee of the Contractor in retaliation for such employee's disclosure of information to any employee of the contracting state or quasi-public agency or the Auditors of Public Accounts or the Attorney General under the provisions of subsection (a) of such statute, the Contractor shall be liable for a civil penalty of not more than five thousand dollars for each offense, up to a maximum of twenty per cent of the value of this Contract. Each violation shall be a separate and distinct offense and in the case of a continuing violation, each calendar day's continuance of the violation shall be deemed to be a separate and distinct offense. The State may request that the Attorney General bring a civil action in the Superior Court for the Judicial District of Hartford to seek imposition and recovery of such civil penalty. In accordance with subsection (f) of such statute, each large state contractor, as defined in the statute, shall post a notice of the provisions of the statute relating to large state contractors in a conspicuous place which is readily available for viewing by the employees of the Contractor.

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36. Notice. All notices, demands, requests, consents, approvals or other communications required or permitted to be given or which are given with respect to this Contract (for the purpose of this section collectively called "Notices") shall be deemed to have been effected at such time as the notice is placed in the U.S. mail, first class and postage pre-paid, return receipt requested or placed with a recognized, overnight express delivery service that provides for a return receipt. All such Notices shall be in writing and shall be addressed as follows:

If to DAS:

State of Connecticut, Department of Administrative Services
Procurement Division
450 Columbus Boulevard, Suite 1202
Hartford, CT 06103
Attention: Mark Carroza

If to the Contractor:

NAME:
ADDRESS Line 1:
ADDRESS Line 2:
City, State and Zip:
Attention:
Company: Signatory
Name: Title:

} INDIVIDUAL CONTRACTOR
ADDRESSES ON FILE

37. Insurance. Before commencing Performance, the Contractor shall obtain and maintain at its own cost and expense for the duration of the Contract, the following insurance as described in (a) through (h) below. Contractor shall assume any and all deductibles in the described insurance policies. The Contractor's insurers shall have no right of recovery or subrogation against the State and the described Contractor's insurance shall be primary coverage. Any failure to comply with the claim reporting provisions of the policy shall not affect coverage provided to the State.

(a) Reserved

(b) Commercial General Liability: \$1,000,000 combined single limit per occurrence for bodily injury, personal injury and property damage. Coverage shall include, Premises and Operations, Independent Contractors, Products and Completed Operations, Contractual Liability and Broad Form Property Damage coverage. If a general aggregate is used, the general aggregate limit shall apply separately to the project or the general aggregate limit shall be twice the occurrence limit.

(c) Automobile Liability: \$1,000,000 combined single limit per accident for bodily injury. Coverage extends to owned, hired and non-owned automobiles. If the vendor/contractor does not own an automobile, but one is used in the execution of the contract, then only hired and non-owned coverage is required. If a vehicle is not used in the execution of the contract then automobile coverage is not required.

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- (d) **Workers' Compensation and Employers Liability:** Statutory coverage in compliance with the Compensation laws of the State of Connecticut. Coverage shall include Employer's Liability with minimum limits of \$100,000 each accident, \$500,000 Disease – Policy limit, \$100,000 each employee.
 - (e) Reserved
 - (f) **Umbrella Liability:** Excess/umbrella liability insurance may be included to meet minimum requirements. Umbrella coverage must indicate the existing underlying insurance coverage.
 - (g) **Claims Made:** Not acceptable with the exception of Professional Liability when specified.
 - (h) Reserved
38. **Headings.** The headings given to the sections in the Contract are inserted only for convenience and are in no way to be construed as part of the Contract or as a limitation of the scope of the particular section to which the heading refers.
39. **Number and Gender.** Whenever the context so requires, the plural or singular shall include each other and the use of any gender shall include all genders.
40. **Parties.** To the extent that any Contractor Party is to participate or Perform in any way, directly or indirectly in connection with the Contract, any reference in the Contract to "Contractor" shall also be deemed to include "Contractor Parties", as if such reference had originally specifically included "Contractor Parties" since it is the parties' intent for the terms "Contractor Parties" to be vested with the same respective rights and obligations as the terms "Contractor."
41. **Contractor Changes.** The Contractor shall notify DAS in writing no later than ten (10) Days from the effective date of any change in:
- a) its certificate of incorporation or other organizational document;
 - b) more than a controlling interest in the ownership of the Contractor; or
 - c) the individual(s) in charge of the Performance.

This change shall not relieve the Contractor of any responsibility for the accuracy and completeness of the Performance. DAS, after receiving written notice by the Contractor of any such change, may require such agreements, releases and other instruments evidencing, to DAS's satisfaction, that any individuals retiring or otherwise separating from the Contractor have been compensated in full or that provision has been made for compensation in full, for all work performed under terms of the Contract. The Contractor shall deliver such documents to DAS in accordance with the terms of DAS's written request. DAS may also require, and the Contractor shall deliver, a financial statement showing that solvency of the Contractor is maintained. The death of any Contractor Party, as applicable, shall not release the Contractor from the obligation to Perform under the Contract; the surviving Contractor Parties, as appropriate, must continue to Perform under the Contract until Performance is fully completed.

42. **Further Assurances.** The parties shall provide such information, execute and deliver any instruments and documents and take such other actions as may be necessary or reasonably

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requested by the other party which are not inconsistent with the provisions of this Contract and which do not involve the vesting of rights or assumption of obligations other than those provided for in the Contract, in order to give full effect to the Contract and to carry out the intent of the Contract.

43. Audit and Inspection of Plants, Places of Business and Records.

- (a) Audit and Inspection of Plants, Places of Business and Records. The State and its agents, including, but not limited to, the Connecticut Auditors of Public Accounts, Attorney General and State's Attorney and their respective agents, may, at reasonable hours, inspect and examine all of the parts of the Contractor's and Contractor Parties' plants and places of business which, in any way, are related to, or involved in, the performance of this Contract.
- (b) The Contractor shall maintain, and shall require each of the Contractor Parties to maintain, accurate and complete Records. The Contractor shall make all of its and the Contractor Parties' Records available at all reasonable hours for audit and inspection by the State and its agents.
- (c) The State shall make all requests for any audit or inspection in writing and shall provide the Contractor with at least twenty-four (24) hours' notice prior to the requested audit and inspection date. If the State suspects fraud or other abuse, or in the event of an emergency, the State is not obligated to provide any prior notice.
- (d) The Contractor will pay for all costs and expenses of any audit or inspection which reveals information that, in the sole determination of the State, is sufficient to constitute a breach by the Contractor under this Contract. The Contractor will remit full payment to the State for such audit or inspection no later than 30 days after receiving an invoice from the State. If the State does not receive payment within such time, the State may setoff the amount from any moneys which the State would otherwise be obligated to pay the Contractor in accordance with this Contract's Setoff provision.
- (e) The Contractor shall keep and preserve or cause to be kept and preserved all of its and Contractor Parties' Records until three (3) years after the latter of (i) final payment under this Contract, or (ii) the expiration or earlier termination of this Contract, as the same may be modified for any reason. The State may request an audit or inspection at any time during this period. If any Claim or audit is started before the expiration of this period, the Contractor shall retain or cause to be retained all Records until all Claims or audit findings have been resolved.
- (f) The Contractor shall cooperate fully with the State and its agents in connection with an audit or inspection. Following any audit or inspection, the State may conduct and the Contractor shall cooperate with an exit conference.
- (g) The Contractor shall incorporate this entire Section verbatim into any contract or other agreement that it enters into with any Contractor Party.

44. Background Checks. The State may require that the Contractor and Contractor Parties undergo criminal background checks as provided for in the State of Connecticut Department of Emergency Services and Public Protection Administration and Operations Manual or such other State document as governs procedures for background checks. The Contractor and Contractor Parties shall cooperate fully as necessary or reasonably requested with the State and its agents in connection with such background checks.

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45. Continued Performance. The Contractor and Contractor Parties shall continue to Perform their obligations under the Contract while any dispute concerning the Contract is being resolved.
46. Working and Labor Synergies. The Contractor shall be responsible for maintaining a tranquil working relationship between the Contractor work force, the Contractor Parties and their work force, State employees, and any other contractors present at the work site. The Contractor shall quickly resolve all labor disputes which result from the Contractor's or Contractor Parties' presence at the work site, or other action under their control. Labor disputes shall not be deemed to be sufficient cause to allow the Contractor to make any claim for additional compensation for cost, expenses or any other loss or damage, nor shall those disputes be deemed to be sufficient reason to relieve the Contractor from any of its obligations under the Contract.
47. Contractor Responsibility.
- (a) The Contractor shall be responsible for the entire Performance under the Contract regardless of whether the Contractor itself performs. The Contractor shall be the sole point of contact concerning the management of the Contract, including Performance and payment issues. The Contractor is solely and completely responsible for adherence by the Contractor Parties to all applicable provisions of the Contract.
- (b) The Contractor shall exercise all reasonable care to avoid damage to the State's property or to property being made ready for the State's use, and to all property adjacent to any work site. The Contractor shall promptly report any damage, regardless of cause, to the State.
48. Severability. If any term or provision of the Contract or its application to any person, entity or circumstance shall, to any extent, be held to be invalid or unenforceable, the remainder of the Contract or the application of such term or provision shall not be affected as to persons, entities or circumstances other than those as to whom or to which it is held to be invalid or unenforceable. Each remaining term and provision of the Contract shall be valid and enforced to the fullest extent possible by law.
49. Confidential Information. The State will afford due regard to the Contractor's request for the protection of proprietary or confidential information which the State receives. However, all materials associated with the Proposal and the Contract are subject to the terms of the Connecticut Freedom of Information Act ("FOIA") and all corresponding rules, regulations and interpretations. In making such a request, the Contractor may not merely state generally that the materials are proprietary or confidential in nature and not, therefore, subject to release to third parties. Those particular sentences, paragraphs, pages or sections that the Contractor believes are exempt from disclosure under the FOIA must be specifically identified as such. Convincing explanation and rationale sufficient to justify each exemption consistent with the FOIA must accompany the request. The rationale and explanation must be stated in terms of the prospective harm to the competitive position of the Contractor that would result if the identified material were to be released and the reasons why the materials are legally exempt from release pursuant to the FOIA. To the extent that any other provision or part of the Contract, especially including the Proposal, the Records and the specifications, conflicts or is in any way inconsistent with this section, this section controls and shall apply and the conflicting provision or part shall not be given effect. If the Contractor indicates that certain documentation is submitted in confidence, by specifically and clearly marking said documentation as CONFIDENTIAL, DAS will endeavor to keep said information confidential to the extent permitted by law. DAS, however, has no

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obligation to initiate, prosecute or defend any legal proceeding or to seek a protective order or other similar relief to prevent disclosure of any information that is sought pursuant to a FOIA request. The Contractor shall have the burden of establishing the availability of any FOIA exemption in any proceeding where it is an issue. In no event shall DAS or the State have any liability for the disclosure of any documents or information in its possession which the State or DAS believes are required to be disclosed pursuant to the FOIA or other requirements of law.

50. References to Statutes, Public Acts, Regulations, Codes and Executive Orders. All references in this Contract to any statute, public act, regulation, code or executive order shall mean such statute, public act, regulation, code or executive order, respectively, as it has been amended, replaced or superseded at any time. Notwithstanding any language in this Contract that relates to such statute, public act, regulation, code or executive order, and notwithstanding a lack of a formal amendment to this Contract, this Contract shall always be read and interpreted as if it contained the most current and applicable wording and requirements of such statute, public act, regulation, code or executive order as if their most current language had been used in and requirements incorporated into this Contract at the time of its execution.

51. Cross-Default.

- (a) If the Contractor or Contractor Parties breach, default or in any way fail to Perform satisfactorily under the Contract, then DAS may, in its sole discretion, without more and without any action whatsoever required of the State, treat any such event as a breach, default or failure to Perform under any or all other agreements or arrangements ("Other Agreements") that the Contractor or Contractor Parties have with DAS. Accordingly, DAS may then exercise at its sole option any and all of its rights or remedies provided for in the Contract or Other Agreements, either selectively or collectively and without such election being deemed to prejudice any rights or remedies of DAS, as if the Contractor or Contractor Parties had suffered a breach, default or failure to perform under the Other Agreements.
- (b) If the Contractor or Contractor Parties breach, default or in any way fail to Perform satisfactorily under any or all Other Agreements with DAS or the State, then DAS may, in its sole discretion, without more and without any action whatsoever required of the State, treat any such event as a breach, default or failure to Perform under the Contract. Accordingly, the State may then exercise at its sole option any and all of its rights or remedies provided for in the Other Agreements or the Contract, either selectively or collectively and without such election being deemed to prejudice any rights or remedies of DAS or the State, as if the Contractor or Contractor Parties had suffered a breach, default or failure to Perform under the Contract.

52. Disclosure of Records. This Contract may be subject to the provisions of section 1-218 of the Connecticut General Statutes. In accordance with this statute, each contract in excess of two million five hundred thousand dollars between a public agency and a person for the performance of a governmental function shall (a) provide that the public agency is entitled to receive a copy of records and files related to the performance of the governmental function, and (b) indicate that such records and files are subject to FOIA and may be disclosed by the public agency pursuant to FOIA. No request to inspect or copy such records or files shall be valid unless the request is made to the public agency in accordance with FOIA. Any complaint by a person who is denied the right to inspect or copy such records or files shall be brought to the Freedom of Information Commission in accordance with the provisions of sections 1-205 and 1-206 of the Connecticut General Statutes.

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53. Summary of State Ethics Laws. Pursuant to the requirements of section 1-101qq of the Connecticut General Statutes, the summary of State ethics laws developed by the State Ethics Commission pursuant to section 1-81b of the Connecticut General Statutes is incorporated by reference into and made a part of the Contract as if the summary had been fully set forth in the Contract.
54. Sovereign Immunity. The parties acknowledge and agree that nothing in the Request for Proposals or the Contract shall be construed as a modification, compromise or waiver by the State of any rights or defenses of any immunities provided by Federal law or the laws of the State of Connecticut to the State or any of its officers and employees, which they may have had, now have or will have with respect to all matters arising out of the Contract. To the extent that this section conflicts with any other section, this section shall govern.
55. Time of the Essence. Time is of the essence with respect to all provisions of this Contract that specify a time for performance; provided, however, that this provision shall not be construed to limit or deprive a party of the benefits of any grace or use period allowed in this Contract.
56. Certification as Small Contractor or Minority Business Enterprise.
This paragraph was intentionally left blank.
57. Campaign Contribution Restriction. For all State contracts as defined in Conn. Gen. Stat. § 9-612(g)(1) having a value in a calendar year of \$50,000 or more or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this Contract expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice, as set forth in "Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations," attached as Exhibit C.
58. Health Insurance Portability and Accountability Act of 1996.

This paragraph was intentionally left blank.
59. Protection of Confidential Information.
- (a) Contractor and Contractor Parties, at their own expense, have a duty to and shall protect from a Confidential Information Breach any and all Confidential Information which they come to possess or control, wherever and however stored or maintained, in a commercially reasonable manner in accordance with current industry standards.
- (b) Each Contractor or Contractor Party shall develop, implement and maintain a comprehensive data - security program for the protection of Confidential Information. The safeguards contained in such program shall be consistent with and comply with the safeguards for protection of Confidential Information, and information of a similar character, as set forth in all applicable federal and state law and written policy of DAS or State concerning the confidentiality of Confidential Information. Such data-security program shall include, but not be limited to, the following:
- (1) A security policy for employees related to the storage, access and transportation of data containing Confidential Information;

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- (2) Reasonable restrictions on access to records containing Confidential Information, including access to any locked storage where such records are kept;
 - (3) A process for reviewing policies and security measures at least annually;
 - (4) Creating secure access controls to Confidential Information, including but not limited to passwords; and
 - (5) Encrypting of Confidential Information that is stored on laptops, portable devices or being transmitted electronically.
- (c) The Contractor and Contractor Parties shall notify DAS, Client Agency and the Connecticut Office of the Attorney General as soon as practical, but no later than twenty-four (24) hours, after they become aware of or suspect that any Confidential Information which Contractor or Contractor Parties have come to possess or control has been subject to a Confidential Information Breach. If a Confidential Information Breach has occurred, the Contractor shall, within three (3) business days after the notification, present a credit monitoring and protection plan to the Commissioner of Administrative Services, the Client Agency and the Connecticut Office of the Attorney General, for review and approval. Such credit monitoring or protection plan shall be made available by the Contractor at its own cost and expense to all individuals affected by the Confidential Information Breach. Such credit monitoring or protection plan shall include, but is not limited to reimbursement for the cost of placing and lifting one (1) security freeze per credit file pursuant to Connecticut General Statutes § 36a-701a. Such credit monitoring or protection plans shall be approved by the State in accordance with this Section and shall cover a length of time commensurate with the circumstances of the Confidential Information Breach. The Contractors' costs and expenses for the credit monitoring and protection plan shall not be recoverable from DAS, the Client Agency or any State of Connecticut entity or any affected individuals.
- (d) The Contractor shall incorporate the requirements of this Section in all subcontracts requiring each Contractor Party to safeguard Confidential Information in the same manner as provided for in this Section.
- (e) Nothing in this Section shall supersede in any manner Contractor's or Contractor Party's obligations pursuant to HIPAA or the provisions of this Contract concerning the obligations of the Contractor as a Business Associate of Covered Entity.

60. Antitrust.

Contractor hereby irrevocably assigns to the State of Connecticut all rights, title and interest in and to all Claims associated with this Contract that Contractor now has or may or will have and that arise under the antitrust laws of the United States, 15 USC Section 1, *et seq.* and the antitrust laws of the State of Connecticut, Connecticut General Statute § 35-24, *et seq.*, including but not limited to any and all Claims for overcharges. This assignment shall become valid and effective immediately upon the accrual of a Claim without any further action or acknowledgment by the parties.

61. Audit Requirements for Recipients of State Financial Assistance.

This paragraph was intentionally left blank.

EXHIBIT A

DESCRIPTION OF GOODS & SERVICES AND ADDITIONAL TERMS & CONDITIONS

1. DESCRIPTION OF GOODS AND SERVICES:

Contractor shall provide the purchase of Goods and/or provision of Services that are engineered or designed to minimize energy usage and maximize energy cost savings. Goods and/or Services are available for all types of existing structures where one or a full complement of energy systems are employed. Energy and energy cost-saving measures obtainable from this Contract include the purchase of retrofit devices, device installation and maintenance, building recommissioning services, energy system consulting, auditing, monitoring and reporting.

Facility operations where these Goods and Services can be implemented include but are not limited to:

- HVAC mechanical systems
- Domestic hot water systems
- Energy management, operational and control systems
- Distribution systems
- Cogeneration systems
- Indoor and outdoor lighting systems
- Specialty systems (laundries, kitchens, pools, etc.)
- Water and sewage systems
- Building envelope systems

2. DESCRIPTION OF RETROFIT GOODS AND SERVICES

Contractor shall provide any or all of the following Services defined below. These Services will be tailored to meet the needs of the Client Agency and Contractor shall quote the cost of Services individually on a "per project" basis.

Conventional Energy System Retrofit

Any device or physical component designed to affect and upgrade an isolated energy system within a facility for the purpose of reducing energy usage.

Deep Energy Retrofits

Goods and/or Services designed to affect and upgrade multiple or all energy systems within a facility for the purpose of reducing energy usage.

Recommissioning and Retro-commissioning of Existing Buildings

A systematic process for investigating, analyzing, and optimizing the performance of building systems through the identification and implementation of low or no-cost facility improvement measures. Recommissioning takes place in facilities that have previously undergone such a process. Retro-commissioning takes place in existing buildings that have not previously undergone this process.

EXHIBIT A

DESCRIPTION OF GOODS & SERVICES AND ADDITIONAL TERMS & CONDITIONS

Energy System Consulting

Energy consulting shall include but not be limited to working with Client Agencies to assist in maximizing energy use by recommending or formulating energy efficiency methods. Contractor consultants shall also possess the expertise to help Client Agency increase their use of green energy, or attain accreditations for offsetting their energy use.

Auditing, Monitoring, Verification and Reporting Services

Energy audits must identify energy consumed by a facility and locate energy conservation measures or projects. Audits must progress to monitoring and verification for information on real-time energy consumption. Audits must conclude with a summarized and concise written report that includes:

- Actual energy and costs savings results
- Trends or certain fluctuations in energy usage
- Recommendations for greater conservation and savings

3. PROJECT FINANCING

Project financing must be arranged in the available manner that is best suited to the needs and cost advantage of the Client Agency. Terms and conditions on project financing must be stated in the Client Agency's purchase order for each individual project. To the extent allowable under applicable law and regulation, financing mechanisms and options made available by the Contractor or pre-arranged by the Client Agency may include:

- Internal financing
- Debt financing
- Lease/purchase agreements
- Energy service agreements
- Energy service company (ESCO) financing under energy savings performance contracts
- State and federal government loan or incentive programs
- Property assessed clean energy (PACE) programs
- "On-bill" utility financing

4. ADDITIONAL TERMS AND CONDITIONS:

(a) Contract Separately/Additional Savings Opportunities

DAS reserves the right to either seek additional discounts from the Contractor or to contract separately for a single purchase, if in the judgment of DAS, the quantity required is sufficiently large, to enable the State to realize a cost savings, over and above the prices set forth in Exhibit B, whether or not such a savings actually occurs.

EXHIBIT A

DESCRIPTION OF GOODS & SERVICES AND ADDITIONAL TERMS & CONDITIONS

(b) Mandatory Extension to State Entities

Contractor shall offer and extend the contract (including pricing, terms and conditions) to political subdivisions of the State (which includes towns and municipalities), schools, and not-for-profit organizations.

(c) Energy Star Provision (per CGS 4a-67c)

Equipment and appliances offered pursuant to this contract shall meet or exceed the federal energy conservation standards set forth in the Energy Policy and Conversation Act, 42 USC 6295, any federal regulations adopted thereunder, and shall meet or exceed the federal Energy Star standards established by the U.S. Environmental Protection Agency and the U.S. Department of Energy.

(d) Subcontractors

DAS must approve any and all subcontractors utilized by the Contractor in writing prior to any such subcontractor commencing any work. Contractor acknowledges that any work provided under the Contract to any state entity is work conducted on behalf of the State and that the Commissioner of DAS or his/her designee may communicate directly with any subcontractor as the State deems to be necessary or appropriate. Contractor shall be responsible for all payment or fees charged by the subcontractor(s). A performance evaluation of any subcontractor shall be provided promptly by the Contractor to DAS upon request. Contractor must provide the majority of services described in the specifications.

(e) Prevailing Wages

Some or all of the Performance may be subject to prevailing wages. Accordingly, the following provision is included in this Contract in accordance with the requirements of Conn. Gen. Stat. Sec. 31-53(a): The wages paid on an hourly basis to any person performing the work of any mechanic, laborer or worker on the work herein contracted to be done and the amount of payment or contribution paid or payable on behalf of each such person to any employee welfare fund, as defined in subsection (i) of this section, shall be at a rate equal to the rate customary or prevailing for the same work in the same trade or occupation in the town in which such public works project is being constructed. Any Contractor who is not obligated by agreement to make payment or contribution on behalf of such persons to any such employee welfare fund shall pay to each mechanic, laborer or worker as part of such person's wages the amount of payment or contribution for such person's classification on each pay day.

(f) Standard Wages

Contractors shall comply with all provisions of Connecticut General Statutes 31-57f, Standard Wage Rates for Certain Service Workers and shall pay wages in accordance with the current wage rates provided by the Department of Labor. Information regarding this Statute and how and when it applies can be obtained from DOL's web site at <http://www.ctdol.state.ct.us/wgwkstnd/standardwage.htm>. Questions concerning the provisions and implementation of this act should be referred to the Connecticut Department of Labor, Wage and Workplace Standards Division, 200 Folly Brook Blvd., Wethersfield, CT 06109-1114 (860) 263-6790 or his designated representative. A link to the Standard Wages is provided below.

Standard Wages: <http://www.ctdol.state.ct.us/wgwkstnd/prevailing-rates/service/rates-service.htm>

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(g) Security and/or Property Entrance Policies and Procedures

Contractor shall adhere to established security or property entrance policies and procedures or both for each requesting Client Agency. It is the responsibility of each Contractor to understand and adhere to those policies and procedures prior to any attempt to enter any Client Agency premises for the purpose of carrying out the scope of work described in this Contract.

(h) Department of Correction Requirements for Contractors who Perform at a Correctional Facility

(1) Facility Admittance

(A) Contractors shall not allow any of their employees to enter the grounds of or any structures in any Department of Correction (“DOC”) facility (“Facility”) or undertake any part of the Performance unless the employees have first been issued an individual, valid, security identification badge which they shall display properly at all times while at the Facility.

(B) Contractor employees who seek admittance to a DOC Facility must first undergo a background check to confirm their eligibility to be admitted into the DOC Facility. Contractors shall obtain from the DOC a form for each employee and complete and submit that form to DOC at least 10 business days prior to the date that the employee is scheduled to arrive at the DOC Facility for the Performance. Information on the form includes the following:

1. Name
2. Date of Birth
3. Social Security Number
4. Driver's License Number
5. Physical Characteristics (such as age, height, weight, etc.)

(2) Official Working Rules

Contractors shall adhere to the following Official Working Rules of the DOC:

- (A) All Contractors shall report to the Facility’s security front desk for sign-in, regardless of work location, immediately upon arrival at the Facility.
- (B) All Contractor personnel shall work under the observation of an assigned correctional officer or supervisor, who will provide escort for the duration of the work.
- (C) Contractor personnel shall not have any verbal or personal contact with any inmates.
- (D) Equipment must be checked daily and, when not in use, locked in a secure place as the Facility officials may direct.
- (E) Hacksaws, blades and files will remain in the custody of the officer assigned, except when being used.
- (F) The correctional officials may refuse admittance to any Contractor personnel for any cause or reason the correctional officials deem to be sufficient.

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- (G) In the event of any emergency, all Contractor personnel will be escorted outside the Facility by correctional officials.
- (H) Contractors shall address all questions pertaining to interruptions of service or to safety of the Facility to the appropriate correctional official.
- (I) Work at the Facility must be Performed between 8:00 a.m. and 12:00 Noon and between 12:30 p.m. and 4:30 p.m., the maximum allowable working day being 8 hours. The Contractor shall not Perform any work at any Facility on any Saturday, Sunday or Holiday, unless DOC determines, in its sole discretion, that there is an emergency.
- (J) The Contractor shall ensure that all equipment not in use, is secure to prevent use by inmates.
- (K) The Contractor shall supply to DOC a copy of all material safety data sheets for all products used in the process of construction, construction materials, and products brought onto the Facility.
- (L) All Contractors shall sign out at the Facility's security front desk prior to departure following completion of Performance.

(3) Rules Concerning Department of Correction Facilities

Contractors shall adhere to the Facilities rules ("Facilities Rules") described in this section. At the time that Contractors and Contractor Parties seek to enter a Facility, DOC staff will present to them a document setting forth the following Facilities Rules and extracts of the laws governing the introduction and control of contraband. Contractors and Contractors Parties shall read, understand and sign that document as a condition precedent to entering the Facility and as evidence that they understand the consequences imposed for violating these Facilities Rules:

(A) Restricted Areas

All persons except DOC personnel, upon entering the grounds are restricted to the immediate area of their work assignment. In order to go to other areas, Contractor personnel shall first obtain written permission from the supervisory correctional official in charge. Only persons having official business will be admitted to construction sites.

(B) Inmates

There may be times when inmates may be working adjacent to or in the same area as Contractor or Contractor Parties. All persons are prohibited from accepting or giving anything from and to an inmate. Inmates are accountable to DOC personnel only, no other person will have any conversation or dealings with inmates without the approval of the DOC supervisory official in charge.

(C) Vehicle Control

Any Contractor personnel entering upon the Facility shall remove the ignition keys of their vehicle and lock the vehicle when they leave it for any reason. Contractors shall ensure that all equipment in, on or around the vehicles is secured and inaccessible to anyone else while in the Facility.

(D) Contraband

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Contractors shall not bring clothing or contraband into or onto the Facility's grounds or leave clothing or contraband in a vehicle located on the grounds of the Facility outside of an area designated by DOC personnel. Contraband is defined below and all persons are subject to these DOC Facilities Rules concerning contraband when on the Facility's grounds.

Contractor shall not introduce into or upon, take or send to or from, or attempt the same to or from, the grounds of the Facility anything whatsoever without the knowledge of the Facility supervisor.

“Contraband” means any tangible or intangible article whatsoever which DOC has not previously authorized and may include letters, stamps, tools, weapons, papers, floor implements, writing materials, messages (written and verbal), instruments and the like. Contractors shall discuss any questions regarding such matters with the Facility supervisor immediately upon those questions arising.

Cigarettes and Cell Phones are “contraband.” Accordingly, Contractors shall leave them secured inside their locked vehicles in an area designated by DOC personnel.

Failure to comply with these Facilities Rules, in the sole determination of DOC, will result in the Contractor being removed from the Facility.

(4) State Laws Governing Unauthorized Conveyance, Possession or Use of Items, Weapons and Certain Devices

(A) Unauthorized conveyance of certain items brought into the Facility is governed by Conn. Gen. Stat. Sec. 53a-174, which provides as follows:

1. Any person not authorized by law who conveys or passes or causes to be conveyed or passed, into any correctional or humane institution or the grounds or buildings thereof, or to any inmate of such an institution who is outside the premises thereof and known to the person so conveying or passing or causing such convey or passing to be such an inmate, any controlled drug, as defined in section 21a-240, any intoxicating liquors, any firearm, weapon, dangerous instruments or explosives of any kind, any United States currency, or any rope, ladder or other instrument or device for use in making, attempting or aiding an escape, shall be guilty of a class D felony. [Penalty for a Class “D” felony per Sec. 53a-35 subsection a, b, c, d is a term not to exceed five (5) years.]The unauthorized conveying, passing, or possessing of any rope or ladder or other instrument or device, adapted for use in making or aiding an escape, into any such institution or the grounds or building thereof, shall be presumptive evidence that it was so conveyed, passed or possessed for such use.
2. Any person not authorized by law who conveys into any such institution any letter or other missive which is intended for any person confined therein, or who conveys from within the enclosure to the outside of such institution any letter or other missive written or given by any person confined therein, shall be guilty of a class A misdemeanor. [Penalty for a Class “A” misdemeanor per Sec. 53a-36 subsection 1, the term is not to exceed one (1) year.]

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3. Any person or visitor who enters or attempts to enter a correctional institution or Facility by using a misleading or false name or title shall be guilty of a class A misdemeanor.
- (B) Possession of weapons or dangerous instruments in the Facility is governed by Conn. Gen. Stat. Sec.53a-174a, which provides as follows:
1. A person is guilty of possession of a weapon or dangerous instrument in a correctional institution when, being an inmate of such institution, he knowingly makes, conveys from place to place or has in his possession or under his control any firearm, weapon dangerous instrument, explosive, or any other substance or thing designed to kill, injure or disable.
 2. Possession of a weapon or dangerous instrument in a correctional institution is a class B felony. [Penalty for a Class "B" felony per Sec. 53a-35 subsection a, b, c, d is a term not to exceed twenty (20) years.]
- (C) Conveyance or use of electronic or wireless communication devices in the Facility is governed by Conn. Gen. Stat. Sec. 53a-174b, which provides as follows:
1. A person is guilty of conveyance or use of an electronic wireless communication device in a correctional institution when such person, without authorization by the Commissioner of Correction or the commissioner's designee, (1) conveys or possesses with intent to convey an electronic wireless communication device to any inmate of a correctional institution while such inmate is in such institution, or (2) uses an electronic wireless communication device to take a photographic or digital image in a correctional institution.
 2. Conveyance or use of an electronic wireless communication device in a correctional institution is a Class A misdemeanor.
- (i) Badging Requirements for the Connecticut Airport Authority, Bradley International Airport (the Airport)**
- (1) All Contractor employees must pass all standard security requirements (based on activity and location) and pass prescribed driver training before entering Bradley International Airport or engaging in any part of the Performance.
 - (2) Contractors shall not allow any of their employees to enter the Airport or undertake any part of the Performance unless the employees shall have first been issued an individual, valid, security identification badge which they shall display properly at all times while at the Airport. The security badge will be issued upon the successful completion of a ten year (10) criminal history records check, and Transportation Security Administration Security Threat Assessment and a training/testing program – all administered by Airport personnel. The cost per person is \$50. This charge is subject to change during the term of the Contract. Persons with felony convictions will be evaluated on an individual basis. The Client Agency may, at any time during the term of the Contract and in its sole discretion, modify the criminal history records check,

EXHIBIT A

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training, testing program, security and badge requirements. The Contractor shall comply with all such modifications.

- (3) The Contractor shall assign at least one individual, but no more than 3 individuals, to act as an Authorized Supervisor for the airport. Prior to starting Performance, Contractors shall direct the Authorized Supervisors to comply with all of the applicable terms and conditions of this Contract, including doing any and all things which the Authorized Supervisors deem to be necessary or appropriate to ensure full Performance.
- (4) Client Agency shall deliver to the Contractors a copy of the applicable requirements of all federal and state regulations governing aviation security activities prior to Contractors starting Performance. Contractors shall comply fully with all of those requirements and regulations and shall ensure the same for all of their employees who will perform in any way.
- (5) The duties of the Authorized Supervisor are to:
 - (A) read, understand and follow fully all of the requirements of all federal and state regulations governing aviation security activities;
 - (B) notify the security badging office or BDL Airport Operations **immediately** of all employee terminations and transfers in writing, which may include via e-mail.
 - (C) return to the security badging office or BDL Airport Operations a termination form with the terminated or transferred employee's security badge along with all other security-related items that had been issued to the employee, including, but not limited to, keys, gate cards and ramp stickers, no later than twenty-four (24) hours after the effective date of the termination or transfer. If the Authorized Supervisor fails to return timely the badge or other security related-item, the Authorized Supervisor shall submit a termination form no later than one (1) week after the effective date of the termination or transfer, along with a written explanation detailing the course of action that has been taken towards retrieving the outstanding item(s);
 - (D) limit the distribution of security related information only to persons with valid, Bradley International Airport security badges and as requested by the Airport Security Coordinator (ASC) or designated representative ;
 - (E) not presign badging applications and complete the entire Authorized Supervisor section of the badging application for all Contractors employees who will Perform under this Contract;
 - (F) report lost or stolen badges in writing immediately to the security badging office and/or Airport Operations on the standard lost/stolen security badge report.

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(6) Contractors shall ensure that the Authorized Supervisors read, understand and follow all of their prescribed such regulations and requirements. Accordingly, prior to starting Performance, and as a condition precedent to any of Contractors' employees being allowed to enter the Airport to Perform, Contractors shall deliver to the Client Agency a document signed by the Authorized Supervisors in the following form:

**BRADLEY INTERNATIONAL AIRPORT
AUTHORIZED SUPERVISOR'S ACKNOWLEDGMENT AND ACCEPTANCE OF DUTIES**

I, _____, the undersigned, with regard to _____ activities at Bradley International Airport (BDL), accept the assignment as an Authorized Supervisor under a certain Contract between _____ and the State of Connecticut. I acknowledge and accept that as Authorized Supervisor under that Contract that my duties are to and I shall:

1. read, understand and follow fully all of the requirements of all federal and state regulations governing aviation security activities;
2. notify the security badging office or BDL Airport Operations **immediately** of all employee terminations and transfers in writing, which may include via e-mail.
3. return to the security badging office or BDL Airport Operations a termination form with the terminated or transferred employee's security badge along with all other security-related items that had been issued to the employee, including, but not limited to, keys, gate cards and ramp stickers, no later than twenty-four (24) hours after the effective date of the termination or transfer. If the Authorized Supervisor fails to return timely the badge or other security related-item, the Authorized Supervisor shall submit a termination form no later than one (1) week after the effective date of the termination or transfer, along with a written explanation detailing the course of action that has been taken towards retrieving the outstanding item(s) ;
4. limit the distribution of security related information only to persons with valid, Bradley International Airport security badges and as requested by the Airport Security Coordinator (ASC) or designated representative ;
5. not presign badging applications and complete the entire Authorized Supervisor section of the badging application for all Contractors employees who will Perform under this Contract; and
6. report lost or stolen badges in writing immediately to the security badging office and/or Airport Operations on the standard lost/stolen security badge report.

With my signature below I am verifying that I have received a copy of, and fully understand these requirements and my obligations and that I shall comply fully.

Company Name	Signature of Authorized Supervisor	Initials
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Company Mailing Address	Print Full Name
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City, State, Zip	Title
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Phone Number(s)	Fax No.	E-Mail Address
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EXHIBIT A

DESCRIPTION OF GOODS & SERVICES AND ADDITIONAL TERMS & CONDITIONS

- (7) Contractors shall pay the Client Agency a fee of \$100 per unreturned badges for any terminated or transferred employee and reimburse the Client Agency, no later than thirty (30) days after receiving an invoice from the Client Agency, for any applicable federal or state amounts, penalties or both for which the Client Agency may be held responsible resulting from the Contractors' failure to follow fully all of the applicable federal and State regulations and other requirements concerning aviation security activities, including, by way of example, but not by way of limitation, \$100 per unreturned badges for any terminated or transferred employee and up to \$11,000 per occurrence for an individual employee's failure to comply with security regulations (including, by way of example, but not by way of limitation, failure to properly display security badge or failure to control access through a controlled access door with a proximity card reader). If Contractors fail to pay the fee or reimburse the Client Agency timely, the Client Agency may, in its sole discretion, demand, and the Contractors shall, return all of the security badges for all of the Contractors' employees. Consequently, DAS shall, at the Client Agency's request, terminate the Contract as to those Contractors. DAS and the Client Agency will take into account such Termination as an indication of Contractors' not being responsible in future leasing and contracting opportunities.
- (8) The Client Agency may suspend or terminate security privileges of individual employees pending investigation of any individual who is alleged to have violated any security regulations. Security privileges for the Contractor as an entity may also be suspended or terminated for failure to comply with all security regulations.

CONTRACT NAME:	ENERGY EFFICIENCY RETROFITS AND COST SAVINGS PROGRAMS FOR EXISTING BUILDINGS	CONTRACT NO: 18PSX0104
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A. How to Use This Contract

The contractors who are individual parties to this Contract (each contractor has a separate contract with the State) provide a variety of Goods and Services that potentially result in reduced energy use and enhanced energy cost savings. Some of the contractors offer a full range of energy retrofitting and efficiency services while others provide a specific physical component or specialize in a certain element of energy efficiency projects (i.e., consulting or auditing). A detailed summary of each of the contractors and their products is listed below.

The diversity in energy efficiency services and the broad range of available financing options renders each project unique and therefore pricing is quantifiable only on a site-by-site or per project basis. To acquire the Goods and Services offered under this Contract, Client Agencies must select one or more contractors and solicit proposals for the desired work from each. Contractor selection for the work is the exclusive responsibility of the Client Agency.

B. Contractor Pricing

INDIVIDUAL VENDOR PROPOSALS WITH PRICING ARE PROVIDED WITH THIS CONTRACT ON THE DAS CONTRACT PORTAL.

Any work Contractor is selected for must be fully described in a purchase order issued under this Contract. No work may proceed until a properly executed purchase order is issued and accepted. All purchase orders must include detailed pricing information and delivery of Goods or Services schedules and other related information. The pricing and delivery information in any such purchase order is incorporated into this Contract.

C. List of Contractors and Services Offered

AMERICAN DEVELOPMENT INSTITUTE, LLC

ADI is dedicated to delivering comprehensive facility solutions that support the resiliency, future-proofing, energy saving, operating efficiency and sustainability goals of our clients. While doing so, we also create healthy, comfortable indoor environments that promote productivity, increased output, and improved experiences. We are a full-service facility planning, design, and management company, dedicated to creating healthy, efficient work environments and multi-unit living spaces. Our expertise ranges from initial auditing, design, engineering, retro-commissioning, installation, commissioning, training and measurement and verification for all technologies from lighting systems and automated controls to central energy plant and everything in between. Our staff extended staff of 600 includes professional engineers, certified energy managers, LEED AP, certified measurement and verification professionals and certified solar professionals. Our team has identified and installed over \$5 billion in energy improvements for all types of facilities including schools, universities, municipalities, government agencies, corrections, hospitals, offices, transportation and others. We are knowledgeable in the following technologies, but we are vendor neutral and design to meet the customer needs and goals. Boiler Plants, Chiller Plants, Energy Management Systems, HVAC Mechanical Systems, Domestic Hot Water Systems, Building Automation Systems, Distribution Systems, Cogeneration, Indoor and Outdoor Lighting and Lighting Controls, Water and Sewage Systems, Building Envelope and Weatherization, and Specialty Systems such as Pools Kitchens, Laboratories, Process Systems and Laundries.

AUTOMATED BUILDING SYSTEMS, INC.

ABS is an energy solutions company with a focus in designing and installing energy efficiency projects for over 32 years. Founded in 1986 ABS specializes in building management system installations utilizing the Alerton product controls to automate and our factory trained technicians to automate and reduce a buildings energy usage. Over the years ABS has evolved into a turnkey provider of full scale energy projects. Our services include mechanical equipment sizing and replacement, lighting upgrade and retrofits, lighting control systems, building automation systems, and energy ashboards/monitoring. Our dedicated staff works with our customers to provide the best possible solutions to meet the overall goal of saving energy through energy audits, feasibility studies, and before and after analysis. Our in-house installation and service professionals include engineers, programmers, project managers, as well as CEM and LEED certified staff. The quality of knowledge, experience and commitment allows ABS to provide a service and solution to our customers that remains unmatched in the industry.

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CDM SMITH, INC.

CDM Smith is a registered Energy Star Service and Product Provider and is able to help our clients meet energy star requirements. CDM Smith's key strengths in energy design, implementation, and management include the following core areas of expertise: Extensive Energy Audit Know-How; Energy Master planning; Energy Efficiency Experience; Retro-commissioning Services of Building Systems; Commissioning; Proficiency in Energy Analysis Models; Implementation Assistance Services; Central Chiller Plants, Boiler Plants and other HVAC Retrofits Projects; Building Code Knowledge and Compliance; USGBC LEED/Green Building Design Expertise; Alternative Energy; solar power, geothermal; Combined Heat and Power (CHP); Water Conservation; Wastewater Technologies and District Energy and Central Utility Plants. CDM Smith's technical experts include Certified Energy Mangers (CEMs), Certified Energy Auditors (CEAs), Certified Energy Plant Engineers, Green Building Engineers (GBEs), and Commissioning Authorities, all available to help our clients achieve their maximum energy potential. CDM Smith is also engaged in assisting many of our clients with the Energy Efficiency and Conservation Block Grant (EECBG) application and strategy development process, as well as community energy master planning and developing innovative energy efficiency programs.

CELTIC ENERGY, INC.

Celtic Energy is a CT energy consultancy that performs many kinds of advisory services for municipalities, public agencies, colleges and universities. We specialize in providing INDEPENDENT representation and quality assurance Services for energy project owners to protect their interests, ensure their project costs are reasonable, and that expected savings are realized. Specific services include:

- Owner's Representation on Energy Savings Performance Contracts (ESPC) or other major energy projects
- Energy-Conscious Design Reviews to reduce capital costs and operating costs for new/remodeled facilities
- Energy Audits (ASHRAE Level 1 and 2) for all kinds of facilities to identify energy cost savings
- Review of Power Purchase Agreements to prevent overcharges and protect buyer's interests
- Measurement and Verification (M&V) services to ensure promised energy savings are realized
- Energy Monitoring to benchmark facilities' energy usage and create energy baselines
- Energy Modeling to determine expected usages or independently verify models developed by other service
- RFP development and scoring of contractors
- Energy Training on a variety of subjects including Building Energy Operations, LEDs, M&V, LEED Basics, etc.
- Street lighting analysis and project Quality Assurance

EARTHLIGHT TECHNOLOGIES

Earthlight Technologies, LLC is a comprehensive, full-service energy efficiency contractor. Our mission is to provide innovative, tailored solutions to help our clients control their energy costs. We develop and install comprehensive projects designed to meet our clients financial, environmental and sustainability goals cost-effectively. Our key differentials are in our use of technology to advance the state-of-the-art solution, particularly with respect to advance lighting control strategies to cost-effectively unlock further efficiencies while improving worker satisfaction. Whether through Eversource or UI SBEA, EO, C-PACE, or any other incentive program, Earthlight has the experience and expertise to maximize the incentive benefits for the client. Finally, because we self-perform almost all our projects, we stand behind our workmanship for 2 years.

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ECOSYSTEM ENERGY SERVICES USA, INC.

ECOSYSTEM ENERGY SERVICES is an award-winning engineering firm focused on the design and delivery of high-performing energy projects. We collaborate with colleges and universities, K-12 school districts, hospitals, municipalities, and commercial, residential, and industrial buildings to develop creative solutions that maximize efficiency and improve the bottom line. Over more than 25 years, we have achieved on average 35% energy reductions as well as 105% of savings targets on active projects. Collaboration: To achieve significant energy efficiency outcomes, we believe in the value of creating partnerships with all stakeholders, from the mechanical room to the boardroom and beyond. Multidisciplinary teams: Our singular focus on turnkey design, implementation, and optimization of highly efficient buildings has allowed us to build in-house teams specialized for each phase of a project. Agile execution: Our teams can design and execute complex projects on a compressed timeline since design engineers and construction managers work together for the life of the project. Vendor neutrality: We don't sell products of our own. This allows for complete flexibility in equipment selection and the freedom to approach each project with an objectivity that puts your interests first. The Ecosystem Guarantee: Removing the risk that typically accompanies energy projects, we guarantee the measurable outcomes that matter most, from savings, costs, and incentives, to schedule and occupant comfort.

ENERGY RESOURCES USA, LLC

Energy Resources is a full-service Energy Services Company. We provide energy conservation services in the form of turnkey energy efficient facility upgrades for state, municipal, educational, commercial, industrial, retail and non-profit customers. Energy Resources offers multiple comprehensive measures for electric and natural gas energy efficiency with in-house capability in new LED lighting fixtures, lighting retrofits, lighting controls, refrigeration system optimization, mechanical equipment controls, building management systems, HVAC equipment replacements, steam system efficiency upgrades, custom insulation, building re/retro-commissioning and renewables (solar). We partner with utility companies, federal and state agencies, and various lenders to offset project costs and deliver financing for turnkey, practical energy conservation measures. Energy Resources is an authorized contractor for Eversource, United Illuminating, and many other utility programs; we have the knowledge and experience to maximize incentives for energy efficiency projects which may total up to 50 percent or more of project costs. As a turnkey service provider we manage all aspects of the process from initial energy audit through project completion, warranty, and utility interaction. We are a multi-regional company with facilities in located multiple states and corporate offices in Thomaston, CT.

ENGIE SERVICES, U.S., INC.

ENGIE Services U.S. is a national energy infrastructure and building services company that helps education, government, commercial and industrial customers become more efficient, productive and sustainable. Customers benefit from our wide spectrum of highly customizable building system maintenance and facility management services, technical projects and integrated programs. Over the past 40 years, thousands of our customers have captured more than \$2.7 billion in savings, while also improving the performance of their facilities in terms of reliability, safety, comfort and resource use. Our services include energy management & controls, services, electrical systems, heating and cooling systems, renewables & energy storage, program and contracting structures. With the full backing of our parent company, ENGIE SA, we are driving the energy transition to a low-carbon economy. Our ambition is to build a society that demands less energy and is less dependent on fossil fuels. Whether it is clean power, facilities maintenance, or modernization projects, ENGIE, and our network of affiliate companies have the ability to finance, design, build, operate, maintain and manage your building systems and energy infrastructure projects. With the capabilities of ENGIE MEP Northeast, a local contractor we can utilize through a subcontract agreement, we can offer long-term services and maintenance contracts to ensure project performance for the duration of the entire contract.

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ENVIRONMENTAL SYSTEMS CORPORATION

ESC is a design/build energy solutions provider. We offer a full turn-key solution by managing all phases of an energy project. We perform the auditing, design, engineering, coordination of utility incentives and manage the implementation of energy conservation measures. Energy conservation measures that ESC can implement include: HVAC Mechanical Systems, Domestic HW Systems, Energy Management Systems, Building Automation Systems, Indoor and outdoor Lighting & Lighting Controls, Kitchen Hoods, Laundry Systems, Pools, Water and Sewage Systems Building Envelope, Steam Systems, Central Plant Optimization, EMS System Analytics / Monitoring, Air Compressor Systems. ESC will self-perform the implementation of many of the conservation measures and sub-contract those that would be better performed by others. ESC can work on all brands and types of automation systems, mechanical systems, lighting and other energy regulating or consuming systems. We focus on electric, natural gas, propane, oil and water conservation measures. We believe that ESC's comprehensive, turn-key process for energy conservation produces higher quality results, faster and at a lower cost, yielding the maximum payback for the customer.

EVERSOURCE ENERGY SERVICE COMPANY

Eversource Energy is an energy and energy services company based in Berlin, Connecticut. Eversource provides energy efficiency retrofits and energy cost-saving services for existing buildings. These contracted services are separate from the energy efficiency programs that Eversource administers in Connecticut, which customers are still eligible to participate and benefit from. Eversource services includes the following:

- 1) Energy Audit and System Monitoring and Reporting Services: and
- 2) Retrofit Supply, Installation and Building Re-Commission.

These above-referenced services include equipment and systems procurement, installation, and training.

Cost Savings Services in existing buildings include the following facility operations: HVAC mechanical systems; domestic hot water systems; energy management, operational and control systems; distribution systems; Co-generation systems; indoor and outdoor lighting systems; specialty systems (e.g., laundries, kitchens, pools, etc.); sewage systems; and building envelope systems. Please contact Andy Brydges at Eversource Energy for hourly consulting rates, project management service fees, and fixed fee energy service proposals. Mr. Brydges can be reached at 860-665-2733.

GREENERU

GreenerU, Inc. works with educational institutions to find solutions to energy-efficiency and sustainability challenges on your campus. Through engineered solutions and behavior change management, we help schools find a balance between efficiency, comfort, and cost. GreenerU is a turnkey energy-efficiency and sustainability consulting firm that performs a full range of services, from formulation and design to project management and implementation:

- ASHRAE-grade technical assistance studies and scoping audits
- LED lighting, controls, and building system automation
- Energy-efficient heating and cooling systems
- Retro-commissioning
- Ongoing optimization (i.e., continuous commissioning)
- Laboratory ventilation and lab safety optimization

Since 2009, GreenerU has helped more than 40 clients achieve energy cost savings while maintaining occupant comfort, reducing greenhouse gas emissions, and working seamlessly with diverse stakeholders around complex schedules.

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GREENLEAF ENERGY SOLUTIONS, LLC

We provide our customers with a turnkey solution to convert their existing lighting to LED lighting by coordinating with utility programs, manufacturers, distributors, and financial institutions. The result is savings in energy usage and maintenance avoidance for our customers, accompanied with a better quality of light. Our process includes an audit of the facility, submitting to the utility company to acquire rebates, working with financial institutions to provide financing, procuring material, subcontracting out an electrical contractor to install the project, having a Project Manager on site to manage the installation, recycling of lamps that are removed, and closing out the installation with the customer. Greenleaf has installed over 500 projects for municipalities and commercial businesses in the state of Connecticut since 2012.

HONEYWELL INTERNATIONAL, INC.

Honeywell Building Solutions (HBS) is part of Honeywell Building Technologies, a \$9 billion strategic business group of Honeywell. HBS installs, integrates and maintains systems that keep government, education, commercial and industrial facilities safe, secure, comfortable, productive and efficient. HBS is also a global leader in energy services, working with organizations and power providers to conserve energy, optimize building operations and leverage renewable energy sources. Our expertise is found in a wide range of services from maintaining building automation technology to building advanced micro-grids that provide onsite generation and energy security to delivering comprehensive programs to help utilities and the electrical grid operate smarter. Services include Energy Modeling & Analysis, Project Design, Building/Energy Management Systems, Electrical, Energy Efficiency (General), HVAC, Lighting, Controls, Project Integration & Management, Installation & Commissioning, Measurement & Verification.

JOHNSON CONTROLS, INC.

Johnson Controls provides energy auditing, engineering design, construction, commissioning, project management, measurement and verification, operations and maintenance, training, financing and professional grant services. Johnson Controls provides installation of technologies in the following categories: water management systems to include all domestic hot water systems; HVAC mechanical systems; energy management systems; operational and control systems; distribution systems; cogeneration systems; indoor and outdoor lighting systems; specialty systems to include laundry, kitchen, pools, etc; water and sewage systems; and building envelope systems. Please see the table in Section 2 of our 8-page Proposal and Price Schedule for a complete list of energy conservation measures that Johnson Controls has experience with to coincide with the main categories listed above.

NORESCO, LLC

NORESCO specializes in providing whole-building, multi-technology energy solutions delivering significant building infrastructure upgrades with savings performance assurance. Additionally, we offer single-technology, less comprehensive solutions for customers interested in specific improvements. Technology expertise include efficiency improvements to heating, ventilating, and air conditioning systems; building automation systems; interior and exterior lighting systems; building envelope; chilled water, hot water, and steam distribution system; electric motors and drives; distributed generation; renewable energy; central utility plants; and water and sewer conservation systems. Services offered by NORESKO include expert modeling and analysis of baseline energy usage and savings; project development and economic analysis; sustainability master-planning; LEED® certification consulting; engineering surveys and investment grade audits; utility incentives, grant funding, and creative financial solutions; lighting auditing and design; design engineering and specification; procurement of materials and trade labor; onsite construction management; commissioning and retro-commissioning; staff training; operations and maintenance services; and monitoring and verification with a performance guarantee.

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PRISM CONSULTING, INC.

Prism Energy Services is a woman-owned energy services company providing comprehensive energy efficiency Services to customers in New England for over 20 years. We have completed hundreds of energy-saving projects for clients in government, institutions, non-profits, and the private sector.

Prism’s services include: energy auditing; design engineering; financial analysis & utility incentive commitment; construction and project management; commissioning; measurement & verification; project finance; sustainability studies; and operations & maintenance support. Prism specializes in comprehensive energy efficiency projects, in which two or more measures are implemented simultaneously. This results in deeper, longer-lasting savings and maximum ROI.

We have extensive experience with a broad array of energy conservation measures including:

- * lighting and lighting control systems
- * high-efficiency HVAC measures, including heat pumps, VRF systems, variable air volume (VAV) systems
- * advanced energy management systems (EMS) and building controls
- * high-efficiency motors, variable speed drives, and industrial process efficiency
- * building envelope, specialty systems, and water conservation.

Lease financing, property assessed clean energy (PACE), and energy services agreement (ESA) financing are available, in addition to capital purchase options. We’d be delighted to be of service to you.

STEVEN WINTER ASSOCIATES, INC.

SWA is engaged in providing research, consulting, training, outreach and other services related to building and community energy efficiency, building science, comfort and health, and accessibility – advancing new technologies and building management strategies in the marketplace. Through detailed modeling, analysis, research, demonstration, and monitoring, SWA has evaluated dozens of technologies, building operating and construction strategies under Real-world conditions. SWA participates in the installation oversight, start-up, commissioning, training, hand-off, Documentation, and ongoing performance of these systems. The key concepts in SWA’s approach for buildings revolve around the notion of whole building baselines and integration carried forward by our strong building science background. Facility types assessed include commercial, institutional, retail, municipal, educational, residential, and Recreational.

Services offered:

Energy Audits: Identify inefficiencies and potential upgrades to improve building performance and reduce costs.

Retro-Commissioning: Identify deficiencies; review protocols; calibrate sensors & controls; train in O&M procedures.

Monitoring & Verification: Whole-building to equipment; IPMVP/industry procedures; cloud analytic platforms.

Building Systems: Provide turnkey services translating recommendations into energy and comfort improvements.

Building Enclosure Systems: Identify deficiencies through forensics and testing to improve envelope performance.

Distributed Energy Resources: Provide feasibility/design/commissioning for solar, CHP, energy storage, microgrids.

O&M Training: Increase in-house troubleshooting capabilities, productivity, skills at all levels; standardize protocols.

STRATEGIC BUILDING SOLUTIONS, LLC

Connecticut and the Northeast to deliver cost effective projects under numerous energy efficiency programs. This experience has created a deep understanding of program procedures, guidelines, goals and requirements. These energy efficiency programs have been offered and managed by large and small utility companies and third party program administrators.

Strategic Building Solutions, LLC’s Commissioning and Energy Services team has expertise and experience in a variety of program types including traditional energy audits (ASHRAE Levels 1, 2 & 3), retro-commissioning, energy savings performance contracts, building tune-ups, “Pay for Performance”, energy retrofits utilizing prescriptive and performance based incentives and CPACE. Strategic Building Solutions, LLC is a Connecticut based firm founded in 1996 providing owner’s representation for Energy related projects, energy auditing, retro-commissioning, building optimization and tune-up, ongoing Commissioning, building data analytics (cloud based and local server based) and measurement and verification Services. Strategic Building Solutions, LLC is a Building Commissioning Association Certified Commissioning Firm.

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SUSTAINABLE ENGINEERING SOLUTIONS, LLC

Sustainable Engineering Solutions (SES) is a leading consultant providing recommissioning, retro-commissioning, energy auditing services, measurement & verification services and facility manager training. Our Re-commissioning process consists of commissioning a facility again that has been previously commissioned but because of "building drift" experienced performance degradation and increased energy costs. Our process includes testing of building systems based on the original design parameters to identify and correct issues to reestablish the original level of performance for the degraded systems. Our Retro-commissioning (RCx) process includes the investigation of a facility that has never undergone commissioning to identify and implement energy conservation measures (ECMs) based on current facility requirements. SES will develop the ECMs into biddable documents and assist in procuring contractors to implement ECMs and verify implementation. We employ our energy optimization skills to improve control strategies, equipment scheduling and system performance. SES staff hold Certified Energy Auditor and Certified Energy Manager credentials along with practical design experience. As experienced RCx providers we have extensive knowledge of building and system energy modeling and reconcile such simulations with real-world operations. We understand the long-term value of the owner's staff being able to successfully operate and maintain a building into the future. We put great effort into making sure that the O&M staff is provided the proper training. SES will provide training of facility managers on the key aspects of operating energy efficient retrofit equipment including necessary persistence strategies for continued long term efficient operation. SES can also provide video recording of training.

U.S. ENERGY SYSTEMS

U.S. Energy Systems, LL is a Fireye, Inc. (a UTC co) distributor of the NXM2G intelligent hot water boiler controller to eliminate inherent wasteful and unnecessary standby and short cycle firing cycles "losses" in daily operations. The NXM2G is compatible with 95% of all BMS systems. The NXM2G is a unique patented controller with the ability to learn and memorize the temperature settings to differentiate between a "real heat call" as compared to the wasteful and unnecessary "non-heat cycling" with standby or short cycle firing cycles. This avoids wasting energy. The NXM2G is an ideal "one size fits all" for hot water (hydronic) boilers over 300M BTUs. The NXM2G control is an energy efficiency solution to the routine problem of wasteful boiler losses that cost energy dollars, increase emissions, and shorten the life cycle of most hydronic boilers. The Fireye NXM2G EE Boiler Program is an immediate solution to achieving sustainable and myriad economic and environmental benefits through documented NXM2G m- M&V boiler energy savings.

New State of CT pricing offers the NXM2G single unit purchase price at \$6,595. The typical NXM2G Controller energy savings (of 10-25%) will deliver short-term paybacks before any utility rebates or incentives. No upfront capital budget is required to validate the "guaranteed" measured and verified energy savings with the DOE-developed IPMVP methodology – today's M&V gold standard energy tracking and validation. NXM2G Boiler Controller – "Less energy, same heat!"

WENDEL ENERGY SERVICES, LLC

General: A turn-key provider of energy-related capital improvements for municipal and public sector facilities.

Primary Services: Energy Audits; Design Services; Construction Management; Measurement & Verification.

Delivery Methods: Energy Saving Performance Contracting; Design-Build; Design-Bid-Build.

LED Lighting Design & Replacement: Interior/Exterior; Parking Garage; Roadway & Traffic Signaling; Crosswalk Signage.

Lighting Controls: Occupancy/Vacancy; Daylight Harvesting; Stairwell and Parking Bi-Level Occupancy Controls.

HVAC Equipment Replacement: Rooftop Units; AHUs; PTACs; Unit Ventilators; Boilers; Chillers; Motors; Pumps; VFDs.

HVAC System Optimization: Boiler/Chiller Plant; VAVs; BMS Upgrades; Energy Recovery; Ductwork/Piping Insulation.

Pumping: Hot Water/Chilled Water Pumping Optimization.

Building Envelope: Insulation; Window Film; Weather Stripping & Door Sweeps; Roofs; Penetrations; Masonry repairs.

Energy Management Systems: DDC systems; hybrid systems; optimization of control sequences; retro-commissioning.

Renewable Generation: Wind; Photovoltaic; Solar Thermal; Ground Source Heat Pumps; Combined Heat & Power.

Indoor Air Quality: CO2 monitoring; OA controls; make-up/exhaust fans; supply air reset; enthalpy control.

Specialty Systems: Water/Wastewater Treatment facility upgrades; Lab & Kitchen Fume Hoods; Water Meters.

Building Systems: Building Re-commissioning; Distribution System Modifications; Holistic Building Investigations.

Miscellaneous: Sub-Metering; Smart Interactive Metering; Energy Smart Building Design; Energy Use Dashboards.



Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations

This notice is provided under the authority of Connecticut General Statutes §9-612(G)(2), as amended by P.A. 10-1, and is for the purpose of informing state contractors and prospective state contractors of the following law (italicized words are defined on the reverse side of this page).

CAMPAIGN CONTRIBUTION AND SOLICITATION LIMITATIONS

No *state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor*, with regard to a *state contract or state contract solicitation* with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee (which includes town committees).

In addition, no holder or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State senator or State representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

On and after January 1, 2011, no state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall **knowingly solicit** contributions from the state contractor's or prospective state contractor's employees or from a *subcontractor or principals of the subcontractor* on behalf of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

DUTY TO INFORM

State contractors and prospective state contractors are required to inform their principals of the above prohibitions, as applicable, and the possible penalties and other consequences of any violation thereof.

PENALTIES FOR VIOLATIONS

Contributions of solicitations of contributions made in violation of the above prohibitions may result in the following civil and criminal penalties:

Civil Penalties – Up to \$2,000 or twice the amount of the prohibited contribution, whichever is greater, against a principal or a contractor. Any state contractor or prospective state contractor which fails to make reasonable efforts to comply with the provisions requiring notice to its principals of these prohibitions and possible consequences of their violations may also be subject to civil penalties of up to \$2,000 or twice the amount of the prohibited contributions made by their principals.

Criminal penalties – Any knowing and willful violation of the prohibition is a Class D felony, which may subject the violator to imprisonment of not more than 5 years, or not more than \$5,000 in fines, or both.

CONTRACT CONSEQUENCES

In the case of a state contractor, contributions made or solicited in violation of the above prohibitions may result in the contract being voided.

In the case of a prospective state contractor, contributions made or solicited in violation of the above prohibitions shall result in the contract described in the state contract solicitation not being awarded to the prospective state contractor, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

The State shall not award any other state contract to anyone found in violation of the above prohibitions for a period of one year after the election for which such contribution is made or solicited, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

Additional information may be found on the website of the State Elections Enforcement Commission, www.ct.gov/seec. Click on the link to "Lobbyist/Contractor Limitations."



DEFINITIONS

“State contractor” means a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December thirty-first of the year in which such contract terminates. “State contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

“Prospective state contractor” means a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid prequalification certificate issued by the Commissioner of Administrative Services under section 4a-100. “Prospective state contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

“Principal of a state contractor or prospective state contractor” means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has *managerial or discretionary responsibilities with respect to a state contract*, (v) the spouse or a *dependent child* who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the state contractor or prospective state contractor.

“State contract” means an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of fifty thousand dollars or more, or a combination or series of such agreements or contracts having a value of one hundred thousand dollars or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale or lease of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. “State contract” does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan, a loan to an individual for other than commercial purposes or any agreement or contract between the state or any state agency and the United States Department of the Navy or the United States Department of Defense.

“State contract solicitation” means a request by a state agency or quasi-public agency, in whatever form issued, including, but not limited to, an invitation to bid, request for proposals, request for information or request for quotes, inviting bids, quotes or other types of submittals, through a competitive procurement process or another process authorized by law waiving competitive procurement.

“Managerial or discretionary responsibilities with respect to a state contract” means having direct, extensive and substantive responsibilities with respect to the negotiation of the state contract and not peripheral, clerical or ministerial responsibilities.

“Dependent child” means a child residing in an individual's household who may legally be claimed as a dependent on the federal income tax of such Individual.

“Solicit” means (A) requesting that a contribution be made, (B) participating in any fund-raising activities for a candidate committee, exploratory committee, political committee or party committee, including, but not limited to, forwarding tickets to potential contributors, receiving contributions for transmission to any such committee or bundling contributions, (C) serving as chairperson, treasurer or deputy treasurer of any such committee, or (D) establishing a political committee for the sole purpose of soliciting or receiving contributions for any committee. Solicit does not include: (i) making a contribution that is otherwise permitted by Chapter 155 of the Connecticut General Statutes; (ii) informing any person of a position taken by a candidate for public office or a public official, (iii) notifying the person of any activities of, or contact information for, any candidate for public office; or (iv) serving as a member in any party committee or as an officer of such committee that is not otherwise prohibited in this section.

“Subcontractor” means any person, business entity or nonprofit organization that contracts to perform part or all of the obligations of a state contractor's state contract. Such person, business entity or nonprofit organization shall be deemed to be a subcontractor until December thirty first of the year in which the subcontract terminates. “Subcontractor” does not include (i) a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or (ii) an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

“Principal of a subcontractor” means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a subcontractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a subcontractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a subcontractor, which is not a business entity, or if a subcontractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any subcontractor who has managerial or discretionary responsibilities with respect to a subcontract with a state contractor, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the subcontractor.

Contract # **18PSX0104**
R - New 10/2/15

EXHIBIT D

STANDARD WAGE RATES

Information concerning Section 31-57f of the Connecticut General Statutes and when it applies may be obtained from the Connecticut Department of Labor's web site, which may currently be accessed at <http://www.ctdol.state.ct.us/wgwkstnd/standardwage.htm>.

Questions concerning Standard Wage Rates should be addressed to the Connecticut Department of Labor, Wage and Workplace Standards Division, 200 Folly Brook Blvd., Wethersfield, CT 06106-1114, 860/263-6790.

SCHEDULE 1

Project: Energy Retrofits And Cost Saving Services For Existing Buildings

**Standard Wage Rates Determination
for Certain Service Workers**

S 25011

**Connecticut Department of Labor
Wage and Workplace Standards Division**

By virtue of the authority vested in the Labor Commissioner under provisions of Connecticut General Statutes, Section 31-57f, as amended by Public Act 09-183 the following have been determined to be the minimum rates for each classification adopted from the federal Register of Wage Determinations under the Service Contract Act, Title 29, Part 4 plus benefit rate to cover the cost of any health, welfare, and retirement plans.

Project Number: 18PSX0104

Town: Statewide

Project: Energy Retrofits And Cost Saving Services For Existing Buildings

OCCUPATIONAL TITLE	Minimum Hourly Rate	Benefit Rate
Assembler	\$11.29	3.38
Baker	\$14.94	4.49
Bartender	\$10.10	3.03
Boiler Tender	\$29.27	8.78
Carpenter, Maintenance	\$26.52	7.95
Cashier	\$10.95	3.28
Cleaner, Heavy** Hired after July 1, 2009	\$15.95	6.79 + a
Cleaner, Light** Hired after July 1, 2009	\$15.65	6.79 + a
Cleaner, Vehicles	\$13.18	3.95
Cook I	\$17.31	5.19

As of: Tuesday, July 10, 2018

Project: Energy Retrofits And Cost Saving Services For Existing Buildings

Cook II	\$18.73	5.61
Counter Attendant	\$11.29	3.38
Dry Cleaner	\$13.14	3.95
Electrician, Maintenance	\$26.58	7.97
Elevator Operator	\$15.75	4.72
Fast Food Shift Leader	\$10.87	3.27
Fast Food Worker	\$10.10	3.03
Food Service Worker	\$11.56	3.46
Furniture Handler ~ Hired prior to July 1, 2009	\$16.51	6.79 + a
Furniture Handler**Hired after July 1, 2009	\$16.05	6.79 + a
Gardner	\$17.12	5.14
General Maintenance Worker	\$20.26	6.08
Guard I	\$14.79	4.44
Guard II	\$20.05	6.02
HVAC	\$28.78	8.63
Janitor* ~ Hired prior to July 1, 2009	\$15.75	4.72

As of: Tuesday, July 10, 2018

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Janitor** Hired after July 1, 2009		N/A
Laborer**Hired after July 1, 2009		N/A
Laborer*Hired prior to July 1, 2009		N/A
Laborer, Grounds Maintenance* Hired prior to July 1, 2009		N/A
Laborer, Grounds Maintenance** Hired after July 1, 2009		N/A
Locksmith	\$28.38	8.51
Maid or Houseman	\$12.68	3.80
Meat Cutter	\$20.84	6.25
Painter, Maintenance	\$23.07	6.93
Parking Lot Attendant	\$11.28	3.38
Pest Controller	\$18.70	5.61
Pipefitter, Maintenance	\$30.87	9.26
Plumber, Maintenance	\$29.77	8.93
Presser, Hand	\$11.29	3.38
Presser, Machine, Drycleaning	\$11.29	3.38
Presser, Machine, Shirts	\$11.29	3.38

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Project: Energy Retrofits And Cost Saving Services For Existing Buildings

Presser, Machine, Wearing Apparel, Laundry	\$11.29	3.38
Refuse Collector	\$19.41	5.82
Sheet Metal Worker, Maintenance	\$28.97	8.69
Stationary Engineer	\$29.27	8.78
Tractor Operator	\$16.33	4.90
Truck Driver and Snowplow Driver, Heavy Truck - Straight truck, over 4 tons, usually 10 wheels, Bobcat, Front End	\$23.11	6.93
Truck Driver and Snowplow Driver, Light Truck - Straight truck, under 1 1/2 tons, usually 4 wheels	\$16.73	5.01
Truck Driver and Snowplow Driver, Medium Truck - Straight truck, 1 1/2 to 4 tons inclusive, usually 6 wheels	\$20.26	6.08
Vending Machine Attendant	\$19.20	5.76
Ventilation Equipment Tender	\$23.99	7.19
Waiter/Waitress	\$11.54	3.47
Washer, Machine	\$11.86	3.55
Window Cleaner ~ Hired prior to July 1, 2009	\$12.26	5.17
Window Cleaner** Hired after July 1, 2009	\$19.98	6.79 + a

As of: Tuesday, July 10, 2018

Project: Energy Retrofits And Cost Saving Services For Existing Buildings

* Pursuant to Public Act 09-183 any grounds maintenance laborer or laborer hired prior to July 1, 2009 shall be classified as a janitor.

** Pursuant to Public Act 09-183, any grounds maintenance laborer, laborer or janitor hired after July 1, 2009 shall be classified as a light cleaner, heavy cleaner, furniture handler or window cleaner as appropriate.

~FOOTNOTES~

Health and Welfare \$5.97 per hour on January 1, 2016.

- a. Vacation, holiday, and personal days to be determined by seniority based on the collective bargaining agreement covering the largest member of hourly non-supervisor employees employed within Hartford County (refer to the Fringe Benefit Calculation Chart).

Please direct any questions which you may have pertaining to this matter to the Wage and Workplace Standards Division, telephone (860)263-6790.

As of: Tuesday, July 10, 2018