



Supplemental Utility Plant Storrs, CT

REQUEST FOR QUALIFICATIONS FOR TRADE CONTRACTOR SERVICES

For the following Trades:

Cast-in-Place Concrete, Structural Steel & Metal Deck, Miscellaneous Metal Fabrications*, Cooling Tower Supports*, Exterior Wall Systems, Roofing, General Trades*, Fire Protection, Plumbing, HVAC, Piping Insulation**, and Sitework

** Set-aside packages for SBE bidders only: Miscellaneous Metal Fabrications, Cooling Tower Supports, and General Trades.*

*** Set-aside package for MBE bidders only: Piping Insulation.*

Submit Statement of Qualifications to:

**BOND UConn Field Office
ATTN: Lee Ann Sullivan
46 King Hill Road
Storrs, Connecticut 06269**

Submission Deadline: October 17 , 2019 at 2:00PM

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Part One: Request for Qualifications to Trade Contractors

Section I: General Information

A. Introduction

This Request for Qualifications (“RFQ”) is the first phase of a two-phase procurement process as set forth in State of Connecticut law.

BOND is prequalifying firms interested in providing public Trade Contractor services on this Public Construction Manager at Risk (“CM” or “CM at Risk”) project, the Supplemental Utility Plant, Project No. 3000025.

BOND will evaluate submitted Statements of Qualification (“SOQ”) based upon the identified evaluation criteria and points set forth in Section VI below. Only those firms obtaining the required minimum points will be deemed prequalified. Only those respondents deemed prequalified will be invited to submit a bid in response to a Request for Bids (“RFB”) which will be issued in the second phase of the procurement process.

The project delivery method for construction will be public CM at Risk with a Guaranteed Maximum Price (“GMP”) under Connecticut law.

A **nonmandatory informational meeting** will be held to review the Supplemental Utility Plant project and this RFQ process. All interested bidders for the project are encouraged to attend, including set-aside contractors who may not require prequalification but will benefit from connecting with the other trade contractors. Directions to the meeting location are available at <https://uconn.edu/content/uploads/2018/08/Map-UConn-Storrs-Aug-2018.pdf>

**RFQ Informational Meeting: September 26, 2019 at 2:00 PM,
Meeting Location:**

**University of Connecticut
UConn Reclaimed Water Facility (RWF)
Conference Room
LeDoyt Road
Storrs, CT 06269**



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B. General Project Information

Awarding Authority:	BOND Brothers, Inc., as CMR for the project at the University of Connecticut
Project Number:	#300025
Project Name:	Supplemental Utility Plant
Project Location:	39 King Hill Road Storrs, Connecticut 06269
Project Description:	Construction of a new utility plant to support expansion of STEM programs in the Northwest Quadrant of the UConn Storrs Campus
Trade SOQ Form 1 - RFQ Interest Form:	BOND requests that contractors submit Trade SOQ Form 1 as soon as possible to indicate their intent to submit an SOQ Application
RFQ Informational Meeting	September 26, 2019, 2:00 PM UConn Reclaimed Water Facility (RWF) Conference Room LeDoyt Road Storrs, CT 06269
RFQ Questions Due by:	October 8, 2019, 2:00 PM
RFQ Questions submitted via email addressed to:	Lee Ann Sullivan lasullivan@bondbrothers.com
Response to Questions (if applicable):	October 10, 2019
Submission Deadline (for submission of SOQs in response to this RFQ):	October 17, 2019, 2:00 PM
Submission Address:	BOND UConn Field Office ATTN: Lee Ann Sullivan 46 King Hill Road Storrs, Connecticut 06269 Phone: 860-921-4447
Estimated Total Overall Project Construction Cost:	\$50,000,000
Estimated Total Project Duration:	20 Months
CM at Risk Firm:	Bond Brothers, Inc.
Project Architect:	Richard Turlington Architects
Project Engineers:	BVH Integrated Services; Waldron Engineering
Owner's Project Manager:	Brock Graham



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C. Trade Contractors to be Prequalified

This RFQ seeks firms interested in providing Trade Contractor services on the Supplemental Utility Plant Project in the bid packages identified with an “X” on *Table 1 – List of Supplemental Utility Plant Trade Bid Packages, Prequalification Requirements and Set-Aside Status* found on the following page.

Interested Trade Contractors in the categories that require project-specific prequalification are invited to submit a Trade Contractor Statement of Qualifications (SOQ) to BOND.

Table 1 on the following page lists the bid packages, prequalification requirements, and set-aside status for trade contractor packages for Project # 300025 Supplemental Utility Plant at the University of Connecticut, Storrs Campus. Trade contractor bid packages with estimated values less than \$500,000 are listed on Table 1 for information only. These trades do not require DAS or UConn job-specific prequalification and are not included in this prequalification phase. Table 1 does not list equipment or approved sole source procurement packages, which are also exempt from the prequalification process.

Trade Contractors in bid packages with estimated values greater than \$500,000 will be prequalified for the Supplemental Utility Plant on a trade by trade basis and are required to be prequalified by DAS for the specific trades listed on the table. ***Trade contractors must self-perform a minimum 40% of labor for the identified trade package being applied for, regardless of DAS certifications or prequalification.***

A Trade Contractor seeking prequalification for this project **MUST** submit a commitment letter stating its ability to obtain bonding for 110% of the estimated trade contract value for the Trade Contract from a surety company licensed to do business in Connecticut or appearing on the U.S. Treasury Department Circulate 570. Trade Contractors on this CM at Risk project will then be required to provide payment and performance bonds for the full value of their subcontracts and Trade Contractors must include the cost of these bonds in their bids.



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“X” Requires Prequal	BP #	Bid Package Name	BP Est. Value	DAS Certification Category	SBE/MBE Set-Aside Status
	01-5626	Temporary Fence	\$160,000		S/MBE
	01-7123	Surveying	\$50,000		S/MBE
	01-7419	Construction Waste Management & Labor	\$300,000		MBE
	02-2900	Site Clearing	\$70,000		S/MBE
X	03-3000	Cast-in-Place Concrete	\$3,900,000	Concrete	open
	03-3010	Flatwork Concrete	\$200,000		MBE
X	05-1200	Structural Steel & Metal Deck	\$2,400,000	Iron: Structural & Misc	open
X	05-5000	Miscellaneous Metal Fabrications	\$500,001	Iron: Structural & Misc	S/MBE
X	05-5100	Cooling Tower Supports	\$500,001	Iron: Structural & Misc	S/MBE
	07-1100	Dampproofing & Waterproofing	\$200,000		S/MBE
X	07-4200	Exterior Wall Systems	\$2,200,000	Metal Siding, General Trades, or Doors and Windows	open
X	07-5400	Roofing	\$600,000	Roofing	open
	07-8100	Applied Fireproofing	\$80,000		S/MBE
	07-8410	Firestopping	\$60,000		MBE
	08-1110	Doors, Frames & Hardware	\$160,000		S/MBE
X	09-1000	General Trades	\$1,100,000	General Trades	S/MBE
	09-6510	Flooring	\$40,000		MBE
	09-9000	Painting	\$300,000		MBE
	10-0000	Specialties	\$100,000		MBE
	11-0000	Overhead Doors & Loading Dock Equipment	\$100,000		S/MBE
	12-2000	Window Shades	\$20,000		MBE
	14-0000	Elevators	\$200,000		excluded
	14-5000	Gantry & Davit Cranes	\$100,000		excluded
X	21-1313	Fire Protection	\$500,001	Fire Prot Sprinkler Syst	open
X	22-0000	Plumbing	\$1,200,000	Plumbing	open
X	23-0000	HVAC	\$7,000,000	HVAC	open
X	23-0020	Piping Insulation	\$500,001	Mechanical Insulation	MBE
X	26-0000	Electrical	\$5,100,000	Electrical	open
	26-0050	Temporary Electrical Work	\$200,000		MBE
	27-1000	Communications	\$300,000		S/MBE
	28-2000	Security	\$50,000		MBE
X	31-0000	Sitework	\$2,800,000	Sitework	open
	32-1000	Paving	\$300,000		S/MBE
	32-1313	Site Concrete	\$200,000		S/MBE
	32-3000	Landscaping	\$200,000		MBE
	32-3100	Fences and Gates	\$200,000		S/MBE

Table 1 – List of Supplemental Utility Plant Trade Bid Packages, Prequalification Requirements and Set-Aside Status

UConn

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Section II: Detailed Project Description

Bond Brothers, Inc., has been selected as the Construction Manager at Risk for the Supplemental Utility Plant Project (“SUP”) at the University of Connecticut, Storrs. The project includes construction of an approximately 40,000 sq. ft. Supplemental Utility Plant building, one hundred linear feet of utility tunnel, and related sitework. Work includes the following:

- Coordination of all trades with work performed by others on the concurrently constructed Science 1 project, located to the east and in close proximity to the SUP.
- Clearing of trees, brush and other obstructions in preparation for construction of the SUP.
- Sitework, utilities, and excavation/backfill, including implementation of the Stormwater Protection Plan and erosion controls.
- Construction SUP building, tunnel vestibule, and portion of the connecting utility tunnel including: concrete foundations and walls; structural steel, metal deck, steel stairs and other miscellaneous metals; insulated metal panel façade with phenolic resin panel rainscreen cladding; interior gypsum and metal stud partitions; painting, flooring and other finishes; signage and other specialties and equipment for the loading dock, break areas, locker room, and meeting room; elevator and cranes; building fire protection, plumbing, HVAC, and electrical systems.
- Installation of systems to provide campus-wide chilled water capacity, including: two steam chillers, two electric chillers, cooling towers, and associated pumps, piping and other equipment; electrical and controls work to support the chilled water system.
- Installation of an 13.8kV Electrical substation connected to the campus-wide grid and Eversource feeders. The electrical substation work includes incoming service from existing substation 5P on North Eagleville Road, a New Substation and associated gear located in the new switchgear yard to the south of the SUP Building, and switchgear inside the SUP also tied into the campus-wide electrical grid.
- Provisions are included in Project #300025 for future work to include installation of boilers within the footprint of the SUP and expansion of the SUP to accommodate installation of steam-driven co-generation turbines.

The Project will be subject to a Project Labor Agreement (PLA) and subject to agreed-upon wage rates and benefits. Rates are subject to approval by UConn per the Project Manual.

Drawings, specifications and other documents will not be available to respondents during the *RFQ Phase*.

Pursuant to Connecticut Law all employees to be employed on the Project must have successfully completed a course in construction safety and health approved by OSHA and of at least 10 hours in duration. All Trade Contractors on the Project will also be required to provide written certification of compliance with applicable workforce related laws, including, Executive Order 481, Federal Department of Homeland Security Requirements, and laws relating to worker classification, workers’ compensation, and applicable laws related to taxes and insurance.

Section III: General Instructions

In response to this *RFQ*, interested Trade Contractors are requested to submit a *Trade Contractor SOQ Form 1 – Expression of Interest Form* (attached hereto) as soon as possible.

The submission of a *Statement of Qualifications (SOQ) Application Package* on or before the deadline stated in Section I will include the following:

A. Contents of *Statement of Qualifications (SOQ) Application Package*

The required *SOQ Application Package* consists of the following*:

1. *Trade Contractor Statement of Qualifications Form (Trade SOQ Form 3)*
2. *Schedules A through Q of Trade SOQ Form 3;*
3. all supporting documentation referenced and required therein; and
4. required number of copies of items 1-3 above.

**Trade SOQ Form 2 - Trade Contractor Response Checklist* is also included for the assistance of respondent Trade Contractors.

B. Submission Deadline: See *Section I: General Information*

One (1) original and **four (4)** complete copies of the interested Trade Contractor's *SOQ Application Package* must be received by BOND on or before the Submission Deadline as set forth in *Section I*, as determined by BOND's date/time stamp. All envelopes should be **mailed or delivered** to:

BOND UConn Field Office
ATTN: Lee Ann Sullivan
46 King Hill Road
Storrs, Connecticut 06269
Phone: 860-921-4447

SOQ Application Packages received later than the Submission Deadline specified in Section I will be rejected and returned to the respondent Trade Contractor. Respondent Trade Contractors are cautioned to allow sufficient time for mailed materials to be received. Faxed or e-mailed qualifications will not be accepted.

BOND shall not be responsible for mail not received, deliveries not made, or SOQ Application Packages not received by the date and time set forth in Section I.



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C. Required Exterior Label for SOQ Application Package Envelope

SOQs will not be read publicly and shall be submitted in a **sealed** envelope, one envelope for each Application. All envelopes **must be labeled on the outside** with the following information:

SOQ for Trade Contractor Services

Supplemental Utility Plant

Project No: #300025

Trade for Which Submission is Made: (i.e. masonry, electrical)

Respondent's Name:

Respondent's Address:

Respondent's Telephone Number:

Respondent's Contact Person and Email Address:

Respondents must submit a separate SOQ Application Package for each Trade for which they wish to be qualified. Applications for multiple trades within a single package will not be accepted and will not be reviewed.

D. Questions and Responses

All questions regarding this RFQ should be submitted to Lee Ann Sullivan at Bond Brothers, Inc. by 2:00 PM local time on October 8, 2019. Questions should be submitted by email to Lee Ann Sullivan, lasullivan@bondbrothers.com with "SUP RFI" in the subject line. Do not submit questions by phone. All responses will be posted on the DAS website and SmartBidNet.com by October 10, 2019.

E. Review/Availability of Contract Documents

Drawings, specifications and other documents will not be available to respondent Trade Contractors during the *RFQ Phase*.

F. Additional Instructions

See *Section VII: Additional Information* for additional instructions regarding the prequalification process.



Section IV: Overview of Prequalification Process

This *Request for Qualifications (RFQ)* is issued pursuant to Connecticut Law. Firms interested in providing Trade Contractor Services for the construction of the Project described in *Section I and Section II* (“the Project”) **MUST** submit a *Statement of Qualifications (SOQ)* in response to this RFQ to BOND as instructed in *Section III and Section VIII*, herein.

The Trade Contractor Selection Process for this CM at Risk Project is a two-phase process as set forth in Connecticut Law. BOND is prequalifying firms interested in providing Trade Contractor services for the Project through this RFQ prequalification process.

***** **IMPORTANT NOTICES** *****

Participation in the Request for Bids *RFB/Bidding Phase* of this Project will be limited to ONLY those Trade Contractor firms who have submitted a SOQ (and required supporting documentation) in response to this RFQ and that have been deemed prequalified by BOND.

Trade Contractors that fail to respond to this RFQ and fail to submit an SOQ with the required supporting documentation by the Submission Deadline set forth in Section I, and Trade Contractors that have not been deemed prequalified by BOND shall be automatically disqualified from bidding on this Project.

***** **IMPORTANT NOTICES** *****

Section V: Administration/Schedule for Prequalification Process

A. “Two-Phase” Selection Process - Selection of Trade Contractors for the Project will be conducted in a two-phase process as set forth in Connecticut Law. Trade Contractor firms must first be prequalified in the *Phase One – RFQ/Prequalification Phase* in order to bid on the Project in *Phase Two – RFB/Bidding Phase* for any trade bid package identified as requiring prequalification in Table 1, Section I.

1. Phase One – RFQ/Prequalification Phase

- **Submission of *Statement of Qualifications (SOQ)*** - Interested Trade Contractor firms must submit a completed SOQ; completed *Schedules A through Q*, and all required supporting documentation referenced therein in response to this RFQ by the *Submission Deadline* set forth in *Section I*.

- **Prequalification Committee** –BOND will establish a *Prequalification Committee* to review and evaluate the SOQs (and supporting documentation) submitted by interested Trade Contractors. The *Prequalification Committee* shall consist of knowledgeable representatives from BOND Brothers, Inc.
- **Evaluation by Prequalification Committee** - The *Prequalification Committee* shall meet as necessary to evaluate and review the SOQs (and supporting documentation) submitted by each respondent Trade Contractor firm in accordance with the evaluation criteria set forth in *Section VI*.
- **Notice to Respondent Trade Contractors** – The names of the Trade Contractor firms responding to the *RFQ* will be posted on DAS and SmartBidNet but there will be no public opening of the SOQs submitted in response to the *RFQ*. Upon completion of the evaluation and review process described herein, the *Prequalification Committee* will provide notice via email to all respondent Trade Contractors as to whether they are deemed prequalified or not. Prequalified Trade Contractor firms shall also be invited to participate in *Phase Two*, the *RFB/Bidding Phase* of the Trade Contractor Selection Process.

2. Phase Two – Request for Bid (RFB)/Bidding Phase

- Trade Contractor firms determined in *Phase One* by the *Prequalification Committee* to be prequalified will be invited to bid on the Project. **Only firms deemed prequalified during Phase One – RFQ/Prequalification Phase for the identified trade packages will be permitted to participate in the Phase Two - RFB/Bidding Phase. Firms that are not prequalified by the Prequalification Committee and firms that do not participate in the RFQ Phase will be precluded from participating in Phase Two - the RFB Bidding/Phase for the trade packages requiring prequalification as noted in Table 1, Section 1 of this RFQ.**

B. “Anticipated Schedule for Prequalification/Bidding-

Deadline for Submission of RFIs for this Request for Qualifications	October 8, 2019
Anticipated Responses to RFIs via Addendum, if required	October 10, 2019
Deadline for Submission of Statements of Qualifications	October 17, 2019
Anticipated Completion of Prequalification Evaluations:	October 31, 2019
Anticipated RFB available to Prequalified Bidders	October 31, 2019
Anticipated Bid Due Date	December 3, 2019
Construction Start Date	February 2020
Construction Duration	20 Months

Section VI: Evaluation Procedure/Criteria for Prequalification Selection

A. Sources of Information Considered

Respondent Trade Contractors must submit documentation for the four (4) statutory evaluation criteria categories listed herein. Prequalification will be based on the submitted information and materials as well as information on prior project performance, information obtained from references, information obtained from governmental agencies and entities, information contained within CT-DAS's certification files, and such other information as may be obtained relating to the evaluation criteria categories. The *Prequalification Committee* may also request and review additional information as necessary to clarify or supplement the information provided to or obtained by BOND.

Do not include superfluous material. Respondent Trade Contractor must include the *Trade Contractor Statement of Qualifications Form, Trade SOQ Form 3 and Schedules A through Q* attached hereto. Respondent Trade Contractor must give complete and accurate answers to all questions and provide all of the information requested. Making a materially false statement in this SOQ submission is grounds for rejection and debarment.

B. Evaluation Procedure

As set forth herein and in accordance with Connecticut Law, BOND has established a *Prequalification Committee* for the purpose of reviewing and evaluating responses to this RFQ. The *Prequalification Committee* shall evaluate interested Trade Contractors based on the evaluation criteria set forth herein and assign points for each evaluation criterion category and subcategory provided herein. The *Prequalification Committee* shall prepare a written evaluation score form for each respondent Trade Contractor that provides a composite point rating and a specific point rating for each of the evaluation criterion set forth in Connecticut Law and herein. The *Prequalification Committee* shall only prequalify those Trade Contractor firms that have achieved the minimum points required in each category set forth herein and a minimum total score of seventy (70) points.

Only Trade Contractor firms achieving the minimum score required in each evaluation category set forth herein, as well as a minimum total score of seventy (70) points overall shall be prequalified and invited to submit bids. An interested Trade Contractor's score shall be made available to the Trade Contractor upon request but is not a public record.

The decision of the *Prequalification Committee* shall be final and shall not be subject to appeal except on grounds of fraud or collusion.

C. Criteria for Prequalification

SOQs must be submitted on the *Trade SOQ Form 3* attached hereto. Interested Trade Contractors submitting a SOQ and supporting information in any other form will not be prequalified. The *Prequalification Committee* shall review and evaluate the information submitted by interested Trade Contractors in accordance with the statutory point scheme set forth in **Connecticut Law**. Also in accordance with the RFQ shall set forth the available points for each evaluation sub-category in order to provide interested Trade Contractors prior notice of the points available in each sub-category. Joint ventures must provide information about each of the joint venture partners.

1. **Management Experience - (50 points available in this category; minimum of 25 points required in this category for prequalification approval)**

- a. **Business Owners:** Provide the name, title, including a detailed description of the role and job responsibilities, scope of work and numbers of years with the firm for each of the business owner(s) of the firm. If the respondent Trade Contractor is a partnership, **YOU MUST** provide the requested information for each general and limited partner. If the respondent Trade Contractor is a corporation or limited liability company, **YOU MUST** provide the requested information for each officer, director and/or member. *(3 points available)*

1. At least one (1) principal of the Trade Contractor has five (5) or more consecutive years of employment with the Trade Contractor – three (3) points.
2. At least one (1) principal of the Trade Contractor has four (4) or more but less than five (5) consecutive years of employment with the Trade Contractor – two (2) points.
3. At least one (1) principal of the Trade Contractor has three (3) or more but less than four (4) consecutive years of employment with the Trade Contractor – one (1) point.
4. No response or incomplete response – zero (0) points

- b. **Management Personnel:** Provide the name, title, including a detailed description of the role and job responsibilities, scope of work, education, certifications (PE, LEED AP, etc.), construction experience, years with the firm and a list of all projects completed for all management personnel who will have any direct or indirect responsibility over the Project, including but not limited to Project Executives, Project Managers, Field Superintendents and Field Engineers. *(3 points available)*

1. At least one (1) management person of the Trade Contractor who will work on the (“the Project”) has five (5) or more consecutive years of employment as a management person with the Trade Contractor – three (3) points.
2. At least one management person of the Trade Contractor who will work on this Project has four (4) or more consecutive years of employment as a management person with the Trade Contractor – two (2) points.
3. At least one (1) management person of the Trade Contractor who will work on this Project has three (3) or more consecutive years of employment as a management person with the Trade Contractor or other Trade Contractor in public building construction – one (1) point.
4. No response or incomplete response – zero (0) points.

c. Similar Project Experience: Provide the project name(s), description, scope of work, LEED certification level (if any), original contract sum, final contract sum with explanation, and date of completion for each and every similar project undertaken by the firm in the last (3) three years. For purposes of this *RFQ*, “similar projects” shall mean public construction projects in Connecticut. In addition to public projects, the HVAC, plumbing, fire protection, and electrical contractors require power plant, utility plant, or supplemental utility plant experience; and, for electrical contractors, electrical substation work. (14 points available)

1. The Trade Contractor has successfully completed its work on construction of at least five (5) similar projects within the past three (3) years with a trade contract value similar to the estimated trade contract value for the project on which the Trade Contractor is attempting to qualify – fourteen (14) points.
2. The Trade Contractor has successfully completed its work on construction of at least four (4) similar projects within the past three (3) years with a trade contract value similar to the estimated trade contract value for the project on which the Trade Contractor is attempting to qualify – ten (10) points.
3. The Trade Contractor has successfully completed its work on construction of at least three (3) similar projects within the past three (3) years with a trade contract value similar to the estimated trade contract value for the project on which the Trade Contractor is attempting to qualify – six (6) points.
4. The Trade Contractor has successfully completed its work on construction of at least two (2) similar projects within the past three (3) years with a trade contract value similar to the estimated trade contract value for the project on which the Trade Contractor is attempting to qualify – two (2) points.

5. No similar project experience within the past three (3) years; No response or incomplete response – zero (0) points.

d. Terminations: Provide a list of any projects on which the firm was the Trade Contractor and was terminated, held in default, or failed to complete the work. Include the name of the project, the timeframe of the project and circumstances surrounding the termination or default. *10 points available*

1. The Trade Contractor has not been terminated or failed to complete the work on any project within the last ten (10) years. Projects on which the Trade Contractor was terminated for reasons beyond his control shall be disregarded. Examples of reasons beyond the control of a contractor include project cancellations— ten (10) points.
2. Within the past ten (10) years, the Trade Contractor has been terminated on a project or has failed to complete the work on a project, must provide documentation/explanation – maximum three (3) points.
3. Within the past five (5) years, the Trade Contractor has been terminated on a project or failed to complete a project - zero (0) points
4. No response or incomplete response – zero (0) points.

e. Lawsuits/Legal Actions: Provide a list of all lawsuits and or legal action in which the Trade Contractor and/or any of its principals, shareholders, officers or directors is a defendant or defendant-in-counterclaim with regard to construction contracts, fraud or other illegal activities within the last 3 years. Please include among the lawsuits and legal action requested in the previous sentence, payment bond lawsuits under CT Law and mechanics lien lawsuits. If the lawsuit or legal action was pending at any time during the last three (3) years (which includes any lawsuit that was commenced, dismissed, or resolved by settlement or judgment during that time), then it must be listed. Please note that the lawsuits or legal action listed shall not include actions that primarily involve personal injury, workers' compensation claims, or where the sole cause of action involves the Trade Contractor's exercise of its rights for direct payment under CT Law. *(10 points available)*

1. No lawsuits or legal actions are pending nor have been concluded adversely to the Trade Contractor and/or any of its principals, shareholders, officers or directors within the past three (3) years which relate to the procurement or performance of any public construction contract or private construction contract, fraud or other illegal activities – ten (10) points.
2. No lawsuits or legal actions are pending nor have been concluded adversely to the Trade Contractor and/or any of its principals, shareholders, officers or directors within the past two (2) years which

relate to the procurement or performance of any public construction contract or private construction contract, fraud or other illegal activities – six (6) points

3. No lawsuits or legal actions are pending nor have been concluded adversely to the Trade Contractor and/or any of its principals, shareholders, officers or directors within the past one (1) year which relate to the procurement or performance of any public construction contract or private construction contract, fraud or other illegal activities – two (2) points
4. One lawsuit or legal action is pending or has been concluded adversely to the Trade Contractor and/or any of its principals, shareholders, officers or directors within the past one (1) year which relate to the procurement or performance of any public construction contract or private construction contract, fraud or other illegal activities – one (1) point
5. Multiple lawsuits or legal actions are pending or have been concluded adversely to the Trade Contractor and/or any of its principals, shareholders, officers or directors within the past one (1) year which relate to the procurement or performance of any public construction contract or private construction contract, fraud or other illegal activities – zero (0) points
6. Failure to disclose any pending or lawsuit required to be included in the Trade Contractor's response – zero (0) points
7. No response or incomplete response – zero (0) points

f. Safety Record: Provide the three (3) year history of the Trade Contractor's workers' compensation experience modifier. In addition, provide documentation from the Trade Contractor's insurance carrier supporting the rating history provided. *(10 points available)*

1. Average value of the submitted history of the Trade Contractor's workers' compensation modifier is less than or equal to 1.0 – ten (10) points.
2. Average value of the submitted history of the Trade Contractor's workers' compensation modifier is greater than 1.0 but less than or equal to 1.1 – eight (8) points.
3. Average value of the submitted history of the Trade Contractor's workers' compensation modifier is greater than 1.1 but less than or equal to 1.2 – six (6) points.
4. Average value of the submitted history of the Trade Contractor's workers' compensation modifier is greater than 1.2 but less than or equal to 1.3 – four (4) points.

5. Average value of the submitted history of the Trade Contractor's workers' compensation modifier is greater than 1.3 but less than or equal to 1.35 – two (2) points.
6. Average value of the submitted history of the firm's Trade Contractor's workers' compensation record is greater than 1.35 – zero (0) points.
7. No response or incomplete response – zero (0) points.

2. References - (30 points available in this category; minimum of 15 points required in this category for prequalification approval)

a. Project References: Provide reference information for owners and architects for each and every project listed in your response to *Section VI(C)(1)(c)*. A minimum of three (3) references from different projects (of similar size, scope and type to this project) are required. Information provided shall at least include project name and the names of the owners and architects, with current address, current telephone, current fax numbers, current email address and current contact person for each. Note: The Prequalification Committee may also consider project reference information and project evaluations obtained from the Update Statement, DAS's certification files and information provided in response to *Subsection 2(c)* herein. *(18 points available)*

1. Three (3) points for each favorable reference, maximum of six (6) references – maximum eighteen (18) points
2. Negative three (-3) points for each unfavorable reference, maximum of six (6) references
3. The total score for this category will be the net sum of favorable and unfavorable reference points, if there are more unfavorable than favorable references then the score would be zero (0).
4. If a reference cannot be reached due to incorrect contact information then, that reference will be counted as zero (0) points.

b. Credit References: Provide a minimum of five (5) credit references, including current telephone and fax numbers of a contact person from key suppliers, vendors and banks. A credit reference letter from the bank is required by the SOQ deadline, it may be included with the SOQ or sent directly to Lee Ann Sullivan @ lasullivan@bondbrothers.com from the bank. Also reference *Section VII (D) of Part One* of the RFQ for this Project for Additional Information. *(4 points available)*

1. Five (5) or more favorable credit references at least one of which is a bank or a recognized lending institution – four (4) points.
2. Four (4) favorable credit references at least one of which is a bank or a recognized lending institution – three (3) points.

3. Three (3) favorable credit references at least one of which is a bank or a recognized lending institution – two (2) points.
 4. Two (2) or less favorable credit references at least one of which is a bank or a recognized lending institution –one (1) point.
 5. No favorable credit references – zero (0) points.
 6. No response or incomplete response – zero (0) points (lack of a bank credit reference letter will be considered an incomplete response).
- c. Public Project Record:** Provide a list of all completed public building construction projects (as defined by Connecticut Law) worked on during the past five (5) years with the project name, scope of work, contract value, start date, completion date, status of the project, owner’s name (including address, telephone number, fax number, and contact person) and architect’s name (including address, telephone number, fax number and contact person). *(8 points available)*
1. Work successfully completed on five (5) or more public building projects during the past five (5) years – eight (8) points.
 2. Work successfully completed on four (4) public building projects during the past five (5) years – six (6) points.
 3. Work successfully completed on three (3) public building projects during the past five (5) years – four (4) points.
 4. Work successfully completed on two (2) public building projects during the past five (5) years – two (2) points.
 5. Work successfully completed on one (1) public building project during the past five (5) years – one (1) point.
 6. Work successfully completed on no public building projects during the past five (5) years – zero (0) points.
 7. No response or incomplete response – zero (0) points
- 3. Capacity to Complete Projects - (20 points available in this category; minimum of 10 points required in this category for prequalification approval)**
- a. Prior Revenue:** Submit the prior annual revenue for the last three (3) fiscal years. *(10 points available)*
1. Average revenue is 150% or greater of estimated amount of trade category listed in this RFQ – ten (10) points.
 2. Average revenue is between 150% and 125% of estimated amount of trade category listed in this RFQ – eight (8) points.
 3. Average revenue is between 125% and 100% of estimated amount of trade category listed in this RFQ – six (6) points.

4. Average revenue is between 100% and 75% of estimated amount of trade category listed in this *RFQ* – four (4) points.
5. Average revenue is between 75% and 50 % of estimated amount of trade category listed in this *RFQ* – two (2) points.
6. Average revenue is less than 50% of estimated amount of trade category listed in this *RFQ* - zero (0) points
7. No response or incomplete response - zero (0) points

b. Revenue under Contract: Submit revenue under contract for the next three (3) years. (10 points available)

1. Revenue is 200% or greater of estimated amount of trade category listed in this *RFQ* – ten (10) points.
2. Revenue is between 200% and 150% of estimated amount of trade category listed in this *RFQ* – eight (8) points.
3. Revenue is between 150% and 100% of estimated amount of trade category listed in this *RFQ* – six (6) points.
4. Revenue is between 100% and 50% of estimated amount of trade category listed in this *RFQ* – four (4) points.
5. Revenue is less than 50% of estimated amount of trade category listed in this *RFQ* – two (2) points.
6. No response or incomplete response – zero (0) points.

4. Mandatory Requirements - (no points assigned)

- a. Bonding Capacity:** Interested Trade Contractors must provide a commitment letter (from a surety company licensed to do business in the Connecticut and whose name appears on the United States Treasury Department Circular 570) for payment and performance bonds in an amount equal to or greater than **one hundred and ten percent (110%)** of the estimated trade contract value for the subtrade that the Trade Contractor is seeking prequalification as set forth in *Section I*.
- b. 40% self-performed labor** for trade that Applicant is submitting for.
 - **HVAC and plumbing contractors must employ their own certified welders** in the field and in the fabrication facility with a minimum of three (3) years employment with the Contractor. Provide a list of names, years' experience and x-ray, TIG and other welding certification(s), as set forth in Attachment M.
- c.** HVAC and plumbing systems will be prefabricated off-site and subject to inspection by Bond Brothers, Inc. and/or other project team members. The fabrication facility shall be located within 100 miles of the Supplemental Utility Plant jobsite, as set forth in Attachment M.

- d. Insurance as outlined in Attachment N.
- e. State Ethics Forms
- f. **DAS Certification:** Respondent Trade Contractors **must be certified by CT DAS in the trade for which they seek to be prequalified** and pursuant to Connecticut Law must submit a copy of the Respondent's currently valid *DAS Certificate of Prequalification* and its SOQ (as set forth in the *General Information* section above). Note, however, that a joint venture team must be certified in specific trade(s) that it is seeking to be prequalified for this Project in the name of the joint venture and must submit a *Certificate of Prequalification* for the joint venture in each such Trade. If a respondent to the RFQ is a proposed joint venture that is newly formed or is not currently certified, then: (i) each party to the proposed joint venture must be individually certified by DAS and must submit a copy of its *DAS Certificate of Prequalification* with the *Joint Venture SOQ*; and (ii) the joint venture respondent must state in the SOQ that it will seek certification from DAS as a joint venture in the sub-bid category of the Trade for which they submit the SOQ, and state that it understands and agrees that if the joint venture respondent is selected to participate in the *RFB Phase* it will be required to submit a *DAS Certificate of Prequalification* for the joint venture in the name of the joint venture with its response to the *RFB*. For certification forms and additional information see the web site:

<http://www.biznet.ct.gov>

Or contact the Certification Office at:

Connecticut State Department of Administrative Services
450 Columbus Blvd.
Hartford, CT 06103
Telephone Number (860) 713- 5100

- g. **DAS Update Statement:** Interested Trade Contractors must provide a current and completed *DAS Update Statement* prepared by the interested Trade Contractor utilizing the current form of *Update Statement* available on the CT-DAS website. If a respondent to the RFQ is a proposed joint venture and the proposed joint venture is not yet certified, then: i) each party to the proposed joint venture must be certified by DAS and each must submit its own signed *Update Statement* as part of its SOQ; ii) at least one of the parties to the joint venture must be certified by DAS in the Trade category of for which it is seeking prequalification. When the second phase of the selection process is undertaken through an *RFB*, a joint venture respondent that has been selected in the RFQ process will be required to submit its *Update Statement* for the joint venture meeting the above requirements with its proposal to the *RFB*.

For the required *DAS Update Statement Form* and additional information see the web site.

<http://www.biznet.ct.gov>



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Or contact the Certification Office at:

Connecticut State Department of Administrative Services
450 Columbus Blvd.
Hartford, CT 06103
Telephone Number (860) 713-5100

- g. Certificate of Small and Minority Contractor Qualification:** Trade Contractors submitting an SOQ for the set-aside trades (Miscellaneous Metal Fabrication, Cooling Tower Supports, General Trades, Piping Insulation, and Electrical Switchgear Installation) must submit current CHRO/DAS Small or Minority Contractor Certification statement as required for the specific set-aside package.
- h. Nondiscrimination Certification Affidavit:** Trade Contractors **MUST ATTACH** to the *Trade SOQ Form 3* at **Schedule N**, a current, completed signed and stamped *Nondiscrimination Certification Affidavit*.

Section VII: Additional Information

A. Status of Request for Qualifications

This *RFQ* is solely a request for information. It does not represent an offer nor does it confer any rights on any respondent Trade Contractor. BOND shall not be responsible under any circumstances for any costs incurred by any respondent Trade Contractors in responding to this *RFQ*. BOND reserves the right to cancel this procurement at any time if it is in its best interest to do so.

B. Treatment of Information Submitted

With the exception of the required *Update Statement* and financial information, BOND shall have no obligation to treat any information submitted by an interested Trade Contractor in or in connection with a SOQ as proprietary or confidential unless BOND determines that the information legitimately requires such treatment. In such case, BOND's obligation with respect to protection and disclosure of such information shall at all times be subject to applicable laws. BOND shall have the right to use all or portions of the SOQ, as it considers necessary or desirable in connection with the Project. By the submission of a SOQ, the respondent Trade Contractor thereby grants to BOND an unrestricted license to use the SOQ, including all materials submitted therewith, in connection with the Project.

C. Communication Between BOND and Respondent Trade Contractors

Unauthorized communications or contact between Trade Contractors, their employees, agents or other related entities interested in submitting a SOQ with members of the Prequalification Committee with regard to the Project are strictly prohibited. The only authorized communications shall be 1) inquiries to Lee Ann Sullivan, lasullivan@bondbrothers.com, for general information about obtaining the RFQ, RFQ submission deadlines, and the existence of any relevant addenda to the RFQ; and 2)



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inquiries made at any official RFQ Informational meeting and/or site visit held by BOND. If applicable, the official RFQ Informational meeting will be held at the date(s) and time(s) set forth in Section I.

Any issues brought to BOND's attention, which BOND determines will require additional clarification, will be addressed by issuing a written addendum. Oral and other clarifications will be without legal effect. All such addenda will be considered part of this RFQ, and the respondent Trade Contractor shall be required to acknowledge receipt of all addenda on the SOQ Application Form attached to this RFQ. BOND will post to the DAS website and to smartbid.net all addenda which will be available to all respondent Trade Contractors that have downloaded or received the RFQ directly from BOND. It shall be the sole responsibility of the respondent Trade Contractor to ascertain the existence of any and all addenda issued by BOND.

From the date of issuance of this RFQ, any respondent Trade Contractor that contacts directly or indirectly any member or employee of BOND, or the Project Designer, or the Project Manager, or the Construction Manager at Risk firm, or any member of the Prequalification Committee in connection with the selection process or the contract contemplated herein for this Project is subject to disqualification. Contact is permitted for the purpose of obtaining a copy of this RFQ or to submit a verbal or written question or request for clarification at the RFQ Informational meeting as set forth in Section I.

D. Credit References

In evaluating credit references (see Part Two, Section 2(b) of the Trade SOQ Form 3), significant weight is attached to the Trade Contractor having a bank reference. In order to expedite this process, please contact your bank reference and either include with the SOQ or have the bank forward directly to the address below (**by postal service, express carriers, or e-mail**) so that it is received Lee Ann Sullivan by the deadline for SOQ submittal, a letter indicating how long they have been associated with the Trade Contractor and whether they consider the Trade Contractor to be favorable or unfavorable. This information must be submitted on the bank's letterhead to:

**BOND UConn Field Office
ATTN: Lee Ann Sullivan
46 King Hill Road
Storrs, Connecticut 06269**

**e-mail: lasullivan@bondbrothers.com
Phone: 860-921-4447**