

REQUEST FOR QUOTATION

This is NOT an order to ship.

Please quote on the commodities or services listed below.

All prices must be FOB Destination.

You must show Unit Price, Amount and Total or bid may be rejected. The State of Connecticut is exempt from payment of Federal Excise taxes and the Connecticut Sales Tax. Do not include such taxes in bid prices. CCSU reserves the right to reject in whole or in part any or all bids submitted.

	red by: has Brodeur, C.P.M. tor of Purchasing	BID DUE DATE: February 28, 2018 * 3:00 PM DATE ISSUED: February 12, 2018				
Central Connecticut State University Purchasing Department, Marcus White 1615 Stanley Street New Britain CT 06050-4010 SIGNED (for Agency) Prepa	red by: has Brodeur, C.P.M. tor of Purchasing	3:00 PM DATE ISSUED: February 12, 2018				
1615 Stanley Street New Britain CT 06050-4010 SIGNED (for Agency) Prepa	red by: has Brodeur, C.P.M. tor of Purchasing	February 12, 2018				
	as Brodeur, C.P.M. tor of Purchasing	Danish asia a Assalt a sites				
	e 860-832-2531	Purchasing Authority: C.G.S. 10a-151b C.G.S. 4a-52a				
 Provide Automotive and Related Equipment Repair Service as needed in accordance with attached specifications. Bidders MUST be within a ten (10) mile radius of the Central Connecticut State University campus. Bids shall include the following pages and forms –						
Return bid to the CCSU Purchasing Department in a 2019-05 by 3:00 PM on February 28, 2018* No fax		our return address and Bid #				
* In the event of university closing or early dismis 3:00 PM on the next business day. Please call the Uniformation on cancellations or early closings.		-				
Vendor Authorized Signature Printed Name Email Address Telephone and Extension FEIN		Date rms%days, Net 30				

CCSU RFQ # 2019-05 Pricing Sheet

Automotive and Related Equipment Repair Service

Desci	ription of Services	<u>Unit Price</u> \$/HR%			
	RLY LABOR RATE (to be used for all services s a flat rate is noted below)				
	OUNT FROM LIST FOR PARTS (to be used for all unless a flat rate is noted below)				
LUBI	RICATION SERVICE (See Page 7)	\$	Per vehicle per service		
TIRE	SERVI CE (See Page 7)				
1.	Tire Rotation	\$	Per vehicle per service		
2.	Balancing of four (4) tires and repair if necessary	\$	Per vehicle per service		
3.	Tire mounting and balancing of new tires 14" \$ea 20" Split Rim \$ 15" \$ea 25" Split Rim \$ 16" \$ea 28" Split Rim \$	ea ea ea			
Annu	al Automatic Transmission service (See Page 8)	\$_	Per vehicle per service		
Annu	al Engine Performance & Emission service (See Page 8)	\$_	Per vehicle per service		
Annu	al Fuel Injection and Cooling System service (See Page 9	9) \$_	Per vehicle per service		
Provi	de information on your guarantee of work performed (att	•			
	J occasionally requires towing services for disabled vehice provide information on charges, if any. (attach a separate	•			
VEN	DOR/COMPANY NAME				
AUT	HORIZED SIGNATURE				

SPECIFICATIONS FOR COMPLETE AUTOMOTIVE AND EQUIPMENT REPAIR SERVICE AT CCSU

GENERAL INFORMATION:

1. Contract and Contract Period:

CCSU shall enter into a Contract with the awarded contractor for the services described herein. Purchase Orders issued by the Agency's Purchasing Office shall be issued for annual service needs or on an as-needed basis once a contract has been executed. No work is to be done prior to receiving a valid, signed Purchase Order.

The contract period for the described services will be for a three (3) year period, starting upon execution date of the resulting contract, on or about July 1, 2018. The Agency reserves the right to renew this contract, with the consent of the Contractor, for one additional two year period.

For a draft copy of the contract CCSU intends to use for a template as a result of this RFQ, see Attachment A.

CCSU reserves the right to award contracts to one or more respondents based on bid response structures and the best interest of CCSU.

2. Submission of Questions:

Contractors may submit questions or requests for clarification via email to brodeur@ccsu.edu. The deadline for submission of questions is 4:30 p.m., E.S.T., on **February 20, 2018.** Any answers, clarifications or corrections that change the scope of this RFQ will be issued as an addendum.

3. Addenda to this RFQ:

CCSU may need to issue one or more addenda related to this RFP. Such addenda shall be posted on the CCSU Purchasing Department Current Bids web site - http://www.ccsu.edu/purchasing/currentBids.html

It shall be the responsibility of prospective contractors and other interested parties to familiarize themselves with the web site and visit it regularly during the RFQ process for updated information or addenda related to this RFQ.

Receipt of addenda must be acknowledged by each proposer, and the failure of a proposer to acknowledge any addendum shall not relieve the proposer of the responsibility for complying with the terms thereof. All addenda must be signed by an authorized respondent representative and returned with the proposal on or before the proposal opening date and time.

No information communicated verbally shall be effective unless confirmed by written communication from the Purchasing Department of the University.

4. Licenses and Experience:

The Contractor shall carry all appropriate licenses required to provide services as outlined herein and shall submit copies of such licenses to CCSU upon request.

5. Historical Data

As historical information on usage or needs, CCSU spent roughly the following on services described herein over the past three fiscal years. This information is provided for reference ONLY and is NOT a guarantee of future needs or obligations.

7/1/2015 - 6/30/2016 \$31,361 7/1/2016 - 6/30/2017 \$24,236 7/1/2017 to date \$12,990

DETAILED SPECIFICATIONS:

- A. The CCSU Facilities Management Department currently operates a fleet of approximately forty-five (45) vehicles and several different types of small gas and diesel engines. This contract will also be used by other departments of the University as needed.
- B. **General Statement**: The intent of this contract is to provide complete automotive and equipment service at the subject campus. The activities required shall include, but are not limited to the following: Repairing of pick-up trucks, vans, dump trucks, loaders, utility vehicles, mowing tractors, sweeper, push lawn mowers, snow blowers, sander engines, generator engines, chain saws, weed whackers and gas powered hedge trimmers.
- C. **Parts:** Prices for parts shall be quoted on a Vendor's discount from list price. The Vendor shall be required to furnish proof of parts cost on request by the Agency.
- D. **Labor:** The Vendor shall quote an hourly rate for labor charges as applicable-minimum one (1) hour labor charge will be allowed. All labor charges shall be governed by the industry standard (Motors Parts and Guide), and in no case shall charges exceed this standard.
- E. As indicated in the Bid Proposal, certain maintenance services shall be charged at a single flat rate (parts and labor). Where additional parts and labor are required for services beyond those normally included in the flat rate, paragraphs D and E shall apply.
- F. **Authorization**: No work shall be performed without written authorization from an Agency Representative. Work performed without such authorization shall be done at no cost to the Agency.
- G. **Material:** All parts and materials used shall be new and meet or exceed manufacturer's specifications and/or recommendations for specific vehicles on which they will be used.
- H. **Location**: The service center must be located within a ten (10) mile radius of CCSU.
- I. **Guarantee**: All work shall be guaranteed. Replacement of defective parts and performance of additional labor shall be done at no cost to the Agency.
- J. The contractor shall be responsible for disposing of his own regulated materials and all replaced parts, supplies, debris etc in accordance with all applicable federal, state and local laws. Where possible, contractor shall promote recycling, and properly label and containerize all waste in order to promote CCSU's Environmental Sustainability initiative and conform to the Universal waste regulations.

SERVICE SPECIFICATIONS:

Lubrication Service:

Chassis Lubrication: Oil change including filter-shall include up to five (5) quarts of S.A.E. 10W-30 motor oil or other grade recommended by the vehicle manufacturer. Check and fill all fluid levels.

- 1. Oil and filter change
- 2. Lubrication of chassis, hinges, and outside locks.
- 3. Check Operation of all lights.
- 4. Inspect and adjust tire pressure, check tires for abnormal wear.
- 5. Top off all fluids.
- 6. Inspect all hoses, belts and filters.
- 7. Inspect wiper blades for deterioration and wear.
- 8. Visual inspection of master cylinder, fittings, calipers, pads, shoes, wheel cylinders and lines for damage or leakage.
- 9. Lubricate parking brake and associated linkage.
- 10. Emergency parking brake adjustment.

Tire Service:

- 1. Tire Rotation
- 2. Balancing of 4 tires.
- 3. Repair tires as needed.
- 4. Tire Mounting and Balancing

Tires to be supplied to Vendor by Agency. Vendor to dispose of used tires at no cost to Agency in accordance with all applicable local, state and federal laws governing such.

Annual Automatic Transmission Service:

- 1. Inspect automatic transmission for proper operation.
- 2. Drain automatic transmission fluid, remove oil pan for replacement of filter/screen and pan gasket and refilling of transmission fluid with manufacturer's recommended automatic transmission fluid.
- 3. Inspect for external leaks and transmission mount condition.
- 4. Functional test for shift points and smoothness. (Road Test)

Annual Engine Performance and Emissions Service:

- 1. Replace spark plugs, examination of ignition coil, wires and cap, replace air filter.
- 2. Adjust basic ignition timing and curb idle speed as necessary.
- 3. Inspect condition of battery cables and connection. Tighten battery terminals and inspect belts and hoses for condition and wear.
- 4. Replace air and emission filters.
- 5. Inspect evaporative emission recovery system function.
- 6. Examine all vacuum lines for condition, leakage, and proper connection.
- 7. Check for proper operation of EGR, deceleration and idle control valves as applicable.
- 8. Examine operation of thermostatically controlled warm valve.
- 9. Verify operation of distributor advance system.
- 10. Road test.

Annual Fuel Injection and Cooling Systems Service:

- 1. Clean fuel injectors.
- 2. Cooling System Maintenance:
- 3. Test for coolant protection
- 4. Pressure test cooling system.
- 5. Visually inspect all radiator and heater hoses, freeze plugs, expansion tanks and cap for condition and leakage.

As Required:

A. Automatic Transmission Service:

Repair leaks, linkage adjustment, including complete rebuilding, if required.

B. Brake and Front End Alignments:

- 1. Brake services to include relining or replacement of brake shoes, resurfacing of rotors and drums, inspection of brake cylinders and hardware, filling of master cylinder with SAE recommended brake fluid.
- 2. All front end and rear end work, including, but not limited to, front end alignments, differentials, CV joints, ball joints, shocks, struts, spring, and all standard services included therein.

C. Engine Performance Service:

- 1. Diagnostic test to pinpoint electrical and other engine problems.
 - a. 2 cyl.
 - b. 4 cyl.
 - c. 6 cyl.
 - d. 8 cyl.
 - e. Diesel Engines
 - f. Small Engines

D. Exhaust System, including but not limited to:

- 1. Pipes
- 2. Muffler
- 3. Clamps
- 4. Flanges
- 5. Catalytic Converters

COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES CONTRACT COMPLIANCE REGULATIONS NOTIFICATION TO BIDDERS (rev 09/17/07)

The contract to be awarded is subject to contract compliance requirements mandated by Sections 4a-60 and 4a-60a of the Connecticut General Statutes; and, when the awarding agency is the State, Sections 46a-71(d) and 46a-81i(d) of the Connecticut General Statutes. There are Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71(d) of the Connecticut General Statutes.

According to Section 46a-68j-30(9) of the Contract Compliance Regulations, every agency awarding a contract subject to the contract compliance requirements has an obligation to "aggressively solicit the participation of legitimate minority business enterprises as bidders, contractors, subcontractors and suppliers of materials." "Minority business enterprise" is defined in Section 4a-60 of the Connecticut General Statutes as a business wherein fifty-one percent or more of the capital stock, or assets belong to a person or persons: "(1) Who are active in daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in subsection (a) of Section 32-9n." "Minority" groups are defined in Section 32-9n of the Connecticut General Statutes as "(1) Black Americans . . . (2) Hispanic Americans . . . (3) persons who have origins in the Iberian Peninsula . . . (4)Women . . . (5) Asian Pacific Americans and Pacific Islanders; (6) American Indians . . ." An individual with a disability is also a minority business enterprise as provided by Section 4a-60g of the Connecticut General Statutes. The above definitions apply to the contract compliance requirements by virtue of Section 46a-68j-21(11) of the Contract Compliance Regulations.

The awarding agency will consider the following factors when reviewing the bidder's qualifications under the contract compliance requirements:

- (a) the bidder's success in implementing an affirmative action plan;
- (b) the bidder's success in developing an apprenticeship program complying with Sections 46a-68-1 to 46a-68-17 of the Administrative Regulations of Connecticut State Agencies, inclusive;
- (c) the bidder's promise to develop and implement a successful affirmative action plan;
- (d) the bidder's submission of employment statistics contained in the "Employment Information Form", indicating that the composition of its workforce is at or near parity when compared to the racial and sexual composition of the workforce in the relevant labor market area; and
- (e) the bidder's promise to set aside a portion of the contract for legitimate minority business enterprises. See Section 46a-68i-30(10)(E) of the Contract Compliance Regulations.

INSTRUCTIONS AND OTHER INFORMATION

The following two (2) sided <u>BIDDER CONTRACT COMPLIANCE MONITORING REPORT</u> must be completed in full, signed, and submitted with the bid for this contract. The contract awarding agency and the Commission on Human Rights and Opportunities will use the information contained thereon to determine the bidders compliance to Sections 4a-60 and 4a-60a CONN. GEN. STAT., and Sections 46a-68j-23 of the Regulations of Connecticut State Agencies regarding equal employment opportunity, and the bidders good faith efforts to include minority business enterprises as subcontractors and suppliers for the work of the contract.

1) Definition of Small Contractor

Section 4a-60g CONN. GEN. STAT. defines a small contractor as a company that has been doing business under the same management and control and has maintained its principal place of business in Connecticut for a one year period immediately prior to its application for certification under this section, had gross revenues not exceeding ten million dollars in the most recently completed fiscal year, and at least fifty-one percent of the ownership of which is held by a person or persons who are active in the daily affairs of the company, and have the power to direct the management and policies of the company, except that a nonprofit corporation shall be construed to be a small contractor if such nonprofit corporation meets the requirements of subparagraphs (A) and (B) of subdivision 4a-60g CONN. GEN. STAT.

2) Description of Job Categories (as used in Part IV Bidder Employment Information) (Page 2)

MANAGEMENT: Managers plan, organize, direct, and control the major functions of an organization through subordinates who are at the managerial or supervisory level. They make policy decisions and set objectives for the company or departments. They are not usually directly involved in production or providing services. Examples include top executives, public relations managers, managers of operations specialties (such as financial, human resources, or purchasing managers), and construction and engineering managers.

BUSINESS AND FINANCIAL OPERATIONS: These occupations include managers and professionals who work with the financial aspects of the business. These occupations include accountants and auditors, purchasing agents, management analysts, labor relations specialists, and budget, credit, and financial analysts.

MARKETING AND SALES: Occupations related to the act or process of buying and selling products and/or services such as sales engineer, retail sales workers, and sales representatives including wholesale.

LEGAL OCCUPATIONS: In-House Counsel who is charged with providing legal advice and services in regards to legal issues that may arise during the course of standard business practices. This category also includes assistive legal occupations such as paralegal, legal assistants.

COMPUTER SPECIALISTS: Professionals responsible for the computer operations within a company are grouped in this category. Examples of job titles in this category include computer programmers, software engineers, database administrators, computer scientists, systems analysts, and computer support specialists.

ARCHITECTURE AND ENGINEERING: Occupations related to architecture, surveying, engineering, and drafting are included in this category. Some of the job titles in this category include electrical and electronic engineers, surveyors, architects, drafters, mechanical engineers, materials engineers, mapping technicians, and civil engineers.

OFFICE AND ADMINISTRATIVE SUPPORT: All clerical-type work is included in this category. These jobs involve the preparing, transcribing, and preserving of written communications and records; collecting accounts; gathering and distributing information; operating office machines and electronic data processing equipment; and distributing mail. Job titles listed in this category include telephone operators, bill and account collectors, customer service representatives, dispatchers, secretaries and administrative assistants, computer operators and clerks (such as payroll, shipping, stock, mail and file).

BUILDING AND GROUNDS CLEANING AND MAINTENANCE: This category includes occupations involving landscaping, housekeeping, and janitorial services. Job titles found in this category include supervisors of landscaping or housekeeping, janitors, maids, grounds maintenance workers, and pest control workers.

CONSTRUCTION AND EXTRACTION: This category includes construction trades and related occupations. Job titles found in this category include boilermakers, masons (all types), carpenters, construction laborers, electricians, plumbers (and related trades), roofers, sheet metal workers, elevator installers, hazardous materials removal workers, paperhangers, and painters. Paving, surfacing, and tamping equipment operators; drywall and ceiling tile installers; and carpet, floor and tile installers and finishers are also included in this category. First line supervisors, foremen, and helpers in these trades are also grouped in this category.

INSTALLATION, MAINTENANCE AND REPAIR: Occupations involving the installation, maintenance, and repair of equipment are included in this group. Examples of job titles found here are heating, ac, and refrigeration mechanics and installers; telecommunication line installers and repairers; heavy vehicle and mobile equipment service technicians and mechanics; small engine mechanics; security and fire alarm systems installers; electric/electronic repair, industrial, utility and transportation equipment; millwrights; riggers; and manufactured building and mobile home installers. First line supervisors, foremen, and helpers for these jobs are also included in the category.

MATERIAL MOVING WORKERS: The job titles included in this group are Crane and tower operators; dredge, excavating, and lading machine operators; hoist and winch operators; industrial truck and tractor operators; cleaners of vehicles and equipment; laborers and freight, stock, and material movers, hand; machine feeders and offbearers; packers and packagers, hand; pumping station operators; refuse and recyclable material collectors; and miscellaneous material moving workers.

PRODUCTION WORKERS: The job titles included in this category are chemical production machine setters, operators and tenders; crushing/grinding workers; cutting workers; inspectors, testers sorters, samplers, weighers; precious stone/metal workers; painting workers; cementing/gluing machine operators and tenders; etchers/engravers; molders, shapers and casters except for metal and plastic, and production workers.

3) Definition of Racial and Ethnic Terms (as used in Part IV Bidder Employment Information)

White (not of Hispanic Origin)- All persons having origins in any of the original peoples of Europe, North Africa, or the Middle East. Black (not of Hispanic Origin)- All persons having origins in any of the Black racial groups of Africa.

Hispanic-All persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin regardless of race. Asian or Pacific Islander- All persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands. This area includes China, India, Japan, Korea, the Philippine Islands, and Samoa. American Indian or Alaskan Native- All persons having origins in any of the original peoples of North America, and who maintain

cultural identification through tribal affiliation or community recognition.

BIDDER CONTRACT COMPLIANCE MONITORING REPORT (Page 3)

PART I - Bidder Information

Company Name Street Address City & State Chief Executive	Bidder Federal Employer Identification Number Or Social Security Number
Major Business Activity (brief description)	Bidder Identification (response optional/definitions on page 1) -Bidder is a small contractor. Yes NoBidder is a minority business enterprise Yes No (If yes, check ownership category) Black Hispanic Asian American American Indian/Alaskan Native Iberian Peninsula Individual(s) with a Physical Disability Female
Bidder Parent Company (If any)	-Bidder is certified as above by State of CT Yes No
Other Locations in Ct. (If any)	- DAS Certification Number

PART II - Bidder Nondiscrimination Policies and Procedures

·	
Does your company have a written Affirmative Action/Equal Employment Opportunity statement posted on company bulletin boards? Yes No	7. Do all of your company contracts and purchase orders contain non-discrimination statements as required by Sections 4a-60 & 4a-60a Conn. Gen. Stat.? Yes No
2. Does your company have the state-mandated sexual harassment prevention in the workplace policy posted on company bulletin boards? Yes No	Do you, upon request, provide reasonable accommodation to employees, or applicants for employment, who have physical or mental disability? Yes No
3. Do you notify all recruitment sources in writing of your company's Affirmative Action/Equal Employment Opportunity employment policy? Yes No	9. Does your company have a mandatory retirement age for all employees? Yes No Yes No
4. Do your company advertisements contain a written statement that you are an Affirmative Action/Equal Opportunity Employer? Yes No	10. If your company has 50 or more employees, have you provided at least two (2) hours of sexual harassment training to all of your supervisors? Yes No NA
5. Do you notify the Ct. State Employment Service of all employment openings with your company? Yes No	11. If your company has apprenticeship programs, do they meet the Affirmative Action/Equal Employment Opportunity requirements of the apprenticeship standards of the Ct. Dept. of Labor? YesNoNA
6. Does your company have a collective bargaining agreement with workers? Yes No 6a. If yes, do the collective bargaining agreements contain non-discrimination clauses covering all workers? Yes No	12. Does your company have a written affirmative action Plan? Yes No If no, please explain.
6b. Have you notified each union in writing of your commitments under the nondiscrimination requirements of contracts with the state of Ct? Yes No	13. Is there a person in your company who is responsible for equal employment opportunity? Yes No If yes, give name and phone number.

Part III - Bidder Subcontracting Practices

- 1. Will the work of this contract include subcontractors or suppliers? Yes__ No__ 1a. If yes, please list all subcontractors and suppliers and report if they are a small contractor and/or a minority business enterprise. (defined on page 1 / use additional sheet if necessary)
 - 1b. Will the work of this contract require additional subcontractors or suppliers other than those identified in 1a. above? Yes__ No__ PLEASE COMPLETE REVERSE SIDE

Date:

(Page 4)

JOB CATEGORY *	OVERALL TOTALS	WHITE (not of Hisp	anic origin)	(not o	ACK f Hispanic rigin)	HISP	'ANIC	ASIAN or	PACIFIC	AMERICAL INDIAN or ALASKAN	
		Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Management											
Business & Financial Ops											
Marketing & Sales											
Legal Occupations											
Computer Specialists											
Architecture/Engineering											
Office & Admin Support											
Bldg/ Grounds Cleaning/Maintenance											
Construction & Extraction											
Installation , Maintenance & Repair											
Material Moving Workers											
Production Occupations											
TOTALS ABOVE											
Total One Year Ago											
FORMAL ON THE JOBTRAINEES	3	•	JRE	S FOR THE S.	AME CATEGOI	RIES AS ARE SI	HOWN ABOVE)			•	•
Apprentices											
Trainees											

^{*} NOTE: Job categories can be changed or added to (ex. Sales can be added or replace a category not used in your company)

PART V - Bidder Hiring and Recruitment Practices

Which of the following recruitment sources are used by you? (Check yes or no, and report percent used)			requ	heck (X) any of the below listed hirements that you use as a hiring lification	Describe below any other practices or actions that you take which show that you hire, train, show that you hire, train	
SOURCE	YES	NO	% of applicants provided by source	e		and promote employees without discrimination
State Employment Service					Work Experience	
Private Employment Agencies					Ability to Speak or Write English	
Schools and Colleges					Written Tests	
Newspaper Advertisement					High School Diploma	
Walk Ins					College Degree	
Present Employees					Union Membership	
Labor Organizations					Personal Recommendation	
Minority/Community Organizations					Height or Weight	
Others (please identify)					Car Ownership	
					Arrest Record	

Certification (Read this form and check your statements on it CAREFULLY before signing). I certify that the statements made by me on this BIDDER CONTRACT COMPLIANCE MONITORING REPORT are complete and true to the best of my knowledge and belief, and are made in good faith. I understand that if I knowingly make any misstatements of facts, I am subject to be declared in non-compliance with Section 4a-60, 4a-60a, and related sections of the CONN. GEN. STAT.

(Signature)	(Title)	(Date Signed)	(Telephone)

OPM Ethics Form 1 Rev. 05-26-15 Page 1 of 2



STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

CHECK ONE:	☐ Initial Certification	☐ 12 Month Anniversary Update (Multi-year contracts only.)
CIET CERTIFICATION.		n because of change of information contained in the most ertification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of it agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contactor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after December 31, 2006, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(g)(1), has made any campaign contributions to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(g)(2)(A). I further certify that all lawful campaign contributions that have been made on or after December 31, 2006 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(g)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:

Contribution Date	Name of Contributor	<u>Recipient</u>	<u>Value</u>	<u>Description</u>
			_	
Lawful Campaign Co	ontributions to Candidate	es for the General Assemb	ly:	
Contribution Date	Name of Contributor	<u>Recipient</u>	<u>Value</u>	<u>Description</u>
Sworn as true to the b	pest of my knowledge and b	pelief, subject to the penaltie	s of false stateme	nt.
Printed Contractor N	Name	Printed Name of Authori	zed Official	
Signature of Author	ized Official			
Subscribed and ack	nowledged before me thi	isday of	, 2	20 <u>.</u>
Commissioner of the	e Superior Court (or Nota	ary Public)		
My Commission Exp	ires			

OPM Ethics Form 5 Rev. 3-28-14



STATE OF CONNECTICUT CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a State contract for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b)

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or vendor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or vendor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavit	s Sworn and Subscrib	ed On This Day:	_]	
I, the undersigned, hereby swear that Connecticut General Statutes § 4a-83 such contract. I further swear that except for the agreement listed be	l(a), or that I am the I have not entered in	individual awarded such	n a contract who is a	authorized to execute
Consultant's Name and Title		Name of Firm (f applicable)	
Start Date	End Date	Cost		
Description of Services Provided:				
Is the consultant a former State emp		c official?	5 □ NO	
Name of Former Stat		Termination Da	te of Employment	
Sworn as true to the best of my know	vledge and belief, sub	pject to the penalties of	false statement.	
Printed Name of Bidder or Vendor	Signature of Ch	ief Official or Individ	ual Date	
_		above)		 gency
Sworn and subscribed before me	on this (lay of	_, 20	
Commissioner of the Superior Co or Notary Public	urt			
My Commission Evnires			ı	



Documentation in the form of an <u>affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended</u>

INSTRUCTIONS:

For use by an <u>entity</u> (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at <u>\$50,000 or more</u> for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

AFFIDAVIT:

I, the undersigned, am over the age of eighte	teen (18) and understand and appreciate the	obligations of
an oath. I amSignatory's Title	of Name of Entity	$_$, an entity
duly formed and existing under the laws of _	Name of State or Commonwealth	_•
I certify that I am authorized to execute and	d deliver this affidavit on behalf of	
and Name of Entity	d thatName of Entity	
has a policy in place that complies with the n	nondiscrimination agreements and warranties	of Connecticut
General Statutes §§ 4a-60(a)(1)and 4a-60a((a)(1), as amended.	
Authorized Signatory		
Printed Name		
Sworn and subscribed to before me on the	this day of, 20	
Commissioner of the Superior Court/	Commission Expiration Date	<u> </u>

Connecticut State University System



Central Connecticut State University Eastern Connecticut State University Southern Connecticut State University Western Connecticut State University **System Office**

STANDARD TERMS AND CONDITIONS

I. **DEFINITIONS**

The following words, when used herein, shall have the following meanings:

- 1. "Contract" shall mean any agreement negotiated by and between CSU and the contractor selected by CSU as the result of a request for proposal, request for quotation, or request for bid, including, but not limited to, a personal service agreement or purchase order.
- CSU" shall refer to the Connecticut State University System, which is comprised of Central Connecticut State University, Eastern Connecticut State 2. University, Southern Connecticut State University, Western Connecticut State University and the System Office, collectively and individually, as the context
 - "Person" shall mean an individual, partnership, corporation or other business entity, as the context requires.
- 3. "Proposal" shall mean a response to a request for proposal, request for bid, or request for quotation.
- "Proposer" shall mean a contractor that submits a response to a request for proposal, request for bid, or request for quotation. 5.
- "RFP" shall mean a request or invitation for proposal, bid, or quotation, as applicable.

TERMS AND CONDITIONS RELATED TO REQUESTS FOR PROPOSALS II.

General Conditions

- 1. CSU reserves the right to amend or cancel an RFP prior to the date and time for the opening of proposals. CSU, in its sole discretion, reserves the right to accept or reject any and all proposals, in whole or in part, and to waive any technicality in any proposal submitted, and to accept any part of a proposal deemed to be in the best interest of CSU.
- Proposals received from proposers debarred by the State of Connecticut will not be considered for award. 2.
- 3. CSU does not commit to specific volumes of activity, nor does it guarantee the accuracy of statistical information provided in the RFP. Such information is supplied to proposers for reference only.
- 4. All responses to the RFP shall be and remain the sole property of CSU.
- Each proposer shall bear all costs associated with proposer's response to an RFP, including, but not limited to, the costs of any presentation and/or 5. demonstration required by CSU. In addition, answers or clarifications sought by CSU arising out of or in connection with the proposal shall be furnished by the proposer at the proposer's expense.
- 6. CSU reserves the right to negotiate, as it may deem necessary, with any or all of the proposers that submit proposals.
- Any alleged oral agreement or arrangement made by any proposer with CSU or any employee thereof shall not be binding. 7.

Submission of Proposals

- Proposals must be submitted on forms supplied by CSU. Telephone, facsimile, or email proposals will not be accepted in response to an RFP. 1.
- 2. Proposals must be computer prepared, typewritten or handwritten in ink. Proposals submitted in pencil will be rejected.
- 3. Proposers must answer all the questions set forth in the RFP using the outline and numbering scheme set forth therein. Proposers must furnish all information requested in the RFP and supply all materials required for consideration. Failure of the proposer to answer all questions and supply all information and materials requested may be grounds for rejection of the proposal.
- 4. All proposals must be signed by a person duly authorized to sign proposals on behalf of the proposer. All signatures on the proposal must be original. Proposals bearing stamp signatures will be rejected. Unsigned proposals will be rejected.
- Alterations or corrections to the proposal must be initialed by the person signing the proposal or his or her authorized designee. All initials on alterations or 5. corrections to the proposal must be original. In the event that an authorized designee initials an alteration or correction, the proposer must submit a written authorization from the proposal's signatory to the authorized designee, authorizing the designee to make the alteration or correction. Failure to submit such an authorization shall result in rejection of proposal as to those items altered or corrected and not initialed.
- 6. Conditional proposals are subject to rejection in whole or in part, in the sole discretion of CSU. A conditional proposal is defined as one that limits, modifies, expands or supplements any of the terms and conditions and/or specifications of the RFP.
- Alternate proposals will not be considered by CSU, unless otherwise noted on the RFP or on the proposal form. An alternate proposal is defined as one that is 7. submitted in addition to the proposer's primary response to the RFP.
- Each proposer's prices must be firm for a period up to 120 days from date of the opening of proposals. Prices must be extended in decimal, not fraction, must be net, and must include transportation and delivery charges, fully prepaid by the contractor, to the destination specified in the proposal, and subject only to
- 9. Pursuant to Section 12-412 of the Connecticut General Statutes, the State of Connecticut is exempt from the payment of excise, transportation and sales taxes imposed by the Federal Government and/or the State. Accordingly, such taxes must not be included in proposal prices.
- 10. If there is a discrepancy between a unit price and an extended price, the unit price will govern.
- By submitting a proposal, the proposer asserts that the offer and information contained therein is in all respects fair and without collusion or fraud and was not made in connection with any competing proposer's submission of a separate response to the RFP. By submitting a proposal, the proposer further asserts that it neither participated in the formation of CSU's solicitation development process nor had any knowledge of the specific contents of the RFP prior to its issuance, and that no employee of CSU participated directly or indirectly in the preparation of the proposer's proposal..
- 12. Proposals for the provision of services must include the cost of obtaining all permits, licenses, and notices required by the city or town in which the services is to be provided, and the State and Federal governments..

III. CONTRACT AWARD

- 1. All proposals properly submitted will be opened and read publicly. Upon award, the proposals are subject to public inspection. CSU will not prepare abstracts of proposals received for distribution, nor will information concerning the proposals received be conveyed by telephone.
- 2. Award will be made to the lowest responsible qualified proposer who complies with the proposal requirements. Price alone need not be the sole determining factor for an award. Other criteria, listed in the RFP, may be considered by CSU in the award determination.
- 3. CSU reserves the right to grant an award and/or awards by item, or part thereof, groups of items, or all items of the proposal and to waive minor irregularities and omissions if, in CSU's judgment, the best interests of CSU or the State of Connecticut will be served.
- 4. CSU reserves the right to correct inaccurate awards resulting from its administrative errors.

IV. TERMS AND CONDITIONS RELATED TO CONTRACT WITH SUCCESSFUL PROPOSER

By submitting a response to the RFP, the proposer agrees that any contract negotiated between it (if the successful proposer), as contractor, and CSU may contain the following provisions, as deemed applicable by CSU:

A. General Conditions

- 1. The contactor shall: (i) guarantee its products against defective materials and workmanship; (ii) repair damage of any kind, for which it is responsible, to CSU's premises or equipment, to its own work or to the work of other contractors; (iii) obtain and pay for all applicable licenses, permits, and notices; (iv) give all notices and comply with all requirements of the municipality in which the service is to be provided and of the State and federal governments; and (v) carry proper and sufficient insurance to protect the State from loss.
- 2. The contract shall be interpreted and governed by the laws of the State of Connecticut, without regard to its principles of conflicts of laws.
- 3. The contractor agrees that it shall be subject to and abide by all applicable federal and state laws and regulations.
- 4. The contractor agrees that it shall comply with Section 4a-60 of the Connecticut General Statutes and with Executive Orders Nos. 3, 16, 17 and 7C.
- 5. The contractor agrees that the sole and exclusive means for the presentation of any claim against the State of Connecticut, the Connecticut State University or the Board Of Trustees arising from a contract with CSU, shall be in accordance with the provisions of Chapter 53 of the Connecticut General Statutes (Claims Against the State) and that no additional legal proceedings will be initiated in any state or federal court in addition to, or in lieu of, said Chapter 53 proceedings.
- 6. The contractor or subcontractor, as applicable, shall offer and agree to assign to CSU all rights, title and interest in and to all causes of action it may have under Section 4 of the Clayton Act, 15 U.S.C. 15, or under Chapter 624 of the general statutes, arising from the purchase of services, property or intangibles of any kind pursuant to a public purchase contract or subcontract; such assignment shall be made and become effective at the time the contract is executed by the parties, without further acknowledgment by them.
- 7. The contractor shall not assign or otherwise dispose of the contract or its right, title or interest therein, or its power to execute such contract, to any other person without the prior written consent of CSU.
- 8. If any provision, term or condition of the contract is prohibited, invalid, or unenforceable then that provision, term or condition shall be ineffective to the extent of the prohibition, invalidity, or prohibition without invalidating the remaining provisions, terms and conditions unless it materially alters the nature or intent thereof.
- 9. Should the terms of any purchase order or invoice issued in connection with the contract conflict with the terms of the contract, the terms of the contract shall prevail.
- Failure of the contractor to deliver commodities or perform services as specified in the contract will constitute authority for CSU to purchase these commodities or services on the open market. The contractor shall promptly reimburse CSU for excess costs incurred by CSU due to these purchases, and these purchases shall be deducted by CSU from the quantities contracted for.
- 11. No right or duty, in whole or in part, of the contractor under the contract may be assigned or delegated without the prior written consent of CSU. The subcontracting or assignment of any of contractor's obligations under the contract to a subcontractor shall require the prior written approval of CSU.
- 12. Upon termination of the contract by CSU, the contractor shall both immediately discontinue all services (unless the notice directs otherwise) and deliver to CSU all data, drawings, specifications, reports, estimates, summaries, and such other information and materials as may have been accumulated by the contractor in performing its duties under the contract, whether completed or in progress. All such documents, information, and materials shall become the property of CSU.
- 13. The State of Connecticut shall assume no liability for payment for services under the terms of the contract until the contractor is notified that the contract has been accepted by CSU and, if applicable, approved by the Office of Policy and Management ("OPM") or the Department of Administrative Services ("DAS") and by the Attorney General of the State of Connecticut.

Central Connecticut State University is an affirmative action equal opportunity institution. The University will not knowingly do business with any bidder, contractor, subcontractor or supplier of materials found to be in violation of any state or federal antidiscrimination law