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ADV. No.: BI-JA-485-ARC

Connecticut Department of Administrative Services, Construction Services Office of Legal Affairs, Policy & Procurement 450 Columbus Boulevard, Suite 1302 Hartford, Connecticut 06103

Request for Qualifications (RFQ) Web Advertisement For Architect/Engineer (A/E) Consultant Services

IN	IMPORTANT NOTE: This RFQ Web Advertisement contains new Quality Based Selection (QBS) Submit Requirements and QBS Selection Procedures. Please read this RFQ Web Advertiseme and all referenced documents carefully.			
	Adv. No.: BI-JA-485-ARC Web Advertisement Date: Monday Sept. 11, 2018			
	QBS Submittal Deadline: Deadline for the receipt of the QBS Submittal Booklets is: Tuesday October 9, 2018. No Later Than 3:00 p.m.			
1	Selection Type:	Major Capital Project Architect/Engineer Consultant Selection		
2	General Statement:	In accordance with the requirements of CGS §4b-55 through §4b-59, the State of Connecticut Department of Administrative Services/Construction Services (DAS/CS) Office of Legal Affairs, Policy & Procurement advertises for Requests for Qualifications (RFQs) for the Major Capital Project Architect/Engineer (A/E) Consultant Services as specified below.		
3	Consultant Services:	Architect and Design Team Consultants for design and construction for the design/bid/build process are required for this Project.		
		This A/E RFQ is to be used only for the following: Exterior Door and Window replacement Osborn Correctional Facility, Somers, CT		
4	User Agency Name:	Department of Corrections		
5	Project Planning Start Date:	January 7, 2018.		
6	Contract No.:	BI-JA-485-ARC		
7	Project Title:	Exterior Door and Window replacer	nent Osborn Correctional Facility, Somers, CT	
8	Project Location(s):	335 Bilton Road, Somers, CT 06071		
9	Cost Of The Work:	\$35,900,000.00		
10	Project Delivery Method:			
		Architect/Engineer (A/E) con documents in cooperation with bid the project elements to sul	sk (CMR) - Guaranteed Maximum Price (GMP): sultants are selected to develop design and construction a CMR for a Major Capital Project. The CMR shall publically boontractors and the "lowest responsible and qualified general ontracts after the CMR enters into GMP contract with the State	
		 Design-Build (D-B): A Design-Build Criteria Architect (DBCA) is selected to produce D-B Request for Proposals (RFP) documents for a Major Capital Project. The Design-Builder with the "Best Value Total Cost Proposal" is awarded a contract by the State to design and build the Project. 		
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11	Project Description:	Provide complete design, construction documents and construction services for the replacement of exterior windows and doors. The project occupied during construction as so will be multi-phased as determined by the design team and construction administration firm in collaboration with the Department of Corrections. A study was completed in 2017 (BI-JA-479 Osborn Window and Exterior Door Replacement); the study will be made available to shortlisted firms. Project Background: The Osborn Correctional Institution was constructed in two phases. The original section was constructed in 1957 and was designed by Sherwood Mills and Smith of Stamford, Connecticut. The second and larger phase was constructed in 1960 and was designed by LaPierre & Litchfield Architects of Hartford, Connecticut. The existing building is approximately 990,000 square feet. Osborn Correctional Institution has approximately 93,200 square feet of window area, or approximately 10% of its floor area. The existing facility is heated from a central Boiler House, using natural gas. There is no air conditioning at the facility, with the exception of window units for some spaces. All window replacements must take into account the requirement for natural ventilation in the building.
	D	
12	Designated Services:	The preferred design team shall have experience with renovation of correctional facilities.
	[RCSA 4-134e4(a)]	The design team will be required to provide complete architectural and engineering services during design and will provide A/E construction administration services during the construction and closeout periods.
		 The following designated task shall be required for this project and provided by the Architect's Consultant Team, including but not limited to the following types of services: Architectural;
		Structural Engineering; Cade Consultanting
		 Code Consultanting Cost Estimating;
		 Participation in budget reconciliation and value engineering with a Construction Administrator independently hired by DAS / Construction Services



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Requirements for Affidavits, Certifications, Professional Documents, Business Credentials, and Insurance Documentation:		
13 Selection Document Requirements (Prior to the QBS Submittal Deadline):	In accordance with the Connecticut General Statutes and Governor Dannel P. Malloy's "Business Friendly Initiative," found in Public Act No. 11-229, each Firm is required to open a BizNet Account on the DAS website and then electronically upload certain Documents to their DAS BizNet Account prior to the due date of their QBS Submittal Booklet ("QBS Submittal Deadline") . Instructions for opening a BizNet account and uploading the Affidavits and Nondiscrimination Forms can be found on the DAS website (<u>http://portal.ct.gov/DAS/Procurement/Contracting/DAS-Procurement-BizNet- Accounts</u>). For a summary of the Documents that must be electronically uploaded to DAS BizNet prior to the QBS Submittal Deadline, go to the DAS/CS Library website (<u>http://portal.ct.gov/DASCSLibrary</u>): Click on "1000 Series – Project Initiation & Consultant Selection" Click on "1200 Series – Consultant Selection Forms" Scroll down to " 1269.1 DAS/CS Contract Requirements for Consultant Services ".	
	See Division 3 – Selection Document Requirements (Prior To the QBS Submittal Deadline) in "1212 QBS Submittal Booklet Requirements" for additional instructions .Questions concerning the electronic uploading of Affidavits and Nondiscrimination Forms can be directed to DAS Procurement Services at (860) 713-5095.	
All State Contracts with a cost or value of \$50,000 or more:	OPM Form 5 (Consulting Agreement Affidavit): NOTE: For this form, "Consulting Agreement" means any agreement with third parties for purposes described in C.G.S. 4a-81(b)(1). If there are no such agreements, the firm only needs to complete the shaded portion and have the affidavit notarized.	
All Large State Construction or Procurement Contracts with a cost of \$500,000 or	OPM Form 5 (Consulting Agreement Affidavit): NOTE: For this form, "Consulting Agreement" means any agreement with third parties for purposes described in C.G.S. 4a-81(b)(1). If there are no such agreements, the firm only needs to complete the shaded portion and have the affidavit notarized.	
more:	 OPM Form 6 (Affirmation of Receipt of State Ethics Laws Summary): When DAS is seeking a contract for a large state construction or procurement contract having a cost of more than five hundred thousand dollars (\$500,000), DAS shall provide all potential consultant and contractor firms with the "Guide to the Code of Ethics For Current or Potential State Contractors". The "Guide to the Code of Ethics For Current or Potential State Contractors". The "Guide to the Code of Ethics For Current or Potential State Contractors" can also be downloaded from the Office of State Ethics website (http://www.ct.gov/ethics/site/default.asp) > Publications. Questions concerning the "Guide to the Code of Ethics For Current or Potential State Contractors" can be directed to the OSE at 860-263-2400. IMPORTANT NOTE: If the firm has any contracts with any subcontractor or subconsultant that also exceeds \$500,000.00 for the project, the firm must submit an "Affirmation of Receipt of State Ethics Laws Summary" filled out and signed from each such subcontractor or subconsultant. OPM Form 7 (Iran Certification) 	
14 Selection Document Requirements (Upon Conditional Selection of Firm):	OPM Form 7 (Iran Certification) In accordance with the requirements of the DAS/CS Legal Services Unit, the selection of a Firm is conditional upon completing and submitting, within fourteen (14) calendar days of being notified by email, certain documents as described in the Conditional Selection Notification Letter. Some documents are required to be electronically uploaded in accordance with Connecticut General	



15	QBS Selection	Number of QBS Selection Panel Members Per Cost of Work:				
	Panels:			QBS Selec	tion Panel Members	
		Cost of the Work		DAS/CS Employees (Appointed By DAS Commissioner)	Agency Employees (Appointed By Agency Commissioner)	Total
			\$5,000,000 or Greater (Major Capital Project)	4	1	5
			\$5,000,000 or Less (Minor Capital Project)	2	1	3
			CHEFA Projects	3	2	5
16	QBS Selection	Step		QBS Selection Proce	dures	
	Procedure Steps:	1	RFQ Web Advertisement [RCSA 4-134e-2, 4-134e-3, & 4-	134e-4(a through e)]:	
			ective Consultants shall subm tisement by the QBS Submit			
		2	Longlist Procedure (Pre-S	creening) [RCSA 4-134e-7 &	4-134e-8(e)] :	
		Requi The Q limitati a "Lor NOTE Guide specifi 3 The Q with " Appro shall b	ittal Booklets for "proper frements. BS Unit shall (1) determine ions as specified in 1210 QBS nglist" of Consultant Firms that If a prospective Consultant lines for Selection and Cor ic "Project". Shortlist Procedure (Screen BS Selection Panel shall eva Screening Shortlist Rating Coval Memorandum" of the recoval performance of the pass/CS Le	if the prospective Consulta Guidelines for Selection a at shall be Screened and Sh Firm exceeds the contract I ntract Limits, they shall no ening) [RCSA 4-134e-7 & 4-13 luate and "rate" each of the I criteria Categories". The C commended Shortlisted Fir gal Director for approval.	ant Firm meets or exceeds and Contract Limits; and (2 ortlisted for the Selection Inte imitations as specified in 12 of be considered any further <i>B4e-8(a through e)]</i> : Firms on the Longlist in acc QBS Unit shall create a "Sc ms rated "most highly qualif	contract) create erviews. 10 QBS for the ordance reening
		4	Selection Interview Procee	dure [RCSA 4-134e-9 & 4-134e	e-10]:	
		the DA the QE Rating each c The Q include	BS Selection Panel shall cor AS/CS Legal Director as the "m BS Selection Panel shall "rate" g Criteria Categories" and sh of the five (5) Firms in the pre BS Unit shall create a "Certif e on the "Certified List" a summer the previous five (5) years	ost highly qualified". At the of 'each of the Firms in accord all evaluate the "Total Volu vious five (5) years 'ied List'' of the three (3) "m	end of all of the Selection Inte dance with the " Selection In I me of Work Awarded By *I nost highly qualified Firms" a of Work Awarded By *DAS	erviews, terview DAS" to nd shal
			The previous live (5) years.	* DAS includes the forme	r DPW and DCS.	
		5	Selection [RCSA 4-134e-8(e)]	* DAS includes the forme		



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17	QBS Screening Shortlist	The QBS Selection Panel shall evaluate and rate all prospective Consultant's QBS Submittal Booklets in accordance with the "Screening Shortlist Rating Criteria Categories " table below and create a Shortlist of the "most highly qualified Firms" that shall be invited to a Selection Interview:			
Cinteria		ltem No.	Screening Shortlist Rating Criteria Categories:		
			Proposed <u>Key Personnel's</u> Specialized Design Experience & Technical Competence for the "Designated Services" Required for this Project [RCSA 4-134e-8(a)]		
		1(Key Personnel have Specialized Design experience & Technical Competence in relevant Projects, i.e., similar scope, complexity, and budget).		40	
			(See RFQ Web Advertisement for "Project Description" & "Designated Services", and 1212 QBS Submittal Booklet Requirements – Division 7 – CT 330 Part I, Sections D, E, F, & G)		
			Proposed <u>Team's</u> Capacity & Capability To Perform The Work, Including Any "Designated Services" Required For This Project, Within The Time Limitations [RCSA 4-134e-8(b)]		
		2	(The Proposed Team has experience in relevant Projects of similar scope, complexity and budget, has previously worked together, and is capable of performing the work within the time limitations)	30	
			(See RFQ Web Advertisement for "Project Description" & "Designated Services", and 1212 QBS Submittal Booklet Requirements – Division 7 – CT 330 Part I, Sections F, G, & J, and Division 8 – CT 330 Part II, Sections 8, 9, & 10)		
		3	Prime Firm's Geographic Proximity To The Project's Geographic Location & Familiarity With The Area Where The Project Is Located [CGS 4b-57(b) (2) & RCSA 4-134e-8(d)]	20	
			(See 1212 QBS Submittal Booklet Requirements – Division 5 – Additional Criteria Considerations)		
			Proposed Team's CT Code Expertise Required For This Project [CGS 4b-57(b) (1)]		
		4	(Both Licensed CT Building Official <u>and</u> relevant CT Code Expertise for this "Project")	10	
			(See 1212 QBS Submittal Booklet Requirements – Division 5 – Additional Criteria Considerations)		
			Maximum Total Possible Rating Points per Selection Panel Member:	100	
			Three (3) Member Selection Panel- Maximum Total Possible Rating Points:	300	
			Five (5) Member Selection Panel Maximum Total Possible Rating Points:	500	



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18 QBS Selection Interview Rating Criteria	of all of the " Se the thr Manage	3S Selection Panel shall conduct Selection Interviews with the Shortlisted Firms. At the Selection Interviews, the Selection Panel shall "Rate" each of the Firms in accordar etection Interview Rating Criteria Categories " table below and create a " Certified ee (3) "most highly qualified Firms" to be submitted to the Departments Director of ement for consideration.	nce with List" of	
Categories	Item No. Selection Interview Rating Criteria Categories:			
	1	Proposed Team's Experience with Projects of Similar Size & Scope as this Project (See 1212 QBS Submittal Booklet Requirements – Division 1 – Letter of Interest & Narrative, Division 7 – CT 330 Part I, Sections D, E, F, & G, and Division 8 – CT 330 Part II, Sections 8, 9, & 10; and the Team's Selection Interview Presentation)	30	
	2	Proposed Team's Approach to the Work Required for this Project (See 1212 QBS Submittal Booklet Requirements – Division 1 Letter of Interest & Narrative; and the Team's Selection Interview Presentation)	30	
	3	Proposed Team's Organizational Structure for this Project (See 1212 QBS Submittal Booklet Requirements – Division 7 – CT 330 Part I, Sections D, E, F, and G; and the Team's Selection Interview Presentation)	20	
	4	Prime Firm's Past Performance Record with State & Other Clients [RCSA 4-134e-8(c)] (Including, but not limited to, Control of Costs, Quality of Work, Conformance with Program, Cooperation with Client, and Supervision of Construction) (See 1212 QBS Submittal Booklet Requirements – Division 7 – CT 330 Part I, Sections E, F, I, & J; Active (past 5 years) DAS/CS Consultant Performance Evaluations; Prime Firm's Reference Checks; and the Team's Selection Interview Presentation)	20	
		Maximum Total Possible Rating Points per Selection Panel Member:		
		Three (3) Member Selection Panel - Maximum Total Possible Rating Points:	300	
		Five (5) Member Selection Panel - Maximum Total Possible Rating Points:	500	
19 QBS Contrac Limitations	It is highly recommended that prospective Consultant Firms review "1210 QBS Guideline Selection and Contract Limits" prior to completing a QBS Submittal Booklet to determine i meet or exceed Contracts Limitations for this specific Project. If a Firm exceeds the numb allowable Contracts as described in "1210 QBS Guidelines for Selection and Contract Limits still submits a QBS Submittal Booklet, then the Firm shall not be considered any further for specific Project. The form can be accessed and downloaded from the DAS/CS Library as follow		e if they mber of its" and for this	

- 2 Click on "1000 Series Project Initiation and Consultant Selection", and Click on "1200 Series – Consultant Selection Forms"
- 3 Scroll down and click on the **1210 QBS Guidelines for Selection and Contract Limits** link.



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20	QBS Submittal Booklets Requirements:	"1212 QBS Submittal Booklet Requirements", which can be accessed and downlo	
[RCSA 4-134e-4 (a through b)] 1 Go to the DAS/CS Library website (<u>http://portal.ct.gov/DASCSL</u>		.ct.gov/DASCSLibrary)	
	(a though b)j	2 Click on "1000 Series - Project Initiation and Consultant Selection", and Click on "1200 Series – Consultant Selection Forms"	
		3 Scroll down and click on the 1212 QBS Submittal Booklet Requirements link.	
21	QBS Email Registration:		
		1 Go to the DAS/CS Library website (http://portal	.ct.gov/DASCSLibrary)
		2 Click on "1000 Series - Project Initiation and Co Click on "1200 Series – Consultant Selection Fo	
		3 Scroll down and click on the 1211 QBS Email F	Registration link.
22	CT 330 Part I & CT 330 Part II: [RCSA 4-134e-4 (c through e)]	rt II: "1214 CT 330 Part II" (general qualifications of a Firm or specific branch office of a Firm are referenced in the "1212 QBS Submittal Booklet Requirements". The two (2) forms are referenced in the "1212 QBS Submittal Booklet Requirements".	
		1 Go to the DAS/CS Library website (http://portal	.ct.gov/DASCSLibrary)
		2 Click on "1000 Series - Project Initiation and Co	
		 Click on "1200 Series – Consultant Selection Fe Scroll down and click on the 1213 CT 330 Part 	
		 3 Scroll down and click on the 1213 CT 330 Part I link. 4 Scroll down and click on the 1214 CT 330 Part II link 	
23	QBS Submittal Location:	QBS Submittal Booklets shall be submitted to the following address:	
		IMPORTANT NOTE: Failure to submit properly formatted QBS Submittal Booklets with all of the required co the designated deadline and location may result in the Firm's submittal being deemed de consideration for this Contract.	
24	QBS Contacts:	For General QBS Submittal Questions:	For Specific Project Questions:
		Office of Legal Affairs, Policy & Procurement:	Project Management Unit:
		Randy Daigle DAS Construction Services Office of Legal Affairs, Policy & Procurement 450 Columbus Blvd. Suite 1302 Hartford, Connecticut 06103	Barbara Cosgrove DAS Construction Services Office of Design & Construction 450 Columbus Blvd. Suite 1201 Hartford, Connecticut 06103
		Email: randy.daigle@ct.gov	Email: <u>barbara.cosgrove@ct.gov</u>
IMPORTANT NOTE: Responses to requests for more specific Project infor contained in this Advertisement shall be limited to information that is available to a is necessary to complete this QBS process. All requests for more specific Project must be sent in writing (email acceptable).		formation that is available to all Firms and that	