



**PREQUALIFICATION OF TRADE CONTRACTORS AND EQUIPMENT VENDORS: ADDENDUM NO. 3**

**October 2, 2019**

**STATEMENT OF QUALIFICATION PACKAGE DUE DATE: EXTENDED TO OCTOBER 29, 2019**

**TIME:** 2:00 PM (TIME IS UNCHANGED)  
**PROJECT:** UConn Supplemental Utility Plant  
Project Number: 300025  
**LOCATION:** Applicants shall submit SOQ Form 3, SOQ Application Form to:

**BOND UConn Field Office  
ATTN: Lee Ann Sullivan  
46 King Hill Road  
Storrs, Connecticut 06269**

*Statement of Qualification Applications must be mailed or hand delivered;  
faxed or emailed copies will not be accepted.*

**Addendum No. 3**, dated 7 October 2019, is issued by Bond Brothers, Inc. for the prequalification of the following bid packages for UConn Supplemental Utility Plant, Project Number 300025:

**TRADE CONTRACTORS:**

- 03-3000 Cast-in-Place Concrete
- 05-1200 Structural Steel & Metal Deck
- 05-5000 Miscellaneous Metal Fabrications
- 05-5100 Cooling Tower Support Steel
- 07-4200 Exterior Wall Systems
- 07-5400 Roofing
- 09-1000 General Trades
- 21-1313 Fire Protection
- 22-0000 Plumbing
- 23-0000 HVAC
- 23-0020 Piping Insulation
- 26-0000 Electrical
- 31-0000 Sitework

**EQUIPMENT VENDORS:**

- 23-6426 Centrifugal Water Chillers - Electric
- 23-6427 Centrifugal Water Chillers - Steam
- 23-6500 Cooling Tower Equipment
- 26-6000 Electrical Switchgear Equipment
- 26-6300 Electrical Generators

Included in Addendum No. 3 is the following information:

1. The dates are extended for the prequalification phase of the Supplemental Utility Plant procurement. New dates are:
  - a. RFI Cut-off Date: Questions must be emailed to Lee Ann Sullivan, [lasullivan@bondbrothers.com](mailto:lasullivan@bondbrothers.com), by 2:00 pm on **October 18, 2019**.
  - b. Submission of the Statement of Qualification Application is due at the BOND UConn Field Office, attn. Lee An Sullivan, 46 King Hill Road, Storrs, CT 06269 no later than 2:00 pm on **October 29, 2019**.
2. Update Part 1 of the *Request for Qualifications for Trade Contractors* and the *Request for Qualifications for Equipment Manufacturers* with the new dates:
  - a. Cover: Submission Deadline: **October 29, 2019 at 2:00 PM**
  - b. Section 1.B. General Project Information:  
 RFQ Questions Due by: October 18, 2019, 2:00 PM  
 Response to Questions (if applicable): October 22, 2019  
 Submission Deadline (for submission of SOQs in response to this RFQ):  
 October 29, 2019
  - c. Section 3.D. Questions and Responses:  
 All questions regarding this RFQ should be submitted to Lee Ann Sullivan at Bond Brothers, Inc. by 2:00 PM local time on **October 18, 2019**. Questions should be submitted by email to Lee Ann Sullivan, [lasullivan@bondbrothers.com](mailto:lasullivan@bondbrothers.com) with "SUP RFI" in the subject line. Do not submit questions by phone. All responses will be posted on the DAS website and SmartBidNet.com by **October 22, 2019**.
  - d. Section 4. B: Anticipated Schedule of Prequalification/Bidding-

|  |                          |
|--|--------------------------|
| <b>Deadline for Submission of RFIs for this Request for Qualifications</b> | <b>October 18, 2019</b>  |
| <b>Anticipated Responses to RFIs via Addendum, if required</b>             | <b>October 22, 2019</b>  |
| <b>Deadline for Submission of Statements of Qualifications</b>             | <b>October 29, 2019</b>  |
| <b>Anticipated Completion of Prequalification Evaluations:</b>             | <b>November 12, 2019</b> |
| <b>Anticipated RFB available to Prequalified Bidders</b>                   | <b>November 12, 2019</b> |
| <b>Anticipated Bid Due Date</b>  | <b>December 17, 2019</b> |
| <b>Construction Start Date</b>   | <b>March 2020</b>        |
| <b>Construction Duration</b>   | <b>20 Months</b>         |

3. Reference the updated *Responses to Applicants Requests for Information: RFI Log*, dated 7 October 2019. (3 pages, attached)

**End of Prequalification Addendum No. 3**

Project Name: Supplemental Utility Plant

Project Location: University of Connecticut, Storrs, CT

Project Number: 300025

Revised RFI Submission Cut-off: 18 October 2019, 2 pm

Revised SOQ Application Submission Deadline: October 29, 2019, 2 pm



Requests for Information: TRADE AND EQUIPMENT PREQUALIFICATION

| Item No.       | Topic                                    | Comment / Issue / Question  | Date of Inquiry | Response  | Author of Response | Date of Response | Issued     |
|----------------|--|---|-----------------|---|--------------------|------------------|------------|
| PREQUAL RFI-01 | Letter from Applicant's Bank             | Could you please clarify what is required for the bank letter? The instructions were vague.                                     | 27 Sep 19       | We only need confirmation of the number of years the bank and applicant have been associated and whether the applicant is credit-worthy (i.e. does the bank find the applicant "favorable or unfavorable" per Part 1, Section VII.D.).  | BOND               | 01 Oct 19        | Adendum #1 |
| PREQUAL RFI-02 | Separate Forms for Multiple Applications | We plan on bidding HVAC, Plumbing, & HVAC. Do we need to submit separate prequalifications for each trade?                      | 30 Sep 19       | Yes, please submit separate forms for each trade. Send one Form 1 Expression of Interest to lasullivan@bondbrothers.com for each trade, and prepare one full SOQ form 3 application -- one per envelope -- to submit by October 17 at the Bond UConn office.  | BOND               | 01 Oct 19        | Adendum #1 |
| PREQUAL RFI-03 | Surety Letter                            | Is one Letter of Surety sufficient for all three trades?  | 30 Sep 19       | A separate letter of surety must be submitted for each trade. We need a letter with each application package.   | BOND               | 01 Oct 19        | Adendum #1 |
| PREQUAL RFI-04 | Surety Letter                            | Please advise if the letter of surety is to be made out to UConn as the owner, or Bond Brothers as the CM?                      | 01 Oct 19       | The commitment letter from a surety company for payment and performance bonds (reference SOQ Form 3, Schedule L) should be made out to Bond Brothers, Inc. as the Construction Manager at Risk.   | BOND               | 01 Oct 19        | Adendum #1 |
| PREQUAL RFI-05 | Set Aside Status: Definition of "Open"   | On the table for the Set Aside status, on our trades, it states open. What does that mean? Required to solicit S/MBE companies? | 30 Sep 19       | "Open" means the contractor or vendor does not need to be an MBE or SBE to bid that package - open to all bidders regardless of their status as a business entity. ALL trade contractors with values greater than \$50,000 are required by CHRO to submit an Affirmative Action Plan for approval. UConn requires 30% small business, with 10% of the total MBEs. | BOND               | 01 Oct 19        | Adendum #1 |
| PREQUAL RFI-06 | Location to submit prequal               | Where should I go to submit our prequal? I can't find the place on the website.   | 30 Sep 19       | Form 1 Expression of Interest may be emailed to lasullivan@bondbrothers.com (one for each trade). Form 3 Statement of Qualifications, the main application, must be mailed or hand delivered before 2:00 PM on October 17 to me at the Bond Brothers office in Storrs, CT. Please see address and specific envelope instructions in Part 1 of the application.    | BOND               | 01 Oct 19        | Adendum #1 |

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Project Location: University of Connecticut, Storrs, CT

Project Number: 300025

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Revised SOQ Application Submission Deadline: October 29, 2019, 2 pm



Requests for Information: TRADE AND EQUIPMENT PREQUALIFICATION

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|----------------|---|--|-----------------|---|--------------------|------------------|------------|
| PREQUAL RFI-07 | Fitting Information on the Schedules                | Some of the schedules, for example Schedules A and B, do not provide enough space to fill out all of the requested information. Do we have to fill out the sheet if we are attaching copies of resumes, etc? Can we just make note to see attached resumes?                                      | 30 Sep 19       | Please fill out all forms. In the case where all information will not fit, fill out the basic information such as names, titles, etc. and reference attached resumes for additional details.<br>Remember to submit separate resumes and forms, each in a separate envelope, for each trade for which you wish to prequalify.  | BOND               | 01 Oct 19        | Adendum #1 |
| PREQUAL RFI-08 | Confidentiality of Financial Responses              | Are the financial responses confidential?  | 30 Sep 19       | Financial information will be treated as proprietary and confidential by BOND. Part 1, Section VII, paragraph B. states, " <b>With the exception of the required Update Statement and financial information</b> , BOND shall have no obligation to treat any information submitted by an interested Trade Contractor in or in connection with a SOQ as proprietary or confidential unless BOND determines that the information legitimately requires such treatment." | BOND               | 01 Oct 19        | Adendum #1 |
| PREQUAL RFI-09 | Bank Reference Form                                 | Is there a form for the bank reference?  | 30 Sep 19       | There is no form for the bank reference. As directed in Part 1, Section VII, paragraph D., the information should be submitted on the bank's letterhead.  | BOND               | 01 Oct 19        | Adendum #1 |
| PREQUAL RFI-10 | Chiller Details                                     | Could you provide information or specifications for the steam and electric chillers so we know if we would meet the requirements for the project?  | 02 Oct 19       | The project includes the following chillers:<br>- Two (2) 1,000 ton packaged, water-cooled, electric-motor-driven centrifugal chillers; 480 V.<br>- Two (2) 1,000 ton packaged, water-cooled, steam-turbine-driven centrifugal chillers; steam pressure 120 PSIG (SAT.)   | BOND               | 02 Oct 19        | Adendum #2 |
| PREQUAL RFI-11 | Schedule Q – Ethics Forms on page 40 of the SUP RFQ | Trades, Part 2 documents indicates Ethics Forms 1, 5, 6, & 7 must be included in this RFQ. However, it appears as if OPM Form #3 was included in lieu of Form #6. Form #3 should not be applicable, as it is to be signed by a State Agency. Please revise to remove Form #3 and include Form #6 | 02 Oct 19       | Ad #2 replaces Form #3 with Form #6. Forms downloaded from the State Portal now have the form number and revision date in the header. For consistency, Ad #2 replaces all the State Ethics Forms with the newer versions.   | BOND               | 02 Oct 19        | Adendum #2 |

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Requests for Information: TRADE AND EQUIPMENT PREQUALIFICATION

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|----------------|-----------------------|---|-----------------|--|--------------------|------------------|------------|
| PREQUAL RFI-12 | Ethics Forms          | Are all the ethics forms to be submitted with RFQ even though some say they are submitted with the contract?  | 02 Oct 19       | Yes, all ethics forms must be submitted with the application.  | BOND               | 02 Oct 19        | Adendum #2 |
| PREQUAL RFI-13 | Insurance Certificate | We have a question regarding Insurance as outlined in Schedule O. Do you want an actual insurance certificate per the sample or is just submitting the pages showing we are in agreement?     | 02 Oct 19       | Ad #2 adds an acknowledgement form to Schedule O that indicates the applicant's understanding and acceptance of the insurance requirements listed in Schedule O. Applicants do not need to submit an actual insurance certificate.   | BOND               | 02 Oct 19        | Adendum #2 |
| PREQUAL RFI-14 | Ethics Form 6         | OPM Ethics Form 6 it asks for the Awarding State Agency. Should we leave that form blank since the State of CT is not the awarding authority – Bond Brothers or UCONN is the awarding agency? | 04 Oct 19       | The University of Connecticut is the State Agency who awarded this "large State construction contract" to Bond Brothers, Inc. as the CM at Risk. Therefore, you must fill out OPM Ethics Form 6. Check the third box down, which says:<br>"I am a subcontractor or consultant of a contractor who has been awarded a large State construction or procurement contract. I am submitting this affirmation to the contractor."  | BOND               | 07 Oct 19        | Adendum #3 |
| PREQUAL RFI-15 | Number of Copies      | How many copies of our Statement of Qualifications Application do you need?   | 04 Oct 19       | Part 1, section III, paragraph B. says to include one (1) original and four (4) copies of the complete SOQ Application Package. Remember to include only one set of applications for one trade in an envelope. If you are submitting for multiple bid packages, each application must be in a separate envelope with the bid package noted on the outside of the envelope.<br><br>Please refrain from sending your applications in binders - it makes the submission bulky for us to handle and gives you no advantage.<br><br>No thumb drive is needed, just the hard copies. | BOND               | 07 Oct 19        | Adendum #3 |
| PREQUAL RFI-16 | Submission Date       | The application is long, and we have to contact others for parts of the form. May we have an extension?   | 07 Oct 19       | Yes, we will extend dates in Addendum #3 as follows:<br>RFI Cutoff: October 18, 2 pm<br>Submission Deadline: <u>October 29, 2 pm.</u>  | BOND               | 07 Oct 19        | Adendum #3 |
|                |                       |   |                 |  |                    |                  |            |