



Invitation to Rebid
University of Connecticut
Project #901667
Fine Arts Phase II
Renovation and Improvements

You are hereby invited to bid the University of Connecticut - Fine Arts Phase II (Project #901667) in Storrs, CT.

Job Description: The Fine Arts Phase II project adds a 27,600 SF addition to the existing Drama/Music/Nafe Katter Theater to serve as a centralized shops and theater production facility, housing scene, paint, prop, lighting and costume shops and support functions. The addition will provide critical linkage between the separate Fine Arts buildings that currently does not exist, accessible access to the second floor of the Drama/Music building and a new entry lobby on the north side of the complex. The project also includes removal and replacement of the existing exterior glazing systems facades on the Storrs and Bolton Road. Lastly, the project includes site modifications, utility infrastructure relocations, modification of the adjacent staff parking lot and the addition of site amenities which coordinate with the University's master plan for development. The construction schedule is expected to be from May 2018 through September 2019.

Submit the bid package per instructions no later than the dates in the instructions below.

Unit #	Description	DAS Prequalification Required **	Set Aside or 30/10	Updated Estimated Value*	Bid Due Date
01B	General Trades	No	30/10	\$459,000	July 13, 2018
06A	Millwork	No	30/10	\$210,000	July 13, 2018
07A	Roofing	Yes	30/10	\$570,000	July 13, 2018
08B	Overhead Doors	No	30/10	\$175,000	July 13, 2018
09E	Ceilings	No	30/10	\$50,000	July 13, 2018
11A	Loading Dock Equipment	No	30/10	\$37,000	July 13, 2018

Subcontractors will be expected to be S/MBE or to provide a minimum of 30% SBE and 10% MBE participation as indicated above and comply with CHRO requirements. S/MBE companies must have current certification by the CT DAS.

- * Value shown is an estimated value only and is not a guaranteed representation of the actual value of the scope.
- ** Scopes identified as "DAS Prequalification Required" must have DAS Prequalification as these scopes are estimated to exceed \$500,000. DAS Prequalification is required for all bids which exceed \$500,000 irregardless of whether the scope is identified as such above.
- *** No DAS Contractor Classification identified. Assumes no DAS Pre-Qualification or DAS Required for these scopes of work.

Bids will only be accepted from contractors who have been prequalified specific to this project and each bid package.

Bid Instructions:

1. Download the Instructions to Bidders, Bid Forms, and Contract Documents from the following site:

<https://app.box.com/s/gvi0mil7xbgibp4rs7al27uab4e323ub>

Please note, this website will be the only official outlet for bid information and addenda. Information from other sources may be inaccurate.

2. Submit all requests for information via email to Jackie.Quesada@whiting-turner.com:

- a. Bid RFI Submission Date: July 5th, 2018 at Midnight

3. Submit a hard copy of the bid with all requested attachments to:

Whiting-Turner Trailer

Attn: Jackie Quesada

15 King Hill Road, Storrs, CT 06269

Ship via UPS or FedEx only or hand deliver. United States Postal Service (USPS) will not deliver.

- a. **Bid Due Date:** Friday , July 13, 2018 at 2:00PM

Bids must be sealed. Electronic bids will not be accepted.

All bids will be opened publicly immediately after bids are due at 15 King Hill Road, Storrs, CT 06269

4. DAS Prequalification is required for **all** bids which exceed **\$500,000** regardless of whether the scope is identified as such above.
5. Each Subcontractor proposal in excess of \$50,000 must be submitted with a 10% Bid Bond (utilizing the form included in Part Three of the CAH). S/MBE Subcontractors may provide a letter of credit or certified check in accordance with Connecticut State Statutes if a Bid Bond cannot be provided.
6. Each Subcontractor with a bid value in excess of \$100,000 must provide a 100% Payment and Performance Bonds with award of Subcontract, utilizing the forms in Part Twelve of the Construction Administration Handbook.
7. Subcontractor will be expected to be S/MBE or to provide a minimum of 30% SBE and 10% MBE participation as indicated above. S/MBE companies must have current certification by the CT DAS.
8. This project has a Project Labor Agreement (**PLA**).
9. WT, at the advice of UConn, reserves the right to waive minor irregularities in bids and prequalifications.

Small and Minority Businesses (S/MBE) are encouraged to apply/bid. For additional information on certifying as an S/MBE in Connecticut, please visit the following link: <http://portal.ct.gov/DAS>

S/MBE Contractors may find the following independent third party resources helpful:

The Connecticut Small Business Development Center (CTSBDC) – provides no-cost advising assistance to both prospective existing business owners to help them start or grow their business. For more information, please visit <http://ctsbdc.com/contact/>.

U.S. Small Business Administration (SBA) – guarantees bid, performance and payment bonds issued by surety companies. This Federal guarantee encourages surety companies to bond S/MBE's who are having difficulty obtaining bonding on their own. For more information, please visit <https://www.sba.gov/surety-bonds>.

Creative Insurance Concepts, Inc. (CIC) – <http://www.creativeic.com/bonds.html> - Participants in the SBA Surety Bond Guarantee Program in most states. Contact Charlene Reynolds, CEO, at 804-674-8330 or cireynolds@creativeic.com.

Entrepreneurial Center – Whether you need to learn **how to start your own business**, obtain a **loan**, or **how to run a business** more profitably, The Entrepreneurial Center supports you with **Resources and Tools**. Learn about *their free and low-cost services* that support **CT small business**. Physical Location: Butterworth Hall, 1265 Asylum Avenue, Hartford, CT 06105. Phone: (860) 768-5663. Website: <http://www.hartford.edu/ec/>

Connecticut Procurement Technical Assistance Program (CT PTAP) - CT PTAP's mission is to provide marketing and procurement assistance to Connecticut businesses interested in selling their goods or services to federal, state, or local governments. CT PTAP is a statewide program serving all cities and towns in Connecticut. If contacting CT PTAP for the first time, please fill out and submit the Application for Assistance on their website or call 860-437-4659 Ext. 208. Website: <http://ctptac.org/>

SUBCONTRACTOR QUALIFICATION STATEMENT

University of Connecticut – Fine Arts Renovation and Improvements –Phase 2

Storrs, CT 06269
June 26, 2018



DUE 7/13/18 BY 2:00PM INCLUDED WITH THE BID

REPLY TO: Jackie.Quesada@whiting-turner.com

CC: Helen.Putnam@whiting-turner.com

COMPANY NAME: _____

CONTACT: _____

ADDRESS: _____

TITLE: _____

EMAIL: _____

TELEPHONE: _____

DATE PREPARED: _____

DAS PREQUALIFIED SINGLE PROJECT VALUE: \$ _____ EXPIRATION DATE: _____

S/MBE CERTIFICATION(S) (List if Applicable): _____ CURRENT THRU: _____
(Attach certificate(s))

If not DAS prequalified or S/MBE certified, please complete "Attachment A" and return with prequalification statement.

REQUIRED INFORMATION: (The below information will be used to evaluate the responsibility and integrity of the bidder)

1. ITEM OF WORK: (List Unit(s) of work you are bidding on, see invitation for breakout) _____

2. Please list all work self-performed: _____
(Prequalification will be invalidated if 40% of the scope is not self-performed)

3. Below please list the three (3) largest jobs which you have completed to date (with references):
Project Name: _____ **Started / Completed** _____ **Approx. Contract Value:** _____

_____ / / - / / \$ _____

Reference Name: _____ Phone Number: _____

_____ / / - / / \$ _____

Reference Name: _____ Phone Number: _____

_____ / / - / / \$ _____

Reference Name: _____ Phone Number: _____

4. Below please list three (3) recently completed projects **in occupied higher education buildings** (with references):
Project Name: _____ **Started / Completed** _____ **Approx. Contract Value:** _____

_____ / / - / / \$ _____

Reference Name: _____ Phone Number: _____

_____ / / - / / \$ _____

Reference Name: _____ Phone Number: _____

_____ / / - / / \$ _____

Reference Name: _____ Phone Number: _____

5. Below please list three (3) recently completed projects **in higher education campus settings** (with references):
Project Name: _____ **Started / Completed** _____ **Approx. Contract Value:** _____

_____ / / - / / \$ _____

Reference Name: _____ Phone Number: _____

_____ / / - / / \$ _____

Reference Name: _____ Phone Number: _____

_____ / / - / / \$ _____

Reference Name: _____ Phone Number: _____

SUBCONTRACTOR QUALIFICATION STATEMENT

6. Below please list three (3) recently completed projects **with compressed summer schedules** (with references):
- | Project Name: | Started / Completed | Approx. Contract Value: |
|-----------------------|----------------------------|--------------------------------|
| _____ | ____ / ____ - ____ / ____ | \$ _____ |
| Reference Name: _____ | Phone Number: _____ | |
| _____ | ____ / ____ - ____ / ____ | \$ _____ |
| Reference Name: _____ | Phone Number: _____ | |
| _____ | ____ / ____ - ____ / ____ | \$ _____ |
| Reference Name: _____ | Phone Number: _____ | |

- 7.
8. Has your organization filed any lawsuits or request arbitration with regard to construction contracts within the last three years? _____ (if yes, identify and explain on a separate sheet) This information will be used to evaluate the bidders' ability to resolve issues without litigation.
9. Has your organization had any OSHA violations within the last three years? _____ (if yes, identify and explain on a separate sheet) Current EMR: _____ (Explain on separate sheet if > 1)
10. Are there any judgments, claims, arbitration's proceedings or suites pending or outstanding against your organization or its officers? _____ (if yes, identify and explain on a separate sheet) This information will be used to evaluate the bidders' ability to resolve issues without litigation.
11. Has your organization ever failed to complete any work awarded to it? _____
12. Have you ever defaulted on a bond? _____ (If yes, please explain on a separate sheet)
13. Has a complaint ever been filed with a contractor's state licensing board against your firm? _____ (If yes, please explain)

14. ANNUAL WORK VOLUME (Dollar Amount Per Year)
- 2015 _____
- 2016 _____
- 2017 _____

15. Financial Statement: Please **attach a copy of your latest audited or reviewed financial statement** showing current assets, net fixed assets, other assets, and current liabilities (including projects not yet commenced, but awarded to your company. Include expected contract value and schedule for these projects). This statement will be treated confidentially.

16. List the principals of your organization and their titles:
- | | |
|-------------|--------------|
| Name: _____ | Title: _____ |
| Name: _____ | Title: _____ |
| Name: _____ | Title: _____ |

17. BONDING
 Current bonding capacity: Per Job _____ Aggregate _____

Please attach proof of current bonding capacity in the form of a letter from your bonding company.

18. SIGNATURE
 The information provided herein is true and sufficiently complete so as not to be misleading.

Signed: _____ (Officer or Partner) _____ (Title)

_____ (Date)

_____ (Name or Organization)

SUBCONTRACTOR QUALIFICATION STATEMENT

University of Connecticut – Fine Arts Renovation and Improvements –Phase 2

Storrs, CT 06269

January 23, 2018



Attachment A

Complete this form if you are NOT DAS prequalified or S/MBE certified.

- a) Has your firm ever had a conviction or entry of a plea of guilty or nolo contendere for commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract or subcontract or in the performance of such contract or subcontract?
(Connecticut General Statute 31-57c) **YES / NO**

- b) Has your firm ever had a conviction or entry of a plea of guilty or nolo contendere under state or federal law for embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property, or any other offense indicating a lack of business integrity or business honesty which affects responsibility as a contractor? (Connecticut General Statute 31-57c) **YES / NO**

- c) Has your firm ever had a conviction or entry of a plea of guilty or nolo contendere under state or federal antitrust, collusion or conspiracy statutes arising out of the submission of bids or proposals?
(Connecticut General Statute 31-57c) **YES / NO**

- d) Has your firm ever been cited for noncompliance with contract provisions on a public project, of a character regarded by the awarding authority to be of such gravity as to indicate a lack of responsibility to perform as a state contractor, including deliberate failure, without good cause, to perform in accordance with specifications or time limits provided in a contract? **YES / NO**

- e) On a separate sheet of paper, identify any OSHA citations within the past five (5) years under present business name or any past business name. Have you been cited for three or more willful or serious violations of OSHA, or of any standard, order or regulations promulgated pursuant to such Act which violations were cited in accordance with the provisions of any State Occupational Safety and Health Act or the Occupational Safety and Health Act of 1970 and which were not abated within the time fixed by the citation; and which citation has not been set aside following appeal to the appropriate agency or court having jurisdiction? Additionally list any criminal convictions related to the injury or death of any employee. (Connecticut General Statute 31-57b)

- f) Has your firm ever appeared on any list published by the Connecticut State Labor Department of persons or firms that have been found by the National Labor Relations Board and by a final decision rendered by a Federal Court to have been in violation of the National Labor Relations Act, 29USC 151 et. seq. or to have been found in contempt of court by a final decision of a Federal Court for failure to correct a violation of the National Labor Relations Act on three or more occasions involving different violations? (Connecticut General Statute 31-57a) **YES / NO**

SUBCONTRACTOR QUALIFICATION STATEMENT

- g) On a separate sheet of paper, identify any instances within the previous five years in which you or any entity in which you have an interest, has appeared on a list published by the State of Connecticut Labor Department of persons or firms which the Labor Department has found to have disregarded or violated your obligations to employees and subcontractors on public works projects under Connecticut General Statutes 31-53 and 31-76c (i.e. payment of prevailing wages and overtime payments) or in which you have been barred from Federal government contracts in accordance with the provisions of the Davis Beacon Act, 40 U.S. C. 276a-2. Describe in detail the circumstances of each violation, including but not limited to, the date and nature of the violation, the project on which the violation occurred, the source, if known, of any complaint giving rise to any Department of Labor investigation, the results of any such investigation, the penalty imposed or other action taken by the Department of Labor, any remedial action which was taken and any other resolution of any such complaint or violation. (Connecticut General Statute 31-53a) On the same sheet describe the policies and procedures that you would implement on this project to ensure that you will remain in compliance with the statutory wage rates and payment of wages as noted above.
- h) On a separate sheet of paper identify any instances in which any complaint has been made to, or any investigation or inquiry has been conducted by, the State of Connecticut Department of Labor regarding any alleged non-compliance by your firm of any provision of Part III of Chapter 557 (CT General Statutes Sections 31-52 through 31-57e, prevailing wage and other requirements) during the past five calendar years. Describe in detail the circumstances of each violation, including but not limited to, the date and nature of the violation, the project on which the violation occurred, the source, the source, if known, of any complaint giving rise to any Department of Labor investigation, the results of any such investigation, the penalty imposed or other action taken by the Department of Labor, any remedial action which was taken and any other resolution of any such complaint or violation. On the same sheet of paper describe the policies and procedures that you would implement on this project to ensure that you will remain in compliance with the statutory wage rates and payment of wages as noted above.
- i) Have you ever been cited or penalized by any government agency for failure to comply with any affirmative action, non-discrimination, or other human rights requirements applicable to any work performed by you? If so, provide on a separate sheet of paper date(s), details, disposition and docket number(s) for each such instance. **YES / NO**

University of Connecticut

**Fine Arts Phase II
Renovation and Improvements
Project Number: 901667
STORRS, CONNECTICUT**



**SUBCONTRACTOR
PRE-QUALIFICATION INFORMATION**

Whiting-Turner is an Affirmative Action / Equal Opportunity Employer

SUBCONTRACTOR PRE-QUALIFICATION STATEMENT

University of Connecticut
UConn Fine Arts Phase II -
Renovation and Improvements
Project Number: 901667

INFORMATION FOR PREQUALIFICATION

Applicants for prequalification for the Project must demonstrate that they are responsible and properly qualified to carry out the obligations of the contract, including, but not limited to, the managerial ability, technical ability, financial ability, and integrity to carry out the work, and shall disclose and identify any conflicts of interest.

MANAGERIAL ABILITY

The Applicant shall have on its payroll, or must be able to prove that it customarily employs managerial and supervisory personnel of the type qualified to perform the kind of work which may be called for on any project for which it intends to submit a bid. The Applicant shall demonstrate that it possesses the managerial resources, capability and commitment necessary for and satisfactory to the Construction Manager and University for the proper performance of the work for which it intends to bid.

TECHNICAL ABILITY

The Applicant or its principals shall have the technical ability and experience to carry out the work properly, safely, and on schedule. The Applicant shall own or possess rented or leased equipment of the type customarily required by contractors in the performance of contract work and that such equipment, if needed, is available for the work for which it intends to bid. The Applicant shall demonstrate that it possesses the technical ability and experience necessary for and satisfactory to the Construction Manager and University for the proper performance of the work for which it intends to bid.

FINANCIAL ABILITY

The Applicant shall be financially responsible and able to satisfactorily perform and complete the work for which it intends to submit a bid. The Applicant shall demonstrate that it possesses the financial ability necessary for and satisfactory to the Construction Manager and University for the proper performance of the work for which it intends to bid.

INTEGRITY

The Applicant shall have a record of harmonious, cooperative, non-adversarial and honest relationships with Owners, including the University of Connecticut and the State of Connecticut if the Applicant has performed work on prior University or State projects, as well as with Construction Managers, Architects, Engineers, Consultants, Subcontractors and Suppliers on prior State projects or other projects. The Applicant shall demonstrate that, by its past and present actions and conduct, and that of its principals and principal employees, it possesses the integrity necessary for and satisfactory to the Construction Manager and University for the proper performance of the work for which it intends to bid.

CONFLICT OF INTEREST

The Applicant shall disclose and identify with its Application, any relationships which may constitute a potential conflict of interest with Purchasing, Architectural & Engineering Services, Capital Project & Contract Administration or any other University organizations or departments; or the Construction Manager, any architect, engineer, consultant, or designer of the proposed project for the purpose of determining whether a conflict of interest exists.

SUBCONTRACTOR PRE-QUALIFICATION STATEMENT

University of Connecticut
UConn Fine Arts Phase II -
Renovation and Improvements
Project Number: 901667

RESERVATION OF RIGHTS

The Whiting-Turner Contracting Company and the University of Connecticut may make such investigation as they deem necessary to determine your firm's responsibility and ability to perform the work. Your firm shall furnish all information and data for this purpose as the Whiting-Turner Contracting Company or the University of Connecticut may further request.

The Whiting-Turner Contracting Company and the University of Connecticut reserve the right to reject any Subcontractor Prequalification Statement or to otherwise not prequalify any firm if evidence submitted or investigation fails to satisfy the Whiting-Turner Contracting Company or the University of Connecticut that such firm is responsible and/or properly qualified to carry out the obligations of the Contract and to complete the work within the specified time.

The Whiting-Turner Contracting Company and the University of Connecticut reserve the right to amend or terminate the Invitation to Prequalify, to reject any and all Subcontractor Prequalification Statements, and to waive informalities and irregularities in any Subcontractor Prequalification Statement or supporting documentation.

Submit completed Qualifications with Bid documents no later than July 13th, 2018 @ 2:00 PM

Whiting-Turner is an Affirmative Action / Equal Opportunity Employer