



**PREQUALIFICATION OF TRADE CONTRACTORS AND EQUIPMENT VENDORS: ADDENDUM NO. 2**

**October 2, 2019**

**STATEMENT OF QUALIFICATION PACKAGE DUE DATE: OCTOBER 17, 2019**

**TIME:** 2:00 PM

**PROJECT:** UConn Supplemental Utility Plant  
Project Number: 300025

**LOCATION:** Applicants shall submit SOQ Form 3, SOQ Application Form to:

**BOND UConn Field Office**  
**ATTN: Lee Ann Sullivan**  
**46 King Hill Road**  
**Storrs, Connecticut 06269**

*Statement of Qualification Applications must be mailed or hand delivered;  
faxed or emailed copies will not be accepted.*

**Addendum No. 2**, dated 2 October 2019, is issued by Bond Brothers, Inc. for the prequalification of the following bid packages for UConn Supplemental Utility Plant, Project Number 300025:

**TRADE CONTRACTORS:**

- 03-3000 Cast-in-Place Concrete
- 05-1200 Structural Steel & Metal Deck
- 05-5000 Miscellaneous Metal Fabrications
- 05-5100 Cooling Tower Support Steel
- 07-4200 Exterior Wall Systems
- 07-5400 Roofing
- 09-1000 General Trades
- 21-1313 Fire Protection
- 22-0000 Plumbing
- 23-0000 HVAC
- 23-0020 Piping Insulation
- 26-0000 Electrical
- 31-0000 Sitework

**EQUIPMENT VENDORS:**

- 23-6426 Centrifugal Water Chillers - Electric
- 23-6427 Centrifugal Water Chillers - Steam
- 23-6500 Cooling Tower Equipment
- 26-6000 Electrical Switchgear Equipment
- 26-6300 Electrical Generators

Included in Addendum No. 2 is the following information:

1. Applicants do not need to submit an insurance certificate with the SOQ Application, only the acknowledgement that they have read, understand, and accept the insurance requirements spelled out in *Schedule O*. Please make the following revisions related to *Schedule O Insurance Requirements*:
  - a. In Part 1 of the Trade Contractor RFQ, replace paragraph C.4.d. with:  
“Insurance as outlined in Attachment O.”
  - b. In Part 2 of the RFQ for Trade Contractors, in the section that outlines the Mandatory Requirements, replace paragraph e with:  
**“e. Insurance Requirements:** Interested Trade Contractors **MUST ATTACH** to the *Trade SOQ Form 3* at **Schedule O**, a signed copy of *Schedule O Insurance Acknowledgement Form*.”
  - c. In Part 2 of the RFQ for Equipment Manufacturers, in the section that outlines the Mandatory Requirements, replace paragraph d with:  
**“d. Insurance Requirements:** Interested Equipment Manufacturers **MUST ATTACH** to the *Equipment SOQ Form 3* at **Schedule O**, a signed copy of *Schedule O Insurance Acknowledgement Form*.”
  - d. Add *Schedule O Insurance Acknowledgement Form* to Part 2 for the trade and equipment RFQs as the acknowledgement form to meet the revisions listed above for *Schedule O*. (1 page, attached)
2. Replace all State of Connecticut Ethics Forms in *Schedule Q Ethics Forms*, with updated versions. (5 pages, attached)
3. Reference the updated *Responses to Applicants Requests for Information: RFI Log*, dated 1 October 2019  
(3 pages, attached)

### **RFI cutoff is 8 October 2019, at 2:00 pm.**

Please email questions, including “RFI-SUP” in the subject line, to Lee Ann Sullivan [lasullivan@bondbrothers.com](mailto:lasullivan@bondbrothers.com). A second addendum will be issued if necessary.

**End of Prequalification Addendum No. 2**



**SCHEDULE O – INSURANCE ACKNOWLEDGEMENT FORM**

Company Name: \_\_\_\_\_

The undersigned acknowledges that they have read, understand, and accept the insurance requirements spelled out in *Schedule O Insurance Requirements* for the Supplemental Utility Plant, Project Number 300025.

Signed by (name): \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_



## STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

*Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.*

### INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

- CHECK ONE:**     Initial Certification     12 Month Anniversary Update (Multi-year contracts only.)
- Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

### GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

**CAMPAIGN CONTRIBUTION CERTIFICATION:**

I further certify that, on or after January 1, 2011, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(f)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(f)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after January 1, 2011 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(f)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

**Lawful Campaign Contributions to Candidates for Statewide Public Office:**

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

**Lawful Campaign Contributions to Candidates for the General Assembly:**

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

\_\_\_\_\_  
Printed Contractor Name

\_\_\_\_\_  
**Printed Name of Authorized Official**

\_\_\_\_\_  
**Signature of Authorized Official**

**Subscribed and acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.**

\_\_\_\_\_  
**Commissioner of the Superior Court (or Notary Public)**

\_\_\_\_\_  
**My Commission Expires**





STATE OF CONNECTICUT
CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn and Subscribed On This Day: \_\_\_\_\_]

I, the undersigned, hereby swear that I am a principal or key personnel of the bidder or contractor awarded a contract, as described in Connecticut General Statutes § 4a-81(b), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, except for the agreement listed below:

Consultant's Name and Title Name of Firm (if applicable)

Start Date End Date Cost

Description of Services Provided:

Is the consultant a former State employee or former public official? [ ] YES [ ] NO

If YES: Name of Former State Agency Termination Date of Employment

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Name of Bidder or Contractor Signature of Principal or Key Personnel Date
Printed Name (of above) Awarding State Agency

Sworn and subscribed before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

Commissioner of the Superior Court or Notary Public

My Commission Expires



## STATE OF CONNECTICUT

### AFFIRMATION OF RECEIPT OF STATE ETHICS LAWS SUMMARY

*Written or electronic affirmation to accompany a large State construction or procurement contract, having a cost of more than \$500,000, pursuant to Connecticut General Statutes §§ 1-101mm and 1-101qq*

#### INSTRUCTIONS:

Complete all sections of the form. Submit completed form to the awarding State agency or contractor, as directed below.

#### CHECK ONE:

- I am a person seeking a large State construction or procurement contract. I am submitting this affirmation to the awarding State agency with my bid or proposal. [Check this box if the contract will be awarded through a competitive process.]
- I am a contractor who has been awarded a large State construction or procurement contract. I am submitting this affirmation to the awarding State agency at the time of contract execution. [Check this box if the contract was a sole source award.]
- I am a subcontractor or consultant of a contractor who has been awarded a large State construction or procurement contract. I am submitting this affirmation to the contractor.
- I am a contractor who has already filed an affirmation, but I am updating such affirmation either (i) no later than thirty (30) days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

#### IMPORTANT NOTE:

Within fifteen (15) days after the request of such agency, institution or quasi-public agency for such affirmation contractors shall submit the affirmations of their subcontractors and consultants to the awarding State agency. Failure to submit such affirmations in a timely manner shall be cause for termination of the large State construction or procurement contract.

#### AFFIRMATION:

I, the undersigned person, contractor, subcontractor, consultant, or the duly authorized representative thereof, affirm (1) receipt of the summary of State ethics laws\* developed by the Office of State Ethics pursuant to Connecticut General Statutes § 1-81b and (2) that key employees of such person, contractor, subcontractor, or consultant have read and understand the summary and agree to comply with its provisions.

\* The summary of State ethics laws is available on the State of Connecticut's Office of State Ethics website.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Firm or Corporation (if applicable)

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip

\_\_\_\_\_  
Awarding State Agency



STATE OF CONNECTICUT

Written or electronic PDF copy of the written certification to accompany a large state contract pursuant to P.A. No. 13-162 (Prohibiting State Contracts With Entities Making Certain Investments In Iran)

Respondent Name: \_\_\_\_\_

INSTRUCTIONS:

- CHECK ONE: [ ] Initial Certification. [ ] Amendment or renewal.

A. Who must complete and submit this form. Effective October 1, 2013, this form must be submitted for any large state contract, as defined in section 4-250 of the Connecticut General Statutes. This form must always be submitted with the bid or proposal, or if there was no bid process, with the resulting contract, regardless of where the principal place of business is located.

Pursuant to P.A. No. 13-162, upon submission of a bid or prior to executing a large state contract, the certification portion of this form must be completed by any corporation, general partnership, limited partnership, limited liability partnership, joint venture, nonprofit organization or other business organization whose principal place of business is located outside of the United States. United States subsidiaries of foreign corporations are exempt. For purposes of this form, a "foreign corporation" is one that is organized and incorporated outside the United States of America.

Check applicable box:

- [ ] Respondent's principal place of business is within the United States or Respondent is a United States subsidiary of a foreign corporation. Respondents who check this box are not required to complete the certification portion of this form, but must submit this form with its Invitation to Bid ("ITB"), Request for Proposal ("RFP") or contract package if there was no bid process. [ ] Respondent's principal place of business is outside the United States and it is not a United States subsidiary of a foreign corporation. CERTIFICATION required. Please complete the certification portion of this form and submit it with the ITB or RFP response or contract package if there was no bid process.

B. Additional definitions.

- 1) "Large state contract" has the same meaning as defined in section 4-250 of the Connecticut General Statutes; 2) "Respondent" means the person whose name is set forth at the beginning of this form; and 3) "State agency" and "quasi-public agency" have the same meanings as provided in section 1-79 of the Connecticut General Statutes.

C. Certification requirements.

No state agency or quasi-public agency shall enter into any large state contract, or amend or renew any such contract with any Respondent whose principal place of business is located outside the United States and is not a United States subsidiary of a foreign corporation unless the Respondent has submitted this certification.

Complete all sections of this certification and sign and date it, under oath, in the presence of a Commissioner of the Superior Court, a Notary Public or a person authorized to take an oath in another state.

CERTIFICATION:

I, the undersigned, am the official authorized to execute contracts on behalf of the Respondent. I certify that:

- [ ] Respondent has made no direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010. [ ] Respondent has either made direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, or Respondent made such an investment prior to October 1, 2013 and has now increased or renewed such an investment on or after said date, or both.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Respondent Name

Printed Name of Authorized Official

Signature of Authorized Official

Subscribed and acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

Commissioner of the Superior Court (or Notary Public)

My Commission Expires



Project Name: Supplemental Utility Plant

Project Location: University of Connecticut, Storrs, CT

Project Number: 300025

RFI Submission Cut-off: 8 October 2019

BOND

Requests for Information: TRADE AND EQUIPMENT PREQUALIFICATION

Item No.	Topic	Comment / Issue / Question	Date of Inquiry	Response	Author of Response	Date of Response	Issued
PREQUAL RFI-01	Letter from Applicant's Bank	Could you please clarify what is required for the bank letter? The instructions were vague.	27 Sep 19	We only need confirmation of the number of years the bank and applicant have been associated and whether the applicant is credit-worthy (i.e. does the bank find the applicant "favorable or unfavorable" per Part 1, Section VII.D.).	BOND	01 Oct 19	Adendum #1
PREQUAL RFI-02	Separate Forms for Multiple Applications	We plan on bidding HVAC, Plumbing, & HVAC. Do we need to submit separate prequalifications for each trade?	30 Sep 19	Yes, please submit separate forms for each trade. Send one Form 1 Expression of Interest to lasullivan@bondbrothers.com for each trade, and prepare one full SOQ form 3 application -- one per envelope -- to submit by October 17 at the Bond UConn office.	BOND	01 Oct 19	Adendum #1
PREQUAL RFI-03	Surety Letter	Is one Letter of Surety sufficient for all three trades?	30 Sep 19	A separate letter of surety must be submitted for each trade. We need a letter with each application package.	BOND	01 Oct 19	Adendum #1
PREQUAL RFI-04	Surety Letter	Please advise if the letter of surety is to be made out to UConn as the owner, or Bond Brothers as the CM?	01 Oct 19	The commitment letter from a surety company for payment and performance bonds (reference SOQ Form 3, Schedule L) should be made out to Bond Brothers, Inc. as the Construction Manager at Risk.	BOND	01 Oct 19	Adendum #1
PREQUAL RFI-05	Set Aside Status: Definition of "Open"	On the table for the Set Aside status, on our trades, it states open. What does that mean? Required to solicit S/MBE companies?	30 Sep 19	"Open" means the contractor or vendor does not need to be an MBE or SBE to bid that package - open to all bidders regardless of their status as a business entity. ALL trade contractors with values greater than \$50,000 are required by CHRO to submit an Affirmative Action Plan for approval. UConn requires 30% small business, with 10% of the total MBEs.	BOND	01 Oct 19	Adendum #1
PREQUAL RFI-06	Location to submit prequal	Where should I go to submit our prequal? I can't find the place on the website.	30 Sep 19	Form 1 Expression of Interest may be emailed to lasullivan@bondbrothers.com (one for each trade). Form 3 Statement of Qualifications, the main application, must be mailed or hand delivered before 2:00 PM on October 17 to me at the Bond Brothers office in Storrs, CT. Please see address and specific envelope instructions in Part 1 of the application.	BOND	01 Oct 19	Adendum #1

Project Name: Supplemental Utility Plant

Project Location: University of Connecticut, Storrs, CT

Project Number: 300025

RFI Submission Cut-off: 8 October 2019

BOND

Requests for Information: TRADE AND EQUIPMENT PREQUALIFICATION

Item No.	Topic	Comment / Issue / Question	Date of Inquiry	Response	Author of Response	Date of Response	Issued
PREQUAL RFI-07	Fitting Information on the Schedules	Some of the schedules, for example Schedules A and B, do not provide enough space to fill out all of the requested information. Do we have to fill out the sheet if we are attaching copies of resumes, etc? Can we just make note to see attached resumes?	30 Sep 19	Please fill out all forms. In the case where all information will not fit, fill out the basic information such as names, titles, etc. and reference attached resumes for additional details. Remember to submit separate resumes and forms, each in a separate envelope, for each trade for which you wish to prequalify.	BOND	01 Oct 19	Adendum #1
PREQUAL RFI-08	Confidentiality of Financial Responses	Are the financial responses confidential?	30 Sep 19	Financial information will be treated as proprietary and confidential by BOND. Part 1, Section VII, paragraph B. states, " <b>With the exception of the required Update Statement and financial information</b> , BOND shall have no obligation to treat any information submitted by an interested Trade Contractor in or in connection with a SOQ as proprietary or confidential unless BOND determines that the information legitimately requires such treatment."	BOND	01 Oct 19	Adendum #1
PREQUAL RFI-09	Bank Reference Form	Is there a form for the bank reference?	30 Sep 19	There is no form for the bank reference. As directed in Part 1, Section VII, paragraph D., the information should be submitted on the bank's letterhead.	BOND	01 Oct 19	Adendum #1
PREQUAL RFI-10	Chiller Details	Could you provide information or specifications for the steam and electric chillers so we know if we would meet the requirements for the project?	02 Oct 19	The project includes the following chillers: - Two (2) 1,000 ton packaged, water-cooled, electric-motor-driven centrifugal chillers; 480 V. - Two (2) 1,000 ton packaged, water-cooled, steam-turbine-driven centrifugal chillers; steam pressure 120 PSIG (SAT.)	BOND	02 Oct 19	Adendum #2
PREQUAL RFI-11	Schedule Q – Ethics Forms on page 40 of the SUP RFQ	Trades, Part 2 documents indicates Ethics Forms 1, 5, 6, & 7 must be included in this RFQ. However, it appears as if OPM Form #3 was included in lieu of Form #6. Form #3 should not be applicable, as it is to be signed by a State Agency. Please revise to remove Form #3 and include Form #6	02 Oct 19	Ad #2 replaces Form #3 with Form #6. Forms downloaded from the State Portal now have the form number and revision date in the header. For consistency, Ad #2 replaces all the State Ethics Forms with the newer versions.	BOND	02 Oct 19	Adendum #2

