East Hartford Housing Authority



546 Burnside Ave, East Hartford, CT 06108

Main Office 860-290-8301

Maintenance\24 Hour Emergency

860-290-8300

TDD 1-800-545-1833 ext 216

Finance Dept Fax 860-290-8308

Leasing Dept Fax 860-289-1688

www.ehhousing.org

<u>Invitation For Bid – Window Trim Installation For Hockanum Park Apartments</u>

Owner:

East Hartford Housing Authority

546 Burnside Avenue, East Hartford, CT 06108

Date: July 10, 2019

Invitation for Bid

The East Hartford Housing Authority (EHHA) is accepting bids for the installation of window trim for Hockanum Park Apartments in East Hartford CT. 77-units require certified lead renovator company, for installing window trim. Residential prevailing wage rates apply. Viewing of the site can be coordinated with Jason Van Allen, Asset Coordinator, by calling cell number 860-748-3201. All questions will be via e-mail end for questions will be July 26, 2019. The successful low bidder is expected to complete the installation within 2 months of contract signing. Bids are to be e-mail to jvanallen@ehhousing.org, or mail. Please have your bids turned in by 2 p.m. on July 31, 2019 to the East Hartford Housing Authority at 546 Burnside Avenue and labeled to the attention of Jason Van Allen.

Scope of Work:

A. <u>Installation of Window Trim:</u> <u>Quantity: 411 windows</u>

The awarded contractor will be a licensed lead abatement contractor All work will be done according to the state laws for lead abatement. The trim will be installed over existing steel window frame. Note the existing window frames contains lead paint. All surfaces of jamb are intact no work to be done to the LBP surface, contractor to only cover the surface with new trim. Pre-cut all trim off site, MSG (miter, glue, and spline) trim, and use stool and apron design. "Do not picture frame" the trim. Paint all window trim off site and use semi-gloss white acrylic latex paint low VOCs. Method of installation use PL400, or better on metal surface and brads nails on the drywall areas and new extension jambs. Leave %" to 1/8" for a reveal. Fill in all gaps after installing trim with bright white acrylic latex caulk. Fill in all nail holes with bright white acrylic latex caulk. Touch up paint if needed. See attached drawings. Please note drawings are for reference only.

Bid Submittal

- A. The following are to be provided to the Owner with the bid packet.
 - 1. Completed Bid form.
 - 2. Sample Insurance certificate.
 - 3. Lead Renovator certification.
 - 4. Non-Collusive Affidavit
 - 5. HUD Form 2350
 - 6. HUD form 5369-A
- B. A Certificate of Insurance naming EHHA additionally insured is required at contract signing for Liability, Workers Compensation and Automobile coverages. If you are a new contractor with EHHA please submit your W-9.
- C. Prevailing wage rates and certified payrolls apply, please see attached wage rate sheets.

Payment

The East Hartford Housing Authority is tax exempt, tax exempt certificate will be given to the awarded contractor. Submit invoices per building, in the prorated amount due for payment per the contract documents along with permit close out/pass form if required. Payment coordination will be discussed at signing.

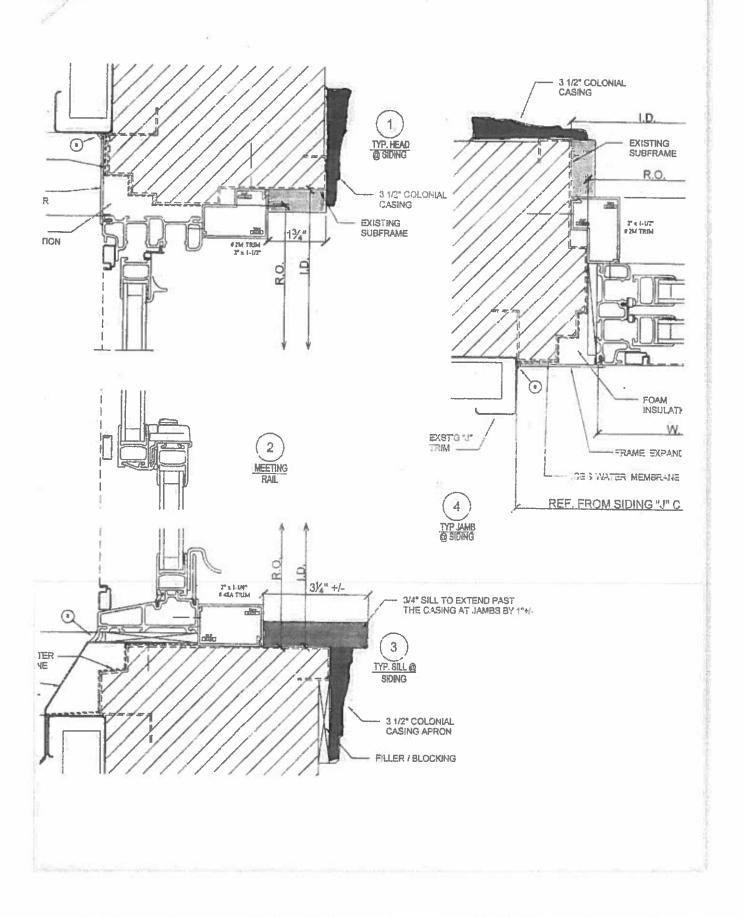
Prepared by: Jason Van Allen, A	sset Coordinator, East Hartford Housing Authority
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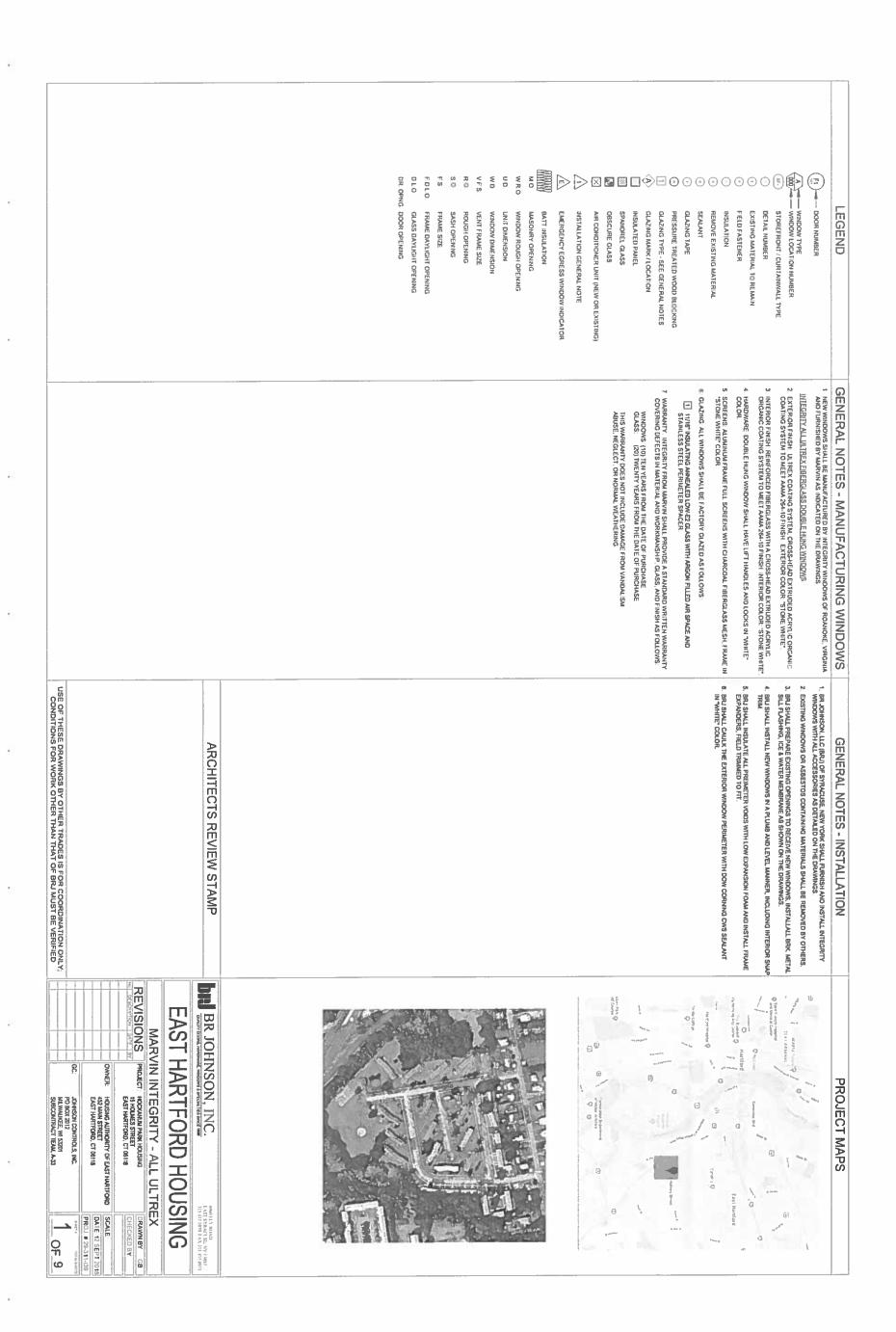
Approved by: Chris Pliszka,

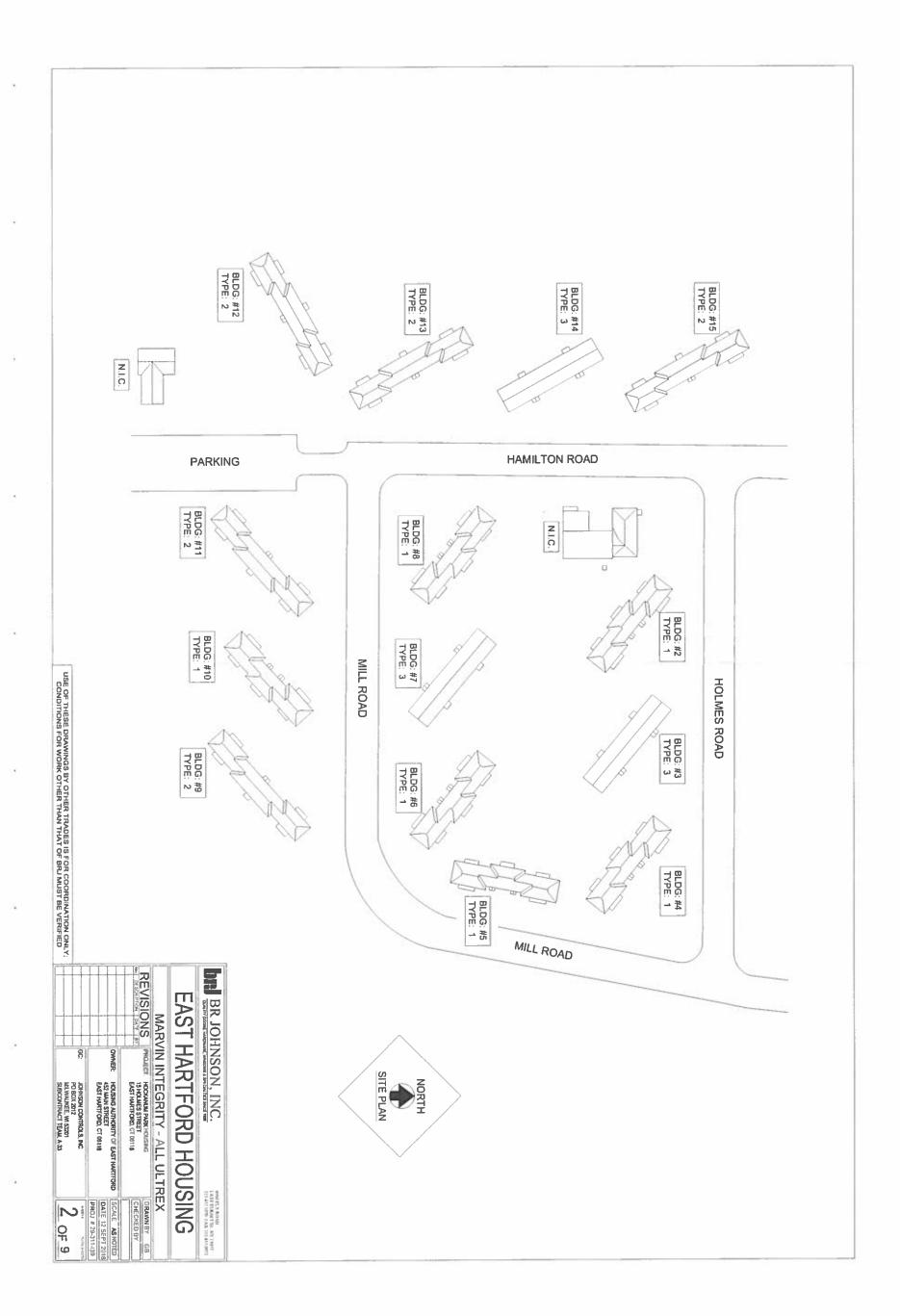
Finance Director, East Hartford Housing Authority

BID FORM

Company Name:	
Name of Representative:	
Title of Representative:	
Date:	
Price for all work listed in Invitation For Bid. (see section A in scope of work) Pleasunit window and a total firm fixed price for 411 windows.	e provide a unit price per
1. Window trim labor and materials (dollars):	
Firm fixed price to trim out 411 windows:	
Unit price per window (if additional is required):	
Signature	
	_







Building "Type 1" - Front Elevation SCALE: 1/4" = 1'-0" [6] REQ'D: Buildings # 2, 4, 5, 6, 8, & 10 Building "Type 1" - Rear Elevation SCALE: 1/4" = 1':0"
(6) REQ'D: Buildings # 2, 4, 5, 6, 8, & 10 **B** • 0 • 0 • 0 • • 0 (• () • 0 0 . USE OF THESE DRAWINGS BY OTHER TRADES IS FOR COORDINATION ONLY; CONDITIONS FOR WORK OTHER THAN THAT OF BRJ MUST BE VERIFIED • 0 • • 0 REVISIONS P 0 BR JOHNSON, INC. **EAST** • • MARVIN INTEGRITY - ALL ULTREX

ONS
15 HOUSE STREET
FAST HARTFORD, CT 08118

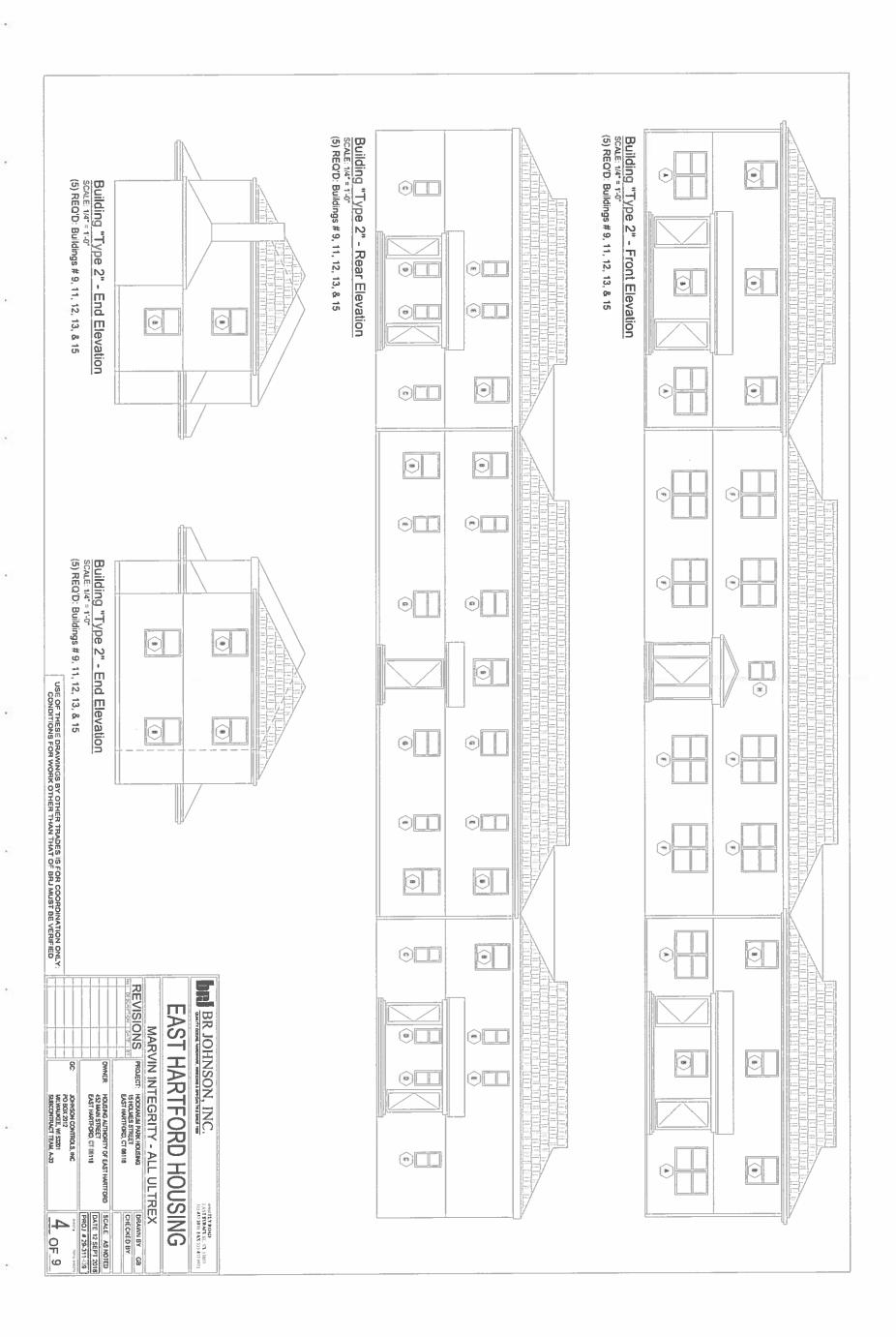
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CHECKED BY HARTFORD HOUSING JOHNSON CONTROLS, INC. PO BOX 2012 NALWALINGE, WI \$1201 SUBCONTRACT TEAM, A-13 HOUSING AUTHORITY OF EAST HARTFORD 452 MAIN STREET EAST HARTFORD, CT 00118 • 6-86 JET JUDAD LAST SYRATUSE NY 17087 NO 417 1670 JAN 313 437 0071 SCALE: AS NOTED

DATE: 12 SEPT 2018

PROJ. # 29-311-139 ယ္

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Building "Type 3" - Front Elevation SCALE: 1/4" = 1-0"
(3) REQ'D: Buildings # 3, 7, & 14 Building "Type 3" - Rear Elevation SCALE: 1/4" = 1'-0"
(3) REQ'D: Buildings # 3, 7, & 14 • • • • • (0) • ٠ **±** 0 6 -0 • • 0 0 USE OF THESE DRAWINGS BY OTHER TRADES IS FOR COORDINATION ONLY.
CONDITIONS FOR WORK OTHER THAN THAT OF BRJ MUST BE VERIFIED 0 0 8 • 9 0 • (E) MARVIN INTEGRITY - ALL ULTREX

REVISIONS
PROJECT: HOCKWIND PARK HUSSING
15 HOLESS GREET
EAST HARTFORD, CT 08119
OWNER: HOUSING AUTHORITY OF EAST HARTFORD
EAST HARTFORD, CT 08119
OWNER: HOUSING AUTHORITY OF EAST HARTFORD
EAST HARTFORD, CT 08119

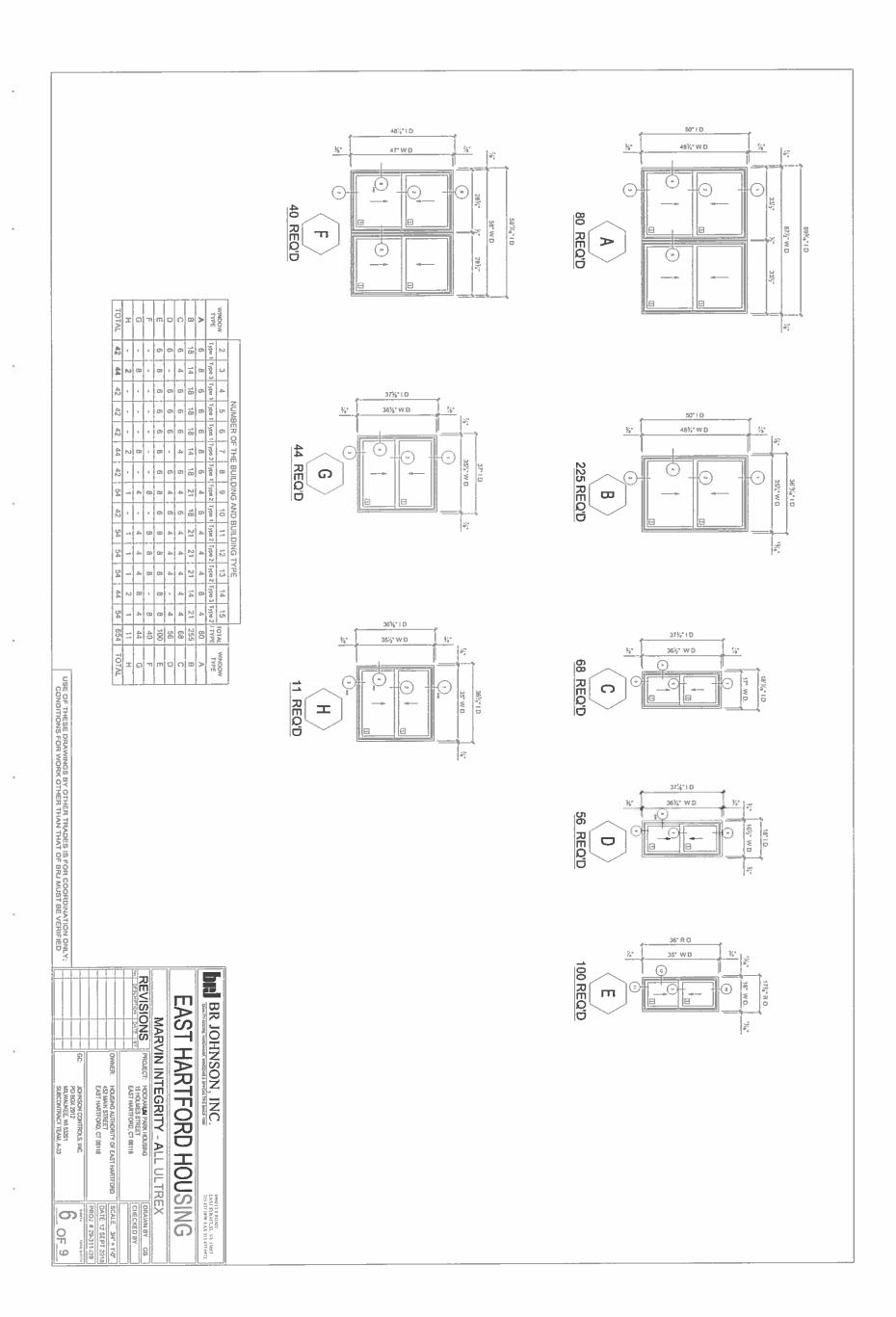
OWNER: HOUSING AUTHORITY OF EAST HARTFORD
EAST HARTFORD, CT 08119

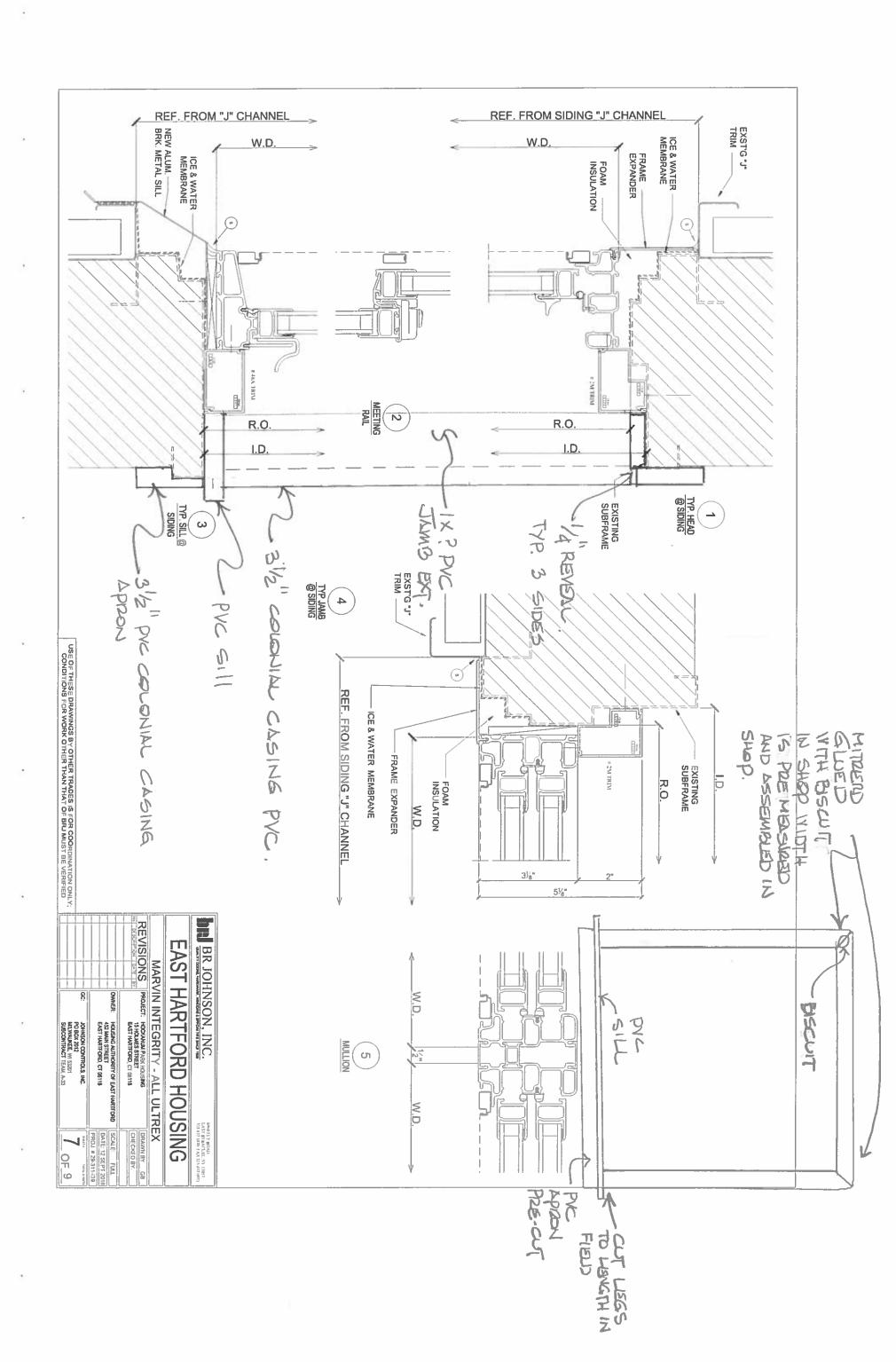
PROJ. # 25-311-339 BR JOHNSON, INC. 6 • EAST HARTFORD HOUSING 8 (m) JOHNSON CONTROLS, INC PO BOX 2012 IMILWAURCE, WI 53201 SUBCONTRACT TEAM, A-33 (0) • • • WAD 11 Y ROAD
LAST SYNACTUSE, NY 11057
115-117 PRINCH PAR 115-4 V 1057 SCALE AS NOTED

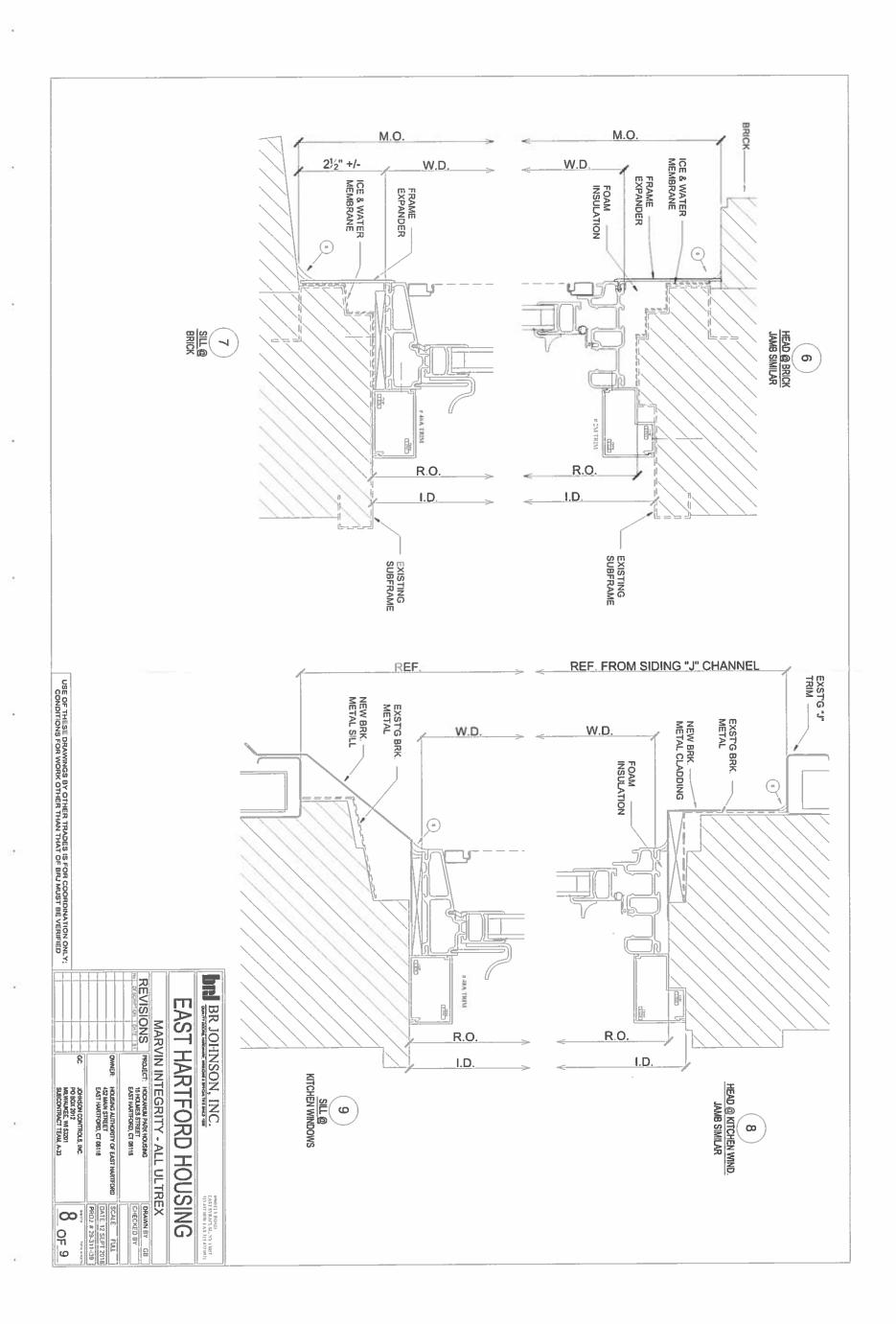
DATE 12 SEPT 2018

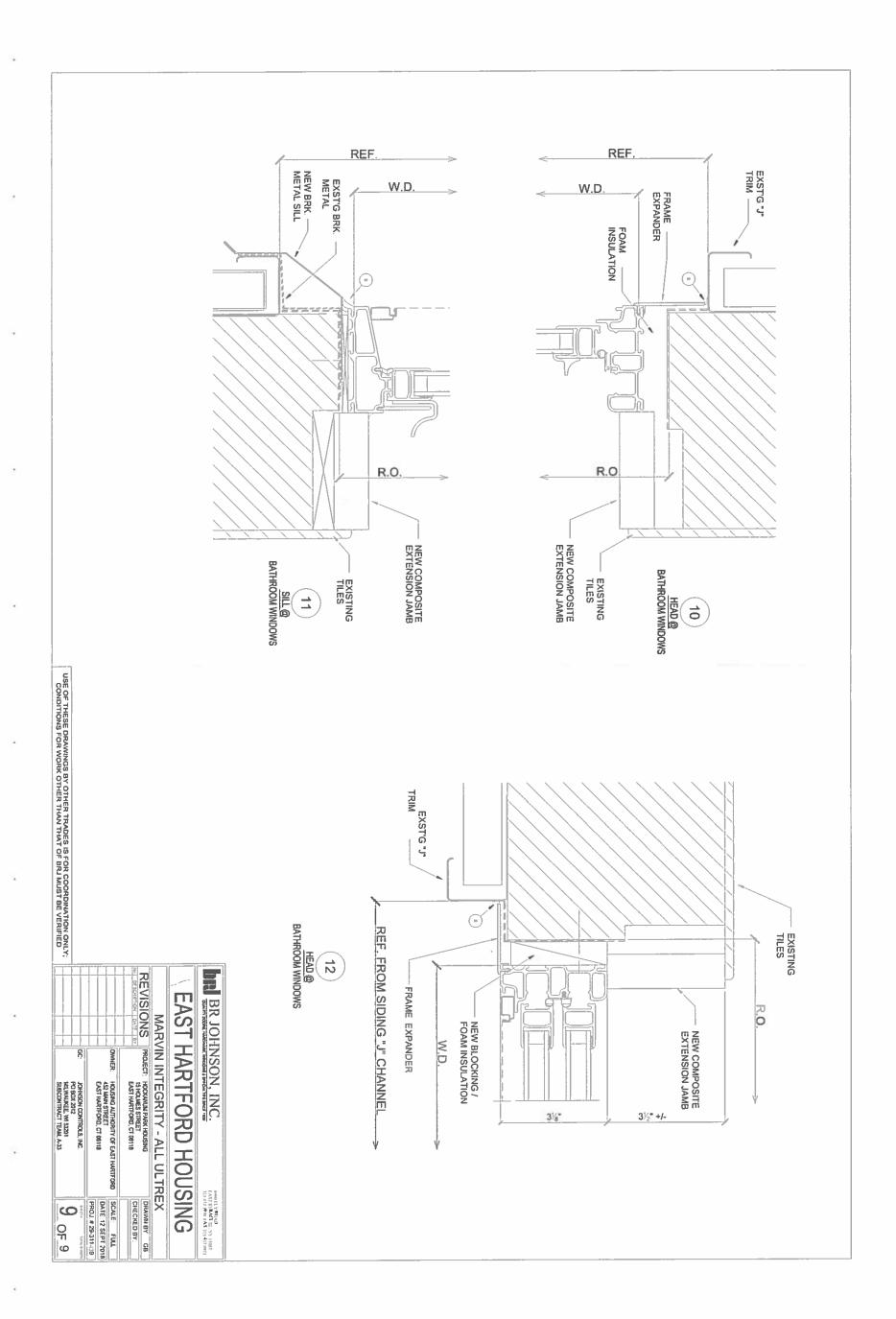
PROJ # 29-311-139

5 OF 9









"General Decision Number: CT20190008 02/15/2019

Superseded General Decision Number: CT20180008

State: Connecticut

Construction Type: Residential

County: Hartford County in Connecticut.

RESIDENTIAL CONSTRUCTION PROJECTS (consisting of single family homes and apartments up to and including 4 stories).

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.60 for calendar year 2019 applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.60 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2019. If this contract is covered by the EO and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must pay workers in that classification at least the wage rate determined through the conformance process set forth in 29 CFR 5.5(a)(1)(ii) (or the EO minimum wage rate, if it is higher than the conformed wage rate). The EO minimum wage rate will be adjusted annually. Please note that this EO applies to the above-mentioned types of contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but it does not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60). Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Modification	Number	Publication	Date
0		01/04/2019	
1		01/18/2019	
2		02/15/2019	

ELEV0091-001 01/01/2019

		Rates	Fringes
ELEVATOR	MECHANIC\$	53.37	33.705+a+b

FOOTNOTE:

- a. Vacation: 6%/under 5 years based on regular hourly rate for all hours worked. 8%/over 5 years based on regular hourly rate for all hours worked.
- b. PAID HOLIDAYS: New Year's Day; Memorial Day; Independence Day; Labor Day; Veterans' Day; Thanksgiving Day; the Friday after Thanksgiving Day; and Christmas Day.

ENGI0478-006 04/05/2015

	Rates	Fringes
POWER EQUIPMENT OPERATOR:		
Backhoe/Excavator 2 cubic		
yards and over	.\$ 37.23	23.05
Backhoe/Excavator under 2		
cubic yards; Rubber Tire		
Backhoe/Excavator	\$ 36.49	23.05
Bulldozer (Rough Grade		
Dozer)	\$ 35.20	23.05
Bulldozer Fine Grade	\$ 36.49	23.05
Combination Hoe and Loader.	\$ 35.51	23.05
Loader (3 cubic yards up		

to 7 cubic yards) Loader (7 cubic yards or	\$ 35.20	23.05
over)	\$ 37.55	23.05
Loader (under 3 cubic		
yards)	\$ 34.03	23.05
a. PAID HOLIDAYS: New Year's Independence Day, Labor Day, Day, provided the employee which the holiday falls, if the working day before and tholiday.	Thanksgiving orks 3 days duscheduled, and	Day and Christmas ring the week in if scheduled,
* ROOF0009-005 01/01/2019		
	Rates	Fringes
ROOFER		
Composition	\$ 37.00	20.57
Slate and Tile	\$ 37.50	20.57
SFCT0676-002 04/01/2017		
	Rates	Fringes
SPRINKLER FITTER (Fire		
Sprinklers)	\$ 43.92	15.84
a. PAID HOLIDAYS: Memorial D Thanksgiving Day and Christm has been in the employment o prior to any such paid holid	as Day, provide f a contractor	ed the employee
SHEE0040-003 07/01/2018		

	Rates	Fringes
SHEET METAL WORKER	\$ 37.50	36.79
SUCT2002-003 12/16/2008		
	Rates	Fringes
CARPENTER, Including Drywall		
Hanging	\$ 15.50	0.00
CEMENT MASON/CONCRETE FINISHER	R\$ 21.22	0.00
DRYWALL FINISHER/TAPER	\$ 16.25	2.70
ELECTRICIAN	\$ 19.99	2.00
LABORERS		
Common or General		1.63
Landscape	\$ 14.96	4.63
PAINTER: Brush and Roller, Excludes Drywall		
Finishing/Taping	\$ 15.33	1.56
PLUMBER/PIPEFITTER (Including		
HVAC Pipe Installation)	\$ 16.67	2.63
WELDERS - Receive rate prescri	bed for craft	performing
operation to which welding is	incidental.	

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any

solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or

""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.)

and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION"

US Department of Housing and Urban Development

Office of Housing/Federal Housing Commissioner

US Department of Agriculture

Farmers Home Administration

Part I to be completed by Principals of M	Iultifamily Projects (See instructions)	For HUD	HQ/FmHA use only			
Reason for submission:						
1. Agency name and City where the application is filed	d	2. Project N	ame, Project Number, City a	and Zip Code		
3. Loan or Contract amount \$	4. Number of Units or Beds	5. Section o	f Act	6. Type of ☐	Project (check one)	tation Proposed (New)
List all proposed Principals and attach or	roanization chart for all organizations			Dansting		tation Troposed (New)
Name and address of Principals and Affiliates (Name:			8 Role of Each Principal	l in Project	9. SSN or IRS Employer Number	
	oly to HUD or USDA FmHA, as the case maybe, for appro					
statements. Conviction may result in criminal and/or civ 1. Schedule A contains a listing, for the last ten years, or now participating. 2. For the period beginning 10 years prior to the date of a. No mortgage on a project listed has ever been in defat b. The principals have no defaults or noncompliance une c. There are no known unresolved findings as a result of d. There has not been a suspension or termination of pay e. The principals have not been convicted of a felony an year, but does not include any offense classified as a f. The principals have not been suspended, debarred or g. The principals have not defaulted on an obligation co 3. All the names of the principals who propose to partic 4. None of the principals is a HUD/FmHA employee or (57 FR 35006) and HUD's Standard of Conduct in 24 5. None of the principals is a participant in an assisted o documents for closing, including final cost certificati 6.None of the principals have been found by HUD or Fr noncompliance with any requirements, attach a signe 7. None of the principals is a Member of Congress or a	a member of a HUD/FmHA employee's immediate housel 4 C.F.R. Part 0 and USDA's Standard of Conduct in 7 C.F. or insured project as of this date on which construction has son, have not been filed with HUD or FmHA. mHA to be in noncompliance with any applicable fair housed statement explaining the relevant facts, circumstances, a Resident Commissioner nor otherwise prohibited or limite nnot certify have been deleted by striking through the word	t of their knot and/or State and/or State e in connect l investigatie cipal's fault at charging a imprisonme federal Gove the subject of the	swledge and belief: e and local government house gage relief from the mortgag ion with a public housing pr ors concerning the principal or negligence; felony. (A felony is defined nt of two years or less); rnment or of a State Govern of a claim under an employe ed in Standards of Ethical Copart B. a period in excess of 20 day I rights requirements in 24 Ca, if any). m contracting with the Gove	gee; oject; s or their proj l as any offendament from do e fidelity bond Conduct for Eas or which ha CFR 5.105(a). ernment of the (s) have initia	gencies in which the principle gencies in which the principle gencies; see punishable by imprisonmoning business with such Depd; mployees of the Executive I is been substantially comple (If any principals or affiliate United States of America.	pal(s) have participated or are ment for a term exceeding one partment or Agency; Branch in 5 C.F.R. Part 2635 ted for more than 90 days and tes have been found to be in
Time of Timepar		Signature .	or or a rancepus	Certific		THE COULT WITH TENT TO
This form prepared by (print name)			Area	Code and Te	d. No.	

Principals Name (Last, First)		projects (Project name, vt. agency involved)	3.List Principals' Role(s) (indicate dates participated, and if fee or identity of interest participant)	4. Status of loan (current, defaulted, assigned, foreclosed)	in default participati	Project ever during your on yes, explain	6. Last MOR rating and Physical Insp. Score and date
	rocessing Only racy and completeness; recommend ap	proval or refer to Headquar	ters after checking appropriate box.				
ate (mm/dd/yyyy)	Tel No. and area code Processing and Control		A. No adverse information; form HUD-2530 approval C. Disclosure or Certification pro recommended.				ification problem
			B. Name match in system		D. Ot	her (attach men	norandum)

Instructions for Completing the Previous Participation Certificate, form HUD-2530

Carefully read these instructions and the applicable regulations. A copy of those regulations published at 24 C.F.R. 200.210 to 200.245 can be obtained from the Multifamily Housing Representative at any HUD Office. Type or print neatly in ink when filling out this form. Mark answers in all blocks of the form. If the form is not filled completely, it will delay approval of your application.

Attach extra sheets as you need them. Be sure to indicate "Continued on Attachments" wherever appropriate. Sign each additional page that you attach if it refers to you or your record.

Any questions regarding the form or how to complete it can be answered by your HUD Office Multifamily Housing Representative.

Purpose: This form provides HUD with a certified report of all previous participation in HUD multifamily housing projects by those parties making application. The information requested in this form is used by HUD to determine if you meet the standards established to ensure that all principal participants in HUD projects will honor their legal, financial and contractual obligations and are acceptable risks from the underwriting standpoint of an insurer, lender or governmental agency. HUD requires that you certify your record of previous participation in HUD/USDA-FmHA, State and Local Housing Finance Agency projects by completing and signing this form, before your project application or participation can be approved.

HUD approval of your certification is a necessary precondition for your participation in the project and in the capacity that you propose. If you do not file this certification, do not furnish the information requested accurately, or do not meet established standards, HUD will not approve your certification.

Note that approval of your certification does not obligate HUD to approve your project application, and it does not satisfy all other HUD program requirements relative to your qualifications.

Who Must Sign and File Form HUD-2530:

Form HUD-2530 must be completed and signed by all principals applying to participate in HUD multifamily housing projects, including those who have no previous participation. The form must be signed and filed by all principals and their affiliates who propose participating in the HUD project. Use a separate form for each role in the project unless there is an identity of interest.

Principals include all individuals, joint ventures,

corporations, partnerships. trusts. non-profit organizations, any other public or private entity that will participate in the proposed project as a sponsor, owner, prime contractor, turnkey developer, managing agent, nursing home administrator or operator, packager, or consultant. Architects and attorneys who have any interest in the project other than an arm's length fee arrangement for professional services are also considered principals by HUD.

In the case of partnerships, all general partners regardless of their percentage interest and limited partners having a 25 percent or more interest in the partnership are considered principals. In the case of public or private corporations or governmental entities, Carefully read the certification before you sign it. principals include the president, vice president, secretary, treasurer and all other executive officers who are directly responsible to the board of directors, or any equivalent governing body, as well as all directors and each stockholder having a 10 percent or more interest in the corporation.

> Affiliates are defined as any person or business concern that directly or indirectly controls the policy of a principal or has the power to do so. A holding or parent corporation would be an example of an affiliate f one of its subsidiaries is a principal.

> Exception for Corporations - All principals and affiliates must personally sign the certificate except in the following situation. When a corporation is a principal, all of its officers, directors, trustees and stockholders with 10 percent or more of the common (voting) stock need not sign personally if they all have the same record to report. The officer who is authorized to sign for the corporation or agency will list the names and title of those who elect not to sign. However, any person who has a record of participation in HUD projects that is separate from that of his or her organization must report that activity on this form and sign his or her name. The objective is full disclosure.

> **Exemptions** – The names of the following parties do not need to be listed on form HUD-2530: Public Housing Agencies, tenants, owners of less than five condominium or cooperative units and all others whose interests were acquired by inheritance or court order.

Where and When Form HUD-2530 Must Be

Filed: The original of this form must be submitted to the HUD Office where your project application will be processed at the same time you file your initial project application. This form must be filed with applications for projects, or when otherwise required in the situations listed below:

- Projects to be financed with mortgages insured under the National Housing Act (FHA).
- Projects to be financed according to Section 202 of the Housing Act of 1959 (Elderly and

Handicapped).

- Projects in which 20 percent or more of the units are to receive a subsidy as described in 24 C.F.R. 200.213.
- Purchase of a project subject to a mortgage insured or held by the Secretary of HUD.
- Purchase of a Secretary-owned project.
- · Proposed substitution or addition of a principal or principal participation in a different capacity from that previously approved for the same project.
- Proposed acquisition by an existing limited partner of an additional interest in a project resulting in a total interest of 25 percent or more or proposed acquisition by a corporate stockholder of an additional interest in **Block 7:** Definitions of all those who are considered a project resulting in a total interest of 10 percent or
- Projects with U.S.D.A., Farmers Home Administration, or with state or local government housing finance agencies that include rental assistance under Section 8 of the Housing Act of 1937. For projects of this type, form HUD-2530 should be filed with the appropriate applications directly to those agencies.

Review of Adverse Determination: If approval of your participation in a HUD project is denied, withheld, or conditionally granted on the basis of your record of previous participation, you will be notified by Instructions for Completing Schedule A: the HUD Office. You may request reconsideration by Be sure that Schedule A is filled-in completely, the HUD Review Committee. Alternatively, you may request a hearing before a Hearing Officer. Either request must be made in writing within 30 days from your receipt of the notice of determination.

If you do request reconsideration by the Review Committee and the reconsideration results in an adverse determination, you may then request a hearing before a Hearing Officer. The Hearing Officer will issue a report to the Review Committee. You will be have previous participation, but the principals notified of the final ruling by certified mail.

Specific Line Instructions:

Reason for submitting this Certification: e.g., refinance, change in ownership, change in management agent, transfer of physical assets, etc.

Block 1: Fill in the name of the agency to which you are applying. For example: HUD Office, Farmers Home Administration District office, or the name of a State or local housing finance agency. Below that, fill in the name of the city where the office is located.

Block 2: Fill in the name of the project, such as "Greenwood Apts." If the name has not yet been selected, write "Name unknown." Below that, enter the HUD contract or project identification number, the Farmers Home Administration project number, or the State or local housing finance agency project or contract number. Include all project or contract

identification numbers that are relevant to the project. Also enter the name of the city in which the project is located, and the ZIP Code.

Block 3: Fill in the dollar amount requested in the proposed mortgage, or the annual amount of rental assistance requested.

Block 4: Fill in the number of apartment units proposed, such as "40 units." For hospital projects or nursing homes, fill in the number of beds proposed. such as "100 beds."

Block 5: Fill in the section of the Housing Act under which the application is filed.

principals and affiliates are given above in the section titled "Who Must Sign and File...."

Block 8: Beside the name of each principal, fill in the appropriate role. The following are examples of possible roles that the principals may assume: Owner/Mortgagor, Managing Agent, Sponsor, Developer, General Con-tractor, Packager, Consultant, Nursing Home Administrator etc.

Block 9: Fill in the Social Security Number or IRS employer number of every principal listed, including affiliates.

accurately and the certification is properly dated and signed, because it will serve as a legal record of your previous experience. All Multifamily Housing projects involving HUD/FmHA, and State and local Housing Finance Agencies in which you have previously participated **must be** listed. Applicants are reminded that previous participation pertains to the individual principal within an entity as well as the entity itself. A newly formed company may not within the company may have had extensive participation and disclosure of that activity is required.

Column 2. All previous projects must be listed or your certification cannot be processed. Include the name of all projects, project number, city where it is located and the governmental agency (HUD, USDA-FmHA or state or local housing finance agency) that was involved.

Column 3. List the role(s) as a principal, dates participated and if fee or identity of interest (IOI) with owners.

Column 4. Indicate the current status of the loan. Except for current loan, the date associated with the status is required. Loans under a workout arrangement are considered assigned. fill in the names of all principals and affiliates as listed in block For all noncurrent loans, an explanation of the status is 7. Each principal should sign the certification with the If you cannot certify and sign the certification as it is printed there is a criminal record or other evidence that your previous required.

participation.

rating and Physical Inspection score.

form HUD-2530, including schedule A, read the Certification a telephone number. By providing a telephone number, HUD a felony within the past 10 years, strike out 2e. and attach carefully. In the box below the statement of the certification, can reach you in the event of any questions. of the instructions titled "Who Must Sign and File Form vour record, and then sign and certify." Column 6. Provide the latest Management Review (MOR) HUD-2530). Principal who is signing on behalf of the entity Attach a signed statement of explanation of the items you

exception in some cases of individuals associated with a because some statements do not correctly describe your conduct or method of doing business has been such that your Column 5. Explain any project defaults during your corporation (see "Exception for Corporations" in the section record, use a pen to strike through those parts that differ with

should attach signature authority document. Each principal have struck out on the certification. Item 2e. relates to felony Certification: After you have completed all other parts of who signs the form should fill in the date of the signature and convictions within the part 10 years. If you are convicted of

statement of explanation. A felony conviction will not necessarily cause your participation to be disapproved unless participation in the project would make it an unacceptable risk from the underwriting stand point of an insurer, lender or governmental agency.

The Department of Housing and Urban Development (HUD) is authorized to collect this information by law (42 U.S.C. 3535(d) and 24 C.F.R. 200.217) and by regulation at 24 CFR 200.210. This information is needed so that principals applying to participate in multifamily programs can become HUD-approved participants. The information you provide will enable HUD to evaluate your record with respect to established standards of performance, responsibility and eligibility. Without prior approval, a principal may not participate in a proposed or existing multifamily project. HUD uses this information to evaluate whether or not principals pose an unsatisfactory underwriting risk. The information is used to evaluate the potential principals and approve only individuals and organizations that will honor their legal, financial and contractual obligations.

Privacy Act Statement: The Housing and Community Development Act of 1987, 42 U.S.C. 3543 requires persons applying for a Federally-insured or guaranteed loan to furnish his/her Social Security Number (SSN). HUD must have your SSN for identification of your records. HUD may use your SSN for automated processing of your records and to make requests for information about you and your previous records with other public agencies and private sector sources. HUD may disclose certain information to Federal, State and local agencies when relevant to civil, criminal, or regulatory investigations and prosecutions. It will not be otherwise disclosed or released outside of HUD, except as required and permitted by law. You must provide all of the information requested in this application, including your SSN.

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

A response is mandatory. Failure to provide any of the information will result in your disapproval of participation in this HUD program.

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Representations, Certifications, and Other Statements of Bidders Public and Indian Housing Programs

Previous edition is obsolete form **HUD-5369-A** (11/92)

Representations, Certifications, and Other Statements of Bidders

Public and Indian Housing Programs

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1. Certificate of Independent Price Determination

- (a) The bidder certifies that--
- (1) The prices in this bid have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other bidder or competitor relating to (i) those prices, (ii) the intention to submit a bid, or (iii) the methods or factors used to calculate the prices offered;
- (2) The prices in this bid have not been and will not be knowingly disclosed by the bidder, directly or indirectly, to any other bidder or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a competitive proposal solicitation) unless otherwise required by law; and
- (3) No attempt has been made or will be made by the bidder to induce any other concern to submit or not to submit a bid for the purpose of restricting competition.
- (b) Each signature on the bid is considered to be a certification by the signatory that the signatory--
- (1) Is the person in the bidder's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(l) through (a)(3) above; or
- (2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(I) through (a)(3) above.

full name of person(s) in the bidder's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the bidder's organization];

(ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

- (iii) As an agent, has not personally participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.
- (c) If the bidder deletes or modifies subparagraph (a)2 above, the bidder must furnish with its bid a signed statement setting forth in detail the circumstances of the disclosure.
- [] [Contracting Officer check if following paragraph is applicable]
- (d) Non-collusive affidavit. (applicable to contracts for construction and equipment exceeding \$50,000)
- (1) Each bidder shall execute, in the form provided by the PHA/ IHA, an affidavit to the effect that he/she has not colluded with any other person, firm or corporation in regard to any bid submitted in response to this solicitation. If the successful bidder did not submit the affidavit with his/her bid, he/she must submit it within three (3) working days of bid opening. Failure to submit the affidavit by that date may render the bid nonresponsive. No contract award will be made without a properly executed affidavit.
- (2) A fully executed "Non-collusive Affidavit" $\ [\]$ is, $\ [\]$ is not included with the bid.

2. Contingent Fee Representation and Agreement

(a) Definitions. As used in this provision:

"Bona fide employee" means a person, employed by a bidder and subject to the bidder's supervision and control as to time, place, and manner of performance, who neither exerts, nor proposes to exert improper influence to solicit or obtain contracts nor holds out as being able to obtain any contract(s) through improper influence.

"Improper influence" means any influence that induces or tends to induce a PHA/IHA employee or officer to give consideration or to act regarding a PHA/IHA contract on any basis other than the merits of the matter.

- (b) The bidder represents and certifies as part of its bid that, except for full-time bona fide employees working solely for the bidder, the bidder:
- (1) [] has, [] has not employed or retained any person or company to solicit or obtain this contract; and
- (2) [] has, [] has not paid or agreed to pay to any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract.
- (c) If the answer to either (a)(1) or (a)(2) above is affirmative, the bidder shall make an immediate and full written disclosure to the PHA/IHA Contracting Officer.
- (d) Any misrepresentation by the bidder shall give the PHA/IHA the right to (1) terminate the contract; (2) at its discretion, deduct from contract payments the amount of any commission, percentage, brokerage, or other contingent fee; or (3) take other remedy pursuant to the contract.
- 3. Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions (applicable to contracts exceeding \$100,000)
- (a) The definitions and prohibitions contained in Section 1352 of title 31, United States Code, are hereby incorporated by reference in paragraph (b) of this certification.

- (b) The bidder, by signing its bid, hereby certifies to the best of his or her knowledge and belief as of December 23, 1989 that:
- (1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of a contract resulting from this solicitation;
- (2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the bidder shall complete and submit, with its bid, OMB standard form LLL, "Disclosure of Lobbying Activities;" and
- (3) He or she will include the language of this certification in all subcontracts at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.
- (c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.
- (d) Indian tribes (except those chartered by States) and Indian organizations as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) are exempt from the requirements of this provision.

4. Organizational Conflicts of Interest Certification

The bidder certifies that to the best of its knowledge and belief and except as otherwise disclosed, he or she does not have any organizational conflict of interest which is defined as a situation in which the nature of work to be performed under this proposed contract and the bidder's organizational, financial, contractual, or other interests may, without some restriction on future activities:

- (a) Result in an unfair competitive advantage to the bidder; or,
- (b) Impair the bidder's objectivity in performing the contract work.
- [] In the absence of any actual or apparent conflict, I hereby certify that to the best of my knowledge and belief, no actual or apparent conflict of interest exists with regard to my possible performance of this procurement.

5. Bidder's Certification of Eligibility

- (a) By the submission of this bid, the bidder certifies that to the best of its knowledge and belief, neither it, nor any person or firm which has an interest in the bidder's firm, nor any of the bidder's subcontractors, is ineligible to:
- (1) Be awarded contracts by any agency of the United States Government, HUD, or the State in which this contract is to be performed; or,
 - (2) Participate in HUD programs pursuant to 24 CFR Part 24.
- (b) The certification in paragraph (a) above is a material representation of fact upon which reliance was placed when making award. If it is later determined that the bidder knowingly rendered an erroneous certification, the contract may be terminated for default, and the bidder may be debarred or suspended from participation in HUD programs and other Federal contract programs.

6. Minimum Bid Acceptance Period

- (a) "Acceptance period," as used in this provision, means the number of calendar days available to the PHA/IHA for awarding a contract from the date specified in this solicitation for receipt of bids.
- (b) This provision supersedes any language pertaining to the acceptance period that may appear elsewhere in this solicitation.
- (c) The PHA/IHA requires a minimum acceptance period of [Contracting Officer insert time period] calendar days.
- (d) In the space provided immediately below, bidders may specify a longer acceptance period than the PHA's/IHA's minimum requirement. The bidder allows the following acceptance period: calendar days.
- (e) A bid allowing less than the PHA's/IHA's minimum acceptance period will be rejected.
- (f) The bidder agrees to execute all that it has undertaken to do, in compliance with its bid, if that bid is accepted in writing within (1) the acceptance period stated in paragraph (c) above or (2) any longer acceptance period stated in paragraph (d) above.

7. Small, Minority, Women-Owned Business Concern Representation

The bidder represents and certifies as part of its bid/ offer that it -(a) [] is, [] is not a small business concern. "Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding, and qualified as a small business under the criteria and size standards in 13 CFR 121.

(b) []is, []is not a women-owned business enterprise. "Women-owned business enterprise," as used in this provision, means a business that is at least 51 percent owned by a woman or women who are U.S. citizens and who also control and operate the business.

(c) [] is, [] is not a minority business enterprise. "Minority business enterprise," as used in this provision, means a business which is at least 51 percent owned or controlled by one or more minority group members or, in the case of a publicly owned business, at least 51 percent of its voting stock is owned by one or more minority group members, and whose management and daily operations are controlled by one or more such individuals. For the purpose of this definition, minority group members are:

(Check the block applicable to you)

[] Black Americans	[] Asian Pacific Americans
[] Hispanic Americans	[] Asian Indian Americans
[] Native Americans	[] Hasidic Jewish Americans

8. Indian-Owned Economic Enterprise and Indian Organization Representation (applicable only if this solicitation is for a contract to be performed on a project for an Indian Housing Authority)

The bidder represents and certifies that it:

- (a) [] is, [] is not an Indian-owned economic enterprise. "Economic enterprise," as used in this provision, means any commercial, industrial, or business activity established or organized for the purpose of profit, which is at least 51 percent Indian owned. "Indian," as used in this provision, means any person who is a member of any tribe, band, group, pueblo, or community which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs and any "Native" as defined in the Alaska Native Claims Settlement Act.
- (b) [] is, [] is not an Indian organization. "Indian organization," as used in this provision, means the governing body of any Indian tribe or entity established or recognized by such governing body. Indian "tribe" means any Indian tribe, band, group, pueblo, or

community including Native villages and Native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs.

9. Certification of Eligibility Under the Davis-Bacon Act (applicable to construction contracts exceeding \$2,000)

- (a) By the submission of this bid, the bidder certifies that neither it nor any person or firm who has an interest in the bidder's firm is a person or firm ineligible to be awarded contracts by the United States Government by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (b) No part of the contract resulting from this solicitation shall be subcontracted to any person or firm ineligible to be awarded contracts by the United States Government by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (c) The penalty for making false statements is prescribed in the U. S. Criminal Code, 18 U.S.C. 1001.

Certification of Nonsegregated Facilities (applicable to contracts exceeding \$10,000)

- (a) The bidder's attention is called to the clause entitled **Equal Employment Opportunity** of the General Conditions of the Contract for Construction.
- (b) "Segregated facilities," as used in this provision, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin because of habit, local custom, or otherwise.
- (c) By the submission of this bid, the bidder certifies that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The bidder agrees that a breach of this certification is a violation of the Equal Employment Opportunity clause in the contract.
- (d) The bidder further agrees that (except where it has obtained identical certifications from proposed subcontractors for specific time periods) prior to entering into subcontracts which exceed \$10,000 and are not exempt from the requirements of the Equal Employment Opportunity clause, it will:
- (1) Obtain identical certifications from the proposed subcontractors;
 - (2) Retain the certifications in its files; and
- (3) Forward the following notice to the proposed subcontractors (except if the proposed subcontractors have submitted identical certifications for specific time periods):

Notice to Prospective Subcontractors of Requirement for Certifications of Nonsegregated Facilities

A Certification of Nonsegregated Facilities must be submitted before the award of a subcontract exceeding \$10,000 which is not exempt from the provisions of the Equal Employment Opportunity clause of the prime contract. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e., quarterly, semiannually, or annually).

Note: The penalty for making false statements in bids is prescribed in 18 U.S.C. 1001.

Clean Air and Water Certification (applicable to contracts exceeding \$100,000)

The bidder certifies that:

- (a) Any facility to be used in the performance of this contract [] is, [] is not listed on the Environmental Protection Agency List of Violating Facilities:
- (b) The bidder will immediately notify the PHA/IHA Contracting Officer, before award, of the receipt of any communication from the Administrator, or a designee, of the Environmental Protection Agency, indicating that any facility that the bidder proposes to use for the performance of the contract is under consideration to be listed on the EPA List of Violating Facilities; and,
- (c) The bidder will include a certification substantially the same as this certification, including this paragraph (c), in every nonexempt subcontract.

12. Previous Participation Certificate (applicable to construction and equipment contracts exceeding \$50,000)

- (a) The bidder shall complete and submit with his/her bid the Form HUD-2530, "Previous Participation Certificate." If the successful bidder does not submit the certificate with his/her bid, he/she must submit it within three (3) working days of bid opening. Failure to submit the certificate by that date may render the bid nonresponsive. No contract award will be made without a properly executed certificate.
- (b) A fully executed "Previous Participation Certificate"[] is, [] is not included with the bid.

13. Bidder's Signature

The bidder hereby certifies that the information contained in these certifications and representations is accurate, complete, and current.

(Signature and Date)			
(Typed or Printed Name)			
(Title)	 		
(Company Name)			
(Company Address)			