



PREQUALIFICATION OF TRADE CONTRACTORS AND EQUIPMENT VENDORS: ADDENDUM NO. 4

October 14, 2019

STATEMENT OF QUALIFICATION PACKAGE DUE DATE: EXTENDED TO OCTOBER 29, 2019

TIME: 2:00 PM (TIME IS UNCHANGED)
PROJECT: UConn Supplemental Utility Plant
Project Number: 300025
LOCATION: Applicants shall submit SOQ Form 3, SOQ Application Form to:

**BOND UConn Field Office
ATTN: Lee Ann Sullivan
46 King Hill Road
Storrs, Connecticut 06269**

*Statement of Qualification Applications must be mailed or hand delivered;
faxed or emailed copies will not be accepted.*

Addendum No. 4, dated 14 October 2019, is issued by Bond Brothers, Inc. for the prequalification of the following bid packages for UConn Supplemental Utility Plant, Project Number 300025:

TRADE CONTRACTORS:

- 03-3000 Cast-in-Place Concrete
- 05-1200 Structural Steel & Metal Deck
- 05-5000 Miscellaneous Metal Fabrications
- 05-5100 Cooling Tower Support Steel
- 07-4200 Exterior Wall Systems
- 07-5400 Roofing
- 09-1000 General Trades
- 21-1313 Fire Protection
- 22-0000 Plumbing
- 23-0000 HVAC
- 23-0020 Piping Insulation
- 26-0000 Electrical
- 31-0000 Sitework

EQUIPMENT VENDORS:

- 23-6426 Centrifugal Water Chillers - Electric
- 23-6427 Centrifugal Water Chillers - Steam
- 23-6500 Cooling Tower Equipment
- 26-6000 Electrical Switchgear Equipment
- 26-6300 Electrical Generators

Included in Addendum No. 4 is the following information:

1. Bid package 23-0020 Piping Insulation is deleted from the project, with the insulation scope being incorporated into 23-0000 HVAC. We could not establish at least three applicants to prequalify for the piping insulation work. Specific revisions to Part 1 and Part 2 of the applications follow below:
 - a. Update Part 1 of the *Request for Qualifications for Trade Contractors* as follows:
 - i. Cover: Delete references to Piping Insulation.
 - ii. Table 1:
 1. Increase the estimated BP Value for HVAC to \$7,500,000.
 2. Delete the line for 23-0020 Piping Insulation.
 - iii. Section VI, paragraph C.4.g. Certificate of Small and Minority Contractor Qualification: delete reference to Piping Insulation.
 - b. Update Part 2 of the *Request for Qualifications for Trade Contractors* as follows:
 - i. Cover: Delete references to Piping Insulation.
 - ii. Form 3 Table: Delete 23-0020 Piping Insulation from the checklist for trades seeking prequalification.
2. Equipment manufacturers are not required to provide names and licenses of welders in their manufacturing facilities. We require the locations of factories in Schedule M and their commissioning capabilities in Schedule N. Delete references to names of welders on both of the schedules found in Part 2 of the *Request for Qualifications for Equipment Manufacturers*. Substitute the following introductory paragraphs:
 - a. Schedule M:

“Manufacturers are required to provide information on manufacturing capabilities; this to include, location of final assembly, identification of percent of components manufactured by others and their location, and the location of final factory testing and certifications prior to shipping; in accordance with Section VI(C)(4)(b) and (c) of Part One, the RFQ for this Project.”
 - b. Schedule N:

“Interested manufacturers are required to provide commissioning information below; in accordance with Section VI(C)(4)(b) and (c) of Part One, the RFQ for this Project.”
3. Reference the updated *Responses to Applicants Requests for Information: RFI Log*, dated 14 October 2019. (4 pages, attached)

End of Prequalification Addendum No. 4

Project Name: Supplemental Utility Plant

Project Location: University of Connecticut, Storrs, CT

Project Number: 300025

RFI cut-off date: October 18, 2019, by 2 pm.

SOQ Application Submission Deadline: October 29, 2019, 2 pm



Requests for Information: TRADE AND EQUIPMENT PREQUALIFICATION

Item No.	Topic	Comment / Issue / Question	Date of Inquiry	Response	Author of Response	Date of Response	Issued
PREQUAL RFI-01	Letter from Applicant's Bank	Could you please clarify what is required for the bank letter? The instructions were vague.	27 Sep 19	We only need confirmation of the number of years the bank and applicant have been associated and whether the applicant is credit-worthy (i.e. does the bank find the applicant "favorable or unfavorable" per Part 1, Section VII.D.).	BOND	01 Oct 19	Addendum #1
PREQUAL RFI-02	Separate Forms for Multiple Applications	We plan on bidding HVAC, Plumbing, & HVAC. Do we need to submit separate prequalifications for each trade?	30 Sep 19	Yes, please submit separate forms for each trade. Send one Form 1 Expression of Interest to lasullivan@bondbrothers.com for each trade, and prepare one full SOQ form 3 application -- one per envelope -- to submit by October 17 at the Bond UConn office.	BOND	01 Oct 19	Addendum #1
PREQUAL RFI-03	Surety Letter	Is one Letter of Surety sufficient for all three trades?	30 Sep 19	A separate letter of surety must be submitted for each trade. We need a letter with each application package.	BOND	01 Oct 19	Addendum #1
PREQUAL RFI-04	Surety Letter	Please advise if the letter of surety is to be made out to UConn as the owner, or Bond Brothers as the CM?	01 Oct 19	The commitment letter from a surety company for payment and performance bonds (reference SOQ Form 3, Schedule L) should be made out to Bond Brothers, Inc. as the Construction Manager at Risk.	BOND	01 Oct 19	Addendum #1
PREQUAL RFI-05	Set Aside Status: Definition of "Open"	On the table for the Set Aside status, on our trades, it states open. What does that mean? Required to solicit S/MBE companies?	30 Sep 19	"Open" means the contractor or vendor does not need to be an MBE or SBE to bid that package - open to all bidders regardless of their status as a business entity. ALL trade contractors with values greater than \$50,000 are required by CHRO to submit an Affirmative Action Plan for approval. UConn requires 30% small business, with 10% of the total MBEs.	BOND	01 Oct 19	Addendum #1
PREQUAL RFI-06	Location to submit prequal	Where should I go to submit our prequal? I can't find the place on the website.	30 Sep 19	Form 1 Expression of Interest may be emailed to lasullivan@bondbrothers.com (one for each trade). Form 3 Statement of Qualifications, the main application, must be mailed or hand delivered before 2:00 PM on October 17 to me at the Bond Brothers office in Storrs, CT. Please see address and specific envelope instructions in Part 1 of the application.	BOND	01 Oct 19	Addendum #1

Project Name: Supplemental Utility Plant

Project Location: University of Connecticut, Storrs, CT

Project Number: 300025

RFI cut-off date: October 18, 2019, by 2 pm.

SOQ Application Submission Deadline: October 29, 2019, 2 pm



Requests for Information: TRADE AND EQUIPMENT PREQUALIFICATION

Item No.	Topic	Comment / Issue / Question	Date of Inquiry	Response	Author of Response	Date of Response	Issued
PREQUAL RFI-07	Fitting Information on the Schedules	Some of the schedules, for example Schedules A and B, do not provide enough space to fill out all of the requested information. Do we have to fill out the sheet if we are attaching copies of resumes, etc? Can we just make note to see attached resumes?	30 Sep 19	Please fill out all forms. In the case where all information will not fit, fill out the basic information such as names, titles, etc. and reference attached resumes for additional details. Remember to submit separate resumes and forms, each in a separate envelope, for each trade for which you wish to prequalify.	BOND	01 Oct 19	Addendum #1
PREQUAL RFI-08	Confidentiality of Financial Responses	Are the financial responses confidential?	30 Sep 19	Financial information will be treated as proprietary and confidential by BOND. Part 1, Section VII, paragraph B. states, " With the exception of the required Update Statement and financial information , BOND shall have no obligation to treat any information submitted by an interested Trade Contractor in or in connection with a SOQ as proprietary or confidential unless BOND determines that the information legitimately requires such treatment."	BOND	01 Oct 19	Addendum #1
PREQUAL RFI-09	Bank Reference Form	Is there a form for the bank reference?	30 Sep 19	There is no form for the bank reference. As directed in Part 1, Section VII, paragraph D., the information should be submitted on the bank's letterhead.	BOND	01 Oct 19	Addendum #1
PREQUAL RFI-10	Chiller Details	Could you provide information or specifications for the steam and electric chillers so we know if we would meet the requirements for the project?	02 Oct 19	The project includes the following chillers: - Two (2) 1,000 ton packaged, water-cooled, electric-motor-driven centrifugal chillers; 480 V. - Two (2) 1,000 ton packaged, water-cooled, steam-turbine-driven centrifugal chillers; steam pressure 120 PSIG (SAT.)	BOND	02 Oct 19	Addendum #2
PREQUAL RFI-11	Schedule Q – Ethics Forms on page 40 of the SUP RFQ	Trades, Part 2 documents indicates Ethics Forms 1, 5, 6, & 7 must be included in this RFQ. However, it appears as if OPM Form #3 was included in lieu of Form #6. Form #3 should not be applicable, as it is to be signed by a State Agency. Please revise to remove Form #3 and include Form #6	02 Oct 19	Ad #2 replaces Form #3 with Form #6. Forms downloaded from the State Portal now have the form number and revision date in the header. For consistency, Ad #2 replaces all the State Ethics Forms with the newer versions.	BOND	02 Oct 19	Addendum #2
PREQUAL RFI-12	Ethics Forms	Are all the ethics forms to be submitted with RFQ even though some say they are submitted with the contract?	02 Oct 19	Yes, all ethics forms must be submitted with the application.	BOND	02 Oct 19	Addendum #2

Project Name: Supplemental Utility Plant

Project Location: University of Connecticut, Storrs, CT

Project Number: 300025

RFI cut-off date: October 18, 2019, by 2 pm.

SOQ Application Submission Deadline: October 29, 2019, 2 pm

BOND

Requests for Information: TRADE AND EQUIPMENT PREQUALIFICATION

Item No.	Topic	Comment / Issue / Question	Date of Inquiry	Response	Author of Response	Date of Response	Issued
PREQUAL RFI-13	Insurance Certificate	We have a question regarding Insurance as outlined in Schedule O. Do you want an actual insurance certificate per the sample or is just submitting the pages showing we are in agreement?	02 Oct 19	Ad #2 adds an acknowledgement form to Schedule O that indicates the applicant's understanding and acceptance of the insurance requirements listed in Schedule O. Applicants do not need to submit an actual insurance certificate.	BOND	02 Oct 19	Addendum #2
PREQUAL RFI-14	Ethics Form 6	OPM Ethics Form 6 it asks for the Awarding State Agency. Should we leave that form blank since the State of CT is not the awarding authority – Bond Brothers or UCONN is the awarding agency?	04 Oct 19	The University of Connecticut is the State Agency who awarded this "large State construction contract" to Bond Brothers, Inc. as the CM at Risk. Therefore, you must fill out OPM Ethics Form 6. Check the third box down, which says: "I am a subcontractor or consultant of a contractor who has been awarded a large State construction or procurement contract. I am submitting this affirmation to the contractor."	BOND	07 Oct 19	Addendum #3
PREQUAL RFI-15	Number of Copies	How many copies of our Statement of Qualifications Application do you need?	04 Oct 19	Part 1, section III, paragraph B. says to include one (1) original and four (4) copies of the complete SOQ Application Package. Remember to include only one set of applications for one trade in an envelope. If you are submitting for multiple bid packages, each application must be in a separate envelope with the bid package noted on the outside of the envelope. Please refrain from sending your applications in binders - it makes the submission bulky for us to handle and gives you no advantage. No thumb drive is needed, just the hard copies.	BOND	07 Oct 19	Addendum #3
PREQUAL RFI-16	Submission Date	The application is long, and we have to contact others for parts of the form. May we have an extension?	07 Oct 19	Yes, we will extend dates in Addendum #3 as follows: RFI Cutoff: October 18, 2 pm Submission Deadline: October 29, 2 pm.	BOND	07 Oct 19	Addendum #3

Project Name: Supplemental Utility Plant

Project Location: University of Connecticut, Storrs, CT

Project Number: 300025

RFI cut-off date: October 18, 2019, by 2 pm.

SOQ Application Submission Deadline: October 29, 2019, 2 pm



Requests for Information: TRADE AND EQUIPMENT PREQUALIFICATION

Item No.	Topic	Comment / Issue / Question	Date of Inquiry	Response	Author of Response	Date of Response	Issued
PREQUAL RFI-17	Organizational Chart	On Schedule B of the Prequalification, it asks for an Organizational Chart. Are you asking for a company wide Organizational Chart or just the employees that will be listed on the schedule?	01 Oct 19	At a minimum, the employees listed on the schedule need to be in the org chart. Smaller companies may show the whole corporate structure; multi-national manufacturers will show only the local representatives. Our goal is to understand the project team: who will be running our job and who oversees that team? We would like to understand the chain of command if we have a problem and need to reach up a level or two.	BOND	14 Oct 19	Addendum #4
PREQUAL RFI-18	Set Aside Status: Definition of "S/MBE"	Does "S/MBE" mean a company must be a small and minority enterprise to qualify for a package with that designation?	09 Oct 19	No, S/MBE means that a bidder may be either a small business enterprise or a minority business enterprise. SBE and or MBE.	BOND	14 Oct 19	Addendum #4
PREQUAL RFI-19	Liquidated Damages	Are there any liquidated damages on the project?	10 Oct 19	We would only have liquidated damages if UConn requires it in the contract between BOND and UConn. We don't have that contract, yet. It should not impact during prequalification. We are not asking for any costs, fees, or other contractual information.	BOND	14 Oct 19	Addendum #4
PREQUAL RFI-20	Switchgear Information	Can you share an electrical one-line diagram with us to understand the switchgear line up?	10 Oct 19	We are not at liberty to share the drawings but can share with you an overall description of the gear, the cost of which is estimated at \$3.6 M. The package includes a sheltered aisle 13.8kV switchgear, paralleling gear, and other associated gear related to the Eversource substation and the chiller plant power needs.	BOND	14 Oct 19	Addendum #4
PREQUAL RFI-21	Welders in Manufacturing Facilities	Do we really have to provide names of welders in the manufacturing facility?		Equipment manufacturers shall disregard the request for names of welders in Form 3 Schedules M and N. Please provide the requested information regarding commissioning. The reference to welders was a holdover from the Trade Contractor version of Schedule N. Addendum 4 updates wording. Trade contractors DO need welders.	BOND	14 Oct 19	Addendum #4
PREQUAL RFI-22	Schedule O Signature	Who should sign Schedule O: the equipment manufacturer or the distributor?		The distributor should sign Schedule O (on the form issued via Addendum #2) stating they understand and acknowledge the insurance requirements, since they would be signatory to the purchase contract.	BOND	14 Oct 19	Addendum #4