

TABLE OF CONTENTS OF SPECIAL PROVISIONS

Note: This Table of Contents has been prepared for the convenience of those using this contract with the sole express purpose of locating quickly the information contained herein; and no claims shall arise due to omissions, additions, deletions, etc., as this Table of Contents shall not be considered part of the contract.

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FEBRUARY 13, 2019
FEDERAL AID PROJECT NO. 0001(356)
STATE PROJECT NO. 0044-0157

REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK

Town of East Lyme
Federal Aid Project No. 0001(356)

The State of Connecticut, Department of Transportation, Standard Specifications for Roads, Bridges, Facilities and Incidental Construction, Form 817, 2016, as revised by the Supplemental Specifications dated July 2018 (otherwise referred to collectively as "ConnDOT Form 817") is hereby made part of this contract, as modified by the Special Provisions contained herein. Form 817 is available at the following DOT website link <http://www.ct.gov/dot/cwp/view.asp?a=3609&q=430362>. The current edition of the State of Connecticut Department of Transportation's "Construction Contract Bidding and Award Manual" ("Manual"), is hereby made part of this contract. If the provisions of this Manual conflict with provisions of other Department documents (not including statutes or regulations), the provisions of the Manual will govern. The Manual is available at the following DOT website link <http://www.ct.gov/dot/cwp/view.asp?a=2288&q=259258>. The Special Provisions relate in particular to the Replacement of Bridge No. 06676 US Route 1 Over Unnamed Brook in the Town of East Lyme.

CONTRACT TIME AND LIQUIDATED DAMAGES

One Hundred Thirty Nine (139) calendar days will be allowed for completion of the work on this Contract and the liquidated damages charge to apply will be One Thousand Five Hundred Dollars (\$1,500.00) per calendar day.

NOTICE TO CONTRACTOR – FEDERAL WAGE DETERMINATIONS (Davis Bacon Act)

The following Federal Wage Determinations are applicable to this Federal- Aid contract and are hereby incorporated by reference. During the bid advertisement period, it is the bidder’s responsibility to obtain the latest Federal wage rates from the US Department of Labor website, as may be revised 10 days prior to bid opening. Any revisions posted 10 days prior to the bid opening shall be the wage determinations assigned to this contract.

Check Applicable WD# (DOT Use Only)	WD#	Construction Type	Counties
	CT1	Highway	Fairfield, Litchfield, Middlesex, New Haven, Tolland, Windham
X	CT2	Highway	New London
	CT3	Highway	Hartford
	CT5	Heavy Dredging (Hopper Dredging)	Fairfield, Middlesex, New Haven, New London
	CT6	Heavy Dredging	Statewide
	CT13	Heavy	Fairfield
	CT14	Heavy	Hartford
	CT15	Heavy	Middlesex, Tolland
	CT16	Heavy	New Haven
	CT17	Heavy	New London
	CT26	Heavy	Litchfield, Windham
	CT18	Building	Litchfield
	CT19	Building	Windham
	CT20	Building	Fairfield
	CT21	Building	Hartford
	CT22	Building	Middlesex
	CT23	Building	New Haven
	CT24	Building	New London
	CT25	Building	Tolland
	CT4	Residential	Litchfield, Windham
	CT7	Residential	Fairfield
	CT8	Residential	Hartford
	CT9	Residential	Middlesex
	CT10	Residential	New Haven
	CT11	Residential	New London
	CT12	Residential	Tolland

The Federal wage rates (Davis-Bacon Act) applicable to this Contract shall be the Federal wage rates that are current on the US Department of Labor website (<http://www.wdol.gov/dba.aspx>) as may be revised 10 days prior to bid opening. The Department will no longer physically include revised Federal wage rates in the bid documents or as part of addenda documents. These applicable Federal wage rates will be incorporated in the final contract document executed by both parties.

If a conflict exists between the Federal and State wage rates, the higher rate shall govern.

To obtain the latest Federal wage rates, go to the US Department of Labor website (link above). Under Davis-Bacon Act, choose “Selecting DBA WDs” and follow the instruction to search the latest wage rates for the State, County and Construction Type.

NOTICE TO CONTRACTOR - ALL-INCLUSIVE DRAINAGE

ADDED SECTIONS:

2.86 – DRAINAGE TRENCH EXCAVATION

ROCK IN DRAINAGE TRENCH EXCAVATION

5.86 – CATCH BASINS, MANHOLES AND DROP INLETS

6.86 – DRAINAGE PIPES

DRAINAGE PIPE ENDS

This Contract contains the above-noted Special Provisions for all-inclusive drainage, developed to replace the following Sections in their entireties:

- Section 5.07 – *Catch Basins, Manholes and Drop Inlets*
- Section 6.51 – *Culverts*
- Section 6.52 – *Culvert Ends*

The Section 5.86 and 6.86 items include excavation and bedding material in the drainage structure, pipe and pipe end unit prices.

Section 2.05 *Trench Excavation* may be included for miscellaneous trenching, where necessary, but will not be used with all-inclusive drainage items.

Other Standard Specifications, Supplemental Specifications or Special Provisions may contain references to Articles or Subarticles from previous versions of Sections 5.07, 6.51 and 6.52 which are no longer valid.

The following Standard Specifications Sections or Supplements contain references to Articles or Subarticles from Section 2.05 which shall remain in effect:

- Section 2.06 – *Ditch Excavation*
- Section 5.06 – *Retaining Walls, Endwalls and Steps*
- Section 7.51 – *Underdrains and Outlets*
- Section 10.01 – *Trenching and Backfilling*

‘Rock in Drainage Trench Excavation’ is now defined in Section 2.86. ‘Rock in Trench Excavation’ will remain in Section 2.05 and may be used with trenching not associated with all-inclusive drainage items.

Any references to Articles beginning with “5.07,” “6.51,” or “6.52” shall refer to the pertinent topic or materials in the new Special Provisions contained herein.

NOTICE TO CONTRACTOR – UTILITY GENERATED SCHEDULE

The attached project specific utility work schedules were provided to the Connecticut Department of Transportation (Department) by the utility companies regarding their identified work on this project.

The utility scheduling information is provided to assist the Contractor in scheduling its activities. However, the Department does not ensure its accuracy and Section 1.05.06 of the Standard Specifications still is in force.

The utility scheduling information shall be incorporated into the Contractor's pre-award schedule in accordance with the Department's Bidding and Award Manual and Section 1.05.08 of the Contract.

After award, the Contractor shall conduct a utility coordination meeting or meetings to obtain contemporaneous scheduling information from the utilities prior to submitting its baseline schedule to the Department in accordance with Section 1.05.08 of the Contract.

The Contractor shall incorporate the contemporaneous utility scheduling information into its baseline schedule submittal. The baseline schedule shall include Contractor predecessor and successor activities to the utility work in such detail as acceptable to the Engineer.

UTILITY WORK SCHEDULE Rev 3/2015			
CTDOT Project Number:	44-157	Town:	EAST LYME
Project Description: BRIDGE 06676 BOSTON POST & HERITAGE RD EAST LYME			
CTDOT Utilities Engineer:		JEFF FONTAINE	
Phone:	860-563-9375	Email:	MLEVESQUE@CJMPC.COM
Utility Company:		ATLANTIC BROADBAND	
Prepared By:	RICK DAIGLE	Date Prepared:	12/21/2018
Phone:	860-629-6728	Email:	RDAIGLE@ATLANTICBB.COM
Scope of Work			
<p>The following is a description of all utility work planned to be completed in conjunction with the CTDOT project. The narrative describes all work to be carried out by the utility or its contractor, including temporary and permanent work required by the project as well as any additional utility infrastructure work the utility intends on performing within the project limits during the construction of the project.</p>			
<p>Atlantic Broadband will have to attach to the temp pole 8083, & pole 1042. We will have to run new strand and cable from the existing pole line, to these two poles. We will also need to go to our existing fiber slack storage, delash, and move the slack into the project area. Once the project is completed, we will once again need to go out to remove our plant from the temp poles, run new strand and cable, and reclaim our fiber slack.</p>			
Special Considerations and Constraints			
<p>The following describes the limiting factors that must be planned for in the scheduling and performance of the utility work. For example, restrictions on cut-overs, outages, limitations on customer service interruptions (e.g. nights, weekends, holidays), seasonal and environmental shutdown periods, long lead material procurements, etc..</p>			

UTILITY WORK SCHEDULE Rev 3/2015			
CTDOT Project Number:		44-157	
Utility Company:		ATLANTIC BROADBAND	
Prepared By:		RICK DAIGLE	Total Working Days: 4
Schedule			
<p>The following schedule identifies each major activity of utility work in sequential order to be performed by the utility or its contractor. The location of each activity of work is identified by the baseline stationing on the CTDOT plans. All activities identify the predecessor activity which must be completed before a utility work activity may progress. The duration provided is the number of working days required to complete the utility work activity based on historical information and production rates.</p>			
Location (Station to Station)	Description of Utility Work Activity	Predecessor Activity	Duration (working days)
1044 -8083-1042	Build new cable and strand and bring fiber slack to work area	Set Poles	2
1044-8083-1042	Build new cable and strand to permanent poles and reclaim fiber slack storage	poles ready for removal and permanent poles set	2

UTILITY WORK SCHEDULE Rev 3/2015			
CTDOT Project Number:	44-157	Town:	EAST LYME
Project Description:	RT 1 OVER UNNAMED BROOK		
CTDOT Utilities Engineer:	THOMAS MANGAN JR		
Phone:	(860)563-9375	Email:	tmangan@cjmpc.com
Utility Company:	CROWN CASTLE FIBER		
Prepared By:	TERENCE J SHEA	Date Prepared:	1/10/2019
Phone:	(203)649-3905	Email:	terence.shea@crowncastle.com
Scope of Work			
<p>The following is a description of all utility work planned to be completed in conjunction with the CTDOT project. The narrative describes all work to be carried out by the utility or its contractor, including temporary and permanent work required by the project as well as any additional utility infrastructure work the utility intends on performing within the project limits during the construction of the project.</p>			
<p>Phase 1-Place strand, move slack, shift 2 cables and remove old strand. Phase 2 - Place strand, shift cables and remove old strand.</p>			
Special Considerations and Constraints			
<p>The following describes the limiting factors that must be planned for in the scheduling and performance of the utility work. For example, restrictions on cut-overs, outages, limitations on customer service interruptions (e.g. nights, weekends, holidays), seasonal and environmental shutdown periods, long lead material procurements, etc..</p>			
<p>PLEASE NOTE THAT ANY TIME FRAME GIVEN AS A START TIME OR DURATION OF WORK CAN BE AFFECTED BY MANY FACTORS INCLUDING, BUT NOT LIMITED TO, MAKE READY WORK, OTHER UTILITIES, PERMIT APPLICATIONS, CHANGES IN SCOPE, INCLEMENT WEATHER, HOLIDAYS AND EMERGENCY SITUATIONS.</p>			

rev. 5/20/2013		UTILITY WORK SCHEDULE	
CTDOT Project Number:	44-157	Town:	East Lyme
Project Description:	Replace Bridge#06676 Rte 1 over Unnamed Brook		
CTDOT Utilities Engineer:	Jeff Fontaine, CJM		
Phone:	860.563-9375	Email:	JFontaine@cjmpc.com
Utility Company:	CL&P dba Eversource Energy		
Prepared By:	Martin Grzymkowski	Date Prepared:	11/27/2018
Phone:	860.447.5782	Email:	artin.grzymkowski@eversource.co
Scope of Work			
<p>The following is a description of all utility work planned to be completed in conjunction with the CTDOT project. The narrative describes all work to be carried out by the utility or its contractor, including temporary and permanent work required by the project as well as any additional utility infrastructure work the utility intends on performing within the project limits during the construction of the project.</p>			
<p>Tree Trimming by Eversource at 100% reimbursement. Stage 1 - Relocate facilities from Sta.10+50 pole 1044 to Sta.14+25 pole 1041 toNorthside of Rte.1 - temp. location prior to bridge construction at 50% reimbursement. Stage 2 – Relocate facilities back along Rte.1 after bridge construction to permanent location at 50% reimbursement.</p>			
Special Considerations and Constraints			
<p>The following describes the limiting factors that must be planned for in the scheduling and performance of the utility work. For example, restrictions on cut-overs, outages, limitations on customer service interruptions (e.g. nights, weekends, holidays), seasonal and environmental shutdown periods, long lead material procurements, etc..</p>			
<p>All permits required have been obtained; Wetland Limits have been flagged by the State or their engineering firm - CJM. Trim / remove trees as needed. Frame poles, install guying and shift conductors and facilities. Inclement weather. Crews working on storm duty and or emergency situations.</p>			

UTILITY WORK SCHEDULE			
CTDOT Project Number:		44-157	
Utility Company:		CL&P dba Eversource Energy	
Prepared By:		Martin Grzymkowski	Total Calendar Days: 23
Schedule			
<p>The following schedule identifies each major activity of utility work in sequential order to be performed by the utility or its contractor. The location of each activity of work is identified by the baseline stationing on the CTDOT plans. All activities identify the predecessor activity which must be completed before a utility work activity may progress. The duration provided is the number of calendar days required to complete the utility work activity based on historical information and production rates.</p>			
Location (Station to Station)	Description of Utility Work Activity	Predecessor Activity	Duration (calendar days)
Stage 1, 10+50-14+25		All permits received and wetlands flagged. Construction easement areas acquired.	
Project	Tree trimming as needed between Sta.10+40 to Sta.12+60	Permit to proceed issued by State	5
Project	Finalize detailed design of Stage 1 - temp.pole & anchor locations staked.	Tree trimming completed. CBUD markings complete.	1
Project	Frame poles for temporary overhead relocation of primary wires.	Frontier set temporary poles/anchors. Adequate tree clearance provided.	7
Stage 2, 10+50-14+25	Finalize detailed design of Stage 2 - pole & anchor locations.	Contractor completed bridge work.	1
Project	Frontier pole 8083 set, frame poles for ovhd relocation of primary wires.	CBUD markings complete.	7
Project	Remove temp. poles and anchors.	Other utilities completed their work.	2

Utility Breakout Project prior to Construction of Project.

The following will need to be authorized prior to scheduling work performed by Eversource:

1. Construction Project Authorization Letter and Purchase Order received.
2. Notice to Proceed Construction letter received.
3. State Highway Permit received.

Along with the documents above the following will need to be approved or provided by the District Project Engineer or Engineering firm CJM or Consulting firm WMC:

1. Approval for 100% Reimbursement for Tree Trimming, Removal not including Grubbing & Clearing.
2. Any permits required (DEEP, US Army Corps of Eng. or local inland wetlands) had been obtained.
3. Highway Taking Line staked from Sta. 10+50 to Sta. 14+25.
4. District State Inspectors contact information

rev. 5/20/2013		UTILITY WORK SCHEDULE	
CTDOT Project Number:	044-157	Town:	EAST LYME
Project Description: REPLACEMENT OF BRIDGE NO. 06676 ROUTE 1			
CTDOT Utilities Engineer:	ANDRZEJ MYSLIWIEC		
Phone:	860.594.33397	Email:	Andrzej.Mysliwiec@ct.gov
Utility Company: FRONTIER COMMUNICATIONS			
Prepared By:	JOHN PLIKUS	Date Prepared:	11/14/2018
Phone:	860.450.2793	Email:	john.m.plikus@ftr.com
Scope of Work			
The following is a description of all utility work planned to be completed in conjunction with the CTDOT project. The narrative describes all work to be carried out by the utility or its contractor, including temporary and permanent work required by the project as well as any additional utility infrastructure work the utility intends on performing within the project limits during the construction of the project.			
TEMPORARY RELOCATION			Loc.1
P1044, Sta.10+90',25'N(approx.), Install 1-45ft Class 2 Pole.			
Loc.2 P1042, Sta.11+35',55'N(approx.), Install 1-45ft Class 1 Pole & 1-10M DWN Guys & 1"TT Anc.			
Loc.3 P1041, Sta.12+50',21'N(approx.), Install 1- 10M DWN Guy & 1"TT Anc.			
Loc.4 P1041S, Sta.14+17',25'S(approx.), Install 1-35ft Class 2 Pole & 1-10M DWN Guy.			
Loc.5 P#1044S, Sta.10+95',20'S(approx.), Install 1-35ft Class 2 Pole & 1-10M DWN Guy.			
Loc.7 P1045, Sta.9+30',32'N(approx.), Install 1- 10M DWN Guy & 1"TT Anc.			
Loc.3 P1041, Sta.12+50',21'N to Loc.4 P1041S, Sta.14+17',25'S Place 185FT OF 10M Strand.			
Loc.1 P1044, Sta.10+90',25'N to Loc.5 P#1044S, Sta.10+95',20'S Place 65FT OF 10M Strand.			
Loc.1 P1044, Sta.10+90',25'N(approx.),Remove 1-40ft Class 3 Pole.			
Loc.6 P1044S,Sta10+90',25S(approx.),RMV 1-30ft Class 3 Pole & 1-1"TT Anc., 1-10M DWN Guy.			
Loc.1 P1044 Sta.10+90',25'N to Loc.7 P1045,Sta.9+30,32'N,RMV 165ft of 10M Strand & BKTA-600.			
Loc.8 P1042, Sta.11+57',21'N(approx.)Remove 1-45ft Class 3 Pole.			
PERMANENT RELOCATION			
Loc.8 P1042, Sta.11+57',21'N(approx.),Install 1-45ft Class 2 Pole.			
Loc.6 P1044S,Sta10+90',25S(approx.),Install 1-35ft Class 2 Pole & 1-1"TT Anc., 1-10M DWN Guy.			
Loc.3 P1041 Sta.12+50',21'N to Loc.7 P1045,Sta.9+30,32'N Place 350FT of 10M Strand.			
Loc.1 P1044, Sta.10+90',25'N to Loc.6 P#1044S, Sta.10+90',20'S Place 65FT of 10M Strand.			
Loc.3 P1041, Sta.12+50',21'N to Loc.4 P1041S, Sta.14+17',25'S Remove 185ft of 10M Strand. Loc.4			
P1042S, Sta.14+17',25'S(approx.), Remove 1-35ft Class 2 Pole & 1-10M DWN Guy.			
Special Considerations and Constraints			
The following describes the limiting factors that must be planned for in the scheduling and performance of the utility work. For example, restrictions on cut-overs, outages, limitations on customer service interruptions (e.g. nights, weekends, holidays), seasonal and environmental shutdown periods, long lead material procurements, etc..			
<ol style="list-style-type: none"> 1.Prior to any temporary/permanent relocation work CT. Dept. of Transportation to secure ROW as submitted for temporary guying and pole placement in order to proceed. 2. Frontier Communications will schedule its construction as it's workload permits, the DOT will schedule other utilities attached to the pole line (Power Co., CATV, etc... and all State or Municipal owned cables and fixtures). This UWS has been completed using only Preliminary Design Plans. No mark out of edge of road, or construction limits provided and may be subject to change. 			

PERMANENT RELOCATION Cont.

Loc.5 P#1044S, Sta.10+95',20'S(approx.), Remove 1-35ft Class 2 Pole & 1-10M DWN Guy.

Loc.2 P1042, Sta.11+35',55'N(approx.), RMV 1-45ft Class 1 Pole & 1-10M DWN Guys & 1"TT Anc. Loc.3

P1041, Sta.12+50',21'N(approx.), Remove 1- 10M DWN Guy & 1"TT Anc. Loc.1

P1044, Sta.10+90',25'N to Loc.5 P#1044S, Sta.10+95',20'S Remove 65ft of 10M Strand.

UTILITY WORK SCHEDULE			
CTDOT Project Number:	CTDOT # 044-157		
Utility Company:	FRONTIER COMMUNICATIONS		
Prepared By:	John Plikus	Total Calendar Days:	6.5
Schedule			
<p>The following schedule identifies each major activity of utility work in sequential order to be performed by the utility or its contractor. The location of each activity of work is identified by the baseline stationing on the CTDOT plans. All activities identify the predecessor activity which must be completed before a utility work activity may progress. The duration provided is the number of calendar days required to complete the utility work activity based on historical information and production rates.</p>			
Location (Station to Station)	Description of Utility Work Activity	Predecessor Activity	Duration (calendar days)
	TEMPORARY RELOCATION		
Sta.10+90',25'N	Place one 45ft Class 1 Pole (Prem. Loc.)	Mark Out of R.O.W., Final Edge of Road and all Tree Clearing Completed.	0.5
Sta.11+35',55'N	Place 1-45ft Class 1 Pole & 1-10M DWN Guys & 1" TT Anc.(Temp Loc.)	Mark Out of R.O.W., Final Edge of Road and all Tree Clearing Completed.	0.75
Sta.14+17',25'S	Place one 35ft Class 2 Stub Pole and one 10M Down Guy & 1" TT Anchor.(Temp Loc.)	Mark Out of R.O.W., Final Edge of Road and all Tree Clearing Completed.	0.75
Sta.12+50',21'N	Place one 10M Down Guy & 1" TT Anchor.(Temp Loc.)	Mark Out of R.O.W., Final Edge of Road and all Tree Clearing Completed.	0.25
Sta.12+50' to Sta.14+17'	Place 185FT OF 10M Strand.(Temp.)	Mark Out of R.O.W., Final Edge of Road and all Tree Clearing Completed.	0.25
Sta.10+90 to Sta.9+10	Cut out & RMV 165ft of 10M Strand & BKTA-600.(Temp.)	Other Utilities work complete.	2
4+70,20'S to Sta.2+25',	Transfer 250ft of BKMA-200 from Pole No.1044 to Pole No.1042(Temp.)	Other Utilities work complete.	1
Sta.10+95',20'S	Install 1-35ft Class 2 Pole & 1-10M OH and DWN Guy.(Temp.)	Mark Out of R.O.W., Final Edge of Road and all Tree Clearing Completed.	0.75
Sta.9+10,32'N	Place one 10M Down Guy & 1" TT Anchor.(Temp Loc.)	Mark Out of R.O.W., Final Edge of Road and all Tree Clearing Completed.	0.25

UTILITY WORK SCHEDULE			
CTDOT Project Number: 044-157		Total Calendar Days: 5	
Utility Company: Frontier Communications			
Prepared By: John Pijkus		Schedule	
<p>The following schedule identifies each major activity of utility work in sequential order to be performed by the utility or its contractor. The location of each activity of work is identified by the baseline stationing on the CTDOT plans. All activities identify the predecessor activity which must be completed before a utility work activity may progress. The duration provided is the number of calendar days required to complete the utility work activity based on historical information and production rates.</p>			
Location (Station to Station)	Description of Utility Work Activity	Predecessor Activity	Duration (calendar days)
	PERMANENT RELOCATION		
Sta.11+57',21'N	Place 1-45ft Class 2 Pole.(Perm.)	Completion of Bridge Construction	0.5
Sta.10+90',25S	Place 1-35ft Class 2 Pole & 1-1"TT Anc., 1-10M DWN and OH Guy.	Completion of Bridge Construction	0.5
Sta.12+50' to Sta.9+30'	Place 350FT of 10M Strand.	Other Utilities work completion required.	0.5
Sta.12+50' to Sta.10+90'	Transfer 250ft of BKMA-200 from Pole No.1042(Temp) to Pole No.1044	Other Utilities work completion required.	1
Sta.3+50',20'S	Remove one 40ft Class 3 Pole(#1403) and 10M Down Guy & 1" TT Anchor.	Other Utilities work completion required.	0.5
Sta.14+17',25'S	Remove 1-35ft Class 2 Pole & 1-10M DWN Guy and 185ft of OH Guy.	Other Utilities work completion required.	0.5
Sta.10+95',20'S	Remove 1-35ft Class 2 Pole & 1-10M DWN Guy and 65ft of OH Guy.	Other Utilities work completion required.	0.5
Sta.11+35',55'N	Remove 1-45ft Class 1 Pole & 1-10M DWN Guys & 1"TT Anc.	Other Utilities work completion required.	0.5
Sta.12+50',21'N	Remove one 10M Down Guy & 1" TT Anchor.	Other Utilities work completion required.	0.25
Sta.9+10,32'N	Remove one 10M Down Guy & 1" TT Anchor.	Other Utilities work completion required.	0.25

NOTICE TO CONTRACTOR – ADDITIONAL INSURED

The Contractor is advised that it will be required to add the following affected property owner as additional insured under the Contractor's Commercial General Liability insurance required by Article 1.03.07 and the Special Provisions to the Contract, and any Umbrella Liability Insurance, as applicable:

Mr. Chin H. Chok
5 Heritage Road
East Lyme, Connecticut 06333

NOTICE TO CONTRACTOR – APPROVAL OF PRECAST CONCRETE ELEMENTS

The following prerequisites shall be met prior to the initiation of the Route 1 Detour:

- The Contractor's Precast Assembly Plan shall be approved by the Engineer.
- All precast concrete elements of the structure (including box culvert, precast wingwalls, precast cutoff and return walls) shall be cast, **assembled off-site for dry-fit with associated threaded elements**, and approved.

NOTICE TO CONTRACTOR - ARCHITECTURAL AND INDUSTRIAL MAINTENANCE COATINGS

This Contract includes the application of materials subject to the Volatile Organic Compounds (VOC) content limits stated in the Regulations of Connecticut State Agencies (RCSA) Sections 22a-174-41 and -41a. All architectural and industrial maintenance (AIM) coatings and applications of such coatings must comply with these regulations.

The Contractor shall submit a Material Safety Data Sheet/Safety Data Sheet or Product Technical Data Sheet developed by the manufacturer of each material that may be subject to the Regulations. The submittal must verify both the type of AIM and its VOC Content. VOC content shall be determined based on the formulation data supplied by the materials manufacturer.

The Contractor may only use AIM coatings that contain VOCs below the respective coating category Phase II limits specified in Table 1 if either:

- a) the coating was manufactured on or after May 1, 2018, **or**
- b) the coating is being applied after April 30, 2021.

The Contractor may use AIM coatings that contain VOCs exceeding the respective coating category Phase II limits specified in Table 1 only if all of the following four conditions are met:

- a) the coating is being applied on or before April 30, 2021,
- b) the coating contains VOCs below the applicable Phase I limits specified in Table 1,
- c) the coating was manufactured prior to May 1, 2018, **and**
- d) the coating container(s) are dated (or date coded) as such.

For any coating that is not categorized within Table 1, the Contractor shall classify the coating as follows and apply corresponding limits in Table 1.

- Registers gloss <15 on an 85-degree meter or <5 on a 60-degree meter) – Flat Coating,
- Registers gloss of ≥ 15 on an 85-degree meter and ≥ 5 on a 60-degree meter) - Nonflat Coating,
- Registers gloss of ≥ 70 on a 60-degree meter - Nonflat-High Gloss Coating.

The Contractor must close all containers of coating and solvent when not in use.

Coating container labels must display the date the coating was manufactured, the manufacturer's recommendation regarding thinning with solvent, and the coating's VOC content in grams per liter (g/L) of coating. Certain coating categories as noted in Table 1 have additional labeling requirements.

The Contractor may add additional solvent to a coating only if such addition does not cause the coating to exceed the applicable VOC limit specified Table 1. The Contractor must adhere to type(s) of solvent and maximum amount of solvent recommended by coating manufacturer.

VOC content of a thinned coating shall be the VOC content as listed by the manufacturer after thinning in accordance with its recommendation.

TABLE 1		
Coating Category	Phase I	Phase II
	manufactured prior to May 1, 2018 VOC content limit (g/L)	manufactured on or after May 1, 2018 VOC content limit (g/L)
Aluminum roof coating	--- ¹	450
Antenna coating	530	--- ¹
Antifouling coating	400	--- ¹
Basement specialty coating	--- ¹	400
Bituminous roof coating	300	270
Bituminous roof primer	350	350
Bond breaker	350	350
Calcimine recoater	475	475
Clear wood coating - Clear brushing lacquer ²	680	275
Clear wood coating - Lacquer ^{2,3}	550	275
Clear wood coating - Sanding sealer ^{2,4}	350	275
Clear wood coating - Varnish ²	350	275
Concrete curing compound	350	350
Concrete or masonry sealer/ Waterproofing concrete or masonry sealer	400	100
Concrete surface retarder	780	780
Conjugated oil varnish	--- ¹	450
Conversion varnish	725	725
Driveway sealer	--- ¹	50
Dry fog coating	400	150
Faux finishing coating ²	350	350
Fire resistive coating	350	350
Fire retardant coating - Clear	650	--- ¹
Fire retardant coating - Opaque	350	--- ¹
Flat coating	100	50
Floor coating	250	100
Flow coating	420	--- ¹
Form-release compound	250	250
Graphic arts coating (sign paint)	500	500
High temperature coating	420	420
Impacted immersion coating	780	780
Industrial maintenance coating ²	340	250
Industrial maintenance coating	340	250
Low solids coating	120	120
Magnesite cement coating	450	450

TABLE 1		
Coating Category	Phase I	Phase II
	manufactured prior to May 1, 2018 VOC content limit (g/L)	manufactured on or after May 1, 2018 VOC content limit (g/L)
Mastic texture coating	300	100
Metallic pigmented coating	500	500
Multi-color coating	250	250
Nonflat coating	150	100
Nonflat high gloss coating ²	250	150
Nuclear coating	450	450
Pre-treatment wash primer	420	420
Primer, sealer and undercoater	200	100
Quick-dry enamel	250	--- ¹
Quick-dry primer, sealer and undercoater	200	--- ¹
Reactive penetrating carbonate stone sealer ²	--- ¹	500
Reactive penetrating sealer ²	--- ¹	350
Recycled coating	250	250
Roof coating	250	250
Rust preventive coating ²	400	250
Shellac Clear	730	730
Shellac Opaque	550	550
Specialty primer, sealer and undercoater ²	350	100
Stain	250	250
Stone consolidant ²	--- ¹	450
Swimming pool coating	340	340
Thermoplastic rubber coating and mastic	550	550
Traffic marking coating	150	100
Traffic marking coating	150	100
Tub and tile refinish	--- ¹	420
Waterproofing membrane	--- ¹	250
Waterproofing sealer	250	--- ¹
Wood coating ²	--- ¹	275
Wood preservative	350	350
Zinc-rich primer ²	--- ¹	340

1 Classify as follows and apply corresponding limits in Table 1.

- Registers gloss <15 on an 85-degree meter or <5 on a 60-degree meter) – Flat Coating,
- Registers gloss of ≥15 on an 85-degree meter and ≥5 on a 60-degree meter) – Nonflat Coating
- Registers gloss of ≥70 on a 60-degree meter – Nonflat-High Gloss Coating

2 Container must be appropriately labeled. See RCSA 22a-174-41a

3 “Clear Wood Coating – Lacquer” includes lacquer sanding sealer

4 “Clear Wood Coating - Sanding Sealer” does not include lacquer sanding sealer

-END-

**NOTICE TO CONTRACTOR - CONSTRUCTION CONTRACTOR
DIGITAL SUBMISSIONS**

Upon execution of the Contract, the Contractor acknowledges and agrees that contractual submittals for this Project shall be submitted and handled through a system of paperless electronic means as outlined in the special provision for Section 1.05 herein.

Shop drawings, working drawings, and product data shall be created, digitally signed and delivered by the Contractor in accordance with the Department's [Contractor Digital Submission Manual](#) (CDSM). Other deliverables that are required by other special provisions shall be similarly submitted.

Access credentials will be provided to the Contractor by the Department.

The Department will provide the Contractor with a list of email addresses that are to be used for each submittal type.

The Department shall not be held responsible for delays, lack of processing or response to submittals that do not follow the specified guidelines in the CDSM.

NOTICE TO CONTRACTOR - ELECTRONIC ENGINEERING DATA (EED)

The EED is an assembly of engineering data files that were used to produce the Contract plans.

Electronic Engineering Data (EED) is provided for information purposes only. In case of conflict between the EED and the Contract plans and specifications, the contract plans and specifications shall govern. The EED has been reviewed by the Department for quality control purposes, but it is the Contractor's responsibility to build the Project per the contract plans and specifications.

The EED is being provided to the Engineer for GPS/RTS inspection. The Contractor may use the EED to assist in bidding, layout and Automated Machine Control/Guidance.

The EED includes geospatially-correct 2D CAD files and may include horizontal and vertical alignment data files, 3D surface model files (break-line features and triangles) and a preference file. The data is being provided in two formats:

- Native Format
 - Bentley MicroStation CAD files (dgn)
 - Bentley SS2 InRoads Alignment Files (alg)
 - Bentley SS2 InRoads Digital Terrain Models (dtm)
 - Bentley SS2 InRoads Preference File (xin)

- Converted Format (for use in GPS/RTS Site equipment)
 - AutoCAD CAD files (dxf)
 - Alignment files (xml)
 - Surface Models (xml)

For a complete list of EED files, see the EED file manifest (PDF) located in the EED_0047-0157.zip file which is posted with the contract PS&E's on the State Contracting portal.

**NOTICE TO CONTRACTOR – GLOBAL POSITIONING SYSTEM (GPS)
COORDINATES FOR SIGNS**

The Contractor shall obtain and provide to the Engineer sign installation data, including Global Positioning System (GPS) latitude and longitude coordinates, for all new State owned and maintained signs. The Engineer shall forward the sign data to the Division of Traffic Engineering for upload into the Highway Sign Inventory and Maintenance Management Program (SIMS). Sign data submissions or questions relating to SIMS or GPS shall be sent to DOT-SignInventory@ct.gov. Refer to the special provision for Section 12.00 General Clauses For Highway Signing.

NOTICE TO CONTRACTOR – HAZARDOUS MATERIALS INVESTIGATIONS

A limited hazardous materials site investigation has been conducted at Bridge No. 06676 (asphalt coated corrugated metal pipe arch (ACCMPA) culvert), Route 1 over unnamed brook in East Lyme, Connecticut. The scope of inspection was limited to the representative components projected for impact.

There were no painted surfaces identified on the bridge/culvert components scheduled for impact at Bridge No. 06676, therefore no lead based paint was identified at the site.

All steel and metal generated from work tasks (painted or not) shall be segregated and recycled as scrap metal at a scrap metal recycling facility. The recycling of scrap metal (regardless of lead paint concentration) is exempt from USEPA RCRA and CTDEEP Hazardous Waste Regulation.

The asphalt coating material inside the metal pipe arch of Bridge No. 06676 was sampled and found to contain no detectable asbestos.

Information pertaining to the results of the limited hazardous materials investigation discussed can be found in the document listed below. This document shall be available for review electronically.

- HazMat Inspection Letter, Bridge No. 06676 (ACCMPA culvert), Route 1 over unnamed brook, East Lyme, CT, TRC Environmental Corporation, February 7, 2018.

NOTICE TO CONTRACTOR – MINIMUM CONCRETE COMPRESSIVE STRENGTH

The concrete strength or allowable design stress specified in the General Notes is for design purposes only. The minimum compressive strength of concrete in constructed components shall comply with the requirements of Section 6.01 Concrete for Structures.

NOTICE TO CONTRACTOR - PRE-BID QUESTIONS AND ANSWERS

Questions pertaining to DOT advertised construction projects must be presented through the CTDOT Pre-Bid Q and A Website. The Department cannot guarantee that all questions will be answered prior to the bid date. **PLEASE NOTE - at 9:00 am Monday (i.e. typical Wednesday Bid Opening) the project(s) being bid will be closed for questions, at which time questions can no longer be submitted through the Q and A Website.**

Answers may be provided by the Department up to 12:00 noon, the day before the bid. At this time, the Q and A for those projects will be considered final, unless otherwise stated and/or the bid is postponed to a future date and time to allow for further questions and answers to be posted.

If a question needs to be asked the day before the bid date, please contact the Contracts Unit staff and email your question to dotcontracts@ct.gov immediately.

Contractors must identify their company name, contact person, contact email address and phone number when asking a question. The email address and phone number will not be made public.

The questions and answers (if any) located on the Q and A Website are hereby made part of the bid/contract solicitation documents (located on the State Contracting Portal), and resulting contract for the subject project(s). It is the bidder's responsibility to monitor, review, and become familiar with the questions and answers, as with all bid requirements and contract documents, prior to bidding. By signing the bid proposal and resulting contract, the bidder acknowledges receipt of, and agrees to the incorporation of the final list of Q and A, into the contract document.

Contractors will not be permitted to file a future claim based on lack of receipt, or knowledge of the questions and answers associated with a project. All bidding requirements and project information, including but not limited to contract plans, specifications, addenda, Q and A, Notice to Contractors, etc., are made public on the State Contracting Portal and/or the CTDOT website.

NOTICE TO CONTRACTOR - PROCUREMENT OF MATERIALS

Upon award, the Contractor shall proceed with shop drawings, working drawings, procurement of materials, and all other submittals required to complete the work in accordance with the contract documents.

NOTICE TO CONTRACTOR – TEST PITS

The Contractor is hereby notified that test pits shall be performed as early as possible to verify the location of the existing water main in order to avoid a potential conflict with the installation of the cofferdam. Approval from the Water Company with regard to the proximity of the cofferdam location to the existing water main must be obtained prior to installation of the cofferdam. The Contractor shall be responsible for all coordination required.

Mr. Bradford C. Kargl
Municipal Utility Engineer
Town of East Lyme Water and Sewer Utilities
108 Pennsylvania Avenue, P.O. Box 519
Niantic, CT 06357
(860) 739-6931; Ext. 1139
bkargl@eltownhall.com

NOTICE TO CONTRACTOR – USE OF STATE POLICE OFFICERS

The Department will reimburse services of State Police Officers as a direct payment to the Department of Emergency Services and Public Protection. Payment for State Police Officers must be approved by the Engineer. Any State Police Officers used by the Contractor for its convenience is the responsibility of the Contractor. A separate payment item for State Police Officers is not included in this contract.

Any costs associated with coordination and scheduling of State Police Officers shall be included in the lump sum bid price for Item No. 0971001A – Maintenance and Protection of Traffic.

NOTICE TO CONTRACTOR - UTILITY SPECIFICATIONS

The Contractor is hereby notified that all utility specifications contained elsewhere herein shall be made a part of this contract, and that the Contractor shall be bound to comply with all requirements of such specifications. The requirements and conditions set forth in the subject specifications shall be binding on the Contractor just as any other specification would be.

SECTION 1.02 – PROPOSAL REQUIREMENTS AND CONDITIONS

Article 1.02.04 – Examination of Plans, Specifications, Special Provisions and Site of Work:

Replace the third sentence of the last paragraph with:

The Department cannot ensure a response to inquiries received later than ten (10) days prior to the original scheduled opening of the related bid.

SECTION 1.03 – AWARD AND EXECUTION OF CONTRACT

Article 1.03.07 – Insurance

Supplement with the following:

19. Property Owner Named as Additional Insured: The following property owner must be named as an additional insured party for the Commercial General Liability insurance required by this Article and the Special Provisions to the Contract, and any Umbrella Liability Insurance, as applicable, obtained in accordance with this Article:

Mr. Chin H. Chok
5 Heritage Road
East Lyme, Connecticut 06333

Each policy shall waive right of recovery (waiver of subrogation) against the property owner.

SECTION 1.05 - CONTROL OF THE WORK

Replace Article 1.05.02 with the following:

1.05.02—Contractor Submittals, Working Drawings, Shop Drawings, Product Data, Submittal Preparation and Processing - Review Timeframes, Department's Action:

1. Contractor Submittals: The plans provided by the Department show the details necessary to give a comprehensive idea of the construction contemplated under the Contract. The plans will generally show the location, character, dimensions, and details necessary to complete the Project. If the plans do not show complete details, they will show the necessary dimensions and details, which when used along with the other Contract documents, will enable the Contractor to prepare working drawings, shop drawings or product data necessary to complete the Project.

The Contractor shall prepare submittals as Portable Document Format (PDF) files. The Contractor is also required to acquire, maintain access and use the Department's document management system for delivery of submittals. The format, digital signing requirements, delivery processes and document tracking procedures shall be performed in accordance with this specification and the [Contractor's Digital Submission Manual](#) (CDSM).

The submittals shall be sent to the Department's reviewer(s), sufficiently in advance of the work detailed, to allow for their review in accordance with the review periods as specified herein (including any necessary revisions, resubmittal, and final review), and acquisition of materials, without causing a delay of the Project.

2. Working Drawings: When required by the Contract or when ordered to do so by the Engineer, the Contractor shall prepare and submit the working drawings, signed, sealed and dated by a qualified Professional Engineer licensed to practice in the State of Connecticut, for review. The drawings shall be delivered sufficiently in advance of the work detailed, to allow for their review in accordance with the review periods specified herein (including any necessary revisions, resubmittal, and final review).

There will be no direct payment for furnishing any working drawings, procedures or supporting calculations, but the cost thereof shall be considered as included in the general cost of the work.

a. Working Drawings for Permanent Construction: The Contractor shall supply to the Assistant District Engineer a certificate of insurance in accordance with 1.03.07 at the time that the working drawings for the Project are submitted.

The Contractor's designer, who prepares the working drawings, shall secure and maintain at no direct cost to the State a Professional Liability Insurance Policy for errors and omissions in the minimum amount of \$2,000,000 per error or omission. The Contractor's designer may elect to obtain a policy containing a maximum \$250,000 deductible clause, but if the Contractor's designer should obtain a policy containing such a clause, they shall be liable to the extent of at

least the deductible amount. The Contractor's designer shall obtain the appropriate and proper endorsement of its Professional Liability Policy to cover the indemnification clause in this Contract, as the same relates to negligent acts, errors or omissions in the Project work performed by them. The Contractor's designer shall continue this liability insurance coverage for a period of

- (i) 3 years from the date of acceptance of the work by the Engineer, as evidenced by a State of Connecticut, Department of Transportation form entitled "Certificate of Acceptance of Work," issued to the Contractor; or
- (ii) 3 years after the termination of the Contract, whichever is earlier, subject to the continued commercial availability of such insurance.

b. Working Drawings for Temporary Construction: The Contractor shall submit drawings, calculations, procedures and other supporting data to the Assistant District Engineer.

3. Shop Drawings: When required by the Contract, or when ordered to do so by the Engineer, the Contractor shall prepare and deliver shop drawings to the Designer for review. Review timeframes and submission locations are as specified herein.

There will be no direct payment for furnishing any shop drawings, but the cost thereof shall be considered as included in the general cost of the work.

4. Product Data: When required by the Contract, or when ordered to do so by the Engineer, the Contractor shall prepare and deliver product data.

The Contractor shall submit the product data in a single submittal for each element or group of elements of construction.

The Contractor shall mark each copy of the product data submittal to show applicable choices and options. Where product data includes information on several products that are not required, copies shall be marked to indicate the applicable information. Product data shall include the following information and confirmation of conformance with the Contract to the extent applicable: manufacturer's printed recommendations, compliance with recognized trade association standards, compliance with recognized testing agency standards, application of testing agency labels and seals, notation of coordination requirements, Contract item number, and any other information required by the individual Contract provisions.

There will be no direct payment for furnishing any product data, but the cost thereof shall be considered as included in the general cost of the work.

5. Submittal Preparation and Processing – Review Timeframes: The Contractor shall allow 30 calendar days for submittal review by the Department, from the date receipt is acknowledged by the Department's reviewer. For any submittals marked with "Revise and Resubmit" or "Rejected," the Department is allowed an additional 20 calendar days for review of any resubmissions.

An extension of Contract time will not be authorized due to the Contractor's failure to transmit submittals sufficiently in advance of the work to permit processing.

The furnishing of shop drawings, working drawings or product data, or any comments or suggestions by the Designer or Engineer concerning shop drawings, working drawings or product data, shall not relieve the Contractor of any of its responsibility for claims by the State or by third parties, as per 1.07.10.

The furnishing of the shop drawings, working drawings and product data shall not serve to relieve the Contractor of any part of its responsibility for the safety or the successful completion of the Project construction.

6. Department's Action: The Designer or Engineer will review each submittal, mark each with a self-explanatory action stamp, and return the stamped submittal promptly to the Contractor. The Contractor shall not proceed with the part of the Project covered by the submittal until the submittal is marked "No Exceptions Noted" or "Exceptions as Noted" by the Designer or Engineer. The Contractor shall retain sole responsibility for compliance with all Contract requirements. The stamp will be marked as follows to indicate the action taken:

- a. If submittals are marked "No Exceptions Noted," the Designer or Engineer has not observed any statement or feature that appears to deviate from the Contract requirements. This disposition is contingent on being able to execute any manufacturer's written warranty in compliance with the Contract provisions.
- b. If submittals are marked "Exceptions as Noted" the considerations or changes noted by the Department's Action are necessary for the submittal to comply with Contract requirements. The Contractor shall review the required changes and inform the Designer or Engineer if they feel the changes violate a provision of the Contract or would lessen the warranty coverage.
- c. If submittals are marked "Revise and Resubmit," the Contractor shall revise the submittals to address the deficiencies or provide additional information as noted by the Designer or Engineer. The Contractor shall allow an additional review period as specified in 1.05.02-5.
- d. If submittals are marked "Rejected," the Contractor shall prepare and submit a new submittal in accordance with the Designer's or Engineer's notations. The resubmissions require an additional review and determination by the Designer or Engineer. The Contractor shall allow an additional review period as specified in 1.05.02-5.

SECTION 1.07 - LEGAL RELATIONS AND RESPONSIBILITIES

Delete Article 1.07.07 in its entirety and replace it with the following:

1.07.07—Safety and Public Convenience: The Contractor shall conduct the Project work at all times in such a manner as to ensure the least possible obstruction to traffic. In a manner acceptable to the Engineer, the Contractor shall provide for the convenience and interests of the general public; the traveling public; parties residing along or adjacent to the highway or Project Site; and parties owning, occupying or using property adjacent to the Project Site, such as commuters, workers, tenants, lessors and operating agencies.

Notwithstanding any other Contract provision, the Contractor shall not close to normal pedestrian or vehicular traffic any section of road, access drive, parking lot, sidewalk, station platform, railroad track, bus stop, runway, taxiway, occupied space within a Site, or occupied space within a building, except with the written permission of the Engineer.

All equipment, materials, equipment or material storage areas, and work areas must be placed, located, and used in ways that do not create a hazard to people or property, especially in areas open to public pedestrian or vehicular traffic. All equipment and materials shall be placed or stored in such a way and in such locations as will not create a hazard to the traveling public or reduce sight lines. In an area unprotected by barriers or other means, equipment and materials must not be stored within 30 feet of any traveled way.

The Contractor must always erect barriers and warning signs between any of its work or storage areas and any area open to public, pedestrian, or vehicular traffic. Such barriers and signs must comply with all laws and regulations, including any applicable codes.

The Contractor must arrange for temporary lighting, snow and ice removal, security against vandalism and theft, and protection against excessive precipitation runoff within its Project work and storage areas, and within other areas specifically designated in the Contract.

In addition to meeting the requirements of Section 9.71, the Contractor shall take all precautions necessary and reasonable for the protection of all persons, including, but not limited to, employees of the Contractor or the Department, and for the protection of property, until the Engineer notifies the Contractor in writing that the Project or the pertinent portion of the Project has been completed to the Engineer's satisfaction.

The Contractor shall comply with the safety provisions of applicable laws, including building and construction codes and the latest edition of the CFR. The Contractor must make available for reference in its field office, throughout the duration of the Project, a copy of the latest edition and all supplements of the CFR pertaining to OSHA.

The Contractor shall make available to the Contractor's employees, subcontractors, the Engineer, and the public, all information pursuant to OSHA 29 CFR Part 1926.59 and The

Hazard Communication Standard 29 CFR 1910.1200, and shall also maintain a file on each job site containing all MSDS for products in use at the Project. These MSDS shall be made available to the Engineer upon request.

The Contractor shall observe all rules and regulations of the Federal, State, and local health officials. Attention is directed to Federal, State, and local laws, rules, and regulations concerning construction safety and health standards. The Contractor shall not require any worker to work in surroundings or under conditions that are unsanitary, hazardous, or dangerous to the worker's health or safety.

Safety Plan: Before starting work on the Project, the Contractor shall submit to the Engineer a written Safety and Health Plan (hereinafter referred to as the "Plan"). The Plan shall meet or exceed the minimum requirements of this Subsection and any applicable State or Federal regulations.

The Plan shall apply to any work under the Contract whether such work is performed, by way of example and not limitation, by the Contractor's forces, subcontractors, suppliers, or fabricators.

The Plan shall be prepared by the Contractor and submitted to the Engineer for review before the actual start of work on the Project. Within ten (10) calendar days of receipt, the Engineer will determine whether or not the Plan meets the requirements of this Specification. If the Plan does not meet the requirements of this Specification, it will be returned for revision. Work on the Project may not proceed until the Engineer has accepted the Plan. Nothing herein shall be construed, however, to relieve the Contractor from responsibility for the prosecution of the Project.

The Plan shall conform to the following general format:

1. General Introduction.

- a. Description.** The general introduction of the Plan shall include a statement by the Contractor describing its commitment to maintain a safe work environment for its employees, Department representatives, and the public. Implementation procedures and company policies relative to safety shall be summarized or referenced in the Plan.
 - i. The Plan shall include the names, addresses, and telephone numbers of the Contractor's Project Manager, Project superintendent and/or its designee for safety oversight, all competent persons, and the traffic control coordinator. Any changes to the safety management and oversight for the Project shall be promptly communicated to all concerned.
 - ii. The Plan shall provide guidelines for protecting all personnel from hazards associated with Project operations and activities.
 - iii. The Plan shall establish the policies and procedures that are necessary for the Project to be in compliance with the requirements of OSHA and other State and Federal regulatory agencies with jurisdiction, rules, regulations, standards, or guidelines in effect at the time the work is in progress.

- b. Responsibility, Identification of Personnel, and Certifications.** The Contractor is solely responsible for creating, implementing, and monitoring the Plan.
 - i. The Contractor shall identify and designate on-site supervisory level personnel who shall be responsible for implementing and monitoring the Plan at all times throughout the duration of the Project and shall have authority to take prompt corrective measures to eliminate hazards including the ability to stop work activities.
 - ii. Documentation of training provided to the on-site supervisory level personnel shall be included as part of the Plan.
 - iii. For any work activities wherein the Contractor has identified a competent person as defined by OSHA, that person shall be capable of identifying existing and predictable hazards and have the authority to take prompt corrective measures to eliminate the hazards, including the ability to stop work activities.
 - iv. Documentation of the qualifications of such competent persons identified, including any certifications received, shall be included as part of the Plan.
 - v. The Contractor shall further identify the qualified safety professional responsible for developing the Plan and shall provide that person's qualifications for developing the Plan which shall include, but not be limited to, education, training, certifications, and experience in developing this type of Plan.
 - vi. The Plan shall contain a certification executed by the qualified safety professional that developed the Plan, stating that the Plan complies with OSHA and other applicable State and Federal regulatory agencies with jurisdiction, rules, regulations, standards, or guidelines in effect at the time the work is in progress.

2. Elements of the Plan. The Plan shall address, but not be limited to, the following elements:

a. Management Safety Policy and Implementation Statement.

- i. The Plan shall describe in detail the means by which the Contractor shall implement and monitor the Plan. Implementation and monitoring shall also mean that the Plan shall be a document with provision for change to update the Plan with new information on a yearly basis at a minimum and shall include new practices or procedures, changing site and environmental conditions, or other situations that could adversely affect site personnel. The Plan shall provide guidelines for protecting all personnel from hazards associated with Project operations and activities.

b. Emergency Telephone Numbers.

c. Personnel Responsibilities.

- i. Management responsibilities
- ii. Responsibilities of Supervisor(s)
- iii. Site safety officer(s) responsibilities
- iv. Employee responsibilities
- v. Competent person(s) as defined by OSHA responsibilities

d. Training.

- i. Regulatory
- ii. Documentation

- iii. Site hazard assessment -Daily employee awareness of site operations
- e. Safety Rules.**
 - i. General safety rules
 - ii. Personal protective equipment
 - iii. Housekeeping
- f. Safety Checklists.**
 - i. Project safety-planning checklist
 - ii. Emergency plans and procedures checklist
 - iii. Documentation checklist
 - iv. Protective materials and equipment checklist
- g. Traffic Control Coordinator Inspections.**
 - i. Responsible person
 - ii. Frequency
 - iii. Documentation of actions taken
- h. Record Keeping.**
 - i. OSHA 200 log
- i. Reporting.**
 - i. Accident(s)
 - ii. On site
 - iii. Legal notice requirement
 - iv. Public liability
 - v. Property damage
 - vi. Department of Labor
 - vii. Hazard Communications
- j. Additional Procedures for Project Specific Situations as Applicable.**
 - i. Compressed gas cylinders
 - ii. Confined spaces
 - iii. Cranes
 - iv. Crystalline silica (stone, masonry, concrete, and brick dust)
 - v. Electrical
 - vi. Equipment operators
 - vii. Fall protection
 - viii. Hand and power tools
 - ix. Hearing conservation
 - x. Highway safety
 - xi. Lead health and safety plan
 - xii. Lock out/tag out
 - xiii. Materials handling, storage, use, and disposal
 - xiv. Areas of environmental concern
 - xv. Night work
 - xvi. Personal protective equipment
 - xvii. Project entry and exit
 - xviii. Respiratory protection
 - xix. Sanitation
 - xx. Signs, signals, and barricades

- xxi. Subcontractors
- xxii. Trenching

3. Appendix for Environmental Health and Safety Plan (HASP). If environmental hazards are identified in the Contract, an Environmental HASP shall be included in an appendix to the Plan, or in a separate document. References to any Environmental HASP shall be included within the Plan, where appropriate.

The Plan shall be kept on the site and shall apply and be available to all workers and all other authorized persons entering the work site. Copies of all updates to the Plan shall be promptly supplied to the Engineer.

If at any time during the Project the Engineer determines that the Contractor is not complying with the requirements of this provision or the updated Plan, the Contractor shall correct such deficiencies immediately. Failure to remediate such deficiencies may result in suspension of the Contractor's operations until the deficiencies have been corrected. Suspensions ordered due to safety deficiencies will not be considered compensable or excusable delays.

The Contractor is responsible for implementation of the Plan. Pursuant to Article 1.07.10, the Contractor shall indemnify, and save harmless the State from any and all liability related to the Plan in proportion to the extent that the Contractor is held liable for same by an arbiter of competent jurisdiction.

The Contractor shall allow onto the Project site any inspector of OSHA or other legally responsible agency involved in safety and health administration upon presentation of proper credentials, without delay and without the presentation of an inspection warrant.

Article 1.07.10 - Contractor's Duty to Indemnify the State against Claims for Injury or Damage:

Add the following after the only paragraph:

“It is further understood and agreed by the parties hereto, that the Contractor shall not use the defense of Sovereign Immunity in the adjustment of claims or in the defense of any suit, including any suit between the State and the Contractor, unless requested to do so by the State.”

Article 1.07.11 Opening of Section of project to Traffic or Occupancy:

Add the following sentence to the last paragraph;

“In cases in which guiderail is damaged by the traveling public, repair or replacement will be reimbursable as contained elsewhere herein.”

Article 1.07.13 – Contractor’s Responsibility for Adjacent Property, Facilities and Services is supplemented as follows:

The following company and representative shall be contacted by the Contractor to coordinate the protection of their utilities on this project 30 days prior to the start of any work on this project involving their utilities:

Mr. Richard Russo
District 2 Electrical Supervisor
Department of Transportation
Colchester, Connecticut
(860) 537-8942/8943

Mr. Thomas Woronik
Supervisor-Construction Engineering
The Connecticut Light and Power Company dba Eversource Energy – Electric Distribution
22 East High Street
East Hampton, CT 06424
(860) 267-3891
Thomas.woronik@eversource.com
Record Drawings Request: numaprequest@eversource.com

Ms. Lynne DeLucia
Manager – Engineering & Construction
The Southern New England Telephone Company dba Frontier Communications of Connecticut
1441 North Colony Road
Meriden, CT 06450-4101
(203) 238-5000; Mobile: (860) 967-4389
lynne.m.delucia@ftr.com
Record Drawings Request: FTR-CT-MAPREQUEST@ftr.com

Mr. Chap Hanley
VP and General Manager
Atlantic Broadband (CT), LLC
61 Myrock Avenue
Waterford, CT 06385
(860) 629-6782
chanley@atlanticbb.com

Mr. Eric Clark
Manager Fiber Construction
Lighttower Fiber Networks I, LLC dba Crown Castle Fiber
1781 Highland Avenue, Suite 102
Cheshire, CT 06410
(203) 649-3904; Mobile: (860) 863-8311
eclark@crowncastle.com

Mr. Bradford C. Kargl
Municipal Utility Engineer
Town of East Lyme Water and Sewer Utilities
108 Pennsylvania Avenue, P.O. Box 519
Niantic, CT 06357
(860) 739-6931; Ext. 1139
bkargl@eltownhall.com

All work shall be in conformance with Rules and Regulations of Public Utility Regulatory Authority (PURA) concerning Traffic Signals attached to Public Service Company Poles.

SECTION 1.08 - PROSECUTION AND PROGRESS

Article 1.08.04 - Limitation of Operations - Add the following:

In order to provide for traffic operations as outlined in the Special Provision "Maintenance and Protection of Traffic," the Contractor will not be permitted to perform any work which will interfere with the described traffic operations on all project roadways as follows:

Route 1 (Boston Post Road)

Monday through Friday between 6:00 a.m. and 9:00 a.m. & between 3:00 p.m. and 6:00 p.m.
Saturday and Sunday between 10:00 a.m. and 6:00 p.m.

The Contractor will be allowed to institute alternating one way traffic along Route 1 (Boston Post Road) for a period of 40 consecutive days and may commence no sooner than September 4, 2019. Traffic Persons (Municipal Police Officers) shall be utilized for traffic control during this time. During this time period, the Contractor will be allowed to work 24 hours per day; however work that produces loud noises, i.e. installation of sheeting and as determined by the Engineer; will be strictly prohibited between the hours of 10:00 pm and 7:00 am.

Immediately following the completion of the above described alternating one way traffic pattern, the Contractor will be allowed to close Route 1 (Boston Post Road) for a period of 14 consecutive days and detour traffic as shown on the Detour Plan contained in the contract plans. The detour shall commence no sooner than October 14, 2019 without prior approval of the Engineer. During this time period, the Contractor will be allowed to work 24 hours per day; however work that produces loud noises, i.e. installation of sheeting as determined by the Engineer; will be strictly prohibited between the hours of 10:00 pm and 7:00 am.

Following the completion of the Route 1 (Boston Post Road) closure, the Contractor will be allowed to institute alternating one way traffic as required during normal working hours.

The Contractor shall notify the Engineer at least 14 days in advance of the Route 1 (Boston Post Road) 40 day alternating traffic pattern and roadway closure. The Engineer shall then notify the Towns of East Lyme and Old Lyme and all emergency services.

All Other Roadways

Monday through Friday between 6:00 a.m. and 9:00 a.m. & between 3:00 p.m. and 6:00 p.m.
Saturday and Sunday between 10:00 a.m. and 6:00 p.m.

The Contractor shall maintain a minimum of one lane in each direction on all other roadways within the project limits at all times. The Contractor shall maintain access to the sanitary sewer pump station which is located on Lovers Lane at all times.

Additional Lane Closure Restrictions

It is anticipated that work on adjacent projects will be ongoing simultaneously with this project. The Contractor shall be aware of those projects and anticipate that coordination will be required to maintain proper traffic flow at all times on all project roadways, in a manner consistent with these specifications and acceptable to the Engineer.

The Contractor will not be allowed to perform any work that will interfere with traffic operations on a roadway when traffic operations are being restricted on that same roadway, unless there is at least a one mile clear area length where the entire roadway is open to traffic or the closures have been coordinated and are acceptable to the Engineer. The one mile clear area length shall be measured from the end of the first work area to the beginning of the signing pattern for the next work area.

SECTION 2.86 - DRAINAGE TRENCH EXCAVATION, ROCK IN DRAINAGE TRENCH EXCAVATION

2.86.01—Description

2.86.03—Construction Methods

2.86.04—Method of Measurement

2.86.05—Basis of Payment

2.86.01—Description: Drainage trench excavation consists of the excavation necessary for the proper installation of drainage structures, pipes, pipe ends and any other incidental drainage items.

It shall include earth and rock excavation, removal of existing pipes, dewatering, backfill, and disposal of materials; to the trench limits described herein, to the dimensions shown on the plans, or as directed by the Engineer.

Classifications:

- (1) **Drainage Trench Excavation** will include only the excavation necessary for the construction of the drainage items and the removals specified above.
- (2) **Rock in Drainage Trench Excavation**, insofar as it applies to drainage trench excavation, shall be defined as **1/2 cubic yard or more** in volume of the following obstructions removed from the limits of the drainage trench:
 - (a) rock in definite ledge formation
 - (b) boulders, or portions of boulders
 - (c) cement masonry structures
 - (d) concrete or reinforced concrete structures
 - (e) reinforced concrete pipe
 - (f) subsurface concrete pavement or concrete base

The removal shall be as indicated or directed from within the limits defined in 2.86.03 for drainage trench excavation.

2.86.03—Construction Methods:

(1) Drainage Trench Excavation Limits:

Horizontal Limits: Trench widths for pipes, pipe ends, pipe-arches, and drainage structures shall be as follows:

- (a) 2 feet greater than the nominal inside diameter of circular pipe or nominal inside span of elliptical pipe or pipe-arch for such diameters or spans of less than 30 inches
- (b) 3 feet greater than the nominal inside diameter of circular pipe or the nominal inside span of elliptical pipe or pipe-arch for such diameters or spans that are 30 inches or greater
- (c) 4 feet greater than the nominal inside diameter or nominal horizontal inside span for pipe-arches fabricated from structural plates
- (d) 2 feet beyond the neat lines of all exterior or foundation walls of drainage structures

Vertical Limits: Trench depths shall extend vertically as follows:

- (a) From the bottom of the trench to the bottom of the roadway excavation, or in areas away from roadway excavation, to the top of existing ground surface.

(b) Where drainage pipe is to be laid in a fill area, the embankment shall be placed and compacted to a minimum elevation 12 inches above the top of the proposed pipe, whereupon the drainage trench excavation shall be performed and the pipe installed.

- (2) **Drainage Trench Excavation:** Drainage trench excavation shall be made in conformity with the requirements of the plans, or as directed by the Engineer. The Contractor shall furnish and employ such shores, braces, pumps, or ancillary equipment as needed for the proper protection of property, proper completion of the work, as well as safety of the public and employees of both the Contractor and the Department. All bracing and shoring shall be removed when no longer required for the construction or safety of the work. When required, the Contractor shall provide or have on the Site at all times any OSHA certification for equipment to be used, per 1.07.07. For support of trenches greater than 10 feet in depth, working drawings shall be submitted, in accordance with 1.05.02. The Contractor shall control erosion and sedimentation at trench locations and ensure that pumped water from the drainage excavation is discharged in accordance with the requirements of 1.10.

Where a firm foundation is not encountered at the grades established due to unsuitable material, such as soft, spongy, or unstable soil, the unsuitable material shall be removed and replaced with approved backfill, thoroughly compacted in lifts not to exceed 6 inches, for the full trench width. The Engineer shall be notified prior to removal of the unsuitable material in order to determine the depth of removal necessary.

After the excavation is complete, the Contractor shall notify the Engineer and no drainage structure or material shall be placed in the excavated area until the Engineer has approved the depth of excavation and the character of the foundation material.

- (3) **Rock in Drainage Trench Excavation:**

(a) Rock in Drainage Trench Excavation - Ledge: When rock in definite ledge form is encountered, the Contractor shall excavate a minimum of 12 inches below the bottom of the proposed pipe or drainage structure; and this depth shall be filled with bedding material (as specified in M.08.03-1) below the proposed pipe; or granular fill (as specified in M.02.01) below the proposed drainage structure, which shall be thoroughly compacted in lifts not to exceed 6 inches.

(b) Rock in Drainage Trench Excavation - Boulders: When boulders are encountered, the Contractor shall remove them from the trench and if backfill is required, the void shall be filled with bedding material, surplus excavated material (as specified in 2.02.03-8) or granular fill which shall be thoroughly compacted in lifts not to exceed 6 inches.

(c) Rock in Drainage Trench Excavation –Structures: When cement masonry, concrete or reinforced concrete structures are encountered within the drainage trench limits, the Contractor shall remove the structure in its entirety or as directed by the Engineer, and if backfill is required, the void shall be filled with bedding material, surplus excavated material or granular fill which shall be thoroughly compacted in lifts not to exceed 6 inches.

- (4) **Backfill:** Suitable material excavated from the drainage trench shall be used as backfill material prior to consideration of using any other source of backfill. Backfill material used shall be of a quality satisfactory to the Engineer and shall be free from large or frozen lumps, wood and other extraneous material. Rock fill or stones larger than 5 inches shall not be placed within 1 foot of the drainage structure or pipe. The grading shall be

completed to the lines shown on the plans, or as ordered, by refilling to the required elevation with approved material, placed in layers not to exceed 6 inches in depth after compaction, which shall be thoroughly compacted with equipment approved by the Engineer.

All surplus or unsuitable material shall be removed and disposed of as directed. Should additional material be required for backfilling, it may be obtained from the Project surplus excavation in accordance with 2.02.03-8 or from borrow pits, gravel pits, or elsewhere as directed by the Engineer.

2.86.04—Method of Measurement:

Drainage Trench Excavation: Drainage trench excavation will not be measured for payment.

If granular fill or borrow is required to replace unsuitable material it will be measured for payment as directed by the Engineer.

Rock in Drainage Trench Excavation: If any material meeting the definition of Rock in Drainage Trench Excavation is encountered, the Contractor shall strip it of sufficient overlying material to allow for proper measurement and shall then notify the Engineer that the rock surface is ready for measurement. If the Contractor fails to give such notice, the Engineer will presume that the measurements taken at the time the Engineer first saw the material in question will give the true quantity of excavation.

Rock in Drainage Trench Excavation will be measured according to the classification provided in 2.86.01 and within the drainage trench excavation limits provided in 2.86.03.

For the removal of underground obstructions, as classified in 2.86.01-2, the measurement shall be the actual volume of rock removed (1/2 cubic yard or more) as approved by the Engineer.

Rock in Drainage Trench Excavation will not be measured for payment in fills.

Bedding Material or other suitable fill, as specified in 2.86.03(3), used to fill voids after rock is excavated will not be measured for payment.

2.86.05—Basis of Payment:

Drainage Trench Excavation: There will be no direct payment for drainage trench excavation required for the installation of drainage pipes, pipe ends, catch basins, drop inlets, manholes, and other drainage structures, or any other incidental drainage work including materials, tools, equipment and labor necessary to complete the drainage trench excavation in conformity with the plans or as directed by the Engineer.

There will be no direct payment for backfill or disposal of surplus material necessary for the satisfactory completion of this work.

There will be no direct payment made for shoring, bracing, dewatering, or for material or equipment necessary for the satisfactory completion of the work.

Where called for on the plans to install temporary earth retaining systems for the support of existing facilities, pavement, utilities, or for other constraints, payment will be made in accordance with such items in the Contract.

If granular fill or borrow is used to replace unsuitable material, payment will be made at the respective Contract unit prices, or in the absence of such items in the Contract, as Extra Work in accordance with 1.04.05.

Rock in Drainage Trench Excavation: When rock, conforming to the description in 2.86.01 is encountered within the limits of drainage trench excavation, its removal will be classified and

paid for at the Contract unit price per cubic yard for "Rock in Drainage Trench Excavation 0' – 10' Deep," or "Rock in Drainage Trench Excavation 0' – 20' Deep," as the case may be.

Those portions of drainage trench excavation classified and paid for as "Rock in Drainage Trench Excavation" of the various depths will be the actual volumes of rock excavated within the limits for drainage trench excavation, at the applicable bottom depth price.

Where no item or items for "Rock in Drainage Trench Excavation" at the applicable depth appear in the proposal and rock is encountered in drainage trench excavation, its removal will be paid for as Extra Work in accordance with 1.04.05.

When excavation is necessary in fill, no such excavation will be paid for as "Rock in Drainage Trench Excavation."

When excavation is necessary for any purpose other than drainage-related items, no such excavation will be paid under this item.

Bedding material or any other suitable material used to fill voids vacated by excavated rock will not be paid for but shall be included in the unit price per cubic yard for "Rock in Drainage Trench Excavation."

Pay Item	Pay Unit
Rock in Drainage Trench Excavation 0' - 10' Deep	c.y.
Rock in Drainage Trench Excavation 0' - 20' Deep	c.y.

SECTION 4.06 - BITUMINOUS CONCRETE

Section 4.06 is being deleted in its entirety and replaced with the following:

4.06.01—Description

4.06.02—Materials

4.06.03—Construction Methods

- 1. Material Documentation**
- 2. Transportation of Mixture**
- 3. Paving Equipment**
- 4. Test Section**
- 5. Transitions for Roadway Surface**
- 6. Spreading and Finishing of Mixture**
- 7. Longitudinal Joint Construction Methods**
- 8. Contractor Quality Control (QC) Requirements**
- 9. Temperature and Seasonal Requirements**
- 10. Field Density**
- 11. Acceptance Sampling and Testing**
- 12. Density Dispute Resolution Process**
- 13. Corrective Work Procedure**
- 14. Protection of the Work**
- 15. Cut Bituminous Concrete Pavement**

4.06.04—Method of Measurement

4.06.05—Basis of Payment

4.06.01—Description: Work under this Section shall include the production, delivery, placement and compaction of a uniform textured, non-segregated, smooth bituminous concrete pavement to the grade and cross section shown on the plans.

The following terms as used in this specification are defined as:

Bituminous Concrete: A composite material consisting of prescribed amounts of asphalt binder and aggregates. Asphalt binder may also contain additives engineered to modify specific properties and/or behavior of the composite material. References to bituminous concrete apply to all of its forms, such as those identified as hot-mix asphalt (HMA) or polymer-modified asphalt (PMA).

Bituminous Concrete Plant (Plant): A structure where aggregates and asphalt binder are combined in a controlled fashion into a bituminous concrete mixture suitable for forming pavements and other paved surfaces.

Course: A continuous layer (a lift or multiple lifts) of the same bituminous concrete mixture placed as part of the pavement structure.

Density Lot: The total tonnage of all bituminous concrete placed in a single lift which are:

PWL density lots = When the project total estimated quantity per mixture is larger than 3,500 tons

Simple Average density lots = When the project total estimated quantity per mixture is 3,500 tons or less

Disintegration: Erosion or fragmentation of the pavement surface which can be described as polishing, weathering-oxidizing, scaling, spalling, raveling, or formation of potholes.

Dispute Resolution: A procedure used to resolve conflicts between the Engineer and the Contractor's results that may affect payment.

Hot Mix Asphalt (HMA): A bituminous concrete mixture typically produced at 325°F.

Job Mix Formula (JMF): A recommended aggregate gradation and asphalt binder content to achieve the required mixture properties.

Lift: An application of a bituminous concrete mixture placed and compacted to a specified thickness in a single paver pass.

Percent Within Limits (PWL): The percentage of the lot falling between the Upper Specification Limit (USL) and the Lower Specification Limit (LSL).

Polymer Modified Asphalt (PMA): A bituminous concrete mixture containing a polymer-modified asphalt binder and using a qualified warm mix technology.

Production Lot: The total tonnage of a bituminous concrete mixture from a single source that may receive an adjustment.

Production Sub Lot: Portion of the production lot typically represented by a single sample.

Quality Assurance (QA): All those planned and systematic actions necessary to provide CTDOT the confidence that a Contractor will perform the work as specified in the Contract.

Quality Control (QC): The sum total of activities performed by the vendor (Producer, Manufacturer, and Contractor) to ensure that a product meets contract specification requirements.

Superpave: A bituminous concrete mix design used in mixtures designated as "S*" Where "S" indicates Superpave and * indicates the sieve related to the nominal maximum aggregate size of the mix.

Segregation: A non-uniform distribution of a bituminous concrete mixture in terms of gradation, temperature, or volumetric properties.

Warm Mix Asphalt (WMA) Technology: A qualified additive or technology that may be used to produce a bituminous concrete at reduced temperatures and/or increase workability of the mixture.

4.06.02—Materials: All materials shall meet the requirements of Section M.04.

1. **Materials Supply:** The bituminous concrete mixture must be from one source of supply and originate from one Plant unless authorized by the Engineer.
2. **Recycled Materials:** Reclaimed Asphalt Pavement (RAP), Crushed Recycled Container Glass (CRCG), Recycled Asphalt Shingles (RAS), or crumb rubber (CR) from recycled tires may be incorporated in bituminous concrete mixtures in accordance with Project Specifications.

4.06.03—Construction Methods

1. **Material Documentation:** All vendors producing bituminous concrete must have Plants with automated vehicle-weighing scales, storage scales, and material feeds capable of producing a delivery ticket containing the information below.
 - a. State of Connecticut printed on ticket.
 - b. Name of Producer, identification of Plant, and specific storage silo if used.
 - c. Date and time.
 - d. Mixture Designation, mix type and level. Curb mixtures for machine-placed curbing must state "curb mix only."
 - e. If WMA Technology is used, "-W" must be listed following the mixture designation.
 - f. Net weight of mixture loaded into the vehicle. (When RAP and/or RAS is used, the moisture content shall be excluded from mixture net weight.)
 - g. Gross weight (equal to the net weight plus the tare weight or the loaded scale weight).
 - h. Tare weight of vehicle (daily scale weight of the empty vehicle).
 - i. Project number, purchase order number, name of Contractor (if Contractor other than Producer).
 - j. Vehicle number - unique means of identification of vehicle.
 - k. For Batch Plants: individual aggregate, recycled materials, and virgin asphalt max/target/min weights when silos are not used.
 - l. For every mixture designation: the running daily and project total delivered and sequential load number.

The net weight of mixture loaded into the vehicle must be equal to the cumulative measured weights of its components.

The Contractor must notify the Engineer immediately if, during production, there is a malfunction of the weight recording system in the automated Plant. Manually written tickets containing all required information will be allowed for no more than 1 hour.

The State reserves the right to have an Inspector present to monitor batching and/or weighing

operations.

- 2. Transportation of Mixture:** The mixture shall be transported in vehicles that are clean of all foreign material, excessive coating or cleaning agents, and that have no gaps through which material might spill. Any material spilled during the loading or transportation process shall be quantified by re-weighing the vehicle. The Contractor shall load vehicles uniformly so that segregation is minimized. Loaded vehicles shall be tightly covered with waterproof covers acceptable to the Engineer. Mesh covers are prohibited. The cover must minimize air infiltration. Vehicles found not to be in conformance shall not be loaded.

Vehicles with loads of bituminous concrete being delivered to State projects must not exceed the statutory or permitted load limits referred to as gross vehicle weight (GVW). The Contractor shall furnish a list and allowable weights of all vehicles transporting mixture. The State reserves the right to check the gross and tare weight of any vehicle. If the gross or tare weight varies from that shown on the delivery ticket by more than 0.4%, the Engineer will recalculate the net weight. The Contractor shall correct the discrepancy to the satisfaction of the Engineer.

If a vehicle delivers mixture to the Project and the delivery ticket indicates that the vehicle is overweight, the load may not be rejected but a "Measured Weight Adjustment" will be taken in accordance with Article 4.06.04.

Vehicle body coating and cleaning agents must not have a deleterious effect on the mixture. The use of solvents or fuel oil, in any concentration, is prohibited for the coating of vehicle bodies.

For each delivery, the Engineer shall be provided a clear, legible copy of the delivery ticket.

- 3. Paving Equipment:** The Contractor shall have the necessary paving and compaction equipment at the Project Site to perform the work. All equipment shall be in good working order and any equipment that is worn, defective, or inadequate for performance of the work shall be repaired or replaced by the Contractor to the satisfaction of the Engineer. During the paving operation, the use of solvents or fuel oil, in any concentration, is strictly prohibited as a release agent or cleaner on any paving equipment (i.e., rollers, pavers, transfer devices, etc.).

Refueling or cleaning of equipment is prohibited in any location on the Project where fuel or solvents might come in contact with paved areas or areas to be paved. Solvents used in cleaning mechanical equipment or hand tools shall be stored clear of areas paved or to be paved. Before any such equipment and tools are cleaned, they shall be moved off of areas paved or to be paved.

Pavers: Each paver shall have a receiving hopper with sufficient capacity to provide for a uniform spreading operation and a distribution system that places the mix uniformly, without segregation. The paver shall be equipped with and use a vibratory screed system with heaters or burners. The screed system shall be capable of producing a finished surface of the required evenness and texture without tearing, shoving, or gouging the mixture. Pavers with extendible

screed units as part of the system shall have auger extensions and tunnel extenders as necessary. Automatic screed controls for grade and slope shall be used at all times unless otherwise authorized by the Engineer. The controls shall automatically adjust the screed to compensate for irregularities in the preceding course or existing base. The controls shall maintain the proper transverse slope and be readily adjustable, and shall operate from a fixed or moving reference such as a grade wire or floating beam (minimum length 20 feet).

Rollers: All rollers shall be self-propelled and designed for compaction of bituminous concrete. Roller types shall include steel wheeled, pneumatic, or a combination thereof. Rollers that operate in a dynamic mode shall have drums that use a vibratory or oscillatory system or combination. Vibratory rollers shall be equipped with indicators for amplitude, frequency, and speed settings/readouts to measure the impacts per foot during the compaction process. Oscillatory rollers shall be equipped with frequency indicators. Rollers can operate in the dynamic mode using the oscillatory system on concrete structures such as bridges and catch basins if at the lowest frequency setting.

Pneumatic tire rollers shall be equipped with wide-tread compaction tires capable of exerting an average contact pressure from 60 to 90 psi uniformly over the surface. The Contractor shall furnish documentation to the Engineer regarding tire size, pressure and loading to confirm that the proper contact pressure is being developed and that the loading and contact pressure are uniform for all wheels.

Lighting: For paving operations which will be performed during hours of darkness the paving equipment shall be equipped with lighting fixtures as described below or with an approved equal. Lighting shall minimize glare to passing traffic. The lighting options and minimum number of fixtures are listed in Tables 4.06-1 and 4.06-2.

TABLE 4.06-1: Minimum Paver lighting

Option	Fixture Configuration	Fixture Quantity	Requirement
1	Type A	3	Mount over screed area
	Type B (narrow) or Type C (spot)	2	Aim to auger and guideline
	Type B (wide) or Type C (flood)	2	Aim 25 feet behind paving machine
2	Type D Balloon	2	Mount over screed area

TABLE 4.06-2: Minimum Roller Lighting

Option	Fixture Configuration	Fixture Quantity	Requirement
1	Type B (wide)	2	Aim 50 feet in front of and behind roller
	Type B (narrow)	2	Aim 100 feet in front of and behind roller
2	Type C (flood)	2	Aim 50 feet in front of and behind roller
	Type C (spot)	2	Aim 100 feet in front of and behind roller
3	Type D Balloon	1	Mount above the roller

*All fixtures shall be mounted above the roller.

Type A: Fluorescent fixture shall be heavy duty industrial type. Each fixture shall have a minimum output of 8,000 lumens. The fixtures shall be mounted horizontally and be designed for continuous row installation.

Type B: Each floodlight fixture shall have a minimum output of 18,000 lumens.

Type C: Each fixture shall have a minimum output of 19,000 lumens.

Type D: Balloon light – each balloon light fixture shall have minimum output of 50,000 lumens and emit light equally in all directions.

Material Transfer Vehicle (MTV): A MTV shall be used when placing bituminous concrete surface course (a lift or multiple lifts) as indicated in the Contract except as noted on the plans or as directed by the Engineer. In addition, continuous paving lengths of less than 500 feet may not require the use of a MTV as determined by the Engineer.

The MTV must be a vehicle specifically designed for the purpose of delivering the bituminous concrete mixture from the delivery vehicle to the paver. The MTV must continuously remix the bituminous concrete mixture throughout the placement process.

The use of a MTV will be subject to the requirements stated in Article 1.07.05 Load Restrictions. The Engineer may limit the use of the vehicle if it is determined that the use of the MTV may damage highway components, utilities, or bridges. The Contractor shall submit to the Engineer at time of pre-construction the following information:

1. The make and model of the MTV.
 2. The individual axle weights and axle spacing for each piece of paving equipment (haul vehicle, MTV and paver).
 3. A working drawing showing the axle spacing in combination with all pieces of equipment that will comprise the paving echelon.
- 4. Test Section:** The Engineer may require the Contractor to place a test section whenever the requirements of this specification or Section M.04 are not met.

The Contractor shall submit the quantity of mixture to be placed and the location of the test section for review and approval by the Engineer. The same equipment used in the construction of a passing test section shall be used throughout production.

If a test section fails to meet specifications, the Contractor shall stop production, make necessary adjustments to the job mix formula, Plant operations, or procedures for placement and compaction. The Contractor shall construct test sections, as allowed by the Engineer, until all the required specifications are met. All test sections shall also be subject to removal as set forth in Article 1.06.04.

5. Transitions for Roadway Surface: Transitions shall be formed at any point on the roadway where the pavement surface deviates, vertically, from the uniform longitudinal profile as specified on the plans. Whether formed by milling or by bituminous concrete mixture, all transition lengths shall meet the criteria below unless otherwise specified.

Permanent Transitions: Defined as any gradual change in pavement elevation that remains as a permanent part of the work.

A transition shall be constructed no closer than 75 feet from either side of a bridge expansion joint or parapet. All permanent transitions, leading and trailing ends shall meet the following length requirements:

Posted Speed Limit	Permanent Transition Length Required
> 35 mph	30 feet per inch of elevation change
35 mph or less	15 feet per inch of elevation change

In areas where it is impractical to use the above-described permanent transition lengths, the use of a shorter permanent transition length may be permitted when approved by the Engineer.

Temporary Transitions: Defined as a transition that does not remain a permanent part of the work.

All temporary transitions shall meet the following length requirements:

Posted Speed Limit	Temporary Transition Length Required
> 50 mph	Leading Transition: 15 feet per inch of vertical change (thickness) Trailing Transition: 6 feet per inch of vertical change (thickness)
40, 45 or 50 mph	Leading and Trailing: 4 feet per inch of vertical change (thickness)
35 mph or less	Leading and Trailing: 3 feet per inch of vertical change (thickness)

Note: Any temporary transition to be in place over the winter shutdown period or during extended periods of inactivity (more than 14 calendar days) shall meet the greater than 50 mph requirements shown above.

6. Spreading and Finishing of Mixture: Prior to the placement of the mixture, the underlying base course shall be brought to the plan grade and cross section within the allowable tolerance.

Immediately before placing a bituminous concrete lift, a uniform coating of tack coat shall be applied to all existing underlying pavement surfaces and on the exposed surface of a wedge joint. Such surfaces shall be clean and dry. Sweeping or other means acceptable to the Engineer shall be used.

The mixture shall not be placed whenever the surface is wet or frozen.

Tack Coat Application: The tack coat shall be applied by a pressurized spray system that

results in uniform overlapping coverage at an application rate of 0.03 to 0.05 gal./s.y. for a non-milled surface and an application rate of 0.05 to 0.07 gal./s.y. for a milled surface. For areas where both milled and un-milled surfaces occur, the tack coat shall be an application rate of 0.03 to 0.05 gal /s.y. The Engineer must approve the equipment and the method of measurement prior to use. The material for tack coat shall be heated to 160°F ± 10°F and shall not be further diluted.

Tack coat shall be allowed sufficient time to break prior to any paving equipment or haul vehicles driving on it.

The Contractor may request to omit the tack coat application between bituminous concrete layers that have not been exposed to traffic and are placed during the same work shift. Requests to omit tack coat application on the upper and lower surfaces of a wedge joint will not be considered.

Placement: The mixture shall be placed and compacted to provide a smooth, dense surface with a uniform texture and no segregation at the specified thickness and dimensions indicated in the plans and specifications.

When unforeseen weather conditions prevent further placement of the mixture, the Engineer is not obligated to accept or place the bituminous concrete mixture that is in transit from the Plant.

In advance of paving, traffic control requirements shall be set up, maintained throughout placement, and shall not be removed until all associated work including density testing is completed.

The mixture temperature will be verified by means of a probe or infrared type of thermometer. The placement temperature range shall be listed in the quality control plan (QCP) for placement and meet the requirements of Table M.04.03-4. Any HMA material that falls outside the specified temperature range as measured by a probe thermometer may be rejected.

The Contractor shall inspect the newly placed pavement for defects in mixture or placement before rolling is started. Any deviation from standard crown or section shall be immediately remedied by placing additional mixture or removing surplus mixture. Such defects shall be corrected to the satisfaction of the Engineer.

Where it is impracticable due to physical limitations to operate the paving equipment, the Engineer may permit the use of other methods or equipment. Where hand spreading is permitted, the mixture shall be placed by means of suitable shovels and other tools, and in a uniformly loose layer at a thickness that will result in a completed pavement meeting the designed grade and elevation.

Placement Tolerances: Each lift of bituminous concrete placed at a specified thickness shall meet the following requirements for thickness and area. Any pavement exceeding these limits shall be subject to an adjustment or removal. Lift tolerances will not relieve the Contractor from

meeting the final designed grade. Lifts of specified non-uniform thickness, i.e. wedge or shim course, shall not be subject to thickness and area adjustments.

- a) Thickness: Where the average thickness of the lift exceeds that shown on the plans beyond the tolerances shown in Table 4.06-3, the Engineer will calculate the thickness adjustment in accordance with Article 4.06.04.

TABLE 4.06-3: Thickness Tolerances

Mixture Designation	Lift Tolerance
S1	+/- 3/8 inch
S0.25, S0.375, S0.5	+/- 1/4 inch

Where the thickness of the lift of mixture is less than that shown on the plans beyond the tolerances shown in Table 4.06-3, the Contractor, with the approval of the Engineer, shall take corrective action in accordance with this Section.

- b) Area: Where the width of the lift exceeds that shown on the plans by more than the specified thickness, the Engineer will calculate the area adjustment in Article 4.06.04.
- c) Delivered Weight of Mixture: When the delivery ticket shows that the truck exceeds the allowable gross weight for the vehicle type, the Engineer will calculate the weight adjustment in accordance with Article 4.06.04.

Transverse Joints: All transverse joints shall be formed by saw-cutting to expose the full thickness of the lift. Tack coat shall be applied to the sawn face immediately prior to additional mixture being placed.

Compaction: The Contractor shall compact the mixture to meet the density requirements as stated in Article 4.06.04 and eliminate all roller marks without displacement, shoving cracking, or aggregate breakage.

When placing a lift with a specified thickness less than 1 1/2 inches, or a wedge course, the Contractor shall provide a minimum rolling pattern as determined by the development of a compaction curve. The procedure to be used shall be documented in the Contractor’s QCP for placement and demonstrated on the first day of placement.

The use of the vibratory system on concrete structures is prohibited. When approved by the Engineer, the Contractor may operate a roller using an oscillatory system at the lowest frequency setting.

If the Engineer determines that the use of compaction equipment in the dynamic mode may damage highway components, utilities or adjacent property, the Contractor shall provide alternate compaction equipment.

Rollers operating in the dynamic mode shall be shut off when changing directions.

These allowances will not relieve the Contractor from meeting pavement compaction requirements.

Surface Requirements:

Each lift of the surface course shall not vary more than 1/4 inch from a Contractor-supplied 10 foot straightedge. For all other lifts of bituminous concrete, the tolerance shall be 3/8 inch. Such tolerance will apply to all paved areas.

Any surface that exceeds these tolerances shall be corrected by the Contractor at its own expense.

7. Longitudinal Joint Construction Methods: The Contractor shall use Method I - Notched Wedge Joint (see Figure 4.06-1) when constructing longitudinal joints where lift thicknesses are 1 ½ inches to 3 inches. S1.0 mixtures shall be excluded from using Method I. Method II - Butt Joint (see Figure 4.06-2) shall be used for lifts less than 1 1/2 inches or greater than 3 inches. Each longitudinal joint shall maintain a consistent offset from the centerline of the roadway along its entire length. The difference in elevation between the two faces of any completed longitudinal joint shall not exceed 1/4 inch at any location.

Method I - Notched Wedge Joint:

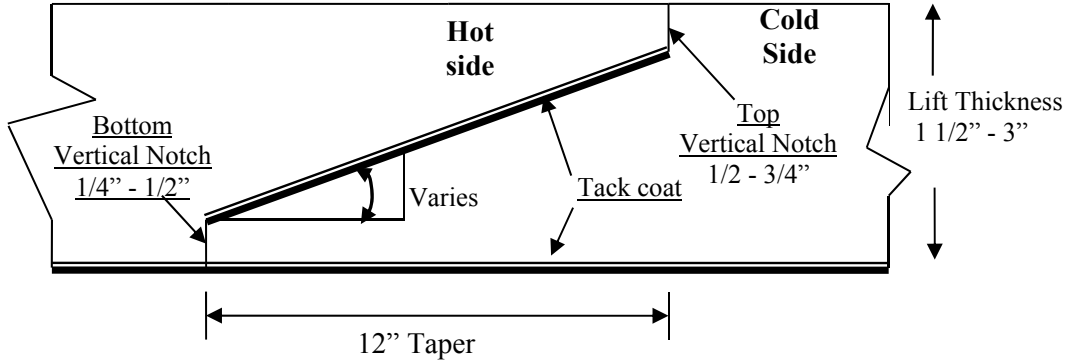
A notched wedge joint shall be constructed as shown in Figure 4.06-1 using a device that is attached to the paver screed and is capable of independently adjusting the top and bottom vertical notches. The device shall have an integrated vibratory system. The top vertical notch must be located at the centerline or lane line in the final lift. The requirement for paving full width “curb to curb” as described in Method II may be waived if addressed in the QC plan and approved by the Engineer.

The taper portion of the wedge joint shall be evenly compacted using equipment other than the paver or notch wedge joint device. The compaction device shall be the same width as the taper and not reduce the angle of the wedge or ravel the top notch of the joint during compaction.

When placed on paved surfaces, the area below the sloped section of the joint shall be treated with tack coat. The top surface of the sloped section of the joint shall be treated with tack coat prior to placing the completing pass.

The taper portion of the wedge joint shall not be exposed to traffic for more than 5 calendar days.

Figure 4.06-1: Notched Wedge Joint (Not to Scale)



Any exposed wedge joint must be located to allow for the free draining of water from the road surface.

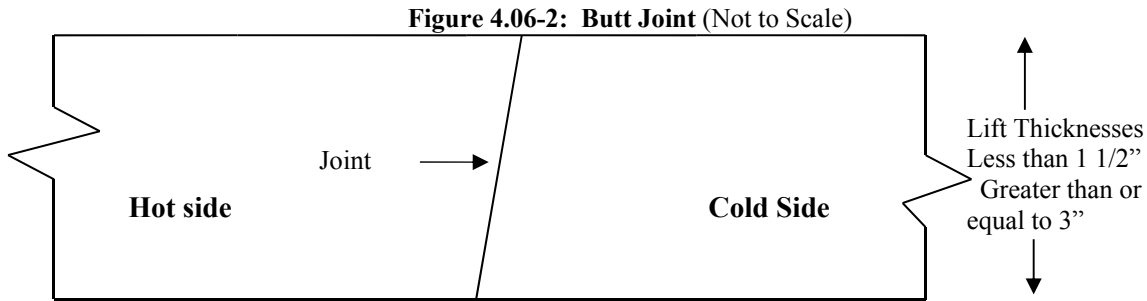
The Engineer reserves the right to define the paving limits when using a wedge joint that will be exposed to traffic.

If Method I cannot be used on those lifts which are 1 1/2 inches to 3 inches, Method III may be substituted according to the requirements below for "Method III - Butt Joint with Hot Poured Rubberized Asphalt Treatment."

Method II - Butt Joint:

When adjoining passes are placed, the Contractor shall use the end gate to create a near vertical edge (refer to Figure 4.06-2). The completing pass (hot side) shall have sufficient mixture so that the compacted thickness is not less than the previous pass (cold side). During placement of multiple lifts, the longitudinal joint shall be constructed in such a manner that it is located at least 6 inch from the joint in the lift immediately below. The joint in the final lift shall be at the centerline or at lane lines. The end gate on the paver should be set so there is an overlap onto the cold side of the joint.

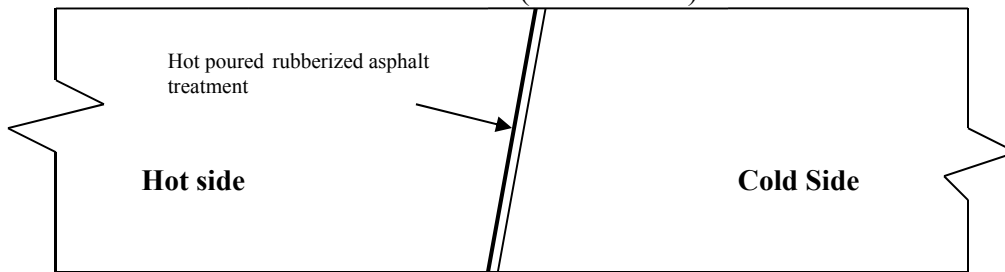
The Contractor shall not allow any butt joint to be incomplete at the end of a work shift unless otherwise allowed by the Engineer. When using this method, the Contractor is not allowed to leave a vertical edge exposed at the end of a work shift and must complete paving of the roadway full width "curb to curb."



Method III - Butt Joint with Hot Poured Rubberized Asphalt Treatment:

If Method I cannot be used due to physical constraints in certain limited locations, the Contractor may submit a request in writing for approval by the Engineer to use Method III as a substitution in those locations. There shall be no additional measurement or payment made when Method III is substituted for Method I. When required by the Contract or approved by the Engineer, Method III (see Figure 4.06-3) shall be used.

Figure 4.06-3: Butt Joint with Hot Poured Rubberized Asphalt Treatment (Not to Scale)



All of the requirements of Method II must be met with Method III. In addition, the longitudinal vertical edge must be treated with a rubberized joint seal material meeting the requirements of ASTM D6690, Type 2. The joint sealant shall be placed on the face of the “cold side” of the butt joint as shown above prior to placing the “hot side” of the butt joint. The joint seal material shall be applied in accordance with the manufacturer’s recommendation so as to provide a uniform coverage and avoid excess bleeding onto the newly placed pavement.

8. Contractor Quality Control (QC) Requirements: The Contractor shall be responsible for maintaining adequate quality control procedures throughout the production and placement operations. Therefore, the Contractor must ensure that the materials, mixture, and work provided by Subcontractors, Suppliers, and Producers also meet Contract specification requirements.

This effort must be documented in Quality Control Plans (QCP) and must address the actions, inspection, or sampling and testing necessary to keep the production and placement operations in control, to determine when an operation has gone out of control and to respond to correct the situation in a timely fashion.

The Standard QCP for production shall consist of the quality control program specific to the production facility.

There are 3 components to the QCP for placement: a Standard QCP, a Project Summary Sheet that details Project-specific information, and, if applicable, a separate Extended Season Paving Plan as required in 4.06.03-9 “Temperature and Seasonal Requirements.”

The Standard QCP for both production and placement shall be submitted to the Department for approval each calendar year and at a minimum of 30 days prior to production or placement.

Production or placement shall not occur until all QCP components have been approved by the Engineer.

Each QCP shall include the name and qualifications of a Quality Control Manager (QCM). The QCM shall be responsible for the administration of the QCP, and any modifications that may become necessary.

The QCM shall have the ability to direct all Contractor personnel on the Project during paving operations.

The QCPs shall also include the name and qualifications of any outside testing laboratory performing any QC functions on behalf of the Contractor. The QC Technician performing in-place density testing shall be NETTCP certified as a paving inspector.

Approval of the QCP does not relieve the Contractor of its responsibility to comply with the Project specifications. The Contractor may modify the QCPs as work progresses and must document the changes in writing prior to resuming operations. These changes include but are not limited to changes in quality control procedures or personnel. The Department reserves the right to deny significant changes to the QCPs.

QCP for Production: Refer to M.04.03-1.

QCP for Placement: The Standard QCP, Project Summary Sheet, and Extended Season Paving Plan shall conform to the format provided by the Engineer. The format is available at http://www.ct.gov/dot/lib/dot/documents/dconstruction/pat/qcp_outline_hma_placement.pdf

The Contractor shall perform all quality control sampling and testing, provide inspection, and exercise management control to ensure that bituminous concrete placement conforms to the requirements as outlined in its QCP during all phases of the work. The Contractor shall document these activities for each day of placement.

The Contractor shall submit complete field density testing and inspection records to the Engineer within 48 hours in a manner acceptable to the Engineer.

The Contractor may obtain 1 mat core and 1 joint core per day for process control, provided this process is detailed in the QCP. The results of these process control cores shall not be used to

dispute the Department's determinations from the acceptance cores. The Contractor shall submit the location of each process control core to the Engineer for approval prior to taking the core. The core holes shall be filled to the same requirements described in Subarticle 4.06.03-10.

9. Temperature and Seasonal Requirements: Paving, including placement of temporary pavements, shall be divided into 2 seasons, "In-Season" and "Extended-Season." In-Season paving occurs from May 1 to October 14, and Extended Season paving occurs from October 15 to April 30. The following requirements shall apply unless otherwise authorized or directed by the Engineer:

- Mixtures shall not be placed when the air or subbase temperature is less than 40°F regardless of the season.
- Should paving operations be scheduled during the Extended Season, the Contractor must submit an Extended Season Paving Plan for the Project that addresses minimum delivered mix temperature considering WMA, PMA, or other additives; maximum paver speed; enhanced rolling patterns; and the method to balance mixture delivery and placement operations. Paving during Extended Season shall not commence until the Engineer has approved the plan.

10. Field Density The Contractor shall obtain cores for the determination of mat and longitudinal joint density of bituminous concrete pavements. Within five calendar days of placement, mat and joint cores shall be extracted on each lift with a specified thickness of 1 1/2 inches or more. Joint cores shall not be extracted on HMA S1.0 lifts.

The Contractor shall extract cores from random locations determined by the Engineer in accordance with ASTM D3665. Four (4) or six (6) inch diameter cores shall be extracted for S0.25, S0.375 and S0.5 mixtures; 6 inch diameter cores shall be required for S1.0 mixtures. The Contractor shall coordinate with the Engineer to witness the extraction, labeling of cores, and filling of the core holes.

Each lift will be separated into lots as follows:

- a. Simple Average Density Lots: For total estimated quantities below 2,000 tons, the lift will be evaluated in one lot which will include the total paved tonnage of the lift and all longitudinal joints between the curb lines.
For total estimated quantities between 2,000 and 3,500 tons, the lift will be evaluated in two lots in which each lot will include approximately half of the total tonnage placed for the full paving width of a lift including all longitudinal joints between the curb lines.
- b. PWL Density Lots: Mat density lots will include each 3,500 tons of mixture placed within 30 calendar days. Joint density lots will include 14,000 linear feet of constructed joints. Bridge density lots will always be analyzed using simple average lot methodology.
- c. Partial Density Lot (For PWL only): A mat density lot with less than 3,500 tons or a joint density lot with less than 14,000 linear feet due to:
 - completion of the course; or
 - a lot spanning 30 calendar days.

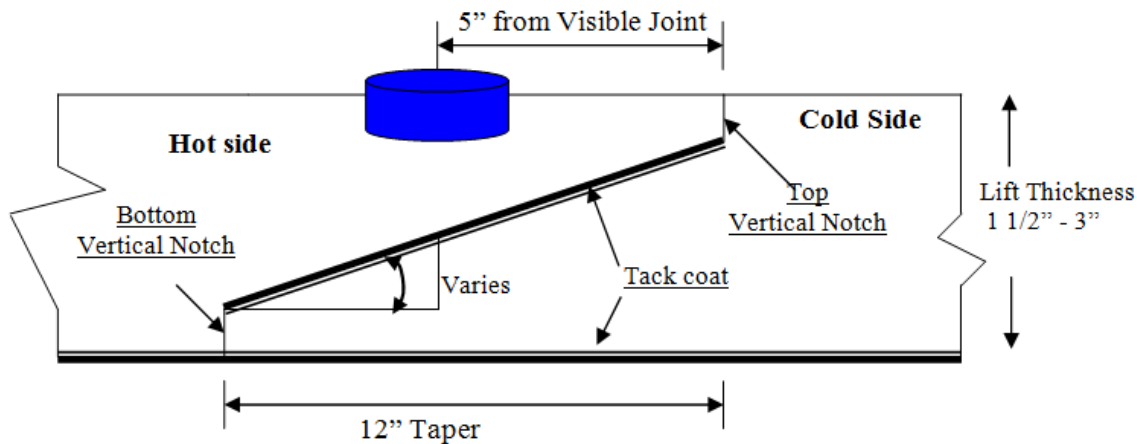
Prior to paving, the type and number of lot(s) will be determined by the Engineer. Noncontiguous areas such as highway ramps may be combined to create one lot.

After the lift has been compacted and cooled, the Contractor shall cut cores to a depth equal to or greater than the lift thickness and shall remove them without damaging the lift(s) to be tested. Any core that is damaged or obviously defective while being obtained will be replaced with a new core from a location within 2 feet measured in a longitudinal direction.

A mat core shall not be located any closer than 1 foot from the edge of a paver pass. If a random number locates a core less than 1 foot from any edge, the location will be adjusted by the Engineer so that the outer edge of the core is 1 foot from the edge of the paver pass.

Method I, Notched Wedge Joint cores shall be taken so that the center of the core is 5 inches from the visible joint on the hot mat side (Figure 4.06-4).

Figure 4.06-4: Notched Wedge Joint Cores (Not to Scale)

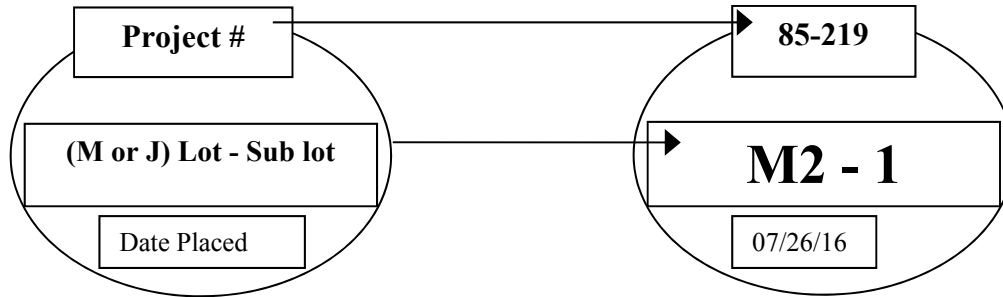


When Method II or Method III Butt Joint is used, cores shall be taken from the hot side so the edge of the core is within 1 inch of the longitudinal joint.

The cores shall be labeled by the Contractor with the Project number, date placed, lot number, and sub-lot number. The core's label shall include "M" for a mat core and "J" for a joint core. For example, a mat core from the first lot and the first sub-lot shall be labeled with "M1 - 1." A mat core from the second lot and first sub-lot shall be labeled "M2-1" (see Figure 4.06-5). The Engineer shall fill out a MAT-109 to accompany the cores. The Contractor shall deliver the cores and MAT-109 to the Department's Central Lab. The Contractor shall use a container approved by the Engineer. The container shall have a lid capable of being locked shut and tamper proof. The Contractor shall use foam, bubble wrap, or another suitable material to prevent the cores from being damaged during handling and transportation. Once the cores and MAT-109 are in the container the Engineer will secure the lid using security seals at the removable hinges(s) and at the lid opening(s). The security seals' identification number must be documented on the MAT-109. All sealed containers shall be delivered to the Department's

Central Lab within two working days from time of extraction. Central Lab personnel will break the security seal and take possession of the cores.

Figure 4.06-5: Labeling of Cores



Each core hole shall be filled within 4 hours upon core extraction. Prior to being filled, the hole shall be prepared by removing any free water and applying tack coat using a brush or other means to uniformly cover the cut surface. The core hole shall be filled using a bituminous concrete mixture at a minimum temperature of 240°F containing the same or smaller nominal maximum aggregate size and compacted with a hand compactor or other mechanical means to the maximum compaction possible. The bituminous concrete shall be compacted to 1/8 inch above the finished pavement.

Simple Average Density Lots:

A standard simple average density lot is the quantity of material placed within the defined area excluding any bridge decks.

A combo simple average density lot is the quantity of material placed within the defined area including bridge decks less than or equal to 500 feet long.

A bridge simple average density lot is the quantity of material placed on a bridge deck longer than 500 feet.

The number of cores per lot shall be determined in accordance with Table 4.06-4. If a randomly selected mat or joint core location is on a bridge deck, the core is to be obtained on the bridge deck in addition to the core(s) required on the bridge deck.

The number of cores per lot shall be determined in accordance with Table 4.06-5. Multiple bridge decks can be combined into one lot if the paving and underlying conditions are comparable. If multiple bridge decks are combined into a single bridge lot, at least one mat and joint core shall be obtained on each bridge.

The longitudinal locations of mat cores within a standard, combo, or bridge lot containing multiple paving passes will be determined using the combined length of the paving passes within the lot.

TABLE 4.06-4: Number of Cores per Lot (Simple Average)

Lot Type	No. of Mat Cores		No. of Joint Cores	
Standard Lot < 500 Tons	3		3	
Standard Lot ≥ 500 Tons	4		4	
Combo Lot < 500 Tons	2 plus	1 per bridge (≤ 300')	2 plus	1 per bridge (≤ 300)
Combo Lot ≥ 500 Tons ⁽¹⁾	4 plus	2 per bridge (301' – 500')	4 plus	2 per bridge (301' – 500')

TABLE 4.06-5: Number of Core per Bridge Density Lot (Simple Average)

Length of Bridge(s) (Feet)	Minimum No. of Mat Cores	Minimum No. of Joint Cores
< 500	2	2
501 – 1,500	3	3
1,501 – 2,500	4	4
2,501 and greater	5	5

PWL Density Lots:

A PWL mat density lot is 3,500 tons of material placed within the defined area excluding any bridges. One mat core will be obtained per every 500 tons placed.

A PWL joint density lot is 14,000 linear feet of longitudinal joint excluding any joints on bridge decks. One joint core will be obtained per every 2,000 linear feet of joint.

Bridge density lots will always be analyzed as using the simple average lot methodology. The number of cores per lot shall be determined in accordance with Table 4.06-5. Multiple bridge decks can be combined into one lot if the paving and underlying conditions are comparable. If multiple bridge decks are combined into a single bridge lot, at least one mat and joint core shall be obtained on each bridge.

11. Acceptance Sampling and Testing: Sampling shall be performed in accordance with ASTM D3665 or a statistically-based procedure of stratified random sampling approved by the Engineer.

Plant Material Acceptance: The Contractor shall provide the required sampling and testing during all phases of the work in accordance with M.04. The Department will verify the Contractor's acceptance test results. Should any test results exceed the specified tolerances in the Department's current QA Program for Materials, the Contractor's test results for a subject lot or sub lot may be replaced with the Department's results for the purpose of calculating adjustments. The verification procedure is included in the Department's current QA Program for Materials.

Density Acceptance: The Engineer will perform all acceptance testing in accordance with

AASHTO T 331. The density of each core will be determined using the daily production's average maximum theoretical specific gravity (Gmm) established during the testing of the parent material at the Plant. When there was no testing of the parent material or any Gmm exceeds the specified tolerances in the Department's current QA Program for Materials, the Engineer will determine the maximum theoretical density value to be used for density calculations.

12. Density Dispute Resolution Process: The Contractor and Engineer will work in partnership to avoid potential conflicts and to resolve any differences that may arise during quality control or acceptance testing for density. Both parties will review their sampling and testing procedures and results and share their findings. If the Contractor disputes the Engineer's test results, the Contractor must submit in writing a request to initiate the Dispute Resolution Process within five calendar days of the notification of the test results. No request for dispute resolution will be allowed unless the Contractor provides quality control results from samples taken prior to and after finish rolling, and within the timeframe described in 4.06.03-8 supporting its position. No request for dispute resolution will be allowed for a density lot in which any core was not taken within the required 5 calendar days of placement. Should the dispute not be resolved through evaluation of existing testing data or procedures, the Engineer may authorize the Contractor to obtain a new core or set of core samples per disputed lot. The core samples must be extracted no later than seven calendar days from the date of the Engineer's authorization. All such core samples shall be extracted and the core hole filled using the procedure outlined in 4.06.03-10.

a) Simple Average Lots: The Contractor may only dispute any simple average lot that is adjusted at or below 95 percent payment. The number and location (mat, joint, or structure) of the cores taken for dispute resolution must reflect the number and location of the original cores. The location of each core shall be randomly located within the respective original sub lot. The dispute resolution results shall be combined with the original results and averaged for determining the final in-place density value.

b) PWL Lots: The Contractor may dispute any PWL subplot when the PWL falls below 50% calculated in accordance with section 4.06.04.2.b. An additional random core in the subplot may be taken to validate the accuracy of the core in question. The Department will verify the additional core test result and may average the original test result with the additional core result for purpose of calculating adjustments.

13. Corrective Work Procedure:

If pavement placed by the Contractor does not meet the specifications, and the Engineer requires its replacement or correction, the Contractor shall:

- a) Propose a corrective procedure to the Engineer for review and approval prior to any corrective work commencing. The proposal shall include:
 - Limits of pavement to be replaced or corrected, indicating stationing or other landmarks that are readily distinguishable.

- Proposed work schedule.
- Construction method and sequence of operations.
- Methods of maintenance and protection of traffic.
- Material sources.
- Names and telephone numbers of supervising personnel.

b) Any corrective courses placed as the final wearing surface shall match the specified lift thickness after completion.

14. Protection of the Work: The Contractor shall protect all sections of the newly finished pavement from damage that may occur as a result of the Contractor's operations for the duration of the Project.

15. Cut Bituminous Concrete Pavement: Work under this item shall consist of making a straight-line cut in the bituminous concrete pavement to the lines delineated on the plans or as directed by the Engineer. The cut shall provide a straight, clean, vertical face with no cracking, tearing or breakage along the cut edge.

4.06.04—Method of Measurement:

1. HMA S* or PMA S*: Bituminous concrete will be measured for payment as the amount of material in tons placed as determined by the net weight on the delivered tickets and adjusted by area, thickness and weight as follows:

Quantity Adjustments: Adjustments may be applied to the placed bituminous concrete quantities that will be measured for payment using the following formulas:

Yield Factor for Adjustment Calculation = 0.0575 tons/SY/inch

Actual Area (SY) = [(Measured Length (ft)) x (Avg. of width measurements (ft))] \div 9 s.f./SY

Actual Thickness (t) = Total tons delivered / [Actual Area (SY) x 0.0575 tons/SY/inch]

a) Area: If the average width exceeds the allowable tolerance, an adjustment will be made using the following formula. The tolerance for width is equal to the specified thickness (inch) of the lift being placed.

Quantity Adjusted for Area (T_A) = [(L x W_{adj})/9] x (t) x 0.0575 Tons/SY/inch = (-) tons

Where: L = Length (ft)

(t) = Actual thickness (inches)

W_{adj} = (Designed width (ft) + tolerance /12) - Measured Width)

b) Thickness: If the actual average thickness is less than the allowable tolerance, the Contractor shall submit a repair procedure to the Engineer for approval. If the actual thickness exceeds the allowable tolerance, an adjustment will be made using the following

formula:

$$\text{Quantity Adjusted for Thickness (T}_T\text{)} = A \times t_{\text{adj}} \times 0.0575 = (-) \text{ tons}$$

Where: A = Area = $\{[L \times (\text{Design width} + \text{tolerance (lift thickness)})/12]\} / 9\}$

t_{adj} = Adjusted thickness = $[(Dt + \text{tolerance}) - \text{Actual thickness}]$

Dt = Designed thickness (inches)

- c) Weight: If the quantity of bituminous concrete representing the mixture delivered to the Project is in excess of the allowable gross vehicle weight (GVW) for each vehicle, an adjustment will be made using the following formula:

$$\text{Quantity Adjusted for Weight (T}_W\text{)} = \text{GVW} - \text{DGW} = (-) \text{ tons}$$

Where: DGW = Delivered gross weight as shown on the delivery ticket or measured on a certified scale

2. Bituminous Concrete Adjustment Cost:

- a) Production Lot Adjustment: An adjustment may be applied to each production lot as follows:

- i. Non-PWL Production Lot (less than 3,500 tons):

The adjustment values in Tables 4.06-6 and 4.06-7 will be calculated for each sub lot based on the Air Void (AV) and Asphalt Binder Content (PB) test results for that sub lot. The total adjustment for each day's production (lot) will be computed as follows:

$$\text{Tons Adjusted for Superpave Design (T}_{SD}\text{)} = [(\text{AdjAV}_t + \text{AdjPB}_t) / 100] \times \text{Tons}$$

Where: AdjAV_t: Percent adjustment for air voids

AdjPB_t: Percent adjustment for asphalt binder

Tons: Weight of material (tons) in the lot adjusted by 4.06.4-1

$$\text{Percent Adjustment for Air Voids} = \text{AdjAV}_t = [\text{AdjAV}_1 + \text{AdjAV}_2 + \text{AdjAV}_i + \dots + \text{AdjAV}_n] / n$$

Where: AdjAV_t = Total percent air void adjustment value for the lot

AdjAV_i = Adjustment value from Table 4.06-6 resulting from each sub lot or the average of the adjustment values resulting from multiple tests within a sub lot, as approved by the Engineer.

n = number of sub lots based on Table M.04.03-2

TABLE 4.06-6: Adjustment Values for Air Voids

Adjustment Value (AdjAV _i) (%)	S0.25, S0.375, S0.5, S1 Air Voids (AV)
+2.5	3.8 - 4.2
+3.125*(AV-3)	3.0 - 3.7
-3.125*(AV-5)	4.3 - 5.0
20*(AV-3)	2.3 - 2.9
-20*(AV-5)	5.1 - 5.7
-20.0	≤ 2.2 or ≥ 5.8

Percent Adjustment for Asphalt Binder = AdjPB_t = [(AdjPB₁ + AdjPB₂ + AdjPB_i + ... + AdjPB_n)] / n

Where: AdjPB_t = Total percent liquid binder adjustment value for the lot
 AdjPB_i = Adjustment value from Table 4.06-7 resulting from each sub lot
 n = number of binder tests in a production lot

TABLE 4.06-7: Adjustment Values for Binder Content

Adjustment Value (AdjAV _i) (%)	S0.25, S0.375, S0.5, S1 Pb
0.0	JMF Pb ± 0.3
- 10.0	≤ JMF Pb - 0.4 or ≥ JMF Pb + 0.4

ii. PWL Production Lot (3500 tons or more):

For each lot, the adjustment values will be calculated using PWL methodology based on AV, VMA, and PB test results. The results will be considered as being normally distributed and all applicable equations in AASHTO R 9 and AASHTO R 42 Appendix X4 will apply.

Only one test result will be considered for each sub lot. The specification limits are listed in M.04.

For AV, PB, and voids in mineral aggregate (VMA), the individual material quantity characteristic adjustment (Adj) will be calculated as follows:

For PWL between 50 and 90%: Adj(AV_t or PB_t or VMA_t) = (55 + 0.5 PWL) - 100

For PWL at and above 90%: Adj(AV_t or PB_t or VMA_t) = (77.5 + 0.25 PWL) - 100

Where: AdjAV_t = Total percent AV adjustment value for the lot

AdjPB_t = Total percent PB adjustment value for the lot

AdjVMA_t = Total percent VMA adjustment value for the lot

A lot with PWL less than 50% in any of the 3 individual material quality characteristics will be evaluated under 1.06.04.

The total adjustment for each production lot will be computed using the following formula:

Tons Adjusted for Superpave Design (T_{SD}) = [(0.5AdjAV_t + 0.25AdjPB_t + 0.25 AdjVMA_t) / 100] X Tons

- Where Tons: Weight of material (tons) in the lot adjusted by 4.06.4-1
- iii. Partial Lots:
 - Lots with less than 4 sub lots will be combined with the prior lot. If there is no prior lot with equivalent material or if the last test result of the prior lot is over 30 calendar days old, the adjustment will be calculated as indicated in 4.06.04-2.a)i.
 - Lots with 4 or more sub lots will be calculated as indicated in 4.06.04-2.a)ii.

Production Lot Adjustment: $T_{SD} \times \text{Unit Price} = \text{Est. (Pi)}$

Where: Unit Price = Contract unit price per ton per type of mixture
 Est. (Pi)= Pay Unit in dollars representing incentive or disincentive per lot

- b) Density Lot Adjustment: An adjustment may be applied to each density lot as follows:
 - i. Simple Average Density Lot (less than 3500 tons) and Bridge Lots:

The final lot quantity shall be the difference between the total payable tons for the Project and the sum of the previous lots. If either the Mat or Joint adjustment value is “remove and replace,” the density lot shall be removed and replaced (curb to curb).
 No positive adjustment will be applied to a density lot in which any core was not taken within the required 5 calendar days of placement.

Tons Adjusted for Density (T_D) = $[\{(P_{AM} \times 0.50) + (P_{AJ} \times 0.50)\} / 100] \times \text{Tons}$

Where: T_D = Total tons adjusted for density for each lot
 P_{AM} = Mat density percent adjustment from Table 4.06-8
 P_{AJ} = Joint density percent adjustment from Table 4.06-9
 Tons: Weight of material (tons) in the lot adjusted by 4.06.4-1

TABLE 4.06-8: Adjustment Values for Pavement Mat density

Average Core Result	Percent Adjustment (Bridge and Non-Bridge) ⁽¹⁾⁽²⁾
Percent Mat Density	
97.1 - 100	-1.667*(ACRPD-98.5)
94.5 – 97.0	+2.5
93.5 – 94.4	+2.5*(ACRPD-93.5)
92.0 – 93.4	0
90.0 – 91.9	-5*(92-ACRPD)
88.0 – 89.9	-10*(91-ACRPD)
87.0 – 87.9	-30
86.9 or less	Remove and Replace (curb to curb)

Notes:

- (1) ACRPD = Average Core Result Percent Density
- (2) All Percent Adjustments to be rounded to the second decimal place; for example round 1.667 to 1.67.

TABLE 4.06-9: Adjustment Values for Pavement Joint Density

Average Core Result	Percent Adjustment (Bridge and Non-Bridge) ⁽¹⁾⁽²⁾
Percent Joint Density	
97.1 – 100	-1.667*(ACRPD-98.5)
93.5 – 97.0	+2.5
92.0 – 93.4	+1.667*(ACRPD-92)
91.0 – 91.9	0
89.0 – 90.9	-7.5*(91-ACRPD)
88.0 – 88.9	-15*(90-ACRPD)
87.0 – 87.9	-30
86.9 or less	Remove and Replace (curb to curb)

Notes:

⁽¹⁾ ACRPD = Average Core Result Percent Density

⁽²⁾ All Percent Adjustments to be rounded to the second decimal place; for example round 1.667 to 1.67

Additionally, any subplot with a density result below 87% will be evaluated under 1.06.04.

ii. PWL Density Lot (3,500 tons or more):

For each lot, the adjustment values will be calculated using PWL methodology based on mat and joint density test results. Only one result will be included for each subplot. The results will be considered as being normally distributed and all applicable equations in AASHTO R 9 and AASHTO R 42 Appendix X4 will apply.

The specification limits for the PWL determination are as follows:

Mat Density: 91.5-98%

Joint Density: 90-98%

For mat and joint density, the individual percent adjustment (PA) will be calculated as follows:

For PWL between 50 and 90%: $PA_{(M \text{ or } J)} = 0.25 * PWL - 22.50$

For PWL at and above 90%: $PA_{(M \text{ or } J)} = 0.125 * PWL - 11.25$

Where: PA_M = Total percent mat density adjustment value for the PWL mat density lot

PA_J = Total percent joint density adjustment value for the PWL joint density lot

No positive adjustment will be applied to a density lot in which any core was not taken within the required 5 calendar days of placement.

A lot with PWL less than 50% will be evaluated under 1.06.04.

The total adjustment for each PWL mat density lot will be computed as follows:

Tons Adjusted for Mat Density (T_{MD}) = $(PA_M / 100) \times \text{Tons}$

Where: Tons= Weight of material (tons) in the lot adjusted by 4.06.4-1.

The total adjustment for each PWL joint density lot will be computed as follows:

Tons Adjusted for Joint Density (T_{JD}) = $(PA_J / 100) \times J_Tons$

Tons Adjusted for Joint Density will be calculated at the end of each project or project phase.

Where: $J_Tons = Tons \text{ in project or phase adjusted by } 4.06.4 - 1 \times \frac{\text{Lot joint length}}{\text{Joint length in project or phase}}$

All bridge density lot adjustments will be evaluated in accordance with 4.06.04-2.b)i.

Additionally, any subplot with a density result below 87% will be evaluated under 1.06.04.

iii. Partial Lots:

Lots with less than 4 sub lots will be combined with the prior lot. If there is no prior lot with equivalent material and placement conditions or if the last test result of the prior lot is over 30 calendar days old, the mat and joint individual adjustments will be calculated in accordance to Tables 4.06-8 and 4.06-9. T_{MD} and T_{JD} will be calculated as indicated in 4.06.04-2.b)i.

Lots with 4 or more sub lots will be calculated as indicated in 4.06.04-2.b)ii.

Density Lot Adjustment (Simple Average Lots): $T_D \times \text{Unit Price} = \text{Est. (Di)}$

Density Lot Adjustment (PWL Lots): $(T_{MD} \text{ or } T_{JD}) \times \text{Unit Price} = \text{Est. (DMi or DJi)}$

Where: Unit Price = Contract unit price per ton per type of mixture

Est. (Di)= Pay Unit in dollars representing incentive or disincentive per simple average density lot

Est. (DMi)= Pay Unit in dollars representing incentive or disincentive per PWL mat lot

Est. (DJi)= Pay Unit in dollars representing incentive or disincentive per PWL joint lot

Additionally, any subplot with a density result below 87% will be evaluated under 1.06.04.

3. Transitions for Roadway Surface: The installation of permanent transitions will be measured under the appropriate item used in the formation of the transition.

The quantity of material used for the installation of temporary transitions will be measured for payment under the appropriate item used in the formation of the transition. The installation and removal of a bond breaker and the removal and disposal of any temporary transition formed by milling or with bituminous concrete pavement is not measured for payment.

4. Cut Bituminous Concrete Pavement: The quantity of bituminous concrete pavement cut will be measured in accordance with 2.02.04.

5. Material for Tack Coat: The quantity of tack coat will be measured for payment by the number of gallons furnished and applied on the Project and approved by the Engineer. No tack coat material shall be included that is placed in excess of the tolerance described in 4.06.03.

a. Container Method – Material furnished in a container will be measured to the nearest 1/2

gallon. The volume will be determined by either measuring the volume in the original container by a method approved by the Engineer or using a separate graduated container capable of measuring the volume to the nearest 1/2 gallon. The container in which the material is furnished must include the description of material, including lot number or batch number and manufacturer or product source.

b. Vehicle Method

- i. Measured by Weight: The number of gallons furnished will be determined by weighing the material on calibrated scales furnished by the Contractor. To convert weight to gallons, one of the following formulas will be used:

$$\text{Tack Coat (gallons at 60°F)} = \text{Measured Weight (pounds)} / \text{Weight per gallon at 60°F}$$

$$\text{Tack Coat (gallons at 60°F)} = 0.996 \times \text{Measured Weight (pounds)} / \text{Weight per gallon at 77°F}$$

- ii. Measured by automated metering system on the delivery vehicle:

$$\text{Tack Coat (gallons at 60°F)} = 0.976 \times \text{Measured Volume (gallons)}.$$

6. Material Transfer Vehicle (MTV): The furnishing and use of a MTV will be measured separately for payment based on the actual number of surface course tons delivered to a paver using the MTV.

4.06.05—Basis of Payment:

1. HMA S* or PMA S*: The furnishing and placing of bituminous concrete will be paid for at the Contract unit price per ton for " HMA S*" or " PMA S*."

All costs associated with providing illumination of the work area are included in the general cost of the work.

All costs associated with cleaning the surface to be paved, including mechanical sweeping, are included in the general cost of the work. All costs associated with constructing longitudinal joints are included in the general cost of the work.

All costs associated with obtaining cores for acceptance testing and dispute resolution are included in the general cost of the work.

2. Bituminous Concrete Adjustment Costs: This adjustment will be calculated using the formulas shown below if all of the measured adjustments in 4.06.04-2 are not equal to zero. A positive or negative adjustment will be applied to monies due the Contractor.

Production Lot: $\Sigma \text{ Est (Pi)} = \text{Est. (P)}$

Density Lot (Simple Average Lots): $\Sigma \text{ Est (Di)} = \text{Est. (D)}$

Density Lot (PWL): $\Sigma \text{ Est (DMi)} + \Sigma \text{ (D)Ji} = \text{Est. (D)}$

Bituminous Concrete Adjustment Cost= Est. (P) + Est. (D)

Where: Est. ()= Pay Unit in dollars representing incentive or disincentive in each production or density lot calculated in 4.06.04-2

The Bituminous Concrete Adjustment Cost item, if included in the bid proposal or estimate, is not to be altered in any manner by the Bidder. If the Bidder should alter the amount shown, the altered figure will be disregarded and the original estimated cost will be used for the Contract.

3. **Transitions for Roadway Surface:** The installation of permanent transitions will be paid under the appropriate item used in the formation of the transition. The quantity of material used for the installation of temporary transitions will be paid under the appropriate pay item used in the formation of the transition. The installation and removal of a bond breaker, and the removal and disposal of any temporary transition formed by milling or with bituminous concrete pavement is included in the general cost of the work.
4. The cutting of bituminous concrete pavement will be paid in accordance with 2.02.05.
5. Material for tack coat will be paid for at the Contract unit price per gallon at 60°F for "Material for Tack Coat."
6. The Material Transfer Vehicle (MTV) will be paid at the Contract unit price per ton for "Material Transfer Vehicle."

Pay Item	Pay Unit
HMA S*	ton
PMA S*	ton
Bituminous Concrete Adjustment Cost	est.
Material for Tack Coat	gal.
Material Transfer Vehicle	ton

SECTION 5.86 - CATCH BASINS, MANHOLES AND DROP INLETS

5.86.01—Description

5.86.02—Materials

5.86.03—Construction Methods

5.86.04—Method of Measurement

5.86.05—Basis of Payment

5.86.01—Description: The work under this Section shall consist of furnishing, preparing, and installing catch basins, manholes and drop inlets (and also the removal, abandonment, alteration, reconstruction, or conversion of such existing structures) in conformity with the lines, grades, dimensions and details shown on the plans.

This Section shall also include resetting or replacing catch basin tops as well as manhole frames and covers.

5.86.02—Materials: The materials for this work shall meet the following requirements:

Drainage structures shall meet the requirements of M.08.02 and shall utilize concrete with a 28-day minimum compressive strength of 4000 psi.

Galvanizing shall meet the requirements of M.06.03.

Mortar shall meet the requirements of M.11.04.

Butyl rubber joint seal shall meet the requirements of ASTM C990.

Granular fill, if necessary, shall meet the requirements of M.02.01.

Protective compound material shall be a type appearing on the Department's Qualified Products List and be acceptable to the Engineer, as specified in M.03.09.

5.86.03—Construction Methods: Drainage trench excavation, including rock in drainage trench excavation and backfilling, shall be performed in accordance with 2.86.03 and the requirements of the plans.

Where a drainage structure is to be installed below the surface, a drainage trench shall be excavated to the required depth, the bottom of which shall be graded to the elevation of the bottom of the proposed drainage structure or to ensure a uniform foundation for the structure.

Where a firm foundation is not encountered at the grades established due to unsuitable material, such as soft, spongy, or unstable soil, the unsuitable material shall be removed and replaced with approved granular fill, thoroughly compacted in lifts not to exceed 6 inches. The Engineer shall be notified prior to removal of the unsuitable material in order to determine the depth of removal necessary.

When rock, as defined in 2.86.01-2, is encountered, work shall be performed in accordance with 2.86.03 and the requirements of the plans.

When a drainage structure outside of proposed drainage trench limits is to be removed, it shall be completely removed and all pipes shall be removed or plugged with cement masonry.

When a drainage structure is to be abandoned, the structure shall be removed to a depth 2 feet below the subgrade or as directed by the Engineer. The floor of the structure shall be broken and all pipes shall be plugged with cement masonry.

Drainage structures shall be constructed in accordance with the plans and the requirements contained herein for the character of the work involved. The provisions of 6.02.03 pertaining to bar reinforcement shall apply except that shop drawings need not be submitted for approval unless called for in the plans, Contract or directed by the Engineer. Welding shall be performed in accordance with the applicable sections of the AWS Structural Welding Code, D1.1.

When it becomes necessary to increase the horizontal dimensions of manholes, catch basins and drop inlets to sizes greater than those shown on the plans in order to provide for multiple pipe installations, large pipes or for other reasons, the Contractor shall construct such manholes, catch basins and drop inlets to modified dimensions as directed by the Engineer.

The surfaces of the tops of all catch basins, and drop inlets shall be given a coat of protective compound material, at the manufacturer's recommended application rate, immediately upon completion of the concrete curing period.

All masonry units shall be laid in full mortar beds.

Metal fittings for catch basins, manholes or drop inlets shall be set in full mortar beds or otherwise secured as shown on the plans.

All inlet and outlet pipes shall be set flush with the inside face of the wall of the drainage structure as shown on the plans. The pipes shall extend through the walls for a sufficient distance beyond the outside surface to allow for satisfactory connections, and the concrete or masonry shall be constructed around them neatly to prevent leakage along their outer surfaces.

When constructing a new drainage structure within a run of existing pipe, the section of existing pipe disturbed by the construction shall be replaced with new pipe of identical type and size extending from the drainage structure to the nearest joint of the existing pipe in accordance with 6.86.03 or as directed by the Engineer.

Backfilling shall be performed in accordance with 2.86.03.

Frames, covers and tops which are to be reset shall be removed from their present beds, the walls or sides shall be rebuilt to conform to the requirements of the new construction and the frames, covers and tops shall be reset as shown on the plans or as directed by the Engineer.

5.86.04—Method of Measurement:

Drainage Trench Excavation: In accordance with 2.86.04, excavation for drainage trench will not be measured for payment but shall be included in the Contract unit price for the type of structure being installed.

Rock in Drainage Trench Excavation: Rock in Drainage Trench Excavation will be measured in accordance with the drainage trench excavation limits described in 2.86.03.

Manholes, Catch Basins and Drop Inlets will be measured as separate units.

Resetting of Manholes, Catch Basins and Drop Inlets will be measured as separate units.

Replacement of frames, covers, and tops will be measured as a unit for catch basin top or manhole frame and cover.

Conversion of drainage structures as specified on the plans, or as directed by the Engineer, including structure reconstruction will be measured for payment as a unit.

Removal or abandonment of drainage structures outside of drainage trench excavation limits, as defined in 2.86.03, will be measured as separate units.

There will be no measurement or direct payment for the application of the protective compound material, the cost of this work shall be considered as included in the general cost of the work.

Measurement for payment for work and materials involved with installing pipes to connect new drainage structures into a run of existing pipe will be as provided for under the applicable Contract items in accordance with 6.86.04.

There will be no measurement or direct payment for plugging existing pipes with cement masonry, the cost of this work will be considered as included in the general cost of the work.

5.86.05—Basis of Payment:

Drainage Trench Excavation for the installation of proposed structures described herein will be paid for under the respective drainage Contract item(s) for which the excavation is being performed, in accordance with the provisions of 2.86.05.

Rock in Drainage Trench Excavation will be paid for in accordance with the provisions of 2.86.05.

Manholes and Catch Basins will be paid for at the Contract unit price for each "Manhole," or "Catch Basin," of the type specified, at "0' to 10' Deep" or "0' to 20' Deep," complete in place, which price shall include all excavation, backfill, materials, equipment, tools and labor incidental thereto.

Drop Inlets will be paid for at the Contract unit price for each "Drop Inlet," of the type specified, complete in place, which price shall include all excavation, backfill, materials, equipment, tools and labor incidental thereto.

Manholes, Catch Basins and Drop Inlets constructed to modified dimensions as directed by the Engineer, will be paid for as follows:

Where the interior floor area has to be increased to accommodate existing field conditions, as measured horizontally at the top of the base of the completed structure, and does not exceed 125% of the interior floor area as shown on the plans for that structure, then the structure shall be paid for at the Contract unit price for each "Manhole," "Catch Basin," or "Drop Inlet" of the type specified. Where the floor area is greater than 125%, the increase in the unit price for the individual structure shall be in direct proportion to the increase of the completed structure interior floor area as compared to the interior floor area as shown on the plans for that structure. Such increased unit price shall include all excavation, materials, equipment, tools, and labor incidental to the completion of the structure.

Reset Units will be paid for at the Contract unit price each for "Reset Manhole," "Reset Catch Basin," or "Reset Drop Inlet," of the type specified, respectively, complete in place, which price shall include excavation, cutting of pavement, removal and replacement of pavement structure, and all materials, equipment, tools and labor incidental thereto, except when the work requires reconstruction greater than 3 feet, measured vertically, then the entire cost of resetting the unit will be paid for as Extra Work in accordance with the provisions of 1.04.05.

Frames, Covers, and Tops when required in connection with reset units, will be paid for at the Contract unit price each for such "Manhole Frame and Cover" or "(Type) Catch Basin Top," complete in place, including all incidental expense; or when no price exists, the furnishing and placing of such material will be paid for as Extra Work in accordance with the provisions of 1.04.05.

When the catch basin top has a stone or granite curb in its design, the curb or inlet shall be included in the cost of the "(Type) Catch Basin Top."

Conversion of drainage structures will be paid for at the Contract unit price each for "Convert Catch Basin to (Type) Catch Basin," "Convert Catch Basin to (Type) Manhole," or

"Convert Manhole to (Type) Catch Basin," complete in place, which price shall include excavation, cutting of pavement, removal and replacement of pavement, backfill, all alterations to existing structure, all materials including catch basin frame and grate of the type specified, or manhole frame and cover, all equipment, tools and labor incidental thereto.

The maximum change in elevation of frame under these items shall not exceed 3 feet. Greater depth changes, if required, shall be paid for as Extra Work, in accordance with 1.04.05.

Removal or abandonment of drainage structures outside of drainage trench excavation limits as defined in 2.86.03 will be paid for at the Contract unit price each for "Remove Drainage Structure – 0' to 10' Deep," "Remove Drainage Structure – 0' to 20' Deep," or "Abandon Drainage Structure," which price shall include excavation, cutting of pavement, removal and replacement of pavement, backfill, and all equipment, tools and labor incidental thereto.

Pay Item	Pay Unit
(Type) Catch Basin – 0' to 10' Deep	ea.
(Type) Catch Basin – 0' to 20' Deep	ea.
Manhole (Size) – 0' to 10' Deep	ea.
Manhole (Size) – 0' to 20' Deep	ea.
(Type) Drop Inlet	ea.
Reset Catch Basin	ea.
Reset Manhole	ea.
Reset Drop Inlet	ea.
Convert Catch Basin to (Type) Catch Basin	ea.
Convert Catch Basin to (Type) Manhole	ea.
Convert Manhole to (Type) Catch Basin	ea.
Manhole Frame and Cover	ea.
(Type) Catch Basin Top	ea.
Remove Drainage Structure – 0' to 10' Deep	ea.
Remove Drainage Structure – 0' to 20' Deep	ea.
Abandon Drainage Structure	ea.

SECTION 6.86 - DRAINAGE PIPES, DRAINAGE PIPE ENDS

6.86.01—Description

6.86.02—Materials

6.86.03—Construction Methods

6.86.04—Method of Measurement

6.86.05—Basis of Payment

6.86.01—Description: This work shall consist of furnishing, preparing and installing drainage pipes of the size and type specified, bedding material, joint sealant, rubber gaskets, clamps, collars, grout, grout collars, drainage trench excavation, backfilling or satisfactory disposal of all materials, the removal of which is necessary for the proper completion of the work, connecting proposed drainage systems to existing systems, plugging or abandoning existing pipes and removal of existing pipe within trench limits, as shown on the plans or as directed by the Engineer.

This Section shall also include removal of drainage pipes outside of drainage trench excavation limits, as defined in 2.86.03-1.

6.86.02—Materials: The materials for this work shall meet the following requirements: Drainage Pipe, Drainage Pipe Ends, Sealers, Gaskets and connection hardware shall meet the requirements of M.08.01.

Bedding Material shall meet the requirements of M.08.03-1.

Granular Fill, if necessary, shall meet the requirements of M.02.01.

Brick Masonry shall meet the requirements of M.11.03 and Mortar shall meet the requirements of M.11.04.

Concrete used for Concrete Pipe Connections shall be Class “F” Concrete meeting the requirements of M.03.

6.86.03—Construction Methods:

(1) **Drainage Trench Excavation:** Drainage trench excavation and backfilling shall be performed in accordance with 2.86.03 and the requirements of the plans.

Where drainage pipe is to be laid below the surface, a drainage trench shall be excavated to the required depth, the bottom of which shall be graded to the elevation of the bottom of the bedding material.

Where drainage pipe is to be laid in a fill area, the embankment shall be placed and compacted to a minimum elevation 12 inches above the top of the proposed pipe, whereupon the drainage trench excavation shall be performed and the pipe installed.

(2) **Rock in Drainage Trench Excavation:** When rock, as defined in 2.86.01-2, is encountered, work shall be performed in accordance with 2.86.03 and the requirements of the plans.

(3) **Drainage Pipe Installation:** New or re-laid drainage pipes shall be installed on 4 inches of bedding material (12 inches if over rock in ledge formation), the details as shown on the plans, or as directed by the Engineer. Prior to placement of the drainage pipe, in accordance with the plans, bedding material shall be pre-shaped to 10% of the total height

of the pipe in order to keep the pipe in the center of the trench. Following placement of the drainage pipe, bedding material backfill shall be placed in accordance with the following table:

Internal Pipe Diameter	Required Bedding Material Backfill
< 48 inches*	25% of total height of the pipe
≥ 48 inches*	12 inches above the top of the pipe
*Includes pipe arch of equivalent internal horizontal span See Standard Drawing	

The placement of the drainage pipe shall start at the downstream end and progress upstream or as shown on the plans, or as directed by the Engineer. All drainage pipes shall be carefully laid in the center of the drainage trench, true to the lines and grades given. Bell ends shall face upgrade and all joints shall be tight.

Joints in concrete pipe shall be sealed with cold-applied bituminous sealer, preformed plastic gaskets or flexible, watertight, rubber-type gaskets. Portland cement mortar shall not be used for sealing pipe joints except with permission of the Engineer.

When cold-applied bituminous sealer is used, the bell and spigot ends shall be wiped clean and dry before applying the bituminous sealer to the pipe ends. Before the drainage pipes are placed in contact with each other, the spigot or tongue end shall be completely covered with bituminous sealer; then the pipe shall be laid to line and grade so the inside surface of all abutting pipes are flush. Additional bituminous sealer shall be applied to the joint after the connection has been made to ensure a water tight connection.

Where the end of an existing drainage pipe is not compatible with the end of a proposed concrete pipe, the Contractor shall align the inner diameters of the pipes being connected, butt the pipe ends together, and construct a cast-in-place concrete pipe connection, as shown in the plans. Incompatible bell/spigot or tongue/groove ends shall be cut off as required to ensure the interior drainage pipe walls are aligned to provide a smooth transition between the pipes.

Metal pipe and pipe arches shall be carefully joined and firmly clamped together by approved connecting bands, which shall be properly bolted in place before any backfill is placed.

Newly installed drainage pipe which is not in true alignment, or which shows any settlement or distortion, shall be reinstalled in accordance with 1.05.03.

When drainage pipe outside of proposed drainage trench limits is to be removed, it shall be removed to the limits shown on the plans and all remaining pipes shall be plugged with cement masonry.

Where shown on the plans or directed by the Engineer, the Contractor shall plug abandoned existing pipes with cement masonry.

(4) Drainage Pipe End Installation: Reinforced concrete drainage pipe ends shall be placed on a prepared bed of the existing ground and accurately aligned as shown on the plans. The joints shall be sealed as specified in 6.86.03-3 and backfill shall be placed around both sides of the unit simultaneously to the elevation shown on the plans.

Metal drainage pipe ends shall be placed on a prepared bed of the existing ground and accurately aligned as shown on the plans. After the attachment of the drainage pipe end, backfill shall be placed around both sides of the unit up to the elevation shown on the plans, exercising caution to avoid displacement or deformation of the unit.

6.86.04—Method of Measurement: This work will be measured as follows:

Drainage Trench Excavation, in accordance with 2.86.04, will not be measured for payment.

Rock in Drainage Trench Excavation will be measured in accordance with 2.86.04.

Bedding Material will not be measured for payment.

New and Re-laid Pipes and Pipe Arches will be measured for payment by the actual number of linear feet of pipe or pipe arch of the various sizes and types, completed and accepted and measured in place along the invert. Coupling bands and fittings for pipes and pipe arches will not be measured for payment.

Reinforced Concrete Drainage Pipe Ends and Metal Drainage Pipe Ends will be measured for payment as separate units.

Corrugated Metal Pipe Elbows (of the Size and Type specified) will be measured for payment by the actual number of linear feet of pipe elbows completed and accepted, based on 6 linear feet per elbow, as shown on the plans. Coupling bands for elbows will not be measured for payment.

Concrete Pipe Connection will be measured for payment by the number of each concrete pipe connection constructed at locations where proposed concrete pipes tie into an existing pipe with an incompatible end, completed and accepted by the Engineer.

Removal of drainage pipe outside of drainage trench excavation limits, as defined in 2.86.03, will be measured for payment by the actual number of linear feet of drainage pipe removed.

There will be no measurement for plugging existing pipes with cement masonry.

6.86.05—Basis of Payment:

Drainage Trench Excavation for the installation of drainage pipes will not be paid separately but shall be included in the Contract unit price for the respective drainage pipe or pipe end item(s), in accordance with the provisions of 2.86.05.

Rock in Drainage Trench Excavation will be paid for in accordance with the provisions of 2.86.05.

Bedding Material necessary for the installation of drainage items described herein will be included in the Contract unit price for the respective drainage pipe or pipe end item(s). Bedding material required to fill voids when rock in drainage trench is encountered will not be measured for payment but shall be included in the Contract unit price for "Rock in Drainage Trench Excavation," in accordance with 2.86.05.

New Pipes and Pipe Arches will be paid for at the Contract unit price per linear foot for "(Size and Type) Pipe (Thickness) – 0' to 10' Deep," "(Size and Type) Pipe (Thickness) – 0' to 20' Deep," "(Size) Pipe Arch (Thickness) – 0' to 10' Deep" or "(Size) Pipe Arch (Thickness) – 0' to 20' Deep" complete in place, including materials, drainage trench excavation, bedding material, equipment, tools, and labor incidental thereto.

Relaid Pipes and Pipe Arches will be paid for at the Contract unit price per linear foot for "Relaid Pipe (Size and Type) – 0' to 10' Deep," "Re-laid Pipe (Size and Type) – 0' to 20' Deep," "Relaid Pipe Arch (Size and Type) – 0' to 10' Deep," or "Relaid Pipe Arch (Size and Type) – 0' to 20' Deep," complete in place, including all materials, drainage trench excavation, bedding material, equipment, tools, and labor incidental thereto.

Reinforced Concrete Drainage Pipe Ends and Metal Drainage Pipe Ends will be paid for at the Contract unit price for each drainage pipe end of the Size and Type specified, complete in place, including all excavation, materials, attachment systems, equipment, tools and labor incidental thereto.

Corrugated Metal Pipe Elbows will be paid for at the Contract unit price per linear foot for "(Size and Type) Corrugated Metal Pipe Elbow" including all materials, drainage trench excavation, bedding material, equipment, tools, and labor incidental thereto.

Concrete Pipe Connection will be paid for at the Contract unit price each for "Concrete Pipe Connection" complete in place, including all materials, equipment, tools and labor incidental thereto.

Removal of drainage pipes of all types and sizes, outside of drainage trench excavation limits, as defined in 2.86.03-1, will be paid for at the Contract unit price per linear foot for "Remove Existing Pipe – 0' to 10' Deep," or "Remove Existing Pipe – 0' to 20' Deep," which price shall include excavation, temporary trench protection, backfill, and all equipment, tools and labor incidental thereto.

There will be no direct payment for the plugging of existing drainage pipes, but the cost thereof shall be included in the respective drainage Contract item(s).

Pay Item	Pay Unit
(Size and Type) Pipe (Thickness) – 0' to 10' Deep	l.f.
(Size and Type) Pipe (Thickness) – 0' to 20' Deep	l.f.
(Size and Type) Pipe Arch (Thickness) – 0' to 10' Deep	l.f.
(Size and Type) Pipe Arch (Thickness) – 0' to 20' Deep	l.f.
Relaid (Size and Type) Pipe– 0' to 10' Deep	l.f.
Relaid (Size and Type) Pipe– 0' to 20' Deep	l.f.
(Size and Type) Relaid Pipe Arch – 0' to 10' Deep	l.f.
(Size and Type) Relaid Pipe Arch – 0' to 20' Deep	l.f.
(Size) Reinforced Concrete Drainage Pipe End	ea.
(Size) Metal Drainage Pipe End	ea.
(Size and Type) Corrugated Metal Pipe Elbow	l.f.
Concrete Pipe Connection	ea.
Remove Existing Pipe – 0' to 10' Deep	l.f.
Remove Existing Pipe – 0' to 20' Deep	l.f.

SECTION 12.00 – GENERAL CLAUSES FOR HIGHWAY SIGNING

Description:

Work under this item shall conform to the requirements of Section 12.00 supplemented as follows:

12.00.07 – Global Positioning System (GPS) coordinates for signs:

The Contractor shall obtain and provide to the Engineer sign installation data, including Global Positioning System (GPS) latitude and longitude coordinates, for all new permanent State owned and maintained signs (temporary and construction signs are not to be included) installed in the project. The Engineer shall forward the sign data to the Division of Traffic Engineering for upload into the Highway Sign Inventory and Maintenance Management Program (SIMS). Sign data submissions or questions relating to SIMS or GPS shall be sent to DOT-SignInventory@ct.gov.

The horizontal datum is to be set to the State Plane Coordinate System, North American Datum of 1983 (NAD83) in feet. The minimum tolerance must be within 10 feet. The format of the GPS information shall be provided in a Microsoft Office compatible spreadsheet (Excel) file with data for each sign. The record for each sign installed is to be compatible with the anticipated CTDOT Sign Inventory and Management System (CTSIMS). The following format shall be used. However, the data fields noted by “#” are not required for the project submission. These entries will be completed as part of the Traffic Engineering CTSIMS data upload.

The cost of this work shall be included in the cost of the respective sign face – sheet aluminum and sign face – extruded aluminum items. The receipt of this electronic database must be received and accepted by the Engineer prior to final payment for items involving permanent highway signing. The electronic database information shall detail information regarding the sign actually installed by the project.

Field Number	Type	size	Description
1	text	20	Record Number (starting at 1...)
2	text	20	Sign Catalog Number
# 3	text	10	Size Height
# 4	text	10	Size Width
5	text	25	Legend
# 6	text	10	Background Color
# 7	text	10	Copy Color
8	Link	25	Material (see acceptable categories)
9	text	30	Comments if any
# 10	text	20	MUTCD Type
11	text	15	Town

	12	text	5	Route
	13	text	5	Route direction
#	14	text	10	Highway Log Mileage
	15	text	15	Latitude
	16	text	15	Longitude
	17	text	25	Mounting Type
	18	text	25	Reflective Sheeting Type
	19	date	25	Date Installed
	20	text	10	Number of Posts
	21	text	255	Sheeting Manufacturer name and address
	22	text	15	State Project Number (or)
	23	text	15	Encroachment Permit number.
	24	Graphic	*	Sign Picture Graphic.

* Graphics provided shall be representative of the sign supplied and be in color. Graphic formats shall be either JPG or TIFF and provided with a recommended pixel density of 800 x 600. The graphic shall be inserted in the supplied media in field 24 for each sign.

SECTION M.04 - BITUMINOUS CONCRETE MATERIALS

Section M.04 is being deleted in its entirety and replaced with the following:

M.04.01—Bituminous Concrete Materials and Facilities

M.04.02—Mix Design and Job Mix Formula (JMF)

M.04.03—Production Requirements

M.04.01—Bituminous Concrete Materials and Facilities: Each source of material, Plant, and laboratory used to produce and test bituminous concrete must be qualified on an annual basis by the Engineer. AASHTO or ASTM Standards noted with an (M) have been modified and are detailed in Table M.04.03-5.

Aggregates from multiple sources of supply must not be blended or stored in the same stockpile.

1. Coarse Aggregate: All coarse aggregate shall meet the requirements listed in M.01.

2. Fine Aggregate: All fine aggregate shall meet the requirements listed in M.01.

3. Mineral Filler: Mineral filler shall conform to the requirements of AASHTO M 17.

4. Performance Graded (PG) Asphalt Binder:

(a) General:

- i. PG asphalt binder shall be uniformly mixed and blended and be free of contaminants such as fuel oils and other solvents. Binder shall be properly heated and stored to prevent damage or separation.
- ii. The binder shall meet the requirements of AASHTO M 332 and shall be graded or verified in accordance with AASHTO R 29. The Contractor shall submit a Certified Test Report and bill of lading representing each delivery in accordance with AASHTO R 26(M). The Certified Test Report must also indicate the binder specific gravity at 77°F; rotational viscosity at 275°F and 329°F; and the mixing and compaction viscosity-temperature chart for each shipment.
- iii. The Contractor shall submit the name(s) of personnel responsible for receipt, inspection, and record keeping of PG binder. Contractor Plant personnel shall document specific storage tank(s) where binder will be transferred and stored until used and provide binder samples to the Engineer upon request. The person(s) shall assure that each shipment is accompanied by a statement certifying that the transport vehicle was inspected before loading was found acceptable for the material shipped and that the binder is free of contamination from any residual material, along with 2 copies of the bill of lading.
- iv. The blending or combining of PG binders in 1 storage tank at the Plant from different suppliers, grades, or additive percentages is prohibited.

(b) Basis of Approval: The request for approval of the source of supply shall list the location where the material will be manufactured, and the handling and storage methods, along with

necessary certification in accordance with AASHTO R 26(M). Only suppliers/refineries that have an approved “Quality Control Plan for Performance Graded Binders” formatted in accordance with AASHTO R 26(M) may supply PG binders to Department projects.

(c) Standard Performance Grade (PG) Binder:

- i. Standard PG binder shall be defined as “Neat.” Neat PG binders shall be free from modification with: fillers, extenders, reinforcing agents, adhesion promoters, thermoplastic polymers, acid modification and other additives such as re-refined motor oil, and shall indicate such information on each bill of lading and Certified Test Report.
- ii. The standard asphalt binder shall be PG 64S-22.

(d) Modified Performance Grade (PG) Binder: The modified asphalt binder shall be Performance Grade PG 64E-22 asphalt modified solely with a Styrene-Butadiene-Styrene (SBS) polymer. The polymer modifier shall be added at either the refinery or terminal and delivered to the bituminous concrete production facility as homogenous blend. The stability of the modified binder shall be verified in accordance with ASTM D7173 using the Dynamic Shear Rheometer (DSR). The DSR $G^*/\sin(\delta)$ results from the top and bottom sections of the ASTM D7173 test shall not differ by more than 10%. The results of ASTM D7173 shall be included on the Certified Test Report. The binder shall meet the requirements of AASHTO M 332 (including Appendix X1) and AASHTO R 29.

(e) Warm Mix Additive or Technology:

- i. The warm mix additive or technology must be listed on the North East Asphalt User Producer Group (NEAUPG) Qualified Warm Mix Asphalt (WMA) Technologies List at the time of bid, which may be accessed online at <http://www.neaupg.uconn.edu>.
- ii. The warm mix additive shall be blended with the asphalt binder in accordance with the manufacturer’s recommendations.
- iii. The blended binder shall meet the requirements of AASHTO M 332 and shall be graded or verified in accordance with AASHTO R 29 for the specified binder grade. The Contractor shall submit a Certified Test Report showing the results of the testing demonstrating the binder grade. In addition, it must include the grade of the virgin binder, the brand name of the warm mix additive, the manufacturer’s suggested rate for the WMA additive, the water injection rate (when applicable), and the WMA Technology manufacturer’s recommended mixing and compaction temperature ranges.

5. Emulsified Asphalts:

(a) General:

- i. The emulsified asphalt shall meet the requirements of AASHTO M 140(M) or AASHTO M 208 as applicable.
- ii. The emulsified asphalts shall be free of contaminants such as fuel oils and other solvents.
- iii. The blending at mixing Plants of emulsified asphalts from different suppliers is prohibited.

(b) Basis of Approval:

- i. The request for approval of the source of supply shall list the location where the material is manufactured, the handling and storage methods, and certifications in accordance with AASHTO R 77. Only suppliers that have an approved “Quality Control Plan for Emulsified Asphalt” formatted in accordance with AASHTO R 77 and that submit monthly split samples per grade to the Engineer may supply emulsified asphalt to Department projects.
- ii. Each shipment of emulsified asphalt delivered to the Project site shall be accompanied with the corresponding Certified Test Report listing Saybolt viscosity, residue by evaporation, penetration of residue, and weight per gallon at 77°F and Material Certificate.
- iii. Anionic emulsified asphalts shall meet the requirements of AASHTO M-140. Materials used for tack coat shall not be diluted and meet grade RS-1 or RS-1h. When ambient temperatures are 80°F and rising, grade SS-1 or SS-1h may be substituted if permitted by the Engineer.
- iv. Cationic emulsified asphalt shall meet the requirements of AASHTO M-208. Materials used for tack coat shall not be diluted and meet grade CRS-1. The settlement and demulsibility test will not be performed unless deemed necessary by the Engineer. When ambient temperatures are 80°F and rising, grade CSS-1 or CSS-1h may be substituted if permitted by the Engineer.

6. Reclaimed Asphalt Pavement (RAP):

- (a) General: RAP is a material obtained from the cold milling or removal and processing of bituminous concrete pavement. RAP material shall be crushed to 100% passing the 1/2 inch sieve and free from contaminants such as joint compound, wood, plastic, and metals.
- (b) Basis of Approval: The RAP material will be accepted on the basis of one of the following criteria:
 - i. When the source of all RAP material is from pavements previously constructed on Department projects, the Contractor shall provide a Materials Certificate listing the detailed locations and lengths of those pavements and that the RAP is only from those locations listed.
 - ii. When the RAP material source or quality is not known, the Contractor shall request approval from the Engineer at least 30 calendar days prior to the start of the paving operation. The request shall include a Material Certificate and applicable test results stating that the RAP consists of aggregates that meet the specification requirements of M.04.01-1 through M.04.01-3 and that the binder in the RAP is substantially free of solvents, tars and other contaminants. The Contractor is prohibited from using unapproved material on Department projects and shall take necessary action to prevent contamination of approved RAP stockpiles. Stockpiles of unapproved material shall remain separate from all other RAP materials at all times. The request for approval shall include the following:

1. A 50-lb. sample of the RAP to be incorporated into the recycled mixture.
2. A 25-lb. sample of the extracted aggregate from the RAP.

7. Crushed Recycled Container Glass (CRCG):

(a) Requirements: The Contractor may propose to use clean and environmentally-acceptable CRCG in an amount not greater than 5% by weight of total aggregate.

(b) Basis of Approval: The Contractor shall submit to the Engineer a request to use CRCG. The request shall state that the CRCG contains no more than 1% by weight of contaminants such as paper, plastic, and metal and conforms to the following gradation:

CRCG Grading Requirements	
<u>Sieve Size</u>	<u>Percent Passing</u>
3/8 inch	100
No. 4	35-100
No. 200	0.0-10.0

The Contractor shall submit a Material Certificate to the Engineer stating that the CRCG complies with all the applicable requirements in this Section.

8. Joint Seal Material: Joint seal material must meet the requirements of ASTM D6690 - Type 2. The Contractor shall submit a Material Certificate in accordance with 1.06.07 certifying that the joint seal material meets the requirements of this Section.

9. Recycled Asphalt Shingles (RAS): RAS shall consist of processed asphalt roofing shingles from post-consumer asphalt shingles or from manufactured shingle waste. The RAS material under consideration for use in bituminous concrete mixtures must be certified as being asbestos-free and shall be entirely free of whole, intact nails. The RAS material shall meet the requirements of AASHTO MP 23.

The Producer shall test the RAS material to determine the asphalt content and the gradation of the RAS material. The Producer shall take necessary action to prevent contamination of RAS stockpiles.

The Contractor shall submit a Material Certificate to the Engineer stating that the RAS complies with all the applicable requirements in this Section.

10. Plant Requirements:

(a) General: The Plant producing bituminous concrete shall comply with AASHTO M 156.

(b) Storage Silos: The Contractor may use silos for short-term storage with the approval of the Engineer. A storage silo must have heated cones and an unheated silo cylinder if it does not contain a separate internal heating system. When multiple silos are filled, the Contractor shall discharge 1 silo at a time. Simultaneous discharge of multiple silos for the same Project is not permitted.

Type of silo cylinder	Maximum storage time for all classes (hr)	
	HMA	WMA/PMA
Open Surge	4	Mfg Recommendations*
Unheated - Non-insulated	8	Mfg Recommendations*
Unheated - Insulated	18	Mfg Recommendations*
Heated - No inert gas	TBD by the Engineer	TBD by the Engineer

*Not to exceed HMA limits

- (c) Documentation System: The mixing Plant documentation system shall include equipment for accurately proportioning the components of the mixture by weight and in the proper order, controlling the cycle sequence, and timing the mixing operations. Recording equipment shall monitor the batching sequence of each component of the mixture and produce a printed record of these operations on each Plant ticket, as specified herein.

If recycled materials are used, the Plant tickets shall include their dry weight, percentage, and daily moisture content.

If a WMA Technology is added at the Plant, the Plant tickets shall include the actual dosage rate.

For drum Plants, the Plant ticket shall be produced at 5 minute intervals and maintained by the vendor for a period of 3 years after the completion of the Project.

For batch Plants, the Plant ticket shall be produced for each bath and maintained by the vendor for a period of 3 years after the completion of the Project. In addition, an asterisk (*) shall be automatically printed next to any individual batch weight(s) exceeding the following tolerances:

Each Aggregate Component	±1.5% of individual or cumulative target weight for each bin
Mineral Filler	±0.5% of the total batch
Bituminous Material	±0.1% of the total batch
Zero Return (Aggregate)	±0.5% of the total batch
Zero Return (Bituminous Material)	±0.1% of the total batch

The entire batching and mixing interlock cut-off circuits shall interrupt and stop the automatic

batching operations when an error exceeding the acceptable tolerance occurs in proportioning.

The scales shall not be manually adjusted during the printing process. In addition, the system shall be interlocked to allow printing only when the scale has come to a complete rest. A unique printed character (m) shall automatically be printed on the truck and batch plant printout when the automatic batching sequence is interrupted or switched to auto-manual or full manual during proportioning.

(d) Aggregates: Aggregate stockpiles shall be managed to prevent segregation and cross contamination. For drum Plants only, the percent moisture content, at a minimum prior to production and half way through production, shall be determined.

(e) Mixture: The dry and wet mix times shall be sufficient to provide a uniform mixture and a minimum particle coating of 95% as determined by AASTO T 195(M).

Bituminous concrete mixtures shall contain no more than 0.5% moisture when tested in accordance with AASHTO T 329.

(f) RAP: RAP moisture content shall be determined a minimum of twice daily (prior to production and halfway through production).

(g) Asphalt Binder: A binder log shall be submitted to the Department's Central Lab on a monthly basis.

(h) Warm mix additive: For mechanically foamed WMA, the water injection rate shall be monitored during production and not exceed 2.0% by total weight of binder. For additive added at the Plant, the dosage rate shall be monitored during production.

(i) Testing Laboratory: The Contractor shall maintain a laboratory to test bituminous concrete mixtures during production. The laboratory shall have a minimum of 300 s.f., have a potable water source and drainage in accordance with the CT Department of Public Health Drinking Water Division, and be equipped with all necessary testing equipment as well as with a PC, printer, and telephone with a dedicated hard-wired phone line. In addition, the PC shall have a high speed internet connection and a functioning web browser with unrestricted access to <https://ctmail.ct.gov> . This equipment shall be maintained in working order at all times and be made available for use by the Engineer.

The laboratory shall be equipped with a heating system capable of maintaining a minimum temperature of 65°F. It shall be clean and free of all materials and equipment not associated with the laboratory. Sufficient light and ventilation must be provided. During summer months adequate cooling or ventilation must be provided so the indoor air temperature shall not exceed the ambient outdoor temperature.

The laboratory testing apparatus, supplies, and safety equipment shall be capable of performing all the applicable tests in their entirety that are referenced in AASHTO R 35 and AASHTO M 323. The Contractor shall ensure that the Laboratory is adequately supplied at all times during the course of the Project with all necessary testing materials and equipment.

The Contractor shall maintain a list of laboratory equipment used in the acceptance testing processes including, but not limited to, balances, scales, manometer/vacuum gauge, thermometers, and gyratory compactor, clearly showing calibration and/or inspection dates, in

accordance with AASHTO R 18. The Contractor shall notify the Engineer if any modifications are made to the equipment within the laboratory. The Contractor shall take immediate action to replace, repair, or recalibrate any piece of equipment that is out of calibration, malfunctioning, or not in operation.

M.04.02—Mix design and Job Mix Formula (JMF)

1. Curb Mix:

(a) Requirements: The Contractor shall use bituminous concrete that meets the requirements of Table M.04.02-1. RAP may be used in 5% increments by weight up to 30%.

(b) Basis of Approval: Annually, an approved JMF based on a mix design for curb mix must be on file with the Engineer prior to use.

The Contractor shall test the mixture for compliance with the submitted JMF and Table M.04.02-1. The maximum theoretical density (Gmm) will be determined by AASHTO T 209. If the mixture does not meet the requirements, the JMF shall be adjusted within the ranges shown in Table M.04.02-1 until an acceptable mixture is produced.

An accepted JMF from the previous operating season may be acceptable to the Engineer provided that there are no changes in the sources of supply for the coarse aggregate, fine aggregate, recycled material (if applicable) and the Plant operation had been consistently producing acceptable mixture.

Any change in component source of supply or consensus properties must be approved by the Engineer. A revised JMF shall be submitted prior to use.

**TABLE M.04.02-1:
Control Points for Curb Mix Mixtures**

Mix	Curb Mix	Production Tolerances from JMF Target
Grade of PG Binder content %	PG 64S-22 6.5 - 9.0	0.4
Sieve Size		
No. 200	3.0 - 8.0 (b)	2.0
No. 50	10 - 30	4
No. 30	20 - 40	5
No. 8	40 - 70	6
No. 4	65 - 87	7
1/4 inch		
3/8 inch	95 - 100	8
1/2 inch	100	8
3/4 inch		8
1 inch		
2 inch		
Additionally, the fraction of material retained between any 2 consecutive sieves shall not be less than 4%.		
Mixture Temperature		
Binder	325°F maximum	
Aggregate	280-350°F	
Mixtures	265-325°F	
Mixture Properties		
Air Voids (VA) %	0 – 4.0 (a)	
Notes: (a) Compaction Parameter 50 gyrations (N_{des}) (b) The percent passing the No. 200 sieve shall not exceed the percentage of bituminous asphalt binder.		

2. Superpave Design Method – S0.25, S0.375, S0.5, and S1:

- (a) **Requirements:** All designated mixes shall be designed using the Superpave mix design method in accordance with AASHTO R 35. A JMF based on the mix design shall meet the requirements of Tables M.04.02-2 to M.04.02-5. Each JMF and component samples must be submitted no less than 7 days prior to production and must be approved by the Engineer prior to use. All JMFs expire at the end of the calendar year.

All aggregate component consensus properties and tensile strength ratio (TSR) specimens shall be tested at an AASHTO Materials Reference Laboratory (AMRL) by NETTCP Certified Technicians.

All bituminous concrete mixes shall be tested for stripping susceptibility by performing the TSR test procedure in accordance with AASHTO T 283(M) at a minimum every 36 months. The compacted specimens may be fabricated at the Plant and then tested at an AMRL accredited facility. A minimum of 45000 grams of laboratory or plant blended mixture and the corresponding complete Form MAT-412s shall be submitted to the Division of Material Testing (DMT) for design TSR testing verification. The mixture submitted shall be representative of the corresponding mix design as determined by the Engineer.

- i. Superpave Mixtures with RAP: RAP may be used with the following conditions:
 - RAP amounts up to 15% may be used with no binder grade modification.
 - RAP amounts up to 20% may be used provided a new JMF is approved by the Engineer. The JMF submittal shall include the grade of virgin binder added. The JMF shall be accompanied by a blending chart and supporting test results in accordance with AASHTO M 323 Appendix X1, or by testing that shows the combined binder (recovered binder from the RAP, virgin binder at the mix design proportions, warm mix asphalt additive and any other modifier if used) meets the requirements of the specified binder grade.
 - Two (2) representative samples of RAP shall be obtained. Each sample shall be split, and 1 split sample shall be tested for binder content in accordance with AASHTO T 164 and the other in accordance with AASHTO T 308.
 - RAP material shall not be used with any other recycling option.
 - ii. Superpave Mixtures with RAS: RAS may be used solely in HMA S1 mixtures with the following conditions:
 - RAS amounts up to 3% may be used.
 - RAS total binder replacement up to 15% may be used with no binder grade modification.
 - RAS total binder replacement up to 20% may be used provided a new JMF is approved by the Engineer. The JMF submittal shall include the grade of virgin binder added. The JMF shall be accompanied by a blending chart and supporting test results in accordance with AASHTO M 323 Appendix X1, or by testing that shows the combined binder (recovered binder from the RAP, virgin binder at the mix design proportions, warm mix asphalt additive and any other modifier if used) meets the requirements of the specified binder grade.
 - Superpave Mixtures with RAS shall meet AASHTO PP 78 design considerations.
 - iii. Superpave Mixtures with CRCG: CRCG may be used solely in HMA S1 mixtures. One percent (1%) of hydrated lime, or other accepted non-stripping agent, shall be added to all mixtures containing CRCG. CRCG material shall not be used with any other recycling option
- (b) Basis of Approval: The following information must be included in the JMF submittal:
- i. Gradation, consensus properties and specific gravities of the aggregate, RAP or RAS.
 - ii. Average asphalt content of the RAP or RAS by AASHTO T 164.
 - iii. Source of RAP or RAS and percentage to be used.
 - iv. Warm mix Technology, manufacturer's recommended additive rate and tolerances, and

- manufacturer recommended mixing and compaction temperatures.
- v. TSR test report and anti-strip manufacturer and recommended dosage rate if applicable.
- vi. Mixing and compaction temperature ranges for the mix with and without the warm-mix technology incorporated.
- vii. JMF ignition oven correction factor by AASHTO T 308.

With each JMF submittal, the following samples shall be submitted to the Division of Materials Testing

- 4 - one (1) quart cans of PG binder, with corresponding Safety Data Sheet (SDS)
- 1 - 50 lbs. bag of RAP
- 2 - 50 lbs. bags of Plant-blended virgin aggregate

A JMF may not be approved if any of the properties of the aggregate components or mix do not meet the verification tolerances as described in the Department's current QA Program for Materials, Acceptance and Assurance Testing Policies and Procedures.

Any material based on a JMF, once approved, shall only be acceptable for use when it is produced by the designated Plant, it utilizes the same components, and the production of material continues to meet all criteria as specified in Tables M.04.02-2, M.04.02-3 and M.04.02-4. A new JMF must be submitted to the Engineer for approval whenever a new component source is proposed.

Only 1 mix with 1 JMF will be approved for production at a time. Switching between approved JMF mixes with different component percentages or sources of supply is prohibited.

TABLE M.04.02-2: Superpave Master Range for Bituminous Concrete Mixture Design Criteria

	S0.25		S0.375		S0.5		S1	
Sieve	Control Points		Control Points		Control Points		Control Points	
inches	Min (%)	Max (%)	Min (%)	Max (%)	Min (%)	Max (%)	Min (%)	Max (%)
2.0	-	-	-	-	-	-	-	-
1.5	-	-	-	-	-	-	100	-
1.0	-	-	-	-	-	-	90	100
3/4	-	-	-	-	100	-	-	90
1/2	100	-	100	-	90	100	-	-
3/8	97	100	90	100	-	90	-	-
No. 4	72	90	-	72	-	-	-	-
No. 8	32	67	32	67	28	58	19	45
No. 16	-	-	-	-	-	-	-	-
No. 30	-	-	-	-	-	-	-	-
No. 50	-	-	-	-	-	-	-	-
No. 100	-	-	-	-	-	-	-	-
No. 200	2.0	10.0	2.0	10.0	2.0	10.0	1.0	7.0
VMA (%)	16.5 ± 1		16.0 ± 1		15.0 ± 1		13.0 ± 1	
VA (%)	4.0 ± 1		4.0 ± 1		4.0 ± 1		4.0 ± 1	
Gse	JMF value		JMF value		JMF value		JMF value	
Gmm	JMF ± 0.030		JMF ± 0.030		JMF ± 0.030		JMF ± 0.030	
Dust / effective binder	0.6 - 1.2		0.6 - 1.2		0.6 - 1.2		0.6 - 1.2	
TSR	≥ 80%		≥ 80%		≥ 80%		≥ 80%	
T-283 Stripping	Minimal as determined by the Engineer							

(c) Mix Status: Each facility will have each type of bituminous concrete mixture rated based on the results of the previous year of production. Mix status will be provided to each bituminous concrete Producer prior to the beginning of the paving season.

The rating criteria are based on compliance with Air Voids and Voids in Mineral Aggregate (VMA) as indicated in Table M.04.03-4 and are calculated as follows:

Criteria A: Percentage of acceptance test results with compliant air voids.

Criteria B: The average of the percentage of acceptance results with compliant VMA and the percentage of acceptance results with compliant air voids.

The final rating assigned will be the lower of the rating obtained with Criteria A or Criteria B. Mix status is defined as:

“A” – Approved: Assigned to each mixture type from a production facility with a current rating of 70% or greater, or to each mixture type completing a successful PPT.

“PPT” – Pre-Production Trial: Temporarily assigned to each mixture type from a production facility when:

1. there are no compliant acceptance production test results submitted to the Department from the previous year;
2. there is a source change in one or more aggregate components;
3. there is a component percentage change of more than 5% by weight;
4. there is a change in RAP percentage;
5. the mixture has a rating of less than 70% from the previous season;
6. it is a new JMF not previously submitted; or
7. the average of 10 consecutive acceptance results for VFA, Density to N_{ini} or dust to effective binder ratio does not meet the criteria in tables M.04.02-2 and M.04.02-4.

Bituminous concrete mixtures rated with a “PPT” status cannot be used on Department projects. Testing shall be performed by the Producer with NETTCP certified personnel on material under this status. Test results must confirm that specification requirements in Tables M.04.02-2 through M.04.02-4 are met and the binder content (Pb) meets the requirements in Table M.04.03-2 before material can be used. One of the following methods must be used to verify the test results:

Option A: Schedule a day when a Department Inspector can be at the facility to witness testing

Option B: When the Contractor or their representative performs testing without being witnessed by an Inspector, the Contractor shall submit the test results and a split sample including 2 gyratory molds, 5,000 grams of boxed bituminous concrete, and 5,000 grams of cooled loose bituminous concrete for verification testing and approval

Option C: When the Contractor or their representative performs testing without being witnessed by a Department Inspector, the Engineer may verify the mix in the Contractor’s laboratory

Witnessing or verifying by the Department of compliant test results will change the mix’s status to “A”

The differences between the Department’s test results and the Contractor’s must be within the “C” tolerances included in the [Department’s QA Program for Materials, Acceptance and Assurance Testing Policies and Procedures](#) in order to be verified.

“U” – Not Approved: Status assigned to a type of mixture that does not have an approved JMF. Bituminous concrete mixtures with a “U” status cannot be used on Department projects.

**TABLE M.04.02-3:
Superpave Consensus Properties Requirements for Combined Aggregate**

Traffic Level	Design ESALs (80kN) Millions	Coarse Aggregate Angularity⁽¹⁾ ASTM D5821, Minimum %	Fine Aggregate Angularity AASHTO T 304, Method A Minimum %	Flat and Elongated Particles⁽²⁾ ASTM D4791, Maximum %	Sand Equivalent AASHTO T 176, Minimum %
1	< 0.3	55/- -	40	10	40
2	0.3 to < 3.0	75/- -	40	10	40
3	≥ 3.0	95/90	45	10	45

Notes:

⁽¹⁾ 95/90 denotes that a minimum of 95% of the coarse aggregate, by mass, shall have one fractured face and that a minimum of 90% shall have two fractured faces.

⁽²⁾ Criteria presented as maximum Percent by mass of flat and elongated particles of materials retained on the No. 4 sieve, determined at 5:1 ratio.

TABLE M.04.02-4: Superpave Traffic Levels and Design Volumetric Properties

Traffic Level	Design ESALs	Number of Gyration by Superpave Gyrotory Compactor			Percent Density of Gmm from HMA/WMA Specimen			Voids Filled with Asphalt (VFA) Based on Nominal Mix Size - Inch			
	(million)	N _{ini}	N _{des}	N _{max}	N _{ini}	N _{des}	N _{max}	0.25	0.375	0.5	1
1	<0.3	6	50	75	≤91.5	96.0	≤98.0	70-80	70-80	70-80	67-80
2	0.3 to <3.0	7	75	115	≤90.5	96.0	≤98.0	65-78	65-78	65-78	65-78
3	≥3.0	7	75	115	≤90.0	96.0	≤98.0	65-77	65-76	65-75	65-75

**TABLE M.04.02-5:
Superpave Minimum Binder Content by Mix Type and Level**

Mix Type	Level	Binder Content Minimum
S0.25	1	5.80
S0.25	2	5.70
S0.25	3	5.70
S0.375	1	5.70
S0.375	2	5.60
S0.375	3	5.60
S0.5	1	5.10
S0.5	2	5.00
S0.5	3	5.00
S1	1	4.60
S1	2	4.50
S1	3	4.50

M.04.03—Production Requirements:

1. Standard Quality Control Plan (QCP) for Production: The QCP for production shall describe the organization and procedures, which the Contractor shall use to administer quality control. The QCP shall include the procedures used to control the production process, to determine when immediate changes to the processes are needed, and to implement the required changes. The QCP must detail the inspection, sampling and testing protocols to be used, and

the frequency for each.

Control Chart(s) shall be developed and maintained for critical aspect(s) of the production process as determined by the Contractor. The control chart(s) shall identify the material property, applicable upper and lower control limits, and be updated with current test data. As a minimum, the following quality characteristics shall be included in the control charts:

- percent passing No. 4 sieve
- percent passing No. 200 sieve
- binder content
- air voids
- Gmm
- Gse
- VMA
-

The control chart(s) shall be used as part of the quality control system to document variability of the bituminous concrete production process. The control chart(s) shall be submitted to the Engineer the first day of each month.

The QCP shall also include the name and qualifications of a Quality Control Manager. The Quality Control Manager shall be responsible for the administration of the QCP, including compliance with the plan and any plan modifications.

The Contractor shall submit complete production testing records to the Engineer within 24 hours in a manner acceptable to the Engineer.

The QCP shall also include the name and qualifications of any outside testing laboratory performing any QC functions on behalf of the Contractor. The QCP must also include a list of sampling and testing methods and frequencies used during production, and the names of all Quality Control personnel and their duties.

Approval of the QCP does not imply any warranty by the Engineer that adherence to the plan will result in production of bituminous concrete that complies with these specifications. The Contractor shall submit any changes to the QCP as work progresses.

2. Acceptance Requirements:

(a) General:

A NETTCP HMA Paving Inspector certified Contractor representative shall obtain a field sample of the material placed at the project site in accordance with AASHTO T 168 using the procedure indicated in Section 5.2.3 or an alternate procedure approved by the Engineer. The field sample shall be quartered by the Contractor in accordance with AASHTO R 47 and placed in an approved container. The container shall be sealed with a security tape provided by the Department and labelled to include the project number, date of paving, mix type, lot and subplot numbers and daily tonnage. The minimum weight of each quartered sample shall be 14000 grams. The Contractor shall transport one of the containers to the Departments Central Laboratory in Rocky Hill, retain one of the containers for potential use in dispute resolution and test the remaining material for acceptance.

The Contractor shall submit all acceptance tests results to the Engineer within 24 hours or prior to the next day’s production. All acceptance test specimens and supporting documentation must be retained by the Contractor and may be disposed of with the approval of the Engineer. All quality control specimens shall be clearly labeled and separated from the acceptance specimens.

Contractor personnel performing QC and acceptance testing must be present at the facility prior to, during, and until completion of production, and be certified as a NETTCP HMA Plant Technician or Interim HMA Plant Technician and be in good standing. Production of material for use on State projects must be suspended by the Contractor if such personnel are not present. Technicians found by the Engineer to be non-compliant with NETTCP policies and procedures or Department policies may be removed by the Engineer from participating in the acceptance testing process for Department projects until their actions can be reviewed.

Verification and dispute resolution testing will be performed by the Engineer in accordance with the Department’s QA Program for Materials.

Should the Department be unable to validate the Contractor’s acceptance test result(s) for a lot of material, the Engineer will use results from verification testing and re-calculate the pay adjustment for that lot. The Contractor may request to initiate the dispute resolution process in writing within 24 hours of receiving the adjustment and must include supporting documentation or test results to justify the request.

(b) Curb Mix Acceptance Sampling and Testing Procedures: Curb Mixes shall be tested by the Contractor at a frequency of 1 test per every 250 tons of cumulative production, regardless of the day of production.

When these mix designs are specified, the following acceptance procedures and AASHTO test methods shall be used:

TABLE M.04.03-1: Curb Mix Acceptance Test Procedures

Protocol	Reference	Description
1	AASHTO T 30(M)	Mechanical Analysis of Extracted Aggregate
2	AASHTO T 168	Sampling of Bituminous Concrete
3	AASHTO T 308	Binder Content by Ignition Oven Method (adjusted for aggregate correction factor)
4	AASHTO T 209(M)⁽²⁾	Theoretical Maximum Specific Gravity and Density of Bituminous Paving Mixtures
5	AASHTO T 312⁽²⁾	⁽¹⁾ Superpave Gyration Molds Compacted to N _{des}
6	AASHTO T 329	Moisture Content of Hot-Mix Asphalt (HMA) by Oven Method

Notes: ⁽¹⁾ One (1) set equals 2 each of 6-inch molds. Molds to be compacted to 50 gyrations.

⁽²⁾ Once per year or when requested by the Engineer.

i. Determination of Off-Test Status:

1. Curb Mix is considered “off test” when the test results indicate that any single value for bitumen content or gradation are not within the tolerances shown in Table M.04.02-1 for that mixture. If the mix is “off test,” the Contractor must take immediate actions to correct the deficiency and a new acceptance sample shall be tested on the same day or the following day of production.

2. When multiple silos are located at 1 site, mixture supplied to 1 project is considered as coming from 1 source for the purpose of applying the “off test” status.
3. The Engineer may cease supply from the Plant when test results from 3 consecutive samples are not within the JMF tolerances or the test results from 2 consecutive samples not within the control points indicated in Table M.04.02-1 regardless of production date.

ii. JMF Revisions

1. If a test indicates that the bitumen content or gradation are outside the tolerances, the Contractor may make a single JMF revision as allowed by the Engineer prior to any additional testing. Consecutive test results outside the requirements of Table M.04.02-1 JMF tolerances may result in rejection of the mixture.
2. Any modification to the JMF shall not exceed 50% of the JMF tolerances indicated in Table M.04.02-1 for any given component of the mixture without approval of the Engineer. When such an adjustment is made to the bitumen, the corresponding production percentage of bitumen shall be revised accordingly.

(c) Superpave Mix Acceptance:

i. Sampling and Testing Procedures

Production Lot: The lot will be defined as one of the following types:

- Non-PWL Production Lot for total estimated Project quantities per mixture less than 3500 tons: All mixture placed during a single continuous paving operation.
- PWL Production Lot for total estimated Project quantities per mixture of 3500 tons or more: Each 3500 tons of mixture produced within 30 calendar days.

Production Sub Lot:

- For Non-PWL: As defined in Table M.04.03-2
- For PWL: 500 tons (The last sub lot may be less than 500 tons.)

Partial Production Lots (For PWL only): A Lot with less than 3500 tons due to:

- completion of the course;
- a Job Mix Formula revision due to changes in:
 - o cold feed percentages over 5%,
 - o target combined gradation over 5%,
 - o target binder over 0.15%,
 - o any component specific gravity; or
- a lot spanning 30 calendar days.
-

The acceptance sample(s) location(s) shall be selected using stratified - random sampling in accordance with ASTM D3665 based on:

- the total daily estimated tons of production for non-PWL lots, or
- the total size for PWL lots.

One (1) acceptance sample shall be obtained and tested per sub lot with quantities over 125 tons. The Engineer may direct that additional acceptance samples be obtained. For non-PWL lots, one (1) acceptance test shall always be performed in the last sub lot based on actual tons of material produced.

For non-PWL lots, quantities of the same mixture per Plant may be combined daily for multiple State projects to determine the number of sub lots.

The payment adjustment will be calculated as described in 4.06.

**TABLE M.04.03-2:
Superpave Acceptance Testing Frequency per Type/Level/Plant for Non-PWL Lots**

Daily Quantity Produced in Tons (Lot)	Number of Sub Lots/Tests
0 to 125	0, Unless requested by the Engineer
126 to 500	1
501 to 1,000	2
1,001 to 1,500	3
1,500 or greater	1 per 500 tons or portions thereof

The following test procedures shall be used for acceptance:

TABLE M.04.03-3: Superpave Acceptance Testing Procedures

Protocol	Procedure	Description
1	AASHTO T 168	Sampling of bituminous concrete
2	AASHTO R 47	Reducing samples to testing size
3	AASHTO T 308	Binder content by ignition oven method (adjusted for aggregate correction factor)
4	AASHTO T 30(M)	Gradation of extracted aggregate for bituminous concrete mixture
5	AASHTO T 312	⁽¹⁾ Superpave gyratory molds compacted to N _{des}
6	AASHTO T 166	⁽²⁾ Bulk specific gravity of bituminous concrete
7	AASHTO R 35	⁽²⁾ Air voids, VMA
8	AASHTO T 209(M)	Maximum specific gravity of bituminous concrete (average of 2 tests)
9	AASHTO T 329	Moisture content of bituminous concrete

Notes: ⁽¹⁾ One (1) set equals 2 each of 6-inch molds. Molds to be compacted to N_{max} for PPTs and to N_{des} for production testing. The first sub lot of the year shall be compacted to N_{max}.

⁽²⁾ Average value of 1 set of 6-inch molds.

If the average ignition oven corrected binder content differs by 0.3% or more from the average of the Plant ticket binder content in 5 consecutive tests regardless of the production date (moving average), the Contractor shall immediately investigate, determine an assignable cause, and correct the issue. When 2 consecutive moving average differences are 0.3% or more and no assignable cause has been established, the Engineer may require a new ignition oven

aggregate correction factor to be performed or to adjust the current factor by the average of the differences between the corrected binder content and production Plant ticket for the last 5 acceptance results.

The Contractor shall perform TSR testing within 30 days after the start of production for all design levels of HMA- and PMA- S0.5 Plant-produced mixtures, in accordance with AASHTO T 283(M). The TSR test shall be performed at an AMRL certified laboratory by NETTCP certified technicians. The compacted specimens may be fabricated at the Plant and then tested at an AMRL accredited facility. A minimum of 45000 grams of plant blended mixture and the corresponding complete Form MAT-412s shall be submitted to the DMT for production TSR testing verification. The mixture submitted shall be representative of the corresponding mix design as determined by the Engineer. Additionally, the TSR test report and tested specimens shall be submitted to the Engineer for review. Superpave mixtures that require anti-strip additives (either liquid or mineral) shall continue to meet all requirements specified herein for binder and bituminous concrete. The Contractor shall submit the name, manufacturer, percent used, technical datasheet and SDS for the anti-strip additive (if applicable) to the Engineer.

i. Determination of Off-Test Status:

1. Superpave mixes shall be considered “*off test*” when any control point sieve, binder content, VA, VMA, and Gmm value is outside of the limits specified in Table M.04.03-4 or the target binder content at the Plant is below the minimum binder content stated in Table M.04.02-5. Note that further testing of samples or portions of samples not initially tested for this purpose cannot be used to change the status.
2. Any time the bituminous concrete mixture is considered off-test:
 - A. The Contractor shall notify the Engineer when the Plant is “*off test*” for any mix design that is delivered to the Project in any production day. When multiple silos are located at 1 site, mixture supplied to 1 project is considered as coming from 1 source for the purpose of applying the “*off test*” determination.
 - B. The Contractor must take immediate actions to correct the deficiency, minimize “*off test*” production to the Project, and obtain an additional Process Control (PC) test after any corrective action to verify production is in conformance with the specifications. A PC test will not be used for acceptance and is solely for the use of the Contractor in its quality control process.

ii. Cessation of Supply for Superpave Mixtures in Non-PWL Lots:

A mixture **shall not be used** on Department projects when it is “*off test*” for:

1. four (4) consecutive tests in any combination of VA, VMA or Gmm, regardless of date of production, or
2. two (2) consecutive tests in the control point sieves in 1 production shift.

As a result of cessation of supply, the mix status will be changed to PPT

iii. JMF revisions:

JMF revisions are only permitted prior to or after a production shift. A JMF revision is effective from the time it was submitted and is not retroactive to the previous test(s).

JMF revisions shall be justified by a documented trend of test results.

Revisions to aggregate or RAP specific gravities are only permitted when testing is performed at an AMRL certified laboratory by NETTCP certified technicians.

A JMF revision is required when the Plant target RAP or bin percentage deviates by more than 5% or the Plant target binder content deviates by more than 0.15% from the active JMF.

TABLE M.04.03-4: Superpave Mixture Production Requirements

	S0.25		S0.375		S0.5		S1		Tolerances
Sieve	Control Points		Control Points		Control Points		Control Points		From JMF Targets ⁽²⁾
inches	Min (%)	Max (%)	Min (%)	Max (%)	Min (%)	Max (%)	Min (%)	Max (%)	+/- Tolerance
1.5	-	-	-	-	-	-	100	-	
1.0	-	-	-	-	-	-	90	100	
3/4	-	-	-	-	100	-	-	90	
1/2	100	-	100	-	90	100	-	-	
3/8	97	100	90	100	-	90	-	-	
No. 4	72	90	-	72	-	-	-	-	
No. 8	32	67	32	67	28	58	19	45	
No. 16	-	-	-	-	-	-	-	-	
No. 200	2.0	10.0	2.0	10.0	2.0	10.0	1.0	7.0	
Pb	JMF value		JMF value		JMF value		JMF value		0.3 ⁽³⁾
VMA (%)	16.5		16.0		15.0		13.0		1.0 ⁽⁴⁾
VA (%)	4.0		4.0		4.0		4.0		1.0 ⁽⁵⁾
Gmm	JMF value		JMF value		JMF value		JMF value		0.030
Mix Temp. – HMA ⁽⁶⁾	265-325°F ⁽¹⁾		265-325°F ⁽¹⁾		265-325°F ⁽¹⁾		265-325°F ⁽¹⁾		
Mix Temp. – PMA ⁽⁶⁾	285-335°F ⁽¹⁾		285-335°F ⁽¹⁾		285-335°F ⁽¹⁾		285-335°F ⁽¹⁾		
Prod. TSR	N/A		N/A		≥80%		N/A		
T-283 Stripping	N/A		N/A		Minimal TBD by the Engineer		N/A		

Notes: ⁽¹⁾ 300°F minimum after October 15.

⁽²⁾ JMF tolerances shall be defined as the limits for production compliance.

⁽³⁾ 0.4 for PWL lots

⁽⁴⁾ 1.3 for all PWL lots except S/P 0.25 mixes. 1.1 for S/P 0.25 Non-PWL lots. 1.4 for S/P 0.25 PWL lots

⁽⁵⁾ 1.2 for PWL lots

⁽⁶⁾ Also applies to placement

**Table M.04.03-5:
Modifications to Standard AASHTO and ASTM Test Specifications and Procedures**

AASHTO Standard Method of Test	
Reference	Modification
T 30	Section 7.2 through 7.4 Samples are not routinely washed for production testing
T 209	Section 7.2 The average of 2 bowls is used proportionally in order to satisfy minimum mass requirements. 8.3 Omit Pycnometer method.
T 283	When foaming technology is used, the material used for the fabrication of the specimens shall be cooled to room temperature, and then reheated to the manufacturer's recommended compaction temperature prior to fabrication of the specimens.
AASHTO Standard Recommended Practices	
Reference	Modification
R 26	<p>All laboratory technician(s) responsible for testing PG binders shall be certified or Interim Qualified by NETTCP as a PG Asphalt Binder Lab Technician.</p> <p>All laboratories testing binders for the Department are required to be accredited by the AMRL.</p> <p>Sources interested in being approved to supply PG binders to the Department by use of an "in-line blending system" must record properties of blended material and additives used.</p> <p>Each source of supply of PG binder must indicate that the binders contain no additives used to modify or enhance their performance properties. Binders that are manufactured using additives, modifiers, extenders, etc., shall disclose the type of additive, percentage and any handling specifications or limitations required.</p> <p>All AASHTO M 320 references shall be replaced with AASHTO M 332.</p> <p>Once a month, 1 split sample and test results for each asphalt binder grade and each lot shall be submitted by the PG binder supplier to the Department's Central Lab. Material remaining in a certified lot shall be re-certified no later than 30 days after initial certification. Each April and September, the PG binder supplier shall submit test results for 2 BBR tests at 2 different temperatures in accordance with AASHTO R 29.</p>

ON-THE-JOB TRAINING (OJT) WORKFORCE DEVELOPMENT PILOT:

Description

To provide construction industry related job opportunities to minorities, women and economically disadvantaged individuals; and to increase the likelihood of a diverse and inclusive workforce on Connecticut Department of Transportation (ConnDOT) projects.

All contractors (existing and newcomers) will be automatically placed in the Workforce Development Pilot. Standard OJT requirements typically associated with individual projects will no longer be applied at the project level for new projects. Instead, these requirements will be applicable on an annual basis for each contractor performing work on ConnDOT projects.

The OJT Workforce Development Pilot will allow a contractor to train employees on Federal, State and privately funded projects located in Connecticut. However, contractors should give priority to training employees on ConnDOT Federal-Aid funded projects.

Funding

The Department will establish an OJT fund annually from which contractors may bill the Department directly for eligible trainee hours. The funds for payment of trainee hours on federal-aid projects will be allocated from the ½ of 1% provided for OJT funding, and will be based on hours trained, not to exceed a maximum of \$25,000.00 per year; per contractor.

Minorities and Women

Developing, training and upgrading of minorities, women and economically disadvantaged individuals toward journeyman level status is the primary objective of this special training provision. Accordingly, the Contractor shall make every effort to enroll minority, women and economically disadvantaged individuals as trainees to the extent that such persons are available within a reasonable area of recruitment. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training whether a member of a minority group or not.

Assigning Training Goals

The Department, through the OJT Program Coordinator, will assign training goals for a calendar year based on the contractor's past two year's activities and the contractor's anticipated upcoming year's activity with the Department. At the beginning of each year, all contractors eligible will be contacted by the Department to determine the number of trainees that will be assigned for the upcoming calendar year. At that time, the Contractor shall enter into an agreement with the Department to provide a self-imposed on-the-job training program for the calendar year. This agreement will include a specific number of annual training goals agreed to by both parties. The number of training assignments may range from one (1) to six (6) per

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contractor per calendar year. Each January, a summary of the trainees required and the OJT Workforce Development Pilot package will be sent to participating contractors. The number of trainees assigned to each contractor in the summary will increase proportionately not to exceed 6, as shown in the following table. This package will also be provided to contractors as they become newly eligible for the OJT Workforce Development Pilot throughout the remainder of the year. Projects awarded after September 30 will be included in the following year's Program.

The dollar thresholds for training assignments are as follows:

\$4.5 – 8 million=	1 trainee
\$ 9 – 15 million=	2 trainees
\$16 – 23 million=	3 trainees
\$24 – 30 million=	4 trainees
\$31 – 40 million=	5 trainees
\$41 – and above=	6 trainees

Training Classifications

Preference shall be given to providing training in the following skilled work classifications. However, the classifications established are not all-inclusive:

Equipment Operators	Electricians
Laborers	Painters
Carpenters	Iron / Reinforcing Steel Workers
Concrete Finishers	Mechanics
Pipe Layers	Welders

The Department has on file common training classifications and their respective training requirements; that may be used by the contractors. Contractors shall submit new classifications for specific job functions that their employees are performing. The Department will review and recommend for acceptance the new classifications proposed by contractors, if applicable. New classifications shall meet the following requirements:

Proposed training classifications are reasonable and realistic based on the job skill classification needs, and the number of training hours specified in the training classification is consistent with common practices and provides enough time for the trainee to obtain journeyman level status.

Where feasible, 25% percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. The number of trainees shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment.

No employee shall be employed as a trainee in any classification in which they have successfully completed a training course leading to journeyman level status or in which they have been employed as a journeyman.

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Records and Reports

The Contractor shall maintain enrollment in the program and submit all required reports documenting company compliance under these contract requirements. These documents and any other information shall be submitted to the OJT Program Coordinator as requested.

Upon the trainee's completion and graduation from the program, the Contractor shall provide each trainee with a certification Certificate showing the type and length of training satisfactorily completed.

Trainee Interviews

In order to determine the continued effectiveness of the OJT Program in Connecticut, the department will periodically conduct personal interviews with current trainees and may survey recent graduates of the program. This enables the OJT Program Coordinator to modify and improve the program as necessary. Trainee interviews are generally conducted at the job site to ensure that the trainees' work and training is consistent with the approved training program.

Trainee Wages

Contractors shall compensate trainees on a graduating pay scale based upon a percentage of the prevailing minimum journeyman wages (Davis-Bacon Act). Minimum pay shall be as follows:

60 percent	of the journeyman wage for the first half of the training period
75 percent	of the journeyman wage for the third quarter of the training period
90 percent	of the journeyman wage for the last quarter of the training period

In no case, will the trainee be paid less than the prevailing rate for general laborer as shown in the contract wage decision (must be approved by the Department of Labor).

Achieving or Failing to Meet Training Goals

The Contractor will be credited for each trainee currently enrolled or who becomes enrolled in the approved training program and providing they receive the required training under the specific training program. Trainees will be allowed to be transferred between projects if required by the Contractor's schedule and workload. The OJT Program Coordinator must be notified of transfers within five (5) days of the transfer or reassignments by e-mail (Phylisha.Coles@ct.gov).

Where a contractor does not or cannot achieve its annual training goal with female or minority trainees, they must produce adequate Good Faith Efforts documentation. Good Faith Efforts are those designed to achieve equal opportunity through positive, aggressive, and continuous result-oriented measures. 23 CFR § 230.409(g) (4). Contractors should request minorities and females from unions when minorities and females are under-represented in the contractor's workforce.

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Whenever a contractor requests ConnDOT approval of someone other than a minority or female, the contractor must submit documented evidence of its Good Faith Efforts to fill that position with a minority or female. When a non-minority male is accepted, a contractor must continue to attempt to meet its remaining annual training goals with females and minorities.

Where a contractor has neither attained its goal nor submitted adequate Good Faith Efforts documentation, ConnDOT will issue a letter of non-compliance. Within thirty (30) days of receiving the letter of non-compliance, the contractor must submit a written Corrective Action Plan (CAP) outlining the steps that it will take to remedy the non-compliance. The CAP must be approved by ConnDOT. Failure to comply with the CAP may result in your firm being found non-responsive for future projects.

Measurement and Payment

Optional reimbursement will be made to the contractor for providing the required training under this special provision on ConnDOT Federal-Aid funded projects only.

Contractor will be reimbursed at \$0.80 for each hour of training given to an employee in accordance with an approved training or apprenticeship program. This reimbursement will be made even though the Contractor receives additional training program funds from other sources, provided such other source does not specifically prohibit the contractor from receiving other reimbursement.

Reimbursement for training is made annually or upon the trainees completion and not on a monthly basis. No payment shall be made to the Contractor if either the failure to provide the required training, or the failure to hire the trainee as a journeyperson, is caused by the Contractor.

Program reimbursements will be made directly to the prime contractor on an annual basis. To request reimbursement, prime contractors must complete the Voucher for OJT Workforce Development Pilot Hourly Reimbursement for each trainee in the OJT Program. This form is included in the OJT Workforce Development Pilot package and is available on the Department's web site at:

www.ct.gov/dot

The completed form must be submitted to the Office of Contract Compliance for approval. The form is due on the 15th day of January for each trainee currently enrolled and for hours worked on ConnDOT Federal-Aid funded projects only.

Commented [r1]: Ownership of this special provision lies with Phylisha Coles at 860-594-2178. No revisions are permitted without prior consent.

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D.B.E. SUBCONTRACTORS AND MATERIAL SUPPLIERS OR MANUFACTURERS

January 2013

I. ABBREVIATIONS AND DEFINITIONS AS USED IN THIS SPECIAL PROVISION

A. *CTDOT* means the Connecticut Department of Transportation.

B. *USDOT* means the U.S. Department of Transportation, including the Office of the Secretary, the Federal Highway Administration (“FHWA”), the Federal Transit Administration (“FTA”), and the Federal Aviation Administration (“FAA”).

C. *Broker* means a party acting as an agent for others in negotiating Contracts, Agreements, purchases, sales, etc., in return for a fee or commission.

D. *Contract, Agreement or Subcontract* means a legally binding relationship obligating a seller to furnish supplies or services (including but not limited to, construction and professional services) and the buyer to pay for them. For the purposes of this provision, a lease for equipment or products is also considered to be a Contract.

E. *Contractor* means a consultant, second party or any other entity under Contract to do business with CTDOT or, as the context may require, with another Contractor.

F. *Disadvantaged Business Enterprise (“DBE”)* means a for profit small business concern:

1. That is at least 51 percent owned by one or more individuals who are both socially and economically disadvantaged or, in the case of a corporation, in which 51 percent of the stock is owned by one or more such individuals; and
2. Whose management and daily business operations are controlled by one or more of the socially and economically disadvantaged individuals who own it; and
3. Certified by CTDOT under Title 49 of the Code of Federal Regulations, Part 26, (Title 49 CFR Part 23 of the Code of Federal Regulations for Participation of Disadvantaged Business Enterprise in Airport Concessions)

G. *USDOT-assisted Contract* means any Contract between CTDOT and a Contractor (at any tier) funded in whole or in part with USDOT financial assistance.

H. *Good Faith Efforts (“GFE”)* means all necessary and reasonable steps to achieve a DBE goal or other requirement which by their scope, intensity, and appropriateness to the objective, can reasonably be expected to fulfill the program requirement.

I. *Small Business Concern* means, with respect to firms seeking to participate as DBEs in USDOT-assisted Contracts, a small business concern as defined pursuant to Section 3 of the Small Business Act and Small Business Administration (“SBA”) regulations implementing it (13 CFR Part 121) that also does not exceed the cap on average annual gross receipts in 49 CFR Part 26, Section 26.65(b).

J. *Socially and Economically Disadvantaged Individual* means any individual who is a citizen (or lawfully admitted permanent resident) of the United States and who is:

1. Any individual who CTDOT finds, on a case-by-case basis, to be a socially and economically disadvantaged individual.
2. Any individuals in the following groups, members of which are rebuttably presumed to be socially and economically disadvantaged:
 - “Black Americans”, which includes persons having origins in any of the Black racial groups of Africa;
 - “Hispanic Americans”, which includes persons of Mexican, Puerto Rican, Cuban, Dominican, Central or South American, or other Spanish or Portuguese culture or origin, regardless of race;
 - “Native Americans”, which includes persons who are American Indians, Eskimos, Aleuts, or Native Hawaiians.
 - “Asian-Pacific Americans”, which includes persons whose origins are from Japan, China, Taiwan, Korea, Burma (Myanmar), Vietnam, Laos, Cambodia (Kampuchea), Thailand, Malaysia, Indonesia, the Philippines, Brunei, Samoa, Guam, the U.S. Trust Territories of the Pacific Islands (Republic of Palau), the Commonwealth of the Northern Marianas Islands, Macao, Fiji, Tonga, Kiribati, Juvalu, Nauru, or Federated States of Micronesia;
 - “Subcontinent Asian Americans”, which includes persons whose origins are from India, Pakistan, Bangladesh, Bhutan, the Maldives Islands, Nepal or Sri Lanka;
 - Women;
 - Any additional groups whose members are designated as socially and economically disadvantaged by the SBA, at such time as the SBA designation becomes effective.

K. *Commercially Useful Function (“CUF”)* means the DBE is responsible for the execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved with its own forces and equipment. The DBE must be responsible for procuring, determining quantity, negotiating price, determining quality and paying for all materials (where applicable) associated with their work. The DBE must also perform at least 30% of the total cost of its contract with its own workforce.

II. ADMINISTRATIVE REQUIREMENTS

A. General Requirements

A DBE goal percentage equaling **0** percent (%) of the Contract value has been established for this Contract. This DBE goal percentage will be applied to the final Contract value to ultimately determine the required DBE goal. If additional work is required, DBE firms should be provided the appropriate opportunities to achieve the required DBE goal.

In order to receive credit toward the Contract DBE goal, the firms utilized as DBE subcontractors or suppliers must be certified as DBEs in the type of work to be counted for credit by CTDOT’s Office of Contract Compliance prior to the date of the execution of the subcontract. Neither CTDOT nor the State of Connecticut’s Unified Certification Program (UCP) makes any representation as to any DBE’s technical or financial ability to perform the work. Prime contractors are solely responsible for performing due diligence in hiring DBE subcontractors.

All DBEs shall perform a CUF for the work that is assigned to them. The Contractor shall monitor and ensure that the DBE is in compliance with this requirement. The Connecticut DBE UPC Directory of certified firms can

be found on the CTDOT website <http://www.ct.gov/dot>. The directory lists certified DBE firms with a description of services that they are certified to perform. Only work identified in this listing may be counted towards the project's DBE goal. A DBE firm may request to have services added at any time by contacting CTDOT's Office of Contract Compliance. No credit shall be counted for any DBE firm found not to be performing a CUF.

Once a Contract is awarded, all DBEs that were listed on the pre-award DBE commitment document must be utilized. The Contractor is obligated to provide the value and items of the work originally established in the pre-award documentation to the DBE firms listed in the pre-award documentation. Any modifications to the pre-award commitment must follow the procedure established in Section II-C.

The Contractor shall designate a liaison officer who will administer the Contractor's DBE program. Upon execution of this Contract, the name of the liaison officer shall be furnished in writing to CTDOT's unit administering the Contract, CTDOT's Office of Contract Compliance and CTDOT's Office of Construction ("OOC"). Contact information for the designated liaison officer shall be furnished no later than the scheduled date for the pre-construction meeting.

The Contractor shall submit a bi-monthly report to the appropriate CTDOT unit administering the Contract. This report shall indicate what work has been performed to date, with the dollars paid and percentage of DBE goal completed.

Verified payments made to DBEs shall be included in this bi-monthly report. A sample form is included on the CTDOT website.

In addition, the report shall include:

1. A projected time frame of when the remaining work is to be completed for each DBE.
2. A statement by the Contractor either confirming that the approved DBEs are on schedule to meet the Contract goal, or that the Contractor is actively pursuing a GFE.
3. If retainage is specified in the Contract specifications, then a statement of certification that the subcontractors' retainage is being released in accordance with 1.08.01 (Revised or supplemented).

Failure by the Contractor to provide the required reports may result in CTDOT withholding an amount equal to one percent (1%) of the monthly estimate until the required documentation is received.

The Contractor shall receive DBE credit when a DBE, or any combination of DBEs, perform work under the Contract in accordance with this specification.

Only work actually performed by and/or services provided by DBEs which are certified for such work and/or services, as verified by CTDOT, can be counted toward the DBE goal. Supplies and equipment a DBE purchases or leases from the Contractor or its affiliate cannot be counted toward the goal.

Monitoring of the CUF will occur by CTDOT throughout the life of the project. If it is unclear that the DBE is performing the work specified in its subcontract with the prime Contractor, further review may be required. If it is determined that the DBE is not performing a CUF, then the work performed by that DBE will not be counted towards the DBE goal percentage.

B. Subcontract Requirements

The Contractor shall submit to CTDOT's OOC all requests for subcontractor approvals on the standard CLA-12 forms provided by CTDOT. The dollar amount and items of work identified on the CLA-12 form must, at minimum, equal the dollar value submitted in the pre-award commitment. CLA-12 forms can be found at <http://www.ct.gov/dot/construction> under the "Subcontractor Approval" section. All DBE subcontractors must be identified on the CLA-12 form, regardless of whether they are being utilized to meet a Contract goal percentage. A copy of the legal Contract between the Contractor and the DBE subcontractor/supplier, a copy of the Title VI Contractor Assurances and a copy of the Required Contract Provision for Federal Aid Construction Contracts (Form FHWA-1273) (Federal Highway Administration projects only) must be submitted along with a request for subcontractor approval. These attachments cannot be substituted by reference.

If retainage is specified in the Contract specifications, then the subcontract agreement must contain a prompt payment mechanism that acts in accordance with Article 1.08.01 (Revised or supplemented).

If the Contract specifications do not contain a retainage clause, the Contractor shall not include a retainage clause in any subcontract agreement, and in this case, if a Contractor does include a retainage clause, it shall be deemed unenforceable.

In addition, the following documents are to be included with the CLA-12, if applicable:

- An explanation indicating who will purchase material.
- A statement explaining any method or arrangement for utilization of the Contractor's equipment.

The subcontract must show items of work to be performed, unit prices and, if a partial item, the work involved by all parties. If the subcontract items of work or unit prices are modified, the procedure established in Section II-C must be followed.

Should a DBE subcontractor further sublet items of work assigned to it, only lower tier subcontractors who are certified as a DBE firm will be counted toward the DBE goal. If the lower tier subcontractor is a non-DBE firm, the value of the work performed by that firm will not be counted as credit toward the DBE goal.

The use of joint checks between a DBE firm and the Contractor is acceptable, provided that written approval is received from the OOC prior to the issuance of any joint check. Should it become necessary to issue a joint check between the DBE firm and the Contractor to purchase materials, the DBE firm must be responsible for negotiating the cost, determining the quality and quantity, ordering the material and installing (where applicable), and administering the payment to the supplier. The Contractor should not make payment directly to suppliers.

Each subcontract the Contractor signs with a subcontractor must contain the following assurance:

"The subcontractor/supplier/manufacture shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor/subcontractor/supplier/manufacture to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate."

C. Modification to Pre-Award Commitment

Contractors may not terminate for convenience any DBE subcontractor or supplier that was listed on the pre-award DBE commitment without prior written approval of the OOC. This includes, but is not limited to, instances

in which a Contractor seeks to perform work originally designated for a DBE subcontractor with its own forces or those of an affiliate, a non-DBE firm, or with another DBE firm. Prior to approval, the Contractor must demonstrate to the satisfaction of the OOC, that it has good cause, as found in 49CFR Part 26.53 (f)(3), for termination of the DBE firm.

Before transmitting its request for approval to terminate pre-award DBE firms to the OOC, the Contractor must give written notice to the DBE subcontractor and include a copy to the OOC of its notice to terminate and/or substitute, and the reason for the notice.

The Contractor must provide five (5) days for the affected DBE firm to respond. This affords the DBE firm the opportunity to advise the OOC and the Contractor of any reasons why it objects to the termination of its subcontract and why the OOC should not approve the Contractor's action.

Once the Contract is awarded, should there be any amendments or modifications of the approved pre-award DBE submission other than termination of a DBE firm, the Contractor shall follow the procedure below that best meets the criteria associated with the reason for modification:

1. If the change is due to a scope of work revision or non-routine quantity revision by CTDOT, the Contractor must notify CTDOT's OOC in writing or via electronic mail that their DBE participation on the project may be impacted as soon as they are aware of the change. In this case, a release of work from the DBE firm may not be required; however the Contractor must concurrently notify the DBE firm in writing, and copy the OOC for inclusion in the project DBE file. This does not relieve the Contractor of its obligation to meet the Contract specified DBE goal, or of any other responsibility found in this specification.
2. If the change is due to a factor other than a CTDOT directive, a request for approval in writing or via electronic mail of the modification from the OOC must be submitted, along with an explanation of the change(s), prior to the commencement of work. The Contractor must also obtain a letter of release from the originally named DBE indicating their concurrence with the change, and the reason(s) for their inability to perform the work. In the event a release cannot be obtained, the Contractor must document all efforts made to obtain it.
3. In the event a DBE firm that was listed in the pre-award documents is **unable** or **unwilling** to perform the work assigned, the Contractor shall:
 - Notify the OOC Division Chief immediately and make efforts to obtain a release of work from the firm.
 - Submit documentation that will provide a basis for the change to the OOC for review and approval prior to the implementation of the change.
 - Use the DBE Directory to identify and contact firms certified to perform the type of work that was assigned to the unable or unwilling DBE firm. The Contractor should also contact CTDOT's Office of Contract Compliance for assistance in locating additional DBE firms to the extent needed to meet the contract goal.

Should a DBE subcontractor be terminated or fail to complete work on the Contract for any reason, the Contractor must make a GFE to find another DBE subcontractor to substitute for the original DBE. The DBE replacement shall be given every opportunity to perform at least the same amount of work under the Contract as the original DBE subcontractor.

If the Contractor is unable to find a DBE replacement:

- The Contractor should identify other contracting opportunities and solicit DBE firms in an effort to meet the Contract DBE goal requirement, if necessary, and provide documentation to support a GFE. (Refer to GFE in Section III.)
- The Contractor must demonstrate that the originally named DBE, who is unable or unwilling to perform the work assigned, is in default of its subcontract, or identify other issues that affected the DBE firm's ability to perform the assigned work. **The Contractor's ability to negotiate a more advantageous agreement with another subcontractor is not a valid basis for change.**

III. GOOD FAITH EFFORTS

The DBE goal is **NOT** reduced or waived for projects where the Contractor receives a Pre-Award GFE determination from the Office of Contract Compliance prior to the award of the Contract. It remains the responsibility of the Contractor to make a continuing GFE to achieve the specified Contract DBE goal. The Contractor shall pursue every available opportunity to obtain additional DBE firms and document all efforts made in such attempts.

At the completion of all Contract work, the Contractor shall submit a final report to CTDOT's unit administering the Contract indicating the work done by and the dollars paid to DBEs. Only verified payments made to DBEs performing a CUF will be counted towards the Contract goal.

Goal attainment is based on the total Contract value, which includes all construction orders created during the Contract. If the Contractor does not achieve the specified Contract goal for DBE participation or has not provided the value of work to the DBE firms originally committed to in the pre-award submission, the Contractor shall submit documentation to CTDOT's unit administering the Contract detailing the GFE made during the performance of the Contract to satisfy the goal.

A GFE should consist of the following, where applicable (CTDOT reserves the right to request additional information):

1. A detailed statement of the efforts made to replace an unable or unwilling DBE firm, and a description of any additional subcontracting opportunities that were identified and offered to DBE firms in order to increase the likelihood of achieving the stated goal.
2. A detailed statement, including documentation of the efforts made to contact and solicit bids from certified DBEs, including the names, addresses, and telephone numbers of each DBE firm contacted; the date of contact and a description of the information provided to each DBE regarding the scope of services and anticipated time schedule of work items proposed to be subcontracted and the response from firms contacted.
3. Provide a detailed explanation for each DBE that submitted a subcontract proposal which the Contractor considered to be unacceptable stating the reason(s) for this conclusion.
4. Provide documentation, if any, to support contacts made with CTDOT requesting assistance in satisfying the specified Contract goal.

5. Provide documentation of all other efforts undertaken by the Contractor to meet the defined goal. Additional documentation of efforts made to obtain DBE firms may include but will not be limited to:
 - Negotiations held in good faith with interested DBE firms, not rejecting them without sound reasons.
 - Written notice provided to a reasonable number of specific DBE firms in sufficient time to allow effective participation.
 - Those portions of work that could be performed by readily available DBE firms.

In instances where the Contractor can adequately document or substantiate its GFE and compliance with other DBE Program requirements, the Contractor will have satisfied the DBE requirement and no administrative remedies will be imposed.

IV. PROJECT COMPLETION

At the completion of all Contract work, the Contractor shall:

1. Submit a final report to CTDOT's unit administering the Contract indicating the work done by, and the dollars paid to DBEs.
2. Submit verified payments made to all DBE subcontractors for the work that was completed.
3. Submit documentation detailing any changes to the DBE pre-award subcontractors that have not met the original DBE pre-award commitment, including copies of the Department's approvals of those changes.
4. Retain all records for a period of three (3) years following acceptance by CTDOT of the Contract and those records shall be available at reasonable times and places for inspection by authorized representatives of CTDOT and Federal agencies. If any litigation, claim, or audit is started before the expiration of the three (3) year period, the records shall be retained until all litigation, claims, or audit findings involving the records are resolved.

If the Contractor does not achieve the specified Contract goal for DBE participation in addition to meeting the dollar value committed to the DBE subcontractors identified in the pre-award commitment, the Contractor shall submit documentation to CTDOT's unit administering the Contract detailing the GFE made during the performance of the Contract to satisfy the goal.

V. SHORTFALLS

A. Failure to meet DBE goals

As specified in (II-A) above, attainment of the Contract DBE goal is based on the final Contract value. The Contractor is expected to achieve the amount of DBE participation originally committed to at the time of award; however, additional efforts must be made to provide opportunities to DBE firms in the event a Contract's original value is increased during the life of the Contract.

The Contractor is expected to utilize the DBE subcontractors originally committed in the DBE pre-award documentation for the work and dollar value that was originally assigned.

If a DBE is terminated or is unable or unwilling to complete its work on a Contract, the Contractor shall make a GFE to replace that DBE with another certified DBE to meet the Contract goal.

The Contractor shall immediately notify the OOC of the DBE's inability or unwillingness to perform, and provide reasonable documentation and make efforts to obtain a release of work from the firm.

If the Contractor is unable to find a DBE replacement, then the Contractor should identify other contracting opportunities and solicit DBE firms in an effort to meet the Contract DBE goal requirement, if necessary, and provide documentation to support a GFE.

When a DBE is unable or unwilling to perform, or is terminated for just cause, the Contractor shall make a GFE to find other DBE opportunities to increase DBE participation to the extent necessary to at least satisfy the Contract goal.

For any DBE pre-award subcontractor that has been released appropriately from the project, no remedy will be assessed, provided that the Contractor has met the criteria described in Section II-C.

B. Administrative Remedies for Non-Compliance:

In cases where the Contractor has failed to meet the Contract specified DBE goal or the DBE pre-award commitment, and where no GFE has been demonstrated, then one or more of the following administrative remedies will be applied:

1. A reduction in Contract payments to the Contractor as determined by CTDOT, not to exceed the shortfall amount of the **DBE goal**. The maximum shortfall will be calculated by multiplying the Contract DBE goal (adjusted by any applicable GFE) by the final Contract value, and subtracting any verified final payments made to DBE firms by the Contractor.
2. A reduction in Contract payments to the Contractor determined by CTDOT, not to exceed the shortfall amount of the **pre-award commitment**. The maximum shortfall will be calculated by subtracting any verified final payments made by the Contractor to each DBE subcontractor from the amount originally committed to that subcontractor in the pre-award commitment.
3. A reduction in Contract payments to the Contractor determined by CTDOT for any pre-award DBE subcontractor who has not obtained the dollar value of work identified in the DBE pre-award commitment and has not followed the requirements of Section II-C or for any DBE firm submitted for DBE credit that has not performed a CUF.
4. The Contractor being required to submit a written DBE Program Corrective Action Plan to CTDOT for review and approval, which is aimed at ensuring compliance on future projects.
5. The Contractor being required to attend a Non-Responsibility Meeting on the next contract where it is the apparent low bidder.
6. The Contractor being suspended from bidding on contracts for a period not to exceed six (6) months.

VI. CLASSIFICATIONS OTHER THAN SUBCONTRACTORS

A. Material Manufacturers

Credit for DBE manufacturers is 100% of the value of the manufactured product. A manufacturer is a firm that operates or maintains a factory or establishment that produces on the premises the materials or supplies obtained by the Contractor.

If the Contractor elects to utilize a DBE manufacturer to satisfy a portion of, or the entire specified DBE goal, the Contractor must provide the OOC with:

- Subcontractor Approval Form (CLA-12) indicating the firm designation,
- An executed "Affidavit for the Utilization of Material Suppliers or Manufacturers" (sample attached), and
- Substantiation of payments made to the supplier or manufacturer for materials used on the project.

B. Material Suppliers (Dealers)

Credit for DBE dealers/suppliers is limited to 60% of the value of the material to be supplied, provided such material is obtained from an approved DBE dealer/supplier.

In order for a firm to be considered a regular dealer, the firm must own, operate, or maintain a store, warehouse, or other establishment in which the materials, supplies, articles or equipment of the general character described by the specifications and required under the contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. At least one of the following criteria must apply:

- To be a regular dealer, the firm must be an established, regular business that engages, as its principal business and under its own name, in the purchase and sale or lease of the products in question.
- A person may be a regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone, or asphalt without owning, operating or maintaining a place of business if the person both owns and operates distribution equipment for the products. Any supplementing of the regular dealers' own distribution equipment shall be by long term lease agreement, and not on an ad hoc or contract to contract basis.
- Packers, brokers, manufacturers' representatives, or other persons who arrange or expedite transactions are not regular dealers within the meaning of this paragraph.

If the Contractor elects to utilize a DBE supplier to satisfy a portion or the entire specified DBE goal, the Contractor must provide the OOC with:

- Subcontractor Approval Form (CLA-12) indicating the firm designation,
- An executed "Affidavit for the Utilization of Material Suppliers or Manufacturers" (sample attached), and
- Substantiation of payments made to the supplier or manufacturer for materials used on the project.

C. Brokering

- Brokering of work for DBE firms who have been listed by the Department as certified brokers is allowed. Credit for those firms shall be applied following the procedures in Section VI-D.
- Brokering of work by DBEs who have been approved to perform subcontract work with their own workforce and equipment is not allowed, and is a Contract violation.

- Firms involved in the brokering of work, whether they are DBEs and/or majority firms who engage in willful falsification, distortion or misrepresentation with respect to any facts related to the project shall be referred to the U.S. DOT, Office of the Inspector General for prosecution under Title 18, U.S. Code, Part I, Chapter 47, Section 1020.

D. Non-Manufacturing or Non-Supplier DBE Credit

Contractors may count towards their DBE goals the following expenditures with DBEs that are not manufacturers or suppliers:

- Reasonable fees or commissions charged for providing a bona fide service such as professional, technical, consultant or managerial services and assistance in the procurement of essential personnel, facilities, equipment materials or supplies necessary for the performance of the Contract, provided that the fee or commission is determined by the OOC to be reasonable and consistent with fees customarily allowed for similar services.
- The fees charged only for delivery of materials and supplies required on a job site when the hauler, trucker, or delivery service is a DBE, and not the manufacturer, or regular dealer of the materials and supplies, and provided that the fees are determined by the OOC to be reasonable and not excessive as compared with fees customarily allowed for similar services.
- The fees or commissions charged for providing bonds or insurance specifically required for the performance of the Contract, provided that the fees or commissions are determined by CTDOT to be reasonable and not excessive as compared with fees customarily allowed for similar services.

E. Trucking

While technically still considered a subcontractor, the rules for counting credit for DBE trucking firms are as follows:

- The DBE must own and operate at least one fully licensed, insured, and operational truck used on the Contract.
- The DBE receives credit for the total value of the transportation services it provides on the Contract using trucks it owns, insures and operates using drivers it employs.
- The DBE may lease trucks from another DBE firm, including an owner-operator who is certified as a DBE. The DBE who leases trucks from another DBE receives credit for the total value of the transportation services the lessee DBE provides on the Contract.
- The DBE may lease trucks from a non-DBE firm; however the DBE may only receive credit for any fees or commissions received for arranging transportation services provided by the non-DBE firms. Additionally, the DBE firm must demonstrate that they are in full control of the trucking operation for which they are seeking credit.

VII. Suspected DBE Fraud

In appropriate cases, CTDOT will bring to the attention of the USDOT any appearance of false, fraudulent, or dishonest conduct in connection with the DBE program, so that USDOT can take the steps, e.g. referral to the

Department of Justice for criminal prosecution, referral to USDOT Inspector General, action under suspension and debarment or Program Fraud and Civil Penalties rules provided in 49 CFR Part 31.

**CONNECTICUT DEPARTMENT OF TRANSPORTATION
(OFFICE OF CONSTRUCTION)
BUREAU OF ENGINEERING AND CONSTRUCTION**

This affidavit must be completed by the State Contractor's DBE notarized and attached to the contractor's request to utilize a DBE supplier or manufacturer as a credit towards its DBE contract requirements; failure to do so will result in not receiving credit towards the contract DBE requirement.

State Contract No.

Federal Aid Project No.

Description of Project

I, _____, acting in behalf of _____,
(Name of person signing Affidavit) (DBE person, firm, association or corporation)

of which I am the _____ certify and affirm that _____
(Title of Person) (DBE person, firm, association or corporation)

is a certified Connecticut Department of Transportation DBE. I further certify and affirm that I have read and understand 49 CFR, Sec. 26.55(e)(2), as the same may be revised.

I further certify and affirm that _____ will assume the actual and
(DBE person, firm, association or Corporation)

for the provision of the materials and/or supplies sought by _____.

If a manufacturer, I operate or maintain a factory or establishment that produces, on the premises, the materials, supplies, articles or equipment required under the contract an of the general character described by the specifications.

If a supplier, I perform a commercially useful function in the supply process. As a regular dealer, I, at a minimum, own and operate the distribution equipment for bulk items. Any supplementing of my distribution equipment shall be by long-term lease agreement, and not on an ad hoc or contract-by-contract basis.

I understand that false statements made herein are punishable by Law (Sec. 53a-157), CGS, as revised).

(Name of Corporation or Firm)

(Signature & Title of Official making the Affidavit)

Subscribed and sworn to before me, this _____ day of _____ 20 _____.

Notary Public (Commissioner of the Superior Court)

My Commission Expires _____

CERTIFICATE OF CORPORATION

I, _____, certify that I am the _____
(Official) (President)

of the Corporation named in the foregoing instrument; that I have been duly authorized to affix the seal of the Corporation to such papers as require the seal; that _____, who signed said instrument on behalf of the Corporation, was then _____ of said corporation; that said instrument was duly signed for and in behalf of said Corporation by authority of its governing body and is within the scope of its corporation powers.

(Signature of Person Certifying)

(Date)

ITEM #0202216A – EXCAVATION AND REUSE OF EXISTING CHANNEL BOTTOM MATERIAL

Description: This work shall consist of excavating existing channel bottom material in areas where the channel bottom is to be disturbed and regraded to create a work area for a bridge, culvert, articulated concrete block placement or cofferdam installation. This item shall also include the stockpiling and protecting of the excavated material on the Site, subsequent placement of the stockpiled material in the channel, and the removal and proper disposal of all unused and unacceptable material.

Materials: The material for this item shall consist of the existing naturally-formed rocks, cobbles, gravel, soils and clean natural sediments from within the channel.

Any material excavated from ledge (bedrock) formations or broken from larger boulders will not be accepted. Broken concrete will not be accepted.

Construction Methods: The Contractor shall submit for the Engineer's approval a proposed location for stockpiling material. The proposed location shall be upland where disruption to the stream channel or impact to wetland areas caused by moving the excavated channel bottom material to and from the stockpile are minimized during the placement of material. The Contractor shall prepare the area approved by the Engineer, suitable in size and location for stockpiling the existing channel bottom material.

The stockpile shall be located where it can remain undisturbed for the duration of the stream channel construction and shall be protected using sedimentation control measures. The stockpile area shall be cleared and cleaned adequately to prevent mixing with underlying soil or other materials, including the use of a separation barrier such as: structural fabric, polyethylene sheeting, or similar. The stockpile area shall be adequately covered to protect the excavated channel bottom material from erosion by rain or other forces.

After clearing and grubbing, the Engineer will identify the limits of the exposed channel bottom material to be excavated under this item. The Engineer will identify the bottom limit of excavation, an amount up to but not exceeding 24 inches in depth, based upon visual inspection of the channel bottom material, unless otherwise specified in the Contract. After the limits of excavation have been determined, the Contractor shall excavate the channel bottom material, separate from any other roadway, structure, channel or unsuitable material excavation in the area. After the channel bottom material, and approved supplemental streambed channel material if needed, has been placed in the stockpile area, no other excavated or off-Site material shall be placed in the stockpile.

The stockpiled channel bottom material shall be placed at the designated location(s) to the required thickness as shown on the plans, denoted on the permit application, or as directed by the Engineer. Equipment and placement techniques shall prevent integration with the surrounding material and shall keep the channel bottom material relatively homogenous. Channel material

shall be placed in a manner that replicates the original condition of the channel prior to excavation.

The Contractor shall perform all containment, diversion, or other separation of the channel flow when placing the channel bottom material to minimize sediment transport downstream.

The disposal of any surplus or unsuitable material shall be in accordance with Section 2.02. Restore the stockpile area as directed by the Engineer.

If it is agreed by the Engineer that there is an insufficient quantity of excavated channel bottom material within the Project limits, the Contractor shall obtain Supplemental Streambed Channel Material as specified under that item.

Method of Measurement: This work will be measured for payment by the number of cubic yards of channel bottom material excavated, stockpiled, maintained, and accepted, including disposal of unacceptable and surplus materials.

The Engineer will delineate the horizontal pay limit prior to the start of excavation. The vertical pay limit will be measured from the top of the existing channel bottom to the bottom of excavation required specifically for the stockpiling of channel bottom material.

Any material excavated beyond the approved horizontal pay limits or deeper than the depth of channel bottom material identified and approved by the Engineer will not be measured for payment under this item. Should such additional excavation be required to complete the Contract work, it will be measured for payment separately under the applicable pay items.

Basis of Payment: Payment for this work will be made at the Contract unit price per cubic yard for "Excavation and Reuse of Existing Channel Bottom Material." The price shall include all materials, equipment, tools and labor incidental to the preparation of the stockpile area, excavation of channel bottom, hauling of the material to the stockpile, and separation of any rock ledge or concrete debris, storing, and protecting (including but not limited to sedimentation controls and covering of excavated material).

Payment for clearing and grubbing of the approved stockpile area will be included in the item "Clearing and Grubbing."

Payment for the removal and proper disposal of all unused and unacceptable material will be in accordance with Article 1.09.04 – Extra and Cost-Plus Work.

Payment for supplemental streambed channel material will be included in the item "Supplemental Streambed Channel Material." If no item appears in the proposal, the work will be in accordance with Article 1.09.04 – Extra and Cost-Plus Work.

Payment for all containment, diversion or other separation of stream flow from the excavation of channel bottom material will be included in the item "Cofferdam and Dewatering" or special provision for "Handling Water."

Excavation of material not identified by the Engineer for stockpiling and reuse in accordance with this specification will be paid in accordance with Section 2.02.

Pay Item	Pay Unit
Excavation and Reuse of Existing Channel Bottom Material	c.y.

ITEM #0202217A – SUPPLEMENTAL STREAMBED CHANNEL MATERIAL

Description: This work shall consist of procuring, transporting and placing supplemental streambed channel material meeting the visual inspection requirements herein, along stream bank/channel improvement locations as shown on the plans or denoted on the Project's permit applications. This work shall also include any necessary temporary protection and stockpiling of the supplemental streambed channel material on the Site and removal and proper disposal of all unused material.

Materials: When a sufficient quantity of material is not available from the existing streambed channel within the permitted footprint of the Site, the Contractor shall furnish visually inspected and accepted supplemental streambed channel material from an off-Site source.

The supplemental streambed channel material for this item shall be consistent with the existing naturally-formed cobbles and rocks, gravel, and clean natural sediments found within the existing channel. Rock excavated from ledge (bedrock) formations, broken from larger boulders, broken concrete or angular material will not be accepted. Rock larger than 12 inches in diameter will not be accepted. Silts and clays will not be accepted.

The visual inspection of the supplemental streambed channel material shall be performed by the Engineer at the off-Site source prior to delivery of material to the Site. The Contractor shall notify the Engineer at least 10 days in advance of the need for inspection of proposed off-Site material.

Construction Methods: At the start of construction, the Contractor shall prepare an area, approved by the Engineer, suitable in size and location for stockpiling the supplemental streambed channel bottom material. The Contractor shall select an upland location where disruption to the stream channel or impact to wetland areas caused by moving the supplemental streambed channel bottom material to and from the stockpile are minimized during the placement of material. The stockpile shall be located where it can remain undisturbed for the duration of the stream channel construction and shall be protected using sedimentation control measures.

The stockpile area shall be cleared and cleaned adequately to prevent mixing with underlying soil or other materials, including the use of structural fabric if required. The stockpile area shall be adequately covered to protect the supplemental streambed channel material from erosion by rain or other forces. After the supplemental streambed channel material and the excavated channel bottom material to be reused have been placed in the stockpile areas, no other excavated or off-Site material shall be placed in the stockpiles.

The reused and supplemental streambed channel material shall be placed at the designated location(s) to the required thickness as shown on the plans or denoted on the permit application, or as directed by the Engineer. Equipment and placement techniques shall prevent integration

with the surrounding material and shall keep the channel bottom material relatively homogenous. Reused and supplemental streambed channel material shall be placed in a manner that replicates the original condition of the channel prior to excavation.

The Contractor shall perform all containment, diversion, or other separation of the channel flow when placing the reused and supplemental streambed channel material to minimize sediment transport downstream.

The disposal of any surplus or unsuitable material shall be in accordance with Section 2.02. Restore the stockpile area as directed by the Engineer.

Method of Measurement: Work under this item shall be measured for payment as provided under Article 1.09.04 – Extra and Cost-Plus Work.

The sum of money shown on the estimate and in the itemized proposal as “Estimated Cost” for this work will be considered the price bid even though payment will be made only for actual work performed. The estimated cost figure is not to be altered in any manner by the bidder. Should the bidder alter the amount shown, the altered figures will be disregarded and the original price will be used to determine the total amount bid for the Contract.

Basis of Payment: This work will be paid for under Article 1.09.04 – Extra and Cost Plus Work.

Payment for clearing and grubbing of the approved stockpile area will be included in the item “Clearing and Grubbing.”

Payment for excavation and reuse of existing channel bottom material will be included in the item “Excavation and Reuse of Existing Channel Bottom Material.”

Payment for all containment, diversion or other separation of stream flow from the excavation of channel bottom material will be included in the item “Cofferdam and Dewatering” or special provision for "Handling Water."

Pay Item	Pay Unit
Supplemental Streambed Channel Material	est.

ITEM #0202451A - TEST PIT EXCAVATION

Description: The work covered under this item includes furnishing of all plant, labor, equipment, appliances, materials and incidentals and performing all operations in connection with excavating and backfilling by machine and/or by hand, exploratory test pits at locations where indicated or as directed. The purpose of the test pits is for locating and examining soils, groundwater, drains, pipes, rocks, utilities, structure foundations or any other obstacles.

Materials: Materials shall comply with the applicable sections of Form 817.

Construction Methods: Test pit excavations shall have neat, clean-cut and vertical sides. Upon completion of the test pit excavation, the Engineer shall be notified so that he can make the necessary location measurements. Excavation and backfilling shall conform to the applicable requirements of Section 2.02, Roadway Excavation, Formation of Embankment and Disposal of Surplus Material and Section 205 Trench Excavation of the Form 817. Hand digging shall be employed when required by the Engineer.

Test pit excavations shall be re-filled with excavated material in accordance with Sections 2.02 and 2.05. Test pit excavations shall not be re-filled until all measurements/observations have been completed. Restore surface to pre-existing condition unless directed otherwise. Surface restoration will be performed in accordance with the applicable sections of the Form 817.

Method of Measurement: The depth of test pit excavation will be measured in its original position by taking the difference between the ground surface at the time the trench is excavated and that after the excavations are complete. Before starting any excavation, the Contractor shall notify the Engineer so that elevations and measurements of the work may be obtained. Any work done prior to such notification will not be paid for.

Horizontal and vertical payment limits shall be the actual length/width/depth of excavation performed. Hand digging will not be measured separately. Re-filling of test pit excavations and surface restoration will not be measured for payment.

Basis of Payment: Payment for this work will be at the Contract unit price per cubic yard for "Test Pit Excavation", complete in place, which price will include all excavation, (machine and by hand), re-fill, compaction, surface restoration, all equipment, tools, labor, and materials necessary thereto.

Pay Item	Pay Unit
Test Pit Excavation	C.Y.

ITEM #0204151A - HANDLING WATER

Description: Work under this item shall consist of furnishing, installing, maintaining, removing and disposing of a temporary water handling system to redirect water beyond, through or around the limits of construction to allow work to be done in the dry. This work shall also include the design of the system, if warranted. The water handling system may include but not be limited to water-handling-cofferdams, pumps, hoses and pipes. Also included shall be any work or equipment necessary for dewatering.

Materials: The materials required for this work shall be as shown on the plans, on the accepted working drawings, or as ordered by the Engineer.

Construction Methods: The Contractor shall prepare and submit written procedures for handling water. Working drawings, in accordance with Article 1.05.02, shall also be prepared and submitted as warranted by the complexity and safety considerations of the work.

The Contractor shall verify stream conditions, elevations, or tidal conditions associated with the Project to determine the type of temporary water handling system required to redirect water away from work being performed.

The system shall be designed to be compatible with the stage construction, and Maintenance and Protection of Traffic as indicated in the Contract and in accordance with Section 1.10.

The Contractor shall be responsible for maintenance of the water handling system. If the system becomes damaged or displaced during construction, the system shall be corrected as required.

Unless otherwise provided or directed, all temporary water handling systems shall be removed and disposed of in an approved manner when no longer required.

Method of Measurement: The work under this item, being paid on a lump sum basis, will not be measured for payment.

Basis of Payment: This work, completed and accepted, will be paid for at the Contract lump sum price for "Handling Water," which price shall include submittals and working drawings, furnishing, installing, maintaining, removing, and disposing of all temporary water handling systems as are necessary for completion of the work. This price shall include design, if warranted, and all materials, equipment, tools, labor and work incidental thereto.

A schedule of values for payment shall be submitted to the Engineer for review and comment.

Pay Item
Handling Water

Pay Unit
l.s.

ITEM #0406275A - FINE MILLING OF BITUMINOUS CONCRETE (0" TO 4")

Description: This work shall consist of the milling, removal, and disposal of existing bituminous concrete pavement.

Construction Methods: The Contractor shall remove the bituminous concrete material using means acceptable to the Engineer. The pavement surface shall be removed to the line, grade, and existing or typical cross-section shown on the plans or as directed by the Engineer.

The bituminous concrete material shall be disposed of offsite by the Contractor at an approved disposal facility unless otherwise stated in the Contract.

Any milled surface, or portion thereof, that is exposed to traffic shall be paved within five (5) calendar days unless otherwise stated in the plans or Contract.

The equipment for milling the pavement surface shall be designed and built for milling bituminous concrete pavements. It shall be self-propelled with sufficient power, traction, and stability to maintain depth and slope and shall be capable of removing the existing bituminous concrete pavement.

The milling machine shall be equipped with a built-in automatic grade averaging control system that can control the longitudinal profile and the transverse cross-slope to produce the specified results. The longitudinal controls shall be capable of operating from any longitudinal grade reference, including string line, contact ski (30 feet minimum), non-contact ski (20 feet minimum), or mobile string line (30 feet minimum). The transverse controls shall have an automatic system for controlling cross-slope at a given rate. The Engineer may waive the requirement for automatic grade or slope controls where the situation warrants such action.

The machine shall be able to provide a 0 to 4 inch deep cut in one pass. The rotary drum of the machine shall use carbide or diamond tipped tools spaced not more than $\frac{5}{16}$ inch apart. The forward speed of the milling machine shall be limited to no more than 45 feet/minute. The tools on the revolving cutting drum must be continually maintained and shall be replaced as warranted to provide a uniform pavement texture.

The machine shall be equipped with an integral pickup and conveying device to immediately remove material being milled from the surface of the roadway and discharge the millings into a truck, all in one operation. The machine shall also be equipped with a means of effectively limiting the amount of dust escaping from the milling and removal operation.

When milling smaller areas or areas where it is impractical to use the above described equipment, the use of a lesser equipped milling machine may be permitted when approved by the Engineer.

Protection shall be provided around existing catch basin inlets, manholes, utility valve boxes, and any similar structures. Any damage to such structures as a result of the milling operation is the Contractor's responsibility and shall be repaired at the Contractor's expense.

To prevent the infiltration of milled material into the storm drainage system, the Contractor shall take special care to prevent the milled material from falling into the inlet openings or inlet grates. Any milled material that has fallen into inlet openings or inlet grates shall be removed at the Contractor's expense.

Surface Tolerance: The milled surface shall provide a satisfactory riding surface with a uniform textured appearance. The milled surface shall be free from gouges, longitudinal grooves and ridges, oil film, and other imperfections that are a result of defective equipment, improper use of equipment, or poor workmanship. The Contractor, under the direction of the Inspector, shall perform random spot-checks with a Contractor supplied ten-foot straightedge to verify surface tolerances at a minimum of five (5) locations per day. The variation of the top of two ridges from the testing edge of the straightedge, between any two ridge contact points, shall not exceed ¼ inch. The variation of the top of any ridge to the bottom of the groove adjacent to that ridge shall not exceed ¼ inch. Any unsatisfactory surfaces produced are the responsibility of the Contractor and shall be corrected at the Contractor's expense and to the satisfaction of the Engineer.

The depth of removal will be verified by taking measurements every 250 feet per each pass of the milling machine, or as directed by the Engineer. These depth measurements shall be used to monitor the average depth of removal.

Where a surface delamination between bituminous concrete layers or a surface delamination of bituminous concrete on Portland cement concrete causes a non-uniform texture to occur, the depth of milling shall be adjusted in small increments to a maximum of +/- ½ inch to eliminate the condition.

When removing bituminous concrete pavement entirely from an underlying Portland cement concrete pavement, all of the bituminous concrete pavement shall be removed leaving a uniform surface of Portland cement concrete, unless otherwise directed by the Engineer.

Any unsatisfactory surfaces produced by the milling operation are the Contractor's responsibility and shall be corrected at the Contractor's expense and to the satisfaction of the Engineer.

No vertical faces, transverse or longitudinal, shall be left exposed to traffic unless the requirements below are met. This shall include roadway structures (catch basins, manholes, utility valve boxes, etc.). If any vertical face is formed in an area exposed to traffic, a temporary paved transition shall be established according to the requirements shown on the plans. If the milling machine is used to form a temporary transition, the length of the temporary transition shall conform to Special Provision Section 4.06 –Bituminous Concrete, "Transitions for Roadway Surface," the requirements shown on the plans, or as directed by the Engineer. At all

permanent limits of removal, a clean vertical face shall be established by saw cutting prior to paving.

Roadway structures shall not have a vertical face of greater than one (1) inch exposed to traffic as a result of milling. All structures within the roadway that are exposed to traffic and greater than one (1) inch above the milled surface shall receive a transition meeting the following requirements:

For roadways with a posted speed limit of 35 mph or less*:

1. Round structures with a vertical face of greater than 1 inch to 2.5 inches shall be transitioned with a hard rubber tapered protection ring of the appropriate inside diameter designed specifically to protect roadway structures.
2. Round structures with a vertical face greater than 2.5 inches shall receive a transition of bituminous concrete formed at a minimum 24 to 1 (24:1) taper in all directions.
3. All rectangular structures with a vertical face greater than 1 inch shall receive a transition of bituminous concrete formed at a minimum 24 to 1 (24:1) taper in all directions.

*Bituminous concrete tapers at a minimum 24 to 1 (24:1) taper in all directions may be substituted for the protection rings if approved by the Engineer.

For roadways with a posted speed limit of 40, 45 or 50 mph:

1. All structures shall receive a transition of bituminous concrete formed at a minimum 36 to 1 (36:1) taper in the direction of travel. Direction of travel includes both the leading and trailing side of a structure. The minimum taper shall be 24 to 1 (24:1) in all other directions.

For roadways with a posted speed limit of greater than 50 mph:

1. All structures shall receive a transition of bituminous concrete formed at a minimum 60 to 1 (60:1) taper in the direction of travel. Direction of travel includes both the leading and trailing side of a structure. The minimum taper shall be 24 to 1 (24:1) in all other directions.

All roadway structure edges and bituminous concrete tapers shall be clearly marked with fluorescent paint. The paint shall be maintained throughout the exposure to traffic.

The milling operation shall proceed in accordance with the requirements of the "Maintenance and Protection of Traffic" and "Prosecution and Progress" specifications, or other Contract requirements. The more stringent specification shall apply.

Prior to opening an area which has been milled to traffic, the pavement shall be thoroughly swept with a sweeper truck. The sweeper truck shall be equipped with a water tank and be capable of removing the millings and loose debris from the surface. The sweeper truck shall operate at a forward speed that allows for the maximum pickup of millings from the roadway surface. Other sweeping equipment may be provided in lieu of the sweeper truck where acceptable by the Engineer.

Any milled area that will not be exposed to live traffic for a minimum of 48 hours prior to paving shall require a vacuum sweeper truck in addition to, or in lieu of, mechanical sweeping. The vacuum sweeper truck shall have sufficient power and capacity to completely remove all millings from the roadway surface including any fine particles within the texture of the milled surface. Vacuum sweeper truck hose attachments shall be used to clean around pavement structures or areas that cannot be reached effectively by the main vacuum. Compressed air may be used in lieu of vacuum attachments if approved by the Engineer.

Method of Measurement: This work will be measured for payment by the number of square yards of area from which the milling of asphalt has been completed and the work accepted. No area deductions will be made for minor unmilled areas such as catch basin inlets, manholes, utility boxes and any similar structures.

Basis of Payment: This work will be paid for at the Contract unit price per square yard for “Fine Milling of Bituminous Concrete (0” to 4”).” This price shall include all equipment, tools, labor, and materials incidental thereto.

No additional payments will be made for multiple passes with the milling machine to remove the bituminous surface.

No separate payments will be made for cleaning the pavement prior to paving; providing protection and doing handwork removal of bituminous concrete around catch basin inlets, manholes, utility valve boxes and any similar structures; repairing surface defects as a result of the Contractors negligence; providing protection to underground utilities from the vibration of the milling operation; removal of any temporary milled or paved transition; removal and disposal of millings; furnishing a sweeper truck and sweeping after milling. The costs for these items shall be included in the Contract unit price.

Pay Item	Pay Unit
Fine Milling of Bituminous Concrete (0”to 4”)	S.Y.

ITEM #0503866A – REMOVAL OF EXISTING CULVERT (SITE NO. 1)

Work under this item shall conform to the requirements of Section 5.03 of the Standard Specifications amended as follows:

5.03.01 - Description: *Delete Paragraph and add the following:*

This work shall include the full removal and disposal of existing pipe culvert as indicated on the plans.

5.03.03 – Construction Methods:

1. **Submittals.** *Delete Paragraph and add the following:*

The Contractor shall prepare and submit written procedures and working drawings for removal, in accordance with 1.05.02. The submittal shall address the following:

- proposed equipment and removal method(s)
- operating and storage location(s) of equipment and materials
- containment and disposal of debris, including lead paint where required.

5.03.05 - Basis of Payment: *Replace the first paragraph with the following:*

This work shall be paid for at the contract lump sum price for "Removal of Existing Culvert (Site No. 1)", which price shall include disposal of material and all materials, equipment, tools, and labor-incidental to the removal and disposal of the culvert.

Pay Item	Pay Unit
Removal of Existing Culvert (Site No. 1)	LS

ITEM #0601158A - (10' X 5') PRECAST CONCRETE BOX CULVERT

Description:

Work under this item shall consist of fabrication, furnishing, and installation of a precast concrete box culvert(s) in accordance with the details shown on the plans and as ordered by the Engineer. This item includes all hardware, inserts and dowels for connections as shown on the plans.

This item also includes the evaluation of the box culvert sections for transportation data loads that include shipping length, height, width, and weight and the shop casting of precast concrete headwalls above the precast concrete box culvert.

Materials:

The precast concrete shall meet the requirements of M.14.01-1. The concrete mix design shall be submitted to the Engineer and shall attain a minimum compressive strength (f'_c) of 5,000 psi and a minimum electrical resistivity of 29 k Ω -cm in accordance with AASHTO T 358 at 28 days.

All reinforcing steel, including dowel bar mechanical connectors, shall be epoxy coated and meet the requirements of M.06.01.

All threaded concrete inserts, lifting fixtures, and miscellaneous hardware cast into precast concrete components shall be galvanized in accordance with ASTM A153 or ASTM B695 Grade 50 as shown on the plans.

Preformed expansion joint filler shall conform to the requirements of AASHTO M 153, Type I or Type II.

Non-shrink grout shall meet the requirements of M.03.05, except that the non-shrink grout shall attain a minimum compressive strength of 3,000 psi prior to the passage of flowing water over the grout.

Gaskets shall be flexible, expanded rubber meeting the requirements of ASTM D1056.

Construction Methods:

1. Submittals:

- (a) **Shop / Working Drawings:** The Contractor shall submit a packaged set of shop and working drawings for each precast box culvert location to the Engineer for review in accordance with 1.05.02. Each package shall include drawings with all details and

documents necessary for fabrication and erection, and shall address all unique culvert sections and loading conditions. The package shall include the following:

- Title sheet
- Table of contents
- Contact information for fabricator – contact information shall include name and address of the firm and the name of contact person with phone number and email address
- Precast concrete box culvert working drawings and supporting data

The drawings shall include complete details of the precast concrete box sections and connections for the headwalls, cutoff walls, and closure pours where shown on the plans. The drawings shall include, but not be limited to, the following:

- Project number, town and crossing
- Bridge number, when shown on the plans
- Layout plan of the precast concrete boxes and headwalls. The plan shall include the dimensions of each box culvert and headwall section. The Contractor shall determine that the length of each box culvert section, including all tolerances, satisfies the stages of construction, sequence of construction, and construction methodology shown on the plans.
- Plan indicating sequence of erection and stage construction of precast concrete box culvert sections.
- Plans and cross-sections of the box and headwall sections detailing the length, width, height and thickness of wall, floor and roof slabs.
- Type, size, location and spacing of steel reinforcing including location and length of all lap joints, mechanical connectors and concrete inserts for anchoring threaded deformed steel bars, bending diagrams, material lists and catalog cuts for mechanical connectors and inserts as applicable.
- Type, size and location of fixtures and lifting holes.
- Location and size of all holes to be cast and additional reinforcement as required.
- Type, size and location of joints, gaskets and additional steel reinforcement.
- Material specification designations for all components.

2. Fabrication and Manufacture: The fabrication and manufacture of the precast concrete box sections shall meet the requirements of M.08.02-4 as supplemented by the following:

- (a) **Test Cylinders:** During the casting of the sections, the Contractor shall make a minimum of four 4 inch x 6 inch test cylinders during each production run. Cylinders shall be cured under the requirements of ASTM C31 and shall be used to determine the 28 day compressive strength (f'c).

(b) Finishing: All fins, runs, or mortar shall be removed from the concrete surfaces which will remain exposed. Form marks on exposed surfaces shall be smoothed by grinding. All exposed, outside concrete surfaces shall be given a grout clean-down finish in accordance with 6.01.03-10.

(c) Handling and Storage: Storage, transportation and handling of sections prior to final placement shall be performed without damage to the sections. Any damaged sections shall be repaired or replaced by the Contractor, at its own expense, as directed by the Engineer.

(d) Repairs: The Contractor shall submit to the Engineer, for review, the proposed methods and materials to be used in the repair operation.

3. Fabrication and Erection Tolerances: The Contractor shall be responsible for ensuring the overall length of the box culvert meets the layout requirements of the plans. Tolerance of forming precast concrete box sections shall be as follows:

(a) Internal Dimensions: The internal dimensions shall be within 1% of the design dimensions or within 1 1/2 inches, whichever is less.

(b) Slab and Wall Thickness: the slab and wall thickness shall be within 1/4 inch of the thicknesses shown in the design.

(c) Laying Length of Opposite Surfaces: Variations in laying lengths of two opposite surfaces of the box section shall be less than 1/8 inch/ft of internal span.

(d) Length of Section: The length of a section shall not vary from the designed length by more than 1/2 inch in any box section.

(e) Position of Reinforcement: Concrete clear cover shall conform to Article 6.02.03-4(b).

(f) Area of Reinforcement: The areas of steel reinforcement shall be the design steel areas as shown in the manufacturer's working drawings.

4. Acceptance of Box Sections: Box sections shall conform to all dimensions within tolerances noted herein and shall be free of defects. A dry fit of complete precast element system including culvert parapets and walls is required. Adjacent sections shall be assembled without a gasket to ensure that all tolerances specified are met prior to shipping. All sections that will be joined with mechanical connectors shall be pre-assembled, complete with fasteners, to confirm alignment. The Department shall be given at least 1 week notice to inspect and evaluate the sections prior to shipping.

5. Quality Assurance:

1. All precast concrete box culvert sections shall be fabricated by a ConnDOT approved PCI certified fabricator with a minimum certification of "B1".
2. Permanently mark each precast concrete box culvert section with date of casting and supplier identification Stamp markings in fresh concrete.
3. Prevent cracking or damage of precast concrete box culvert sections during handling and storage.
4. Replace defects and breakage of precast concrete box culvert sections:
 - a. Members that sustain damage or surface defects during fabrication, handling, storage, hauling, or erection are subject to review or rejection.
 - b. Obtain approval before performing repairs.
 - c. Repair work must reestablish the elements' structural integrity, durability, and aesthetics to the satisfaction of the Engineer.
 - d. Determine the cause when damage occurs and take corrective action.
 - e. Failure to take corrective action, leading to similar repetitive damage, can be cause for rejection of the damaged element.
 - f. Cracks that extend to the nearest reinforcement plane and fine surface cracks that do not extend to the nearest reinforcement plane but are numerous or extensive are subject to review and rejection.
 - g. Full depth cracking and breakage greater than one foot are cause for rejection.
5. Construct precast concrete box culvert sections to tolerances shown on the plans. Where tolerances are not shown, follow tolerance limits in the PCI MNL116-99, "Manual for Quality Control for Plants and Production of Structural Precast Concrete Products, 4th Edition".
6. The plant will document all test results. The quality control file will contain at least the following information:
 - a. Element identification.
 - b. Date and time of cast.
 - c. Concrete cylinder test results.
 - d. Quantity of used concrete and the batch printout.
 - e. Form-stripping date and repairs if applicable.
 - f. Location/number of blockouts and lifting inserts.
 - g. Temperature and moisture of curing period.
 - h. Document lifting device details, requirements, and inserts.

7. The concrete strengths required for various operations shall be indicated on the Assembly Plan. The Contractor shall demonstrate that these minimum strengths have been met through the use of material testing. As such, the Contractor will be required to perform strength testing at the Contractor's own expense and shall be responsible for taking a sufficient number of concrete cylinders to meet this requirement. The Contractor shall not rely solely on compressive tests conducted by ConnDOT, as the ConnDOT testing schedule may not be changed to accommodate Contractor's scheduling requirements for interim testing.
8. Dry fit adjacent elements. The fabricator shall dry fit elements in the shop prior to shipping. The Engineer shall be given at least 1 week notice to inspect and evaluate the sections prior to shipping.

6. Installation: The installation of the precast concrete box sections, and headwalls where applicable, shall be in accordance with the final working drawings and the following:

All box culvert joints shall be sealed with rubber gaskets and must provide a silt-tight fit. The gasket shall be compressed to a minimum of 1/2 of its uncompressed width. The gasket shall be uniformly compressed along all vertical and horizontal surfaces. A positive means, through the use of seating devices, shall be used for pulling each section against the adjacent section to assure an adequate silt-tight joint.

Details for the seating method shall be submitted to the Engineer for review. The lap joints shall be seated such that they make a continuous line of box sections with a smooth interior, free from irregularities in the invert line. The top portions of the horizontal lap joints for the roof and floor slabs and the outside face of the vertical lap joints (full height on each side) shall be neatly filled with non-shrink grout after seating the sections. The exposed portions of the lap joints within the haunches or fillets shall also be neatly filled with non-shrink grout. The finished surface shall be smooth and level with the adjacent concrete.

After its installation, any box section, as determined by the Engineer, not acceptable in vertical or horizontal alignment for any reason, including but not limited to settlement, displacement, excess camber or misfit, shall be removed by the Contractor and correctly installed, as directed by the Engineer and at the Contractor's expense.

All fixtures or holes cast into the sections for lifting or seating shall be neatly filled with non-shrink grout. The finished surface shall be smooth and level with the adjacent concrete.

The surface preparation, mixing, placing, curing, and finishing of the non-shrink grout shall follow the written instructions provided by the manufacturer of the grout. The Contractor shall furnish the Engineer with copies of the instructions. The grout shall be cured at least 3 days unless determined otherwise by the Engineer.

Method of Measurement:

This work will be measured along the structure centerline for payment by the number of linear feet of precast concrete box culvert completed and accepted.

Basis of Payment:

This work will be paid for at the Contract unit price per linear foot for "(Size) Precast Concrete Box Culvert," complete in place, which price shall include preformed expansion joint filler and all equipment, materials, tools and labor incidental to the design, manufacture, shipping, repair and installation of the precast concrete box culvert of the specified size(s) at the locations specified on the plans.

Pay Item	Pay Unit
10' x 5' Precast Concrete Box Culvert	l.f.

ITEM #0601275A – PRECAST SUBSTRUCTURE ELEMENTS

Description:

Work under this item shall consist of fabricating, furnishing, erecting, and installing all precast concrete wingwall elements for bridge substructure, and precast concrete cutoff and return walls under the precast concrete box culvert. This item shall also include all necessary materials and equipment to complete the work as shown on the plans.

Precast concrete headwalls above the precast concrete box culvert are to be shop cast with the Precast Concrete Box Culvert and are not a part of this Item.

This item shall include the reinforcing steel, threaded inserts, lifting and seating inserts, fixtures or devices, non-shrink grout, weep holes, and all other necessary materials and equipment to complete the work.

This item shall also include the development of an Assembly Plan for the erection of the precast elements.

All precast concrete elements shall be cast and approved prior to initiation of the Route 1 detour. The Assembly Plan shall be approved by the Engineer prior to the initiation of the Route 1 detour.

Not included in this item is the work associated with Item #0601158A - 10' x 5' Precast Concrete Box Culvert.

Materials:

Precast concrete shall conform to the requirements of M.14.01-1, amended as follows:

- a. Concrete shall have a minimum 28-day compressive strength (f'c) of 5,000 psi.
- b. Coarse aggregate shall meet the requirements of M.03.01-1.
- c. The entrained air content shall not be less than 5%, or greater than 7%.
- d. The minimum mass of cementitious materials per cubic yard of concrete shall be 660 pounds.
- e. The addition to the mix of Calcium Chloride or admixtures containing calcium chloride will not be permitted.

All reinforcement shall be epoxy coated and conform to the requirements of Article M.06.01.

Lifting hooks, keys, threaded concrete inserts, bolts, devices, and attachments shall be of a design satisfactory to the Engineer for the purpose intended and shall be galvanized in accordance with ASTM A153 or ASTM B695 Grade 50.

High early strength non-shrink grout shall conform to Section M.03.05 and have a minimum compressive strength, $f'c = 5,000$ psi.

Grouted Splice Coupler: Use grouted splice couplers to join precast concrete elements as shown on the plans. The grouted splice coupler shall be specifically designed to join reinforcing steel from within a precast concrete element to dowel bars from an adjacent precast concrete element. The grouted splice couplers shall use cementitious grout placed inside a steel casting to develop the strength of the connection. The following grouted splice couplers are acceptable for use provided that the requirements of this specification are met and approved of by the Engineer:

- a. NMB Splice-Sleeve
38777 West Six Mile Road, Suite 205
Livonia, MI 48152
(877) 880-3230
www.splicesleeve.com
- b. Lenton Interlok
Pentair USA
34600 Solon Road
Solon, OH 44139
(800) 753-9221
www.erico.com/lenton.asp
- c. Sleeve-Lock Grout Sleeve
Dayton Superior Corporation
1125 Byers Road
Miamisburg, OH 45342
(800) 745-3700
www.daytonsuperior.com

The grouted splice couplers shall provide 125 percent of the specified yield strength of the connected bar. The grout used for the inside of the couplers shall be supplied by the coupler manufacturer. The grout shall be matched with the coupler and be the same grout as the certified test report for the coupler. No other grout shall be substituted in the couplers unless additional certified test reports are submitted for the grout/coupler system.

Coated bars shall not be field cut, unless permitted by the Engineer. Field cutting of coated bars should be performed using hydraulic-powered cutters or friction cutting tools to minimize coating damage and field touch-up. Flame cutting of coated bars will not be permitted. Field cut bars shall be repaired immediately. See Form 817, Section 6.02.03 4.(e) Repair of Coated Reinforcing Steel for repair requirements.

Construction Methods:**1. Submittals:**

(a) Shop / Working Drawings: Prior to fabrication, the Contractor shall submit shop drawings to the Engineer for approval in accordance with Article 1.05.02-3, and as follows:

- a. Prepare and submit shop drawings for all precast substructure elements to be fabricated.
- b. Shop drawings shall be signed and sealed by a Professional Engineer licensed in the State of Connecticut.
- c. Prepared and submit working drawings for erection of all precast substructure elements to be fabricated.
- d. Shop drawings shall be signed and sealed by a Professional Engineer licensed in the State of Connecticut.
- e. Dimension pieces on shop drawings from working points or working lines to prevent accumulation of dimensional tolerances.
- f. Show all lifting inserts, hardware, or devices and locations on the shop drawings for Engineer's approval.
- g. Show locations and details of the lifting devices, including supporting calculations, type, and amount of any additional reinforcing required for lifting. Design all lifting devices based on the no cracking criteria in Chapter 5 of the PCI Design Handbook.
- h. Supporting calculations shall be signed and sealed by a Professional Engineer licensed in the State of Connecticut.
- i. Show minimum compressive strength attained prior to handling the precast elements.
- j. Do not order materials or begin work until receiving final approval of the shop drawings.
- k. The Department will reject any elements fabricated before receiving written approval, or any elements that deviate from the approved shop drawings. The Contractor is responsible for costs incurred due to faulty detailing or fabrication.

Prior to erection, the Contractor shall submit an Assembly Plan to the Engineer for review in accordance with Article 1.05.02-3, and the following:

- a. Follow the requirements of the PCI Design Handbook for handling and erection bracing requirements.
- b. Comply with all requirements of applicable environmental permits.
- c. Comply with the construction timeframes specified in the Maintenance and Protection of Traffic Specification.
- d. Submit full size 22 inch x 34 inch sheets depicting the assembly procedures for the precast substructure elements.
- e. Include a work area plan, depicting items such as utilities overhead and below the work area, drainage inlet structures, and protective measures.

- f. Include details of all equipment that will be employed for the assembly of the substructure elements.
- g. Include details of all equipment to be used to lift substructure elements, including cranes, excavators, lifting slings, sling hooks, and jacks. Include crane locations, operation radii, and lifting calculations. Elements to be lifted shall include an additional 25% of the calculated load when sizing all lifting equipment. This increase shall be in addition to lifting equipment manufacturer's typical factors of safety.
- h. Include a detailed sequence of construction and a timeline for all operations. Account for setting and cure time for grouts, shear key concrete, void concrete, and concrete closure pours.
- i. Include methods of providing temporary support of the elements. Include methods of adjusting and securing the element after placement.
- j. Include procedures for controlling tolerance limits both horizontal and vertical.
- k. The Assembly Plan shall be bound into one complete document and shall be prepared, signed, and sealed by a Professional Engineer licensed in the State of Connecticut.

2. Fabrication and Manufacture: The fabrication and manufacture of the precast substructure elements shall conform to the AASHTO LRFD Bridge Design Specifications (Seventh Edition, 2014 with 2016 interim revisions). Provide the Engineer a tentative casting schedule at least two (2) weeks in advance to make inspection and testing arrangements. A similar notification is required for the shipment of precast elements to the job site. Do not place concrete in the forms until the Engineer has inspected the form and has approved the placement of all materials in the precast elements. Finish the precast elements according to Section 6.01. Trowel-finish the top surface of all precast concrete elements.

The fabrication and manufacture of the precast concrete elements shall meet the requirements of M.08.02-4 as supplemented by the following:

- (a) **Test Cylinders:** During the casting of the elements, the Contractor shall make a minimum of four 4 inch x 6 inch test cylinders during each production run. Cylinders shall be cured under the requirements of ASTM C31 and shall be used to determine the 28-day compressive strength ($f'c$).
- (b) **Finishing:** All fins, runs, or mortar shall be removed from the concrete surfaces which will remain exposed. Form marks on exposed surfaces shall be smoothed by grinding. All exposed, outside concrete surfaces shall be given a grout clean-down finish in accordance with 6.01.03-10.
- (c) **Handling and Storage:** Storage, transportation and handling of the elements prior to final placement shall be performed without damage to the elements. Any damaged elements shall be repaired or replaced by the Contractor, at its own expense, as directed by the Engineer.

(d) Repairs: The Contractor shall submit to the Engineer, for review, the proposed methods and materials to be used in the repair operation.

3. Fabrication and Erection Tolerances: The length of each substructure element measured along its longitudinal axes shall be equal to that shown on the plans plus or minus 1/8". The thickness of each substructure element shall be equal to that shown on the plans plus or minus 1/8". The height of each substructure element, measured from the top of the footing to the top of the substructure element, shall be equal to that shown on the plans plus or minus 1/8". The top of the substructure element elevation shall be equal to that shown on the plans plus or minus 1/8". The squareness and plumbness shall not exceed plus or minus 1/8".

4. Acceptance of Precast Substructure Elements: Precast Substructure Elements shall conform to all dimensions within tolerances noted herein and shall be free of defects. All tolerances shall be met prior to shipping. The Department shall be given at least 1 week notice to inspect and evaluate the sections prior to shipping.

5. Quality Assurance:

1. All precast concrete elements shall be fabricated by a ConnDOT approved PCI certified fabricator with a minimum certification of "B1".
2. Permanently mark each precast concrete element with date of casting and supplier identification Stamp markings in fresh concrete.
3. Prevent cracking or damage of precast concrete elements during handling and storage.
4. Replace defects and breakage of precast concrete elements:
 - a. Members that sustain damage or surface defects during fabrication, handling, storage, hauling, or erection are subject to review or rejection.
 - b. Obtain approval before performing repairs.
 - c. Repair work must reestablish the elements' structural integrity, durability, and aesthetics to the satisfaction of the Engineer.
 - d. Determine the cause when damage occurs and take corrective action.
 - e. Failure to take corrective action, leading to similar repetitive damage, can be cause for rejection of the damaged element.
 - f. Cracks that extend to the nearest reinforcement plane and fine surface cracks that do not extend to the nearest reinforcement plane but are numerous or extensive are subject to review and rejection.
 - g. Full depth cracking and breakage greater than one foot are cause for rejection.
5. Construct precast elements to tolerances shown on the plans. Where tolerances are not shown, follow tolerance limits in the PCI MNL116-99, "Manual for Quality Control for Plants and Production of Structural Precast Concrete Products, 4th Edition".

6. The plant will document all test results. The quality control file will contain at least the following information:
 - a. Element identification.
 - b. Date and time of cast.
 - c. Concrete cylinder test results.
 - d. Quantity of used concrete and the batch printout.
 - e. Form-stripping date and repairs if applicable.
 - f. Location/number of blockouts and lifting inserts.
 - g. Temperature and moisture of curing period.
 - h. Document lifting device details, requirements, and inserts.

7. The concrete strengths required for various operations shall be indicated on the Assembly Plan. The Contractor shall demonstrate that these minimum strengths have been met through the use of material testing. As such, the Contractor will be required to perform strength testing at the Contractor's own expense and shall be responsible for taking a sufficient number of concrete cylinders to meet this requirement. The Contractor shall not rely solely on compressive tests conducted by ConnDOT, as the ConnDOT testing schedule may not be changed to accommodate Contractor's scheduling requirements for interim testing.

8. Dry fit adjacent elements. Verify fit of all element anchors. The fabricator shall dry fit elements in the shop prior to shipping. The Engineer shall be given at least 1 week notice to inspect and evaluate the sections prior to shipping.

6. Installation: Procedure for installation of elements:

1. Review the approved Assembly Plan. If changes are warranted due to varying site conditions, resubmit the plan for review and approval.
2. Establish working points, working lines, and benchmark elevations prior to placement of all elements.
3. Check the condition of the receiving bonding surface prior to connecting elements and take any necessary measures to remove items such as dust, rust, and debris to provide the satisfactory bonding required between the protruding reinforcing bars element and the grouted couplers.
4. Precast Concrete Footing Installation:
 - a. Lift and place the footing elements as shown in the assembly plan using lifting devices as shown on the shop drawings.
 - b. Set footing in the proper horizontal location. Check for proper alignment within specified tolerances. For U-Type wingwalls the alignment and spacing of grouted splice couplers and projecting dowels that are to be inserted into grouted splice couplers takes precedence over the alignment of the footing edges.

- c. Check the spacing of dowels or grouted splice couplers between adjacent footings that are to support common elements in future stages of construction. The use of bi-level templates and jigs is recommended. Adjust the location of the footing if required.
5. Wingwall Panel Installation.
 - a. Lift and place wall panel element as shown in the Assembly Plan using lifting devices shown on the shop drawings. Survey the elevation of the footing directly below the panel. Provide shims to bring the bottom of the panel to the required elevation. Measure the elevation of the top of the shim stack.
 - b. For wall stems connected using grouted splice couplers, it is recommended that the projecting reinforcing bars from the adjacent element be cast longer than required and cut to length in the field after the top of shims have been set. Follow the manufacturer's recommendations for the projection length of the bars measured from the top of the shims to the top of the bars. Verify that the elevations and dowel extensions are within specified tolerances.
 - c. Dry fit panel in the proper horizontal location. Check for proper horizontal and vertical alignment within specified tolerances. Remove and adjust the shims and reset the panel if the panel is not within tolerance.
 - d. Set the panel and install the couplers per Connection Procedures described below, once the connection geometry is established and checked.
 - e. Install peel and stick rubber membrane.
 6. Connection Procedures Using Grouted Splice Couplers
 - a. Remove and clean all debris from the joints prior to application of non-shrink grout. Keep bonding surfaces free from laitance, dirt, dust, paint, grease oil, or any contaminants other than water.
 - b. Saturate Surface Dry (SSD) all joint surfaces prior to connecting the elements.
 - c. Use heaters in freezing temperatures to maintain a minimum temperature of 50 degrees F.
 - d. Monitor the temperature of the covered sleeves until the temporary bracing is removed.
 - e. Follow the recommendations of the manufacturer for the installation and grouting of the couplers. Follow the manufacturer's recommendations for the projection length of the bars measured from the top of the shims to the top of the bars.
 - f. Mix the non-shrink grout according to the supplier's recommendations including preparation and application.
 - g. Place non-shrink grout on the interface between the two elements being joined prior to setting the element. Crown the thickness of the grout toward the center of the joint so that the grout can be displaced outward as the element is lowered onto the joint. Take precautions to prevent the non-shrink grout from entering the coupler above (e.g. grout dams or seals).
 - h. Set the element in place. Engage all couplers in the joint. Allow the non-shrink grout to seep out of the joint.
 - i. Install temporary bracing if specified in the Assembly Plan.
 7. Allow the grout in the coupler to cure until the coupler can resist 125 percent of the specified yield strength of the bar prior to removing bracing, proceeding with installation of

components above the panel and backfilling wingwalls. The required strength of the grout for this is based on the certified test report. Verify the strength of the grout by testing cube samples according to AASHTO T 106.

Method of Measurement:

The work under this item shall not be measured for payment, but shall be included under a lump sum cost to construct the precast substructure elements placed in accordance with the plans or as ordered by the Engineer.

Items considered incidental to the construction and included in the lump sum price shall include, Development of Assembly Plan, backer rods, preformed and premolded filler, peel and stick rubber membrane, grout ports, leveling devices, reinforcing steel, grouted splice couplers, lifting hardware, non-shrink grouts, and all other work involved in furnishing and placing the precast concrete elements. Reinforcing steel cast with and/or within the precast concrete elements, leveling devices, and grouted splice couplers, and work required for the fabrication, transportation, erection and installation of precast concrete elements will not be measured for payment, but shall be included in the cost of the item "Precast Substructure Elements".

Payment for drilling holes within the precast concrete cutoff walls, non-shrink grout, and #6 x 1'-6" long anchor bars are incidental to Item "10' X 5' Precast Concrete Box Culvert" and are not part of this Item.

Basis of Payment:

This work shall be paid for at the lump sum basis for "Precast Substructure Elements", complete and accepted. Price shall include all material, tools, equipment, labor, and work incidental to the construction.

Pay Item	Pay Unit
Precast Substructure Elements	L.S.

ITEM #0602910A - DRILLING HOLES AND GROUTING DOWELS

Description:

Work under this item shall consist of drilling holes and grouting dowels in the precast cutoff walls as shown on the plans, or as directed by the Engineer. For the purposes of this specification, a dowel will be a steel reinforcing bar.

Materials:

Steel reinforcement bars shall be epoxy-coated and shall be considered incidental to the work under this item. Steel reinforcement bars shall meet the requirements of M.06.01.

Non-shrink grout shall meet the requirements of M.03.05, except that the non-shrink grout shall attain a minimum compressive strength of 3,000 psi prior to the passage of flowing water over the grout.

Construction Methods:

Before fabricating any materials, the Contractor shall submit manufacturer's specifications and installation for the non-shrink grout to the Engineer for review in accordance with 1.05.02.

Holes for the dowels shall be located as shown on the plans. The holes shall clear the existing reinforcement and provide minimum cover as shown on the plans. A pachometer shall be used to locate existing reinforcing steel. In locations where existing reinforcement is encountered during the drilling operations, or there are insufficient edge distance, the dowel holes shall be relocated to a near location and the uncompleted holes shall be filled with the non-shrink grout and finished smooth and flush with the adjacent surface. No additional compensation shall be made to the Contractor for the selected method of drilling.

The depth and diameter of each hole shall be as shown on the plans. If the diameter of a hole is not shown, the diameter of the hole shall conform to the manufacturer's recommendations for the diameter of the dowel being anchored. If the depth and diameter of a hole are not shown, the hole shall conform to the manufacturer's recommendations for the diameter of the dowel being anchored such that the grouted dowels will be able to develop in tension 100 percent of its specified yield strength. The grout, hole diameter, and embedment depth shall conform to the manufacturer's recommendations and shall be submitted to the Engineer for approval.

Hole drilling methods shall not cause spalling, cracking, or other damage to any existing precast element remained in place. The weight of the drill shall not exceed 20 pounds. Those areas damaged by the Contractor shall be repaired by him in a manner suitable to the Engineer and at no expense to the State of Connecticut.

The holes shall be cleaned of all dirt, moisture, concrete dust and other foreign material and shall have the approval of the Engineer prior to placement of any non-shrink grout material. The dowel and the non-shrink grout material shall be installed in the holes in accordance with the grout material manufacturer's recommendations. Any excessive grout around the hole after placement of the dowel shall be struck off smooth while the grout is still fresh.

The Contractor shall take adequate precautions to prevent any materials from dropping to the area below or adjacent precast concrete elements, which may result in damage to any existing construction. Should any damage occur to any precast concrete element as a result of the Contractor's operations, the Contractor shall make repairs at his own expense. The repair work shall be approved in advance and shall be of a quality acceptable to the Engineer.

Method of Measurement:

This work will be measured for payment by the actual number of drilled holes in which dowels are embedded and accepted.

Basis of Payment:

This work will be paid for at the contract unit price each for "Drilling Holes and Grouting Dowels," which price shall include drilling and preparing holes, furnishing and installing the non-shrink grout material in the holes and all material, equipment, tools and other incidental labor necessary to execute the work described above at the locations specified on the plans.

Pay Item	Pay Unit
Drilling Holes and Grouting Dowels	ea.

ITEM #0707009A – MEMBRANE WATERPROOFING (COLD LIQUID ELASTOMERIC)

Description: Work under this item consists of furnishing and installing a seamless elastomeric waterproofing membrane system applied to a concrete or steel surface as shown on the plans, in accordance with this specification and as directed by the Engineer. Work shall also include conditioning of the surface to be coated and all quality-control testing noted herein.

The completed membrane system shall be comprised of a primer coat followed by the membrane coating which is applied in one or two layers for a minimum total thickness of 80 mil (2 mm), an additional 40 mil (1mm) membrane layer with aggregate broadcast into the material while still wet, and a bond coat of bitumen-based adhesive material.

Materials: The Contractor shall select a waterproofing membrane system from the Department's current Qualified Product List (QPL) for Spray-Applied Membrane Waterproofing System. All materials incorporated in the works shall meet the Manufacturer's specification for the chosen system. The Engineer will reject any system that is not on the QPL.

Materials Certificate: The Contractor shall submit to the Engineer a Materials Certificate for the primer and membrane and bond coat material in accordance with the requirements of Article 1.06.07.

Construction Methods: At least ten days prior to installation of the membrane system, the Contractor shall submit to the Engineer, the manufacturer's recommended procedure for preparing the deck surface, pre-treatment or preparing at cracks and gaps, treatment at curbs, vertical surfaces or discontinuities, applying the primer and membrane, and placing of aggregated coat. Procedures shall also include recommended repairs of system non-compliant issues identified during application. The system shall be applied to the prepared area(s) as defined in the plans strictly in accordance with the Manufacturer's recommendations.

A technical representative, in the direct employ of the manufacturer, shall be present on-site immediately prior to and during application of the membrane. The representative shall inspect and approve the surface prior to priming, and provide guidance on the handling, mixing and addition of components and observe application of the primer and membrane. The representative shall perform all required quality-control testing and remain on the Project site until the membrane has fully cured.

All quality-control testing, including verbal direction or observations on the day of the installation, shall be recorded and submitted to the Engineer for inclusion in the Project's records. A submittal of the quality-control testing data shall be received by project personnel prior to any paving over the finished membrane or within 24 hours following completion of any staged portion of the work.

1. **Applicator Approval:** The Contractor's membrane Applicator shall be fully trained and licensed by the membrane manufacturer and shall have successfully completed at least three spray membrane projects in the past five years. The Contractor shall furnish references from those projects, including names of contact persons and the names, addresses and phone numbers of persons who supervised the projects. This information shall be submitted to the Engineer prior to the start of construction. The Engineer shall have sole authority to determine the adequacy and compliance of the submitted information. Inadequate proof of ability to perform the work will be grounds to reject proposed applicators.

2. **Job Conditions:**

(a) **Environmental Requirements:** Air and substrate temperatures shall be between 32°F (0°C) and 104°F (40°C) providing the substrate is above the dew point. Outside of this range, the Manufacturer shall be consulted.

The Applicator shall be provided with adequate disposal facilities for non hazardous waste generated during installation of the membrane system. The applicator shall follow safety instructions regarding respirators and safety equipment.

(b) **Safety Requirements:** All open flames and spark producing equipment shall be removed from the work area prior to commencement of application.

"No Smoking" signs shall be visibly posted at the job site during application of the membrane waterproofing.

Personnel not involved in membrane application shall be kept out of the work area.

3. **Delivery, Storage and Handling:**

(a) **Packaging and Shipping:** All components of the membrane system shall be delivered to the site in the Manufacturer's packaging, clearly identified with the products type and batch number.

(b) **Storage and Protection:** The Applicator shall be provided with a storage area for all components. The area shall be cool, dry and out of direct sunlight and shall be in accordance with the Manufacturer's recommendations and relevant health and safety regulations.

Copies of Material Safety Data Sheets (MSDS) for all components shall be kept on site for review by the Engineer or other personnel.

- (c) Shelf Life - Membrane Components: Packaging of all membrane components shall include a shelf life date sealed by the Manufacturer. No membrane components whose shelf life has expired shall be used.

4. Surface Preparation:

- (a) Protection: The Applicator shall be responsible for the protection of equipment and adjacent areas from over spray or other contamination. Parapets and bridge joints shall be masked prior to application of the materials.
- (b) Surface Preparation: Sharp peaks and discontinuities shall be ground smooth. The surface profile of the prepared substrate is not to exceed 1/4 inch (6 mm) (peak to valley) and areas of minor surface deterioration of 1/2 inch (13 mm) and greater in depth shall also be repaired. The extent and location of the surface patches require the approval of the Engineer before the membrane system is applied.

Surfaces shall be free of oil, grease, curing compounds, loose particles, moss, algae, growth, laitance, friable matter, dirt, bituminous products, and previous waterproofing materials. If required, degreasing shall be done by detergent washing in accordance with ASTM D4258.

The surface shall be abrasively cleaned, in accordance with ASTM D4259, to provide a sound substrate free from laitance.

Voids, honeycombed areas, and blow holes on vertical surfaces shall be repaired in the same manner.

All steel components to receive membrane waterproofing shall be blast cleaned in accordance with SSPC SP6 and coated with the membrane waterproofing system within the same work shift.

5. Inspection and Testing: Prior to priming of the surface, the Engineer, Applicator and Manufacturer's technical representative shall inspect and approve the prepared substrate.

- (a) Random tests for deck moisture content shall be conducted on the substrate by the Applicator at the job site using a "Sovereign Portable Electronic Moisture Master Meter," a "Tramex CMEXpertII Concrete Moisture Meter" or approved equal. The minimum frequency shall be one test per 1000 s.f. (100 sq.m) but not less than three tests per day per bridge. Additional tests may be required if atmospheric conditions change and retest of the substrate moisture content is warranted.

The membrane system shall not be installed on substrate with a moisture content greater than that recommended by the system's manufacturer, but shall not be greater than 6%, whichever is less.

- (b) Random tests for adequate tensile bond strength shall be conducted on the substrate using an adhesion tester in accordance with the requirements of ASTM D4541. The minimum frequency shall be one test per 5,000 s.f. (500 sq.m) but not less than three adhesion tests per bridge.

Adequate surface preparation will be indicated by tensile bond strengths of primer to the substrate greater than or equal to 150 psi (1.0 MPa) or failure in a concrete surface and greater than or equal to 300 psi (2.1 MPa) for steel surfaces.

If the tensile bond strength is lower than the minimum specified, the Engineer may request additional substrate preparation. Any primer not adequately applied shall be removed and a new primer applied at the Contractor's expense, as directed by Engineer.

- (c) Cracks and grouted joints shall be treated in accordance with the Manufacturer's recommendations, as approved or directed by the Engineer.

6. Application:

- (a) The System shall be applied in four distinct steps as follows:
 - 1) Substrate preparation and gap/joint bridging preparation
 - 2) Priming
 - 3) Membrane application
 - 4) Membrane with aggregate
- (b) Immediately prior to the application of any components of the System, the surface shall be dry (see Section 5a of this specification) and any remaining dust or loose particles shall be removed using clean, dry oil-free compressed air or industrial vacuum.
- (c) Where the area to be treated is bound by a vertical surface (e.g. curb or wall), the membrane system may be continued up the vertical, as shown on the plans or as directed by the Engineer.
- (d) The handling, mixing and addition of components shall be performed in a safe manner to achieve the desired results, in accordance with the Manufacturer's recommendations or as approved or directed by the Engineer.
- (e) A neat finish with well defined boundaries and straight edges shall be provided by the Applicator.
- (f) Primer: The primer shall consist of one coat with an overall coverage rate of 125 to 175 s.f./gal (3.0 to 4.3sq.m/1) unless otherwise recommended in the manufacturer's written instructions.

All components shall be measured and mixed in accordance with the Manufacturer's recommendations.

The primer shall be spray applied using a single component spray system approved for use by the Manufacturer. If required by site conditions and allowed by the manufacturer, brush or roller application will be allowed.

The primer shall be allowed to cure tack-free for a minimum of 30 minutes or as required by the Manufacturer's instructions, whichever time is greater, prior to application of the first lift of waterproofing membrane.

Porous concrete (brick) may require a second coat of primer should the first coat be absorbed.

- (g) Membrane: The waterproofing membrane shall consist of one or two coats for a total dry film thickness of 80 mils (2 mm). If applied in two coats, the second coat shall be of a contrasting color to aid in quality assurance and inspection.

The membrane shall be comprised of Components A and B and a hardener powder which is to be added to Component B in accordance with the Manufacturer's recommendations.

The substrate shall be coated in a methodical manner.

Thickness checks: For each layer, checks for wet film thickness using a gauge pin or standard comb-type thickness gauge shall be carried out typically once every 100 s.f. (9 sq.m). Where rapid set time of the membrane does not allow for wet film thickness checks, ultrasonic testing (steel surfaces only), calibrated point-penetrating (destructive) testing, in-situ sampling (cutout of small sections for measuring thicknesses), or other methods approved by the Engineer shall be employed for determination of dry film thickness. The measured thickness of each and every individual test of the membrane shall be greater than or equal to the required thickness.

Bond Strength: Random tests for adequate tensile bond strength shall be conducted on the membrane in accordance with the requirements of ASTM D4541. The minimum test frequency shall be one test per 5,000 s.f. (500 sq.m) but no less than three adhesion tests per bridge. Adequate adhesion will be indicated by tensile bond strengths of the membrane to the substrate of greater than or equal to 150 psi (0.7 MPa) or failure in a concrete surface and greater than or equal to 300 psi (2.1 MPa) for steel surfaces.

Spark Testing: Following application of the membrane, test for pin holes in the cured membrane system over the entire application area in accordance with ASTM D4787- "Continuity Verification of Liquid or Sheet Linings Applied to Concrete Substrates."

Conduct the test at voltages recommended by the manufacturer to prevent damage to the membrane.

Repair the membrane system following destructive testing and correct any deficiencies in the membrane system or substrate noted during quality-control testing in accordance with the manufacturer's recommendations to the satisfaction of the Engineer at no additional cost to the State.

- (h) Repairs: If an area is left untreated or the membrane becomes damaged, a patch repair shall be carried out to restore the integrity of the system. The damaged areas shall be cut back to sound materials and wiped with solvent (e.g. acetone) up to a width of at least four inches (100 mm) on the periphery, removing any contaminants unless otherwise recommended by the manufacturer. The substrate shall be primed as necessary, followed by the membrane. A continuous layer shall be obtained over the substrate with a four inches (100 mm) overlap onto existing membrane.

Where the membrane is to be joined to existing cured material, the new application shall overlap the existing by at least four inches (100 mm). Cleaning and surface preparation on areas to be lapped shall be as recommended in the manufacturer's written instructions.

- (i) Aggregated Finish:
 - 1) Apply an additional 40 mil (1 mm) thick layer of the membrane material immediately followed by an aggregate coating, before the membrane cures, at a rate to fully cover the exposed area. The membrane and aggregate shall be fully integrated after the aggregate has been applied and the membrane cured.
 - 2) Localized areas not fully coated shall be touched-up with additional membrane and aggregate as needed.
 - 3) Remove loose and excess aggregate from the surface to the satisfaction of the Engineer and dispose of properly after application prior to allowing traffic onto finished surface or application of tack coat.

- (j) Bond Coat:

Prior to application of a bituminous concrete overlay, the aggregated finish shall be coated with a bonding material. The bonding material shall be per the membrane waterproofing manufacturer's recommendations.

- 7. Final Review: The Engineer and the Applicator shall jointly review the area(s) over which the completed System has been installed. Any irregularities or other items that do not meet the requirements of the Engineer shall be addressed at this time.

Method of Measurement: The quantity to be paid for under this item shall be the number of square yards (square meters) of waterproofed surface completed and accepted.

Basis of Payment: This item will be paid for at the contract unit price per square yard (square meter) of “Membrane Waterproofing (Cold Liquid Elastomeric),” complete in place, which price shall include all surface preparation, furnishing, storing and applying the system, technical representative and quality control tests, and any necessary repairs and remediation work as well as all materials, equipment, tools, labor incidental to this work.

<u>Pay Item</u>	<u>Pay Unit</u>
Membrane Waterproofing (Cold Liquid Elastomeric)	s.y. (sq.m)

ITEM #0728008A – 3/8" CRUSHED STONE

Description: Work under this item shall consist of constructing a 12" minimum depth layer of 3/8" crushed stone as a setting bed beneath the precast concrete elements as shown on the plans, as directed by the Engineer and in accordance with this specification.

Materials: Materials for this work shall conform to Standard Specification, Form 817, Section M.01.02—Coarse Aggregates, with gradation of 3/8-inch maximum in size.

Construction Methods: The area on which the crushed stone is to be placed shall be shaped to a reasonably true surface prior to placing the crushed stone. Geotextile fabric shall be placed and secured prior to the installation of the crushed stone.

The stone shall be spread by any suitable means which will not crush the stone and damage the geotextile, and shall be shaped to a smooth uniform finished grade and depth, to meet the lines, grades and cross-sections shown on the Plans.

Method of Measurement: This work will be measured for payment by the actual number of cubic yards of crushed stone completed and accepted. Measurement shall be determined by utilizing the 12" depth, and the square foot dimensions of the setting bed indicated on the Plans and located beneath the precast concrete elements.

Basis of Payment: This work will be paid for at the Contract unit price per cubic yard for "3/8" Crushed Stone" complete in place, which price shall include all materials, tools, equipment and labor incidental thereto.

Geotextile separation fabric shall be paid for separately under the appropriate geotextile payment item.

Item	Unit
3/8" Crushed Stone	c.y.

ITEM #0819002A - PENETRATING SEALER PROTECTIVE COMPOUND

Description:

Work under this item shall consist of cleaning concrete surfaces of dirt, dust and debris, and furnishing and applying a clear, penetrating sealer where shown on the plans, to provide a hydrophobic barrier against the intrusion of moisture. This work also includes furnishing, installing and removing platforms, scaffolding, ladders and other means of access as well as shields, as required, to protect adjacent areas from overspray. Penetrating sealer shall not be applied to concrete surfaces that have been previously treated with coatings or curing compounds that would hinder penetration of the sealer into the concrete.

Materials:

The penetrating sealer shall be a single component, 100% silane or silane siloxane from the list of materials below. The material shall be selected in anticipation of the expected ambient and surface temperature at the time of installation.

The following products may be used when ambient and surface temperatures are 40°F and above:

SIL-ACT ATS-100 (Silane)
Advanced Chemical Technologies, Inc.
9608 North Robinson Ave.
Oklahoma City, OK 73114
405-843-2585
www.advchemtech.com

Armor SX 5000 EXT-100 or SX 5000 WB (Silane Siloxane)
Foundation Armor, LLC.
472 Amherst St. STE 14
Nashua, NH 03063
866-306-0246
www.foundationarmor.com

Aquinil Plus 100 (Silane)
ChemMasters
300 Edwards Street
Madison, OH 44057
440-428-2105, 800-486-7866
www.chemmasters.net/Aquanil100.php

The following product may be used when ambient and surface temperatures are 20°F and above:

Certi-Vex Penseal 244 100% (Silane)
Vexcon Chemicals
7240 State Road
Philadelphia, PA 19135
888-839-2661
www.Vexcon.com

Construction Methods:

Submittals: The Contractor shall submit to the Engineer Safety Data Sheets (SDS) and product literature for the selected product. The literature shall include written instructions how to apply the product to vertical and horizontal surfaces, and where required, overhead surfaces.

The Contractor shall submit to the Engineer, in accordance with Article 1.05.02, written procedures for cleaning the concrete surfaces. The submittal shall include proposed equipment and materials and shall address how adjacent traffic and other areas shall be protected from dust, debris and overspray during the cleaning and application processes. Where the sealer is to be applied to parapets before pavement is placed, the submittal shall address protecting the deck and curb to which membrane waterproofing will be applied. Should the membrane already be present, the submittal shall address protecting the membrane. It shall also indicate how vegetation shall be protected from overspray. The submittal shall address the conditions under which work may proceed, including wind speed, temperature and precipitation. It shall also include procedures to be followed to protect the work should unfavorable weather conditions occur before the product has been absorbed.

The Contractor shall inspect the surfaces to be sealed to identify surface cleaning needs before submitting the procedures. The Contractor shall identify conditions that need repair or surfaces that may require special attention or cleaning procedures. Such observations shall be addressed in the written procedures.

Surface Preparation: Concrete surfaces to which penetrating sealer will be applied shall be dry, clean and free of grease, oil and other surface contaminants. New concrete and newly placed repair concrete shall be allowed to cure for at least 28 days before applying sealer. After rain or water cleaning, allow existing concrete surfaces to dry for at least 8 hours before applying sealer. Dry surfaces may be cleaned by sweeping with brushes or brooms, and blowing clean with oil-free, compressed air. The Contractor shall take care not to damage the concrete surface finish during cleaning operations. Care shall be taken so that cleaning methods do not damage joint sealant or other components of the structure.

Application: Application of the sealer can only begin after the Engineer evaluates the concrete surfaces for cleanliness and moisture, and determines that conditions are appropriate for application.

The sealer shall saturate the concrete surface with a rate of application of 200 square feet per gallon of sealer. The dispersion shall run six to eight inches down a vertical surface from the spray pattern. The maximum run-down is 12 inches. The Contractor shall monitor and record the number of square feet per gallon of sealer used to verify that the required application rate is being met. Additional sealer may be needed if surfaces are porous, rough or textured.

The Engineer will inspect the concrete surface during application and after the sealer has had adequate time to penetrate. As a test, water sprayed from a bottle on the sealed surface shall bead up and not be absorbed. Should water be absorbed into the concrete at a test area, additional areas shall be tested to determine which areas should receive additional application of sealer. The Contractor shall apply additional sealer to the identified areas until absorption of water is prevented.

Method of Measurement:

This work will be measured for payment by the actual number of square yards of concrete, coated completely and accepted, within the designated limits. The area will be measured once, regardless of the number of applications required.

Basis of Payment:

This work will be paid for at the Contract unit price per square yard for “Penetrating Sealer Protective Compound,” complete, which price shall include all equipment tools, labor and materials, incidental thereto, including the preparation of the concrete surfaces and proper disposal of debris.

Pay Item	Pay Unit
Penetrating Sealer Protective Compound	s.y.

ITEM #0904487A - METAL BRIDGE RAIL (HANDRAIL)**Description:**

Work under this item shall consist of fabricating and installing a metal bridge railing, consisting of extruded aluminum posts and rails connected to preset anchorages, as shown on the plans, as directed by the Engineer, and in accordance with this specification.

This item shall also include coordination with Item No. 601201 Class "F" Concrete to supply preset metal bridge rail anchorages for installation along the top of the cast-in-place concrete parapet.

Materials:

Materials for this work shall conform to the following requirements:

1. Metal Bridge Rail:

The railing posts, bases, post connection devices, splicing bars, and rails shall be extruded aluminum and conform to the requirements of ASTM B221, aluminum alloy 6061-T6 or 6005-T5.

All bolts and socket head cap screws shall be stainless steel and conform to the requirements of ASTM A193, Class 1 or Class 2, Grade B8 (AISI Type 304). Washers shall be stainless steel and conform to the requirements of ASTM A167, Types 302 through 305.

Cone-point rivets shall conform to the requirements of ASTM B316, aluminum alloy 6061-T6 or ASTM B221, aluminum alloy 6061-T6.

2. Preset Anchorage:

The preset anchorage shall be fabricated as detailed on the contract plans. Preset anchorages configured differently from those detailed on the plans may be used provided they utilize the same materials described below and are approved by the Engineer prior to fabrication.

The wire struts should be cold-drawn and conform to ASTM A510, Grade 1030 with minimum tensile strength of 100,000 psi. These wire struts shall be securely welded to the ferrules with the welds capable of developing the tensile strength of the struts and the ferrules.

The ferrules, either open end or closed end, shall conform to the ASTM A108, Grade 12L14. A plastic cap shall be provided for sealing the bottom of each open-end ferrule before placing concrete. Closed end ferrules shall provide the minimum full thread length on the plans.

Removable plastic washers of the same diameter as the ferrules and approximately 3/32" in thickness shall be provided for the top of each ferrule and shall be left in place until the temporary supporting bolts are removed. Removable plastic caps shall be provided for sealing the top of each ferrule until the erection of railing posts.

After fabrication, the preset anchorages shall be hot-dip galvanized in accordance with ASTM A153. The bolts shall be "free running" in the ferrules after galvanization.

Bolts for the preset anchorage shall conform to the requirements of ASTM A193, Class 1 or Class 2, Grade B8 (AISI Type 304). The manufacturer's symbol and the grade shall be clearly marked on the bolt heads. All washers shall be standard size and conform to ASTM A167, Types 302 through 305.

3. Molded Pads:

Molded pads shall be manufactured from new unvulcanized elastomer and unused synthetic fibers, with a weight proportion of fiber content equal to approximately one-half of the total weight of the pad. The pads shall be formed into single sheets of 1/8-inch minimum thickness, with a tolerance of plus or minus 10 percent. Pads shall have a Shore "A" Durometer hardness within the range of 70 to 90, and shall have a minimum compressive breakdown of 7,000 psi.

The Contractor shall furnish a Materials Certificate and a Certificate of Compliance in conformance with the requirements of Article 1.06.07 for the following materials: rail posts, rails, post connection devices, rail splices, preset anchorages, bolts, washers, and molded pads.

A sample preset anchorage, and samples of all sizes of bolts and washers used with the metal bridge rail, shall be submitted to the Engineer for approval prior to incorporation into the project.

Construction Methods:

Before fabricating any materials, the Contractor shall submit shop drawings to the Engineer for approval in accordance with Article 1.05.02 (3). These drawings shall include but not be limited to the following information: The layout plan showing all railing post spacing, attachment details, expansion joint locations, and material designations.

Aluminum welding shall be in accordance with the American Welding Society "Structural Welding Code - Aluminum", ANSI/AWS D1.2.

Riveting shall be done in accordance with Article 6.5 - Riveting, of the "AASHTO Specifications for Aluminum Structures."

The preset anchorages shall be fabricated for installation perpendicular to the grade of the parapet. The anchorages shall be firmly and accurately held in position prior to and during the placement of concrete.

The railings shall be accurately fabricated and installed as shown on the plans. Lengths of rail elements shall be continuous over a minimum of four rail posts wherever possible and in no case less than two. Welding of two or more rails to form an element will not be allowed. Rail splices shall be located in rail panels over open joints in parapets. Splice bars shall have a sliding fit in the rail sections.

For structures having railings with a radius of 400 feet or more, the railing may be sprung into place. For structures having railings with a radius of less than 400 feet, the railing shall be curved. Curving may be done by cold bending or by hot bending. Hot bending shall be done in accordance with Article 6.3 - Heating, of the "AASHTO Specifications for Aluminum Structures."

Aluminum railings shall be carefully adjusted prior to fixing in place to insure proper matching at abutting joints and correct alignment and curvature throughout their length. After installation, all rails and posts shall be free of burrs, sharp edges, and irregularities.

Method of Measurement:

This work will be measured for payment by the actual number of linear feet of metal bridge rail completed and accepted, measured along the rail from one rail end anchorage to the other rail end anchorage.

Basis of Payment:

This work will be paid for at the contract unit price per linear foot for "Metal Bridge Rail (Handrail)", complete and accepted in place, which price shall include all materials, equipment, tools, labor, and work incidental thereto.

Pay Item	Pay Unit
Metal Bridge Rail (Handrail)	L.F.

ITEM #0917010A – REPAIR GUIDERAIL

Description: Work under this item shall consist of the repair of newly installed guiderail. It shall be repaired in the locations originally installed and fabricated in conformity with the lines, designations, dimensions, and details shown on the plans or as ordered by the Engineer.

Materials: The material for guiderail shall meet the requirements as specified within the original applicable contract items.

When repairing guiderail, the Contractor shall reuse any undamaged existing guiderail elements, timber rail, wire rope, appropriate posts, delineators, lap bolts, and other hardware within the project limits as approved by the Engineer to repair the guiderail. The Contractor shall use new materials when any components of the existing railing are damaged or missing and cannot be obtained from other guiderail systems being removed or converted within the Project limits.

Construction Methods: The repair of guiderail shall be in accordance with contraction methods as specified within the original applicable contract items.

Guiderail, including end anchors, which has been installed in final condition and accepted by the Engineer, shall be eligible for reimbursement for repairs subject to the conditions described below. If multiple runs are to be installed in a single stage as indicated in the contract documents, determination for reimbursement shall be made when all runs within the stage are complete and accepted as previously described. On projects without designated stages, guiderail installations must be complete and serving the intended function as determined by the Engineer.

When newly installed guiderail is damaged by public traffic, the following conditions must be satisfied prior to reimbursement for payment;

1. The damage must have been caused solely by the traveling public.
2. The contractor shall provide satisfactory evidence that such damage was caused by public traffic. Such as accident reports obtained from the Connecticut Department of Public Safety, police agencies or insurance companies; statements by reliable, unbiased eyewitnesses; or identification of the vehicle involved in the accident.
3. The contractor shall attempt to collect the costs from the person or persons responsible for the damage and provide documentation of those efforts to the satisfaction of the Engineer.
4. If such evidence cannot be obtained, the Engineer may determine that the damage was not caused by the Contractor and reimbursement for payment is warranted.

This repair provision does not relieve the Contractor of the requirements of Section 1.07, any other contractual requirements for maintenance and protection of traffic and final acceptance and relief of responsibility for the project.

The contractor shall remain responsible for the safety and integrity of the guiderail system for the duration of the project. In the event the guiderail is damaged, the Contractor shall provide sufficient cones, drums and other traffic control devices to provide safe passage by the public. When ordered by the Engineer, the Contractor shall furnish replacement parts and immediately repair the guiderail, but in no case more than 24 hours after notification from the Engineer. In non-emergency situations, the guiderail shall be repaired within 72 hours. The repaired guiderail or anchorages, when completed, shall conform to these specifications for a new system. The Contractor shall be responsible for the removal and the proper disposal of all damaged material and debris.

Method of Measurement: Guiderail damaged solely by the traveling public will be measured for payment. Damage caused by the Contractor's equipment or operations will not be measured for payment.

The sum of money shown on the estimate and in the itemized proposal as "Estimated Cost" for repair of guiderail will be considered the price bid even though payment will be made only for actual work performed. The estimated cost figure is not to be altered in any manner by the bidder. Should the bidder alter the amount shown, the altered figures will be disregarded and the original price will be used to determine the total amount bid for the contract.

Basis of Payment: Repair of guiderail will be paid for in accordance with Article 1.09.04 as required to restore the rail to its full working condition in conformance with these specifications for a new system. There will be no payment for maintenance and protection of traffic for work associated with this item unless, in the opinion of the Engineer, the sole purpose of the maintenance and protection of traffic is for repair of the guiderail.

<u>Pay Item</u>	<u>Pay Unit</u>
Repair Guiderail	est. (est.)

ITEM #0969062A - CONSTRUCTION FIELD OFFICE, MEDIUM

Description: Under the item included in the bid document, adequate weatherproof office quarters with related furnishings, materials, equipment and other services, shall be provided by the Contractor for the duration of the work, and if necessary, for a close-out period determined by the Engineer. The office, furnishings, materials, equipment, and services are for the exclusive use of CTDOT forces and others who may be engaged to augment CTDOT forces with relation to the Contract. The office quarters shall be located convenient to the work site and installed in accordance with Article 1.08.02. This office shall be separated from any office occupied by the Contractor. Ownership and liability of the office quarters shall remain with the Contractor.

Furnishings/Materials/Supplies/Equipment: All furnishings, materials, equipment and supplies shall be in like new condition for the purpose intended and require approval of the Engineer.

Office Requirements: The Contractor shall furnish the office quarters and equipment as described below:

Description \ Office Size	Medium
Minimum Sq. Ft. of floor space with a minimum ceiling height of 7 ft.	400
Minimum number of exterior entrances.	2
Minimum number of parking spaces.	7

Office Layout: The office shall have a minimum square footage as indicated in the table above, and shall be partitioned as shown on the building floor plan as provided by the Engineer.

Tie-downs and Skirting: Modular offices shall be tied-down and fully skirted to ground level.

Lavatory Facilities: For field offices sizes Small and Medium the Contractor shall furnish a toilet facility at a location convenient to the field office for use by CTDOT personnel and such assistants as they may engage; and for field offices sizes Large and Extra Large the Contractor shall furnish two (2) separate lavatories with toilet (men and women), in separately enclosed rooms that are properly ventilated and comply with applicable sanitary codes. Each lavatory shall have hot and cold running water and flush-type toilets. For all facilities the Contractor shall supply lavatory and sanitary supplies as required.

Windows and Entrances: The windows shall be of a type that will open and close conveniently, shall be sufficient in number and size to provide adequate light and ventilation, and shall be fitted with locking devices, blinds and screens. The entrances shall be secure, screened, and fitted with a lock for which four keys shall be furnished. All keys to the construction field office shall be furnished to the CTDOT and will be kept in their possession while State personnel are using the office. Any access to the entrance ways shall meet applicable building codes, with appropriate handrails. Stairways shall be ADA/ABA compliant and have non-skid tread surfaces. An ADA/ABA compliant ramp with non-skid surface shall be provided with the Extra-Large field office.

Lighting: The Contractor shall equip the office interior with electric lighting that provides a minimum illumination level of 100 foot-candles at desk level height, and electric outlets for each desk and drafting table. The Contractor shall also provide exterior lighting that provides a minimum illumination level of 2 foot-candles throughout the parking area and for a minimum distance of 10 ft. on each side of the field office.

Parking Facility: The Contractor shall provide a parking area, adjacent to the field office, of sufficient size to accommodate the number of vehicles indicated in the table above. If a paved parking area is not readily available, the Contractor shall construct a parking area and driveway consisting of a minimum of 6 inches of processed aggregate base graded to drain. The base material will be extended to the office entrance.

Field Office Security: Physical Barrier Devices - This shall consist of physical means to prevent entry, such as: 1) All windows shall be barred or security screens installed; 2) All field office doors shall be equipped with dead bolt locks and regular day operated door locks; and 3) Other devices as directed by the Engineer to suit existing conditions.

Electric Service: The field office shall be equipped with an electric service panel, wiring, outlets, etc., to serve the electrical requirements of the field office, including: lighting, general outlets, computer outlets, calculators etc., and meet the following minimum specifications:

- A. 120/240 volt, 1 phase, 3 wire
- B. Ampacity necessary to serve all equipment. Service shall be a minimum 100 amp dedicated to the construction field office.
- C. The electrical panel shall include a main circuit breaker and branch circuit breakers of the size and quantity required.
- D. Additional 120 volt, single phase, 20 amp, isolated ground dedicated power circuit with dual NEMA 5-20 receptacles will be installed at each desk and personal computer table (workstation) location.
- E. Additional 120 volt, single phase, 20 amp, isolated ground dedicated power circuit with dual NEMA 5-20 receptacles will be installed, for use by the Telephone Company.
- F. Additional 120-volt circuits and duplex outlets as required meeting National Electric Code requirements.
- G. One exterior (outside) wall mounted GFI receptacle, duplex, isolated ground, 120 volt, straight blade.
- H. After work is complete and prior to energizing, the State's CTDOT electrical inspector, must be contacted at 860-594-2240. (Do Not Call Local Town Officials)
- I. Prior to field office removal, the CTDOT Office of Information Systems (CTDOT OIS) must be notified to deactivate the communications equipment.

Heating, Ventilation and Air Conditioning (HVAC): The field office shall be equipped with sufficient heating, air conditioning and ventilation equipment to maintain a temperature range of 68°-80° Fahrenheit within the field office.

Telephone Service: The Contractor shall provide telephone service with unlimited nation-wide calling plan. For a Small, Medium and Large field office this shall consist of the installation of two (2) telephone lines: one (1) line for phone/voice service and one (1) line dedicated for the facsimile machine. For an Extra-Large field office this shall consist of four (4) telephone lines: three (3) lines for phone/voice service and one (1) line dedicated for facsimile machine. The Contractor shall pay all charges.

Data Communications Facility Wiring: Contractor shall install a Category 6 568B patch panel in a central wiring location and Cat 6 cable from the patch panel to each PC station, Smart Board location, Multifunction Laser Printer/Copier/Scanner/Fax, terminating in a (Category 6 568B) wall or surface mount data jack. The central wiring location shall also house either the data circuit with appropriate power requirements or a category 5 cable run to the location of the installed data circuit. The central wiring location will be determined by the CTDOT OIS staff in coordination with the designated field office personnel as soon as the facility is in place.

For Small, Medium and Large field offices the Contractor shall run a CAT 6 LAN cable a minimum length of 25 feet for each CTDOT networked device (including but not limited to: smartboards and Multi-Function Laser Printer/Copier/Scanner/Fax) to LAN switch area leaving an additional 10 feet of cable length on each side with terminated RJ45 connectors. For an Extra-Large field office the Contractor shall run CAT 6 LAN cables from workstations, install patch panel in data circuit demark area and terminate runs with RJ45 jacks at each device location. Terminate runs to patch panel in LAN switch area. Each run / jack shall be clearly labeled with an identifying Jack Number.

The Contractor shall supply cables to connect the Wi-Fi printer to the Contractor supplied internet router and to workstations/devices as needed. These cables shall be separate from the LAN cables and data Jacks detailed above for the CTDOT network.

The number of networked devices anticipated shall be at least equal to the number of personal computer tables, Multi-Function Laser Printer/Copier/Scanner/Fax, and smartboards listed below.

The installation of a data communication circuit between the field office and the CTDOT OIS in Newington will be coordinated between the CTDOT District staff, CTDOT OIS staff and the local utility company once the Contractor supplies the field office phone numbers and anticipated installation date. The Contractor shall provide the field office telephone number(s) to the CTDOT Project Engineer within 10 calendar days after the signing of the Contract as required by Article 1.08.02. This is required to facilitate data line and computer installations.

Additional Equipment, Facilities and Services: The Contractor shall provide at the field Office at least the following to the satisfaction of the Engineer:

Furnishing Description	Office Size Medium
	Quantity
Office desk (2.5 ft. x 5 ft.) with drawers, locks, and matching desk chair that have pneumatic seat height adjustment and dual wheel casters on the base.	3
Standard secretarial type desk and matching desk chair that has pneumatic seat height adjustment and dual wheel casters on the base.	-
Personal computer tables (4 ft. x 2.5 ft.).	3
Drafting type tables (3 ft. x 6 ft.) and supported by wall brackets and legs; and matching drafters stool that have pneumatic seat height adjustment, seat back and dual wheel casters on the base.	1
Conference table, 3 ft. x 12 ft.	-
Table – 3 ft. x 6 ft.	-
Office Chairs.	4
Mail slot bin – legal size.	-
Non-fire resistant cabinet.	-
Fire resistant cabinet (legal size/4 drawer), locking.	1
Storage racks to hold 3 ft. x 5 ft. display charts.	-
Vertical plan racks for 2 sets of 2 ft. x 3 ft. plans for each rack.	1
Double door supply cabinet with 4 shelves and a lock – 6 ft. x 4 ft.	-
Case of cardboard banker boxes (Min 10 boxes/case)	1
Open bookcase – 3 shelves – 3 ft. long.	-
White Dry-Erase Board, 36" x 48" min. with markers and eraser.	1
Interior partitions – 6 ft. x 6 ft., soundproof type, portable and freestanding.	-
Coat rack with 20 coat capacity.	-
Wastebaskets - 30 gal., including plastic waste bags.	1
Wastebaskets - 5 gal., including plastic waste bags.	3
Electric wall clock.	-
Telephone.	1
Full size stapler 20 (sheet capacity, with staples)	2
Desktop tape dispensers (with Tape)	2
8 Outlet Power Strip with Surge Protection	4
Rain Gauge	1
Business telephone system for three lines with ten handsets,	-

Furnishing Description	Office Size Medium
	Quantity
intercom capability, and one speaker phone for conference table.	
Mini refrigerator - 3.2 c.f. min.	1
Hot and cold water dispensing unit. Disposable cups and bottled water shall be supplied by the Contractor for the duration of the project.	1
Microwave, 1.2 c.f. , 1000W min.	1
Fire extinguishers - provide and install type and *number to meet applicable State and local codes for size of office indicated, including a fire extinguisher suitable for use on a computer terminal fire.	*
Electric pencil sharpeners.	2
Electronic office type printing calculators capable of addition, subtraction, multiplication and division with memory and a supply of printing paper.	1
Small Multi-Function Laser Printer/Copier/Scanner/Fax combination unit, network capable, as specified below under <u>Computer Related Hardware and Software</u> .	1
Large Multi-Function Laser Printer/Copier/Scanner/Fax combination unit, network capable, as specified below under <u>Computer Related Hardware and Software</u> .	-
Field Office Wi-Fi Connection as specified below under <u>Computer Related Hardware and Software</u>	1
Wi-Fi Printer as specified below under <u>Computer Related Hardware and Software</u> .	1
Digital Camera as specified below under <u>Computer Related Hardware and Software</u> .	1
Video Projector as specified below under <u>Computer Related Hardware and Software</u> .	-
Smart Board as specified below under <u>Computer Related Hardware and Software</u> .	-
Infrared Thermometer, including annual third party certified calibration, case, and cleaning wipes.	1
Concrete Curing Box as specified below under Concrete Testing Equipment.	1
Concrete Air Meter and accessories as specified below under Concrete Testing Equipment as specified below. Contractor shall provide third party calibration on a quarterly basis.	1

Furnishing Description	Office Size Medium
	Quantity
Concrete Slump Cone and accessories as specified below under Concrete Testing Equipment.	1
First Aid Kit	1
Flip Phones as specified under <u>Computer Related Hardware and Software</u> .	-
Smart Phones as specified under <u>Computer Related Hardware and Software</u> .	-

The furnishings and equipment required herein shall remain the property of the Contractor. Any supplies required to maintain or operate the above listed equipment or furnishings shall be provided by the Contractor for the duration of the project.

Computer Related Hardware and Software: The CTDOT will supply by its own means the actual Personal Computers for the CTDOT representatives. The Contractor shall supply the Field Office Wi-Fi Connection, Wi-Fi Printer, Digital Camera(s), Flip Phones, Smart Phones, Multifunction Laser Printer/Copier/Scanner/Fax, Video Projectors, and Smart Board(s) as well as associated hardware and software, must meet the requirements of this specification as well as the latest minimum specifications posted, as of the project advertising date, at CTDOTs web site <http://www.ct.gov/dot/cwp/view.asp?a=1410&q=563904>

Within 10 calendar days after the signing of the Contract but before ordering/purchasing the Wi-Fi Printer (separate from the Multifunction Laser Printer/Copier/Scanner/Fax), Field Office Wi-Fi, Digital Camera(s), Flip Phones, Smart Phones, Multifunction Laser Printer/Copier/Scanner/Fax, Video Projector(s) and Smart Board(s) as well as associated hardware, the Contractor must submit a copy of their proposed order(s) with catalog cuts and specifications to the Administering CTDOT District for review and approval. The Wi-Fi Printer, Wi-Fi Router, Flip Phones, Smart Phones, digital cameras, Projector(s) and Smart Board(s) will be reviewed by CTDOT District personnel. The Multifunction Laser Printer/Copier/Scanner/Fax will be reviewed by the CTDOT OIS. The Contractor shall not purchase the hardware, software, or services until the Administering CTDOT District informs them that the proposed equipment, software, and services are approved. The Contractor will be solely responsible for the costs of any hardware, software, or services purchased without approval.

The Contractor and/or their internet service provider shall be responsible for the installation and setup of the field office Wi-Fi, Wi-Fi printer, and the configuration of the wireless router as directed by the CTDOT. Installation will be coordinated with CTDOT District and Project personnel.

After the approval of the hardware and software, the Contractor shall contact the designated representatives of the CTDOT administering District, a minimum of 2 working days in advance

of the proposed delivery or installation of the Field Office Wi-Fi Connection, Wi-Fi Printer, Digital Camera(s), Flip Phones, Smart Phones, Multifunction Laser Printer/Copier/Scanner/Fax, Video Projectors and Smart Board(s), as well as associated hardware, software, supplies, and support documentation.

The Contractor shall provide all supplies, paper, maintenance, service and repairs (including labor and parts) for the Wi-Fi printers, copiers, field office Wi-Fi, fax machines and other equipment and facilities required by this specification for the duration of the Contract. All repairs must be performed with-in 48 hours. If the repairs require more than a 48 hours then an equal or better replacement must be provided.

Once the Contract has been completed, the hardware and software will remain the property of the Contractor.

First Aid Kit: The Contractor shall supply a first aid kit adequate for the number of personnel expected based on the size of the field office specified and shall keep the first aid kit stocked for the duration that the field office is in service.

Rain Gauge: The Contractor shall supply install and maintain a rain gauge for the duration of the project, meeting these minimum requirements. The rain gauge shall be installed on the top of a post such that the opening of the rain gauge is above the top of the post an adequate distance to avoid splashing of rain water from the top of the post into the rain gauge. The Location of the rain gauge and post shall be approved by the Engineer. The rain gauge shall be made of a durable material and have graduations of 0.1 inches or less with a minimum total column height of 5 inches. If the rain gauge is damaged the Contractor shall replace it prior to the next forecasted storm event at no additional cost.

Concrete Testing Equipment: If the Contract includes items that require compressive strength cylinders for concrete, in accordance with the Schedule of Minimum Testing Requirements for Sampling Materials for Test, the Contractor shall provide the following equipment.

- A) Concrete Cylinder Curing Box – meeting the requirements of Section 6.12 of the Standard Specifications.
- B) Air Meter – The air meter provided shall be in good working order and meet the requirements of AASHTO T 152.
- C) Slump Cone Mold – Slump cone, base plate, and tamping rod shall be provided in like-new condition and meet the requirements of AASHTO T119, Standard Test Method for Slump of Hydraulic-Cement Concrete.

All testing equipment will remain the property of the Contractor at the completion of the project.

Insurance Policy: The Contractor shall provide a separate insurance policy, with no deductible, in the minimum amount of five thousand dollars (\$5,000) in order to insure all State-owned data

equipment and supplies used in the office against all losses. The Contractor shall be named insured on that policy, and the CTDOT shall be an additional named insured on the policy. These losses shall include, but not be limited to: theft, fire, and physical damage. The CTDOT will be responsible for all maintenance costs of CTDOT owned computer hardware. In the event of loss, the Contractor shall provide replacement equipment in accordance with current CTDOT equipment specifications, within seven days of notice of the loss. If the Contractor is unable to provide the required replacement equipment within seven days, the CTDOT may provide replacement equipment and deduct the cost of the equipment from monies due or which may become due the Contractor under the Contract or under any other contract. The Contractor's financial liability under this paragraph shall be limited to the amount of the insurance coverage required by this paragraph. If the cost of equipment replacement required by this paragraph should exceed the required amount of the insurance coverage, the CTDOT will reimburse the Contractor for replacement costs exceeding the amount of the required coverage.

Maintenance: During the occupancy by the CTDOT, the Contractor shall maintain all facilities and furnishings provided under the above requirements, and shall maintain and keep the office quarters clean through the use of weekly professional cleaning to include, but not limited to, washing & waxing floors, cleaning restrooms, removal of trash, etc. Exterior areas shall be mowed and clean of debris. A trash receptacle (dumpster) with weekly pickup (trash removal) shall be provided. Snow removal, sanding and salting of all parking, walkway, and entrance ways areas shall be accomplished during a storm if on a workday during work hours, immediately after a storm and prior to the start of a workday. If snow removal, salting and sanding are not completed by the specified time, the State will provide the service and all costs incurred will be deducted from the next payment estimate.

Method of Measurement: The furnishing and maintenance of the construction field office will be measured for payment by the number of calendar months that the office is in place and in operation, rounded up to the nearest month.

There will not be any price adjustment due to any change in the minimum computer related hardware and software requirements.

Basis of Payment: The furnishing and maintenance of the Construction Field Office will be paid for at the Contract unit price per month for “Construction Field Office, Medium,” which price shall include all material, equipment, labor, service contracts, licenses, software, repair or replacement of hardware and software, related supplies, utility services, parking area, external illumination, trash removal, snow and ice removal, and work incidental thereto, as well as any other costs to provide requirements of this specified this specification.

<u>Pay Item</u>	<u>Pay Unit</u>
Construction Field Office, Medium	Month

ITEM #0971001A – MAINTENANCE AND PROTECTION OF TRAFFIC

Article 9.71.01 – Description is supplemented by the following:

The Contractor shall maintain and protect traffic as described by the following and as limited in the Special Provision "Prosecution and Progress":

Route 1 (Boston Post Road)

The Contractor shall maintain and protect a minimum of one lane of traffic in each direction, each lane on a paved travel path not less than 11 feet in width.

Excepted therefrom will be those periods, during the allowable periods, when the Contractor is actively working, at which time the Contractor shall maintain and protect at least an alternating one-way traffic operation, on a paved travel path not less than 11 feet in width. The length of the alternating one-way traffic operation shall not exceed 300 feet and there shall be no more than one alternating one-way traffic operation within the project limits without prior approval of the Engineer.

The Contractor will be allowed to close Route 1 (Boston Post Road) for a period of 14 consecutive days and detour traffic as shown on the Detour Plan contained in the Contract plans.

All Other Roadways

The Contractor shall maintain and protect a minimum of one lane of traffic in each direction, each lane on a paved travel path not less than 11 feet in width.

Excepted therefrom will be those periods, during the allowable periods, when the Contractor is actively working, at which time the Contractor shall maintain and protect at least an alternating one-way traffic operation, on a paved travel path not less than 11 feet in width. The length of the alternating one-way traffic operation shall not exceed 300 feet and there shall be no more than one alternating one-way traffic operation within the project limits without prior approval of the Engineer.

Commercial and Residential Driveways

The Contractor shall maintain access to and egress from all commercial and residential driveways throughout the project limits. The Contractor will be allowed to close said driveways to perform the required work during those periods when the businesses are closed, unless permission is granted from the business owner to close the driveway during business hours. If a temporary closure of a residential driveway is necessary, the Contractor shall coordinate with the owner to determine the time period of the closure.

Article 9.71.03 - Construction Methods is supplemented as follows:

General

The field installation of a signing pattern shall constitute interference with existing traffic operations and shall not be allowed except during the allowable periods.

The Contractor shall not store any material on-site which would present a safety hazard to motorists or pedestrians (e.g. fixed object or obstruct sight lines).

Longitudinal dropdowns greater than 3 inches will not be allowed during those periods when the maximum number of lanes of through traffic are required. The Contractor shall temporarily provide a 4:1 traversable slope of suitable material in those areas where a longitudinal dropdown exists. The cost of furnishing, installing and removing this material shall be included in the contract lump sum for "Maintenance and Protection of Traffic."

The Contractor shall schedule operations so that pavement removal and roadway resurfacing shall be completed full width across a roadway (bridge) section by the end of a workday (work night). All transverse height differentials on all roadway surfaces shall be tapered to negate any "bump" to traffic as specified elsewhere in this contract or as approved by the Engineer. Material for this taper shall be as approved by the Engineer.

When the installation of all intermediate courses of bituminous concrete pavement is completed for the entire roadway, the Contractor shall install the final course of bituminous concrete pavement.

All temporary concrete barriers, other protective systems and traffic control devices as called for by the contract or ordered by the Engineer must be on-hand and available in sufficient quantity for immediate installation prior to any stage change.

Existing Signing

The Contractor shall maintain all existing overhead and side-mounted signs throughout the project limits during the duration of the project. The Contractor shall temporarily relocate signs and sign supports as many times as deemed necessary, and install temporary sign supports if necessary and as directed by the Engineer.

Requirements for Winter

The Contractor shall schedule a meeting with representatives from the Department including the offices of Maintenance and Traffic, and the Town/City to determine what interim traffic control measures the Contractor shall accomplish for the winter to provide safety to the motorists and permit adequate snow removal procedures. This meeting shall be held prior to October 31 of each year and will include, but not be limited to, discussion of the status and schedule of the following items: lane and shoulder widths, pavement restoration, traffic signal work, pavement markings, and signing.

Signing Patterns

The Contractor shall erect and maintain all signing patterns in accordance with the traffic control plans contained herein. Proper distances between advance warning signs and proper taper lengths are mandatory.

Pavement Markings -Non-Limited Access Multilane Roadways Secondary and Local Roadways

During construction, the Contractor shall maintain all pavement markings on paved surfaces on all roadways throughout the limits of the project.

Interim Pavement Markings

The Contractor shall install painted pavement markings, which shall include centerlines, edge lines, lane lines (broken lines), lane-use arrows, and stop bars, on each intermediate course of bituminous concrete pavement and on any milled surface by the end of the work day/night. If the next course of bituminous concrete pavement will be placed within seven days, edge lines are not required. The painted pavement markings will be paid under the appropriate items.

If the Contractor will install another course of bituminous concrete pavement within 24 hours, the Contractor may install Temporary Plastic Pavement Marking Tape in place of the painted pavement markings by the end of the work day/night. These temporary pavement markings shall include centerlines, lane lines (broken lines) and stop bars; edge lines are not required. Centerlines shall consist of two 4 inch wide yellow markings, 2 feet in length, side by side, 4 to 6 inches apart, at 40-foot intervals. No passing zones should be posted with signs in those areas where the final centerlines have not been established on two-way roadways. Stop bars may consist of two 6 inch wide white markings or three 4 inch wide white markings placed side by side. The Contractor shall remove and dispose of the Temporary Plastic Pavement Marking Tape when another course of bituminous concrete pavement is installed. The cost of furnishing, installing and removing the Temporary Plastic Pavement Marking Tape shall be at the Contractor's expense.

If an intermediate course of bituminous concrete pavement will be exposed throughout the winter, then Epoxy Resin Pavement Markings should be installed unless directed otherwise by the Engineer.

Final Pavement Markings

The Contractor should install painted pavement markings on the final course of bituminous concrete pavement by the end of the work day/night. If the painted pavement markings are not installed by the end of the work day/night, then Temporary Plastic Pavement Marking Tape shall be installed as described above and the painted pavement markings shall be installed by the end of the work day/night on Friday of that week.

If Temporary Plastic Pavement Marking Tape is installed, the Contractor shall remove and dispose of these markings when the painted pavement markings are installed. The cost of

furnishing, installing and removing the Temporary Plastic Pavement Marking Tape shall be at the Contractor's expense.

The Contractor shall install permanent Epoxy Resin Pavement Markings in accordance with Section 12.10 entitled "Epoxy Resin Pavement Markings" after such time as determined by the Engineer.

TRAFFIC CONTROL DURING CONSTRUCTION OPERATIONS

The following guidelines shall assist field personnel in determining when and what type of traffic control patterns to use for various situations. These guidelines shall provide for the safe and efficient movement of traffic through work zones and enhance the safety of work forces in the work area.

TRAFFIC CONTROL PATTERNS

Traffic control patterns shall be used when a work operation requires that all or part of any vehicle or work area protrudes onto any part of a travel lane or shoulder. For each situation, the installation of traffic control devices shall be based on the following:

- Speed and volume of traffic
- Duration of operation
- Exposure to hazards

Traffic control patterns shall be uniform, neat and orderly so as to command respect from the motorist.

In the case of a horizontal or vertical sight restriction in advance of the work area, the traffic control pattern shall be extended to provide adequate sight distance for approaching traffic.

If a lane reduction taper is required to shift traffic, the entire length of the taper should be installed on a tangent section of roadway so that the entire taper area can be seen by the motorist.

Any existing signs that are in conflict with the traffic control patterns shall be removed, covered, or turned so that they are not readable by oncoming traffic.

When installing a traffic control pattern, a Buffer Area should be provided and this area shall be free of equipment, workers, materials and parked vehicles.

Typical traffic control plans 19 through 25 may be used for moving operations such as line striping, pot hole patching, mowing, or sweeping when it is necessary for equipment to occupy a travel lane.

Traffic control patterns will not be required when vehicles are on an emergency patrol type activity or when a short duration stop is made and the equipment can be contained within the shoulder. Flashing lights and appropriate trafficperson shall be used when required.

Although each situation must be dealt with individually, conformity with the typical traffic control plans contained herein is required. In a situation not adequately covered by the typical traffic control plans, the Contractor must contact the Engineer for assistance prior to setting up a traffic control pattern.

PLACEMENT OF SIGNS

Signs must be placed in such a position to allow motorists the opportunity to reduce their speed prior to the work area. Signs shall be installed on the same side of the roadway as the work area. On multi-lane divided highways, advance warning signs shall be installed on both sides of the highway. On directional roadways (on-ramps, off-ramps, one-way roads), where the sight distance to signs is restricted, these signs should be installed on both sides of the roadway.

ALLOWABLE ADJUSTMENT OF SIGNS AND DEVICES SHOWN ON THE TRAFFIC CONTROL PLANS

The traffic control plans contained herein show the location and spacing of signs and devices under ideal conditions. Signs and devices should be installed as shown on these plans whenever possible.

The proper application of the traffic control plans and installation of traffic control devices depends on actual field conditions.

Adjustments to the traffic control plans shall be made only at the direction of the Engineer to improve the visibility of the signs and devices and to better control traffic operations. Adjustments to the traffic control plans shall be based on safety of work forces and motorists, abutting property requirements, driveways, side roads, and the vertical and horizontal curvature of the roadway.

The Engineer may require that the traffic control pattern be located significantly in advance of the work area to provide better sight line to the signing and safer traffic operations through the work zone.

Table I indicates the minimum taper length required for a lane closure based on the posted speed limit of the roadway. These taper lengths shall only be used when the recommended taper lengths shown on the traffic control plans cannot be achieved.

TABLE I – MINIMUM TAPER LENGTHS

POSTED SPEED LIMIT MILES PER HOUR	MINIMUM TAPER LENGTH IN FEET FOR A SINGLE LANE CLOSURE
30 OR LESS	180
35	250
40	320
45	540
50	600
55	660
65	780

SECTION 1. WORK ZONE SAFETY MEETINGS

- 1.a) Prior to the commencement of work, a work zone safety meeting will be conducted with representatives of DOT Construction, Connecticut State Police (Local Barracks), Municipal Police, the Contractor (Project Superintendent) and the Traffic Control Subcontractor (if different than the prime Contractor) to review the traffic operations, lines of responsibility, and operating guidelines which will be used on the project. Other work zone safety meetings during the course of the project should be scheduled as needed.
- 1.b) A Work Zone Safety Meeting Agenda shall be developed and used at the meeting to outline the anticipated traffic control issues during the construction of this project. Any issues that can't be resolved at these meetings will be brought to the attention of the District Engineer and the Office of Construction. The agenda should include:
- Review Project scope of work and time
 - Review Section 1.08, Prosecution and Progress
 - Review Section 9.70, Trafficpersons
 - Review Section 9.71, Maintenance and Protection of Traffic
 - Review Contractor's schedule and method of operations.
 - Review areas of special concern: ramps, turning roadways, medians, lane drops, etc.
 - Open discussion of work zone questions and issues
 - Discussion of review and approval process for changes in contract requirements as they relate to work zone areas

SECTION 2. GENERAL

- 2.a) If the required minimum number of signs and equipment (i.e. one High Mounted Internally Illuminated Flashing Arrow for each lane closed, two TMAs, Changeable Message Sign, etc.) are not available; the traffic control pattern shall not be installed.

- 2.b) The Contractor shall have back-up equipment (TMAs, High Mounted Internally Illuminated Flashing Arrow, Changeable Message Sign, construction signs, cones/drums, etc.) available at all times in case of mechanical failures, etc. The only exception to this is in the case of sudden equipment breakdowns in which the pattern may be installed but the Contractor must provide replacement equipment within 24 hours.
- 2.c) Failure of the Contractor to have the required minimum number of signs, personnel and equipment, which results in the pattern not being installed, shall not be a reason for a time extension or claim for loss time.
- 2.d) In cases of legitimate differences of opinion between the Contractor and the Inspection staff, the Inspection staff shall err on the side of safety. The matter shall be brought to the District Office for resolution immediately or, in the case of work after regular business hours, on the next business day.

SECTION 3. INSTALLING AND REMOVING TRAFFIC CONTROL PATTERNS

- 3.a) Lane Closures shall be installed beginning with the advance warning signs and proceeding forward toward the work area.
- 3.b) Lane Closures shall be removed in the reverse order, beginning at the work area, or end of the traffic control pattern, and proceeding back toward the advance warning signs.
- 3.c) Stopping traffic may be allowed:
 - As per the contract for such activities as blasting, steel erection, etc.
 - During paving, milling operations, etc. where, in the middle of the operation, it is necessary to flip the pattern to complete the operation on the other half of the roadway and traffic should not travel across the longitudinal joint or difference in roadway elevation.
 - To move slow moving equipment across live traffic lanes into the work area.
- 3.d) Temporary road closures using Rolling Road Blocks (RRB) may be allowed on limited access highways for operations associated with the installation and removal of temporary lane closures. RRB may be allowed for the installation and removal of lead signs and lane tapers only and shall meet the following requirements:
 - RRB may not start prior to the time allowed in the contract Limitations of Operation for sign pattern installation. Sign pattern removal must be complete prior to the time indicated in the Limitations of Operation for restoring the lanes to traffic.
 - On limited access highways with 4 lanes or more, a RRB may not start until the Limitations of Operation Chart allows a 2 lane closure. In areas with good sight lines and full shoulders, opposite side lead signs should be installed in a separate operation.

- Truck-Mounted Impact Attenuators (TMAs) equipped with arrow boards shall be used to slow traffic to implement the RRB. State Police Officers in marked vehicles may be used to support the implementation of the RRB. The RRB shall start by having all vehicles, including Truck-Mounted Impact Attenuators TMAs and police vehicles leave the shoulder or on-ramp and accelerate to a normal roadway speeds in each lane, then the vehicles will position themselves side by side and decelerate to the RRB speed on the highway.
 - An additional Truck-Mounted Impact Attenuator TMAs equipped with a Portable Changeable Message Sign shall be utilized to advise the motorists that sign pattern installation / removal is underway. The Pre-Warning Vehicle (PWV) should be initially positioned in the right shoulder ½ mile prior to the RRB operation. If a traffic queue reaches the PWV's initial location, the contractor shall slowly reverse the PWV along the shoulder to position itself prior to the new back of queue. A Pre-Warning Vehicle, as specified elsewhere in the contract, shall be utilized to advise the motorists that sign pattern installation / removal is underway.
 - The RRB duration shall not exceed 15 minutes from start of the traffic block until all lanes are opened as designated in the Limitation of Operation chart. If the RRB duration exceeds 15 minutes on 2 successive shifts, no further RRB will be allowed until the Contractor obtains approval for a revised installation procedure from the respective construction District.
 - RRB should not be utilized to expand a lane closure pattern to an additional lane during the shift. The workers and equipment required to implement the additional lane closure should be staged from within the closed lane. Attenuator trucks (and State Police if available) should be used to protect the workers installing the taper in the additional lane.
 - Exceptions to these work procedures may be submitted to the District Office for consideration. A minimum of 2 business days should be allowed for review and approval by the District.
 - The RRB procedures (including any approved exceptions) will be reviewed and discussed by the inspection team and the Contractor in advance of the work. The implementation of the agreed upon plan will be reviewed with the State Police during the Work Zone Safety meeting held before each shift involving temporary lane closures. If the State Police determine that alternative procedures should be implemented for traffic control during the work shift, the Department and Contractor will attempt to resolve any discrepancies with the duty sergeant at the Troop. If the discrepancies are unable to be resolved prior to the start of the shift, the work will proceed as recommended by the Department Trooper. Any unresolved issues will be addressed the following day.
- 3.e) The Contractor must adhere to using the proper signs, placing the signs correctly, and ensuring the proper spacing of signs.
- 3.f) Additional devices are required on entrance ramps, exit ramps, and intersecting roads to warn and/or move traffic into the proper travel path prior to merging/exiting with/from the

main line traffic. This shall be completed before installing the mainline pattern past the ramp or intersecting roadway.

- 3.g) Prior to installing a pattern, any conflicting existing signs shall be covered with an opaque material. Once the pattern is removed, the existing signs shall be uncovered.
- 3.h) On limited access roadways, workers are prohibited from crossing the travel lanes to install and remove signs or other devices on the opposite side of the roadway. Any signs or devices on the opposite side of the roadway shall be installed and removed separately.

SECTION 4. USE OF HIGH MOUNTED INTERNALLY ILLUMINATED FLASHING ARROW

- 4.a) On limited access roadways, one Flashing Arrow shall be used for each lane that is closed. The Flashing Arrow shall be installed concurrently with the installation of the traffic control pattern and its placement shall be as shown on the traffic control plan. For multiple lane closures, one Flashing Arrow is required for each lane closed. If conditions warrant, additional Flashing Arrows should be employed (i.e.: curves, major ramps, etc.).
- 4.b) On non-limited access roadways, the use of a Flashing Arrow for lane closures is optional. The roadway geometry, sight line distance, and traffic volume should be considered in the decision to use the Flashing Arrow.
- 4.c) The Flashing Arrow shall not be used on two lane, two-way roadways for temporary alternating one-way traffic operations.
- 4.d) The Flashing Arrow board display shall be in the “arrow” mode for lane closure tapers and in the “caution” mode (four corners) for shoulder work, blocking the shoulder, or roadside work near the shoulder. The Flashing Arrow shall be in the “caution” mode when it is positioned in the closed lane.
- 4.e) The Flashing Arrow shall not be used on a multi-lane roadway to laterally shift all lanes of traffic, because unnecessary lane changing may result.

SECTION 5. USE OF TRUCK MOUNTED OR TRAILER MOUNTED IMPACT ATTENUATOR VEHICLES (TMAs)

- 5.a) For lane closures on limited access roadways, a minimum of two TMAs shall be used to install and remove traffic control patterns. If two TMAs are not available, the pattern shall not be installed.

- 5.b) On non-limited access roadways, the use of TMAs to install and remove patterns closing a lane(s) is optional. The roadway geometry, sight line distance, and traffic volume should be considered in the decision to utilize the TMAs.
- 5.c) Generally, to establish the advance and transition signing, one TMA shall be placed on the shoulder and the second TMA shall be approximately 1,000 feet ahead blocking the lane. The flashing arrow board mounted on the TMA should be in the “flashing arrow” mode when taking the lane. The sign truck and workers should be immediately ahead of the second TMA. In no case shall the TMA be used as the sign truck or a work truck. Once the transition is in place, the TMAs shall travel in the closed lane until all Changeable Message Signs, signs, Flashing Arrows, and cones/drums are installed. The flashing arrow board mounted on the TMA should be in the “caution” mode when traveling in the closed lane.
- 5.d) A TMA shall be placed prior to the first work area in the pattern. If there are multiple work areas within the same pattern, then additional TMAs shall be positioned at each additional work area as needed. The flashing arrow board mounted on the TMA should be in the “caution” mode when in the closed lane.
- 5.e) TMAs shall be positioned a sufficient distance prior to the workers or equipment being protected to allow for appropriate vehicle roll-ahead in the event that the TMA is hit, but not so far that an errant vehicle could travel around the TMA and into the work area. For additional placement and use details, refer to the specification entitled “Truck-Mounted or Trailer-Mounted Impact Attenuator”. Some operations, such as paving and concrete repairs, do not allow for placement of the TMA(s) within the specified distances. In these situations, the TMA(s) should be placed at the beginning of the work area and shall be advanced as the paving or concrete operations proceed.
- 5.f) TMAs should be paid in accordance with how the unit is utilized. If it is used as a TMA and is in the proper location as specified, then it should be paid at the specified hourly rate for “Truck-Mounted or Trailer-Mounted Impact Attenuator”. When the TMA is used as a Flashing Arrow, it should be paid at the daily rate for “High Mounted Internally Illuminated Flashing Arrow”. If a TMA is used to install and remove a pattern and is also used as a Flashing Arrow in the same day, then the unit should be paid as a “Truck-Mounted or Trailer-Mounted Impact Attenuator” for the hours used to install and remove the pattern, typically 2 hours (1 hour to install and 1 hour to remove). If the TMA is also used as a Flashing Arrow during the same day, then the unit should be paid at the daily rate as a “High Mounted Internally Illuminated Flashing Arrow”.

SECTION 6. USE OF TRAFFIC DRUMS AND TRAFFIC CONES

- 6.a) Traffic drums shall be used for taper channelization on limited-access roadways, ramps, and turning roadways and to delineate raised catch basins and other hazards.

- 6.b) Traffic drums shall be used in place of traffic cones in traffic control patterns that are in effect for more than a 36-hour duration.
- 6.c) Traffic Cones less than 42 inches in height shall not be used on limited-access roadways or on non-limited access roadways with a posted speed limit of 45 mph and above.
- 6.d) Typical spacing of traffic drums and/or cones shown on the Traffic Control Plans in the Contract are maximum spacings and may be reduced to meet actual field conditions as required.

SECTION 7. USE OF (REMOTE CONTROLLED) CHANGEABLE MESSAGE SIGNS (CMS)

- 7.a) For lane closures on limited access roadways, one CMS shall be used in advance of the traffic control pattern. Prior to installing the pattern, the CMS shall be installed and in operation, displaying the appropriate lane closure information (i.e.: Left Lane Closed - Merge Right). The CMS shall be positioned ½ - 1 mile ahead of the lane closure taper. If the nearest Exit ramp is greater than the specified ½ - 1 mile distance, than an additional CMS shall be positioned a sufficient distance ahead of the Exit ramp to alert motorists to the work and therefore offer them an opportunity to take the exit.
- 7.b) CMS should not be installed within 1000 feet of an existing CMS.
- 7.c) On non-limited access roadways, the use of CMS for lane closures is optional. The roadway geometry, sight line distance, and traffic volume should be considered in the decision to use the CMS.
- 7.d) The advance CMS is typically placed off the right shoulder, 5 feet from the edge of pavement. In areas where the CMS cannot be placed beyond the edge of pavement, it may be placed on the paved shoulder with a minimum of five (5) traffic drums placed in a taper in front of it to delineate its position. The advance CMS shall be adequately protected if it is used for a continuous duration of 36 hours or more.
- 7.e) When the CMS are no longer required, they should be removed from the clear zone and have the display screen cleared and turned 90° away from the roadway.
- 7.f) The CMS generally should not be used for generic messages (ex: Road Work Ahead, Bump Ahead, Gravel Road, etc.).
- 7.g) The CMS should be used for specific situations that need to command the motorist's attention which cannot be conveyed with standard construction signs (Examples include: Exit 34 Closed Sat/Sun - Use Exit 35, All Lanes Closed - Use Shoulder, Workers on Road - Slow Down).
- 7.h) Messages that need to be displayed for long periods of time, such as during stage construction, should be displayed with construction signs. For special signs, please

coordinate with the Office of Construction and the Division of Traffic Engineering for the proper layout/dimensions required.

7.i) The messages that are allowed on the CMS are as follows:

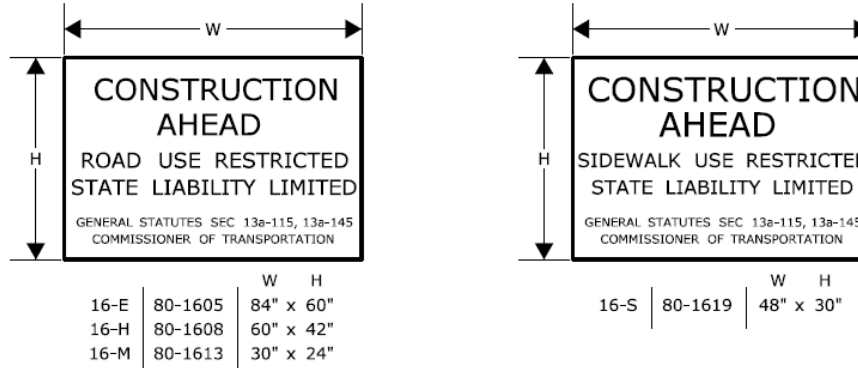
<u>Message No.</u>	<u>Frame 1</u>	<u>Frame 2</u>	<u>Message No.</u>	<u>Frame 1</u>	<u>Frame 2</u>
1	LEFT LANE CLOSED	MERGE RIGHT	9	LANES CLOSED AHEAD	REDUCE SPEED
2	2 LEFT LANES CLOSED	MERGE RIGHT	10	LANES CLOSED AHEAD	USE CAUTION
3	LEFT LANE CLOSED	REDUCE SPEED	11	WORKERS ON ROAD	REDUCE SPEED
4	2 LEFT LANES CLOSED	REDUCE SPEED	12	WORKERS ON ROAD	SLOW DOWN
5	RIGHT LANE CLOSED	MERGE LEFT	13	EXIT XX CLOSED	USE EXIT YY
6	2 RIGHT LANES CLOSED	MERGE LEFT	14	EXIT XX CLOSED USE YY	FOLLOW DETOUR
7	RIGHT LANE CLOSED	REDUCE SPEED	15	2 LANES SHIFT AHEAD	USE CAUTION
8	2 RIGHT LANES CLOSED	REDUCE SPEED	16	3 LANES SHIFT AHEAD	USE CAUTION

For any other message(s), approval must be received from the Office of Construction prior to their use. No more than two (2) displays shall be used within any message cycle.

SECTION 8. USE OF STATE POLICE OFFICERS

- 8.a) State Police may be utilized only on limited access highways and secondary roadways under their primary jurisdiction. One Officer may be used per critical sign pattern. Shoulder closures and right lane closures can generally be implemented without the presence of a State Police Officer. Likewise in areas with moderate traffic and wide, unobstructed medians, left lane closures can be implemented without State Police presence. Under some situations it may be desirable to have State Police presence, when one is available. Examples of this include: nighttime lane closures; left lane closures with minimal width for setting up advance signs and staging; lane and shoulder closures on turning roadways/ramps or mainline where sight distance is minimal; and closures where extensive turning movements or traffic congestion regularly occur, however they are not required.
- 8.b) Once the pattern is in place, the State Police Officer should be positioned in a non-hazardous location in advance of the pattern. If traffic backs up beyond the beginning of the pattern, then the State Police Officer shall be repositioned prior to the backup to give warning to the oncoming motorists. The State Police Officer and TMA should not be in proximity to each other.
- 8.c) Other functions of the State Police Officer(s) may include:
- Assisting entering/exiting construction vehicles within the work area.
 - Enforcement of speed and other motor vehicle laws within the work area, if specifically requested by the project.
- 8.d) State Police Officers assigned to a work site are to only take direction from the Engineer.

SERIES 16 SIGNS



THE 16-S SIGN SHALL BE USED ON ALL PROJECTS THAT REQUIRE SIDEWALK RECONSTRUCTION OR RESTRICT PEDESTRIAN TRAVEL ON AN EXISTING SIDEWALK.

SERIES 16 SIGNS SHALL BE INSTALLED IN ADVANCE OF THE TRAFFIC CONTROL PATTERNS TO ALLOW MOTORISTS THE OPPORTUNITY TO AVOID A WORK ZONE. SERIES 16 SIGNS SHALL BE INSTALLED ON ANY MAJOR INTERSECTING ROADWAYS THAT APPROACH THE WORK ZONE. ON LIMITED-ACCESS HIGHWAYS, THESE SIGNS SHALL BE LOCATED IN ADVANCE OF THE NEAREST UPSTREAM EXIT RAMP AND ON ANY ENTRANCE RAMPS PRIOR TO OR WITHIN THE WORK ZONE LIMITS.

THE LOCATION OF SERIES 16 SIGNS CAN BE FOUND ELSEWHERE IN THE PLANS OR INSTALLED AS DIRECTED BY THE ENGINEER.

SIGNS 16-E AND 16-H SHALL BE POST-MOUNTED.

SIGN 16-E SHALL BE USED ON ALL EXPRESSWAYS.

SIGN 16-H SHALL BE USED ON ALL RAMPS, OTHER STATE ROADWAYS, AND MAJOR TOWN/CITY ROADWAYS.

SIGN 16-M SHALL BE USED ON OTHER TOWN ROADWAYS.

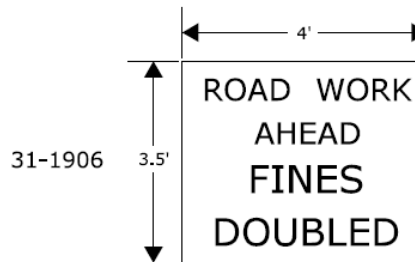
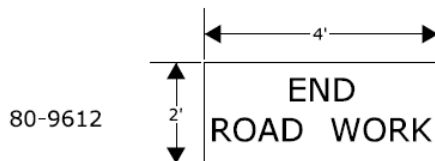
REGULATORY SIGN "ROAD WORK AHEAD, FINES DOUBLED"

THE REGULATORY SIGN "ROAD WORK AHEAD FINES DOUBLED" SHALL BE INSTALLED FOR ALL WORK ZONES THAT OCCUR ON ANY STATE HIGHWAY IN CONNECTICUT WHERE THERE ARE WORKERS ON THE HIGHWAY OR WHEN THERE IS OTHER THAN EXISTING TRAFFIC OPERATIONS.

THE "ROAD WORK AHEAD FINES DOUBLED" REGULATORY SIGN SHALL BE PLACED AFTER THE SERIES 16 SIGN AND IN ADVANCE OF THE "ROAD WORK AHEAD" SIGN.

"END ROAD WORK" SIGN

THE LAST SIGN IN THE PATTERN MUST BE THE "END ROAD WORK" SIGN.



SCALE: NONE

CONSTRUCTION TRAFFIC CONTROL PLAN
REQUIRED SIGNS

NOTES FOR TRAFFIC CONTROL PLANS

1. IF A TRAFFIC STOPPAGE OCCURS IN ADVANCE OF SIGN (A), THEN AN ADDITIONAL SIGN (A) SHALL BE INSTALLED IN ADVANCE OF THE STOPPAGE.
2. SIGNS (AA), (A), AND (D) SHOULD BE OMITTED WHEN THESE SIGNS HAVE ALREADY BEEN INSTALLED TO DESIGNATE A LARGER WORK ZONE THAN THE WORK ZONE THAT IS ENCOMPASSED ON THIS PLAN.
3. SEE TABLE 1 FOR ADJUSTMENT OF TAPERS IF NECESSARY.
4. IF THIS PLAN REMAINS IN CONTINUOUS OPERATION FOR MORE THAN 36 HOURS, THEN TRAFFIC DRUMS SHALL BE USED IN PLACE OF TRAFFIC CONES.
5. ANY LEGAL SPEED LIMIT SIGNS WITHIN THE LIMITS OF A ROADWAY / LANE CLOSURE AREA SHALL BE COVERED WITH AN OPAQUE MATERIAL WHILE THE CLOSURE IS IN EFFECT, AND UNCOVERED WHEN THE ROADWAY / LANE CLOSURE IS RE-OPENED TO ALL LANES OF TRAFFIC.
6. IF THIS PLAN REMAINS IN CONTINUOUS OPERATION FOR MORE THAN 36 HOURS, THEN ANY EXISTING CONFLICTING PAVEMENT MARKINGS SHALL BE ERADICATED OR COVERED, AND TEMPORARY PAVEMENT MARKINGS THAT DELINEATE THE PROPER TRAVELPATHS SHALL BE INSTALLED.
7. DISTANCES BETWEEN SIGNS IN THE ADVANCE WARNING AREA MAY BE REDUCED TO 100' ON LOW-SPEED URBAN ROADS (SPEED LIMIT < 40 MPH).
8. IF THIS PLAN IS TO REMAIN IN OPERATION DURING THE HOURS OF DARKNESS, INSTALL BARRICADE WARNING LIGHTS - HIGH INTENSITY ON ALL POST-MOUNTED DIAMOND SIGNS IN THE ADVANCE WARNING AREA.
9. A CHANGEABLE MESSAGE SIGN SHALL BE INSTALLED ONE HALF TO ONE MILE IN ADVANCE OF THE LANE CLOSURE TAPER.
10. SIGN (P) SHALL BE MOUNTED A MINIMUM OF 7 FEET FROM THE PAVEMENT SURFACE TO THE BOTTOM OF THE SIGN.

TABLE 1 - MINIMUM TAPER LENGTHS

POSTED SPEED LIMIT (MILES PER HOUR)	MINIMUM TAPER LENGTH FOR A SINGLE LANE CLOSURE
30 OR LESS	180' (55m)
35	250' (75m)
40	320' (100m)
45	540' (165m)
50	600' (180m)
55	660' (200m)
65	780' (240m)

METRIC CONVERSION CHART (1" = 25mm)

ENGLISH	METRIC	ENGLISH	METRIC	ENGLISH	METRIC
12"	300mm	42"	1050mm	72"	1800mm
18"	450mm	48"	1200mm	78"	1950mm
24"	600mm	54"	1350mm	84"	2100mm
30"	750mm	60"	1500mm	90"	2250mm
36"	900mm	66"	1650mm	96"	2400mm



SCALE: NONE

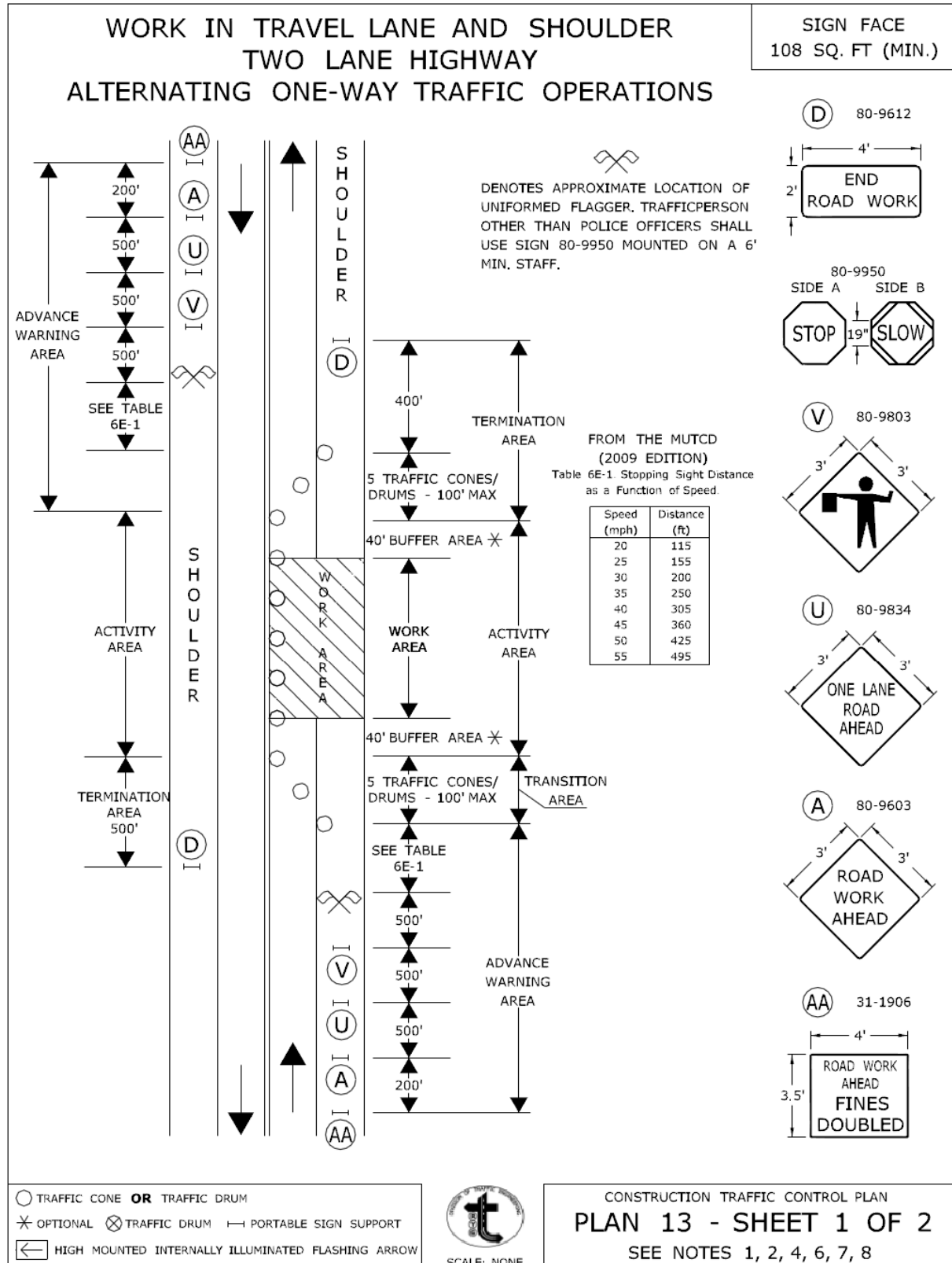
CONSTRUCTION TRAFFIC CONTROL PLAN NOTES

CONNECTICUT DEPARTMENT OF TRANSPORTATION
BUREAU OF ENGINEERING & CONSTRUCTION

APPROVED

Charles S. Harlow
PRINCIPAL ENGINEER

Charles S. Harlow
2012.06.05 15:50:35-0400



- TRAFFIC CONE OR TRAFFIC DRUM
- ✱ OPTIONAL ✕ TRAFFIC DRUM — PORTABLE SIGN SUPPORT
- ← HIGH MOUNTED INTERNALLY ILLUMINATED FLASHING ARROW



SCALE: NONE

CONSTRUCTION TRAFFIC CONTROL PLAN
PLAN 13 - SHEET 1 OF 2
SEE NOTES 1, 2, 4, 6, 7, 8

CONNECTICUT DEPARTMENT OF TRANSPORTATION
BUREAU OF ENGINEERING & CONSTRUCTION

APPROVED *Charles S. Harlow*
PRINCIPAL ENGINEER

Charles S. Harlow
2012.06.05 15:55:23-04'00"

WORK IN TRAVEL LANE AND SHOULDER TWO LANE HIGHWAY ALTERNATING ONE-WAY TRAFFIC OPERATIONS

SIGN FACE
108 SQ. FT (MIN.)

HAND SIGNAL METHODS TO BE USED BY UNIFORMED FLAGGERS

THE FOLLOWING METHODS FROM SECTION 6E.07, FLAGGER PROCEDURES, IN THE "MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES," SHALL BE USED BY UNIFORMED FLAGGERS WHEN DIRECTING TRAFFIC THROUGH A WORK AREA. THE STOP/SLOW SIGN PADDLE (SIGN NO. 80-9950) SHOWN ON THE TRAFFIC STANDARD SHEET TR-1220 01 ENTITLED, "SIGNS FOR CONSTRUCTION AND PERMIT OPERATIONS" SHALL BE USED.

A. TO STOP TRAFFIC

TO STOP ROAD USERS, THE FLAGGER SHALL FACE ROAD USERS AND AIM THE STOP PADDLE FACE TOWARD ROAD USERS IN A STATIONARY POSITION WITH THE ARM EXTENDED HORIZONTALLY AWAY FROM THE BODY. THE FREE ARM SHALL BE HELD WITH THE PALM OF THE HAND ABOVE SHOULDER LEVEL TOWARD APPROACHING TRAFFIC.



B. TO DIRECT TRAFFIC TO PROCEED

TO DIRECT STOPPED ROAD USERS TO PROCEED, THE FLAGGER SHALL FACE ROAD USERS WITH THE SLOW PADDLE FACE AIMED TOWARD ROAD USERS IN A STATIONARY POSITION WITH THE ARM EXTENDED HORIZONTALLY AWAY FROM THE BODY. THE FLAGGER SHALL MOTION WITH THE FREE HAND FOR ROAD USERS TO PROCEED.



C. TO ALERT OR SLOW TRAFFIC

TO ALERT OR SLOW TRAFFIC, THE FLAGGER SHALL FACE ROAD USERS WITH THE SLOW PADDLE FACE AIMED TOWARD ROAD USERS IN A STATIONARY POSITION WITH THE ARM EXTENDED HORIZONTALLY AWAY FROM THE BODY. TO FURTHER ALERT OR SLOW TRAFFIC, THE FLAGGER HOLDING THE SLOW PADDLE FACE TOWARD ROAD USERS MAY MOTION UP AND DOWN WITH THE FREE HAND, PALM DOWN.



- TRAFFIC CONE **OR** TRAFFIC DRUM
- * OPTIONAL ⊗ TRAFFIC DRUM — PORTABLE SIGN SUPPORT
- ◀ HIGH MOUNTED INTERNALLY ILLUMINATED FLASHING ARROW

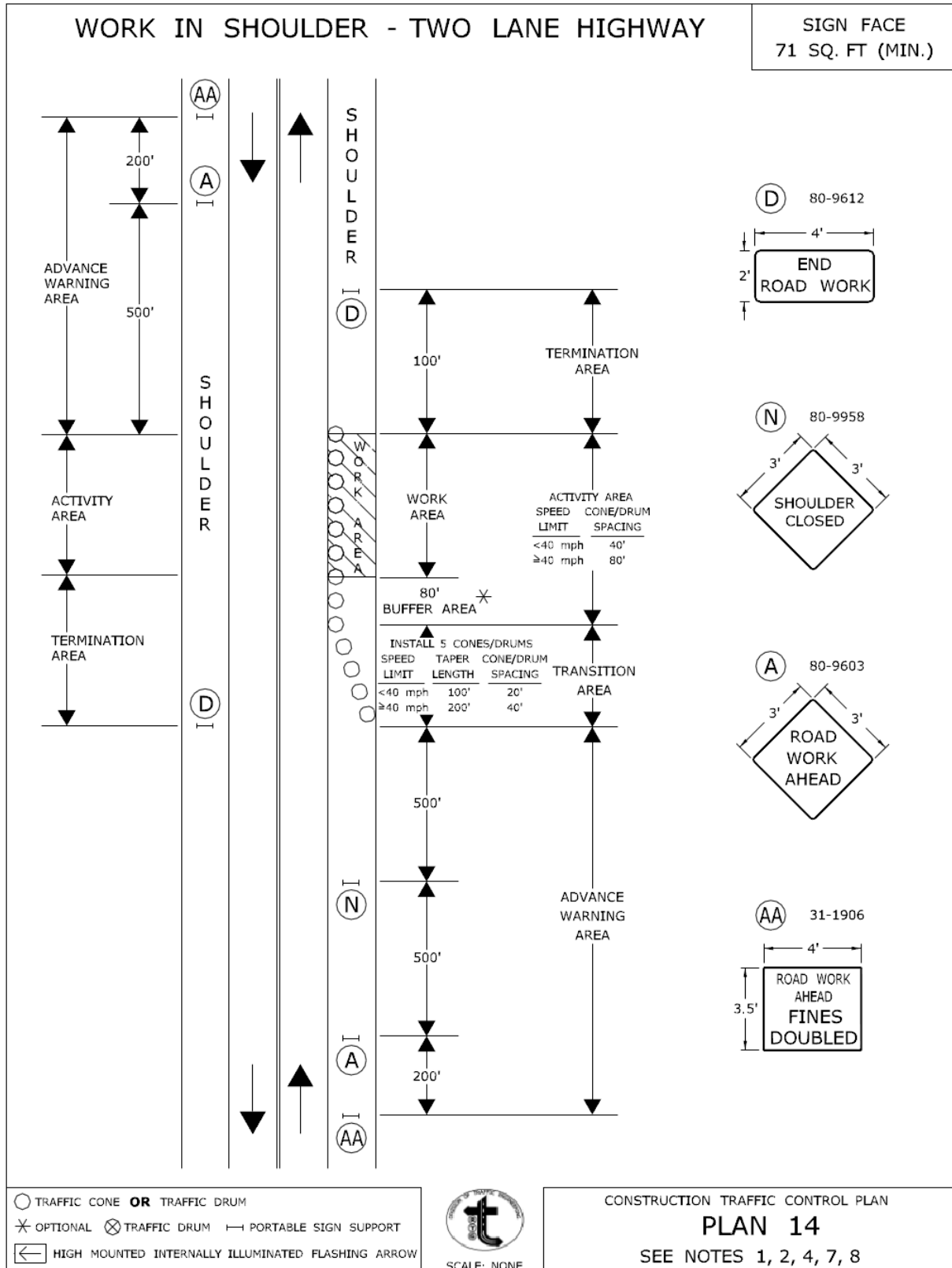


SCALE: NONE

CONSTRUCTION TRAFFIC CONTROL PLAN
PLAN 13 - SHEET 2 OF 2
SEE NOTES 1, 2, 4, 6, 7, 8

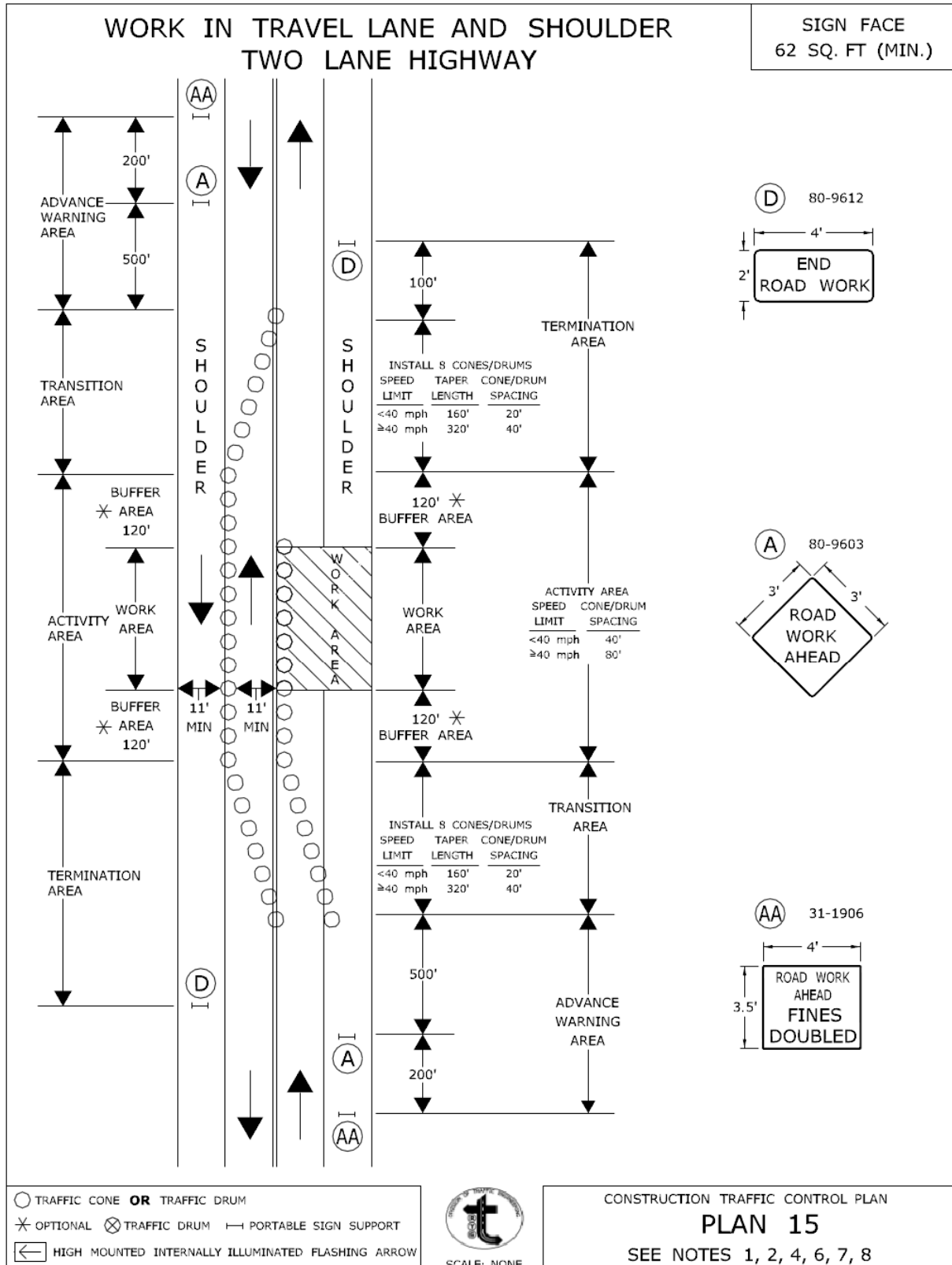
CONNECTICUT DEPARTMENT OF TRANSPORTATION
BUREAU OF ENGINEERING & CONSTRUCTION

APPROVED *Charles S. Harlow* Charles S. Harlow
2012.06.05 15:55:45-04'00'
PRINCIPAL ENGINEER



APPROVED *Charles S. Harlow*
 Charles S. Harlow
 2012.08.05 15:56:09-04'00"
 PRINCIPAL ENGINEER

CONNECTICUT DEPARTMENT OF TRANSPORTATION
 BUREAU OF ENGINEERING & CONSTRUCTION



APPROVED *Charles S. Harlow* Charles S. Harlow
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 PRINCIPAL ENGINEER

CONNECTICUT DEPARTMENT OF TRANSPORTATION
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Article 9.71.05 – Basis of Payment is supplemented by the following:

The contract lump sum price for “Maintenance and Protection of Traffic” shall also include temporary relocating existing signs and sign supports as many times as deemed necessary and furnishing, installing and removing temporary sign supports and foundation if necessary during the construction of this project.

ITEM #1208931A — SIGN FACE - SHEET ALUMINUM (TYPE IX RETROREFLECTIVE SHEETING)

Section 12.08 is supplemented and amended as follows:

12.08.01—Description:

Add the following:

This item shall also include field testing of metal sign base posts as directed by the Engineer.

12.08.03—Construction Methods:

Delete the last sentence and add the following:

Metal sign base posts shall be whole and uncut. Sign base post embedment and reveal lengths shall be as shown on the plans. The Contractor shall drive the metal sign base posts by hand tools, by mechanical means or by auguring holes. If an obstruction is encountered while driving or placing the metal sign base post, the Contractor shall notify the Engineer who will determine whether the obstruction shall be removed, the sign base post or posts relocated, or the base post installation in ledge detail shall apply. Backfill shall be thoroughly tamped after the posts have been set level and plumb.

Field Testing of Metal Sign Posts: When the sign installations are complete, the Contractor shall notify the Engineer the Project is ready for field testing. Based on the number of posts in the Project, the Engineer will select random sign base posts which shall be removed by the Contractor for inspection and measurement by the Engineer. After such inspection is completed at each base post location, the Contractor shall restore or replace such portions of the work to the condition required by the Contract. Refer to the table in 12.08.05 for the number of posts to be field tested.

12.08.04—Method of Measurement:

Add the following:

The work required to expose and measure sign base post length and embedment depth using field testing methods, and restoration of such work, will not be measured for payment and shall be included in the general cost of the work.

12.08.05—Basis of Payment:

Replace the entire Article with the following:

This work will be paid for at the Contract unit price per square foot for “Sign Face - Sheet Aluminum” of the type specified complete in place, adjusted by multiplying by the applicable Pay Factor listed in the table below. The price for this work shall include the completed sign, metal sign post(s), span-mounted sign brackets and mast arm-mounted brackets, mounting hardware, including reinforcing plates, field testing, restoration and replacement of defective base post(s), and all materials, equipment, and work incidental thereto.

Pay Factor Scale: Work shall be considered defective whenever the base post length or base post embedment depth is less than the specified length by more than 2 inches. If the number of defects results in rejection, the Contractor shall remove and replace all metal sign base posts on the Project, at no cost to the Department.

Number of Posts to be Tested and Pay Factors (Based on Number of Defects)

Number of Posts in Project =>	51-100	101-250	251-1000	>1000
Sample Size=>	5 Posts	10 Posts	40 Posts	60 Posts
0 Defects	1.0	1.0	1.025	1.025
1 Defect	0.9	0.95	0.975	0.983
2 Defects	Rejection	0.9	0.95	0.967
3 Defects	Rejection	Rejection	0.925	0.95
4 Defects	Rejection	Rejection	0.9	0.933
5 Defects	Rejection	Rejection	Rejection	0.917
6 Defects	Rejection	Rejection	Rejection	0.9
7 or more Defects	Rejection	Rejection	Rejection	Rejection

Note: Projects with 50 or fewer posts will not include field testing.

ITEM #1401036A - ABANDON SANITARY SEWER

Description: The work under this Item shall consist of the abandoning of existing pipes which are not removed during the construction of the work under this Contract and which shall be flushed and filled with flowable fill where “To Be Abandoned” is indicated on the Contract Drawings or directed by the Engineer.

Materials:

Plugs

- A. Grout Plugs: Cement-based dry-pack grout conforming to ASTM C 1107, Grade B or C.
- B. Manufactured Plug: Commercially available plug or cap specifically designed and manufactured to be used with pipe being abandoned.

Flowable Fill Requirements

- A. Unconfined compressive strength: minimum 75 psi and maximum 150 psi at 56 days as determined based on an average of three tests for same placement. Present at least three acceptable strength tests for proposed mix design in mix design report.
- B. Placement characteristics: self-leveling.
- C. Shrinkage characteristics: non-shrink.
- D. Water bleeding for fill to be placed by grouting method in sewers: not to exceed 2 percent according to ASTM C 940.
- E. Minimum wet density: 90 pounds per cubic foot.

Ballast

- A. Ballast Material: Natural rock or concrete pieces with minimum size equal to at least 10 times maximum aggregate size of flowable fill and maximum size of 24 inches. Maximum dimension shall not be more than 20 percent of minimum dimension of space to be filled.
- B. Ballast Composition: Free of regulated waste material.

Submittals:

The Contractor shall:

Submit product data for proposed plugs for the Engineer's approval. The Contractor shall also submit technical information for equipment and operational procedures including projected slurry injection rate, grout pressure, method of controlling grout pressure, bulkhead and vent design, and number of stages of grout application for the Engineer's review and approval.

At least 15 days prior to commencing abandonment activities submit plan for abandonment, describing proposed grouting sequence, bypass pumping requirements and plugging, if any, and other information pertinent to completion of work to the Engineer for approval.

Construction Methods: Prior to abandoning any pipes "To Be Abandoned" under this Contract, it shall be the responsibility of the Contractor to ensure that no active connections remain to the pipes or conduits. Prior to inspecting pipe, flush system to remove any remaining material. Where the existing connections to a sewer is to-be-abandoned cannot be located during the excavation for the installation of the new sewer, the Contractor shall, by closed circuit television or visual inspection (pipes/conduits safe for entry and over 36 inches in diameter), carefully record the exact location of all existing connections thereto and reconnect the same to the new sewer. Should, after said abandoning, live connections be discovered, the Contractor shall be responsible for any damages direct or consequent caused thereby and it shall be his responsibility to perform any and all remedial work to correct same at no additional cost to the Owner.

Preparation for Abandonment via Flowable Fill

- A. Have fill mix design reports and other submittals approved by the Engineer prior to start of placement. The Contractor shall notify the Engineer at least 24 hours in advance of grouting with flowable fill.
- B. Select fill placement equipment and follow procedures with sufficient safety and care to avoid damage to existing underground utilities and structures and operate equipment at pressure that will not distort or imperil portion of work, new or existing.
- C. Clean sewer lines and video with closed circuit television to identify connections, locate obstructions, and assess condition of pipe. Locate previously unidentified connections, which have not been redirected and reconnected as part of this project, and report them to the Engineer. During placement of fill, compensate for irregularities in sewer pipe, such as obstructions, open joints, or broken pipe to ensure no voids remain unfilled.
- D. Perform demolition work prior to starting fill placement. Clean placement areas of sewers and manholes of debris that may hinder fill placement. Remove excessive amounts of sludge and other substances that may degrade performance of fill. The Contractor shall

not leave sludge or other debris in place if filling more than 2 percent of placement volume.

- E. Remove free water prior to starting fill placement.

Equipment for Flowable Fill

- A. Mix flowable fill in automated batch plant and deliver it to site in ready-mix trucks. Performance additives may be added at placement site if required by mix design.
- B. Use concrete or grout pumps capable of continuous delivery at planned placement rate.

Installation of Flowable Fill

- A. Abandon existing sewer lines as shown on the plans with flowable fill.
- B. Place flowable fill to fill entire volume of existing pipe between removed sanitary manholes as shown on the plans. Continuously place flowable fill from manhole to manhole with no intermediate pour points, but not exceeding 500 feet in length.
- C. Have filling operation performed by experienced crews with equipment to monitor density of flowable fill and to control pressure.
- D. Temporarily plug sewer lines which are to remain in operation during pouring/pumping to keep lines free of flowable fill.
- E. Pump flowable fill through bulkheads constructed for placement of two 2-inch PVC pipes or use other suitable construction methods to contain flowable fill in lines to be abandoned. These pipes will act as injection points or vents for placement of flowable fill.
- F. Place flowable fill under pressure flow conditions into properly vented open system until a steady flow of flowable fill emerges from vent pipes. The pipe will not be considered full until this condition exists. Pump flowable fill with sufficient pressure to overcome friction and to fill sewer from downstream end, to discharge at upstream end.
- G. Inject flowable fill through replaced ballast using grouting equipment and series of grout pipes discharging at bottom of placement, allowing fill to rise through ballast effectively filling all voids. Alternatively, sequentially place individual pieces of ballast at same time as flowable fill is placed. Do not fill with ballast more than 50 percent of volume at any level, to prevent nesting and void formation.
- H. Remediate placement of flowable fill which does not fill voids in sewer, or where voids develop due to excessive shrinkage or bleeding of fill, by using pressure grouting either from inside sewer or from surface.

- I. Plug each end of sewer being abandoned.
- J. When using grout plug, place temporary plug or bulkhead approximately 12 inches inside pipe. Fill pipe end completely with dry-pack grout mixture.
- K. When using manufactured plug or cap, install fitting as recommended by manufacturer's instructions, to form water tight seal.
- L. Backfill to surface, above pipe or structures left in place, with flowable fill in restricted areas, compacted bank run sand in unrestricted areas to be paved or select fill in unrestricted areas outside of pavement.
- M. Collect and dispose of excess flowable fill material and other debris.

Method of Measurement: The work for "Abandon Sanitary Sewer", being paid for on a lump sum basis, will not be measured for payment.

Basis of Payment: This work will be paid for at the contract Lump Sum price bid for Abandon Sanitary Sewer. The price shall include the cost of ensuring no live connections (INCLUDING television or Visual Inspection), all flowable fill, ballast, cutting, plugging, flushing pipes, excavation, sheeting, shoring, dewatering, or incidental expense necessary for the plugging of abandoned sanitary sewers or any other requirement herein and all materials, equipment, tools, labor and work incidental to or necessary for the completion of the Item.

Pay Item	Pay Unit
Abandon Sanitary Sewer	L.S.

ITEM #1401980A – 8” PVC PIPE (SANITARY SEWER)

Description: The work under this item consists of the furnishing of all plant, labor, equipment, appliances and materials in performing all operations in connection with the construction of polyvinyl chloride (PVC) sewer pipe, hereinafter referred to as PVC pipe, at the locations and to the lines and grades indicated on the plans and/or as directed, including all pipe, pipe fittings and accessories, bedding material, geotextile material (separation – high survivability), excavation and backfill, testing of pipelines and material tests, jointing and jointing materials, television inspection of newly installed sewers, services of manufacturer's representatives and all other related and appurtenant work, complete in place and accepted, in accordance with the drawings and specifications and/or as directed by the Engineer. Also included will be the design, construction, maintenance and removal of a temporary water handling cofferdam and necessary dewatering within the excavation as required for the installation of the sanitary sewer.

Materials: Materials for this work shall conform to the following requirements:

- A. The material required by this section of the Specifications shall be new and unused type PSM, SDR-35 Poly(vinyl Chloride) (PVC) sewer pipe with integral bell-and-spigot joints. Pipe and fittings shall meet and/or exceed all of the requirements of ASTM Specification D 3034, latest revision.
- B. Standard pipe lengths shall be 20 feet and 12.5 feet, with a tolerance of one inch. PVC sewer pipe shall meet the following dimensional tolerances given in inches.

<u>Nominal Size</u>	<u>Outside Diameter Average</u>	<u>Tolerance</u>	<u>Min. Wall Thickness</u>	<u>Wgt/lbs 20' length</u>
8	8.400	± 0.012	0.240	82.5

- C. Each length of pipe and each fitting shall be provided with integral bell-and-spigot ends and accurate joint surfaces. The joint shall be sealed by an Elastomeric gasket so that the joint will remain watertight under all conditions of service, including movement due to expansion, contraction and normal settlement. PVC pipe and fitting joints shall meet or exceed the requirements of ASTM Specification D3212, latest revision.
- D. Elastomeric gaskets for sealing joints shall meet or exceed ASTM Specification F 477, latest revision, for high head application (50-ft. head and above) except as modified herein. In addition to meeting or exceeding the above requirements, elastomeric gaskets shall meet or exceed the following requirements when tested in accordance with paragraph 8.7 of ASTM Specification D 1869, latest revision:

Properties After Oil Aging

Tensile strength, average decrease max, percent	35
Elongation, average decrease, max, percent	40
Hardness, average change, points	-10 to + 2
Volume change, average, percent	-1 to + 15

- E. A suitable watertight system shall be used for connection of PVC sewer pipe to manhole walls. The system shall achieve adequate bond with both the manhole and the pipe to prevent failure or leakage due to settlement or pullout of the pipe at the manhole. The Contractor shall furnish details of his/her proposed system of connection of PVC pipe to manholes prior to shipment of pipe or manholes to the project for review and approval by the Engineer.
- F. Bedding Material:
- a. Fine Gravel shall consist of clean, hard and durable particles or fragments of rock and shall be free from clay, organic matter and other objectionable material. Fine gravel shall conform to the requirements of M.02.01 and M.02.06 Grade C of the Form 817.
 - b. Crushed stone shall meet the requirements of M.01.01 of the Form 817 for ¾” crushed stone.
- G. Granular Fill shall meet the requirements of Article M.02.01.
- H. Geotextile material shall meet the requirements of Sub section 7.55.02 of the Form 817 and shall be on the Qualified Product List for Geotextile (Separation – High Survivability).
- I. Where required, a flexible coupling shall be used to connect dissimilar types and sizes of pipe and conform to the following requirements:
- a. Coupling shall provide a positive seal against infiltration and exfiltration;
 - b. The coupling shall be leak proof and resistant to chemicals, ultraviolet rays, fungus growth and normal sewer gases
 - c. The coupling shall be capable of connecting pipes of same or different sizes and materials quickly and easily;
 - d. Conform to ASTM D5926, C1173 and CSA B602
 - e. Clamps shall be stainless steel that are corrosion-resistant and rustproof.

Construction Methods: Construction methods for this work shall conform to the following requirements:

A. Inspection

- a. All pipe, fittings, and accessories shall be carefully inspected by the Contractor for defects before installation and all defective, unsound or damaged materials shall be rejected. The Engineer will make such additional inspection he deems necessary and the Contractor shall furnish all necessary assistance for such inspection.
- b. No pipe joints shall be covered in any way until the joints have been inspected.

B. Preparation

- a. Proper implements, tools and facilities, satisfactory to the Engineer shall be provided by the Contractor for the proper and satisfactory execution of the work.
- b. The interior of pipe and fittings shall be thoroughly cleaned of foreign matter before being lowered into the trench and shall be kept clean during laying operations.
- c. The trench bottom and bedding shall be shaped and compacted to give substantially uniform unyielding circumferential support to the lower quarter of pipe along the entire length of each pipe. Bell holes shall be excavated so that after placement only the barrel of the pipe receives bearing pressure from the trench bottom. Bedding shall be as shown on the details of the Contract Drawings.
- d. Pipe, pipe fittings and accessories shall be handled, stored, installed, jointed and protected by the Contractor in strict accordance with the printed recommendations of the manufacturer of the pipe materials.

C. Temporary Water Handling Cofferdam & Dewatering

- a. Temporary Water Handling Cofferdam and Dewatering shall meet the requirements of Sub-section 2.04.03.
- b. Installation and removal of Temporary Water Handling Cofferdam shall utilize vibratory methods where applicable.

D. Trench Excavation

- a. Trench excavation and backfill shall be performed in accordance with the requirements of Sub section 2.05.03 of the Form 817.

E. Installation

- a. PVC sewer pipe shall be installed in conformance with ASTM Specification D 2321, latest revision.
- b. The Contractor shall furnish to the Engineer for his/her use, copies of the printed recommendations of the pipe manufacturer for the handling, storing, protection and installation of pipe and fittings.
- c. Pipe laying shall proceed upgrade with the spigot ends of bell-and-spigot pipe pointing in the direction of flow.
- d. Each pipe shall be laid true to line and grade and in such manner as to form a close concentric joint with the adjoining pipe and to prevent sudden offsets in the flow line. No spalls, shims or lumps shall be used to raise the pipe to grade. All pipe shall be maintained accurately to the required line and grade. Any pipe that has the grade or joint disturbed after laying shall be relaid. Care shall be taken to insert spigot into bell to the stop line and no further.
- e. Trenches shall be kept free from water so as to prevent flotation of the pipes. Pipelines shall be constructed in dry trenches and shall not be laid when the condition of the trench or the weather is unsuitable for such work. At times when work is not in progress, open ends of pipe and fittings shall be securely closed so that no trench water, earth or other substance will enter the pipe or fittings. Pipes shall not be used as conductors for trench drainage during construction.
- f. All materials found to be defective during the progress of the work will be rejected by the Engineer and the Contractor shall promptly remove such defective material from the job site. All defective material shall be replaced by the Contractor with new sound material at no additional expense to the State. The Contractor shall be responsible for the safe storage of all material.
- g. Joint surfaces shall be protected from damage and shall be kept free from dirt or other foreign material at all times; all joint surfaces shall be free from any defects or materials which would impair the proper jointing and water tightness of joints. Pipe and fittings on which, in the opinion of the Engineer, the joint materials or joint surfaces have been damaged, deformed, indented, marred or otherwise become defective will be rejected and shall be removed from the site and the Contractor shall replace the rejected material with the new sound material, at no additional expense to the State.
- h. Pipe stoppers shall be installed, sealed and blocked in such a manner as to prevent any leakage and so as to withstand an internal hydrostatic pressure of not less than 15 psi; timber blocking shall be of adequate size and arrangement to prevent the

stopper from being blown off the line and timber bracing shall extend back to the undisturbed end of trench.

F. Leakage Tests

- a. The sewers and appurtenant structures connected thereto shall be made as nearly watertight as practicable. Leakage tests will be required for all sewers and manholes. Leakage will be determined by low pressure air tests as specified herein and as directed by the Engineer. Manholes shall meet the leakage test requirements of Item #1403001A "Manhole (Sanitary Sewer)" of these Specifications. The Contractor shall do all the work; provide all equipment necessary for the proper performance of leakage tests, at no additional expense to the State. The Contractor shall furnish the Engineer with certified copies of the leakage test results for the Engineer's review and approval. Not more than 900 linear feet of sewer or three (3) manhole to manhole sewer reaches, whichever is less, shall be constructed without leakage testing the completed work.
 - i. Low pressure air testing shall be undertaken in conformance with ASTM 828 and the following requirements, procedures and criteria. Equipment shall meet the following minimum requirements:
 - o Pneumatic plugs shall have a sealing length equal to or greater than the diameter of the pipe to be tested.
 - o Pneumatic plugs shall be able to resist internal test pressures without requiring external bracing or blocking.
 - o All air used shall pass through a single control panel.
 - o Three individual hoses shall be used for the following connections:
 - From control panel to pneumatic plugs for inflation.
 - From control panel to sealed line for introducing the low pressure air.
 - From sealed line to control panel for continually monitoring the air pressure rise in the sealed state.
 - The following procedures shall be used in air testing:
 - All pneumatic plugs shall be seal tested before being used in the actual test installation. One length of pipe shall be laid on the ground and sealed at both ends with the pneumatic plugs to be used in the testing. Air shall be introduced into the plugs to be used in the testing. Air shall be introduced into the plugs to 25 psig. The sealed pipe shall be pressurized to 10 psig. The plugs shall hold against the 10 psig pressure without bracing and without movement of the plugs.
 - After a manhole to manhole reach of pipe has been backfilled and cleaned, and the pneumatic plugs are checked by the above procedure, the plugs shall be placed in the line at each manhole and inflated to 25 psig. Low pressure air shall be introduced into

the sealed line until the internal air pressure reaches 3.5 psig minimum greater than the average back pressure of any groundwater that may be over the pipe. Groundwater back pressure shall be determined by measuring the average height of the groundwater table in feet above the invert of the section of pipe being tested. The height in feet shall be divided by 2.3 to determine the pounds of pressure that shall be added to all test pressures. For example, if the average height of groundwater over the pipe invert is 11.5 feet; the pressure to be added would be 5 psig (11.5/2.3 = 5.0). The prescribed pressure drop shall not exceed 1.0 psig from 3.5 psig to 2.5 psig in excess of the groundwater pressure above the top of the sewer. At least two minutes shall be allowed for the air pressure to stabilize. Any necessary adjustments in air pressure shall be made to the internal pressure and an additional two-minute stabilization period shall be allowed.

- After the stabilization period the air hose from the control panel to the air supply shall be disconnected. The portion of line being tested shall be termed "Acceptable" if the time required, in minutes, for a 1.0 psi pressure drop for the section of line being tested is greater than the time shown for the given diameters in the following table:

MINIMUM DURATION FOR AIR TEST PRESSURE DROP

<u>Pipe Diameter</u> (in inches)	<u>Time</u> (min/100 ft)
6.....	0.7
8.....	1.2
10.....	1.5
12.....	1.8
15.....	2.1
18.....	2.4

(For times other than those shown, use)

$$T = K \times (D^2L)/Q$$

D = pipe diameter (inches)

K = 0.000371 (inch-pound units)

L = length of line each pipe size

Q = air loss (ft³/min)

T = time (in minutes) to drop 1.0 psi

- ii. Should the low pressure air tests on any section of the sewers show a rate of leakage exceeding the maximum allowable rate as shown below, the Contractor

shall locate, repair or replace defective joints and work in a manner satisfactory to the Engineer, and retest, at no additional expense to the Department, until the rate of air pressure drop for each section of the sewers being tested does not exceed the rate specified herein.

ALLOWABLE AIR LOSS FOR VARIOUS PIPE SIZES

<u>Pipe Diameter Q (ft³/min)</u> <u>(in inches)</u>
6.....2.0
8.....2.0
10.....2.5
12.....3.0
15.....4.0
18.....5.0

G. Joint Testing and Television Inspection

- a. Television inspection of all newly installed sewer pipe shall be performed by the Contractor as specified herein, regardless of type of pressure testing procedure.
- b. Sewer flow control, including bypassing, required to perform television inspection and pressure testing of individual or multiple consecutive joints, shall be in accordance with Item #1408455A "Temporary Sanitary Sewer Bypass".
- c. The Contractor shall provide an experienced Chief Operator for each of the CCTV testing rigs. The chief Operator shall have a minimum of six (6) months active experience as Chief Operator of similar T.V. testing rigs.
- d. The Department intends to provide full-time resident inspection of all television inspection and pressure testing operations. Therefore, work shall only be performed in the presence of a duly authorized representative of the Department.
- e. Television Inspection Equipment
 - i. Mobile Unit: The television system shall be a self-contained system complete with winches (power and mechanical), cable, closed circuit television camera, monitor, video tape recorder, camera, film, suitable measuring device to accurately determine the position of the camera in the line being televised at all times, and all necessary equipment for the successful completion of television inspection. The camera shall be digital format.

- ii. Video Equipment: The color television camera used for the inspection shall be one specifically designed and constructed to perform such inspection. Lighting for the camera shall be suitable to allow a clear picture for the entire periphery of the pipe. The camera shall be operative in 100% humidity conditions. The camera, television monitor and other components of the video system shall be capable of producing a minimum 500 line resolution video picture. Picture quality and definition shall be to the satisfaction of the Engineer and if unsatisfactory, equipment shall be removed and no payment made for unsatisfactory inspection.
- iii. Pressure Testing Equipment: The basic equipment used shall consist of a joint testing device (such as a packer), and test monitoring equipment. The equipment shall be constructed in such a way as to provide means for introducing air under pressure, into the VOID area created by the expanded ends of the joint-testing device and a means for continuously measuring the actual static pressure of the air within the VOID area.
 - 1. VOID pressure data shall be transmitted electrically and without the use of the test medium or hoses. All test monitoring shall be above ground and in a location to allow for simultaneous continued observation of the television monitor and test monitoring equipment by the Engineer's representative.
 - 2. The Contractor shall supply a test cell in order to ensure accuracy of the testing equipment.

f. Pressure Testing and Television Inspection

- i. The technique for sewer line joint testing and television inspection is used to test the integrity of individual pipe joints. Testing will not be required on visibly leaking joints. Joints with visible infiltration shall be repaired immediately.

Joint test pressure shall be 3 psi higher than the groundwater pressure, if any, outside the pipe. Groundwater pressure may be determined by positioning the testing device on a visibly infiltrating joint and measuring the resulting VOID pressure with the VOID pressure monitoring equipment.

In the absence of groundwater pressure data, the test pressure shall be equal to 1/2 psi per vertical foot of pipe depth or 3 psi, whichever is greater. In no case, however, shall a pressure exceed 10 psi.

- ii. Prior to starting the joint testing phase of the work, a two-part Control Test shall be performed, as follows:

1. To insure the accuracy, integrity and performance capabilities of the testing equipment, a demonstration test will be performed in a test cylinder above ground. The test cylinder shall be constructed in such a manner that a minimum of two known leak sizes can be simulated. This technique will establish the test equipment performance capability in relationship to the test criteria and insure that there is no leakage of the test medium from the system or other equipment defects that could affect the joint testing results. If this test cannot be performed successfully, the Contractor shall be instructed to repair or otherwise modify his/her equipment and re-perform the test until the results are satisfactory to the Engineer. This test may be required at any other time during the joint testing program if the Engineer suspects the testing equipment is not functioning properly.
 2. After entering each manhole section with the test equipment, but prior to the commencement of joint testing, the test equipment shall be positioned on a section of sewer pipe between pipe joints, and a test performed as specified. This procedure will demonstrate the reality of the test requirement, as no joint will test in excess of the pipe capability.
- iii. Each sewer pipe joint which is not visibly leaking shall be individually tested at the above-specified test pressure (not exceeding a test pressure of 10 psi) in accordance with one of the following procedures:
1. The testing device shall be positioned within the line in such a manner as to straddle the pipe joint to be tested.
 2. The testing device and elements (sleeves) shall be expanded so as to isolate the joint from the remainder of the line and create a VOID area between the testing device and the pipe joint. The ends of the testing device shall be expanded against the pipe with sufficient inflation pressure to contain the air within the VOID without leakage past the expanded ends.
 3. Air shall then be introduced into the VOID area until a pressure equal to or greater than the required test pressure is observed with the VOID Pressure monitoring equipment. If the required test pressure cannot be developed (due to joint leakage), the joint will have failed the test and shall be repaired as specified.
 4. After VOID pressure is observed to be equal to or greater than the required test pressure, the air flow shall be stopped. If the VOID pressure decays by more than 2 psi within 15 seconds (due to joint

leakage), the joint will have failed the test and shall be repaired as specified.

5. The Engineer may direct the operator to position the air-inflatable sleeves along a clean barrel of pipe to verify that the unit is holding pressure.
6. The Engineer shall determine which joints pass and fail the pressure test based on compliance with these specifications. A daily log of work accomplished shall be duly recorded and acknowledged by the Engineer and the Contractor's superintendent.
7. Should the low pressure air tests on any joint show a rate of leakage exceeding the maximum allowable rate as indicated or if the television inspection show a visibly leaking joint, the Contractor shall locate, repair or replace defective joints and work in a manner satisfactory to the Engineer, and retest, at no additional expense to the State, all joints disturbed by the repair until the rate of air pressure drop for each joint of the sewers being tested does not exceed the rate specified herein.

iv. Television Inspection

The camera shall be moved through the line in either direction at a uniform rate to monitor for defects in the sanitary sewer line joints where water infiltrates, stopping when necessary to insure proper documentation of the sewer's condition, but in no case will the television camera be pulled at a speed greater than 30 feet per minute. Whenever possible, the camera shall be stopped at each joint for 3-5 seconds so viewing for joint infiltration may be performed. The recording speed shall be no greater than "LP" or 240 minutes using a T-120 tape cartridge. Manual winches, power winches, TV cable and powered rewinds or other devices that do not obstruct the camera view or interfere with proper documentation of the sewer conditions shall be used to move the camera through the sewer line.

v. Records

Documentation of television inspection results shall be as follows:

1. Television Inspection Logs: Printed location records shall be kept by the Contractor and will clearly show the location, in relation to adjacent manholes, of each leaking joint discovered by the television camera. A copy of such records will be supplied to the Engineer.

2. Digital Recordings: The purpose of digital recordings shall be to supply a visual and audio record of the lines that may be replayed both daily and at future presentations. Digital recording playback shall be at the same speed that it was recorded. Slow motion or stop motion playback features shall be supplied at the option of the Engineer.
- vi. During the joint testing program, complete records shall be kept, recording the location of the line section in which the testing is being done, the location of each joint tested, the test pressures used, flow rates of the test liquid and the test results. A specific statement shall be included to indicate if the referenced joint passed or failed the test.

H. Displacement and Alignment Tests

- a. Sewers shall be checked by the Contractor to determine whether any displacement or deflection of the pipe has occurred, after the trench has been backfilled. A "GO-NO-GO" mandrel shall be drawn through the pipe not less than 90 days after installation. Maximum allowable deflection shall be 7½% of the base inside diameter. If the interior of the pipeline shows poor alignment, displaced or deflected pipe or any other defects, the defects designated by the Engineer shall be corrected to the satisfaction of the Engineer, at no additional expense to the State.

Method of Measurement: 8" PVC Pipe (Sanitary Sewer) will be measured for payment by the number of linear feet measured in place along the invert of the piping, complete in place and accepted. In measuring the lengths of PVC pipe for payment, the spaces occupied by manholes and wye or tee branches will be included. Trench Excavation/Backfill, Bedding Material, Geotextile Material, Temporary Water Handling Cofferdam and Dewatering will not be measured for payment.

Basis of Payment: The quantity of PVC sewer pipe measured in place as provided in the preceding paragraph, will be paid for at the Contract unit price per linear foot, as listed in the bid; which price and payment shall constitute full compensation for furnishing and installing all pipe, accessories, pipe plugs, the hauling, handling, and laying of the pipe, trench excavation and backfill, granular fill, furnishing and placing bedding material, geotextile material, design, installation, maintenance and removal of temporary water handling cofferdam, dewatering and for installing all materials, for jointing, for leakage tests, for television inspection of newly installed pipe, for testing of all materials, for furnishing services of manufacturer's representatives and for all labor, equipment, tools, materials and all other costs incidental and necessary to complete the item as specified, as indicated and as directed by the Engineer.

ITEM #1403001A – MANHOLE (SANITARY SEWER)

Description: The work and materials required by this section of the Specifications consist of furnishing all materials and the construction of sewer manhole structures at the locations, to the grades, to the details indicated on the plans and/or as directed by the Engineer, including precast reinforced concrete manhole bases, risers, transition sections, tops and grade rings, drop inlet connections, frames and covers, brick masonry for manhole inverts, all jointing and jointing materials, manhole steps, concrete fill, excavation and backfill and appurtenant work for construction of the manhole structures, complete in place and accepted.

Materials: Materials for this work shall conform to the following requirements:

- A. Precast reinforced concrete units shall be in accordance with the requirements of Sub-section M8.02 of the Form 817 and as follows:
1. Each manhole base, riser, transition section, and top shall be constructed with a bell-and-spigot or tongue-and-groove and grade rings shall be manufactured by the centrifugal, roller suspension or vertical cast process; workmanship and methods shall be in accordance with the best practices of modern shops for this type of work.
 2. The height and diameter of manhole bases shall be as required to accommodate the size of sewer pipe used.
 3. The manhole risers shall be available in 2-, 3-, and 4-foot lengths; manhole tops of the eccentric cone type shall be 3-, or 4-foot high with an inside diameter opening at the top sized and coordinated to accommodate the manhole frame and cover required; manhole tops of the flat slab type, for use where shallow installations do not permit use of a cone type top or where directed, shall be not less than 6 inches thick, and shall have an opening having an inside diameter as described above. Precast concrete grade rings shall be 6 inches wide with inner and outer diameters allowing for full bearing on manhole top.
 4. The transition sections shall be similar to the tops and used as reducers to join the larger bases with the 4-foot diameter risers. The transition sections shall be of the length required and have a 4-foot opening at the top.
 5. Manholes steps shall be provided in precast manhole base and riser sections, in brick masonry walls and in cast-in-place concrete bases of manhole structures. Manhole steps shall be arranged in the manhole bases, transition sections, risers and cones so as to provide a manhole step ladder approximately 12 inches on center for the full height of installation. Manhole steps shall be copolymer polypropylene plastic coated 1/2" grade 60 steel reinforced step in conformance with ASTM C-478 paragraph 11 as revised.

6. Wall thickness of manhole risers shall be not less than 5 inches; wall thickness of manhole transition sections and cone type tops shall not be less than 5 inches at the base and shall taper to a thickness of not less than 8 inches at the top.
7. The exterior surfaces of all manholes shall be shop coated with two coats of coal tar coating suitable for below-grade environments and applied per manufacturer's instructions.

B. Openings in manhole bases and risers

1. Openings for pipes entering manhole bases and risers shall be provided at the locations and to the arrangements and dimensions shown on the approved shop drawings.
2. All openings in manhole bases and risers shall be provided with a prefabricated mechanical type joint seal between manhole walls and entering pipes.
3. The joint seal shall be of a type to insure watertight jointing between manhole and pipes under all conditions of installation; the type of joint seals to be used shall be subject to approval and shall be as shown on the approved shop drawings.
4. The types and details of manhole bases shall be as indicated.

C. Jointing of Manhole Bases, Transition sections, Risers and Tops

1. Ends of each length of manhole base, riser pipe, transition section and bottom end of manhole top of the cone type shall be provided with bell-and-spigot or tongue-in-groove ends of concrete formed on machined rings to insure accurate joint surfaces.
2. The joints shall be the type using a Type A, rubber gasket for sealing the joints; all joints shall be provided so as to be watertight under all conditions of service. One continuous loop shall be used at each joint.
3. The ends of bases, transition sections, risers and cones to be jointed using a Type A, rubber type joints shall be designed to enclose the gasket on four surfaces when the joint is in its final position.

D. Gaskets for sealing joints

1. The rubber gaskets shall be Type A having a texture to assure a watertight and permanent seal and shall meet or exceed all of the requirements of AASHTO Specification M198, latest revision.

2. Each gasket shall be extruded or molded and cured in such a manner that any cross section will be dense, homogeneous, and free from blisters, porosity and other imperfections.
3. The rubber joint sealing gasket shall be fabricated from a high grade rubber compound and of a composition and texture which shall be resistant to sewage, industrial wastes including oils and groundwater, and which will endure permanently under the conditions likely to be imposed by this use.
4. The tensile strength shall be at least 1,200 psi. The minimum elongation at break shall be 350 percent. The compression set shall not exceed 25 percent of the original deflection. The tensile strength after accelerated aging shall be not less than 85 percent of the original strength.

E. Absorption

1. Absorption is to be determined by absorption test described in ASTM Designation C 478, latest revision, and shall not exceed 8 percent of dry weight.

F. Brick

1. Brick for manholes shall conform in all respects to ASTM Designation C 32, Grade SM, latest revision, size 2-1/2 inches by 3-3/4 inches by 8 inches.
2. Bricks that are broken, warped, cracked or of improper size or quality or unduly chipped or otherwise defective shall not be used in the work and shall be removed from the site.

G. Mortar Plaster

1. Mortar and plaster for brick work shall be composed of one part Portland cement and two parts sand with only sufficient water added to make a stiff plastic mortar of a consistency and texture satisfactory to the Engineer.
2. Mortar shall be used so that it will be in place before the initial setting of cement has taken place; retempering of mortar in which the cement has started to set will not be permitted.

H. Manhole Frame and Cover

1. Gasketed gray-iron manhole frames and covers shall be Model 1322Z and 1322A respectively as manufactured by EJ Company or approved equal. Shop drawings shall be submitted to the Engineer for approval before fabrication.

- i. Gray iron castings shall be Class 35B conform to the requirements of ASTM A48, latest revision. Iron castings shall be true to pattern in form and dimensions, free from pouring faults, sponginess, cracks, blowholes and other defects in positions effecting the strength and value for the service intended.
 - ii. Gray iron castings shall have a Heavy Duty load rating meeting the requirements of AASHTO HS25.
2. The date (ie., 02-22-18) shall be cast into each casting, both the frame and cover.
3. Test bars shall be made from the pour of the castings made for this project. Two test bars for a day's pour shall be made and tested per ASTM A48 standard. A tensile test shall be part of the testing data. The Engineer, at the Department's option, shall witness the strength tests conducted at the factory or at a certified laboratory. Certified test results shall be submitted to the Engineer for review and approval prior to shipment. All testing shall be done at no additional expense to the State.
4. The sets of frames and covers shall be the weight $\pm 3\%$ as specified on the drawings and certification as to proof of weight shall be submitted.
5. The rubber used in the self-sealing cover shall be a style #10 commercial neoprene compound, adaptable to extreme weather conditions, resist rotting, checking, and cracking sue to ozone exposure. It shall meet ASTM D-2000 material: EPDM, color: black, 60 durometer. Material shall be able to withstand exposure to petroleum products. Dimensions are as shown on the detail sheets. An adhesive shall be used to fasten the rubber gasket to the cover. The adhesive shall be an industrial type, Scotch-Grip (TM) 4799 as manufactured by 3M or approved equal.

I. Manhole Frame Seal

1. Manhole frame sealing includes the sealing of the frame joint area and the chimney above the cone of the manhole with an applied flexible seal. The seal shall be a two component, flexible, high strength, corrosion resistant epoxy/urethane hybrid product used for sealing/repairing sanitary sewer manhole chimney sections and joints, either interior or exterior. The seal shall be designed to prevent leakage of water into the manhole through these areas throughout a 20-year design life. The seal shall remain flexible, allowing repeated vertical movement, or other causes and/or repeated horizontal movement of the frame due to thermal movement of the pavement or other causes of up to 1/2-inch. The seal may not be applied to corbel that has been altered until 2 hours minimum has elapsed to allow for the mortar to setup.

An applied seal is one that is achieved by applying a product, approved by the Engineer between the chimney and under the frame to provide a seal that meets the performance criteria contained in this section. All of the surfaces that are to be sealed shall be clean, dry, and free of dust, rust, oil, loose material and other contaminants.

If the applied sealing product is the type of material that is applied to the complete surface of the frame where the product is applied by trowel, roller, or by spraying, the thickness shall not be less than 250 mils (1/4 inch). The sealing material shall extend far over the entire surface area of the frame to insure bonding and cover enough of the chimney to insure sealing.

If the applied seal utilizes the elastomeric polyurethane resin-soaked oakum method, each joint shall consist of two concentric rings of 1 inch oakum. The twisted pair diameter shall be measured from a rested or natural state. The outer ring shall be saturated with the urethane base foam chemical sealing material. The inner ring, saturated with water, shall be placed to prevent urethane foam from entering the manhole. The oakum saturated with urethane shall be sprayed with water. When foaming begins, the frame shall be set in place.

Application procedures shall be in accordance with the manufacturer's instructions.

Construction Methods: Construction and installation of sanitary manholes shall in accordance with the applicable requirements of Sub Section 5.07.03 of the Form 817 and as follows:

A. Inspection

1. Acceptance of precast reinforced concrete manhole bases, transition sections, risers, tops and grade rings will be made on the basis of plant tests, material tests and inspection of the completed product, in accordance with ASTM Designation C 478, latest revision, with the following modifications:
 - i. Manhole bases, transition sections, risers, tops and grade rings shall not be shipped for at least five days after manufacture when cured by subjecting them to thoroughly saturated steam at a temperature of 100-150 degrees F for a period of not less than 8 hours, or when necessary for such additional time as may be required to enable the manhole bases, transition sections, risers, tops and grade rings to meet the specification requirements.
 - ii. All manhole bases, transition sections, risers, tops and grade rings will be inspected upon delivery; manhole bases, transition sections, risers, tops and grade rings which do not conform to specification requirements will be rejected and shall be removed immediately from the site by the Contractor. The Contractor shall furnish all labor and facilities necessary to assist the inspector in inspecting the material.

- iii. All manhole bases, transition sections, risers, tops and grade rings which have been damaged after delivery and manhole bases, transition sections, risers, tops and grade rings installed in the work which are found to be damaged will be rejected and shall be removed and replaced by the Contractor with new, sound and approved material, at no additional expense to the Department. At the time of inspection, the surfaces of bases, transition sections, risers, tops and grade rings shall be dense and close-textured. Cores shall serve as a basis for rejection of manhole bases, transition sections, risers and tops if poor bond with reinforcement steel exists or reinforcement is exposed.
- iv. The quality of all materials, processed of manufacture, and the finished manhole bases, transition sections, risers, tops and grade rings shall be subject to inspection and approval by the Engineer. Such inspection may be made at the place of manufacture and/or on the site, and the manhole bases, transition sections, risers, tops and grade rings shall be subject to rejection at any time on account of failure to meet any of the specification requirements, even though sample manhole bases, transition sections, risers, tops and grade rings may have been accepted as satisfactory.

B. Installation

1. Excavation will be performed in accordance with the requirements of Sub Section 2.05.03 of the Form 817.
2. Each manhole base, transition section, riser, top and grade rings shall be eased into its position in the trench only in such manner and by such means as recommended by the manufacturer of the manhole bases, transition sections, risers, tops and grade rings, and as approved. The Contractor shall provide all necessary slings, straps and other devices for the safe and satisfactory handling and support of manhole bases, transition sections, risers, tops and grade rings during lifting, installation and final positioning of the bases, transition sections, risers, tops and grade rings. Lifting holes may be permitted provided the holes are plugged and sealed watertight with mortar, all as approved.
3. Manhole bases, transition sections, risers and tops shall be installed using approved Type A, rubber gaskets for sealing joints of manhole bases, transition sections, risers, and top. Jointing shall be performed in accordance with the manhole manufacturer's recommendations, and as approved. Manhole bases, transition sections, risers, and tops shall be installed level and plumb. Water shall not be permitted to rise over newly made joints until after inspection and acceptance. All jointing shall be done in a manner to ensure watertight joints.
4. The cast-iron manhole frames shall be set on the manhole top to the finished grade indicated or directed. The joint between the frame and concrete block masonry or precast concrete grade ring shall be made watertight by a flexible seal

as described in Materials – C. Jointing of Manhole Bases, Transition sections, Risers and Tops of this Special Provision.

5. Openings shall be provided in the precast manhole bases and risers to receive entering pipes, and these openings shall be made at the place of manufacture. The openings for all entering pipes shall be provided with the approved type mechanical joint sealing device shown on the approved shop drawings and the installation of pipes entering the manholes and the installation of the mechanical joint sealing device made in strict conformance with the manhole manufacturer's printed recommendations and so as to obtain watertight joints between manholes and pipe and in a satisfactory manner. Eight copies of the manufacturer's printed recommendations shall be furnished to the Engineer.
6. Care shall be taken to assure that the openings are made to permit setting of the entering pipe at its correct elevation as indicated or directed. Damaged bases and risers by jointing devices will be rejected and shall be replaced by the Contractor at no additional expense to the Department.
7. Manhole bases, transition sections, risers and tops shall be installed so that the manhole steps are in alignment.
8. Manhole steps shall be installed in accordance with the requirements of the U.S. Department of Labor, Occupational Safety and Health Administration, CFR 29, Part 1910.27g, as amended.

C. Masonry Construction:

1. Concrete block masonry shall include precast concrete grade rings for extending manhole walls to grade, when directed; formed brick masonry for constructing manhole inverts and invert tables, cement-mortar plaster on exterior surfaces of masonry walls, mortar, building-in or manhole steps and pipes and appurtenant work.
2. Brick masonry shall be provided to the details and dimensions indicated or as directed. All exterior surfaces of brick masonry manhole walls, except where noted, shall be plastered with a 1:2 Portland cement and sand mortar plaster to provide a minimum thickness of 1/2 inch; mortar plaster shall be applied with sufficient pressure to ensure a dense plaster completely filling all voids and thoroughly bonded to the brick work.

The concrete block masonry or precast concrete grade rings, extending above the precast concrete manhole cone to the manhole frame, shall be sealed as described in Materials, Section I of this Section of these Specifications

3. Inverts shall have a cross section shaped to conform to connecting sewers; changes in size shall be made gradually and evenly.
4. Brick masonry construction shall be done in a manner to ensure watertight construction and all leaks in brick masonry shall be sealed. Brick masonry shall be repaired or replaced so as to obtain watertight construction at no additional expense to the Department.
5. All workmanship shall conform to the best standard practice and all brick masonry shall be laid by skilled workmen. Brick masonry walls shall be constructed to the thickness indicated. All beds on which masonry is to be laid shall be cleaned and wetted properly. Brick shall be wetted as required and shall be damp but free of any surface water when placed in the work.
6. Bed joints shall be formed of a thick layer of mortar which shall be smoothed or furrowed slightly. Head joints shall be formed by applying to the brick to be laid a full coat of mortar on the entire end or on the entire sides as the case requires, and then shoving the mortar-covered end or side of the brick tightly against the bricks laid previously; the practice of buttering at the corners of the brick and then throwing mortar or scrapings into the empty joints will not be permitted. Dry or butt joints will not be permitted. Joints shall be uniform in thickness and shall be approximately 1/4 inch thick. Joints on the inside face of walls shall be tooled slightly concave with an approved jointer when the mortar is thumbprint hard, the mortar shall be compressed with complete contact along the edges to seal the surface of the joints.
7. Brickwork shall be constructed accurately to dimensions shown and masonry work at top of manholes shall be to the dimensions of the flange of the cast iron frames. Full height of concrete block or precast concrete grade rings below flange of cast iron frames shall not exceed 12".
8. No water shall be allowed to flow against brickwork or to rise on the masonry for 60 hours after it has been laid and any brick masonry damaged in this manner shall be replaced as directed at no additional expense to the Department.
9. Adequate precautions shall be taken in freezing weather to protect the masonry from damage by frost.
10. All pipes, or castings to be embedded in the brickwork shall be accurately set and built-in as the work progresses; pipe stubs shall be closed with suitable plugs in an approved manner.
11. The outside face of all brickwork, except where noted, shall be plastered to the thickness and using the mortar specified herein; plaster shall be troweled to a

smooth, hard finish and no backfill shall be placed until the mortar has thoroughly hardened.

D. Trench Excavation

1. Trench excavation and backfill shall be performed in accordance with the requirements of Sub section 2.05.03 of the Form 817.

E. Leakage Tests

1. Leakage tests shall be made by the Contractor at his/her expense and observed by the Engineer on each manhole. The test shall be by vacuum in accordance with ASTM Specification C-828-80. Notarized records of the test results shall be submitted by the Contractor to the Engineer for approval. No payment shall be made for manholes installed until the notarized test results have been approved by the Engineer.
2. The testing shall be done immediately after assembly of the manhole and before backfilling. All lift holes shall be plugged with a non-shrinking mortar, as specified in this Specification. The Contractor shall plug the pipe openings, taking care to securely brace the plugs and the pipe to prevent the pipes from being drawn into the manhole. A vacuum of 10 inches Hg (4.9 psi) shall be drawn and the vacuum pump shut off. The test shall pass if the vacuum remains at 10-inches of Hg or drops to 9 inches Hg (4.4 psi) in a time greater than three minutes.
3. If the manhole fails the initial test, the Contractor shall locate the leak and make proper repairs. Leaks may be filled with a wet slurry of accepted quick setting material. The manhole shall then be retested, repeatedly, if necessary, by the Contractor, until the required conditions are met, at no additional expense to the Department.

Method of Measurement: Manhole (Sanitary Sewer) shall be measured for payment by the actual number of sanitary sewer manholes completed and accepted. Excavation/backfill for manholes will not be measured for payment.

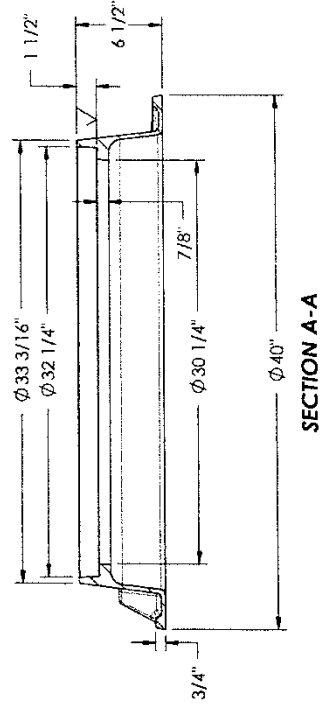
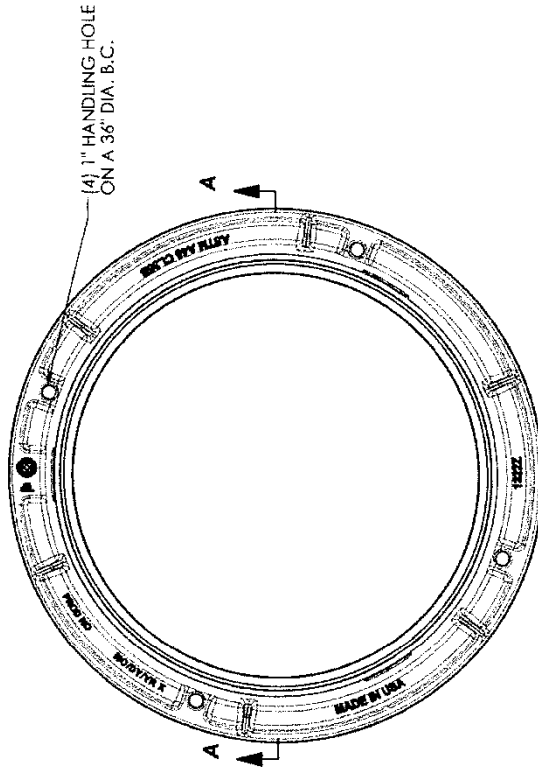
Basis of Payment: Manhole (Sanitary Sewer) measured in place as provided in the preceding paragraph, will be paid for at the contract unit price "each". The payment shall constitute full compensation for furnishing and constructing precast manhole bases, transition sections, risers, cones, flat tops, complete with all types of cast iron frames and covers with locking device (including testing), steps, brick masonry, removal of top half of existing sewer pipe, precast concrete grade rings, for sealing manhole frames to manholes; for furnishing openings and connecting sewer pipelines with seals; for construction of drop inlet connections and appurtenant work, for leakage tests complete in place; excavation and backfill (including

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shores/braces/pumps, etc.), and for all labor, equipment, tools, materials, and all other costs and appurtenant work incidental and necessary to complete the items as specified, as indicated and as directed by the Engineer.



1322Z Frame



Product Number
00132213

Design Features

Materials
- Gray Iron (CL 35B)
- Design Load
- Heavy Duty
- Open Area
- n/a
- Coating
- Undipped

-√ Designates Machined Surface

- Estimated Weight: 143 lbs

Certification
- ASTM A48
- AASHTO M306
- Country of Origin: USA

Drawing Revision
13212011 Designer: JJJ
3/2/2017 Revised By: MAH

Disclaimer
We warrant that the information contained in this drawing is accurate for the purpose intended. We do not warrant the accuracy of the information for any other purpose. We do not warrant the accuracy of the information for any other purpose. We do not warrant the accuracy of the information for any other purpose.

Contact
800-425-4654
ej@ej.com

ITEM #1403501A – RESET MANHOLE (SANITARY SEWER)

Description: Work under this item shall include resetting of Sanitary Manhole tops in conformity with the lines, grades, dimensions and details shown on the plans or as directed.

Materials: Materials shall be in conformance with the requirements of Item #1403001 Manhole (Sanitary Sewer).

Construction Methods: Resetting of Sanitary Manholes shall be in accordance with the requirements of Item #1403001 Manhole (Sanitary Sewer).

Method of Measurement: “Reset Manhole (Sanitary Sewer)” will be measured for payment by the unit.

Basis of Payment: Resetting manholes shall be paid for at the contract unit price each for “Reset Manhole (Sanitary Sewer)”, complete and in place, which price will include excavation, pervious material, backfill, cutting of pavement, removal and replacement of pavement structure, all materials, equipment, tools and labor incidental thereto.

ITEM #1403507A – ALTER EXISTING MANHOLE (SANITARY SEWER)

Description: The work and materials required by this Item consist of performing all operations in connection with the alterations within and making of the coring of cut-ins for pipe entrances at existing manholes, link-seal, plugging existing pipe entrances, rebuilding invert tables, and appurtenant work, complete and accepted, in accordance with the specifications, drawings and as directed by the Engineer. All work, facilities, equipment and temporary construction shall be provided as necessary for the maintaining of and diversion of sewage flows in an approved manner and as required for the construction of the work of this contract.

The sewage flows in the existing pipelines connected to the existing manholes at which alterations are to be made and to which the new pipelines are to be connected shall be diverted for such lengths of time as may be necessary in accordance with the requirements of Item #1408455A “Temporary Sanitary Sewer Bypass”.

Materials: All materials utilized shall conform to the requirements of Item #1403001A Manhole (Sanitary Sewer)

Construction Methods:

A. Cut-Ins For New Pipe Entrances:

1. Cut-ins for openings for new pipe entrances into the existing manholes shall be cored through the wall of the manholes at the required elevation, location and size for providing space for new pipelines to enter into the manholes.
2. The openings shall be of sufficient size and at the proper elevation and location, providing for a uniform annular space between the outside of the pipe wall and the sides of the manhole wall opening to permit proper installation and use of a prefabricated mechanical type joint seal or link-seal between manhole walls and entering pipes.

B. Rebuilding Invert Tables:

1. The invert tables in the existing manholes shall be altered and rebuilt to provide proper flow channels for the flow of sewage through the manholes as required by the new arrangement of piping entering and leaving the manhole as indicated and/or as directed.
2. The new flow channels shall be cut out of the existing invert tables to smooth, uniform cross section and after cutting all surfaces shall be lined with a heavy coating of cement-mortar steel-troweled and rubbed smooth.
3. The complete inverts and flow channels shall present smooth flow conditions, transitions in size and changes in direction.

C. Plugging Existing Pipe Entrances:

The existing pipe entrances at existing manholes which are indicated to be abandoned shall be filled-in solid with brick, concrete and mortar for the full area of the pipe diameter. Prior to filling the pipe entrances, the existing pipes shall be removed from within the manhole walls, the surfaces of the existing masonry shall be cleaned of all dirt, foreign matter and loose material and just prior to placing the brick, concrete and mortar, the surfaces shall be dampened and brush-coated with a neat Portland cement slurry. The openings shall then be completely and solidly filled with the brick, concrete and mortar in an approved manner. The interior face of the manhole walls over the plugged openings shall be covered with a one inch thick coating of mortar plaster, the plaster on the interior face shall finish flush with the existing masonry surface. The plugging and sealing of the pipe openings in the manhole walls shall be made watertight.

Method of Measurement: Alter Existing Manhole (Sanitary Sewer) will be measured for payment as units “each” as listed in the bid, complete in place and accepted.

Basis of Payment: Alterations of existing sanitary manholes measured in place as provided above will be paid for at the contract unit price bid, "each", as listed in the bid, for each manhole altered regardless of number of alterations, which price and payment shall constitute full compensation for furnishing all materials, labor, tools, equipment and accessories for coring cut-ins for new pipe entrances into existing manholes, furnishing and installing prefabricated mechanical type joint seal or link-seal for new pipes, for rebuilding and altering manhole inverts and flow channels in existing manholes to conform with new piping arrangements for furnishing, installing, maintaining and removing all temporary construction and work necessary for the construction of the work, and for all other incidental costs associated with appurtenant work necessary to complete the item as specified, as indicated and as directed by the Engineer.

Diversions of sewage flows will be paid for a under Item #1408455A “Temporary Sanitary Sewer Bypass”.

ITEM #1408455A TEMPORARY SANITARY SEWER BYPASS

Description: The work covered by this Section of the Specifications consists of furnishing all labor, supervision, equipment, appliances and materials and performing all operations in connection with control and maintenance of sewage flow during inspection and/or installation of sewers.

It shall be the responsibility of the Contractor to make provisions to meet all requirements of these specifications and to correct any problems that may arise as a result of pumping and bypassing operations.

Standing sewage/water in manholes/sanitary sewer pipes shall be pumped out when necessary to perform the scheduled alterations and as directed by the Engineer.

The Contractor's intended methods and schedule for diversion and control of sewer system flows shall be submitted for approval to the Engineer and Town of East Lyme prior to starting the work. It is expressly understood and agreed that any approvals given by the Engineer or Town of East Lyme shall not relieve the Contractor of full responsibility for any injury or damage resulting from the Contractor's operations or for complying with all regulations or requirements of State or Local authorities.

Under no circumstances will the Contractor be allowed to discharge temporary bypasses containing untreated sewage to the following:

1. Storm Drains
2. Directly into streets or thoroughfares
3. Surface Waters (directly or by runoff)
4. or anywhere other than the sanitary sewer

Materials: The sewer lines in the designated areas shall be pumped down using pumps designed to handle raw sewage without clogging and of sufficient size and durability to pump the expected flows continuously.

If pumping is required on a 24-hour basis, all engines shall be equipped in a manner to keep the pump noise at a minimum.

The Contractor also shall provide 100 percent backup for his/her system in the event of equipment failure.

Construction Methods:

A. **Plugging or Blocking:** When line plugging is required, a sewer line plug shall be inserted into the line at a manhole upstream from the section in which work is to be performed. The plug shall be so designed that all or any portion of the sewage flows can be released. During the

work, flows shall be shutoff or reduced to within the maximum flow limits as needed and described below. After the work tasks have been completed, flows shall be restored to normal.

B. Pumping and Bypassing: When pumping/bypassing is required, the Contractor shall supply the necessary pumps, conduits, and other equipment to divert the flow of sewage around the manhole section in which work is to be performed. The bypass system shall be of sufficient capacity to handle existing flows plus additional flow that may occur during periods of a rain storm, including infiltration and inflow.

The Contractor will be responsible for furnishing the necessary labor and supervision to set up and operate the pumping and bypassing system.

C. During the installation of sections of the sewer system, it is required that the Contractor maintain sewage flows in the system and from all abutting properties at all times. No sanitary service shall be interrupted by the Contractor except as absolutely necessary and then for only very short periods of time of no more than thirty (30) minutes and then only when coordinated with the affected property owner.

D. Flow Control Precautions: Whenever flows in a sewer line are blocked, plugged or bypassed, sufficient precautions must be taken to protect the sewer lines from damage that might be inflicted by excessive sewer surcharging. Further, precautions must be taken to ensure that sewer flow control operations do not cause flooding or damage to public or private property being served by the sewers involved. The Contractor will be held liable for any damage resultant of his/her flow control operation and will be responsible to repair, replace or otherwise rectify said damage immediately to the satisfaction of the Engineer.

Method of Measurement: This item, being paid for on a lump sum basis, will not be measured for payment.

Basis of Payment: Payment for work under this Section will be at the Contract lump sum price for "Temporary Sanitary Sewer Bypass" required to control sanitary flows in sewer systems which price shall include set-up time, all labor, equipment, plant, materials, supplies, tools and all incidental and appurtenant work necessary to satisfactorily complete work under this Item as specified and directed.

PERMITS AND/OR REQUIRED PROVISIONS:

The following Permits and/or and Required Provisions follow this page are hereby made part of this Contract.

- **PERMITS AND/OR PERMIT APPLICATIONS**

Army Corps of Engineers Self-Verification
Notification

Filing Submitted January 11, 2019

General Permit for Water Resource
Construction Activities

Filing Submitted January 11, 2019

- **Construction Contracts - Required Contract Provisions (FHWA Funded Contracts)**



STATE OF CONNECTICUT
DEPARTMENT OF TRANSPORTATION



2800 BERLIN TURNPIKE, P.O. BOX 317546
NEWINGTON, CONNECTICUT 06131-7546

860-594-2931

January 10, 2019

Ms. Susan Lee
U.S. Army Corps of Engineers
New England District
696 Virginia Road
Concord, MA 01742-2751

Subject: State Project No. 44-157
Bridge No. 06676, U.S. Route 1 over unnamed brook
Town of East Lyme

Dear Ms. Lee:

Enclosed please find one copy of the USACE Appendix E: Self-Verification Notification Form for GP 19 with attachments for your files. A copy has also been submitted to the Connecticut Department of Energy and Environmental Protection. The project was submitted to the USFWS under the final 4(d) streamlined consultation process for the Northern Long-Eared bat on December 12, 2018. Any questions pertaining to this application may be directed to Mr. Andrew H. Davis, Transportation Supervising Planner of my staff, at 860-594-2157.

Very truly yours,

A handwritten signature in blue ink that reads "Kimberly C. Lesay".

Kimberly C. Lesay
Transportation Assistant Planning Director
Bureau of Policy and Planning

Attachments

cc: Nathan Margason – USEPA

Aija Zeidenbergs/az

bcc: Kevin F. Carifa – Andrew Piraneo

Andrew H. Davis – Aaron M. Ferraro

Robert E. Obey – Eileen Ego - DOT.ConstrD2@ct.gov - District 2

Rabih M. Barakat – Louis D. Bacho - Lesgie Ruiz

Thomas M. Ryan – Mark F. Levesque (CJM)



**US Army Corps
of Engineers**
New England District

Appendix E: Self-Verification Notification Form

This form is required for all **non-tidal projects in Connecticut**, but **not** required if work is done within boundaries of Mashantucket Pequot or Mohegan Tribal Lands. **Before** work commences, complete **all** fields (write "none" if applicable); attach project plans (not required for projects involving the installation of construction mats only); and any state or local approval(s); and send to:

Permits & Enforcement Branch B
U.S. Army Corps of Engineers
696 Virginia Road
Concord, MA 01742-2751
or cenae-r@usace.army.mil

and

CT DEEP
Inland Water Resources Division
79 Elm Street
Hartford, CT 06106-5127

State or local Permit Number: TBD
Date of State or local Permit: TBD
State/local Project Manager: TBD

Permittee: State of Connecticut, Department of Transportation
Address, City, State & Zip: 2800 Berlin Turnpike, P.O. Box 317546, Newington, CT. 06131-7546
Phone(s) and Email: (860) 594-2931 Kimberly.lesay@ct.gov

Contractor: TBD by bidding process
Address, City, State & Zip: _____
Phone(s) and Email: _____

Consultant/Engineer/Designer: CDR Group, Inc. / Jonathan Geary
Address, City, State & Zip: 2080 Silas Deane Highway, Rocky Hill, CT. 06067
Phone(s) and Email: (860) 969-3601 Jonathan.Geary@cdrgroup-inc.com

Wetland/Soil Scientist Consultant: Fitzgerald & Halliday, Inc. / Laurel Stegina
Address, City, State & Zip: 416 Asylum Street, Hartford, CT. 06103
Phone(s) and Email: (860) 256-4914 lstealna@fhiplan.com

Project Location (provide detailed description & locus map): Bridge No. 06676, U.S. Route 1 over unnamed brook in East Lyme, CT (located between Heritage Road and Lovers Lane)

Address, City, State & Zip: see location map
Latitude/Longitude Coordinates: 41° 21' 54.36" N, 72° 14' 03.54" W
Waterway Name: unnamed brook

Project Purpose (include all aspects of the project including those not within Corps jurisdiction):
The purpose of the project is to replace the existing pipe, which is structurally deficient and hydraulically inadequate with a 10' x 5' precast concrete box culvert with precast concrete wingwalls to ensure the structural adequacy of U.S. Route 1 over the brook.

Work Description: Stage 1 of the project will involve installation of the water handling facilities and relocation of the existing sewer line. Temporary cofferdams will be installed upstream of the bridge to frame the channel excavation for the proposed sanitary sewer location, and one line of cofferdam will be installed downstream of the bridge. During Stage 2, the bridge will be replaced during a short term road closure and implementation of a detour. The cofferdams will be removed once the precast culvert and wingwalls are installed and all channel work is complete. Stage 3 will involve forming of the parapets and any remaining minor activities.

2016 Connecticut General Permits

Work will be done under the following GP(s) (check all that have associated impacts):

 GP. 2 - Repair or maintenance of authorized or grandfathered structures/fills

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 5 - Boat ramps/marine railways

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

 **GP. 6 - Utility line activities (include calculations for each single & complete crossing
- attach additional sheet if necessary)**

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 9 - Shoreline and bank stabilization projects

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 10 - Aquatic habitat restoration, establishment and enhancement activities

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 11 - Fish & wildlife harvesting, enhancement and attraction devices and activities

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 12 - Oil Spill and Hazardous material cleanup

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 13 - Cleanup of hazardous and toxic waste

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 14 - Scientific measurements devices

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 15 - Survey activities

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 17 - New/expanded developments & recreational facilities

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

_____ GP. 18 - Linear transportation projects- wetland crossings only (include calculations for each single & complete crossing - attach additional sheet if necessary)

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

x _____ GP. 19 - Stream, river & brook crossings – not including wetland crossings (include calculations for each single & complete crossing – attach additional sheet if necessary)

Area of total wetland impacts: temporary ¹⁶ _____ SF permanent ¹² _____ SF
Area of total waterway impacts: temporary ¹⁴ _____ SF permanent ³⁴¹ _____ SF

_____ GP. 21 - Temporary fill not associated with any other GP activities

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

Does your project include any secondary effects? Yes _____ No ^x _____
(Secondary effects include, but are not limited to non-tidal waters or wetlands drained, flooded, fragmented, or mechanically cleared resulting from a single and complete project. See Appendix F - Definitions.) If YES, describe here: _____

Proposed Work Dates: Start: September 2019 Finish: November 2019

Your name/signature below, as permittee, confirms that your project meets the self-verification criteria and that you accept and agree to comply with the applicable terms and conditions in the Connecticut General Permits.

Thomas J. Maziarz
Signature of Permittee

1-9-2019
Date

Project Description

Applicant: State of Connecticut, Department of Transportation
Project No. 44-157
Replacement of Bridge No. 06676 in East Lyme
U.S. Route 1 over unnamed brook

This project involves the replacement of Bridge No. 06676, which carries U.S. Route 1 over an unnamed brook in the Town of East Lyme. Bridge No. 06676, built in 1982, is a 72-inch wide by 44-inch tall asphalt coated corrugated steel plate pipe culvert. There are no formal end treatments at either end of the structure. The arch is topped with approximately 2.5 feet of ballast material. The culvert carries one lane of traffic in each direction with 3'-4' shoulders. There are no sidewalks on the structure or approaches. The curb-to-curb roadway width over the structure is 30 feet. The structure has a 15 degree skew and an overall length of 46 feet. The structure is situated approximately 1.4 miles south of Route 161. The estimated 2015 Average Daily Traffic (ADT) on the structure is 5,500 vehicles, with 5% truck traffic.

Based upon field investigation and engineering analysis of this structure, the existing structure is found to be structurally deficient and hydraulically inadequate. Its structural deficiency is primarily due to the deteriorated condition of the pipe. The corrugated metal pipe culvert has severe laminar rust, section loss and perforations. The structure is hydraulically inadequate due to its inability to pass the 50-year design storm.

The proposed project consists of replacing the existing structure with a 5' x 10' precast concrete box culvert with precast concrete wingwalls. The structure will remain in relatively the same location and the existing roadway profile will remain unchanged. The culvert invert will be buried 1-foot to allow for natural streambed material to be placed inside the structure. The existing sewer line running beneath the culvert will be relocated further upstream of the bridge, while the existing water main located downstream of the structure will be maintained. Aerial facilities will be temporarily relocated further north of the bridge to provide the required clearance for construction equipment. Solid concrete parapets with concrete barrier walls will be installed on either side of the roadway and guiderail will be attached and extend a minimal distance into the approaches. The proposed structure size has been increased for hydraulic adequacy.

Stage 1 of the project will involve installation of the water handling facilities and relocated of the existing sewer line using temporary lane and shoulder closures during off-peak hours. Temporary cofferdams will be installed upstream of the bridge to frame the channel for the proposed sanitary sewer location, and one line of cofferdam will be installed downstream of the bridge. During Stage 2, the bridge will be replaced during a short term road closure utilizing a detour along U.S. Route 1 and Interstate 95. The cofferdams will be removed once the precast culvert and wingwalls are in place, the natural streambed material installation, and channel grading are complete. The total construction duration is anticipated to be 3 months with approximately 2-3 weeks of in-water work.

The contributing drainage area is approximately 0.64 square miles. The channel upstream and downstream of the bridge is well vegetated traveling through forested areas. The streambed is comprised of sand and gravel with cobbles, and various debris. The wetland resources at the site consist of State Regulated Wetlands and Watercourse, and Federally Regulated Waters of the U.S.

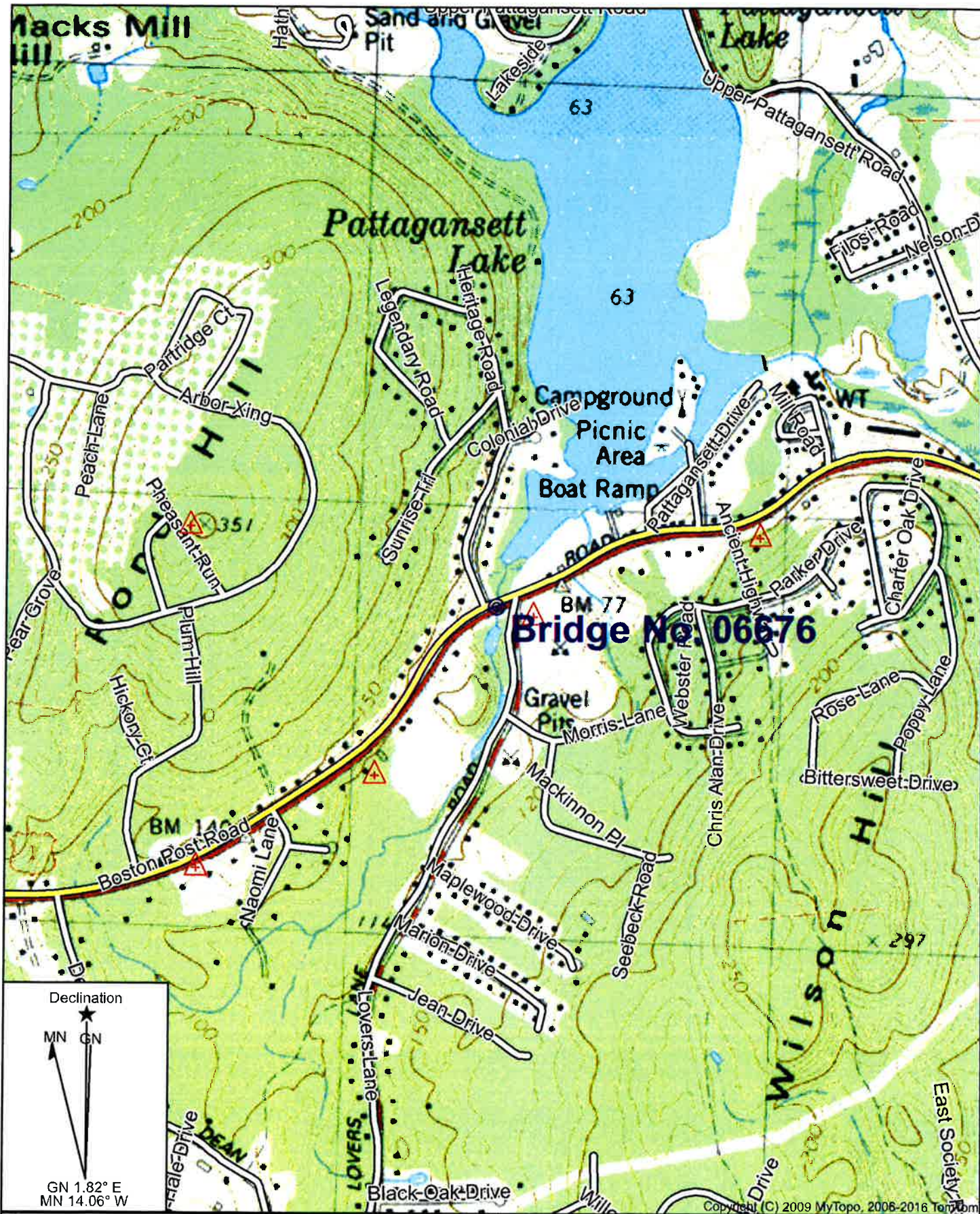
According to the July 18, 2011, Panel No. 09011C0476G, New London County Flood Insurance Rate Map, the project is located within a FEMA Flood Zone X. Impacts to the stream will be minimized through adherence to the Form 817 Section 1.10 Best Management Practices (BMP's) and the 2004 Stormwater Quality Manual. During construction, proper water handling measures will be implemented to allow

work to occur in the areas confined within those water handling devices; additionally work will be performed during typical low flow periods. Sedimentation and Erosion Control Systems will be installed as necessary to limit disturbances to protect the wetlands and watercourses through adherence to the 2002 Connecticut Guideline for Soil Erosion and Sediment Control.

Permanent impacts anticipated to the inland wetlands and watercourses will amount to approximately 353 sq. ft. (0.009 acres). Permanent impacts are as a result of the removal of the existing pipe culvert, and re-grading of slopes near the inlet and outlet of the bridge. Temporary impacts to inland wetlands and watercourses will amount to approximately 30 sq. ft. (0.001 acres). Temporary impacts are a result of the installation of water handling devices.

Permanent impacts to inland wetlands above the Ordinary High Water line will amount to approximately 12 sq. ft. (0.0003 acres). Temporary impacts to inland wetlands above the Ordinary High Water line will amount to approximately 16 sq. ft. (0.0004 acres)

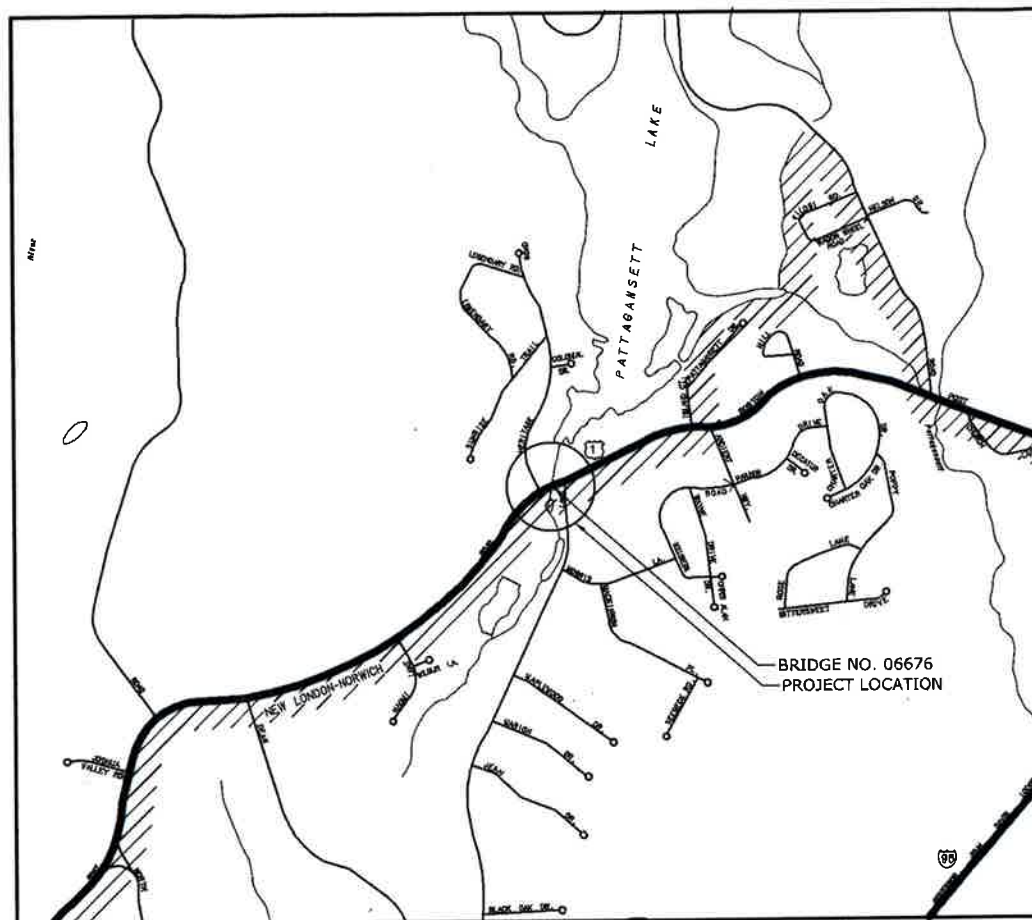
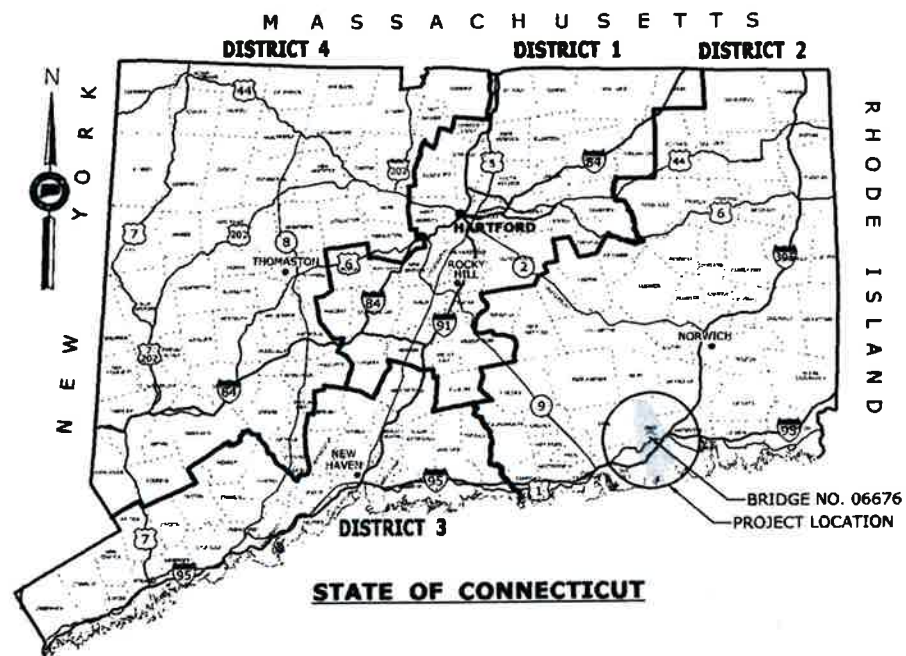
Permanent impacts below the Ordinary High Water line will amount to approximately 341 sq. ft. (0.008 acres). Temporary impacts below the Ordinary High Water line will amount to approximately 14 sq. ft. (0.0003 acres).



Map Name: NIANTIC
 Print Date: 06/14/16
 Scale: 1 inch = 1,000 ft.
 Map Center: 041° 21' 54.36" N, 072° 14' 03.54" W

Bridge No. 06676
 Route 1 over Unnamed Brook
 East Lyme, CT

ENVIRONMENTAL PERMIT PLANS STATE PROJECT NO. 0044-0157 REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK IN THE TOWN OF EAST LYME



LOCATION PLAN

GENERAL NOTES:

1. THESE PLANS ARE INTENDED ONLY FOR ENVIRONMENTAL PERMITTING PURPOSES. THESE PLANS HOLD AUTHORITY FOR ALL ACTIVITIES CONCERNING THE REGULATED AREA. FOR DETAILED PLANIMETRIC INFORMATION AND PAYMENT REFER TO THE APPLICABLE CONTRACT DOCUMENTS.
2. THE DEPARTMENT OF TRANSPORTATION WILL ONLY SUBMIT REVISIONS TO DEEP AND USACE FOR CHANGES TO THE DESIGN THAT WILL AFFECT REGULATED AREAS.
3. FOR A DESCRIPTION OF THE WATERCOURSES, WETLANDS AND WETLAND SOILS SEE RELEVANT SECTIONS OF THE PERMIT APPLICATION.
4. 400 FOOT GRID BASED ON CONNECTICUT COORDINATE SYSTEM N.A.D. 1927 VERTICAL DATUM BASED ON NGVD OF 1929.
5. ALL CONSTRUCTION ACTIVITIES WILL BE CONDUCTED IN ACCORDANCE WITH THE DEPARTMENT'S STANDARD SPECIFICATIONS FOR ROADS, BRIDGE, AND INCIDENTAL CONSTRUCTION, FORM 817, SECTION 1.10 AND WILL ALSO FOLLOW REQUIRED BEST MANAGEMENT PRACTICES (BMPs) AND SEDIMENT AND EROSION CONTROL MEASURES IN ACCORDANCE WITH THE 2002 EROSION & SEDIMENTATION CONTROL GUIDELINES AND THE 2004 STORMWATER QUALITY MANUAL.
6. WETLANDS DELINEATION BY FHI ON OCTOBER 2016.



LIST OF DRAWINGS	
DRAWING NO.	DRAWING TITLE
PMT-01	TITLE SHEET
PMT-02	GENERAL SITE PLAN
PMT-03	WETLAND/WATERCOURSE IMPACT PLAN
PMT-04	STRUCTURE PLAN, SECTION, AND ELEVATION
PMT-05	STAGING/HANDLING WATER PLAN
PMT-06	STAGING/HANDLING WATER PLAN

DESIGNED BY:
CDR GROUP INC.



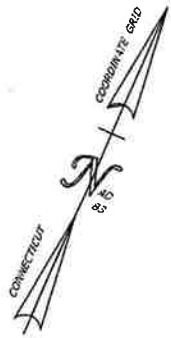
Jonathan
Geary
2018.12.31
10:06:08 -05'00'

PLAN DATE: DECEMBER 31, 2018

<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">REV.</th> <th style="text-align: left;">DATE</th> <th style="text-align: left;">REVISION DESCRIPTION</th> <th style="text-align: left;">SHEET NO.</th> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> </table>	REV.	DATE	REVISION DESCRIPTION	SHEET NO.					<p>DESIGNER/DRAFTER: P. GRISWOLD</p> <p>CHECKED BY: J. GEARY</p> <p>SCALE AS NOTED</p>	 <p>STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION</p> <p>File name: ...:\HW MSH 0044-0157 PMT-01.dgn</p>	 <p>CDR Group, Inc. 2080 Silas Deane Highway Rocky Hill, CT 06067</p>	<p>PROJECT TITLE:</p> <p>REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK</p>	<p>TOWN:</p> <p>EAST LYME</p> <p>DRAWING TITLE:</p> <p>TITLE SHEET</p>	<p>PROJECT NO. 44-157</p> <p>DRAWING NO. PMT-01</p> <p>SHEET NO.</p>
REV.	DATE	REVISION DESCRIPTION	SHEET NO.											

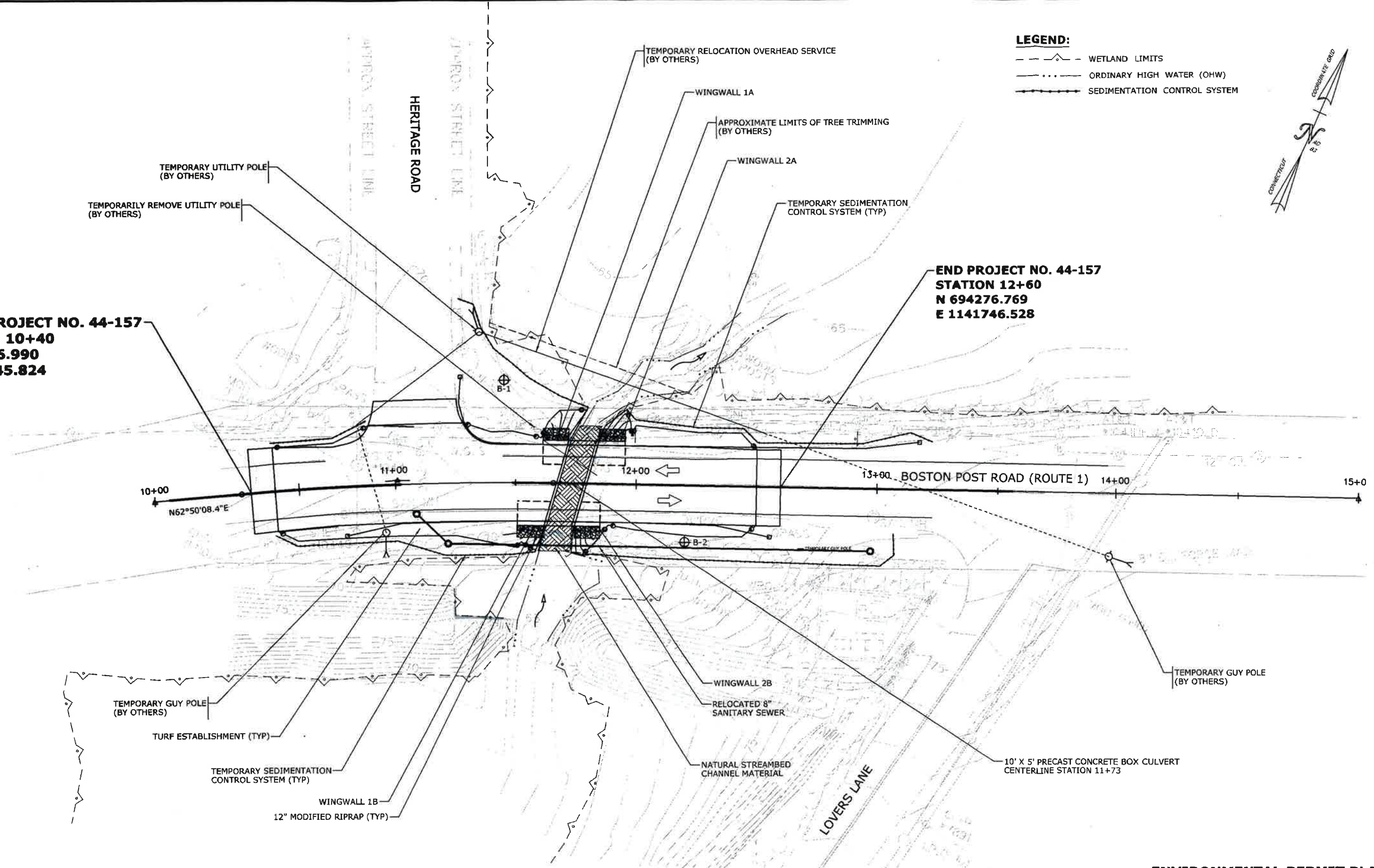
LEGEND:

- - - WETLAND LIMITS
- . . . ORDINARY HIGH WATER (OHW)
- - - SEDIMENTATION CONTROL SYSTEM



**BEGIN PROJECT NO. 44-157
STATION 10+40
N 694186.990
E 1141545.824**

**END PROJECT NO. 44-157
STATION 12+60
N 694276.769
E 1141746.528**




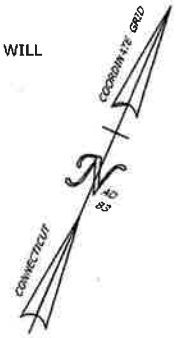
**ENVIRONMENTAL PERMIT PLANS
PLAN DATE: DECEMBER 31, 2018**

REV. 44-157 REVISION DESCRIPTION SHEET NO. Plotted Date: 12/31/2018	DESIGNER/DRAFTER: P. GRISWOLD	STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION	SIGNATURE/BLOCK: CDR Group, Inc. 2080 Silas Deane Highway Rocky Hill, CT 06067	PROJECT TITLE: REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK	TOWN: EAST LYME	PROJECT NO. 44-157
	CHECKED BY: J. GEARY					

LEGEND:

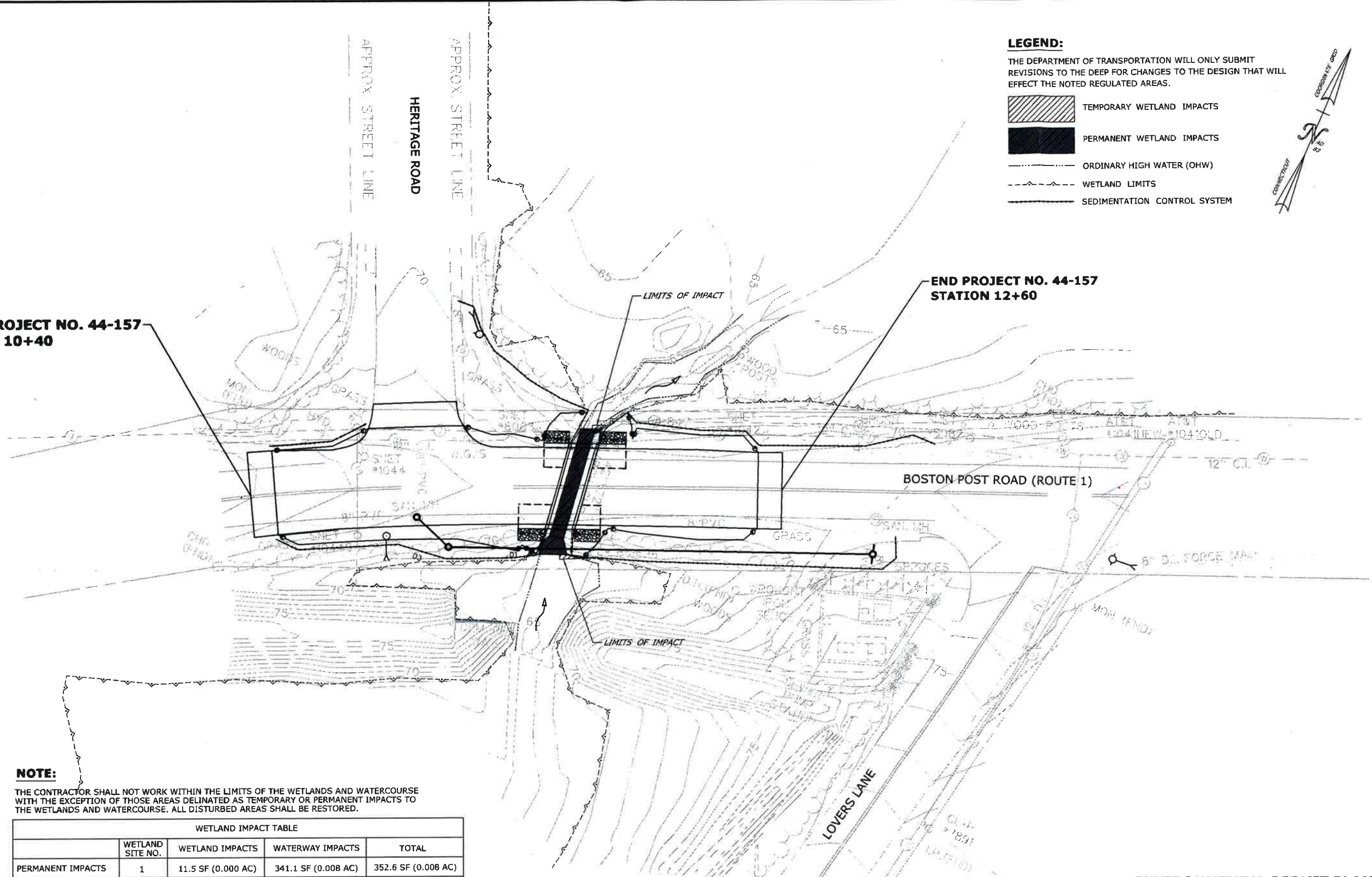
THE DEPARTMENT OF TRANSPORTATION WILL ONLY SUBMIT REVISIONS TO THE DEEP FOR CHANGES TO THE DESIGN THAT WILL AFFECT THE NOTED REGULATED AREAS.

-  TEMPORARY WETLAND IMPACTS
-  PERMANENT WETLAND IMPACTS
-  ORDINARY HIGH WATER (OHW)
-  WETLAND LIMITS
-  SEDIMENTATION CONTROL SYSTEM



**BEGIN PROJECT NO. 44-157
STATION 10+40**

**END PROJECT NO. 44-157
STATION 12+60**




NOTE:

THE CONTRACTOR SHALL NOT WORK WITHIN THE LIMITS OF THE WETLANDS AND WATERCOURSE WITH THE EXCEPTION OF THOSE AREAS DELINEATED AS TEMPORARY OR PERMANENT IMPACTS TO THE WETLANDS AND WATERCOURSE. ALL DISTURBED AREAS SHALL BE RESTORED.

WETLAND IMPACT TABLE				
	WETLAND SITE NO.	WETLAND IMPACTS	WATERWAY IMPACTS	TOTAL
PERMANENT IMPACTS	1	11.5 SF (0.000 AC)	341.1 SF (0.008 AC)	352.6 SF (0.008 AC)
TEMPORARY IMPACTS	1	15.6 SF (0.000 AC)	14.1 SF (0.000 AC)	29.7 SF (0.001 AC)
TOTAL IMPACTS		27.1 SF (0.001 AC)	355.2 SF (0.008 AC)	382.3 SF (0.009 AC)

**ENVIRONMENTAL PERMIT PLANS
PLAN, DATE: DECEMBER 31, 2018**

<p>REV. 44-157 DATE</p>	<p>REVISION DESCRIPTION</p>	<p>SHEET NO.</p>	<p>Plotted Date: 1/4/2019</p>	<p>DESIGNER/DRAFTER: P. GRISWOLD</p> <p>CHECKED BY: J. GEARY</p> <p>SCALE IN FEET 0 20 40 SCALE 1" = 20'</p>	<p>STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION</p> <p>Signature/Block: </p> <p>CDR Group, Inc. 2080 Silas Deane Highway Rocky Hill, CT 06067</p>	<p>PROJECT TITLE: REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK</p>	<p>TOWN: EAST LYME</p> <p>DRAWING TITLE: WETLAND/WATERCOURSE IMPACT PLAN</p>	<p>PROJECT NO. 44-157</p> <p>DRAWING NO. PMT-03</p> <p>SHEET NO.</p>
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HYDRAULIC DATA	
DRAINAGE AREA	0.64 SQ. MILES
DESIGN FREQUENCY	50 YEAR
DESIGN DISCHARGE	220 C.F.S.
AVERAGE DAILY FLOW ELEVATION	65.60 FT.
UPSTREAM DESIGN WATER SURFACE ELEVATION	69.52 FT.
DOWNSTREAM DESIGN WATER SURFACE ELEVATION	67.30 FT.
MAXIMUM SCOUR ELEVATION	
FREQUENCY	
DISCHARGE	
WORST CASE SCOUR SUB-STRUCTURE UNIT	*

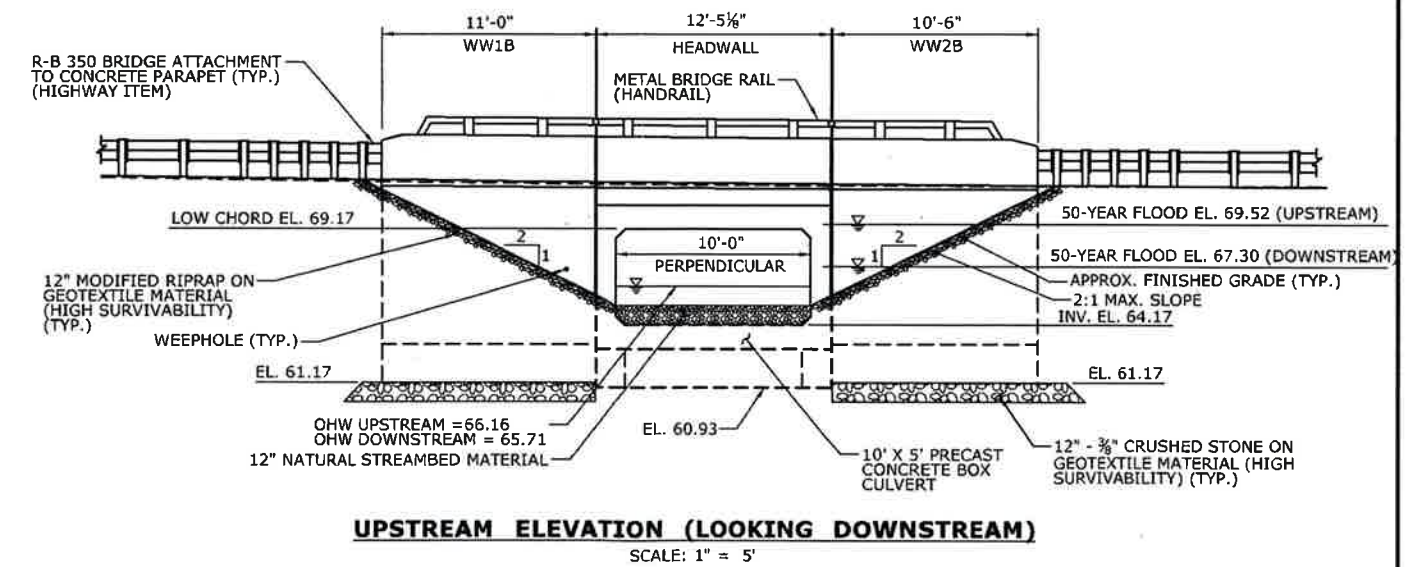
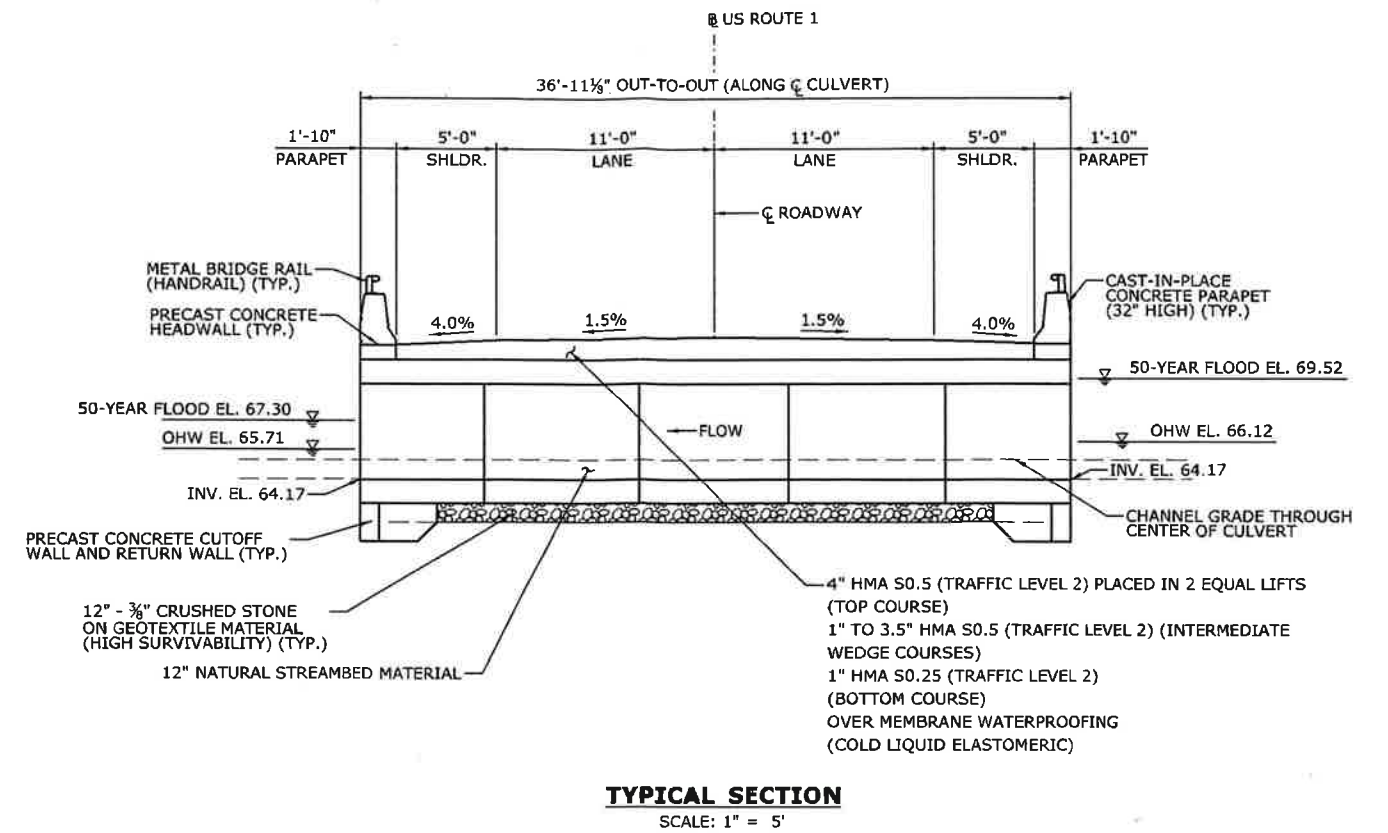
*SCOUR DEPTHS NOT DETERMINED. PROPOSED STRUCTURE TO BE A PRECAST REINFORCED CONCRETE BOX CULVERT.

OPENNESS RATIO (OR)

OR=OPEN AREA / CULVERT LENGTH
 OR=39.75 S.F. / 37 FT. = 1.07 FT.
 1.07 FT. > 0.82 FT. (RECOMMENDED MINIMUM)

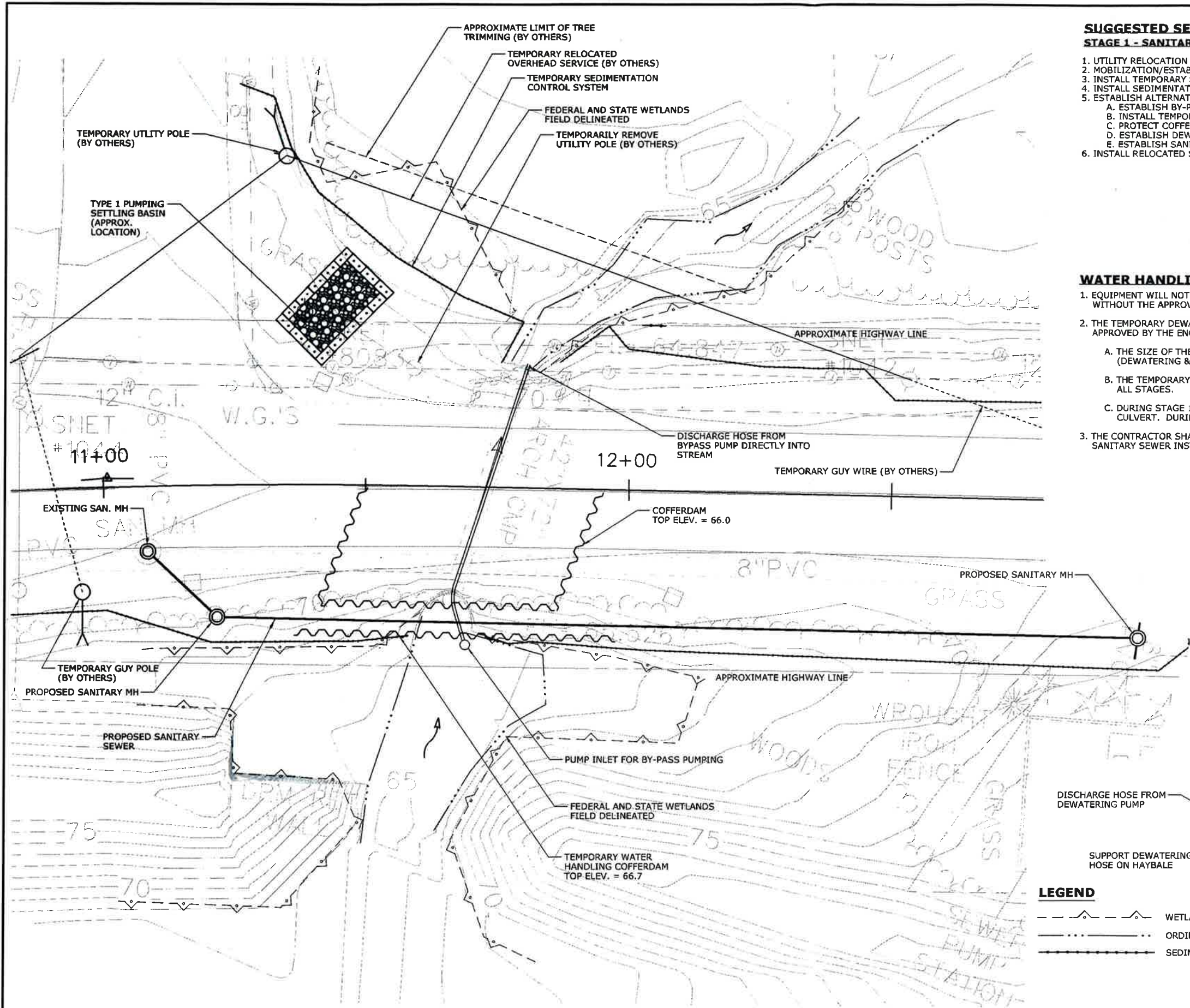
NATIVE STREAMBED MATERIAL NOTES

1. NATIVE STREAMBED MATERIAL EXCAVATED DURING THE PRE-CAST CONCRETE BOX CULVERT INSTALLATION SHALL BE STOCKPILED AND THEN REPLACED WITHIN THE PRECAST CONCRETE BOX CULVERT TO THE DEPTH SHOWN ON THE PLANS, AS DIRECTED BY THE ENGINEER AND IN ACCORDANCE WITH THE PERMIT DOCUMENTS. IF THERE IS NOT SUFFICIENT NATIVE MATERIAL PRESENT, SUPPLEMENTAL MATERIAL WILL BE REQUIRED (ITEM #0202217A - SUPPLEMENTAL STREAMBED CHANNEL MATERIAL).
2. THE STOCKPILE SHALL BE LOCATED OUTSIDE THE WETLAND LIMITS AND PROTECTED WITH SEDIMENTATION CONTROL SYSTEM.



ENVIRONMENTAL PERMIT PLANS
 PLAN DATE: DECEMBER 31, 2018

REV. 44#157	REVISION DESCRIPTION	SHEET NO.	Plotted Date: 12/31/2018	DESIGNED/DRAFTER: C. MERINO	CHECKED BY: A. DANKSEWICZ	STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION	SIGNATURE/BLOCK: 	PROJECT TITLE: REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK	TOWN: EAST LYME	PROJECT NO. 44-157
				SCALE AS NOTED					DRAWING TITLE: STRUCTURE PLAN, SECTION, AND ELEVATION	DRAWING NO. PMT-04
										SHEET NO.



SUGGESTED SEQUENCE

STAGE 1 - SANITARY SEWER INSTALLATION (PRIOR TO ROADWAY CLOSURE)

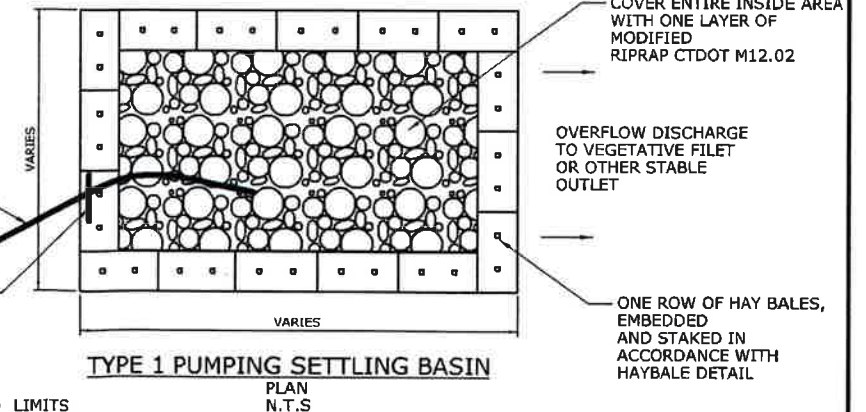
1. UTILITY RELOCATION BY OTHERS
2. MOBILIZATION/ESTABLISH FIELD OFFICE/TRAFFIC SIGNING
3. INSTALL TEMPORARY SEDIMENTATION CONTROL SYSTEM
4. INSTALL SEDIMENTATION CONTROL MEASURES
5. ESTABLISH ALTERNATING ONE WAY TRAFFIC ALONG RT 1.
 - A. ESTABLISH BY-PASS PUMPING FOR STREAM
 - B. INSTALL TEMPORARY WATER-HANDLING-COFFERDAM ALONG SOUTH SIDE OF RT 1.
 - C. PROTECT COFFERDAM LOCATED WITHIN THE ROADWAY.
 - D. ESTABLISH DEWATERING FOR EXCAVATION OF SANITARY SEWER PIPE AND SANITARY MANHOLES
 - E. ESTABLISH SANITARY SEWER BY PASS PUMPING
6. INSTALL RELOCATED SANITARY SEWER & MANHOLES

WATER HANDLING NOTES

1. EQUIPMENT WILL NOT BE PERMITTED IN THE STREAM WHEN TEMPORARY BYPASS IS NOT IN OPERATION WITHOUT THE APPROVAL OF THE ENGINEER.
2. THE TEMPORARY DEWATERING BASIN PAD SHALL BE ESTABLISHED OUTSIDE OF THE WETLAND LIMITS AS APPROVED BY THE ENGINEER.
 - A. THE SIZE OF THE TEMPORARY DEWATERING BASIN AND NUMBER/SIZE/CAPACITY OF PUMPS (DEWATERING & BYPASS) SHALL BE DETERMINED BY THE CONTRACTOR.
 - B. THE TEMPORARY DEWATERING BASIN AND MODIFIED RIPRAP SPLASH PAD SHALL REMAIN THROUGH ALL STAGES.
 - C. DURING STAGE 1, ROUTE DEWATERING AND BYPASS DISCHARGE HOSES THROUGH EXISTING CULVERT. DURING STAGE 2, DISCHARGE HOSES SHALL BE PLACED ON THE ROADWAY.
3. THE CONTRACTOR SHALL MAINTAIN CONTINUOUS BY-PASS PUMPING BETWEEN THE COMPLETION OF THE SANITARY SEWER INSTALLATION AND INSTALLATION OF THE BOX CULVERT.

TEMPORARY HYDRAULIC DATA

2 YEAR STORM EVENT DISCHARGE	20 C.F.S.
TEMPORARY DESIGN DISCHARGE	7 C.F.S.
AVERAGE DAILY FLOW	1.21 C.F.S.
UPSTREAM DESIGN WATER SURFACE ELEVATION	66.0 FT.
DOWNSTREAM DESIGN WATER SURFACE ELEVATION	65.6 FT.
TEMPORARY DESIGN FREQUENCY	3 X SPRING FLOW
AVERAGE SPRING FLOW	2.33 CFS

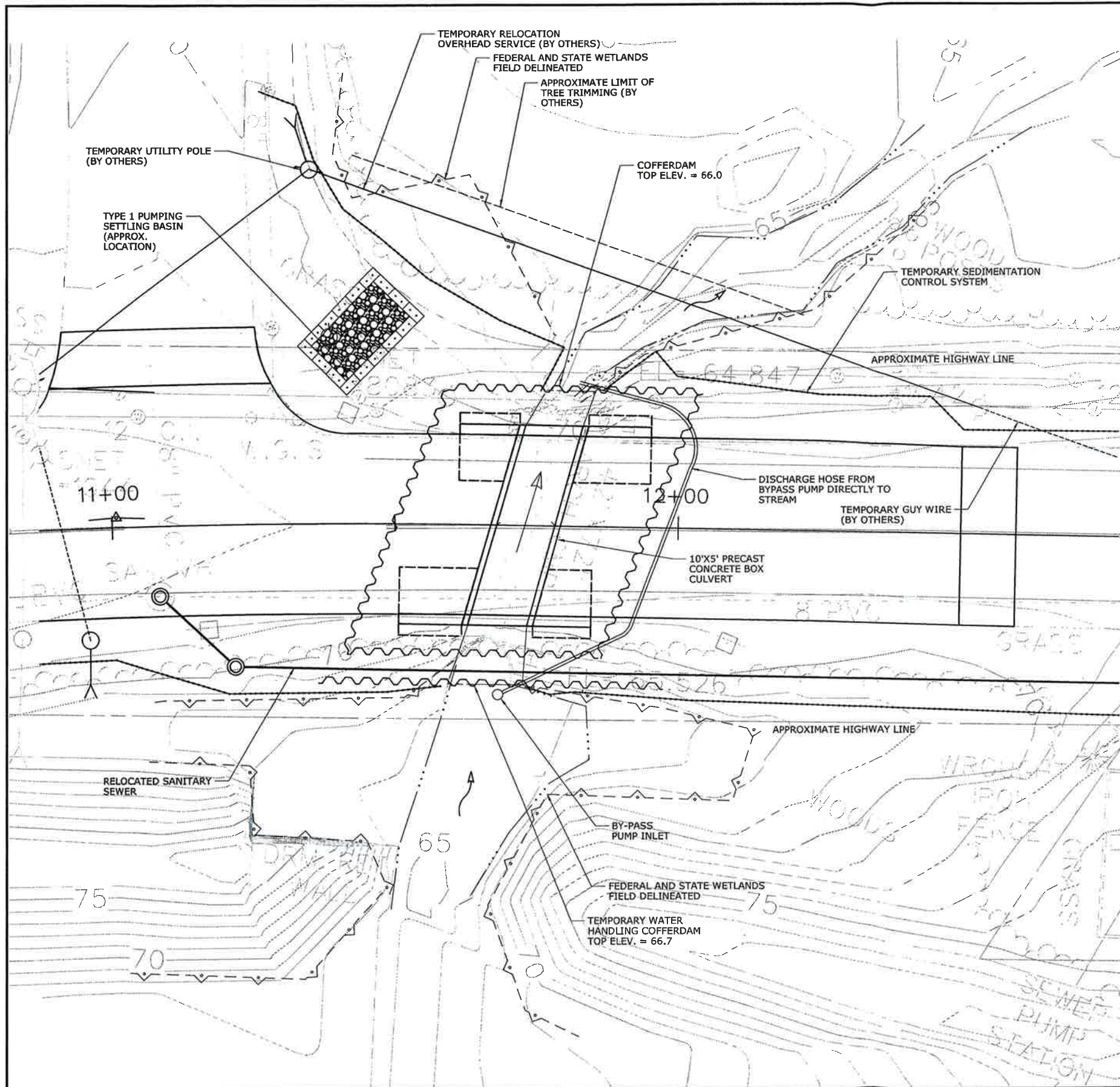


LEGEND

- WETLAND LIMITS
- ORDINARY HIGH WATER (OHW)
- SEDIMENTATION CONTROL SYSTEM

**ENVIRONMENTAL PERMIT PLANS
PLAN DATE: DECEMBER 31, 2018**

THE INFORMATION INCLUDING ESTIMATED QUANTITIES OF WORK SHOWN ON THESE SHEETS IS BASED ON LIMITED INVESTIGATIONS BY THE STATE AND IS IN NO WAY WARRANTED TO INDICATE THE CONDITIONS OF ACTUAL QUANTITIES OF WORK WHICH WILL BE REQUIRED.	DESIGNER/DRAWER: P. GRISWOLD CHECKED BY: J. GEARY SCALE IN FEET SCALE 1"=10'	 STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION Signature/Block: CDR Group, Inc. 2080 Silas Deane Highway Rocky Hill, CT 06067	PROJECT TITLE: REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK	TOWN: EAST LYME	PROJECT NO. 44-157 DRAWING NO. PMT-05 SHEET NO.
REVISION DESCRIPTION SHEET NO.	Plotted Date: 1/4/2019	Filename: \\HW MSH 0044-0157 PMT-05.dgn	DRAWING TITLE: HANDLING WATER PLAN		



SUGGESTED SEQUENCE

STAGE 2 - BOX CULVERT INSTALLATION (DURING ROADWAY CLOSURE)

1. INSTALL DETOUR SIGNING
2. ESTABLISH DETOUR, PLACE BARRICADES
3. INSTALL REMAINDER OF TEMPORARY COFFERDAM
4. INSTALL BOX CULVERT
 - A. ESTABLISH DEWATERING FOR PROPOSED BOX CULVERT AND WINGWALLS EXCAVATION
 - B. REMOVE EXISTING CULVERT
 - C. EXCAVATE TO GRADE FOR PROPOSED BOX CULVERT, CUTOFF WALL, HEADWALLS AND WINGWALLS
 - D. PLACE/GRADE CRUSHED STONE BEDDING
 - E. PLACE PRECAST BOX CULVERT, CUTOFF WALL, HEADWALL AND WINGWALLS
 - F. PLACE PERVIOUS STRUCTURE BACKFILL AND COMPACT
 - G. GRADE CHANNEL AT OUTFALL/INLET, PLACE 12" NATURAL STREAMBED MATERIAL
 - H. PLACE MODIFIED RIPRAP
 - I. REMOVE TEMPORARY COFFERDAM
 - J. RE-ESTABLISH FLOW THROUGH CULVERT
 - K. REMOVE DEWATERING BASIN(S), RESTORE AREA, PLACE TOPSOIL AND TURF ESTABLISHMENT
5. RECONSTRUCT ROADWAY
 - A. REMOVE EXISTING BITUMINOUS CONCRETE PAVMENT & CONCRETE BASE
 - B. EXCAVATE TO SUBGRADE AND COMPACT
 - C. PLACE PROCESSED AGGREGATE BASE, HMA S1.0 AND HMA S0.5
 - D. RESET SANITARY SEWER MANHOLE TOP IN ROADWAY
6. PLACE TEMPORARY PRECAST CONCRETE BARRIER CURB (TPCBC), SIGNING AND TEMPORARY STRIPING FOR STAGE 3, PHASE 1

STAGE 3 - PHASE 1 PARAPET CONSTRUCTION (AFTER ROADWAY CLOSURE)

1. FORM AND CAST CONCRETE PARAPET, INSTALL METAL HANDRAIL AND METAL BEAM RAIL/ANCHORAGES ALONG LEFT (NORTH) SIDE OF ROAD. PLACE TOPSOIL AND TURF ESTABLISHMENT ON SLOPES.
2. RELOCATE TPCBC, SIGNING AND TEMPORARY STRIPING FOR STAGE 3, PHASE 2

STAGE 3 - PHASE 2 PARAPET CONSTRUCTION (AFTER ROADWAY CLOSURE)

1. FORM AND CAST CONCRETE PARAPET, INSTALL METAL HANDRAIL AND METAL BEAM RAIL/ANCHORAGES ALONG RIGHT (SOUTH) SIDE OF ROAD. PLACE TOPSOIL AND TURF ESTABLISHMENT ON SLOPES.
2. REMOVE TPCBC, CONSTRUCTION SIGNING, PLACE TOP COURSE HMA S0.5 AND FINAL PAVEMENT MARKINGS. REMOVE TEMPORARY SEDIMENTATION CONTROL MEASURES, CLEAN UP SITE.
3. UTILITY COMPANIES TO RESTORE OVERHEAD UTILITIES TO PRE-CONSTRUCTION LOCATIONS.

SEE PMT-05 FOR TEMPORARY HYDRAULIC DATA TABLE

LEGEND

- WETLAND LIMITS
- ORDINARY HIGH WATER (OHW)
- SEDIMENTATION CONTROL SYSTEM

**ENVIRONMENTAL PERMIT PLANS
PLAN DATE: DECEMBER 31, 2018**

THE INFORMATION INCLUDING ESTIMATED QUANTITIES OF WORK SHOWN ON THESE SHEETS IS BASED ON LIMITED INVESTIGATIONS BY THE STATE AND IS IN NO WAY WARRANTED TO INDICATE THE CONDITIONS OF ACTUAL QUANTITIES OF WORK WHICH WILL BE REQUIRED.		DESIGNER/DRAFTER: P. GRISWOLD CHECKED BY: J. GEARY SCALE IN FEET 0 10 20 SCALE 1"=10' Filename: ...VW_MSH_0044-0157_PMT-06.dgn	STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION	SIGNATURE/BLOCK: CDR Group, Inc. 2080 Silas Deane Highway Rocky Hill, CT 06067	PROJECT TITLE: REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK	TOWN: EAST LYME	PROJECT NO. 44-157 DRAWING NO. PMT-06 SHEET NO.
REV.	DATE	REVISION DESCRIPTION	SHEET NO.	Plotted Date: 1/4/2019			



STATE OF CONNECTICUT

DEPARTMENT OF TRANSPORTATION

2800 BERLIN TURNPIKE, P.O. BOX 317546
NEWINGTON, CONNECTICUT 06131-7546
Phone: (860) 594-2157



January 10, 2019

TO: Conservation of Natural Resources Commission
East Lyme Town Hall
108 Pennsylvania Avenue
East Lyme, CT 06357

FROM: Kimberly C. Lesay *Kimberly Lesay*
Transportation Assistant Planning Director
Bureau of Policy and Planning

SUBJECT: Notification of Submittal of Application to the Department of Energy and
Environmental Protection (DEEP) for a General Permit for Water Resource
Construction Activities

PROJECT: State Project No. 44-157
Replacement of Bridge No. 06676
U.S. Route 1 over unnamed brook
Town of East Lyme

Enclosed is a copy of our Request for Authorization under the State of Connecticut Department of Energy and Environmental Protection's General Permit for Water Resource Construction Activities. If your agency wishes to comment on the enclosed application, comments must be submitted to the State Department of Energy and Environmental Protection.

Comments should be directed to:

Land and Water Resources Division
Department of Energy and Environmental Protection
79 Elm Street
Hartford, CT 06106-5127

If we can provide additional information, please contact me at 860-594-2931.

Enclosures

Aija Zeidenbergs/az

cc: DEEP Permit File

bcc: Andrew H. Davis – Aaron M. Ferraro
Theodore H. Nezames – Rabih M. Barakat – Louis D. Bacho – Lesgie Ruiz
Robert E. Obey
Thomas M. Ryan – Mark F. Levesque (CJM)



STATE OF CONNECTICUT
DEPARTMENT OF TRANSPORTATION



2800 BERLIN TURNPIKE, P.O. BOX 317546
NEWINGTON, CONNECTICUT 06131-7546
Phone: (860) 594-2157

January 10, 2019

TO: Inland Wetland Agency
East Lyme Town Hall
108 Pennsylvania Avenue
East Lyme, CT 06357

FROM: Kimberly C. Lesay
Transportation Assistant Planning Director
Bureau of Policy and Planning

SUBJECT: Notification of Submittal of Application to the Department of Energy and Environmental Protection (DEEP) for a General Permit for Water Resource Construction Activities

PROJECT: State Project No. 44-157
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STATE OF CONNECTICUT

DEPARTMENT OF TRANSPORTATION

2800 BERLIN TURNPIKE, P.O. BOX 317546
NEWINGTON, CONNECTICUT 06131-7546
Phone: (860) 594-2157



January 10, 2019

TO: Planning Commission
East Lyme Town Hall
108 Pennsylvania Avenue
East Lyme, CT 06357

FROM: Kimberly C. Lesay *Kimberly Lesay*
Transportation Assistant Planning Director
Bureau of Policy and Planning

SUBJECT: Notification of Submittal of Application to the Department of Energy and
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Construction Activities

PROJECT: State Project No. 44-157
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U.S. Route 1 over unnamed brook
Town of East Lyme

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Land and Water Resources Division
Department of Energy and Environmental Protection
79 Elm Street
Hartford, CT 06106-5127

If we can provide additional information, please contact me at 860-594-2931.

Enclosures

Aija Zeidenbergs/az

cc: DEEP Permit File

bcc: Andrew H. Davis – Aaron M. Ferraro
Theodore H. Nezames – Rabih M. Barakat – Louis D. Bacho – Lesgie Ruiz
Robert E. Obey
Thomas M. Ryan – Mark F. Levesque (CJM)

**INTERDEPARTMENTAL
MESSAGE**

STATE OF CONNECTICUT

To	NAME, TITLE Central Permit Processing Unit, 1 st Floor	DATE January 10, 2019
	AGENCY, ADDRESS Department of Energy and Environmental Protection, 79 Elm Street, Hartford, CT 06106	
From	NAME, TITLE Kimberly C. Lesay, Transportation Assistant Planning Director	TELEPHONE 860-594-2931
	AGENCY, ADDRESS Department of Transportation, 2800 Berlin Turnpike, Newington, CT 06131-7546	

Subject: State Bridge Program
 State Project No. 44-157
 Replacement of Bridge No. 06676
 U.S. Route 1 over unnamed brook
 Town of East Lyme

Attached is an original copy of the Request for Permit Authorization for the General Permit for Water Resource Construction Activities associated with the above referenced project.

Any questions pertaining to this application may be directed to Mr. Andrew H. Davis, Transportation Supervising Planner of my staff, at 860-594-2157.

Attachments

Aija Zeidenbergs/az

**bcc: Kimberly C. Lesay – Andrew H. Davis – Aaron M. Ferraro
Theodore H. Nezames - Rabih M. Barakat – Louis D. Bacho – Lesgie Ruiz
Robert E. Obey – Eileen Ego, District 2
Thomas M. Ryan – Mark F. Levesque (CJM)**



Statewide Inland Wetlands & Watercourses Activity Reporting Form

*Please complete and mail this form in accordance with the instructions on pages 2 and 3 to:
DEEP Land & Water Resources Division, Inland Wetlands Management Program, 79 Elm Street, 3rd Floor, Hartford, CT 06106
Incomplete or incomprehensible forms will be mailed back to the municipal inland wetlands agency.*

PART I: Must Be Completed By The Inland Wetlands Agency

- DATE ACTION WAS TAKEN: year: Click Here for Year month: Click Here for Month
- CHOOSE ACTION TAKEN (see instructions for codes): Click Here to Choose a Code
- WAS A PUBLIC HEARING HELD (check one)? yes no
- NAME OF AGENCY OFFICIAL VERIFYING AND COMPLETING THIS FORM:
(type name) _____ (signature) _____

PART II: To Be Completed By The Inland Wetlands Agency Or The Applicant

- TOWN IN WHICH THE ACTION IS OCCURRING (type name): East Lyme
does this project cross municipal boundaries (check one)? yes no
if yes, list the other town(s) in which the action is occurring (type name(s)): _____, _____
- LOCATION (click on hyperlinks for information): USGS quad map name: Niantic or quad number: 101
subregional drainage basin number: 2205
- NAME OF APPLICANT, VIOLATOR OR PETITIONER (type name): State of CT. Dept. of Transportation
- NAME & ADDRESS / LOCATION OF PROJECT SITE (type information): Bridge No. 06676, U.S. Route 1 over unnamed brook
briefly describe the action/project/activity (check and type information): temporary permanent description: Replace deteriorated CMP Arch with a 5 ft. x 10 ft. Precast Concrete Box Culvert
- ACTIVITY PURPOSE CODE (see instructions for codes): N
- ACTIVITY TYPE CODE(S) (see instructions for codes): 1, 2, 9, NA
- WETLAND / WATERCOURSE AREA ALTERED (type acres or linear feet as indicated):
wetlands: 0.00 acres open water body: 0.008 acres stream: 0.00 linear feet
- UPLAND AREA ALTERED (type acres as indicated): 0.00 acres
- AREA OF WETLANDS / WATERCOURSES RESTORED, ENHANCED OR CREATED (type acres as indicated): 0.00 acres

DATE RECEIVED:

PART III: To Be Completed By The DEEP

DATE RETURNED TO DEEP:

FORM COMPLETED: YES NO

FORM CORRECTED / COMPLETED: YES NO



ENERGY &
ENVIRONMENTAL
PROTECTION

79 Elm Street • Hartford, CT 06106-5127

www.ct.gov/deep

Affirmative Action/Equal Opportunity Employer



Connecticut Department of Energy & Environmental Protection

CPPU USE ONLY	
App #:	_____
Doc #:	_____
Check #:	_____

Permit Application Transmittal Form

Please complete this transmittal form in accordance with the instructions in order to ensure the proper handling of your application(s) and the associated fee(s). Print legibly or type.

Part I: Applicant Information:

- **If an applicant is a corporation, limited liability company, limited partnership, limited liability partnership, or a statutory trust, it must be registered with the Secretary of State. If applicable, applicant's name shall be stated exactly as it is registered with the Secretary of State.*
- *If an applicant is an individual, provide the legal name (include suffix) in the following format: First Name; Middle Initial; Last Name; Suffix (Jr, Sr., II, III, etc.).*

Applicant: Connecticut Department of Transportation	
Mailing Address: 2800 Berlin Turnpike, P.O. Box 317546	
City/Town: Newington	State: CT Zip Code: 06131-7546
Business Phone: 860-594-2931	ext.:
Contact Person: Kimberly C. Lesay	Phone: 860-594-2931 ext.
E-Mail: kimberly.lesay@ct.gov	
Applicant (check one): <input type="checkbox"/> individual <input type="checkbox"/> *business entity <input type="checkbox"/> federal agency <input checked="" type="checkbox"/> state agency <input type="checkbox"/> municipality <input type="checkbox"/> tribal	
*If a business entity, list type (e.g., corporation, limited partnership, etc.):	
<input type="checkbox"/> Check if any co-applicants. If so, attach additional sheet(s) with the required information as supplied above.	
Please provide the following information to be used for <i>billing purposes only</i> , if different:	
Company/Individual Name:	
Mailing Address:	
City/Town:	State: Zip Code:
Contact Person:	Phone: ext.

Part II: Project Information

Brief Description of Project: <i>(Example: Development of a 50 slip marina on Long Island Sound)</i>					
Replacement of Bridge No. 06676, U.S. Route 1 over unnamed brook in East Lyme, CT					
Location (City/Town): East Lyme					
Other Project Related Permits <i>(not included with this form)</i> :					
Permit Description	Issuing Authority	Submittal Date	Issuance Date	Denial Date	Permit #
SV	USACOE	Concurrent			

Part III: Individual Permit Application and Fee Information

New, Mod. or Renew	Individual Permit Applications	Initial Fees	No. of Permits Applied For	Total Initial Fees	Original + Required Copies
	AIR EMISSIONS				
	New Source Review <input type="checkbox"/> Revision <input type="checkbox"/> minor mod	\$940.00			1 + 0
	Title V Operating Permits <input type="checkbox"/> Revision <input type="checkbox"/> minor mod <input type="checkbox"/> non-minor mod	none			1 + 0
	Title IV	none			1 + 0
	Clean Air Interstate Rule (CAIR)	none			1 + 0
	WATER DISCHARGES				
	To Groundwater	\$1300.00			1 + 1
	To Sanitary Sewer (POTW)	\$1300.00			1 + 1
	To Surface Water (NPDES)	\$1300.00			1 + 1
	WATER PLANNING AND MANAGEMENT				
	Dam Safety	none			1 + 2
	Domestic Sewage Treatment Works (For municipal and private sewage treatment facilities discharging to surface waters)	\$1300.00/ Mod = \$940			1 + 1
	Water Diversion (consumptive) and Registrations	★			1 + 5
	LAND AND WATER RESOURCES				
	Flood Management Certification	none			1 + 1
	Flood Management Certification Exemption	none			1 + 1
	Inland Wetlands and Watercourses (State Agencies Only)	none			1 + 5
	Inland 401 Water Quality Certification	none			1 + 5
	FERC- Hydropower Projects- 401 Water Quality Certification	none			
	Water Diversion (non-consumptive)	★			1 + 5
	Certificate of Permission	\$375.00			1 + 2
	Coastal 401 Water Quality Certification	none			1 + 2
	Structures and Dredging/and Fill/Tidal Wetlands	\$660.00			1 + 2
	WASTE MANAGEMENT				
	Aerial Pesticide Application	★			1 + 2
	Aquatic Pesticide Application	\$200.00			1 + 0
	CGS Section 22a-454 Waste Facilities	★			1 + 1
	Disruption of a Solid Waste Disposal Area	\$0			1 + 1
	Hazardous Waste Treatment, Storage and Disposal Facilities	★			1 + 1
	Marine Terminal License	\$100.00			1 + 0
	Stewardship	\$4000.00			1 + 1
	Solid Waste Facilities	★			1 + 1
	Waste Transportation	★			1 + 0
		Subtotal ➡			
	GENERAL PERMITS and AUTHORIZATIONS	Subtotals Page 3 & 4 ➡	1	None	
	Enter subtotals from Part IV, pages 3 - 6 of this form	Subtotals Page 5 ➡			
		Subtotals Page 6 ➡			
		TOTAL ➡	1	None	
	<input type="checkbox"/> Indicate whether municipal discount or state waiver applies. Less Applicable Discount			State Waiver	
		AMOUNT REMITTED ➡		None	
Check # ➡	<input type="text"/>				Check or money order should be made payable to: "Department of Energy and Environmental Protection"

★ See fee schedule on individual application.

**Part IV: General Permit Registrations and Requests for Other Authorizations
Application and Fee Information**

<input checked="" type="checkbox"/>	General Permits and Other Authorizations	Initial Fees	No. of Permits Applied For	Total Initial Fees	Original + Required Copies
AIR EMISSIONS					
<input type="checkbox"/>	Limit Potential to Emit from Major Stationary Sources of Air Pollution	\$2760.00			1 + 0
<input type="checkbox"/>	Diagnostic and Therapeutic X-Ray Devices (Medical X-Ray) Registration	\$190.00/Xray device			1 + 0
<input type="checkbox"/>	Radioactive Materials and Industrial Device Registration (Ionizing Radiation)	\$200.00			1 + 0
<input type="checkbox"/>	Emergency/Temporary Authorization	★ ★			★ ★
<input type="checkbox"/>	License Revocation Request	\$0			★ ★
<input type="checkbox"/>	Other, (please specify):				
WATER DISCHARGES					
Categorical Industry User to a POTW					
<input type="checkbox"/>	Discharges ≥ 10,000 gpd	\$6250.00			1 + 0
<input type="checkbox"/>	Discharges < 10,000 gpd	\$3125.00			
Comprehensive Discharges to Surface Water and Groundwater					
<input type="checkbox"/>	Registration Only	\$625.00			1 + 0
<input type="checkbox"/>	Approval of Registration by DEEP	\$1250.00			
<input type="checkbox"/>	Domestic Sewage	\$625.00			1 + 0
<input type="checkbox"/>	Food Service Establishment Wastewater	No Registration			
Groundwater Remediation Wastewater					
<input type="checkbox"/>	Registration Only	\$625.00			1 + 0
<input type="checkbox"/>	Approval of Registration by DEEP	\$1250.00			
Miscellaneous Discharges of Sewer Compatible Wastewater					
<input type="checkbox"/>	Registration Only	\$500.00			1 + 0
<input type="checkbox"/>	Approval of Registration by DEEP	\$1000.00			
<input type="checkbox"/>	Nitrogen Discharges	No Registration			
<input type="checkbox"/>	Point Source Discharges from Application of Pesticides	\$200.00			1 + 0
<input type="checkbox"/>	Stormwater Associated with Commercial Activities	\$300.00			1 + 0
Stormwater Associated with Industrial Activities					
<input type="checkbox"/>	No Exposure Certification	\$250.00			1 + 0
<input type="checkbox"/>	<50 employees—see general permit for additional requirements	\$500.00			
<input type="checkbox"/>	>50 employees—see general permit for additional requirements	\$1000.00			
<input type="checkbox"/>	Stormwater & Dewatering Wastewaters-Construction Activities	★			1 + 0
<input type="checkbox"/>	Stormwater from Small Municipal Separate Storm Sewer Systems (MS4)	\$625.00			1 + 0
<input type="checkbox"/>	Stormwater from DOT Separate Storm Sewer Systems (DOT MS4)	\$0			1 + 0
<input type="checkbox"/>	Subsurface Sewage Disposal Systems Serving Existing Facilities	★ ★			1 + 0
<input type="checkbox"/>	Swimming Pool Wastewater - Public Pools and Contractors	\$500.00			1 + 0
Vehicle Maintenance Wastewater					
<input type="checkbox"/>	Registration Only	\$625.00			1 + 0
<input type="checkbox"/>	Approval of Registration by DEEP	\$1250.00			
<input type="checkbox"/>	Emergency/Temporary Authorization - Discharge to POTW	\$1500.00			1 + 0
<input type="checkbox"/>	Emergency/Temporary Authorization - Discharge to Surface Water	\$1500.00			1 + 0
<input type="checkbox"/>	Emergency/Temporary Authorization - Discharge to Groundwater	\$1500.00			1 + 0
<input type="checkbox"/>	Other, (please specify):				
Note: Carry subtotals over to Part III, page 2 of this form.		Subtotal			

★ See fee schedule on registration/application.

★★ Contact the specific permit program for this information.
(Contact numbers are provided in the instructions)

Part IV: General Permit Registrations and Requests for Other Authorizations (continued)

<input checked="" type="checkbox"/> General Permits and Other Authorizations	Initial Fees	No. of Permits Applied For	Total Initial Fee	Original + Required Copies
AQUIFER PROTECTION PROGRAM				
<input type="checkbox"/> Registration for Regulated Activities	\$625.00			1 + 0
<input type="checkbox"/> Permit Application to Add a Regulated Activity	\$1250.00			1 + 0
<input type="checkbox"/> Exemption Application from Registration	\$1250.00			1 + 0
WATER PLANNING AND MANAGEMENT				
<input type="checkbox"/> Dam Safety Repair and Alteration: Non Filing	No Registration			
<input type="checkbox"/> Dam Safety Repair and Alteration: Filing - No PE	\$100.00			1 + 0
<input type="checkbox"/> Dam Safety Repair and Alteration: Filing - PE	\$200.00			1 + 0
<input type="checkbox"/> Dam Safety Repair and Alteration: Approval of Filing	\$250.00			1 + 0
<input type="checkbox"/> Diversion of Remediation Groundwater	No Registration			
<input type="checkbox"/> Diversion of Water for Consumptive Use: Reauthorization Categories	\$2500.00			1 + 0
<input type="checkbox"/> Diversion of Water for Consumptive Use: Authorization Required	\$2500.00			1 + 4
<input type="checkbox"/> Diversion of Water for Consumptive Use: Filing Only	\$1500.00			1 + 1
<input checked="" type="checkbox"/> Water Resource Construction Activities	★	1	None	1 + 0
<input type="checkbox"/> Emergency/Temporary Authorization	★★			★★
<input type="checkbox"/> Notice of High Hazard Dam or a Significant Hazard Dam	\$0			1 + 0
<input type="checkbox"/> Other, (please specify):				
LAND AND WATER RESOURCES				
Minor Coastal Structures				
<input type="checkbox"/> 4/40 Docks/Access Stairs	\$700.00			1 + 1
<input type="checkbox"/> Beach Grading	No Registration			
<input type="checkbox"/> Buoys or Markers	No Registration			
<input type="checkbox"/> Experimental Activities/Scientific Monitoring Devices	No Registration			
<input type="checkbox"/> Harbor Moorings	No Registration			
<input type="checkbox"/> Non-harbor Moorings	\$250.00			1 + 1
<input type="checkbox"/> Osprey Platforms and Perch Poles	No Registration			
<input type="checkbox"/> Pump-out Facilities	No Registration			
<input type="checkbox"/> Swim Floats	No Registration			
Coastal Maintenance				
<input type="checkbox"/> Backflow Prevention Structure	No Registration			
<input type="checkbox"/> Beach Grading/Raking	No Registration			
<input type="checkbox"/> Catch Basin Cleaning	No Registration			
<input type="checkbox"/> Coastal Remedial Activities Required by Order	\$700.00			1 + 1
<input type="checkbox"/> Coastal Restoration	No Registration			
<input type="checkbox"/> DEEP Boat Launch Infrastructures	No Registration			
<input type="checkbox"/> DOT Infrastructures	No Registration			
<input type="checkbox"/> Marina and Mooring Field Reconfiguration	\$700.00			1 + 1
<input type="checkbox"/> Minor Seawall Repair	No Registration			
<input type="checkbox"/> Placement of Cultch	No Registration			
<input type="checkbox"/> Reconstruction of Legally Existing Structure/Obstruction/Encroachment	\$300.00			1 + 1
<input type="checkbox"/> Removal of Derelict Structures	No Registration			
<input type="checkbox"/> Residential Flood Hazard Mitigation	\$100.00			1 + 1
<input type="checkbox"/> Temporary Access of Construction Vehicles/Equipment	No Registration			
<input type="checkbox"/> Programmatic General Permit	★			1 + 1
<input type="checkbox"/> Emergency/Temporary Authorization				
<input type="checkbox"/> Other, (please specify):				
Note: Carry subtotals over to Part III, page 2 of this form.		Subtotal	1	None

★ See fee schedule on registration/application.

★★ Contact the specific permit program for this information.
(Contact numbers are provided in the instructions)

Part IV: General Permit Registrations and Requests for Other Authorizations (continued)

<input checked="" type="checkbox"/> General Permits and Other Authorizations	Initial Fees	No. of Permits Applied For	Total Initial Fee	Original + Required Copies
WASTE MANAGEMENT				
<input type="checkbox"/> Addition of Grass Clippings at Registered Leaf Composting Facilities	\$500.00			1 + 0
<input type="checkbox"/> Beneficial Use Determination	★			1 + 0
<input type="checkbox"/> Collection and Storage of Post Consumer Paint	\$0			1 + 0
<input type="checkbox"/> Connecticut Solid Waste Demonstration Project	\$1000.00			1 + 0
Construct and Operate a Commercial Facility for the Management of Recyclable Materials and Certain Solid Wastes (Commercial GP)				
<input type="checkbox"/> Asbestos Containing Materials	\$1,250.00/\$625			1 + 0
<input type="checkbox"/> Ash Residue	\$1,250.00/\$625			1 + 0
<input type="checkbox"/> Clean Wood: Tier III	\$500.00/\$250			1 + 0
<input type="checkbox"/> Clean Wood: Tier II	\$250.00/\$125			1 + 0
<input type="checkbox"/> Construction and Demolition Waste: Tier III	\$1,250.00/\$625			1 + 0
<input type="checkbox"/> Construction and Demolition Waste: Tier II	\$500.00/\$250			1 + 0
<input type="checkbox"/> Non-RCRA Hazardous Waste/Compatible Solid Wastes	\$1,250.00/\$625			1 + 0
<input type="checkbox"/> Recyclables	\$500.00/\$250			1 + 0
<input type="checkbox"/> Universal Wastes/Compatible Solid Wastes	\$1,250.00/\$625			1 + 0
Contaminated Soil and/or Staging Management (Staging/Transfer)				
<input type="checkbox"/> New Registrations	\$250.00			1 + 0
<input type="checkbox"/> New Approval of Registrations	\$1500.00			1 + 0
<input type="checkbox"/> Renewal of Registrations	\$250.00			1 + 0
<input type="checkbox"/> Renewal of Approval of Registrations	\$750.00			1 + 0
<input type="checkbox"/> Disassembling Used Electronics	\$2000.00			1 + 0
<input type="checkbox"/> Leaf Composting Facility	\$0			1 + 1
<input type="checkbox"/> Municipal Transfer Station	\$800.00			1 + 1
<input type="checkbox"/> One Day Collection of Certain Wastes and Household Hazardous Waste	\$1000.00			1 + 0
<input type="checkbox"/> Sheet Leaf Composting Notification	\$0			★★
Special Waste Authorization				
<input type="checkbox"/> Landfill or RRF Disposal	\$660.00			1 + 0
<input type="checkbox"/> Asbestos Disposal	\$300.00			
<input type="checkbox"/> homeowner	\$0			
<input type="checkbox"/> Storage and Processing of Asphalt Roofing Shingle Waste	\$2500.00			1 + 0
<input type="checkbox"/> Storage and Processing of Scrap Tires for Beneficial Use	\$1250.00			1 + 0
<input type="checkbox"/> Emergency/Temporary Authorization	★★			★★
<input type="checkbox"/> Other, (please specify):				
REMEDATION				
<input type="checkbox"/> In Situ Groundwater Remediation: Enhance Aerobic Biodegradation	★			1 + 2
<input type="checkbox"/> In Situ Groundwater Remediation: Chemical Oxidation	\$600.00			1 + 0
<input type="checkbox"/> Emergency/Temporary Authorization	★			★★
Note: Carry subtotals over to Part III, page 2 of this form. Subtotal →				

★ See fee schedule on registration/application.

★★ Contact the specific permit program for this information.
(Contact numbers are provided in the instructions)

Affirmative Action, Equal Employment Opportunity and Americans with Disabilities

The Connecticut Department of Energy and Environmental Protection is an Affirmative Action/Equal Opportunity Employer that is committed to complying with the requirements of the Americans with Disabilities Act (ADA). Please contact us at (860) 418-5910 or deep.accommodations@ct.gov if you: have a disability and need a communication aid or service; have limited proficiency in English and may need information in another language; or if you wish to file an ADA or Title VI discrimination complaint.



**Connecticut Department of
Energy & Environmental Protection**
Bureau of Water Protection & Land Reuse
Inland Water Resources Division

Request for Authorization Form for the General Permit for Water Resource Construction Activities

Please complete this form in accordance with the general permit (DEEP-IWRD-GP-013) to ensure the proper handling of your request. Print or type unless otherwise noted. You must submit the fee along with this completed form.

CPPU USE ONLY	
App #:	_____
Doc #:	_____
Check #:	_____

Part I: Request and Fee Type

Check the appropriate box identifying the request type.

<input type="checkbox"/> \$5000 [#1757] for each Request for Authorization for Section 3(a)(1), (a)(2), (a)(3), (a)(4), (a)(5), (a)(6), or (a)(7) activities under the subject general permit, unless you qualify as one of the following: <input type="checkbox"/> \$2500 for any municipality <input type="checkbox"/> \$2500 for electronic filing*	<input checked="" type="checkbox"/> \$2500 [#1758] for each Request for Authorization for Section 3(a)(8) or 3(a)(9) activities under the subject general permit, unless you qualify as one of the following: <input type="checkbox"/> \$1250 for any municipality <input type="checkbox"/> \$1250 for electronic filing*
--	---

**In order to file electronically, ALL supporting documents under Part VI of this application must be submitted in an electronic format on a CD, along with this original completed application in hard copy.*

The request will not be processed without the fee. The fee shall be non-refundable and shall be paid by check or money order to the Department of Energy and Environmental Protection.

Town where site is located: East Lyme

Brief Description of Project:

Project No. 44-157, Replacement of Bridge No. 06676

The proposed project consists of replacing the existing structure with a 5' x 10' precast concrete box culvert with precast concrete wingwalls. The culvert invert will be buried 1-foot to allow for natural streambed material to be placed inside the structure.

Part II: Requestor Information

- If a requester is a corporation, limited liability company, limited partnership, limited liability partnership, or a statutory trust, it must be registered with the Secretary of State. If applicable, requester's name shall be stated **exactly** as it is registered with the Secretary of State. Please note, for those entities registered with the Secretary of State, the registered name will be the name used by DEEP. This information can be accessed at the Secretary of State's database (CONCORD). (www.concord-sots.ct.gov/CONCORD/index.jsp)
- If a requester is an individual, provide the legal name (include suffix) in the following format: First Name; Middle Initial; Last Name; Suffix (Jr, Sr., II, III, etc.).
- If there are any changes or corrections to your company/facility or individual mailing or billing address or contact information, please complete and submit the [Request to Change Company/Individual Information](#) to the address indicated on the form. If there is a change in name of the entity holding a DEEP license or a change in ownership, contact the Office of Planning and Program Development (OPPD) at 860-424-3003. For any other changes you must contact the specific program from which you hold a current DEEP license.

1. Requester Name: State of Connecticut, Department of Transportation

Mailing Address: 2800 Berlin Turnpike, P.O. Box 317546

City/Town: Newington

State: CT Zip Code: 06131-7546

Business Phone: 860-594-2931

ext.:

Contact Person: Kimberly Lesay

Phone: 860-594-2931 ext.

E-mail: kimberly.lesay@ct.gov

*By providing this e-mail address you are agreeing to receive official correspondence from the department, at this electronic address, concerning the subject request. Please remember to check your security settings to be sure you can receive e-mails from "ct.gov" addresses. Also, please notify the department if your e-mail address changes.

a) Requester Type (check one):

individual federal agency state agency municipality tribal

*business entity (*If a business entity complete i through iii):

i) check type: corporation limited liability company limited partnership
 limited liability partnership statutory trust Other: _____

ii) provide Secretary of the State business ID #: _____ This information can be accessed at database (CONCORD). (www.concord-sots.ct.gov/CONCORD/index.jsp)

iii) Check here if your business is **not** registered with the Secretary of State's office.

Check here if any co-registrants. If so, attach additional sheet(s) with the required information as requested above.

b) Requester's interest in property at which the proposed activity is to be located:

site owner option holder lessee easement holder operator

other (specify): _____

Part II: Requestor Information (continued)

2. Billing contact, if different than the requester.

Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.

Contact Person:

Title:

Email:

3. Primary contact for departmental correspondence and inquiries, if different than the requester.

Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.

Contact Person:

Title:

Email:

*By providing this e-mail address you are agreeing to receive official correspondence from the department, at this electronic address, concerning the subject request. Please remember to check your security settings to be sure you can receive e-mails from "ct.gov" addresses. Also, please notify the department if your e-mail address changes.

4. Attorney or other representative, if applicable:

Firm Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.

Attorney:

Email:

5. Site Owner, if different than the requester.

Name:

Mailing Address:

City/Town:

State:

Zip Code:

Business Phone:

ext.

Contact Person:

Title:

Email:

Part II: Requestor Information (continued)

6. **Engineer(s) or other consultant(s) employed or retained to assist in preparing the request or in designing or constructing the activity.**

Name: **Close, Jensen and Miller, P.C.**

Mailing Address: **1137 Silas Deane Highway**

City/Town: **Wethersfield**

State: **CT**

Zip Code: **06109**

Business Phone: **860-563-9375**

ext.

Contact Person: **Aija Zeidenbergs**

Title: **Environmental Coordinator**

Email: **azeidenbergs@gmail.com**

Service Provided: **Hydrology, Hydraulics, & Permit Application Preparation**

Check here if additional sheets are necessary, and label and attach them to this sheet.

Part III: Site Information

1. SITE NAME AND LOCATION

Name of Site : **Bridge No. 06676**

Street Address or Location Description: **Route 1 over unnamed brook**

City/Town: **East Lyme**

State: **CT**

Zip Code: **06333**

Tax Assessor's Reference: Map **N/A**

Block **N/A**

Lot **N/A**

Latitude and longitude of the exact location of the proposed activity in degrees, minutes, and seconds or in decimal degrees: Latitude: **41° 21' 54.36" N** Longitude: **72° 14' 03.54" W**

Method of determination (check one):

GPS USGS Map Other (please specify):

If a USGS Map was used, provide the quadrangle name: **Niantic**

2. **INDIAN LANDS:** Is or will the facility be located on federally recognized Indian lands? Yes No

3. **COASTAL BOUNDARY:** Is the activity which is the subject of this registration located within the coastal boundary as delineated on DEEP approved coastal boundary maps? Yes No

If yes, and this registration is for a new authorization, or a modification of an existing authorization where the physical footprint of the subject activity is modified, you must submit a [Coastal Consistency Review Form](#) (DEEP-APP-004) with your registration as Attachment C.

Information on the coastal boundary is available at www.cteco.uconn.edu/map_catalog.asp (Select the town and then select coastal boundary. If the town is not within the coastal boundary you will not be able to select the coastal boundary map.) or the local town hall or on the "Coastal Boundary Map" available at DEEP Maps and Publications (860-424-3555).

Part II: Applicant Information (Continued)

6. Engineer(s) or other consultant(s) employed or retained to assist in preparing the request of in designing or constructing the activity.

Name: CDR Group, Inc.

Mailing Address: 2080 Silas Deane Highway

City/Town: Rocky Hill

State: CT Zip Code: 06067

Business Phone: 860-969-3601

Ext:

Contact Person: Jonathan Geary

Title: Project Manager

Service Provided: Design

Part III: Site Information (continued)

4. **ENDANGERED OR THREATENED SPECIES:** According to the most current "State and Federal Listed Species and Natural Communities Map", is the project site located within an area identified as a habitat for endangered, threatened or special concern species? Yes No Date of Map: **Dec. 2018**

If yes, complete and submit a [Request for NDDB State Listed Species Review Form](#) (DEEP-APP-007) to the address specified on the form. **Please note NDDB review generally takes 4 to 6 weeks and may require additional documentation from the registrant.**

A copy of the completed *Request for NDDB State Listed Species Review Form* and the CT NDDB response **must** be submitted with this completed registration as Attachment D.

For more information visit the DEEP website at www.ct.gov/deep/nddbrequest or call the NDDB at 860-424-3011.

5. **AQUIFER PROTECTION AREAS:** Is the site located within a mapped Level A or Level B [Aquifer Protection Area](#), as defined in CGS section 22a-354a through 22a-354bb?

Yes No If yes, check one: Level A or Level B

If **Level A**, are any of the [regulated activities](#), as defined in RCSA section 22a-354i-1(34), conducted on this site? Yes No

If **yes**, and your business is **not** already registered with the Aquifer Protection Program, contact the [local aquifer protection agent](#) or DEEP to take appropriate actions.

For more information on the Aquifer Protection Area Program visit the DEEP website at www.ct.gov/deep/aquiferprotection or contact the program at 860-424-3020.

6. **CONSERVATION OR PRESERVATION RESTRICTION:** Is the property subject to a conservation or preservation restriction? Yes No

If Yes, proof of written notice of this registration to the holder of such restriction or a letter from the holder of such restriction verifying that this registration is in compliance with the terms of the restriction, must be submitted as Attachment E.

Part IV: Construction Activity Details

1. Proposed Date of Initiation of Activity: **September 2019**
2. Anticipated Date of Completion: **November 2019**
3. Name of the wetland or watercourse involved with or adjacent to the subject activity:
unnamed brook
4. Is the subject activity within a watercourse or floodplain? Yes No
5. Will the subject activity be within a FEMA floodway? Yes No
6. If the project requires a Flood Management Certification for the subject activity, provide the Flood Management Certification Number: **N/A**

Part IV: Construction Activity Details (continued)

7. Disturbance to wetlands, watercourses and flood plains:

Wetlands (acres):

excavation: 0.001 ac. (temporary) fill: 0.008 ac. (permanent)
total disturbance: 0.009 ac. (total)

Floodplain (cubic yards):

excavation: N/A fill: N/A net: N/A

Watercourse (linear feet): 70 ft.

8. Describe the present and intended use(s) of the property at which the subject activity will be conducted and the reason for conducting or maintaining the activity.

See attached sheet 6A.

9. Describe all natural and manmade features impacted by the subject activity, including wetlands, watercourses, fish and wildlife habitat, floodplains, and structures and appurtenances thereto, and the impact of the subject activity on such features.

See attached sheet 6B.

Check here if additional sheets are necessary, and label and attach them to this sheet.

Part IV: Construction Activity Details (Continued)

8. Describe the present and intended use(s) of the property at which the subject activity will be conducted and the reason for conducting or maintaining the activity.

The project involves the replacement of Bridge No. 06676, which carries U.S. Route 1 over an unnamed brook in the Town of East Lyme. Bridge No. 06676, built in 1982, is a 72-inch wide by 44-inch tall asphalt coated corrugated steel plate pipe culvert. There are no formal end treatments at either end of the structure. The arch is topped with approximately 2.5 feet of ballast material. The culvert carries one lane of traffic in each direction with 3'-4' shoulders. There are no sidewalks on the structure or approaches. The curb-to-curb roadway width over the structure is 30 feet. The structure has a 15 degree skew and an overall length of 46 feet. The structure is situated approximately 1.4 miles south of Route 161. The estimated 2015 Average Daily Traffic on the structure is 5,500 vehicles, with 5% truck traffic.

Based upon the field investigation and engineering analysis of the structure, the existing bridge is found to be structurally deficient and hydraulically inadequate. Its structural deficiency is primarily due to the deteriorated condition of the pipe. The corrugated metal pipe culvert has severe laminar rust, section loss and perforations. The structure is hydraulically inadequate due to its inability to pass the 50-year design storm.

The proposed project consists of replacing the existing structure with a 10' x 5' precast concrete box culvert with precast concrete wingwalls. The structure will remain in relatively the same location and the existing roadway profile will remain unchanged. The culvert invert will be buried 1-foot to allow for natural streambed material to be placed inside the structure. The existing sewer line running beneath the culvert will be relocated further upstream of the bridge, while the existing water main located downstream of the structure will be maintained. Aerial facilities will be temporarily relocated further north of the bridge to provide the required clearance for construction equipment. Solid concrete parapets with concrete barrier walls will be installed on either side of the roadway and guiderail will be attached along each of the approaches. The proposed structure size has been increased for hydraulic adequacy.

Stage 1 of the project will involve installation of the water handling facilities and relocation of the existing sewer line using temporary lane and shoulder closures during off-peak hours. Temporary cofferdams will be installed upstream of the bridge to frame the channel excavation for the proposed sanitary sewer location, and one line of cofferdam will be installed downstream of the bridge. During Stage 2, the bridge will be replaced during a short term road closure utilizing a detour along U.S. Route 1 and Interstate 95. The cofferdams will be removed once the precast culvert and wingwalls are in place, the natural streambed material installation, and channel grading are complete. Stage 3 will involve any remaining minor tasks while maintaining two lanes of traffic along U.S. Route 1. The total construction duration is anticipated to be 3 months with approximately 2-3 weeks of in-water work.

9. Describe all natural and manmade features impacted by the subject activity, including wetlands, watercourses, fish and wildlife habitat, floodplains, and structures and appurtenances thereto, and the impact of the subject activity on such features.

The contributing drainage area is approximately 0.64 square miles. The channel upstream and downstream of the bridge is well vegetated traveling through forested areas. The streambed is comprised of sand and gravel with cobbles, and various debris. The wetland resources at the site consist of State Regulated Wetlands and Watercourse, and Federally Regulated Waters of the U.S.

According to the July 18, 2011, Panel No. 09011C0476G, New London County Flood Insurance Rate Map, the project is located within a FEMA Flood Zone X. Impacts to the stream will be minimized through adherence to the Form 817 Section 1.10 Best Management Practices (BMP's) and the 2004 Stormwater Quality Manual. During construction, proper water handling measures will be implemented to allow work to occur in the areas confined within those water handling devices; additionally work will be performed during typical low flow periods. Sedimentation and Erosion Control Systems will be installed as necessary to limit disturbances to protect the wetlands and watercourses through adherence to the 2002 Connecticut Guideline for Soil Erosion and Sediment Control.

Permanent impacts anticipated to the inland wetlands and watercourses will amount to approximately 353 sq. ft. (0.009 acres). Permanent impacts are as a result of the removal of the existing pipe culvert, and re-grading of slopes near the inlet and outlet of the bridge. Temporary impacts to inland wetlands and watercourses will amount to approximately 30 sq. ft. (0.001 acres). Temporary impacts are a result of the installation of water handling devices.

Permanent impacts to inland wetlands above the Ordinary High Water line will amount to approximately 12 sq. ft. (0.0003 acres). Temporary impacts to inland wetlands above the Ordinary High Water line will amount to approximately 16 sq. ft. (0.0004 acres)

Permanent impacts below the Ordinary High Water line will amount to approximately 341 sq. ft. (0.008 acres). Temporary impacts below the Ordinary High Water line will amount to approximately 14 sq. ft. (0.0003 acres).

Part V: Supporting Documents

Check the applicable box below for each attachment being submitted with this request. When submitting any supporting documents, please label the documents as indicated in this part (e.g., Attachment A, etc.) and be sure to include the requester's name as indicated on this request. ***In order to file electronically, ALL supporting documents must be submitted in an electronic format on a CD with this original completed application in hard copy.***

- Attachment A: Location Map: A depiction, on an 8.5" x 11" copy of the relevant portion of the most recent version of the United States Geologic Survey topographic map (Scale 1:24,000), of the exact location of the property at which such activity will be conducted.
- Attachment B: Site plan pursuant Section 4(c) (2) (I) of the subject general permit.
- Attachment C: Coastal Consistency Review Form (DEEP-APP-004), if applicable.
- Attachment D: Copy of the completed *Request for NDDB State Listed Species Review Form* (DEEP-APP-007) and the NDDB response, if applicable.
- Attachment E: Conservation or Preservation Restriction Information, if applicable.
- Attachment F: A copy of the Category 2 approval letter from the Army Corps of Engineers, or a copy of the Appendix 1A: Category 1 Certification Form filed with the US Army Corps of Engineers, if applicable.
- Attachment G: Drainage Maintenance Plan, Trail Maintenance Plan, Boat Launch Maintenance Plan, or Beach Maintenance Plan for Inland Beaches as defined in Section 2 of the subject general permit, if applicable.
- Attachment H: Other information provided by requester (list): Flood Insurance Rate Map, State Historic Preservation Office correspondence, Tribal Consultation correspondence, Inland Fisheries Division correspondence, Site Photos

Part VI: Requester Certification

The requester and the individual(s) responsible for actually preparing the request must sign this part. A request will be considered incomplete unless all required signatures are provided. If the requester is the preparer, please mark N/A in the spaces provided for the preparer.

"I have personally examined and am familiar with the information submitted in this document and all attachments thereto, and I certify that based on reasonable investigation, including my inquiry of the individuals responsible for obtaining the information, the submitted information is true, accurate and complete to the best of my knowledge and belief.

I certify that this general permit request for authorization is on complete and accurate forms as prescribed by the commissioner without alteration of the text.

I understand that the subject activity is authorized only on or after the date the commissioner issues a written approval of registration with respect to such activity.

I certify that a complete copy of this request for authorization, including all documents attached thereto, was sent by regular or certified mail or was hand delivered to the municipal wetlands agency, zoning commission, planning commission or combined planning and zoning commission, and conservation commission of each municipality which is or may be affected by the subject activity.

I understand that a false statement in the submitted information may be punishable as a criminal offense, in accordance with section 22a-6 of the General Statutes, pursuant to section 53a-157b of the General Statutes, and in accordance with any other applicable statute."

Thomas J. Maziarz

1-9-2019

Signature of Requester

Date

Thomas J. Maziarz

Bureau Chief - Policy and Planning

Name of Requester (print or type)

Title (if applicable)

Aija Zeidenbergs

1/8/19

Signature of Preparer (if different than above)

Date

Aija Zeidenbergs

Environmental Coordinator

Name of Preparer (print or type)

Title (if applicable)

Check here if additional signatures are required. If so, please reproduce this sheet and attach signed copies to this sheet. You must include signatures of any person preparing any report or parts thereof required in this registration (i.e., professional engineers, surveyors, soil scientists, consultants, etc.)

Note: Please submit this completed Request for Authorization, Fee, and all Supporting Documents to:

CENTRAL PERMIT PROCESSING UNIT
 DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION
 79 ELM STREET
 HARTFORD, CT 06106-5127

You must submit a complete copy of this completed request for authorization, including supporting documents, to the municipal wetlands agency, zoning commission, planning commission or combined planning and zoning commission, and

conservation commission of each municipality which is or may be affected by the subject activity.

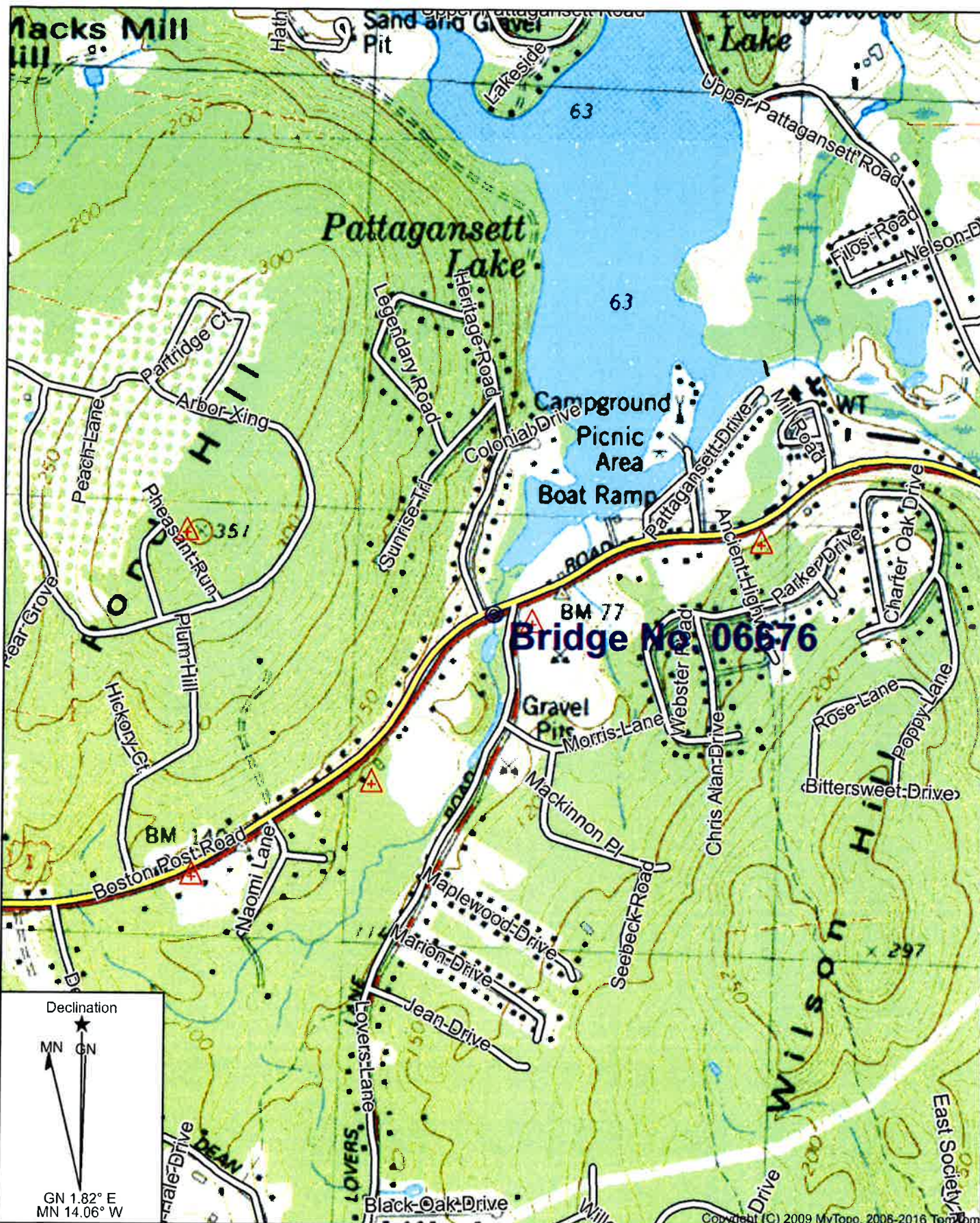
Attachment A: Location Map

General Permit for Water Resource Construction Activities

Applicant: State of Connecticut, Department of Transportation
Project No. 44-157
Replacement of Bridge No. 06676 in East Lyme
U.S. Route 1 over unnamed brook

List of Attachments

- U.S.G.S. Topographic Quadrangle Map No. 101
QUAD: Niantic
Scale: 1"=1,000'
Dated: June 14, 2016



Map Name: NIANTIC
 Print Date: 06/14/16
 Scale: 1 inch = 1,000 ft.
 Map Center: 041° 21' 54.36" N, 072° 14' 03.54" W

Bridge No. 06676
 Route 1 over Unnamed Brook
 East Lyme, CT

Attachment B: Site Plan

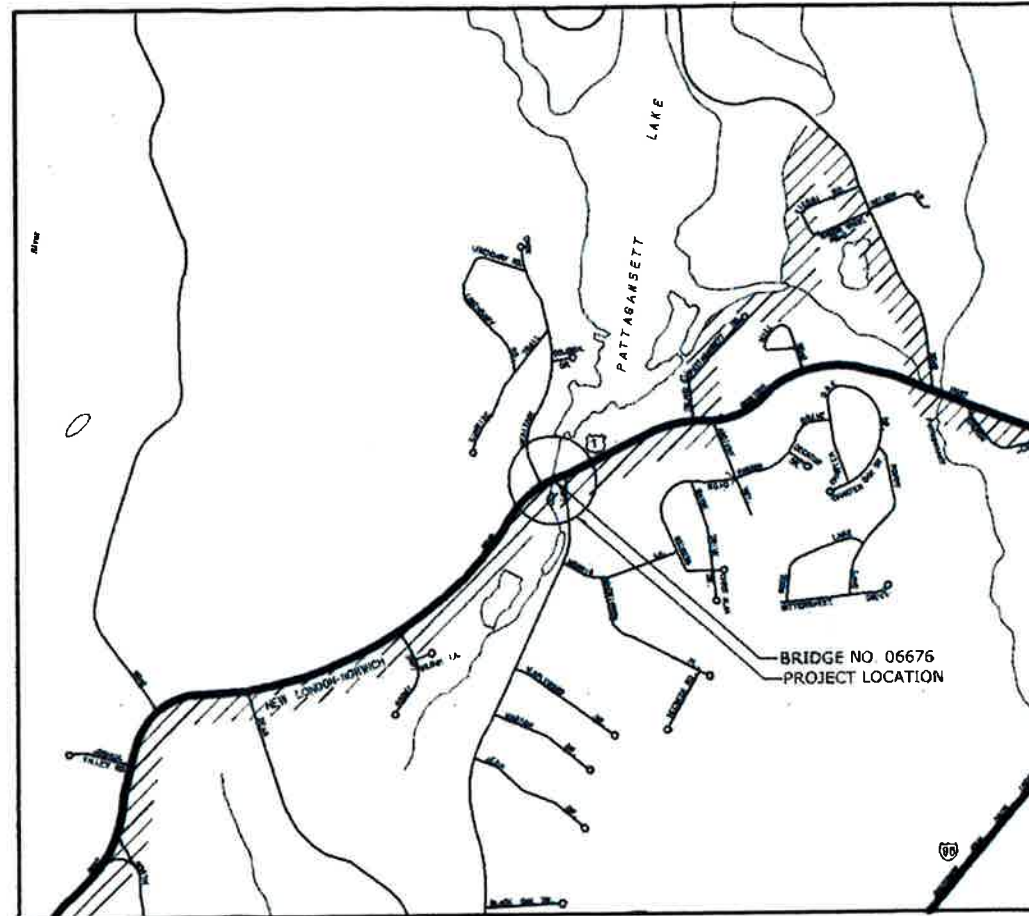
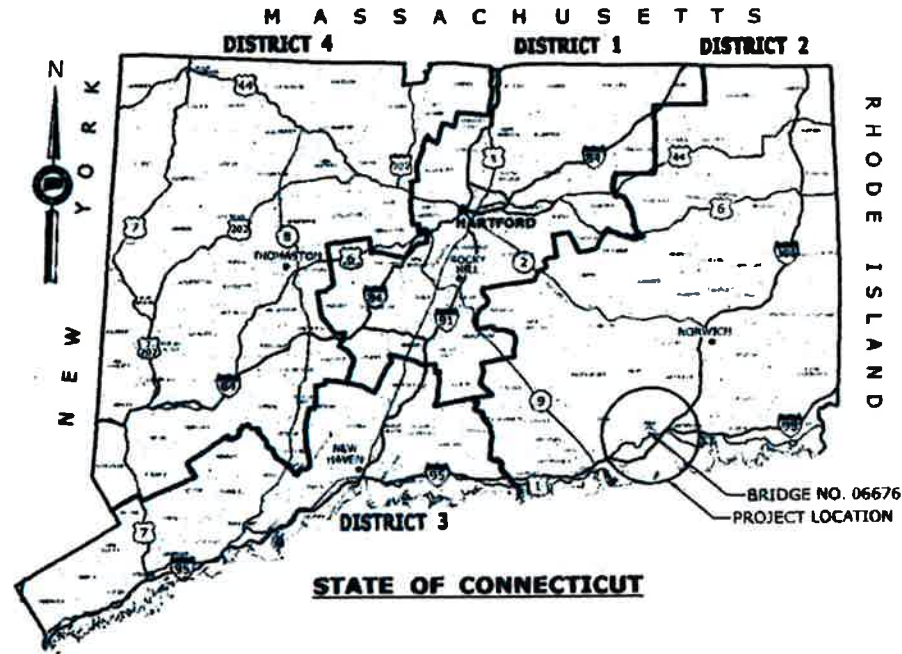
General Permit for Water Resource Construction Activities

Applicant: State of Connecticut, Department of Transportation
Project No. 44-157
Replacement of Bridge No. 06676 in East Lyme
U.S. Route 1 over unnamed brook

List of Plan Sheets and Drawings

PMT-01	Title Sheet	December 2018
PMT-02	General Site Plan	December 2018
PMT-03	Wetlands/Watercourse Impact Plan	December 2018
PMT-04	Structure Plan, Section and Elevation	December 2018
PMT-05	Staging/Water Handling Plan	December 2018
PMT-06	Staging/Water Handling Plan	December 2018

ENVIRONMENTAL PERMIT PLANS STATE PROJECT NO. 0044-0157 REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK IN THE TOWN OF EAST LYME



LOCATION PLAN

GENERAL NOTES:

1. THESE PLANS ARE INTENDED ONLY FOR ENVIRONMENTAL PERMITTING PURPOSES. THESE PLANS HOLD AUTHORITY FOR ALL ACTIVITIES CONCERNING THE REGULATED AREA. FOR DETAILED PLANIMETRIC INFORMATION AND PAYMENT REFER TO THE APPLICABLE CONTRACT DOCUMENTS.
2. THE DEPARTMENT OF TRANSPORTATION WILL ONLY SUBMIT REVISIONS TO DEEP AND USACE FOR CHANGES TO THE DESIGN THAT WILL AFFECT REGULATED AREAS.
3. FOR A DESCRIPTION OF THE WATERCOURSES, WETLANDS AND WETLAND SOILS SEE RELEVANT SECTIONS OF THE PERMIT APPLICATION.
4. 400 FOOT GRID BASED ON CONNECTICUT COORDINATE SYSTEM N.A.D. 1927 VERTICAL DATUM BASED ON NGVD OF 1929.
5. ALL CONSTRUCTION ACTIVITIES WILL BE CONDUCTED IN ACCORDANCE WITH THE DEPARTMENT'S STANDARD SPECIFICATIONS FOR ROADS, BRIDGE, AND INCIDENTAL CONSTRUCTION, FORM 817, SECTION 1.10 AND WILL ALSO FOLLOW REQUIRED BEST MANAGEMENT PRACTICES (BMPs) AND SEDIMENT AND EROSION CONTROL MEASURES IN ACCORDANCE WITH THE 2002 EROSION & SEDIMENTATION CONTROL GUIDELINES AND THE 2004 STORMWATER QUALITY MANUAL.
6. WETLANDS DELINEATION BY FHI ON OCTOBER 2016.

LIST OF DRAWINGS	
DRAWING NO.	DRAWING TITLE
PMT-01	TITLE SHEET
PMT-02	GENERAL SITE PLAN
PMT-03	WETLAND/WATERCOURSE IMPACT PLAN
PMT-04	STRUCTURE PLAN, SECTION, AND ELEVATION
PMT-05	STAGING/HANDLING WATER PLAN
PMT-06	STAGING/HANDLING WATER PLAN

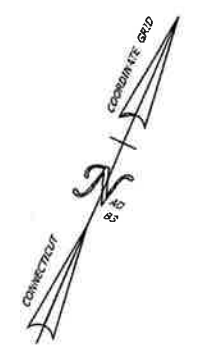
DESIGNED BY:
CDR GROUP INC

Jonathan Geary
2018.12.31
10:06:08 -05'00'

PLAN DATE: DECEMBER 31, 2018

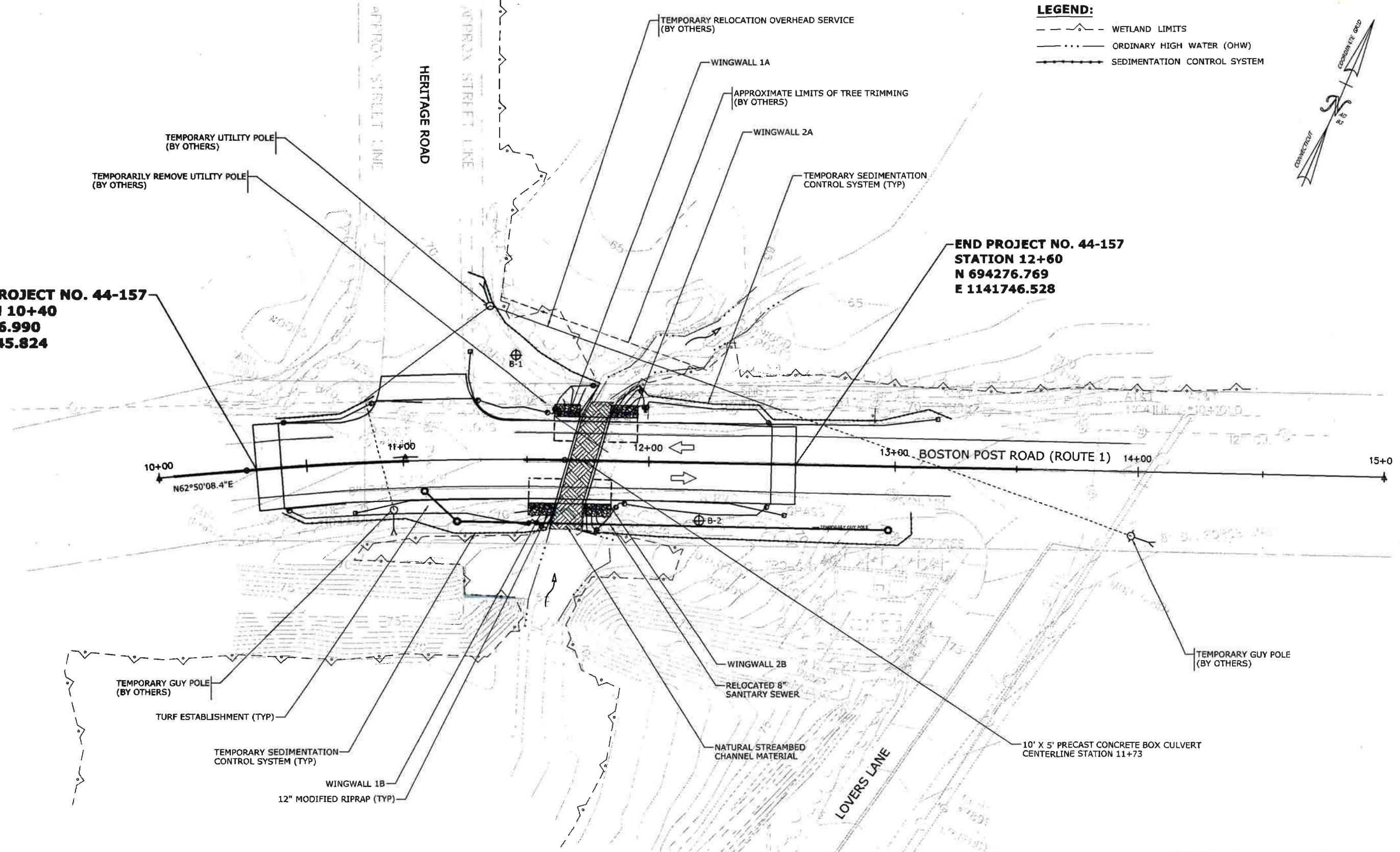
<p>THE INFORMATION INCLUDING ESTIMATED QUANTITIES OF WORK, SHOWN ON THESE SHEETS IS BASED ON LIMITED INVESTIGATIONS BY THE STATE AND IS IN NO WAY WARRANTED TO INDICATE THE CONDITIONS OF ACTUAL QUANTITIES OF WORK WHICH WILL BE REQUIRED</p>	<p>DESIGNER/DRAWER: P. GRISWOLD</p> <p>CHECKED BY: J. GEARY</p> <p>SCALE AS NOTED</p>	<p>STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION</p>	<p>STATE OF CONNECTICUT Professional Engineer</p> <p>CDR Group, Inc. 2080 Silas Deane Highway Rocky Hill, CT 06067</p>	<p>PROJECT TITLE:</p> <p>REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK</p>	<p>TOWN:</p> <p style="text-align: center;">EAST LYME</p> <p>DRAWING TITLE:</p> <p style="text-align: center;">TITLE SHEET</p>	<p>PROJECT NO:</p> <p style="text-align: center;">44-157</p> <p>DRAWING NO:</p> <p style="text-align: center;">PMT-01</p> <p>SHEET NO:</p>
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- LEGEND:**
- - - WETLAND LIMITS
 - ORDINARY HIGH WATER (OHW)
 - SEDIMENTATION CONTROL SYSTEM



**BEGIN PROJECT NO. 44-157
STATION 10+40
N 694186.990
E 1141545.824**

**END PROJECT NO. 44-157
STATION 12+60
N 694276.769
E 1141746.528**






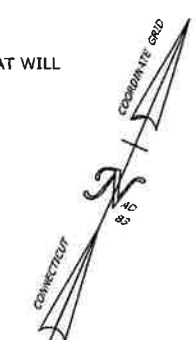
**ENVIRONMENTAL PERMIT PLANS
PLAN DATE: DECEMBER 31, 2018**

THE INFORMATION, INCLUDING ESTIMATED QUANTITIES OF WORK, SHOWN ON THESE SHEETS IS BASED ON LIMITED INVESTIGATIONS BY THE STATE AND IS IN NO WAY WARRANTED TO INDICATE THE CONDITIONS OF ACTUAL QUANTITIES OF WORK WHICH WILL BE REQUIRED.	DESIGNER/DRAWER: P. GRISWOLD		SIGNATURE/BLOCK: 	PROJECT TITLE: REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK	TOWN: EAST LYME	PROJECT NO. 44-157
	CHECKED BY: J. GEARY					
REV. 44-157	SCALE IN FEET SCALE 1" = 20'	FILENAME: ...HW MSH 0044-0157 PMT-02.dgn	DRAWING TITLE: GENERAL SITE PLAN	SHEET NO.		

LEGEND:

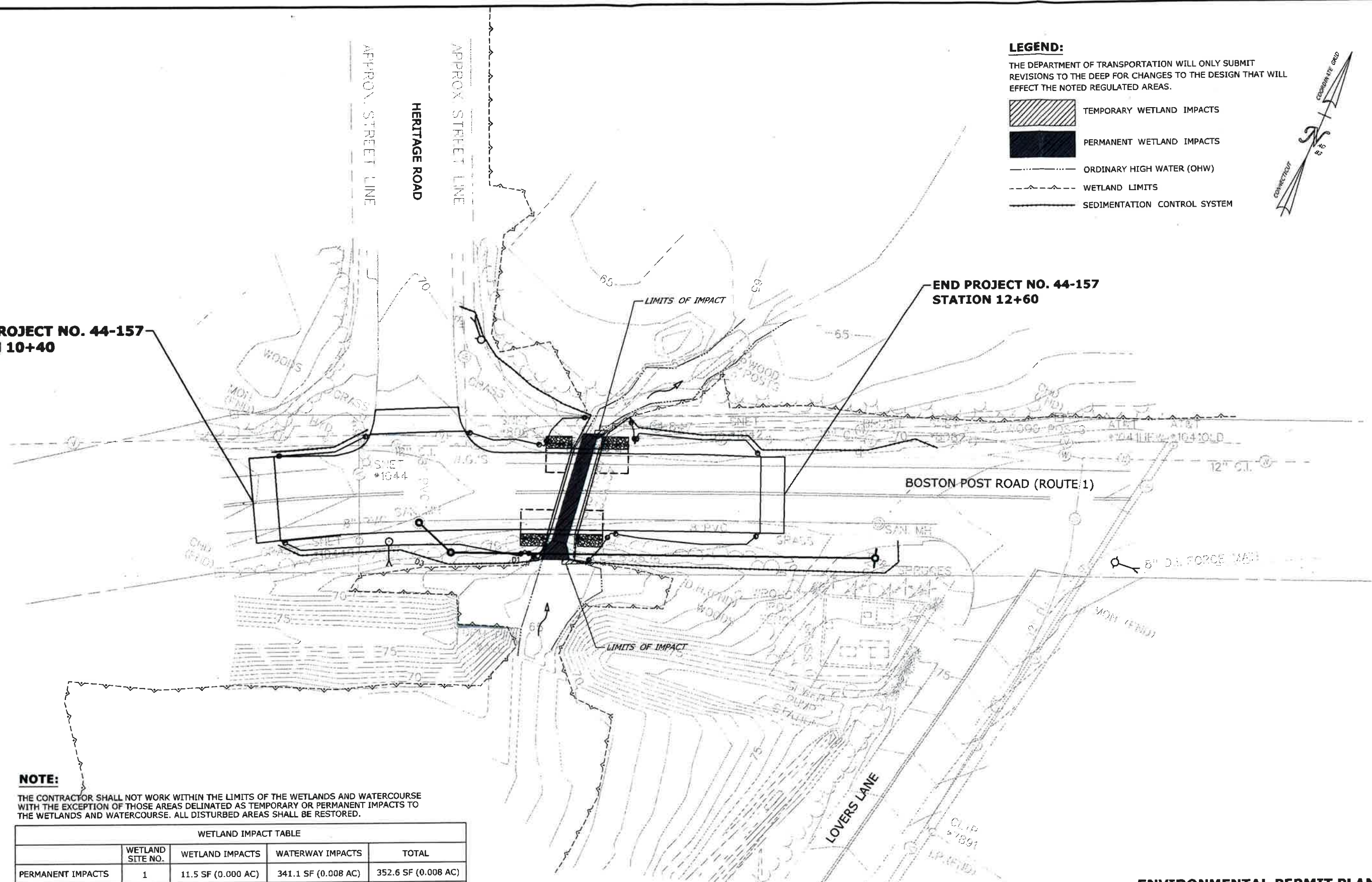
THE DEPARTMENT OF TRANSPORTATION WILL ONLY SUBMIT REVISIONS TO THE DEEP FOR CHANGES TO THE DESIGN THAT WILL EFFECT THE NOTED REGULATED AREAS.

-  TEMPORARY WETLAND IMPACTS
-  PERMANENT WETLAND IMPACTS
-  ORDINARY HIGH WATER (OHW)
-  WETLAND LIMITS
-  SEDIMENTATION CONTROL SYSTEM



**BEGIN PROJECT NO. 44-157
STATION 10+40**

**END PROJECT NO. 44-157
STATION 12+60**







NOTE:

THE CONTRACTOR SHALL NOT WORK WITHIN THE LIMITS OF THE WETLANDS AND WATERCOURSE WITH THE EXCEPTION OF THOSE AREAS DELINEATED AS TEMPORARY OR PERMANENT IMPACTS TO THE WETLANDS AND WATERCOURSE. ALL DISTURBED AREAS SHALL BE RESTORED.

WETLAND IMPACT TABLE				
	WETLAND SITE NO.	WETLAND IMPACTS	WATERWAY IMPACTS	TOTAL
PERMANENT IMPACTS	1	11.5 SF (0.000 AC)	341.1 SF (0.008 AC)	352.6 SF (0.008 AC)
TEMPORARY IMPACTS	1	15.6 SF (0.000 AC)	14.1 SF (0.000 AC)	29.7 SF (0.001 AC)
TOTAL IMPACTS		27.1 SF (0.001 AC)	355.2 SF (0.008 AC)	382.3 SF (0.009 AC)

**ENVIRONMENTAL PERMIT PLANS
PLAN DATE: DECEMBER 31, 2018**

REV. DATE 44-157	REVISION DESCRIPTION SHEET NO.	THE INFORMATION INCLUDING ESTIMATED QUANTITIES OF WORK SHOWN ON THESE SHEETS IS BASED ON LIMITED INVESTIGATIONS BY THE STATE AND IS IN NO WAY WARRANTED TO INDICATE THE CONDITIONS OF ACTUAL QUANTITIES OF WORK WHICH WILL BE REQUIRED. Plotted Date: 1/4/2019	DESIGNER/DRAFTER: P. GRISWOLD CHECKED BY: J. GEARY SCALE IN FEET  SCALE 1"=20' Filename: ...UW MSH 0044-0157 PMT-03.dgn	 STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION 	SIGNATURE/BLOCK:  CDR Group, Inc. 2080 Silas Deane Highway Rocky Hill, CT 06067	PROJECT TITLE: REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK	TOWN: EAST LYME DRAWING TITLE: WETLAND/WATERCOURSE IMPACT PLAN	PROJECT NO. 44-157 DRAWING NO. PMT-03 SHEET NO.
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HYDRAULIC DATA	
DRAINAGE AREA	0.64 SQ. MILES
DESIGN FREQUENCY	50 YEAR
DESIGN DISCHARGE	220 C.F.S.
AVERAGE DAILY FLOW ELEVATION	65.60 FT.
UPSTREAM DESIGN WATER SURFACE ELEVATION	69.52 FT.
DOWNSTREAM DESIGN WATER SURFACE ELEVATION	67.30 FT.
MAXIMUM SCOUR ELEVATION	
FREQUENCY	
DISCHARGE	
WORST CASE SCOUR SUB-STRUCTURE UNIT	*

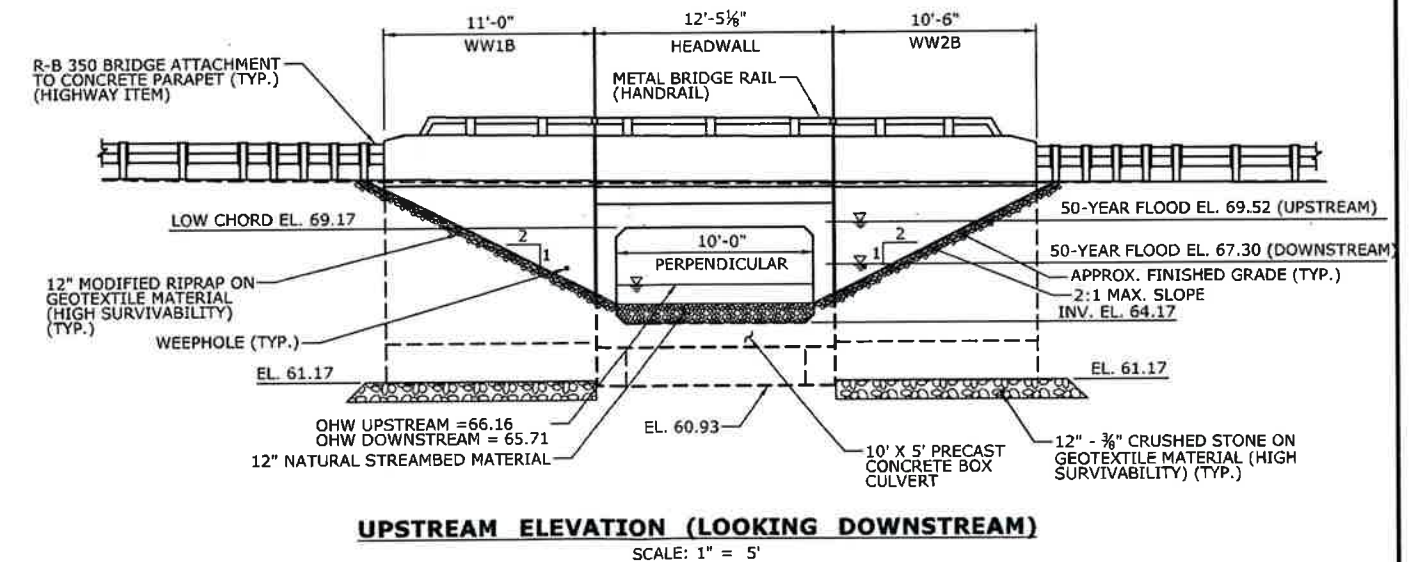
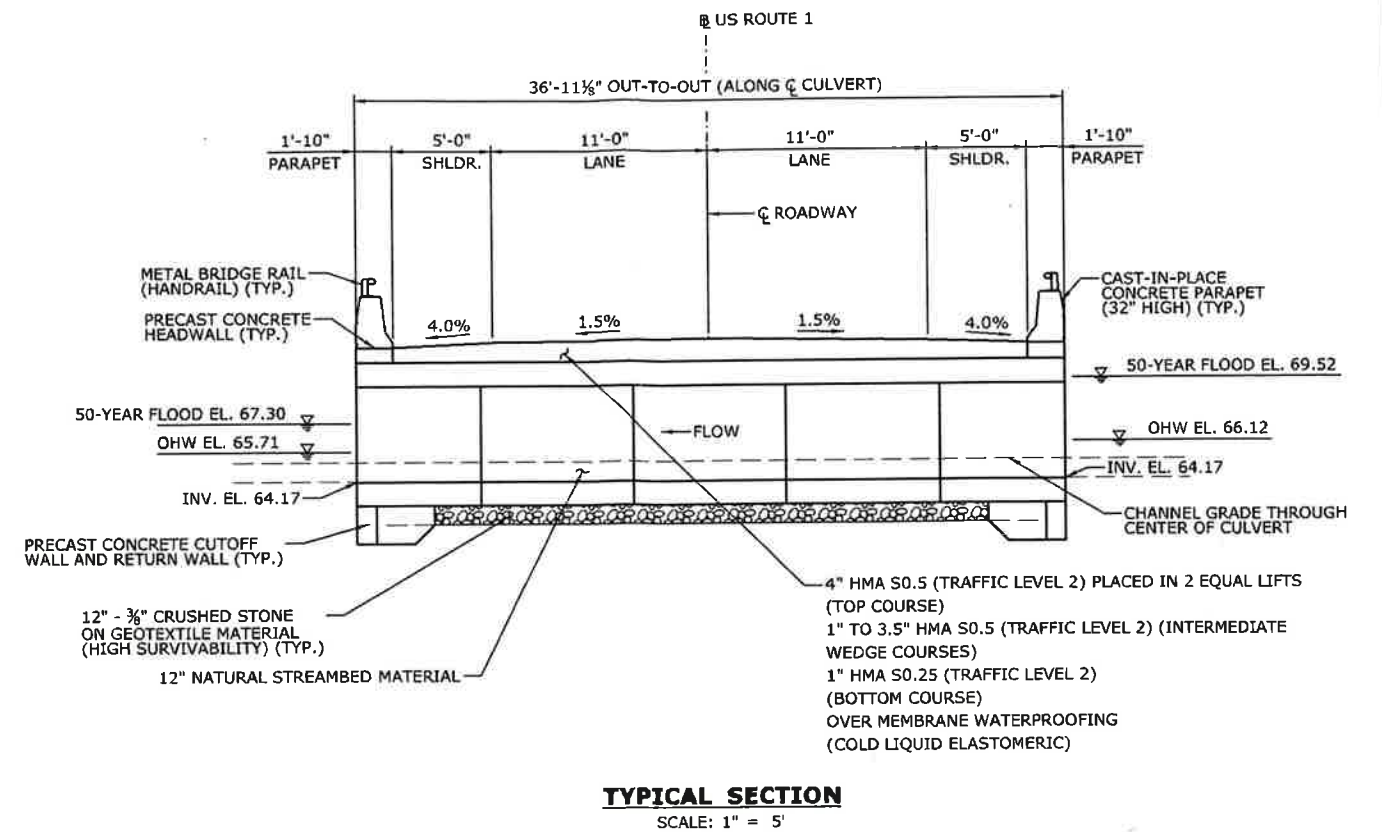
*SCOUR DEPTHS NOT DETERMINED. PROPOSED STRUCTURE TO BE A PRECAST REINFORCED CONCRETE BOX CULVERT.

OPENNESS RATIO (OR)

OR=OPEN AREA / CULVERT LENGTH
 OR=39.75 S.F. / 37 FT. = 1.07 FT.
 1.07 FT. > 0.82 FT. (RECOMMENDED MINIMUM)

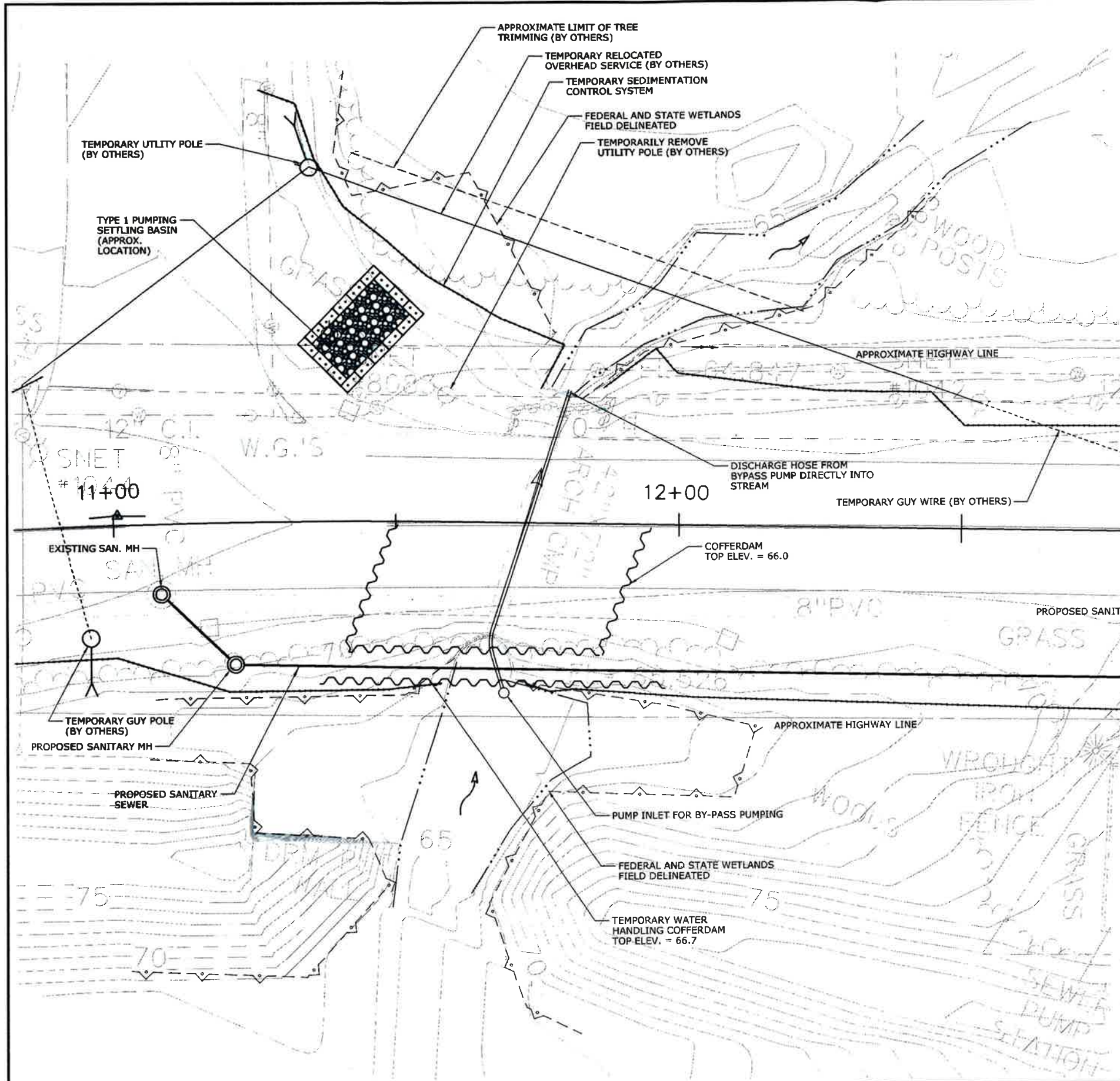
NATIVE STREAMBED MATERIAL NOTES

1. NATIVE STREAMBED MATERIAL EXCAVATED DURING THE PRE-CAST CONCRETE BOX CULVERT INSTALLATION SHALL BE STOCKPILED AND THEN REPLACED WITHIN THE PRECAST CONCRETE BOX CULVERT TO THE DEPTH SHOWN ON THE PLANS, AS DIRECTED BY THE ENGINEER AND IN ACCORDANCE WITH THE PERMIT DOCUMENTS. IF THERE IS NOT SUFFICIENT NATIVE MATERIAL PRESENT, SUPPLEMENTAL MATERIAL WILL BE REQUIRED (ITEM #0202217A - SUPPLEMENTAL STREAMBED CHANNEL MATERIAL).
2. THE STOCKPILE SHALL BE LOCATED OUTSIDE THE WETLAND LIMITS AND PROTECTED WITH SEDIMENTATION CONTROL SYSTEM.



ENVIRONMENTAL PERMIT PLANS
 PLAN DATE: DECEMBER 31, 2018

REV. 44-157	REVISION DESCRIPTION	SHEET NO.	Plotted Date: 12/31/2018	DESIGNER/DRAWER: C. MERINO		SIGNATURE/BLOCK: 	PROJECT TITLE: REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK	TOWN: EAST LYME	PROJECT NO. 44-157
				CHECKED BY: A. DANKSEWICZ					SCALE AS NOTED
				STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION		CDR Group, Inc. 2080 Silas Deane Highway Rocky Hill, CT 06067		DRAWING TITLE: STRUCTURE PLAN, SECTION, AND ELEVATION	
				Filename: ...UHW_FSH_0044-0157_PMT-04.dgn		280		SHEET NO.	



SUGGESTED SEQUENCE

STAGE 1 - SANITARY SEWER INSTALLATION (PRIOR TO ROADWAY CLOSURE)

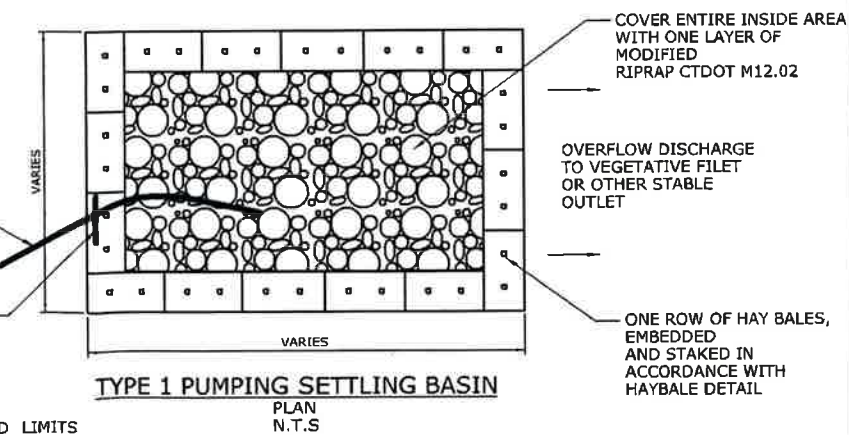
1. UTILITY RELOCATION BY OTHERS
2. MOBILIZATION/ESTABLISH FIELD OFFICE/TRAFFIC SIGNING
3. INSTALL TEMPORARY SEDIMENTATION CONTROL SYSTEM
4. INSTALL SEDIMENTATION CONTROL MEASURES
5. ESTABLISH ALTERNATING ONE WAY TRAFFIC ALONG RT 1.
 - A. ESTABLISH BY-PASS PUMPING FOR STREAM
 - B. INSTALL TEMPORARY WATER-HANDLING-COFFERDAM ALONG SOUTH SIDE OF RT 1.
 - C. PROTECT COFFERDAM LOCATED WITHIN THE ROADWAY.
 - D. ESTABLISH DEWATERING FOR EXCAVATION OF SANITARY SEWER PIPE AND SANITARY MANHOLES
 - E. ESTABLISH SANITARY SEWER BY PASS PUMPING
6. INSTALL RELOCATED SANITARY SEWER & MANHOLES

WATER HANDLING NOTES

1. EQUIPMENT WILL NOT BE PERMITTED IN THE STREAM WHEN TEMPORARY BYPASS IS NOT IN OPERATION WITHOUT THE APPROVAL OF THE ENGINEER.
2. THE TEMPORARY DEWATERING BASIN PAD SHALL BE ESTABLISHED OUTSIDE OF THE WETLAND LIMITS AS APPROVED BY THE ENGINEER.
 - A. THE SIZE OF THE TEMPORARY DEWATERING BASIN AND NUMBER/SIZE/CAPACITY OF PUMPS (DEWATERING & BYPASS) SHALL BE DETERMINED BY THE CONTRACTOR.
 - B. THE TEMPORARY DEWATERING BASIN AND MODIFIED RIPRAP SPLASH PAD SHALL REMAIN THROUGH ALL STAGES.
 - C. DURING STAGE 1, ROUTE DEWATERING AND BYPASS DISCHARGE HOSES THROUGH EXISTING CULVERT. DURING STAGE 2, DISCHARGE HOSES SHALL BE PLACED ON THE ROADWAY.
3. THE CONTRACTOR SHALL MAINTAIN CONTINUOUS BY-PASS PUMPING BETWEEN THE COMPLETION OF THE SANITARY SEWER INSTALLATION AND INSTALLATION OF THE BOX CULVERT.

TEMPORARY HYDRAULIC DATA

2 YEAR STORM EVENT DISCHARGE	20 C.F.S.
TEMPORARY DESIGN DISCHARGE	7 C.F.S.
AVERAGE DAILY FLOW	1.21 C.F.S.
UPSTREAM DESIGN WATER SURFACE ELEVATION	66.0 FT.
DOWNSTREAM DESIGN WATER SURFACE ELEVATION	65.6 FT.
TEMPORARY DESIGN FREQUENCY	3 X SPRING FLOW
AVERAGE SPRING FLOW	2.33 CFS

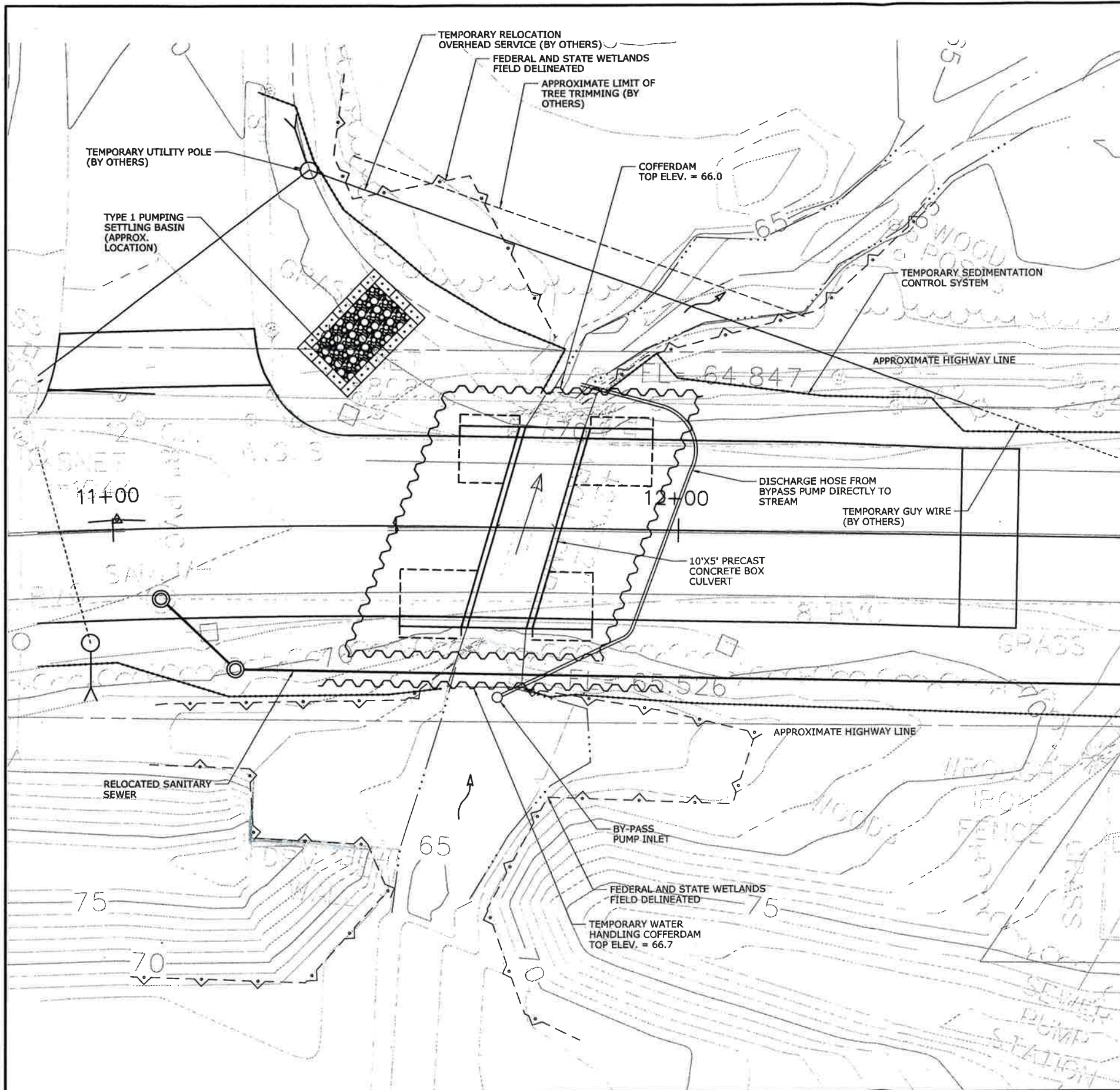


LEGEND

- WETLAND LIMITS
- ORDINARY HIGH WATER (OHW)
- SEDIMENTATION CONTROL SYSTEM

ENVIRONMENTAL PERMIT PLANS
PLAN DATE: DECEMBER 31, 2018

<p>44-157</p> <p>REVISION DESCRIPTION</p> <p>SHEET NO.</p> <p>Plotted Date: 1/4/2019</p>	<p>DESIGNER/DRAWER: P. GRISWOLD</p> <p>CHECKED BY: J. GEARY</p> <p>SCALE IN FEET</p> <p>SCALE 1"=10'</p>	<p>STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION</p> <p>File name: ...HW MSH 0044-0157 PMT-05.dgn</p>	<p>SIGNATURE/BLOCK:</p> <p>CDR Group, Inc. 2080 Silas Deane Highway Rocky Hill, CT 06067</p>	<p>PROJECT TITLE:</p> <p>REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK</p>	<p>TOWN:</p> <p>EAST LYME</p> <p>DRAWING TITLE:</p> <p>HANDLING WATER PLAN</p>	<p>PROJECT NO.</p> <p>44-157</p> <p>DRAWING NO.</p> <p>PMT-05</p> <p>SHEET NO.</p>
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SUGGESTED SEQUENCE

STAGE 2 - BOX CULVERT INSTALLATION (DURING ROADWAY CLOSURE)

1. INSTALL DETOUR SIGNING
2. ESTABLISH DETOUR, PLACE BARRICADES
3. INSTALL REMAINDER OF TEMPORARY COFFERDAM
4. INSTALL BOX CULVERT
 - A. ESTABLISH DEWATERING FOR PROPOSED BOX CULVERT AND WINGWALLS EXCAVATION
 - B. REMOVE EXISTING CULVERT
 - C. EXCAVATE TO GRADE FOR PROPOSED BOX CULVERT, CUTOFF WALL, HEADWALLS AND WINGWALLS
 - D. PLACE/GRADE CRUSHED STONE BEDDING
 - E. PLACE PRECAST BOX CULVERT, CUTOFF WALL, HEADWALL AND WINGWALLS
 - F. PLACE PERVIOUS STRUCTURE BACKFILL AND COMPACT
 - G. GRADE CHANNEL AT OUTFALL/INLET, PLACE 12" NATURAL STREAMBED MATERIAL
 - H. PLACE MODIFIED RIPRAP
 - I. REMOVE TEMPORARY COFFERDAM
 - J. RE-ESTABLISH FLOW THROUGH CULVERT
 - K. REMOVE DEWATERING BASIN(S), RESTORE AREA, PLACE TOPSOIL AND TURF ESTABLISHMENT
5. RECONSTRUCT ROADWAY
 - A. REMOVE EXISTING BITUMINOUS CONCRETE PAVMENT & CONCRETE BASE
 - B. EXCAVATE TO SUBGRADE AND COMPACT
 - C. PLACE PROCESSED AGGREGATE BASE, HMA S1.0 AND HMA S0.5
 - D. RESET SANITARY SEWER MANHOLE TOP IN ROADWAY
6. PLACE TEMPORARY PRECAST CONCRETE BARRIER CURB (TPCBC), SIGNING AND TEMPORARY STRIPING FOR STAGE 3, PHASE 1

STAGE 3 - PHASE 1 PARAPET CONSTRUCTION (AFTER ROADWAY CLOSURE)

1. FORM AND CAST CONCRETE PARAPET, INSTALL METAL HANDRAIL AND METAL BEAM RAIL/ANCHORAGES ALONG LEFT (NORTH) SIDE OF ROAD. PLACE TOPSOIL AND TURF ESTABLISHMENT ON SLOPES.
2. RELOCATE TPCBC, SIGNING AND TEMPORARY STRIPING FOR STAGE 3, PHASE 2

STAGE 3 - PHASE 2 PARAPET CONSTRUCTION (AFTER ROADWAY CLOSURE)

1. FORM AND CAST CONCRETE PARAPET, INSTALL METAL HANDRAIL AND METAL BEAM RAIL/ANCHORAGES ALONG RIGHT (SOUTH) SIDE OF ROAD. PLACE TOPSOIL AND TURF ESTABLISHMENT ON SLOPES.
2. REMOVE TPCBC, CONSTRUCTION SIGNING, PLACE TOP COURSE HMA S0.5 AND FINAL PAVEMENT MARKINGS. REMOVE TEMPORARY SEDIMENTATION CONTROL MEASURES, CLEAN UP SITE.
3. UTILITY COMPANIES TO RESTORE OVERHEAD UTILITIES TO PRE-CONSTRUCTION LOCATIONS.

SEE PMT-05 FOR TEMPORARY HYDRAULIC DATA TABLE

LEGEND

- WETLAND LIMITS
- ORDINARY HIGH WATER (OHW)
- SEDIMENTATION CONTROL SYSTEM

**ENVIRONMENTAL PERMIT PLANS
PLAN DATE: DECEMBER 31, 2018**

44-157 REV. DATE REVISION DESCRIPTION SHEET NO. Plotted Date: 1/4/2019	THE INFORMATION, INCLUDING ESTIMATED QUANTITIES OF WORK, SHOWN ON THESE SHEETS IS BASED ON LIMITED INVESTIGATIONS BY THE STATE AND IS IN NO WAY WARRANTED TO INDICATE THE CONDITIONS OF ACTUAL QUANTITIES OF WORK WHICH WILL BE REQUIRED.	DESIGNER/DRAFTER: P. GRISWOLD CHECKED BY: J. GEARY SCALE IN FEET SCALE 1" = 10'	 STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION 282 Filename: ...VHW_MSH_0044-0157_PMT-06.dgn	SIGNATURE/BLOCK: CDR Group, Inc. 2080 Silas Deane Highway Rocky Hill, CT 06067	PROJECT TITLE: REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK	TOWN: EAST LYME DRAWING TITLE: HANDLING WATER PLAN	PROJECT NO. 44-157 DRAWING NO. PMT-06 SHEET NO.
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Attachment D: Natural Diversity Data Base

General Permit for Water Resource Construction Activities

Applicant: State of Connecticut, Department of Transportation
Project No. 44-157
Replacement of Bridge No. 06676 in East Lyme
U.S. Route 1 over unnamed brook

List of Attachments

- Natural Diversity Data Base Map for East Lyme, Connecticut
Dated: December 2018
- Letters from Ms. Dawn M. McKay of the State of Connecticut Department of Energy and Environmental Protection dated September 20, 2017 to Mr. Aaron Ferraro with a determination that negative impacts to State listed species are not anticipated as a result of this project.

Natural Diversity Data Base Areas

EAST LYME, CT

December 2018

-  State and Federal Listed Species & Significant Natural Communities
-  Town Boundary

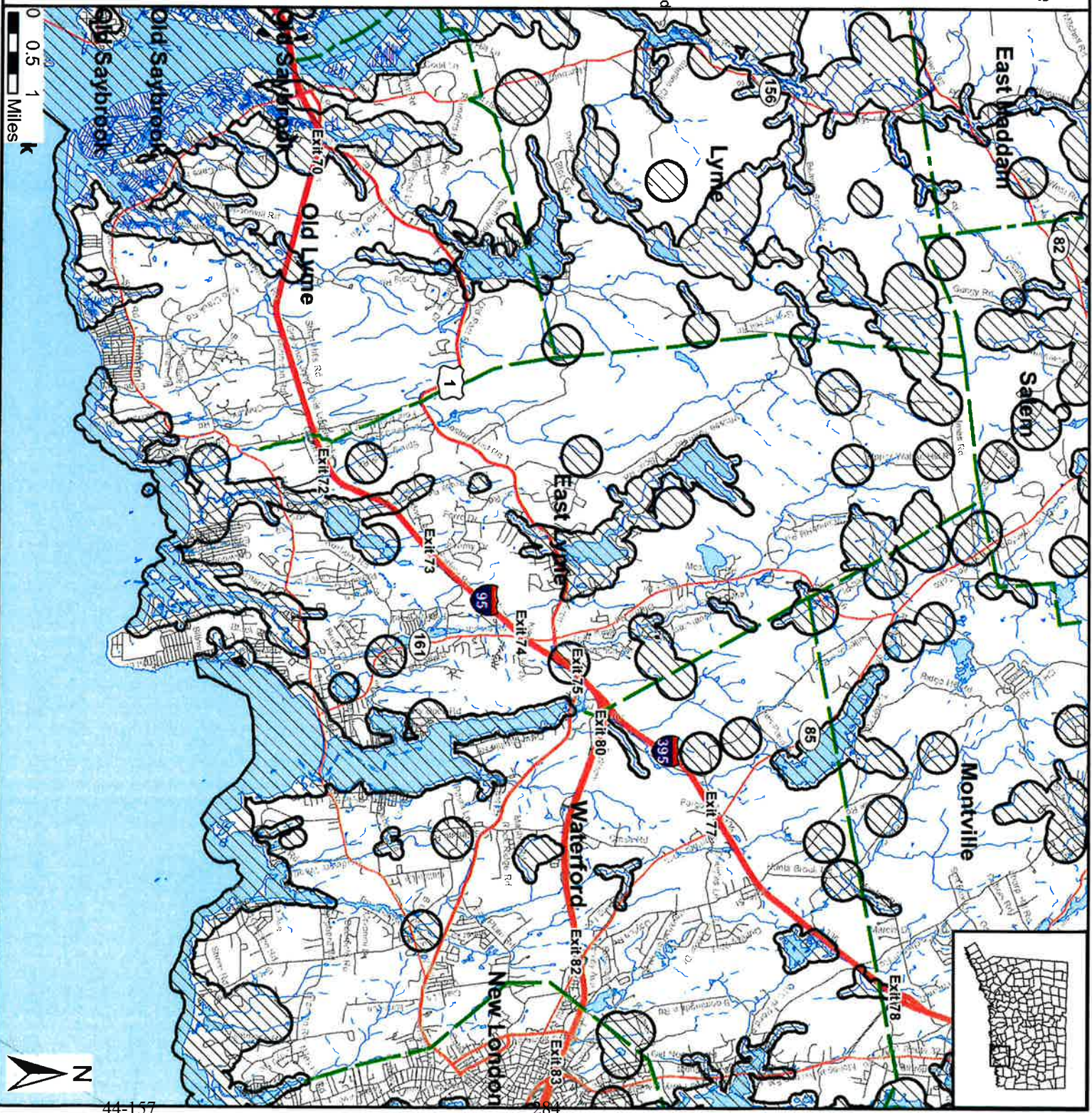
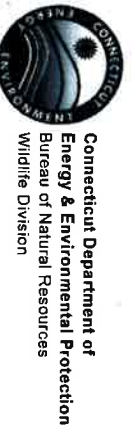
NOTE: This map shows general locations of State and Federal Listed Species and Significant Natural Communities. Information on listed species is collected and compiled by the Natural Diversity Data Base (NDDB) from a number of data sources. Exact locations of species have been buffered to produce the general locations. Exact locations of species and communities occur somewhere in the shaded areas, not necessarily in the center. A new mapping format is being employed that more accurately models important riparian and aquatic areas and eliminates the need for the upstream/downstream searches required in previous versions.

This map is intended for use as a preliminary screening tool for conducting a Natural Diversity Data Base Review Request. To use the map, locate the project boundaries and any additional affected areas. If the project is within a shaded area there may be a potential conflict with a listed species. For more information, complete a Request for Natural Diversity Data Base State Listed Species Review form (DEP-APP-007), and submit it to the NDDB along with the required maps and information. More detailed instructions are provided with the request form on our website.

www.ct.gov/deep/nddbrequest

Use the CTECO Interactive Map Viewers at www.cteco.uconn.edu to more precisely search for and locate a site and to view aerial imagery with NDDB Areas.

QUESTIONS: Department of Energy and Environmental Protection (DEEP)
79 Elm St., Hartford CT 06106
Phone (860) 424-3011



September 20, 2017

Aaron M. Ferraro
State Of Connecticut Department Of Transportation
2800 Berlin Turnpike
PO Box 317546
Newington, CT 06111
aaron.ferraro@ct.gov

Project: CTDOT Project 044-157 Bridge 06676- U.S. 1 over Unnamed Brook Between Heritage Road and Lovers Lane in East Lyme
NDDB Determination No.: 201707000

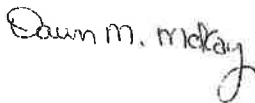
Dear Aaron M. Ferraro,

I have reviewed Natural Diversity Data Base (NDDB) maps and files regarding the area delineated on the map provided for the proposed CTDOT Project 044-157 Bridge 06676- U.S. 1 over Unnamed Brook Between Heritage Road and Lovers Lane in East Lyme, Connecticut. I do not anticipate negative impacts to State-listed species (RCSA Sec. 26-306) resulting from your proposed activity at the site based upon the information contained within the NDDB. The result of this review does not preclude the possibility that listed species may be encountered on site and that additional action may be necessary to remain in compliance with certain state permits. This determination is good for two years. Please re-submit a new NDDB Request for Review if the scope of work changes or if work has not begun on this project by September 20, 2019.

Natural Diversity Data Base information includes all information regarding critical biological resources available to us at the time of the request. This information is a compilation of data collected over the years by the Department of Energy and Environmental Protection's Natural History Survey and cooperating units of DEEP, private conservation groups and the scientific community. This information is not necessarily the result of comprehensive or site-specific field investigations. Consultations with the Data Base should not be substitutes for on-site surveys required for environmental assessments. Current research projects and new contributors continue to identify additional populations of species and locations of habitats of concern, as well as, enhance existing data. Such new information is incorporated into the Data Base as it becomes available.

Please contact me if you have further questions at (860) 424-3592, or dawn.mckay@ct.gov . Thank you for consulting the Natural Diversity Data Base.

Sincerely,



Dawn M. McKay
Environmental Analyst 3

Attachment F: ACOE Category 1 Certification Form

General Permit for Water Resource Construction Activities

Applicant: State of Connecticut, Department of Transportation
Project No. 44-157
Replacement of Bridge No. 06676 in East Lyme
U.S. Route 1 over unnamed brook

List of Attachments

- Appendix E: Category 1 Self-Verification Notification Form will be filed with the US Army Corp of Engineers



**US Army Corps
of Engineers**
New England District

Appendix E: Self-Verification Notification Form

This form is required for all **non-tidal projects in Connecticut**, but **not** required if work is done within boundaries of Mashantucket Pequot or Mohegan Tribal Lands. **Before** work commences, complete **all** fields (write "none" if applicable); attach project plans (not required for projects involving the installation of construction mats only); and any state or local approval(s); and send to:

Permits & Enforcement Branch B
U.S. Army Corps of Engineers
696 Virginia Road
Concord, MA 01742-2751
or cenac-r@usace.army.mil

and

CT DEEP
Inland Water Resources Division
79 Elm Street
Hartford, CT 06106-5127

State or local Permit Number: TBD
Date of State or local Permit: TBD
State/local Project Manager: TBD

Permittee: State of Connecticut, Department of Transportation
Address, City, State & Zip: 2800 Berlin Turnpike, P.O. Box 317546, Newington, CT. 06131-7546
Phone(s) and Email: (860) 594-2931 Kimberly.lesay@ct.gov

Contractor: TBD by bidding process
Address, City, State & Zip: _____
Phone(s) and Email: _____

Consultant/Engineer/Designer: CDR Group, Inc. / Jonathan Geary
Address, City, State & Zip: 2080 Silas Deane Highway, Rocky Hill, CT. 06067
Phone(s) and Email: (860) 969-3601 Jonathan.Geary@cdrgroup-inc.com

Wetland/Soil Scientist Consultant: Fitzgerald & Halliday, Inc. / Laurel Stegina
Address, City, State & Zip: 416 Asylum Street, Hartford, CT. 06103
Phone(s) and Email: (860) 256-4914 lstegina@fhplan.com

Project Location (provide detailed description & locus map): Bridge No. 06676, U.S. Route 1 over unnamed brook in East Lyme, CT (located between Heritage Road and Lovers Lane)

Address, City, State & Zip: see location map

Latitude/Longitude Coordinates: 41° 21' 54.36" N, 72° 14' 03.54" W

Waterway Name: unnamed brook

Project Purpose (include all aspects of the project including those not within Corps jurisdiction):
The purpose of the project is to replace the existing pipe, which is structurally deficient and hydraulically inadequate with a 10' x 5' precast concrete box culvert with precast concrete wingwalls to ensure the structural adequacy of U.S. Route 1 over the brook.

Work Description: Stage 1 of the project will involve installation of the water handling facilities and relocation of the existing sewer line. Temporary cofferdams will be installed upstream of the bridge to frame the channel excavation for the proposed sanitary sewer location, and one line of cofferdam will be installed downstream of the bridge. During Stage 2, the bridge will be replaced during a short term road closure and implementation of a detour. The cofferdams will be removed once the precast culvert and wingwalls are installed and all channel work is complete. Stage 3 will involve forming of the parapets and any remaining minor activities.

2016 Connecticut General Permits

Work will be done under the following GP(s) (check all that have associated impacts):

 GP. 2 - Repair or maintenance of authorized or grandfathered structures/fills

Area of total wetland impacts: temporary _____ SF permanent _____ SF

Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 5 - Boat ramps/marine railways

Area of total wetland impacts: temporary _____ SF permanent _____ SF

Area of total waterway impacts: temporary _____ SF permanent _____ SF

 **GP. 6 - Utility line activities (include calculations for each single & complete crossing
- attach additional sheet if necessary)**

Area of total wetland impacts: temporary _____ SF permanent _____ SF

Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 9 - Shoreline and bank stabilization projects

Area of total wetland impacts: temporary _____ SF permanent _____ SF

Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 10 - Aquatic habitat restoration, establishment and enhancement activities

Area of total wetland impacts: temporary _____ SF permanent _____ SF

Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 11 - Fish & wildlife harvesting, enhancement and attraction devices and activities

Area of total wetland impacts: temporary _____ SF permanent _____ SF

Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 12 - Oil Spill and Hazardous material cleanup

Area of total wetland impacts: temporary _____ SF permanent _____ SF

Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 13 - Cleanup of hazardous and toxic waste

Area of total wetland impacts: temporary _____ SF permanent _____ SF

Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 14 - Scientific measurements devices

Area of total wetland impacts: temporary _____ SF permanent _____ SF

Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 15 - Survey activities

Area of total wetland impacts: temporary _____ SF permanent _____ SF

Area of total waterway impacts: temporary _____ SF permanent _____ SF

 GP. 17 - New/expanded developments & recreational facilities

Area of total wetland impacts: temporary _____ SF permanent _____ SF

Area of total waterway impacts: temporary _____ SF permanent _____ SF

GP. 18 - Linear transportation projects- wetland crossings only (include calculations for each single & complete crossing - attach additional sheet if necessary)

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

GP. 19 - Stream, river & brook crossings – not including wetland crossings (include calculations for each single & complete crossing – attach additional sheet if necessary)

Area of total wetland impacts: temporary 16 SF permanent 12 SF
Area of total waterway impacts: temporary 14 SF permanent 341 SF

 GP. 21 - Temporary fill not associated with any other GP activities

Area of total wetland impacts: temporary _____ SF permanent _____ SF
Area of total waterway impacts: temporary _____ SF permanent _____ SF

Does your project include any secondary effects? Yes _____ No
(Secondary effects include, but are not limited to non-tidal waters or wetlands drained, flooded, fragmented, or mechanically cleared resulting from a single and complete project. See Appendix F - Definitions.) If YES, describe here: _____

Proposed Work Dates: Start: September 2019 Finish: November 2019

Your name/signature below, as permittee, confirms that your project meets the self-verification criteria and that you accept and agree to comply with the applicable terms and conditions in the Connecticut General Permits.

Thomas J. Mariani
Signature of Permittee

- 1-9-2019
Date

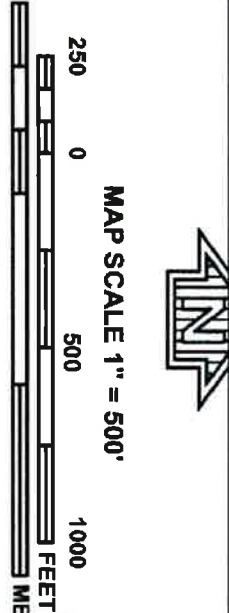
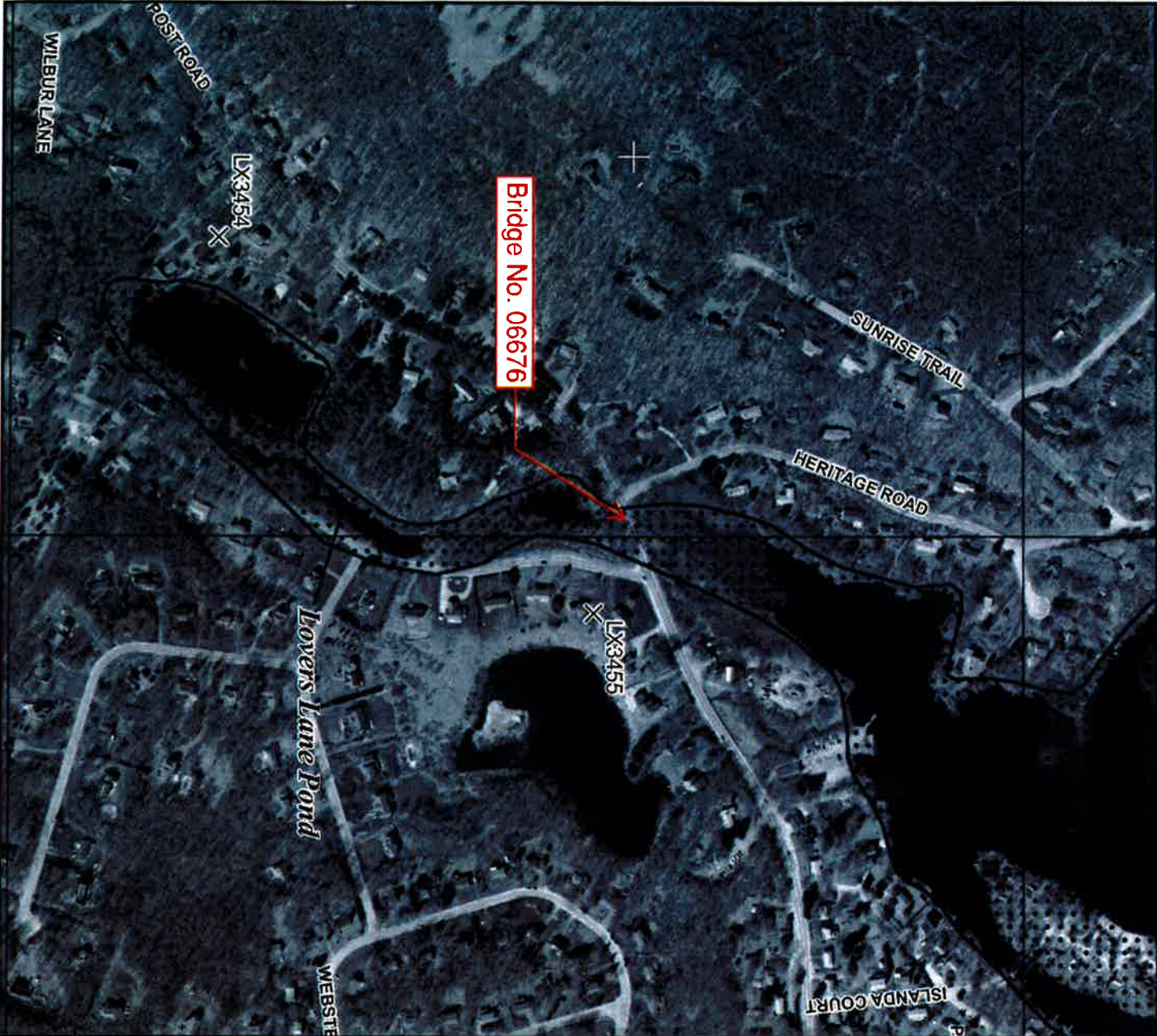
Attachment H: Other Information

General Permit for Water Resource Construction Activities

Applicant: State of Connecticut, Department of Transportation
Project No. 44-157
Replacement of Bridge No. 06676 in East Lyme
U.S. Route 1 over unnamed brook

List of Attachments

- Flood Insurance Rate Map Panel 09011C0476G, for New London County.
Dated: July 18, 2011
- Letter from State of Connecticut Department of Transportation, Office of Environmental Planning dated December 1, 2017 with a determination that the project "is exempt from Section 106 review".
- Email from Mr. Chris Hansen of the Federal Highway Administration noting that Tribal Consultation for the subject project is not required.
- Permit Plans signed by DEEP Fisheries Division November 7, 2018.
- Interagency Coordination Meeting Notes, dated June 15, 2017.
- Site Photos



NFIP	
NATIONAL FLOOD INSURANCE PROGRAM	
PANEL 0476G	
FIRM	
FLOOD INSURANCE RATE MAP	
NEW LONDON COUNTY,	
CONNECTICUT	
ALL JURISDICTIONS	
PANEL 476 OF 554	
(SEE MAP INDEX FOR FIRM PANEL LAYOUT)	
CONTAINS:	
COMMUNITY	NUMBER
EAST TOWN, TOWN OF	090098
	PANEL
	0476
	SUFFIX
	G
<p>Notice to User: The Map Number shown below should be used when placing map orders; the Community Number shown above should be used on insurance applications for the subject community.</p>	
Federal Emergency Management Agency	
MAP NUMBER 09011C0476G	
EFFECTIVE DATE JULY 18, 2011	

This is an official copy of a portion of the above referenced flood map. It was extracted using F-MIT On-Line. This map does not reflect changes or amendments which may have been made subsequent to the date on the title block. For the latest product information about National Flood Insurance Program flood maps check the FEMA Flood Map Store at www.msc.fema.gov



STATE OF CONNECTICUT

DEPARTMENT OF TRANSPORTATION

2800 BERLIN TURNPIKE, P.O. BOX 317546
NEWINGTON, CONNECTICUT 06131-7546



Determination of Exemption
from Section 106 Review

Author: Mark McMillan Date: December 1, 2017

Project: State No.: 44-157
F.A.P. No.: TBD
Project Title: Bridge #06676 Replacement
Route 1 over unnamed brook
Town: East Lyme

Category of Exemption: Appendix B "Screened Undertakings..."

Project Description

Using federal and state funds, the Connecticut Department of Transportation (CTDOT) proposes to replace Structure #06676, which is a culvert that conveys an unnamed brook beneath Route 1 in East Lyme (Image 1). Field inspections and engineering analysis have found the structure to be in Serious condition and hydraulically inadequate. The proposed replacement structure is a precast concrete box culvert.

Construction will require the temporary relocation of aerial utility lines. Subterranean utilities will be maintained in place. A weekend closure of the roadway with detoured traffic onto Route 1 and I-95 will be necessary. Traffic will be maintained throughout the rest of the construction period using off-peak lane closures and alternating one-lane traffic. No acquisitions of the abutting properties is anticipated. Final design for this project is scheduled for November, 2018 with construction to follow in early 2019.

Technical Review of Project

Structure #06676 is a single bore asphalt-coated corrugated metal pipe culvert. Its oblate profile is 6 feet wide and 44 inches tall. The pipe is 46 feet long and topped with approximately 2-1/2 feet of ballast. There are no formal end treatments, and the pipe has a canted shape to match the slope of the raised roadway. The banks up- and downstream of the structure are consolidated with riprap, pavement and vegetation. Aside from a metal beam guiderail, there are no signs of the culvert visible from the road (Image 2). Structure #06676 was installed in 1982 and has not undergone any significant alterations. It is categorized as Not Eligible for the National Register of Historic Places.

Inspections by CTDOT's Bridge Safety and Evaluation unit noted severe corrosion and section loss of the metal pipe liner within the culvert. With the loss of integrity of the metal, there has been significant distortion of the pipe. This inhibits the flow of water through the culvert, as does the debris that collects within the pipe (Image 3). Engineering analysis of has determined that the pipe is inadequate to meet the demands a 50-year flood.

To address the flood demands, the replacement structure has a clear span that is 10' wide and 5' tall. It features concrete wing and end walls. The culvert will be partially buried so that it can be filled with 1 foot of natural streambed material (Figure 1). Although the replacement structure is larger than the existing culvert, it will be installed on the same alignment and within the road right of way.

The project's Area of Potential Effect (APE) is centered on the culvert and includes the segment of Route 1 that will be modified to accommodate the new, wider structure. A crane will be required to install the new culvert. The resulting APE has a rectangular footprint that is approximately 150 feet long (east-west along Route 1) and 100 feet wide up- and downstream of the structure.¹ Within and surrounding the APE are medium density residential developments.

Approximately 60 feet to the west, Heritage Road intersects the north side of Route 1. The road is lined with single family homes that were built in the early 1960s. Lovers Lane is 160 feet east of the culvert. This area had been a gravel quarry, but was developed in the 1980s and 1990s with single family homes. To the northeast of the culvert is Pattagansett Lake, which is the source of the brook that flows through Structure #06676. There was a woolen mill that utilized water from the lake. It was located on Mill Road, which is 0.5 miles to the east of the culvert and outside of the APE. The mill was moved in the 1930s. There are no properties within the APE that are listed or appear to be eligible for the National Register of Historic Places.

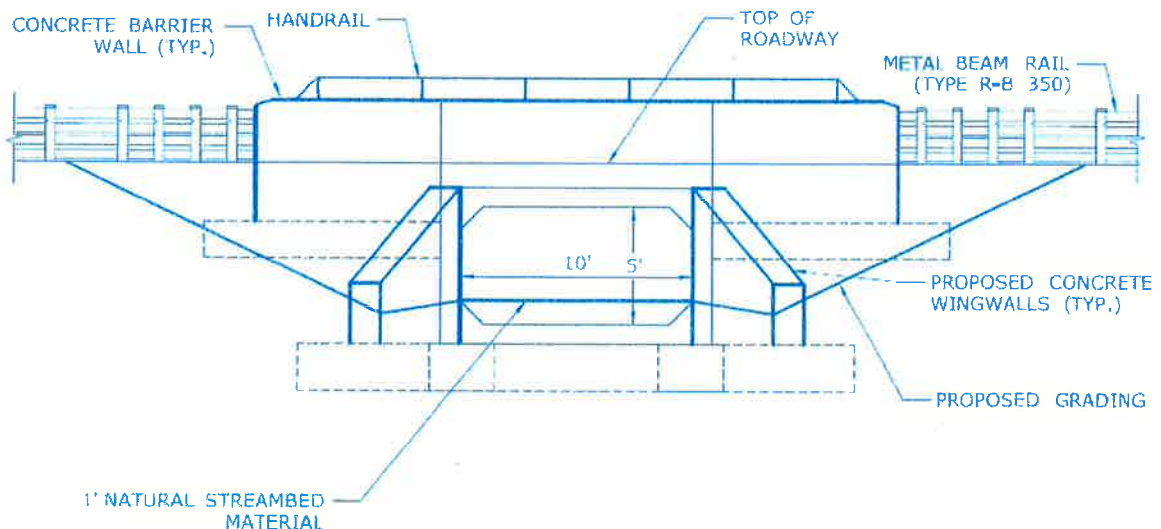


Figure 1: Elevation drawing of proposed replacement structure.

¹ This segment of Route 1 is oriented east-west and the directional descriptions reflect the true north.

The sediments in the APE are classified as Canton and Charlton Soils with 15-35% slopes to the west and Udorthents-Pit Complex to the east. There are no known archaeological sites within a mile of the APE. The soils within the APE have been previously disturbed by the construction/maintenance of Route 1 and the installation of Structure #06676 in 1982, and the installation of water and sewer lines along the road right of way.

Determination of Effect

The subject project meets the criteria of a “Bridge/Culvert Related” Minor Transportation Project” as defined in Appendix B of the Section 106 Programmatic Agreement.² Because Structure #06676 is not eligible for the National Register of Historic Places; there are no known archaeological resources in the area of the work; and the limits of the proposed work are unlikely to impact intact, eligible archaeological sites, the OEP has determined that the project is exempt from Section 106 review. No further consultation with the SHPO is necessary. A copy of this finding will be included in the quarterly report of Minor Transportation Projects that is submitted to the SHPO.



Mark McMillan
National Register Specialist
Office of Environmental Planning
Connecticut Department of Transportation



Image 1: Outlet of Structure #06676.

² *Programmatic Agreement among the Federal Highway Administration, the Connecticut Department of Transportation, the Connecticut State Historic Preservation Officer, and the Advisory Council on Historic Preservation Regarding Implementation of Minor Transportation Projects*, signed October 26, 2012. Accessible online at: www.ct.gov/culturalresources



Image 2: View of Route 1 looking northward. The location of Structure #06676 is highlighted. Note the manhole on the shoulder, indicating a storm/sewer utility line.

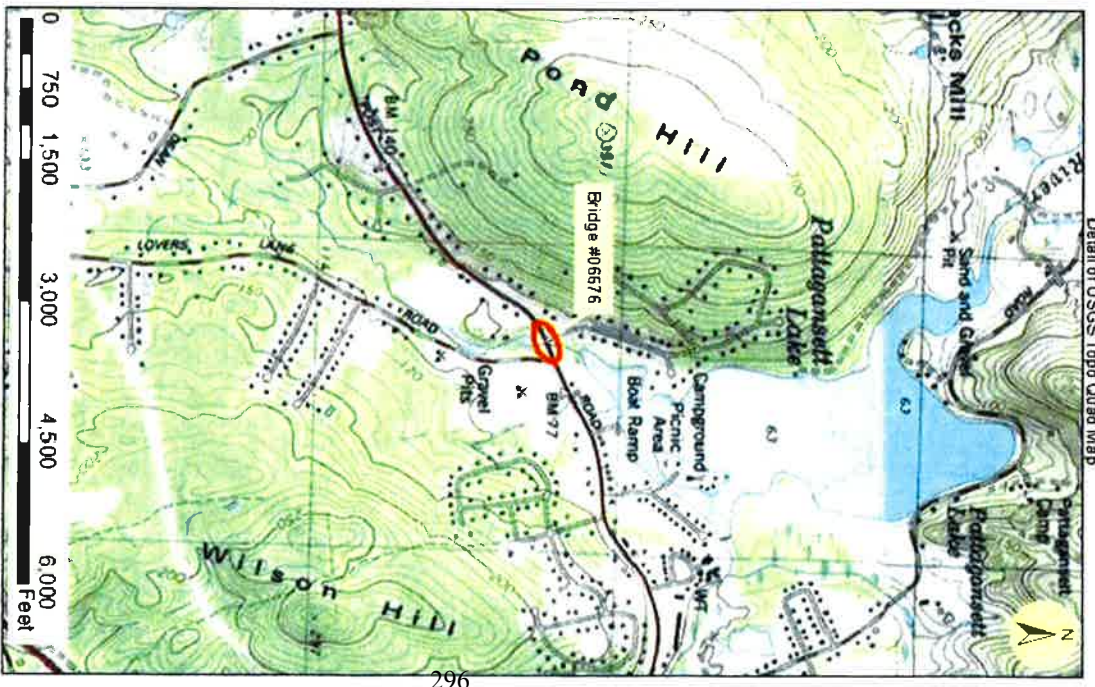


Image 3: Downstream view of culvert pipe. Note the loss of protective asphalt coating, corrosion and distortion of the metal pipe liner and debris.

Detail of 2017 Aerial Photography



Detail of USGS Topo Quad Map



Detail of 1864 Baker Map of New London County



Detail of 1811 Warren Map of Connecticut with 1930 Gishwold overlay



**Office of Environmental Planning
Environmental Review - Historical and
Archaeological Resources**

This product was created using TeleAtlas Information
3/1984-2009 Tele Atlas, Rel. 6/2009.

State Project No. 44-157
F.I.D.#: TBD
Replacement of Bridge #06676
Route 1 over unnamed brook
East Lyme

**Predicted Archaeological
Soil Sensitivity**

	High		Low
	Moderate		Poor
	Variable		Unknown

Historic District

	Historic District
	Cemetery/ 4(f) Resource

**Approximate Location
of Archaeological Site**

	Historic
	Pre-Contact
	Unknown



September 11, 2017

From: Hansen, Christopher (FHWA) <christopher.hansen@dot.gov>
Sent: Monday, December 04, 2017 10:58 AM
To: McMillan, Mark J.
Cc: Fleming, Kevin
Subject: RE: Tribal Consultation: Project #44-157 East Lyme (Culvert #06676 Replacement, Route 1 over unnamed brook)

Mark,

I have carefully reviewed CTDOT's proposed project #44-157 for replacement of culvert 06676 in East Lyme. As per email and documentation sent December 1, 2017, I understand that the project primarily occurs in previously disturbed right-of-way. Given the ground disturbance in the APE, I do not believe it is necessary to send this to tribes, even with the project's proximity to the Gateway Commons site.

As per the THPO Section 106 Agreements with FHWA-CT Division, dated January 4, 2012 and May 14, 2013, this project would generally fall within the category of "resurfacing or repair of existing ramps or roadways within the previously disturbed right-of-way".

With this email, and taking all these items into consideration, the FHWA-CT Division has determined that tribal consultation would not be required for this project. Should any changes be made to the scope of work for this project that would involve additional ground disturbance beyond what is currently proposed, tribal consultation would have to be reconsidered.

Chris

Chris Hansen
Environmental Protection Specialist
Federal Highway Administration
628-2 Hebron Avenue, Suite 303
Glastonbury, CT 06033
860.494.7577
christopher.hansen@dot.gov

From: McMillan, Mark J. [<mailto:Mark.McMillan@ct.gov>]
Sent: Friday, December 01, 2017 2:10 PM
To: Hansen, Christopher (FHWA) <christopher.hansen@dot.gov>
Cc: Fleming, Kevin <Kevin.Fleming@ct.gov>
Subject: Tribal Consultation: Project #44-157 East Lyme (Culvert #06676 Replacement, Route 1 over unnamed brook)

Chris,

Attached is a Determination of Exemption letter for State Project #44-157 in East Lyme. This undertaking will replace a single pipe culvert that was installed in 1982 with a new precast concrete box culvert. The undertaking is confined to the previously disturbed soils of the existing road right of way. I

would typically not recommend that this project requires tribal consultation, but it is within a mile of the Gateway Commons site (State Project #44-156) that the Mohegan tribe have expressed interest.

Despite this proximity, the APE of this project has ample evidence of past disturbance, including the installation of both aerial and subterranean utility lines and a gravel quarry turned subdivision that abuts the southeast corner of the APE. I'll defer to FHWA's decision on whether to conduct tribal consultation on this project.

Mark

Mark McMillan

National Register Specialist
Office of Environmental Planning
Connecticut Department of Transportation
2800 Berlin Turnpike
Newington, CT 06131

☎ (860) 594-2135

☎ (860) 594-3028 - Fax

✉ mark.mcmillan@ct.gov



**Connecticut Department of
Energy & Environmental Protection**

Bureau of Natural Resources
Fisheries Division
Habitat Conservation and Enhancement Program
Eastern District Headquarters
209 Hebron Road
Marlborough, CT 06447
Tel: (860) 295-9523

TO: Aaron Ferraro, Transportation Planner
FROM: Brian D. Murphy, Senior Fisheries Habitat Biologist
DATE: August 2, 2017, 2017
SUBJECT: Fisheries Review DOT Project 44-157 (06676)

Type of Permit:

1. **DOT Culvert/Bridge Projects** **Project#:** 44-157 **Bridge#:** 06676
 2. **Diversion**
 3. **PGP/Inland Wetland**
 4. **Water Quality Certification**

Applicant: Connecticut Department of Transportation

Permit Application Number: N/A **Town:** East Lyme

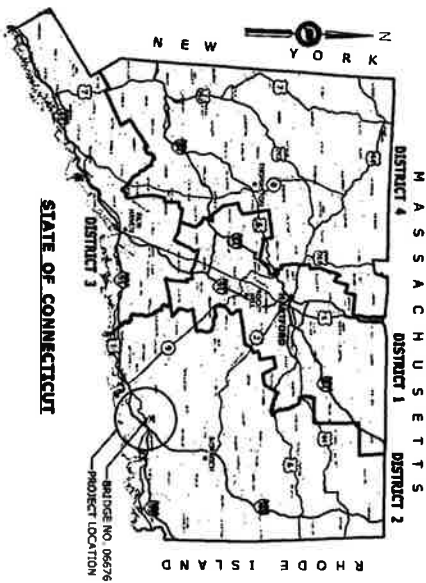
Waters: Unnamed Tributary to Pattagansett Lake **Sub Regional Basin #:** 2205

Project Scope: The project involves the replacement of an existing steel arch culvert with a 5 ft. x 10 ft. precast concrete box buried one foot with natural streambed materials. Enclosed are my preliminary comments.

Fisheries Resources: The Fisheries Division has not collected resource data from this waterbody. Based upon the presence of small upstream impoundments and nearby Pattagansett Lake, the stream most likely supports pond fish species such as Bluegill, Largemouth Bass, Chain Pickerel and Pumpkinseed.

Comments/Recommendations: The proposed culvert replacement option will ensure the continued unrestricted passage of fish through this segment of stream. The Fisheries Division may provide additional comments once a preliminary design is available for review. As a best management practice, any unconfined instream work within this waterbody should be restricted to the period from June 1 to September 30, inclusive.

cc. B. Gilmore
S. Gephard

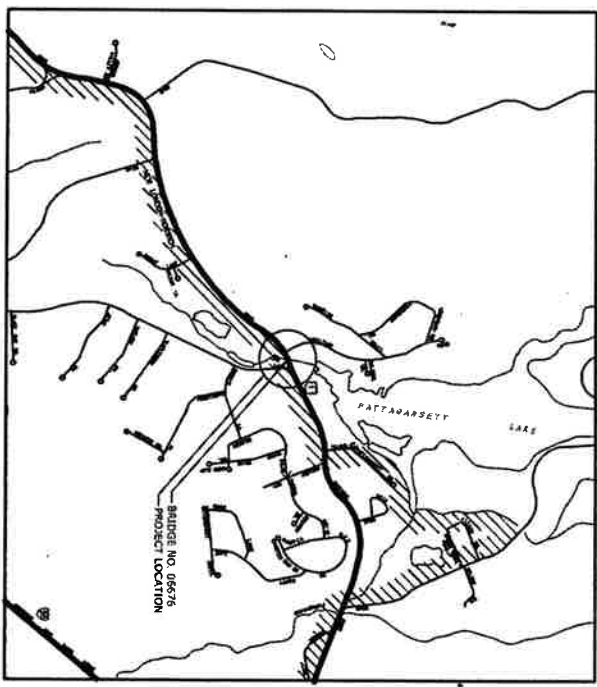


**ENVIRONMENTAL PERMIT PLANS
STATE PROJECT NO. 0044-0157
REPLACEMENT OF BRIDGE NO. 06676,
US ROUTE 1 OVER UNNAMED BROOK
IN THE TOWN OF EAST LYME**

Brian D. Murphy
Digitally signed by
Brian D. Murphy
Date: 2018.11.07
09:18:12 -05'00'

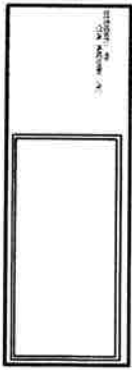
GENERAL NOTES:

1. THESE PLANS ARE INTENDED ONLY FOR ENVIRONMENTAL PERMITTING PURPOSES. THESE PLANS HOLD AUTHORITY FOR ALL ACTIVITIES CONCERNING PERMITTING AND CONSTRUCTION OF THE PROJECT. ALL OTHER PERMITTING REQUIREMENTS REFER TO THE APPLICABLE CONTRACT DOCUMENTS.
2. THE DEPARTMENT OF TRANSPORTATION WILL ONLY SUBMIT REVISIONS TO DEEP DRAINAGE FOR CHANGES TO THE DESIGN THAT WILL AFFECT REGULATED AREAS.
3. FOR A DESCRIPTION OF THE WATERCOURSE, WETLANDS AND WETLAND SOILS SEE RELEVANT SECTIONS OF THE PERMIT APPLICATION.
4. ALL FOOT GRAD BASED ON CONNECTICUT COORDINATE SYSTEM N.A.D. 1927 VERTICAL DATUM BASED ON MOVQ OF 1929.
5. ALL CONSTRUCTION ACTIVITIES WILL BE CONDUCTED IN ACCORDANCE WITH THE OPERATIONS, MAINTENANCE AND REPAIR MANUAL AND THE 2004 FEDERAL REGULATORY GUIDANCE FOR WETLANDS AND WETLAND RESTORATION. THE DEPARTMENT OF TRANSPORTATION WILL ALSO FOLLOW BEST MANAGEMENT PRACTICES (BMP) AND SEDIMENT AND EROSION CONTROL BEST MANAGEMENT PRACTICES (BMP) AND THE 2004 STORMWATER CONTROL, SEDIMENTATION CONTROL GUIDELINES AND THE 2004 STORMWATER CONTROL MANUAL.



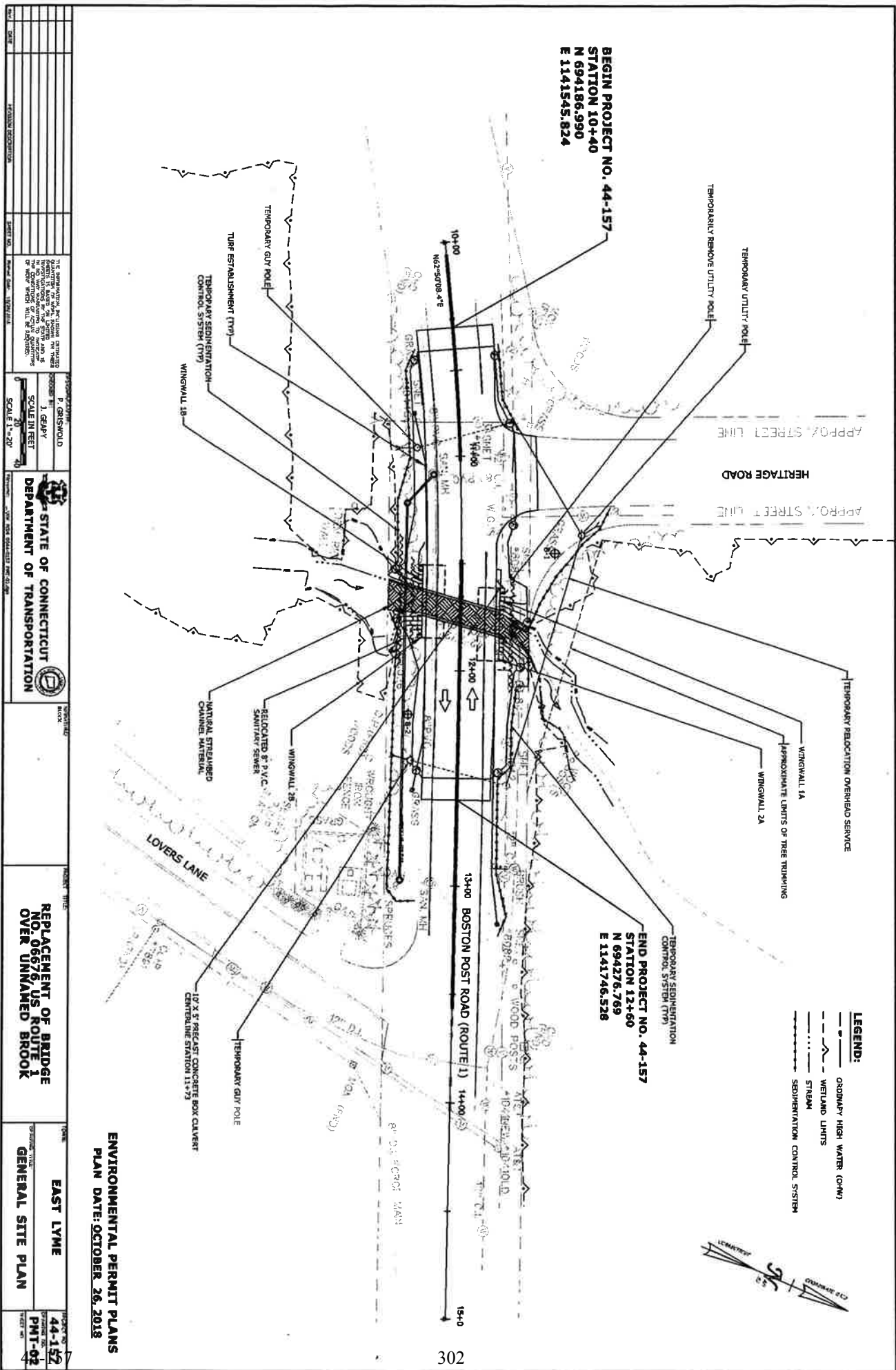
LOCATION PLAN

LIST OF DRAWINGS	
DRAWING NO.	TITLE SHEET
PMT-01	GENERAL SITE PLAN
PMT-02	WETLAND/WATERCOURSE IMPACT PLAN
PMT-03	STRUCTURE PLAN, SECTION AND ELEVATION
PMT-04	STAGGING/HANDLING WATER PLAN
PMT-05	STAGGING/HANDLING WATER PLAN

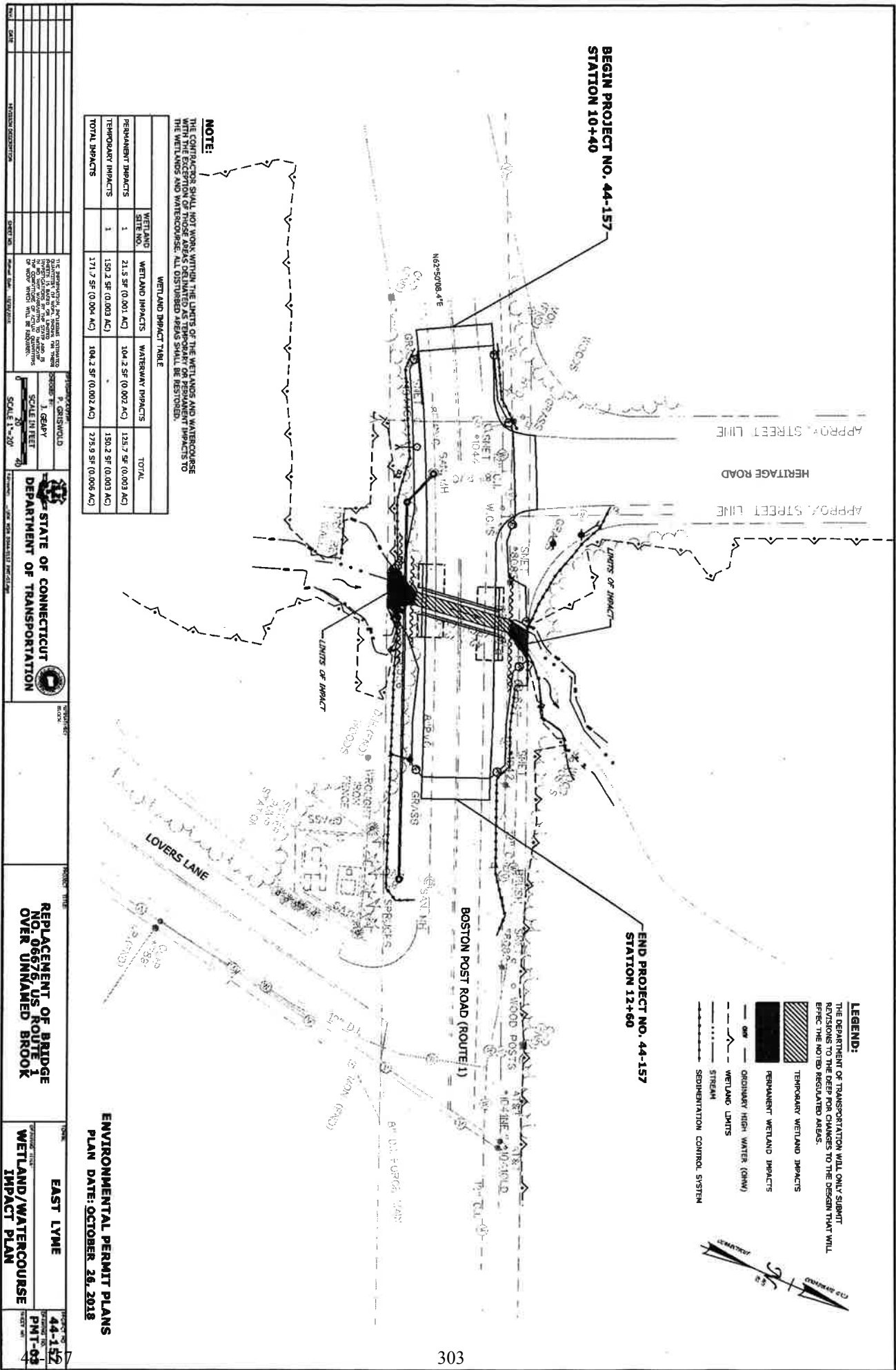


PLAN DATE: OCTOBER 26, 2018

NO.	DATE	DESCRIPTION	SCALE AS NOTED	STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION	OFFICE OF ENGINEERING	REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK	EAST LYME	44-157
								PMT-04



DATE	REVISION DESCRIPTION	SCALE	PROJECT NO.	PROJECT TITLE	PROJECT NAME	PROJECT NUMBER
		SCALE IN FEET 1" = 20'	44-157	REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK	EAST LYME	44-157
					GENERAL SITE PLAN	PMT-02



BEGIN PROJECT NO. 44-157
STATION 10+40

END PROJECT NO. 44-157
STATION 12+60

NOTE:

THE CONTRACTOR SHALL NOT WORK WITHIN THE LIMITS OF THE WETLANDS AND WATERCOURSE THE EXCEPT AS NOTED ON THIS PLAN. PERMANENT OR TEMPORARY IMPACTS TO THE WETLANDS AND WATERCOURSE, AS DISTINGUISHED HEREON, SHALL BE RESTORED.

WETLAND IMPACT TABLE

WETLAND SITE NO.	WETLAND IMPACTS	WATERCOURSE IMPACTS	TOTAL
1	21.5 SF (0.001 AC)	104.2 SF (0.002 AC)	125.7 SF (0.003 AC)
1	150.2 SF (0.003 AC)	-	150.2 SF (0.003 AC)
TOTAL IMPACTS	171.7 SF (0.004 AC)	104.2 SF (0.002 AC)	275.9 SF (0.006 AC)

NO. DATE	REVISION/DESCRIPTION	DRAWN BY	CHECKED BY	DATE	SCALE	PROJECT TITLE	SHEET NO.

DESIGNED BY: G. GREGG WOOD

SCALE IN FEET: 1" = 20'

SCALE IN METERS: 1" = 20'

STATE OF CONNECTICUT
DEPARTMENT OF TRANSPORTATION

PROJECT TITLE:

REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK

DATE:

EAST LYME

PROJECT TITLE:

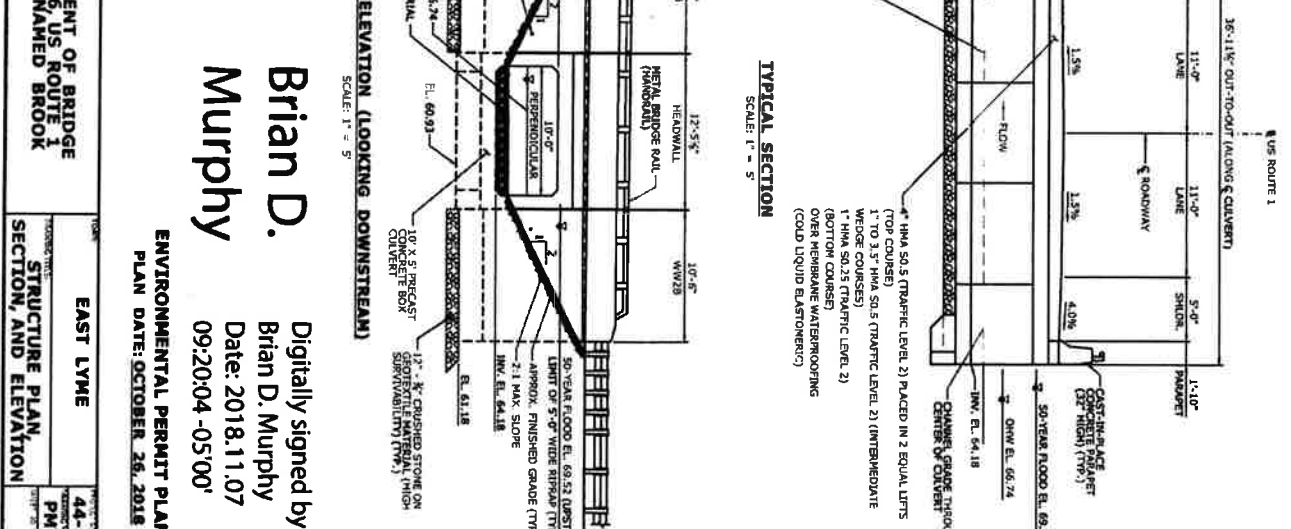
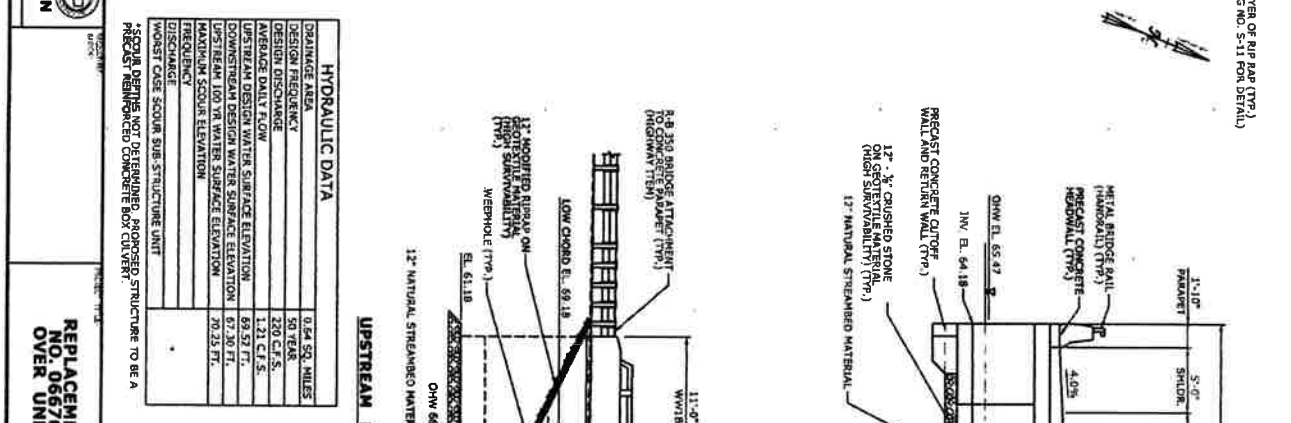
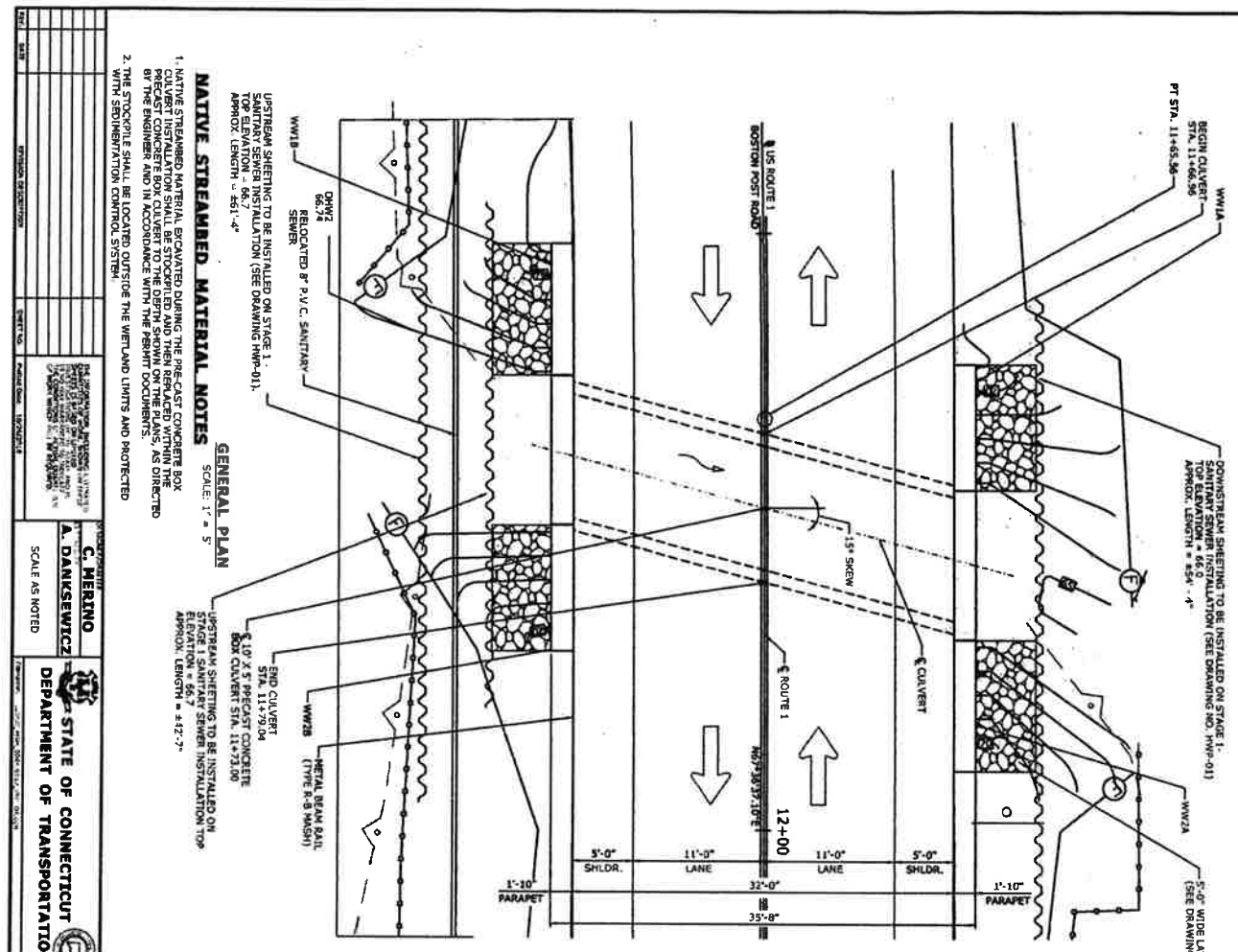
WETLAND/WATERCOURSE IMPACT PLAN

SHEET NO.:

44-157

TOTAL SHEETS:

PMT-03



HYDRAULIC DATA	
DESIGN AREA	0.54 SQ. MILES
DESIGN FREQUENCY	50 YEAR
DESIGN DISCHARGE	220 C.F.S.
AVERAGE DAILY FLOW	1.21 C.F.S.
UPSTREAM DESIGN WATER SURFACE ELEVATION	69.57 FT.
DOWNSTREAM DESIGN WATER SURFACE ELEVATION	67.20 FT.
UPSTREAM 100 YR WATER SURFACE ELEVATION	70.25 FT.
MAXIMUM SCOUR ELEVATION	
FREQUENCY	
DISCHARGE	
THINTEST CASE SCOUR SUB-STRUCTURE UNIT	

1. NATIVE STREAMBED MATERIAL EXCAVATED DURING THE PRECAST CONCRETE BOX CASTING SHALL BE REPLACED WITH THE SAME MATERIAL TO MAINTAIN THE ORIGINAL CHANNEL CROSS SECTION AND TO PROTECT THE STRUCTURE FROM EROSION. APPROX. LENGTH = 251'-4"

2. THE STOCKPILE SHALL BE LOCATED OUTSIDE THE WETLAND LIMITS AND PROTECTED WITH SEDIMENTATION CONTROL SYSTEM.

3. SCALE DIMENSIONS NOT DETERMINED, PROPOSED STRUCTURE TO BE A RECAST REINFORCED CONCRETE BOX CULVERT.

Brian D. Murphy
 Digitally signed by **Brian D. Murphy**
 Date: 2018.11.07 09:20:04 -05'00'
 ENVIRONMENTAL PERMIT PLANS
 PLAN DATE: OCTOBER 26, 2018

REPLACEMENT OF BRIDGE NO. 06676, US ROUTE 1 OVER UNNAMED BROOK

EAST LYME

44-152

PMT

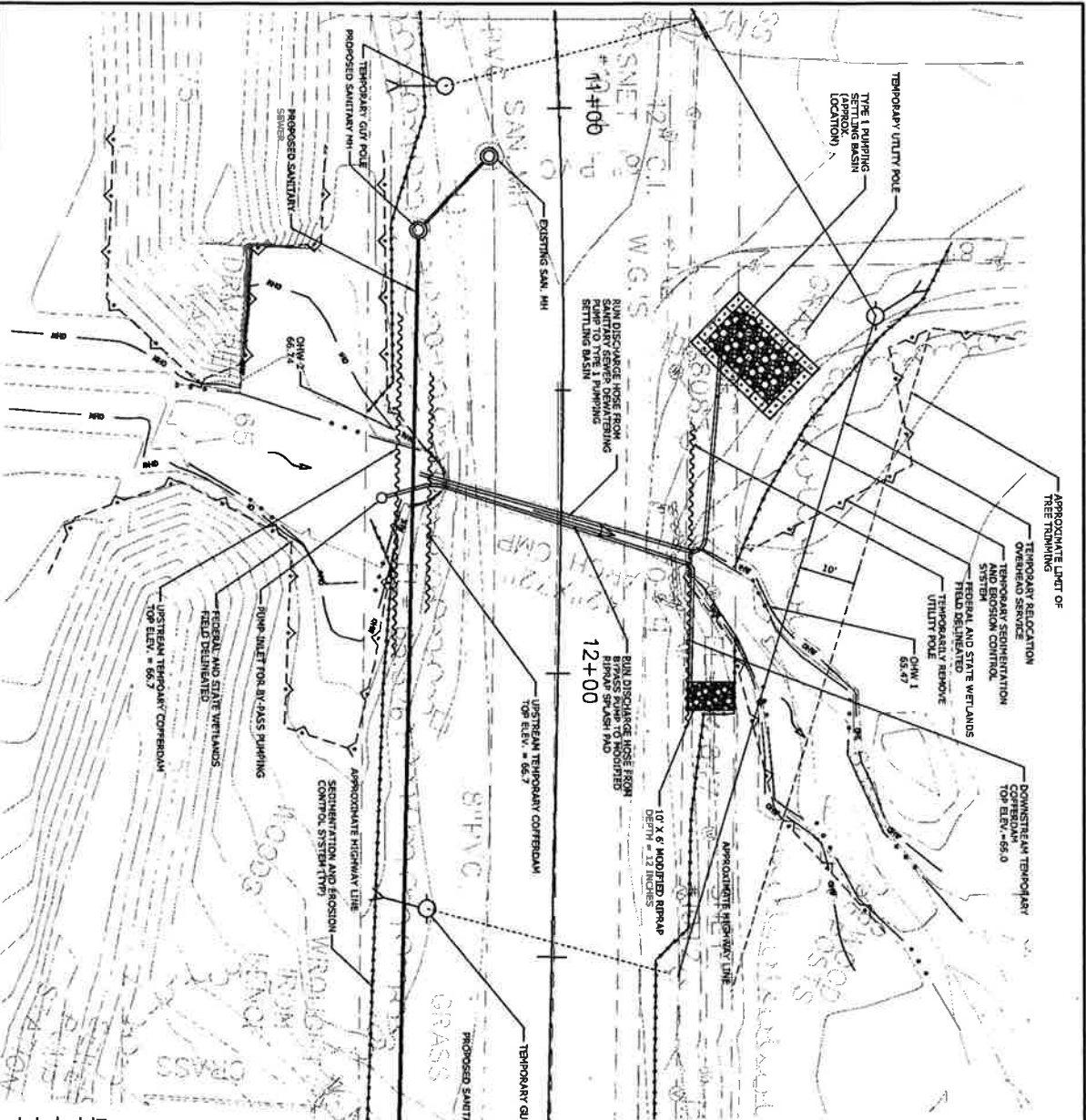
STATE OF CONNECTICUT
 DEPARTMENT OF TRANSPORTATION

C. MERINO
 A. DANSEWICZ

SCALE AS NOTED

44-152

PMT



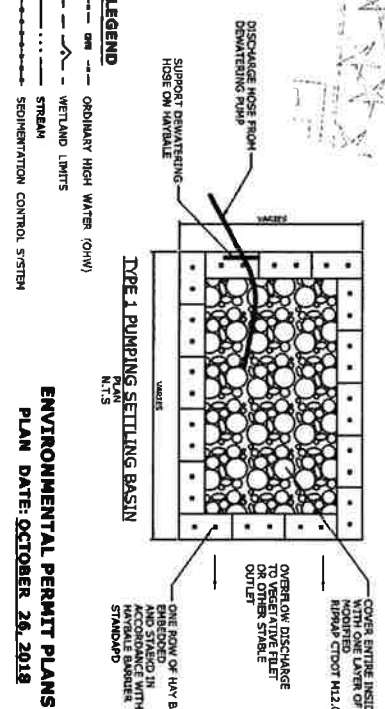
NO.	DATE	REVISION DESCRIPTION

DESIGNED BY: P. GILSWOLD
 SCALE IN FEET: 1" = 10'
 SCALE IN METERS: 1" = 30.48'



**REPLACEMENT OF BRIDGE
 NO. 06576, US ROUTE 1
 OVER UNNAMED BROOK**

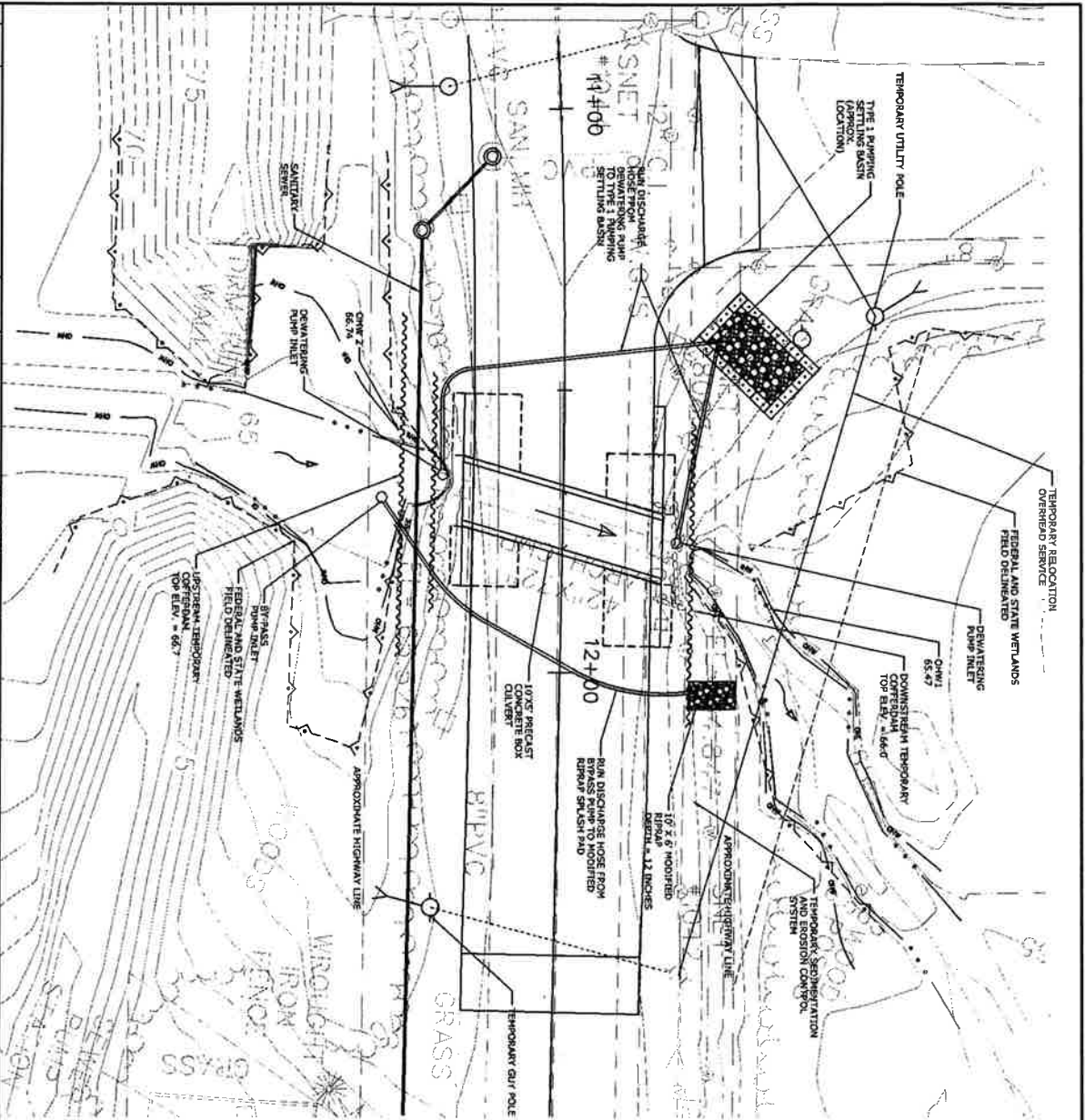
**EAST LYME
 ENVIRONMENTAL PERMIT PLANS
 PLAN DATE: OCTOBER 26, 2018
 STAGING/
 HANDLING WATER PLAN**



HYDRAULIC DATA

DISCHARGE AREA	0.44 SQ. FEET
DESIGN FREQUENCY	50 YEAR
DESIGN DISCHARGE	20 C.F.S.
DESIGN FLOOD DISCHARGE	32 C.F.S.
TEMPORARY CONDITIONS DISCHARGE	7 C.F.S.
AVERAGE DAILY FLOW	1.21 C.F.S.
UPSTREAM DESIGN WATER SURFACE ELEVATION	66.52 FT.
DOWNSTREAM DESIGN WATER SURFACE ELEVATION	67.20 FT.
UPSTREAM 100 YR WATER SURFACE ELEVATION	70.25 FT.

- SUGGESTED SEQUENCE**
STAGE 1 - SANITARY SEWER INSTALLATION (PERIOD TO ROADWAY CLOSURE)
1. RELOCATE/ESTABLISH FIELD OFFICER/TRAFFIC SIGNING
 2. INSTALL TEMPORARY SEWERIZATION CONTROL SYSTEM
 3. COORDINATE WITH APPLICABLE UTILITY COMPANIES TO TEMPORARILY RELOCATE EXISTING OVERHEAD SERVICE LINES AND SUBS, UTILITY COMPANIES TO TRIM TREES AS REQUIRED FOR RELOCATION OF OVERHEAD LINES
 4. INSTALL SEWERIZATION CONTROL MEASURES
 5. INSTALL 18" R.P. R40 SPASH PAD AT DISCHARGE HOSE OUTLET
 6. ESTABLISH 18" R.P. R40 SPASH PAD AT DISCHARGE HOSE OUTLET
 7. INSTALL ATTEMPTING ONE WAY TRAFFIC AS REQUIRED
 8. INSTALL SHEETING FOR INSTALLATION OF SANITARY SEWER
 9. ESTABLISH SANITARY SEWER BY PASS PUMPING AND SANITARY MANHOLES
 10. INSTALL RELOCATED SANITARY SEWER & MANHOLES
 11. MAINTAIN EXISTING SANITARY SEWER AFTER ESTABLISHMENT OF GRAVITY FLOW THROUGH RELOCATED SANITARY SEWER
 12. MAINTAIN STREAM BY-PASS PUMPING BETWEEN STAGES 1 AND 2
- WATER HANDLING NOTES**
1. EQUIPMENT WILL NOT BE PERMITTED IN THE STREAM WHEN TEMPORARY BYPASS IS NOT IN OPERATION
 2. TEMPORARY DEWATERING BASIN AND MODIFIED RIPRAP SPASH PAD SHALL BE ESTABLISHED OUTSIDE OF THE WETLAND LIMITS AS APPROVED BY THE ENGINEER
 3. THE SIZE OF THE TEMPORARY DEWATERING BASIN AND MODIFIED RIPRAP SPASH PAD SHALL BE DETERMINED BY THE ENGINEER (DEWATERING & BYPASS) SHALL BE DETERMINED BY THE CONTRACTOR
 4. THE CONTRACTOR SHALL MAINTAIN CONTINUOUS BY-PASS PUMPING BETWEEN THE COMPLETION OF THE SANITARY SEWER INSTALLATION AND INSTALLATION OF THE BOX CULVERT
 5. THE CONTRACTOR SHALL SCHEDULE OPERATIONS SUCH THAT THERE WILL BE A MINIMUM OF 28 INSTALLATION OF THE BOX CULVERT
- LEGEND**
- OHW — ORDINARY HIGH WATER (OHW)
 - WETLAND LIMITS
 - STREAM
 - SEWERIZATION CONTROL SYSTEM



DATE	PROJECT NO.	SCALE	PROJECT TITLE
		SCALE 1" = 10'	
<p>STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION</p>			
<p>REPLACEMENT OF BRIDGE NO. 06678 US ROUTE 1 OVER UNNAMED BROOK</p>			
<p>EAST LYME STAGING/ HANDLING WATER PLAN</p>			
<p>ENVIRONMENTAL PERMIT PLANS PLAN DATE: OCTOBER 26, 2018</p>			

HYDRAULIC DATA	
ROADWAY AREA	0.64 SQ. MILES
DESIGN FREQUENCY	50 YEAR
DESIGN DISCHARGE	220 C.F.S.
2 YEAR STORM EVENT DISCHARGE	20 C.F.S.
TEMPORARY CONDITIONS DISCHARGE	7 C.F.S.
AVERAGE DAILY FLOW	1.21 C.F.S.
UPSTREAM DESIGN WATER SURFACE ELEVATION	69.57 FT.
DOWNSTREAM DESIGN WATER SURFACE ELEVATION	67.39 FT.
UPSTREAM 100 YR WATER SURFACE ELEVATION	70.25 FT.

- LEGEND**
- OHW — ORDINARY HIGH WATER (OHW)
 - - - WETLAND LIMITS
 - - - STREAM
 - - - SEDIMENTATION CONTROL SYSTEM

- SUGGESTED SEQUENCE**
- STAGE 2 - BOX CULVERT INSTALLATION (DURING ROADWAY CLOSURE)**
1. INSTALL DETOUR SIGNING
 2. ESTABLISH DETOUR, PLACE BARRIAGES
 3. INSTALL EXISTING CULVERT
 4. REMOVE EXISTING CULVERT
 5. ESTABLISH DEWATERING FOR PROPOSED BOX CULVERT AND WINGWALLS EXCAVATION
 6. PLACE PRECAST BOX CULVERT, GUTTER WALL, HEADWALL AND WINGWALLS
 7. PLACE PRECAST BOX CULVERT, GUTTER WALL, HEADWALL AND WINGWALLS
 8. PLACE SAND/SILT SCREENS AND CURB BLOCKS AND CO-MATERIAL STRENGTHENING MATERIALS
 9. PLACE SAND/SILT SCREENS AND CURB BLOCKS AND CO-MATERIAL STRENGTHENING MATERIALS
 10. REMOVE SHEETING
 11. RE-ESTABLISH FLOW THROUGH CULVERT
 12. RE-ESTABLISH FLOW THROUGH CULVERT
 13. REMOVE EXISTING BITUMINOUS CONCRETE PAVEMENT & CONCRETE BASE
 14. RECONSTRUCT ROADWAY
 15. PLACE PROCESSED AGGREGATE BASE, HMA 51.0 AND HMA 50.5
 16. RESET SANITARY SEWER MANHOLE TOP IN ROADWAY
 17. PLACE TEMPORARY PRECAST CONCRETE BARRIER (CMB) (TRUCK), SIGNING AND TEMPORARY STRIPING FOR STAGE 3 PHASE 1

- STAGE 3 - PHASE 1 PARADET CONSTRUCTION (LATER ROADWAY CLOSURE)**
1. INITIATE ALTERNATING ONE-WAY TRAFFIC THROUGH WORK ZONE DURING ALLOWABLE TIME PERIODS AS REQUIRED
 2. FORM AND CAST CONCRETE PARADET, INSTALL METAL HANDRAIL AND METAL BEAM RAIL/ANCHORAGES ALONG LEFT (NORTH)
 3. REMOVE TOPSOIL AND TEMPORARY STRIPING FOR STAGE 3, PHASE 2
 4. RELOCATE TRUCK, SIGNING AND TEMPORARY STRIPING FOR STAGE 3, PHASE 2
- STAGE 3 - PHASE 2 PARADET CONSTRUCTION (LATER ROADWAY CLOSURE)**
1. INITIATE ALTERNATING ONE-WAY TRAFFIC THROUGH WORK ZONE DURING ALLOWABLE TIME PERIODS AS REQUIRED
 2. FORM AND CAST CONCRETE PARADET, INSTALL METAL HANDRAIL AND METAL BEAM RAIL/ANCHORAGES ALONG RIGHT (SOUTH)
 3. REMOVE TOPSOIL, CONSTRUCTION SIGNING, PLACE TOP COURSE HMA 51.0 AND HMA 50.5 AND FINAL PAVEMENT MARKINGS. REMOVE
 4. COORDINATE WITH UTILITY COMPANIES TO RESTORE OVERHEAD UTILITIES TO PRE-CONSTRUCTION LOCATIONS.

DEEP /ACOE/ DOT
Interagency Coordination Meetings
Project Meeting Notes

44-157 Bridge 06676, US 1 over unnamed brook, East Lyme

6/15/2017 – The project involves replacement of the existing 72" x 44" corrugated metal pipe arch culvert carrying an unnamed watercourse under Route 1. The structure was built in 1982 and is 46' long with a curb-to-curb width of 32'. The existing structure is hydraulically inadequate and has a drainage area of 0.64 sq. mi. The structure has significant distortion and deflections at the pipe joints, severe rust throughout with section loss below the waterline and debris is accumulating at the culvert inlet. There are no cutoff walls, headwalls or wingwalls associated with the existing structure. The unnamed brook flows to the north into Pattagansett Lake. The project is located within a NDDDB area and a FEMA zone X and just outside an Aquifer Protection Area. Three replacement alternatives were presented. The first was a 5' x 10' precast concrete box culvert, the second was twin 60" precast concrete pipe culverts and the third was twin 60" aluminum pipe culverts. All of the alternatives would be hydraulically adequate and construction will be performed using a weekend closure with additional off-peak lane closures and lane shifts. Alternatives two and three were dismissed due to hydraulics of using twin pipes which are more prone to debris blockage and alternative three was also dismissed due to the unknown life expectancy of aluminum pipe culverts. The preferred alternative includes installation of a 5' x 10' box culvert embedded with 1' of natural streambed material and installation of wingwalls, headwalls and cutoff return walls.

Project Impacts: Final impact numbers including the area through the proposed box need to be identified, but were explained to be below 5,000 sq. ft.

Permit Requirements: The project can be permitted under GP 19, and can be a Self-Verification provided all the culvert crossing criteria are met. FMC not needed since the drainage area is under a square mile.

Agency Comments: DEEP Fisheries asked what the slope of the culvert is and the consultant stated the area is very flat and has a slope of approximately 0.5% through the project area. Brian and Bob concurred with the use of the embedded box culvert.

Action Items: Provide OEP with final wetland and watercourse impact amounts and determine if all culvert crossing criteria can be met to qualify for authorization under the Self-Verification.

Project 44-157, Bridge 06676, US 1 over unnamed brook, East Lyme

05/17/2018 - The project involves replacement of the existing 72" x 44" corrugated metal pipe arch culvert carrying an unnamed watercourse under Route 1. The structure was built in 1982 and is 46' long with a curb-to-curb width of 32'. The existing structure is hydraulically inadequate and has a drainage area of 0.64 sq. mi. The structure has significant distortion and deflections at the pipe joints, severe rust throughout with section loss below the waterline and debris is accumulating at the culvert inlet. There are no cutoff walls, headwalls or wingwalls associated with the existing structure. The unnamed brook flows to the north into Pattagansett Lake. The project is located within a NDDDB area and a FEMA Zone X. Proposed bridge replacement will be with a 5'x10' precast concrete box culvert. A sanitary sewer under the existing pipe may need relocation if it is determined not to have enough cover.

Project Impacts: Wetland/Watercourse Impacts= 545 sf. No floodplain impacts.

Permitting Requirements: USACE SV (GP19), IW-General, NDDDB Determination received (no impact).

Agency Comments: Standard time of year for unconfined stream work.

Action Items:



1. Looking East on Route 1



2. Looking West on Route 1



3. Upstream Elevation of Bridge No. 06676



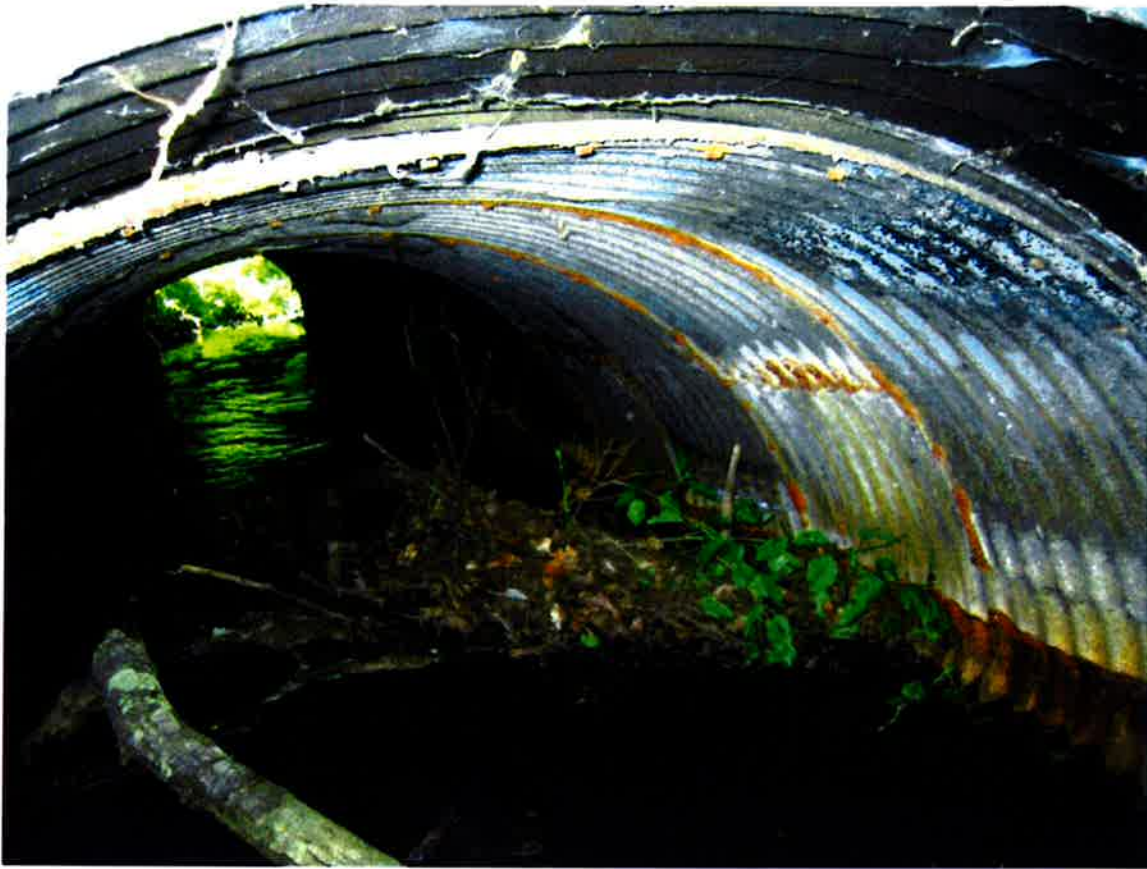
4. Downstream Elevation of Bridge No. 06676



5. Looking Upstream from Top of Structure



6. Looking Downstream from Top of Structure



7. Typical Condition of Pipe at Inlet (Note Debris)



8. Typical Condition of Pipe



9. Typical Pipe and End Treatment Condition at Inlet



10. Typical Embankment Condition

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- EXHIBIT D – Health Insurance Portability and Accountability Act of 1996 (HIPAA) (page 43)
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- EXHIBIT F – Federal Wage Rates (Attached at the end)
- EXHIBIT G - State Wage Rates (Attached at the end)

1. Federal Highway Administration (FHWA) Form 1273

The Contractor shall comply with the Federal Highway Administration (FHWA), Form 1273 attached at Exhibit A, as revised, which is hereby made part of this contract. The Contractor shall also require its subcontractors to comply with the FHWA – Form 1273 and include the FHWA – Form 1273 as an attachment to all subcontracts and purchase orders.

2. Title VI of the Civil Rights Act of 1964 / Nondiscrimination Requirements

The Contractor shall comply with Title VI of the Civil Rights Act of 1964 as amended (42 U.S.C. 2000 et seq.), all requirements imposed by the regulations of the United States Department of Transportation (49 CFR Part 21) issued in implementation thereof, and the Title VI Contractor Assurances attached hereto at Exhibit B, all of which are hereby made a part of this Contract.

3. Contractor Work Force Utilization (Federal Executive Order 11246) / Equal Employment Opportunity

- (a) The Contractor shall comply with the Contractor Work Force Utilization (Federal Executive Order 11246) / Equal Employment Opportunity requirements attached at Exhibit C and hereby made part of this Contract, whenever a contractor or subcontractor at any tier performs construction work in excess of \$10,000. These goals shall be included in each contract and subcontract. Goal achievement is calculated for each trade using the hours worked under each trade.
- (b) Companies with contracts, agreements or purchase orders valued at \$10,000 or more will develop and implement an Affirmative Action Plan utilizing the ConnDOT Affirmative Action Plan Guideline. This Plan shall be designed to further the provision of equal employment opportunity to all persons without regard to their race, color, religion, sex or national origin, and to promote the full realization of equal employment opportunity through a positive continuation program. Plans shall be updated as required by ConnDOT.

4. Requirements of Title 49, Code of Federal Regulations (CFR), Part 26, Participation by DBEs, as may be revised.

Pursuant to 49 CFR 26.13, the following paragraph is part of this Contract and shall be included in each subcontract the Contractor enters into with a subcontractor:

“The Contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The Contractor shall carry out applicable requirements of 49 CFR Part 26, Participation by DBEs, in the award and administration of U.S. DOT-assisted contracts. Failure by the Contractor to carry out these requirements is a material breach of this Contract, which may result in the termination of this contract or such other remedy as ConnDOT (recipient) deems appropriate, which may include, but is not limited to: (1) Withholding monthly progress payments, (2) Assessing sanctions, (3) Liquidated damages; and/or, (4) Disqualifying the contractor from future bidding as non-responsible.”

5. Contract Wage Rates

The Contractor shall comply with:

The Federal and State wage rate requirements indicated in Exhibits F and G hereof, as revised, are hereby made part of this Contract. The Federal wage rates (Davis-Bacon Act) applicable to this Contract shall be the Federal wage rates that are current on the US Department of Labor website (<http://www.wdol.gov/dba.aspx>) as may be revised 10 days prior to bid opening. These applicable Federal wage rates will be physically incorporated in the final contract document executed by both parties. The Department will no longer physically include revised Federal wage rates in the bid documents or as part of addenda documents, prior to the bid opening date. During the bid advertisement period, bidders are responsible for obtaining the appropriate Federal wage rates from the US Department of Labor website.

To obtain the latest Federal wage rates go to the US Department of Labor website (link above). Under Davis-Bacon Act, choose “Selecting DBA WDs” and follow the instruction to search the latest wage rates for the State, County and Construction Type. Refer to the Notice to Contractor (NTC) - Federal Wage Determinations (Davis Bacon Act).

If a conflict exists between the Federal and State wage rates, the higher rate shall govern.

Prevailing Wages for Work on State Highways; Annual Adjustments. With respect to contracts for work on state highways and bridges on state highways, the Contractor shall comply with the provisions of Section 31-54 and 31-55a of the Connecticut General Statutes, as revised.

As required by Section 1.05.12 (Payrolls) of the State of Connecticut, Department of Transportation’s Standard Specification for Roads, Bridges and Incidental Construction (FORM 816), as may be revised, every Contractor or subcontractor performing project work on a Federal aid project is required to post the relevant prevailing wage rates as determined by the United States Secretary of Labor. The wage rate determinations shall be posted in prominent and easily accessible places at the work site.

6. Americans with Disabilities Act of 1990, as Amended

This provision applies to those Contractors who are or will be responsible for compliance with the terms of the Americans with Disabilities Act of 1990, as amended (42 U.S.C. 12101 et seq.), (Act), during the term of the Contract. The Contractor represents that it is familiar with the terms of this Act and that it is in compliance with the Act. Failure of the Contractor to satisfy this standard as the same applies to performance under this Contract, either now or during the term of the Contract as it may be amended, will render the Contract voidable at the option of the State upon notice to the contractor. The Contractor warrants that it will hold the State harmless and indemnify the State from any liability which may be imposed upon the State as a result of any failure of the Contractor to be in compliance with this Act, as the same applies to performance under this Contract.

7. Connecticut Statutory Labor Requirements

(a) Construction, Alteration or Repair of Public Works Projects; Wage Rates. The Contractor shall comply with Section 31-53 of the Connecticut General Statutes, as revised. The wages paid on an hourly basis to any person performing the work of any mechanic, laborer or worker on the work herein contracted to be done and the amount of payment or contribution paid or payable on behalf of each such person to any employee welfare fund, as defined in subsection (i)

of section 31-53 of the Connecticut General Statutes, shall be at a rate equal to the rate customary or prevailing for the same work in the same trade or occupation in the town in which such public works project is being constructed. Any contractor who is not obligated by agreement to make payment or contribution on behalf of such persons to any such employee welfare fund shall pay to each mechanic, laborer or worker as part of such person's wages the amount of payment or contribution for such person's classification on each pay day.

(b) Debarment List. Limitation on Awarding Contracts. The Contractor shall comply with Section 31-53a of the Connecticut General Statutes, as revised.

(c) Construction Safety and Health Course. The Contractor shall comply with section 31-53b of the Connecticut General Statutes, as revised. The contractor shall furnish proof to the Labor Commissioner with the weekly certified payroll form for the first week each employee begins work on such project that any person performing the work of a mechanic, laborer or worker pursuant to the classifications of labor under section 31-53 of the Connecticut General Statutes, as revised, on such public works project, pursuant to such contract, has completed a course of at least ten hours in duration in construction safety and health approved by the federal Occupational Safety and Health Administration or, has completed a new miner training program approved by the Federal Mine Safety and Health Administration in accordance with 30 CFR 48 or, in the case of telecommunications employees, has completed at least ten hours of training in accordance with 29 CFR 1910.268.

Any employee required to complete a construction safety and health course as required that has not completed the course, shall have a maximum of fourteen (14) days to complete the course. If the employee has not been brought into compliance, they shall be removed from the project until such time as they have completed the required training.

Any costs associated with this notice shall be included in the general cost of the contract. In addition, there shall be no time granted to the contractor for compliance with this notice. The contractor's compliance with this notice and any associated regulations shall not be grounds for claims as outlined in Section 1.11 – "Claims".

(d) Awarding of Contracts to Occupational Safety and Health Law Violators Prohibited. The Contract is subject to Section 31-57b of the Connecticut General Statutes, as revised.

(e) Residents Preference in Work on Other Public Facilities. NOT APPLICABLE TO FEDERAL AID CONTRACTS. Pursuant to Section 31-52a of the Connecticut General Statutes, as revised, in the employment of mechanics, laborers or workmen to perform the work specified herein, preference shall be given to residents of the state who are, and continuously for at least six months prior to the date hereof have been, residents of this state, and if no such person is available, then to residents of other states

8. Tax Liability - Contractor's Exempt Purchase Certificate (CERT – 141)

The Contractor shall comply with Chapter 219 of the Connecticut General Statutes pertaining to tangible personal property or services rendered that is/are subject to sales tax. The Contractor is responsible for determining its tax liability. If the Contractor purchases materials or supplies pursuant to the Connecticut Department of Revenue Services' "Contractor's Exempt Purchase Certificate (CERT-141)," as may be revised, the Contractor acknowledges and agrees that title to such materials and supplies installed or placed in the project will vest in the State simultaneously with passage of title

from the retailers or vendors thereof, and the Contractor will have no property rights in the materials and supplies purchased.

Forms and instructions are available anytime by:

Internet: Visit the DRS website at www.ct.gov/DRS to download and print Connecticut tax forms; or Telephone: Call 1-800-382-9463 (Connecticut calls outside the Greater Hartford calling area only) and select Option 2 or call 860-297-4753 (from anywhere).

9. Executive Orders

This contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of the contract as if they had been fully set forth in it. The contract may also be subject to Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services and to Executive Order No. 49 of Governor Dannel P. Malloy, promulgated May 22, 2015, mandating disclosure of certain gifts to public employees and contributions to certain candidates for office. If Executive Order No. 14 and/or Executive Order No. 49 are applicable, they are deemed to be incorporated into and are made a part of the contract as if they had been fully set forth in it. At the Contractor's request, the Department shall provide a copy of these orders to the Contractor.

10. Non Discrimination Requirement (pursuant to section 4a-60 and 4a-60a of the Connecticut General Statutes, as revised): References to "minority business enterprises" in this Section are not applicable to Federal-aid projects/contracts. Federal-aid projects/contracts are instead subject to the Federal Disadvantaged Business Enterprise Program.

(a) For purposes of this Section, the following terms are defined as follows:

- i. "Commission" means the Commission on Human Rights and Opportunities;
- ii. "Contract" and "contract" include any extension or modification of the Contract or contract;
- iii. "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;
- iv. "gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.
- v. "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;
- vi. "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;
- vii. "marital status" means being single, married as recognized by the State of Connecticut, widowed, separated or divorced;

- viii. "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;
- ix. "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Connecticut General Statutes § 32-9n; and
- x. "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is (1) a political subdivision of the State, including, but not limited to, a municipality, (2) a quasi-public agency, as defined in Conn. Gen. Stat. Section 1-120, (3) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. Section 1-267, (4) the federal government, (5) a foreign government, or (6) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), (4) or (5).

- (b) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Connecticut General Statutes §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes §§ 46a-56, 46a-68e and 46a-68f; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the

employment practices and procedures of the Contractor as relate to the provisions of this Section and Connecticut General Statutes § 46a-56. If the contract is a public works contract, the Contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works projects.

- (c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- (d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.
- (e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes §46a-56; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.
- (f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.
- (g) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Connecticut General Statutes § 46a-56.
- (h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by

regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes § 46a-56; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.”

The Nondiscrimination Certifications can be found at the Office of Policy and Management website.

<http://www.ct.gov/opm/cwp/view.asp?a=2982&Q=390928>

11. Whistleblower Provision

The following clause is applicable if the Contract has a value of Five Million Dollars (\$5,000,000) or more.

Whistleblowing. This Contract may be subject to the provisions of Section 4-61dd of the Connecticut General Statutes. In accordance with this statute, if an officer, employee or appointing authority of the Contractor takes or threatens to take any personnel action against any employee of the Contractor in retaliation for such employee's disclosure of information to any employee of the contracting state or quasi-public agency or the Auditors of Public Accounts or the Attorney General under the provisions of subsection (a) of such statute, the Contractor shall be liable for a civil penalty of not more than five thousand dollars for each offense, up to a maximum of twenty per cent of the value of this Contract. Each violation shall be a separate and distinct offense and in the case of a continuing violation, each calendar day's continuance of the violation shall be deemed to be a separate and distinct offense. The State may request that the Attorney General bring a civil action in the Superior Court for the Judicial District of Hartford to seek imposition and recovery of such civil penalty. In accordance with subsection (f) of such statute, each large state contractor, as defined in the statute, shall post a notice of the provisions of the statute relating to large state contractors in a conspicuous place which is readily available for viewing by the employees of the Contractor.

12. Connecticut Freedom of Information Act

(a) Disclosure of Records. This Contract may be subject to the provisions of section 1-218 of the Connecticut General Statutes. In accordance with this statute, each contract in excess of two million five hundred thousand dollars between a public agency and a person for the performance of a governmental function shall (a) provide that the public agency is entitled to receive a copy of records and files related to the performance of the governmental function, and (b) indicate that such records and files are subject to FOIA and may be disclosed by the public agency pursuant to FOIA. No request to inspect or copy such records or files shall be valid unless the request is made to the public agency in accordance with FOIA. Any complaint by a person who is denied the right to inspect or copy such records or files shall be brought to the Freedom of Information Commission in accordance with the provisions of sections 1-205 and 1-206 of the Connecticut General Statutes.

(b) Confidential Information. The State will afford due regard to the Contractor's request for the protection of proprietary or confidential information which the State receives from the Contractor. However, all materials associated with the Contract are subject to the terms of the FOIA and all corresponding rules, regulations and interpretations. In making such a request, the Contractor may not merely state generally that the materials are proprietary or confidential in nature and not, therefore, subject to release to third parties. Those particular sentences, paragraphs, pages or sections that the Contractor believes are exempt from disclosure under the FOIA must be specifically identified as such. Convincing explanation

and rationale sufficient to justify each exemption consistent with the FOIA must accompany the request. The rationale and explanation must be stated in terms of the prospective harm to the competitive position of the Contractor that would result if the identified material were to be released and the reasons why the materials are legally exempt from release pursuant to the FOIA. To the extent that any other provision or part of the Contract conflicts or is in any way inconsistent with this section, this section controls and shall apply and the conflicting provision or part shall not be given effect. If the Contractor indicates that certain documentation is submitted in confidence, by specifically and clearly marking the documentation as "CONFIDENTIAL," DOT will first review the Contractor's claim for consistency with the FOIA (that is, review that the documentation is actually a trade secret or commercial or financial information and not required by statute), and if determined to be consistent, will endeavor to keep such information confidential to the extent permitted by law. See, *e.g.*, Conn. Gen. Stat. §1-210(b)(5)(A-B). The State, however, has no obligation to initiate, prosecute or defend any legal proceeding or to seek a protective order or other similar relief to prevent disclosure of any information that is sought pursuant to a FOIA request. Should the State withhold such documentation from a Freedom of Information requester and a complaint be brought to the Freedom of Information Commission, the Contractor shall have the burden of cooperating with DOT in defense of that action and in terms of establishing the availability of any FOIA exemption in any proceeding where it is an issue. In no event shall the State have any liability for the disclosure of any documents or information in its possession which the State believes are required to be disclosed pursuant to the FOIA or other law.

13. Service of Process

The Contractor, if not a resident of the State of Connecticut, or, in the case of a partnership, the partners, if not residents, hereby appoints the Secretary of State of the State of Connecticut, and his successors in office, as agent for service of process for any action arising out of or as a result of this Contract; such appointment to be in effect throughout the life of this Contract and six (6) years thereafter.

14. Substitution of Securities for Retainages on State Contracts and Subcontracts

This Contract is subject to the provisions of Section 3-112a of the General Statutes of the State of Connecticut, as revised.

15. Health Insurance Portability and Accountability Act of 1996 (HIPAA)

The Contractor shall comply, if applicable, with the Health Insurance Portability and Accountability Act of 1996 and, pursuant thereto, the provisions attached at Exhibit D, and hereby made part of this Contract.

16. Forum and Choice of Law

Forum and Choice of Law. The parties deem the Contract to have been made in the City of Hartford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Contract to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by Federal law or the laws of the State of Connecticut do not bar an action against the State, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Hartford only or shall be

brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut. The Contractor waives any objection which it may now have or will have to the laying of venue of any Claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.

17. Summary of State Ethics Laws

Pursuant to the requirements of section 1-101qq of the Connecticut General Statutes, the summary of State ethics laws developed by the State Ethics Commission pursuant to section 1-81b of the Connecticut General Statutes is incorporated by reference into and made a part of the Contract as if the summary had been fully set forth in the Contract.

18. Audit and Inspection of Plants, Places of Business and Records

- (a) The State and its agents, including, but not limited to, the Connecticut Auditors of Public Accounts, Attorney General and State's Attorney and their respective agents, may, at reasonable hours, inspect and examine all of the parts of the Contractor's and Contractor Parties' plants and places of business which, in any way, are related to, or involved in, the performance of this Contract. For the purposes of this Section, "Contractor Parties" means the Contractor's members, directors, officers, shareholders, partners, managers, principal officers, representatives, agents, servants, consultants, employees or any one of them or any other person or entity with whom the Contractor is in privity of oral or written contract and the Contractor intends for such other person or entity to Perform under the Contract in any capacity.
- (b) The Contractor shall maintain, and shall require each of the Contractor Parties to maintain, accurate and complete Records. The Contractor shall make all of its and the Contractor Parties' Records available at all reasonable hours for audit and inspection by the State and its agents.
- (c) The State shall make all requests for any audit or inspection in writing and shall provide the Contractor with at least twenty-four (24) hours' notice prior to the requested audit and inspection date. If the State suspects fraud or other abuse, or in the event of an emergency, the State is not obligated to provide any prior notice.
- (d) The Contractor shall keep and preserve or cause to be kept and preserved all of its and Contractor Parties' Records until three (3) years after the latter of (i) final payment under this Agreement, or (ii) the expiration or earlier termination of this Agreement, as the same may be modified for any reason. The State may request an audit or inspection at any time during this period. If any Claim or audit is started before the expiration of this period, the Contractor shall retain or cause to be retained all Records until all Claims or audit findings have been resolved.
- (e) The Contractor shall cooperate fully with the State and its agents in connection with an audit or inspection. Following any audit or inspection, the State may conduct and the Contractor shall cooperate with an exit conference.
- (f) The Contractor shall incorporate this entire Section verbatim into any contract or other agreement that it enters into with any Contractor Party.

19. Campaign Contribution Restriction

For all State contracts, defined in Conn. Gen. Stat. §9-612(f)(1) as having a value in a calendar year of \$50,000 or more, or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this contract expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice, as set forth in "Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations," a copy of which is attached hereto and hereby made a part of this contract, attached as Exhibit E.

20. Tangible Personal Property

- (a) The Contractor on its behalf and on behalf of its Affiliates, as defined below, shall comply with the provisions of Conn. Gen. Stat. §12-411b, as follows:
- (1) For the term of the Contract, the Contractor and its Affiliates shall collect and remit to the State of Connecticut, Department of Revenue Services, any Connecticut use tax due under the provisions of Chapter 219 of the Connecticut General Statutes for items of tangible personal property sold by the Contractor or by any of its Affiliates in the same manner as if the Contractor and such Affiliates were engaged in the business of selling tangible personal property for use in Connecticut and had sufficient nexus under the provisions of Chapter 219 to be required to collect Connecticut use tax;
 - (2) A customer's payment of a use tax to the Contractor or its Affiliates relieves the customer of liability for the use tax;
 - (3) The Contractor and its Affiliates shall remit all use taxes they collect from customers on or before the due date specified in the Contract, which may not be later than the last day of the month next succeeding the end of a calendar quarter or other tax collection period during which the tax was collected;
 - (4) The Contractor and its Affiliates are not liable for use tax billed by them but not paid to them by a customer; and
 - (5) Any Contractor or Affiliate who fails to remit use taxes collected on behalf of its customers by the due date specified in the Contract shall be subject to the interest and penalties provided for persons required to collect sales tax under chapter 219 of the general statutes.
- (b) For purposes of this section of the Contract, the word "Affiliate" means any person, as defined in section 12-1 of the general statutes, that controls, is controlled by, or is under common control with another person. A person controls another person if the person owns, directly or indirectly, more than ten per cent of the voting securities of the other person. The word "voting security" means a security that confers upon the holder the right to vote for the election of members of the board of directors or similar governing body of the business, or that is convertible into, or entitles the holder to receive, upon its exercise, a security that confers such a right to vote. "Voting security" includes a general partnership interest.
- (c) The Contractor represents and warrants that each of its Affiliates has vested in the Contractor plenary authority to so bind the Affiliates in any agreement with the State of Connecticut. The Contractor on its own behalf and on behalf of its Affiliates shall also provide, no later than 30 days after receiving a request by the State's contracting authority, such information as the State may require to ensure, in the State's sole determination, compliance with the provisions of Chapter 219 of the Connecticut General Statutes, including, but not limited to, §12-411b.

21. Bid Rigging and/or Fraud – Notice to Contractor

The Connecticut Department of Transportation is cooperating with the U.S. Department of Transportation and the Justice Department in their investigation into highway construction contract bid rigging and/or fraud.

A toll-free "HOT LINE" telephone number 800-424-9071 has been established to receive information from contractors, subcontractors, manufacturers, suppliers or anyone with knowledge of bid rigging and/or fraud, either past or current. The "HOT LINE" telephone number will be available during normal working hours (8:00 am – 5:00 pm EST). Information will be treated confidentially and anonymity respected.

22. Consulting Agreement Affidavit

The Contractor shall comply with Connecticut General Statutes Section 4a-81(a) and 4a-81(b), as revised. Pursuant to Public Act 11-229, after the initial submission of the form, if there is a change in the information contained in the form, a contractor shall submit the updated form, as applicable, either

(i) not later than thirty (30) days after the effective date of such change or (ii) prior to execution of any new contract, whichever is earlier.

The Affidavit/Form may be submitted in written format or electronic format through the Department of Administrative Services (DAS) website.

23. Cargo Preference Act Requirements (46 CFR 381.7(a)-(b)) – Use of United States Flag Vessels

The Contractor agrees to comply with the following:

(a) ***Agreement Clauses.***

- (1) Pursuant to Pub. L. 664 ([43 U.S.C. 1241\(b\)](#)) at least 50 percent of any equipment, materials or commodities procured, contracted for or otherwise obtained with funds granted, guaranteed, loaned, or advanced by the U.S. Government under this agreement, and which may be transported by ocean vessel, shall be transported on privately owned United States-flag commercial vessels, if available.
- (2) Within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, ‘on-board’ commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (a)(1) of this section shall be furnished to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.

(b) ***Contractor and Subcontractor Clauses.*** The contractor agrees—

- (1) To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.
- (2) To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, ‘on-board’ commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b) (1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.
- (3) To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.

EXHIBIT A

FHWA-1273 -- Revised May 1, 2012

REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

II. NONDISCRIMINATION

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

2. EEO Officer: The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of

such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

5. Personnel Actions: Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

8. Reasonable Accommodation for Applicants / Employees with Disabilities: The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

10. Assurance Required by 49 CFR 26.13(b):

a. The requirements of 49 CFR Part 26, and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.

b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26, in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.

11. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 “Contract provisions and related matters” with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is utilized in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

3. Payrolls and basic records

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b. (1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g. , the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

4. Apprentices and trainees

a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the

provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

6. Subcontracts. The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

7. Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

8. Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

9. Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

10. Certification of eligibility.

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible

therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.

3. Withholding for unpaid wages and liquidated damages. The FHWA or the contacting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.

4. Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term “perform work with its own organization” refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and

(4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out

the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.

2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

1. Instructions for Certification – First Tier Participants:

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from

participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

* * * * *

2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

2. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

* * * * *

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

EXHIBIT B**TITLE VI CONTRACTOR ASSURANCES
APPENDIX A**

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

1. **Compliance with Regulations:** The contractor will comply with the Regulations relative to nondiscrimination in federally assisted programs of the United States Department of Transportation Federal Highway Administration, as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Nondiscrimination:** The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, national origin, sex, age, disability, income or Limited English Proficiency in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations, either by bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and Acts and the Regulations relative to Non-discrimination on the grounds of race, color, or national origin.
4. **Information and Reports:** The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the Federal Highway Administration to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information, the contractor will so certify to the Recipient or the Federal Highway Administration, as appropriate, and will set forth what efforts it has made to obtain the information.
5. **Sanctions for Non-compliance:** In the event of the contractor's non-compliance with the Non-discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the Federal Highway Administration may determine to be appropriate, including, but not limited to:
 - a. withholding contract payments to the contractor under the contract until the contractor complies; and/or
 - b. cancelling, terminating, or suspending a contract, in whole or in part.
6. **Incorporation of Provisions:** The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the Federal Highway Administration may direct as a means of enforcing such provisions including sanctions for

noncompliance. Provided, that if the contractor becomes involved in, or is threatened with, litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

TITLE VI CONTRACTOR ASSURANCES APPENDIX E

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following nondiscrimination statutes and authorities; including but not limited to:

- Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 U.S.C. § 2000d et seq.), (prohibits discrimination on the basis of race, color, national origin), as implemented by 49 C.F.R. § 21.1 et seq. and 49 C.F.R. part 303;
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601) (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973 (23 U.S.C. § 324 et seq.) (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794 et seq.) (prohibits discrimination on the basis of disability); and 49 C.F.R. part 27;
- The Age Discrimination Act of 1975, as amended (42 U.S.C. § 6101 et seq.) (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982 (Pub. L. 97-248 (1982)), as amended (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987 (102 Stat. 28) ("*... which restore[d] the broad scope of coverage and to clarify the application of Title IX of the Education Amendments of 1972, section 504 of the Rehabilitation Act of 1973, the Age Discrimination Act of 1975, and Title VI of the Civil Rights Act of 1964.*");
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131 --12189), as implemented by Department of Justice regulations at 28 C.F.R. parts 35 and 36, and Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures non-discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. § 1681 et seq).

EXHIBIT C**CONTRACTOR WORKFORCE UTILIZATION (FEDERAL EXECUTIVE ORDER 11246) /
EQUAL EMPLOYMENT OPPORTUNITY
(Federal - FHWA)****1. Project Workforce Utilization Goals:**

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or Federally assisted or funded) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for the geographical area where the work is actually performed.

Whenever the Contractor, or any Subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications which contain the applicable goals for minority and female participation.

The goals for minority and female utilization are expressed in percentage terms for the contractor's aggregate work-force in each trade on all construction work in the covered area, are referenced in the attached Appendix A.

2. Executive Order 11246

The Contractor's compliance with Executive Order 11246 and 41-CFR Part 60-4 shall be based on its implementation of the specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(A) and its efforts to meet the goals established for the geographical area where the contract is to be performed. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from contractor to contractor or from project to project for the sole purpose of meeting the contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hour performed.

If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or subcontractors toward a goal in an approved Pan does not excuse any covered Contractor's or subcontractor's failure to take good faith efforts to achieve the plan goals and timetables.

The Contractor shall implement the specific affirmative action standards provided in a through p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in

which it has employees in the covered area. Covered Construction contractors performing construction work in geographical areas where they do not have a federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form and such notices may be obtained from any Office of Federal Contract Compliance Programs (OFCCP) Office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.

Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant hereto.

In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:

- a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites; and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
- b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
- c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off the street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason thereafter; along with whatever additional actions the Contractor may have taken.
- d. Provide immediate written notification to the Director when the Union or Unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or women sent by the Contractor, or when the Contractor has other

information that the Union referral process has impeded the Contractor's efforts to meet its obligations.

- e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under b above.
- f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO Policy on bulletin boards accessible to all employees at each location where construction work is performed.
- g. Review, at least annually, the company EEO Policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment, decisions including specific Foreman, etc. prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- h. Disseminate the Contractor's EEO Policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and subcontractors with whom the Contractor does or anticipates doing business.
- i. Direct its recruitment efforts, both oral and written, to minority female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the contractor shall send written notification to organizations such as the above, describing the openings, screening procedures and tests to be used in the selection process.
- j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's work-force.
- k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
- l. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and

employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.

- n. Ensure that all facilities and company activities are non-segregated except that separate or single user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- o. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- p. Conduct a review at least annually of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.

Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (a through p). The efforts of a contractor association, joint contractor union, contractor community, or other similar group of which the contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under a through p of these specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female work-force participation, makes a good faith effort to meet with individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of Executive Order 11246 if a particular group is employed in a substantially disparate manner, (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is under utilized).

The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.

The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.

The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.

The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in these

specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.

The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status, (e.g. mechanic, apprentice, trainee, helper, or laborer) dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.

Nothing herein provided shall be construed as a limitation upon the application of their laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g. those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

The Director of the Office of Federal Contract Compliance Programs, from time to time, shall issue goals and timetables for minority and female utilization which shall be based on appropriate workforce, demographic or other relevant data and which shall cover construction projects or construction contracts performed in specific geographical areas. The goals, which shall be applicable to each construction trade in a covered contractor's or timetables, shall be published as notices in the Federal Register, and shall be inserted by the Contracting officers and applicants, as applicable, in the Notice required by 41 CFR 60-4.2.

FEDERALLY FUNDED OR ASSISTED PROJECTS
APPENDIX A
(Labor Market Goals)

Standard Metropolitan Statistical Area (SMSA)

Female

Minority

Bridgeport – Stamford – Norwalk – Danbury	10.2%
6.9%	

Bethel	Bridgeport	Brookfield	Danbury
Darien	Derby	Easton	Fairfield
Greenwich	Milford	Monroe	New Canaan
New Fairfield	Newton	Norwalk	Redding
Shelton	Stamford	Stratford	Trumbull
Weston	Westport	Wilton	

Hartford – Bristol – New Britain	6.9%
6.9%	

Andover	Avon	Berlin	Bloomfield
Bolton	Bristol	Burlington	Canton
Colchester	Columbia	Coventry	Cromwell
East Granby	East Hampton	East Hartford	East Windsor
Ellington	Enfield	Farmington	Glastonbury
Granby	Hartford	Hebron	Manchester
Marlborough	New Britain	New Hartford	Newington
Plainville	Plymouth	Portland	Rocky Hill
Simsbury	South Windsor	Southington	Stafford
Suffield	Tolland	Vernon	West Hartford
Wethersfield	Willington	Windsor	Windsor Locks

New Haven – Waterbury – Meriden	9.0%
6.9%	

Beacon Falls	Bethany	Branford	Cheshire
Clinton	East Haven	Guilford	Hamden
Madison	Meriden	Middlebury	Naugatuck
New Haven	North Branford	North Haven	Orange
Prospect	Southbury	Thomaston	Wallingford
Waterbury	Watertown	West Haven	Wolcott
Woodbridge	Woodbury		

New London – Norwich	4.5%
6.9%	

Bozrah	East Lyme	Griswold	Groton
Ledyard	Lisbon	Montville	New London
Norwich	Old Lyme	Old Saybrook	Preston
Sprague	Stonington	Waterford	

Non SMSA

Female

Minority

Litchfield – Windham			5.9%
6.9%			
Abington	Ashford	Ballouville	Bantam
Barkhamsted	Bethlehem	Bridgewater	Brooklyn
Canaan	Canterbury	Central Village	Cahplin
Colebrook	Cornwall	Cornwall Bridge	Danielson
Dayville	East Canaan	East Killingly	East Woodstock
Eastford	Falls Village	Gaylordsville	Goshen
Grosvenor Dale	Hampton	Harwinton	Kent
Killigly	Lakeside	Litchfield	Moosup
Morris	New Milford	New Preston	New Preston Marble Dale
Norfolk	North Canaan	No. Grosvenordale	North Windham
Oneco	Pequabuck	Pine Meadow	Plainfield
Pleasant Valley	Pomfret	Pomfret Center	Putnam
Quinebaug	Riverton	Rogers	Roxbury
Salisbury	Scotland	Sharon	South Kent
South Woodstock	Sterling	Taconic	Terryville
Thompson	Torrington	Warren	Warrenville
Washington	Washington Depot	Wauregan	West Cornwall
Willimantic	Winchester	Winchester Center	Windham
Winsted	Woodstock	Woodstock Valley	

EXHIBIT D**Health Insurance Portability and Accountability Act of 1996 (“HIPAA”).**

- (a) If the Contactor is a Business Associate under the requirements of the Health Insurance Portability and Accountability Act of 1996 (“HIPAA”), the Contractor must comply with all terms and conditions of this Section of the Contract. If the Contractor is not a Business Associate under HIPAA, this Section of the Contract does not apply to the Contractor for this Contract.
- (b) The Contractor is required to safeguard the use, publication and disclosure of information on all applicants for, and all clients who receive, services under the Contract in accordance with all applicable federal and state law regarding confidentiality, which includes but is not limited to HIPAA, more specifically with the Privacy and Security Rules at 45 C.F.R. Part 160 and Part 164, subparts A, C, and E; and
- (c) The State of Connecticut Agency named on page 1 of this Contract (hereinafter the “Department”) is a “covered entity” as that term is defined in 45 C.F.R. § 160.103; and
- (d) The Contractor, on behalf of the Department, performs functions that involve the use or disclosure of “individually identifiable health information,” as that term is defined in 45 C.F.R. § 160.103; and
- (e) The Contractor is a “business associate” of the Department, as that term is defined in 45 C.F.R. § 160.103; and
- (f) The Contractor and the Department agree to the following in order to secure compliance with the HIPAA, the requirements of Subtitle D of the Health Information Technology for Economic and Clinical Health Act (hereinafter the HITECH Act), (Pub. L. 111-5, sections 13400 to 13423), and more specifically with the Privacy and Security Rules at 45 C.F.R. Part 160 and Part 164, subparts A, C, and E.
- (g) Definitions
 - (1) “Breach shall have the same meaning as the term is defined in section 13400 of the HITECH Act (42 U.S.C. §17921(1))
 - (2) “Business Associate” shall mean the Contractor.
 - (3) “Covered Entity” shall mean the Department of the State of Connecticut named on page 1 of this Contract.
 - (4) “Designated Record Set” shall have the same meaning as the term “designated record set” in 45 C.F.R. § 164.501.
 - (5) “Electronic Health Record” shall have the same meaning as the term is defined in section 13400 of the HITECH Act (42 U.S.C. §17921(5))

- (6) "Individual" shall have the same meaning as the term "individual" in 45 C.F.R. § 160.103 and shall include a person who qualifies as a personal representative as defined in 45 C.F.R. § 164.502(g).
 - (7) "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 C.F.R. part 160 and parts 164, subparts A and E.
 - (8) "Protected Health Information" or "PHI" shall have the same meaning as the term "protected health information" in 45 C.F.R. § 160.103, limited to information created or received by the Business Associate from or on behalf of the Covered Entity.
 - (9) "Required by Law" shall have the same meaning as the term "required by law" in 45 C.F.R. § 164.103.
 - (10) "Secretary" shall mean the Secretary of the Department of Health and Human Services or his designee.
 - (11) "More stringent" shall have the same meaning as the term "more stringent" in 45 C.F.R. § 160.202.
 - (12) "This Section of the Contract" refers to the HIPAA Provisions stated herein, in their entirety.
 - (13) "Security Incident" shall have the same meaning as the term "security incident" in 45 C.F.R. § 164.304.
 - (14) "Security Rule" shall mean the Security Standards for the Protection of Electronic Protected Health Information at 45 C.F.R. part 160 and parts 164, subpart A and C.
 - (15) "Unsecured protected health information" shall have the same meaning as the term as defined in section 13402(h)(1)(A) of HITECH. Act. (42 U.S.C. §17932(h)(1)(A)).
- (h) Obligations and Activities of Business Associates.
- (1) Business Associate agrees not to use or disclose PHI other than as permitted or required by this Section of the Contract or as Required by Law.
 - (2) Business Associate agrees to use appropriate safeguards to prevent use or disclosure of PHI other than as provided for in this Section of the Contract.
 - (3) Business Associate agrees to use administrative, physical and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of electronic protected health information that it creates, receives, maintains, or transmits on behalf of the Covered Entity.
 - (4) Business Associate agrees to mitigate, to the extent practicable, any harmful effect that is known to the Business Associate of a use or disclosure of PHI by Business Associate in violation of this Section of the Contract.

- (5) Business Associate agrees to report to Covered Entity any use or disclosure of PHI not provided for by this Section of the Contract or any security incident of which it becomes aware.
- (6) Business Associate agrees to insure that any agent, including a subcontractor, to whom it provides PHI received from, or created or received by Business Associate, on behalf of the Covered Entity, agrees to the same restrictions and conditions that apply through this Section of the Contract to Business Associate with respect to such information.
- (7) Business Associate agrees to provide access, at the request of the Covered Entity, and in the time and manner agreed to by the parties, to PHI in a Designated Record Set, to Covered Entity or, as directed by Covered Entity, to an Individual in order to meet the requirements under 45 C.F.R. § 164.524.
- (8) Business Associate agrees to make any amendments to PHI in a Designated Record Set that the Covered Entity directs or agrees to pursuant to 45 C.F.R. § 164.526 at the request of the Covered Entity, and in the time and manner agreed to by the parties.
- (9) Business Associate agrees to make internal practices, books, and records, including policies and procedures and PHI, relating to the use and disclosure of PHI received from, or created or received by, Business Associate on behalf of Covered Entity, available to Covered Entity or to the Secretary in a time and manner agreed to by the parties or designated by the Secretary, for purposes of the Secretary determining Covered Entity's compliance with the Privacy Rule.
- (10) Business Associate agrees to document such disclosures of PHI and information related to such disclosures as would be required for Covered Entity to respond to a request by an Individual for an accounting of disclosures of PHI in accordance with 45 C.F.R. § 164.528 and section 13405 of the HITECH Act (42 U.S.C. § 17935) and any regulations promulgated thereunder.
- (11) Business Associate agrees to provide to Covered Entity, in a time and manner agreed to by the parties, information collected in accordance with clause h. (10) of this Section of the Contract, to permit Covered Entity to respond to a request by an Individual for an accounting of disclosures of PHI in accordance with 45 C.F.R. § 164.528 and section 13405 of the HITECH Act (42 U.S.C. § 17935) and any regulations promulgated thereunder. Business Associate agrees at the Covered Entity's direction to provide an accounting of disclosures of PHI directly to an individual in accordance with 45 C.F.R. § 164.528 and section 13405 of the HITECH Act (42 U.S.C. § 17935) and any regulations promulgated thereunder.
- (12) Business Associate agrees to comply with any state or federal law that is more stringent than the Privacy Rule.
- (13) Business Associate agrees to comply with the requirements of the HITECH Act relating to privacy and security that are applicable to the Covered Entity and with the requirements of 45 C.F.R. sections 164.504(e), 164.308, 164.310, 164.312, and 164.316.

- (14) In the event that an individual requests that the Business Associate (a) restrict disclosures of PHI; (b) provide an accounting of disclosures of the individual's PHI; or (c) provide a copy of the individual's PHI in an electronic health record, the Business Associate agrees to notify the covered entity, in writing, within two business days of the request.
- (15) Business Associate agrees that it shall not, directly or indirectly, receive any remuneration in exchange for PHI of an individual without (1) the written approval of the covered entity, unless receipt of remuneration in exchange for PHI is expressly authorized by this Contract and (2) the valid authorization of the individual, except for the purposes provided under section 13405(d)(2) of the HITECH Act,(42 U.S.C. § 17935(d)(2)) and in any accompanying regulations
- (16) Obligations in the Event of a Breach
- A. The Business Associate agrees that, following the discovery of a breach of unsecured protected health information, it shall notify the Covered Entity of such breach in accordance with the requirements of section 13402 of HITECH (42 U.S.C. 17932(b) and the provisions of this Section of the Contract.
- B. Such notification shall be provided by the Business Associate to the Covered Entity without unreasonable delay, and in no case later than 30 days after the breach is discovered by the Business Associate, except as otherwise instructed in writing by a law enforcement official pursuant to section 13402 (g) of HITECH (42 U.S.C. 17932(g)) . A breach is considered discovered as of the first day on which it is, or reasonably should have been, known to the Business Associate. The notification shall include the identification and last known address, phone number and email address of each individual (or the next of kin of the individual if the individual is deceased) whose unsecured protected health information has been, or is reasonably believed by the Business Associate to have been, accessed, acquired, or disclosed during such breach.
- C. The Business Associate agrees to include in the notification to the Covered Entity at least the following information:
1. A brief description of what happened, including the date of the breach and the date of the discovery of the breach, if known.
 2. A description of the types of unsecured protected health information that were involved in the breach (such as full name, Social Security number, date of birth, home address, account number, or disability code).
 3. The steps the Business Associate recommends that individuals take to protect themselves from potential harm resulting from the breach.
 4. A detailed description of what the Business Associate is doing to investigate the breach, to mitigate losses, and to protect against any further breaches.
 5. Whether a law enforcement official has advised either verbally or in writing the Business Associate that he or she has determined that notification or notice to

individuals or the posting required under section 13402 of the HITECH Act would impede a criminal investigation or cause damage to national security and; if so, include contact information for said official.

- D. Business Associate agrees to provide appropriate staffing and have established procedures to ensure that individuals informed by the Covered Entity of a breach by the Business Associate have the opportunity to ask questions and contact the Business Associate for additional information regarding the breach. Such procedures shall include a toll-free telephone number, an e-mail address, a posting on its Web site and a postal address. Business Associate agrees to include in the notification of a breach by the Business Associate to the Covered Entity, a written description of the procedures that have been established to meet these requirements. Costs of such contact procedures will be borne by the Contractor.
 - E. Business Associate agrees that, in the event of a breach, it has the burden to demonstrate that it has complied with all notifications requirements set forth above, including evidence demonstrating the necessity of a delay in notification to the Covered Entity.
- (i) Permitted Uses and Disclosure by Business Associate.
- (1) General Use and Disclosure Provisions Except as otherwise limited in this Section of the Contract, Business Associate may use or disclose PHI to perform functions, activities, or services for, or on behalf of, Covered Entity as specified in this Contract, provided that such use or disclosure would not violate the Privacy Rule if done by Covered Entity or the minimum necessary policies and procedures of the Covered Entity.
 - (2) Specific Use and Disclosure Provisions
 - (A) Except as otherwise limited in this Section of the Contract, Business Associate may use PHI for the proper management and administration of Business Associate or to carry out the legal responsibilities of Business Associate.
 - (B) Except as otherwise limited in this Section of the Contract, Business Associate may disclose PHI for the proper management and administration of Business Associate, provided that disclosures are Required by Law, or Business Associate obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and used or further disclosed only as Required by Law or for the purpose for which it was disclosed to the person, and the person notifies Business Associate of any instances of which it is aware in which the confidentiality of the information has been breached.
 - (C) Except as otherwise limited in this Section of the Contract, Business Associate may use PHI to provide Data Aggregation services to Covered Entity as permitted by 45 C.F.R. § 164.504(e)(2)(i)(B).
- (j) Obligations of Covered Entity.

- (1) Covered Entity shall notify Business Associate of any limitations in its notice of privacy practices of Covered Entity, in accordance with 45 C.F.R. § 164.520, or to the extent that such limitation may affect Business Associate's use or disclosure of PHI.
 - (2) Covered Entity shall notify Business Associate of any changes in, or revocation of, permission by Individual to use or disclose PHI, to the extent that such changes may affect Business Associate's use or disclosure of PHI.
 - (3) Covered Entity shall notify Business Associate of any restriction to the use or disclosure of PHI that Covered Entity has agreed to in accordance with 45 C.F.R. § 164.522, to the extent that such restriction may affect Business Associate's use or disclosure of PHI.
- (k) Permissible Requests by Covered Entity. Covered Entity shall not request Business Associate to use or disclose PHI in any manner that would not be permissible under the Privacy Rule if done by the Covered Entity, except that Business Associate may use and disclose PHI for data aggregation, and management and administrative activities of Business Associate, as permitted under this Section of the Contract.
- (l) Term and Termination.
- (1) Term. The Term of this Section of the Contract shall be effective as of the date the Contract is effective and shall terminate when the information collected in accordance with clause h. (10) of this Section of the Contract is provided to the Covered Entity and all of the PHI provided by Covered Entity to Business Associate, or created or received by Business Associate on behalf of Covered Entity, is destroyed or returned to Covered Entity, or, if it is infeasible to return or destroy PHI, protections are extended to such information, in accordance with the termination provisions in this Section.
 - (2) Termination for Cause Upon Covered Entity's knowledge of a material breach by Business Associate, Covered Entity shall either:
 - (A) Provide an opportunity for Business Associate to cure the breach or end the violation and terminate the Contract if Business Associate does not cure the breach or end the violation within the time specified by the Covered Entity; or
 - (B) Immediately terminate the Contract if Business Associate has breached a material term of this Section of the Contract and cure is not possible; or
 - (C) If neither termination nor cure is feasible, Covered Entity shall report the violation to the Secretary.
 - (3) Effect of Termination
 - (A) Except as provided in (l)(2) of this Section of the Contract, upon termination of this Contract, for any reason, Business Associate shall return or destroy all PHI received from Covered Entity, or created or received by Business Associate on behalf of Covered Entity. Business Associate shall also provide the information collected in accordance with clause h. (10) of this Section of the Contract to the Covered Entity

within ten business days of the notice of termination. This provision shall apply to PHI that is in the possession of subcontractors or agents of Business Associate. Business Associate shall retain no copies of the PHI.

(B) In the event that Business Associate determines that returning or destroying the PHI is infeasible, Business Associate shall provide to Covered Entity notification of the conditions that make return or destruction infeasible. Upon documentation by Business Associate that return or destruction of PHI is infeasible, Business Associate shall extend the protections of this Section of the Contract to such PHI and limit further uses and disclosures of PHI to those purposes that make return or destruction infeasible, for as long as Business Associate maintains such PHI. Infeasibility of the return or destruction of PHI includes, but is not limited to, requirements under state or federal law that the Business Associate maintains or preserves the PHI or copies thereof.

(m) Miscellaneous Provisions.

(1) Regulatory References. A reference in this Section of the Contract to a section in the Privacy Rule means the section as in effect or as amended.

(2) Amendment. The Parties agree to take such action as is necessary to amend this Section of the Contract from time to time as is necessary for Covered Entity to comply with requirements of the Privacy Rule and the Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191.

(3) Survival. The respective rights and obligations of Business Associate shall survive the termination of this Contract.

(4) Effect on Contract. Except as specifically required to implement the purposes of this Section of the Contract, all other terms of the Contract shall remain in force and effect.

(5) Construction. This Section of the Contract shall be construed as broadly as necessary to implement and comply with the Privacy Standard. Any ambiguity in this Section of the Contract shall be resolved in favor of a meaning that complies, and is consistent with, the Privacy Standard.

(6) Disclaimer. Covered Entity makes no warranty or representation that compliance with this Section of the Contract will be adequate or satisfactory for Business Associate's own purposes. Covered Entity shall not be liable to Business Associate for any claim, civil or criminal penalty, loss or damage related to or arising from the unauthorized use or disclosure of PHI by Business Associate or any of its officers, directors, employees, contractors or agents, or any third party to whom Business Associate has disclosed PHI contrary to the provisions of this Contract or applicable law. Business Associate is solely responsible for all decisions made, and actions taken, by Business Associate regarding the safeguarding, use and disclosure of PHI within its possession, custody or control.

(7) Indemnification. The Business Associate shall indemnify and hold the Covered Entity harmless from and against any and all claims, liabilities, judgments, fines, assessments, penalties, awards and any statutory damages that may be imposed or assessed pursuant to HIPAA, as amended or the

HITECH Act, including, without limitation, attorney's fees, expert witness fees, costs of investigation, litigation or dispute resolution, and costs awarded thereunder, relating to or arising out of any violation by the Business Associate and its agents, including subcontractors, of any obligation of Business Associate and its agents, including subcontractors, under this section of the contract, under HIPAA, the HITECH Act, the Privacy Rule and the Security Rule.

Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations

This notice is provided under the authority of Connecticut General Statutes §9-612(g)(2), as amended by P.A. 10-1, and is for the purpose of informing state contractors and prospective state contractors of the following law (*italicized words are defined on the reverse side of this page*).

CAMPAIGN CONTRIBUTION AND SOLICITATION LIMITATIONS

No *state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor*, with regard to a *state contract or state contract solicitation* with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee (which includes town committees).

In addition, no holder or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State senator or State representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

On and after January 1, 2011, no state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall **knowingly solicit** contributions from the state contractor's or prospective state contractor's employees or from a *subcontractor or principals of the subcontractor* on behalf of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

DUTY TO INFORM

State contractors and prospective state contractors are required to inform their principals of the above prohibitions, as applicable, and the possible penalties and other consequences of any violation thereof.

PENALTIES FOR VIOLATIONS

Contributions or solicitations of contributions made in violation of the above prohibitions may result in the following civil and criminal penalties:

Civil penalties—Up to \$2,000 or twice the amount of the prohibited contribution, whichever is greater, against a principal or a contractor. Any state contractor or prospective state contractor which fails to make reasonable efforts to comply with the provisions requiring notice to its principals of these prohibitions and the possible consequences of their violations may also be subject to civil penalties of up to \$2,000 or twice the amount of the prohibited contributions made by their principals.

Criminal penalties—Any knowing and willful violation of the prohibition is a Class D felony, which may subject the violator to imprisonment of not more than 5 years, or not more than \$5,000 in fines, or both.

CONTRACT CONSEQUENCES

In the case of a state contractor, contributions made or solicited in violation of the above prohibitions may result in the contract being voided.

In the case of a prospective state contractor, contributions made or solicited in violation of the above prohibitions shall result in the contract described in the state contract solicitation not being awarded to the prospective state contractor, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

The State shall not award any other state contract to anyone found in violation of the above prohibitions for a period of one year after the election for which such contribution is made or solicited, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

Additional information may be found on the website of the State Elections Enforcement Commission, www.ct.gov/seec. Click on the link to "Lobbyist/Contractor Limitations."

DEFINITIONS

“State contractor” means a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December thirty-first of the year in which such contract terminates. “State contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

“Prospective state contractor” means a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid prequalification certificate issued by the Commissioner of Administrative Services under section 4a-100. “Prospective state contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

“Principal of a state contractor or prospective state contractor” means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has *managerial or discretionary responsibilities with respect to a state contract*, (v) the spouse or a *dependent child* who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the state contractor or prospective state contractor.

“State contract” means an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of fifty thousand dollars or more, or a combination or series of such agreements or contracts having a value of one hundred thousand dollars or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale or lease of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. “State contract” does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan, a loan to an individual for other than commercial purposes or any agreement or contract between the state or any state agency and the United States Department of the Navy or the United States Department of Defense.

“State contract solicitation” means a request by a state agency or quasi-public agency, in whatever form issued, including, but not limited to, an invitation to bid, request for proposals, request for information or request for quotes, inviting bids, quotes or other types of submittals, through a competitive procurement process or another process authorized by law waiving competitive procurement.

“Managerial or discretionary responsibilities with respect to a state contract” means having direct, extensive and substantive responsibilities with respect to the negotiation of the state contract and not peripheral, clerical or ministerial responsibilities.

“Dependent child” means a child residing in an individual's household who may legally be claimed as a dependent on the federal income tax of such individual.

“Solicit” means (A) requesting that a contribution be made, (B) participating in any fund-raising activities for a candidate committee, exploratory committee, political committee or party committee, including, but not limited to, forwarding tickets to potential contributors, receiving contributions for transmission to any such committee or bundling contributions, (C) serving as chairperson, treasurer or deputy treasurer of any such committee, or (D) establishing a political committee for the sole purpose of soliciting or receiving contributions for any committee. Solicit does not include: (i) making a contribution that is otherwise permitted by Chapter 155 of the Connecticut General Statutes; (ii) informing any person of a position taken by a candidate for public office or a public official, (iii) notifying the person of any activities of, or contact information for, any candidate for public office; or (iv) serving as a member in any party committee or as an officer of such committee that is not otherwise prohibited in this section.

“Subcontractor” means any person, business entity or nonprofit organization that contracts to perform part or all of the obligations of a state contractor's state contract. Such person, business entity or nonprofit organization shall be deemed to be a subcontractor until December thirty first of the year in which the subcontract terminates. “Subcontractor” does not include (i) a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or (ii) an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

“Principal of a subcontractor” means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a subcontractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a subcontractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a subcontractor, which is not a business entity, or if a subcontractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any subcontractor who has managerial or discretionary responsibilities with respect to a subcontract with a state contractor, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the subcontractor.

EXHIBIT F

(federal wage rate package will be inserted here for final executed contract only. Refer to NTC – Federal Wage Determinations)

EXHIBIT G

(state wages will be inserted here)

Project: Replacement Of Bridge Number 06676 US Route 1 Over Unnamed Brook

**Minimum Rates and Classifications
for Heavy/Highway Construction**

ID#: H 25726

**Connecticut Department of Labor
Wage and Workplace Standards Division**

By virtue of the authority vested in the Labor Commissioner under provisions of Section 31-53 of the General Statutes of Connecticut, as amended, the following are declared to be the prevailing rates and welfare payments and will apply only where the contract is advertised for bid within 20 days of the date on which the rates are established. Any contractor or subcontractor not obligated by agreement to pay to the welfare and pension fund shall pay this amount to each employee as part of his/her hourly wages.

Project Number:

Project Town: East Lyme

FAP Number:

State Number: 44-157

Project: Replacement Of Bridge Number 06676 US Route 1 Over Unnamed Brook

CLASSIFICATION	Hourly Rate	Benefits
1) Boilermaker	33.79	34% + 8.96
1a) Bricklayer, Cement Masons, Cement Finishers, Plasterers, Stone Masons	34.72	32.15
2) Carpenters, Piledrivermen	32.60	25.34
2a) Diver Tenders	32.60	25.34

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3) Divers	41.06	25.34
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03a) Millwrights	33.14	25.74
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4) Painters: (Bridge Construction) Brush, Roller, Blasting (Sand, Water, etc.), Spray	49.75	21.05
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4a) Painters: Brush and Roller	33.62	21.05
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4b) Painters: Spray Only	36.62	21.05
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4c) Painters: Steel Only	35.62	21.05
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4d) Painters: Blast and Spray	36.62	21.05
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Project: Replacement Of Bridge Number 06676 US Route 1 Over Unnamed Brook

4e) Painters: Tanks, Tower and Swing 35.62 21.05

5) Electrician (Trade License required: E-1,2 L-5,6 C-5,6 T-1,2 L-1,2 V-1,2,7,8,9) 37.50 27.91+3% of gross wage

6) Ironworkers: Ornamental, Reinforcing, Structural, and Precast Concrete Erection 35.47 35.14 + a

7) Plumbers (Trade License required: (P-1,2,6,7,8,9 J-1,2,3,4 SP-1,2) and Pipefitters (Including HVAC Work) (Trade License required: S-1,2,3,4,5,6,7,8 B-1,2,3,4 D-1,2,3,4 G-1, G-2, G-8, G-9) 42.62 31.21

---LABORERS----

8) Group 1: Laborer (Unskilled), Common or General, acetylene burner, concrete specialist 30.05 20.10

9) Group 2: Chain saw operators, fence and guard rail erectors, pneumatic tool operators, powdermen 30.30 20.10

Project: Replacement Of Bridge Number 06676 US Route 1 Over Unnamed Brook

10) Group 3: Pipelayers 30.55 20.10

11) Group 4: Jackhammer/Pavement breaker (handheld); mason tenders 30.55 20.10
(cement/concrete), catch basin builders, asphalt rakers, air track operators, block
paver, curb setter and forklift operators

12) Group 5: Toxic waste removal (non-mechanical systems) 32.05 20.10

13) Group 6: Blasters 31.80 20.10

Group 7: Asbestos/lead removal, non-mechanical systems (does not include 31.05 20.10
leaded joint pipe)

Group 8: Traffic control signalmen 16.00 20.10

Group 9: Hydraulic Drills 29.30 18.90

Project: Replacement Of Bridge Number 06676 US Route 1 Over Unnamed Brook

---LABORERS (TUNNEL CONSTRUCTION, FREE AIR). Shield Drive and
Liner Plate Tunnels in Free Air.----

13a) Miners, Motormen, Mucking Machine Operators, Nozzle Men, Grout Men, Shaft & Tunnel Steel & Rodmen, Shield & Erector, Arm Operator, Cable Tenders	32.22	20.10 + a
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13b) Brakemen, Trackmen	31.28	20.10 + a
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---CLEANING, CONCRETE AND CAULKING TUNNEL----

14) Concrete Workers, Form Movers, and Strippers	31.28	20.10 + a
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15) Form Erectors	31.60	20.10 + a
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---ROCK SHAFT LINING, CONCRETE, LINING OF SAME AND TUNNEL
IN FREE AIR:----

Project: Replacement Of Bridge Number 06676 US Route 1 Over Unnamed Brook

16) Brakemen, Trackmen, Tunnel Laborers, Shaft Laborers	31.28	20.10 + a
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17) Laborers Topside, Cage Tenders, Bellman	31.17	20.10 + a
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18) Miners	32.22	20.10 + a
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---TUNNELS, CAISSON AND CYLINDER WORK IN COMPRESSED
AIR: ----

18a) Blaster	38.53	20.10 + a
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19) Brakemen, Trackmen, Groutman, Laborers, Outside Lock Tender, Gauge Tenders	38.34	20.10 + a
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20) Change House Attendants, Powder Watchmen, Top on Iron Bolts	36.41	20.10 + a
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Project: Replacement Of Bridge Number 06676 US Route 1 Over Unnamed Brook

21) Mucking Machine Operator	39.11	20.10 + a
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---TRUCK DRIVERS---(*see note below)

Two axle trucks	29.13	23.33 + a
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Three axle trucks; two axle ready mix	29.23	23.33 + a
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Three axle ready mix	29.28	23.33 + a
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Four axle trucks, heavy duty trailer (up to 40 tons)	29.33	23.33 + a
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Four axle ready-mix	29.38	23.33 + a
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Heavy duty trailer (40 tons and over)	29.58	23.33 + a
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Specialized earth moving equipment other than conventional type on-the road trucks and semi-trailer (including Euclids)	29.38	23.33 + a
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---POWER EQUIPMENT OPERATORS---

Group 1: Crane handling or erecting structural steel or stone, hoisting engineer (2 drums or over), front end loader (7 cubic yards or over), Work Boat 26 ft. & Over, Tunnel Boring Machines. (Trade License Required)	39.55	24.30 + a
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Group 2: Cranes (100 ton rate capacity and over); Excavator over 2 cubic yards; Piledriver (\$3.00 premium when operator controls hammer); Bauer Drill/Caisson. (Trade License Required)	39.23	24.30 + a
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Group 3: Excavator/Backhoe under 2 cubic yards; Cranes (under 100 ton rated capacity), Gradall; Master Mechanic; Hoisting Engineer (all types of equipment where a drum and cable are used to hoist or drag material regardless of motive power of operation), Rubber Tire Excavator (Drott-1085 or similar); Grader Operator; Bulldozer Fine Grade (slopes, shaping, laser or GPS, etc.). (Trade License Required)	38.49	24.30 + a
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Group 4: Trenching Machines; Lighter Derrick; Concrete Finishing Machine; CMI Machine or Similar; Koehring Loader (Skooper)	38.10	24.30 + a
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Group 5: Specialty Railroad Equipment; Asphalt Paver; Asphalt Spreader; Asphalt Reclaiming Machine; Line Grinder; Concrete Pumps; Drills with Self Contained Power Units; Boring Machine; Post Hole Digger; Auger; Pounder; Well Digger; Milling Machine (over 24" Mandrell)	37.51	24.30 + a
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Group 5 continued: Side Boom; Combination Hoe and Loader; Directional Driller.	37.51	24.30 + a
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Group 6: Front End Loader (3 up to 7 cubic yards); Bulldozer (rough grade dozer).	37.20	24.30 + a
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Group 7: Asphalt Roller; Concrete Saws and Cutters (ride on types); Vermeer Concrete Cutter; Stump Grinder; Scraper; Snooper; Skidder; Milling Machine (24" and Under Mandrel).	36.86	24.30 + a
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Group 8: Mechanic, Grease Truck Operator, Hydroblaster, Barrier Mover, Power Stone Spreader; Welder; Work Boat under 26 ft.; Transfer Machine.	36.46	24.30 + a
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Group 9: Front End Loader (under 3 cubic yards), Skid Steer Loader regardless of attachments (Bobcat or Similar); Fork Lift, Power Chipper; Landscape Equipment (including hydroseeder).	36.03	24.30 + a
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Group 10: Vibratory Hammer, Ice Machine, Diesel and Air Hammer, etc.	33.99	24.30 + a
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Project: Replacement Of Bridge Number 06676 US Route 1 Over Unnamed Brook

Group 11: Conveyor, Earth Roller; Power Pavement Breaker (whiphammer), Robot Demolition Equipment. 33.99 24.30 + a

Group 12: Wellpoint Operator. 33.93 24.30 + a

Group 13: Compressor Battery Operator. 33.35 24.30 + a

Group 14: Elevator Operator; Tow Motor Operator (Solid Tire No Rough Terrain). 32.21 24.30 + a

Group 15: Generator Operator; Compressor Operator; Pump Operator; Welding Machine Operator; Heater Operator. 31.80 24.30 + a

Group 16: Maintenance Engineer/Oiler 31.15 24.30 + a

Group 17: Portable asphalt plant operator; portable crusher plant operator; portable concrete plant operator. 35.46 24.30 + a

Project: Replacement Of Bridge Number 06676 US Route 1 Over Unnamed Brook

Group 18: Power Safety Boat; Vacuum Truck; Zim Mixer; Sweeper; (minimum 33.04 24.30 + a
for any job requiring CDL license).

**NOTE: SEE BELOW

---LINE CONSTRUCTION---(Railroad Construction and Maintenance)---

20) Lineman, Cable Splicer, Technician 48.19 6.5% + 22.00

21) Heavy Equipment Operator 42.26 6.5% + 19.88

22) Equipment Operator, Tractor Trailer Driver, Material Men 40.96 6.5% + 19.21

23) Driver Groundmen 26.50 6.5% + 9.00

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23a) Truck Driver 40.96 6.5% + 17.76

---LINE CONSTRUCTION---

24) Driver Groundmen 30.92 6.5% + 9.70

25) Groundmen 22.67 6.5% + 6.20

26) Heavy Equipment Operators 37.10 6.5% + 10.70

27) Linemen, Cable Splicers, Dynamite Men 41.22 6.5% + 12.20

28) Material Men, Tractor Trailer Drivers, Equipment Operators 35.04 6.5% + 10.45

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01) Asbestos/Toxic Waste Removal Laborers: Asbestos removal and encapsulation (except its removal from mechanical systems which are not to be scrapped), toxic waste removers, blasters. **See Laborers Group 5 and 7**

Project: Replacement Of Bridge Number 06676 US Route 1 Over Unnamed Brook

Welders: Rate for craft to which welding is incidental.

**Note: Hazardous waste removal work receives additional \$1.25 per hour for truck drivers.*

***Note: Hazardous waste premium \$3.00 per hour over classified rate*

ALL Cranes: When crane operator is operating equipment that requires a fully licensed crane operator to operate he receives an extra \$4.00 premium in addition to the hourly wage rate and benefit contributions:

1) Crane handling or erecting structural steel or stone; hoisting engineer (2 drums or over)

2) Cranes (100 ton rate capacity and over) Bauer Drill/Caisson

3) Cranes (under 100 ton rated capacity)

Crane with 150 ft. boom (including jib) - \$1.50 extra

Crane with 200 ft. boom (including jib) - \$2.50 extra

Crane with 250 ft. boom (including jib) - \$5.00 extra

Crane with 300 ft. boom (including jib) - \$7.00 extra

Crane with 400 ft. boom (including jib) - \$10.00 extra

All classifications that indicate a percentage of the fringe benefits must be calculated at the percentage rate times the "base hourly rate".

Apprentices duly registered under the Commissioner of Labor's regulations on "Work Training Standards for Apprenticeship and Training Programs" Section 31-51-d-1 to 12, are allowed to be paid the appropriate percentage of the prevailing journeymen hourly base and the full fringe benefit rate, providing the work site ratio shall not be less than one full-time journeyman instructing and supervising the work of each apprentice in a specific trade.

~~Connecticut General Statute Section 31-55a: Annual Adjustments to wage rates by contractors doing state work ~~

The Prevailing wage rates applicable to this project are subject to annual adjustments each July 1st for the duration of the project.

Each contractor shall pay the annual adjusted prevailing wage rate that is in effect each July 1st, as posted by the Department of Labor.

It is the contractor's responsibility to obtain the annual adjusted prevailing wage rate increases directly from the Department of Labor's website.

The annual adjustments will be posted on the Department of Labor's Web page: www.ct.gov/dol.

The Department of Labor will continue to issue the initial prevailing wage rate schedule to the Contracting Agency for the project.

All subsequent annual adjustments will be posted on our Web Site for contractor access.

Contracting Agencies are under no obligation pursuant to State labor law to pay any increase due to the annual adjustment provision.

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Effective October 1, 2005 - Public Act 05-50: any person performing the work of any mechanic, laborer, or worker shall be paid prevailing wage

All Person who perform work ON SITE must be paid prevailing wage for the appropriate mechanic, laborer, or worker classification.

All certified payrolls must list the hours worked and wages paid to All Persons who perform work ON SITE regardless of their ownership i.e.: (Owners, Corporate Officers, LLC Members, Independent Contractors, et. al)

Reporting and payment of wages is required regardless of any contractual relationship alleged to exist between the contractor and such person.

~~Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clause (29 CFR 5.5 (a) (1) (ii)).

Please direct any questions which you may have pertaining to classification of work and payment of prevailing wages to the Wage and Workplace Standards Division, telephone (860)263-6790.

As of: Thursday, February 28, 2019

Connecticut Department of Labor
Wage and Workplace Standards Division
FOOTNOTES

Please Note: If the “Benefits” listed on the schedule for the following occupations includes a letter(s) (+ a or + a+b for instance), refer to the information below.

Benefits to be paid at the appropriate prevailing wage rate for the listed occupation.

If the “Benefits” section for the occupation lists only a dollar amount, disregard the information below.

Bricklayers, Cement Masons, Cement Finishers, Concrete Finishers, Stone Masons
(Building Construction) and
(Residential- Hartford, Middlesex, New Haven, New London and Tolland Counties)

- a. Paid Holiday: Employees shall receive 4 hours for Christmas Eve holiday provided the employee works the regularly scheduled day before and after the holiday. Employers may schedule work on Christmas Eve and employees shall receive pay for actual hours worked in addition to holiday pay.

Elevator Constructors: Mechanics

- a. Paid Holidays: New Year’s Day, Memorial Day, Independence Day, Labor Day, Veterans’ Day, Thanksgiving Day, Christmas Day, plus the Friday after Thanksgiving.
- b. Vacation: Employer contributes 8% of basic hourly rate for 5 years or more of service or 6% of basic hourly rate for 6 months to 5 years of service as vacation pay credit.

Glaziers

- a. Paid Holidays: Labor Day and Christmas Day.

Power Equipment Operators
(Heavy and Highway Construction & Building Construction)

- a. Paid Holidays: New Year’s Day, Good Friday, Memorial day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day, provided the employee works 3 days during the week in which the holiday falls, if scheduled, and if scheduled, the working day before and the working day after the holiday. Holidays falling on Saturday may be observed on Saturday, or if the employer so elects, on the preceding Friday.

Ironworkers

- a. Paid Holiday: Labor Day provided employee has been on the payroll for the 5 consecutive work days prior to Labor Day.

Laborers (Tunnel Construction)

- a. Paid Holidays: New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day. No employee shall be eligible for holiday pay when he fails, without cause, to work the regular work day preceding the holiday or the regular work day following the holiday.

Roofers

- a. Paid Holidays: July 4th, Labor Day, and Christmas Day provided the employee is employed 15 days prior to the holiday.

Sprinkler Fitters

- a. Paid Holidays: Memorial Day, July 4th, Labor Day, Thanksgiving Day and Christmas Day, provided the employee has been in the employment of a contractor 20 working days prior to any such paid holiday.

Truck Drivers

(Heavy and Highway Construction & Building Construction)

- a. Paid Holidays: New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas day, and Good Friday, provided the employee has at least 31 calendar days of service and works the last scheduled day before and the first scheduled day after the holiday, unless excused.

Information Bulletin ***Occupational Classifications***

The Connecticut Department of Labor has the responsibility to properly determine "job classification" on prevailing wage projects covered under C.G.S. Section 31-53(d).

Note: This information is intended to provide a sample of some occupational classifications for guidance purposes only. It is not an all-inclusive list of each occupation's duties. This list is being provided only to highlight some areas where a contractor may be unclear regarding the proper classification. If unsure, the employer should seek guidelines for CTDOL.

Below are additional clarifications of specific job duties performed for certain classifications:

- **ASBESTOS WORKERS**

Applies all insulating materials, protective coverings, coatings and finishes to all types of mechanical systems.

- **ASBESTOS INSULATOR**

Handle, install apply, fabricate, distribute, prepare, alter, repair, dismantle, heat and frost insulation, including penetration and fire stopping work on all penetration fire stop systems.

- **BOILERMAKERS**

Erects hydro plants, incomplete vessels, steel stacks, storage tanks for water, fuel, etc. Builds incomplete boilers, repairs heat exchanges and steam generators.

- **BRICKLAYERS, CEMENT MASONS, CEMENT FINISHERS, MARBLE MASONS, PLASTERERS, STONE MASONS, PLASTERERS. STONE MASONS, TERRAZZO WORKERS, TILE SETTERS**

Lays building materials such as brick, structural tile and concrete cinder, glass, gypsum, terra cotta block. Cuts, tools and sets marble, sets stone, finishes concrete, applies decorative steel, aluminum and plastic tile, applies cements, sand, pigment and marble chips to floors, stairways, etc.

- **CARPENTERS, MILLWRIGHTS. PILEDRIVERMEN. LATHERS. RESILEINT FLOOR LAYERS, DOCK BUILDERS, DIKERS, DIVER TENDERS**

Constructs, erects, installs and repairs structures and fixtures of wood, plywood and wallboard. Installs, assembles, dismantles, moves industrial machinery. Drives piling into ground to provide foundations for structures such as buildings and bridges, retaining walls for earth embankments, such as cofferdams. Fastens wooden, metal or rockboard lath to walls, ceilings and partitions of buildings, acoustical tile layer, concrete form builder. Applies firestopping materials on fire resistive joint systems only. Installation of curtain/window walls only where attached to wood or metal studs. Installation of insulated material of all types whether blown, nailed or attached in other ways to walls, ceilings and floors of buildings. Assembly and installation of modular furniture/furniture systems. Free-standing furniture is not covered. This includes free standing: student chairs, study top desks, book box desks, computer furniture, dictionary stand, atlas stand, wood shelving, two-position information access station, file cabinets, storage cabinets, tables, etc.

- **LABORER, CLEANING**

- The clean up of any construction debris and the general (heavy/light) cleaning, including sweeping, wash down, mopping, wiping of the construction facility and its furniture, washing, polishing, and dusting.

- **DELIVERY PERSONNEL**

- If delivery of supplies/building materials is to one common point and stockpiled there, prevailing wages are not required. If the delivery personnel are involved in the distribution of the material to multiple locations within the construction site then they would have to be paid prevailing wages for the type of work performed: laborer, equipment operator, electrician, ironworker, plumber, etc.

- An example of this would be where delivery of drywall is made to a building and the delivery personnel distribute the drywall from one "stockpile" location to further sub-locations on each floor. Distribution of material around a construction site is the job of a laborer or tradesman, and not a delivery personnel.

- **ELECTRICIANS**

Install, erect, maintenance, alteration or repair of any wire, cable, conduit, etc., which generates, transforms, transmits or uses electrical energy for light, heat, power or other purposes, including the Installation or maintenance of telecommunication, LAN wiring or computer equipment, and low voltage wiring. ***License required per Connecticut General Statutes: E-1,2 L-5,6 C-5,6 T-1,2 L-1,2 V-1,2,7,8,9.**

- **ELEVATOR CONSTRUCTORS**

Install, erect, maintenance and repair of all types of elevators, escalators, dumb waiters and moving walks. **License required by Connecticut General Statutes: R-1,2,5,6.*

- **FORK LIFT OPERATOR**

Laborers Group 4) Mason Tenders - operates forklift solely to assist a mason to a maximum height of nine (9) feet only.

Power Equipment Operator Group 9 - operates forklift to assist any trade, and to assist a mason to a height over nine (9) feet.

- **GLAZIERS**

Glazing wood and metal sash, doors, partitions, and 2 story aluminum storefronts. Installs glass windows, skylights, store fronts and display cases or surfaces such as building fronts, interior walls, ceilings and table tops and metal store fronts. Installation of aluminum window walls and curtain walls is the "joint" work of glaziers and ironworkers, which require equal composite workforce.

- **IRONWORKERS**

Erection, installation and placement of structural steel, precast concrete, miscellaneous iron, ornamental iron, metal curtain wall, rigging and reinforcing steel. Handling, sorting, and installation of reinforcing steel (rebar). Metal bridge rail (traffic), metal bridge handrail, and decorative security fence installation. Installation of aluminum window walls and curtain walls is the "joint" work of glaziers and ironworkers which require equal composite workforce.

- **INSULATOR**

- Installing fire stopping systems/materials for "Penetration Firestop Systems": transit to cables, electrical conduits, insulated pipes, sprinkler pipe penetrations, ductwork behind radiation, electrical cable trays, fire rated pipe penetrations, natural polypropylene, HVAC ducts, plumbing bare metal, telephone and communication wires, and boiler room ceilings.

- **LABORERS**

Acetylene burners, asphalt rakers, chain saw operators, concrete and power buggy operator, concrete saw operator, fence and guard rail erector (except metal bridge rail (traffic), decorative security fence (non-metal).

installation.), hand operated concrete vibrator operator, mason tenders, pipelayers (installation of storm drainage or sewage lines on the street only), pneumatic drill operator, pneumatic gas and electric drill operator, powermen and wagon drill operator, air track operator, block paver, curb setters, blasters, concrete spreaders.

- **PAINTERS**

Maintenance, preparation, cleaning, blasting (water and sand, etc.), painting or application of any protective coatings of every description on all bridges and appurtenances of highways, roadways, and railroads. Painting, decorating, hardwood finishing, paper hanging, sign writing, scenic art work and drywall hhg for any and all types of building and residential work.

- **LEAD PAINT REMOVAL**

- Painter's Rate

1. Removal of lead paint from bridges.
2. Removal of lead paint as preparation of any surface to be repainted.
3. Where removal is on a Demolition project prior to reconstruction.

- Laborer's Rate

1. Removal of lead paint from any surface NOT to be repainted.
2. Where removal is on a *TOTAL* Demolition project only.

- **PLUMBERS AND PIPEFITTERS**

Installation, repair, replacement, alteration or maintenance of all plumbing, heating, cooling and piping. ****License required per Connecticut General Statutes: P-1,2,6,7,8,9 J-1,2,3,4 SP-1,2 S-1,2,3,4,5,6,7,8 B-1,2,3,4 D-1,2,3,4.***

- **POWER EQUIPMENT OPERATORS**

Operates several types of power construction equipment such as compressors, pumps, hoists, derricks, cranes, shovels, tractors, scrapers or motor graders, etc. Repairs and maintains equipment. ****License required, crane operators only, per Connecticut General Statutes.***

- **ROOFERS**

Covers roofs with composition shingles or sheets, wood shingles, slate or asphalt and gravel to waterproof roofs, including preparation of surface. (demolition or removal of any type of roofing and or clean-up of any and all areas where a roof is to be relaid.)

- **SHEETMETAL WORKERS**

Fabricate, assembles, installs and repairs sheetmetal products and equipment in such areas as ventilation, air-conditioning, warm air heating, restaurant equipment, architectural sheet metal work, sheetmetal roofing, and aluminum gutters. Fabrication, handling, assembling, erecting, altering, repairing, etc. of coated metal material panels and composite metal material panels when used on building exteriors and interiors as soffits, fascia, louvers, partitions, canopies, cornice, column covers, awnings, beam covers, cladding, sun shades, lighting troughs, spires, ornamental roofing, metal ceilings, mansards, copings, ornamental and ventilation hoods, vertical and horizontal siding panels, trim, etc. The sheet metal classification also applies to the vast variety of coated metal material panels and composite metal material panels that have evolved over the years as an alternative to conventional ferrous and non-ferrous metals like steel, iron, tin, copper, brass, bronze, aluminum, etc. Fabrication, handling, assembling, erecting, altering, repairing, etc. of architectural metal roof, standing seam roof, composite metal roof, metal and composite bathroom/toilet partitions, aluminum gutters, metal and composite lockers and shelving, kitchen equipment, and walk-in coolers. To include testing and air –balancing ancillary to installation and construction.

- **SPRINKLER FITTERS**

Installation, alteration, maintenance and repair of fire protection sprinkler systems.

****License required per Connecticut General Statutes: F-1,2,3,4.***

- **TILE MARBLE AND TERRAZZO FINISHERS**

Assists and tends the tile setter, marble mason and terrazzo worker in the performance of their duties.

- **TRUCK DRIVERS**

~How to pay truck drivers delivering asphalt is under REVISION~

Truck Drivers are required to be paid prevailing wage for time spent "working" directly on the site. These drivers remain covered by the prevailing wage for any time spent transporting between the actual construction location and facilities (such as fabrication, plants, mobile factories, batch plant, borrow pits, job headquarters, tool yards, etc.) dedicated exclusively, or nearly so, to performance of the contract or project, which are so located in proximity to the actual construction location that it is reasonable to include them. ****License required, drivers only, per Connecticut General Statutes.***

For example:

- Material men and deliverymen are not covered under prevailing wage as long as they are not directly involved in the construction process. If, they unload the material, they would then be covered by prevailing wage for the classification they are performing work in: laborer, equipment operator, etc.
- Hauling material off site is not covered provided they are not dumping it at a location outlined above.
- Driving a truck on site and moving equipment or materials on site would be considered covered work, as this is part of the construction process.

➤ *Any questions regarding the proper classification should be directed to:*
Public Contract Compliance Unit
Wage and Workplace Standards Division
Connecticut Department of Labor
200 Folly Brook Blvd, Wethersfield, CT 06109
(860) 263-6543.

Statute 31-55a

Last Updated: June 02, 2008

You are here: [DOL Web Site](#) ▶ [Wage and Workplace Issues](#) ▶ Statute 31-55a

- Special Notice -

To All State and Political Subdivisions, Their Agents, and Contractors

Connecticut General Statute 31-55a - Annual adjustments to wage rates by contractors doing state work.

Each contractor that is awarded a contract on or after October 1, 2002, for (1) the construction of a state highway or bridge that falls under the provisions of section 31-54 of the general statutes, or (2) the construction, remodeling, refinishing, refurbishing, rehabilitation, alteration or repair of any public works project that falls under the provisions of section 31-53 of the general statutes shall contact the Labor Commissioner on or before July first of each year, for the duration of such contract, to ascertain the prevailing rate of wages on an hourly basis and the amount of payment or contributions paid or payable on behalf of each mechanic, laborer or worker employed upon the work contracted to be done, and shall make any necessary adjustments to such prevailing rate of wages and such payment or contributions paid or payable on behalf of each such employee, effective each July first.

- The prevailing wage rates applicable to any contract or subcontract awarded on or after October 1, 2002 are subject to annual adjustments each July 1st for the duration of any project which was originally advertised for bids on or after October 1, 2002.
- Each contractor affected by the above requirement shall pay the annual adjusted prevailing wage rate that is in effect each July 1st, as posted by the Department of Labor.
- It is the *contractor's* responsibility to obtain the annual adjusted prevailing wage rate increases directly from the Department of Labor's Web Site. The annual adjustments will be posted on the Department of Labor Web page: www.ctdol.state.ct.us. For those without internet access, please contact the division listed below.
- The Department of Labor will continue to issue the initial prevailing wage rate schedule to the Contracting Agency for the project. All subsequent annual adjustments will be posted on our Web Site for contractor access.

Any questions should be directed to the Contract Compliance Unit, Wage and Workplace

Standards Division, Connecticut Department of Labor, 200 Folly Brook Blvd.,
Wethersfield, CT 06109 at (860)263-6790.

[Workplace Laws](#)

Published by the Connecticut Department of Labor, Project Management Office

November 29, 2006

Notice
To All Mason Contractors and Interested Parties
Regarding Construction Pursuant to Section 31-53 of the
Connecticut General Statutes (Prevailing Wage)

The Connecticut Labor Department Wage and Workplace Standards Division is empowered to enforce the prevailing wage rates on projects covered by the above referenced statute.

Over the past few years the Division has withheld enforcement of the rate in effect for workers who operate a forklift on a prevailing wage rate project due to a potential jurisdictional dispute.

The rate listed in the schedules and in our Occupational Bulletin (see enclosed) has been as follows:

Forklift Operator:

- **Laborers (Group 4) Mason Tenders** - operates forklift solely to assist a mason to a maximum height of nine feet only.
- **Power Equipment Operator (Group 9)** - operates forklift to assist any trade and to assist a mason to a height over nine feet.

The U.S. Labor Department conducted a survey of rates in Connecticut but it has not been published and the rate in effect remains as outlined in the above Occupational Bulletin.

Since this is a classification matter and not one of jurisdiction, effective January 1, 2007 the Connecticut Labor Department will enforce the rate on each schedule in accordance with our statutory authority.

Your cooperation in filing appropriate and accurate certified payrolls is appreciated.

Informational Bulletin

THE 10-HOUR OSHA CONSTRUCTION SAFETY AND HEALTH COURSE

(applicable to public building contracts entered into *on or after July 1, 2007*, where the total cost of all work to be performed is at least \$100,000)

- (1) This requirement was created by Public Act No. 06-175, which is codified in Section 31-53b of the Connecticut General Statutes (pertaining to the prevailing wage statutes);
- (2) The course is required for public building construction contracts (projects funded in whole or in part by the state or any political subdivision of the state) entered into on or after July 1, 2007;
- (3) It is required of private employees (not state or municipal employees) and apprentices who perform manual labor for a general contractor or subcontractor on a public building project where the total cost of all work to be performed is at least \$100,000;
- (4) The ten-hour construction course pertains to the ten-hour Outreach Course conducted in accordance with federal OSHA Training Institute standards, and, for telecommunications workers, a ten-hour training course conducted in accordance with federal OSHA standard, 29 CFR 1910.268;
- (5) The internet website for the federal OSHA Training Institute is http://www.osha.gov/fso/ote/training/edcenters/fact_sheet.html;
- (6) The statutory language leaves it to the contractor and its employees to determine who pays for the cost of the ten-hour Outreach Course;
- (7) Within 30 days of receiving a contract award, a general contractor must furnish proof to the Labor Commissioner that all employees and apprentices performing manual labor on the project will have completed such a course;
- (8) Proof of completion may be demonstrated through either: (a) the presentation of a *bona fide* student course completion card issued by the federal OSHA Training Institute; *or* (2) the presentation of documentation provided to an employee by a trainer certified by the Institute pending the actual issuance of the completion card;
- (9) Any card with an issuance date more than 5 years prior to the commencement date of the construction project shall not constitute proof of compliance;

- (10) Each employer shall affix a copy of the construction safety course completion card to the certified payroll submitted to the contracting agency in accordance with Conn. Gen. Stat. § 31-53(f) on which such employee's name first appears;
- (11) Any employee found to be in non-compliance shall be subject to removal from the worksite if such employee does not provide satisfactory proof of course completion to the Labor Commissioner by the fifteenth day after the date the employee is determined to be in noncompliance;
- (12) Any such employee who is determined to be in noncompliance may continue to work on a public building construction project for a maximum of fourteen consecutive calendar days while bringing his or her status into compliance;
- (13) The Labor Commissioner may make complaint to the prosecuting authorities regarding any employer or agent of the employer, or officer or agent of the corporation who files a false certified payroll with respect to the status of an employee who is performing manual labor on a public building construction project;
- (14) The statute provides the minimum standards required for the completion of a safety course by manual laborers on public construction contracts; any contractor can exceed these minimum requirements; and
- (15) Regulations clarifying the statute are currently in the regulatory process, and shall be posted on the CTDOL website as soon as they are adopted in final form.
- (16) Any questions regarding this statute may be directed to the Wage and Workplace Standards Division of the Connecticut Labor Department via the internet website of <http://www.ctdol.state.ct.us/wgwkstnd/wgemenu.htm>; or by telephone at (860)263-6790.

THE ABOVE INFORMATION IS PROVIDED EXCLUSIVELY AS AN EDUCATIONAL RESOURCE, AND IS NOT INTENDED AS A SUBSTITUTE FOR LEGAL INTERPRETATIONS WHICH MAY ULTIMATELY ARISE CONCERNING THE CONSTRUCTION OF THE STATUTE OR THE REGULATIONS.

Sec. 31-53b. Construction safety and health course. Proof of completion required for employees on public building projects. Enforcement. Regulations. (a) Each contract entered into on or after July 1, 2007, for the construction, remodeling, refinishing, refurbishing, rehabilitation, alteration or repair of any public building project by the state or any of its agents, or by an political subdivision of the state or any of its agents, where the total cost of all work to be performed by all contractors and subcontractors in connection with the contract is at least one hundred thousand dollars, shall contain a provision requiring that, not later than thirty days after the date such contract is awarded, each contractor furnish proof to the Labor Commissioner that all employees performing manual labor on or in such public building, pursuant to such contract, have completed a course of at least ten hours in duration in construction safety and health approved by the federal Occupational Safety and Health Administration or, in the case of telecommunications employees, have completed at least ten hours of training in accordance with 29 CFR 1910.268.

(b) Any employee required to complete a construction safety and health course required under subsection (a) of this section who has not completed the course shall be subject to removal from the worksite if the employee does not provide documentation of having completed such course by the fifteenth day after the date the employee is found to be in noncompliance. The Labor Commissioner or said commissioner's designee shall enforce this section.

(c) Not later than January 1, 2007, the Labor Commissioner shall adopt regulations, in accordance with the provisions of chapter 54, to implement the provisions of subsections (a) and (b) of this section. Such regulations shall require that the ten-hour construction safety and health courses required under subsection (a) of this section be conducted in accordance with federal Occupational Safety and Health Administration Training Institute standards, or in accordance with 29 CFR 1910.268, as appropriate. The Labor Commissioner shall accept as sufficient proof of compliance with the provisions of subsection (a) or (b) of this section a student course completion card issued by the federal Occupational Safety and Health Administration Training Institute, or such other proof of compliance said commissioner deems appropriate, dated no earlier than five years before the commencement date of such public works project.

(d) For the purposes of this section, "public building" means a structure, paid for in whole or in part with state funds, within a roof and within exterior walls or fire walls, designed for the housing, shelter, enclosure and support or employment of people, animals or property of any kind, including, but not limited to, sewage treatment plants and water treatment plants, "Public building" does not include site work, roads or bridges, rail lines, parking lots or underground water, sewer or drainage systems including pump houses or other utility systems.

CONNECTICUT DEPARTMENT OF LABOR
WAGE AND WORKPLACE STANDARDS DIVISION

CONTRACTORS WAGE CERTIFICATION FORM

I, _____ of _____
Officer, Owner, Authorized Rep. Company Name

do hereby certify that the _____
Company Name

Street

City

and all of its subcontractors will pay all workers on the

Project Name and Number

Street and City

the wages as listed in the schedule of prevailing rates required for such project (a copy of which is attached hereto).

Signed

Subscribed and sworn to before me this _____ day of _____, 2004.

Notary Public

 Return to:

Connecticut Department of Labor
Wage & Workplace Standards Division
200 Folly Brook Blvd.
Wethersfield, CT 06109