

DOT Consultant Agreement (13b-20b to 13b-20m)

PRINT OR TYPE

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| | | (1) <input checked="" type="checkbox"/> ORIGINAL <input type="checkbox"/> AMENDMENT | (2) CORE IDENTIFICATION NO. 18DOT0017AA |
| CONTRACTOR | (3) CONTRACTOR NAME Systra Consulting, Inc. | | (4) ARE YOU PRESENTLY A STATE EMPLOYEE <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |
| | CONTRACTOR ADDRESS 520 Eighth Avenue, Suite 2100, New York, NY 10018-7196 | | CONTRACTOR FEIN/SSN Vendor ID #00000123547 |
| STATE AGENCY | (5) AGENCY NAME AND ADDRESS CT Department of Transportation, 2800 Berlin Tnpk., Newington, CT 06111-7546 | | (6) AGENCY NO. 5000 |
| CONTRACT PERIOD | (7) DATE (FROM) 8-18-17 | THROUGH Compl. of Work | (8) AGREEMENT NUMBER 9.12-01(17) |
| CANCELLATION CLAUSE | THIS AGREEMENT SHALL REMAIN IN FULL FORCE AND EFFECT FOR THE ENTIRE TERM OF THE CONTRACT PERIOD STATED ABOVE UNLESS CANCELLED BY THE STATE AGENCY, BY GIVING THE CONTRACTOR WRITTEN NOTICE OF SUCH INTENTION (REQUIRED NOTICE SPECIFIED AT RIGHT) | | (9) REQUIRED NO. OF DAYS WRITTEN NOTICE 30 |
| COMPLETE DESCRIPTION OF SERVICE | <p>(10) CONTRACTOR AGREES TO: (Include special provisions - Attach additional blank sheets if necessary.) That the State notwithstanding the contract period mentioned above is not obligated for any cost under this Agreement unless the Consulting Engineer has been authorized by the State in writing from the Department of Transportation to commence with the hereinafter mentioned work.</p> <p>To perform Construction Engineering and Inspection services for the New Haven Line Signal System Replacement from CP229 to CP241.</p> <p>State Project No. 301-0154</p> <p>CT Certificate of Registration for Corporate Practice of Engineering No. 950</p> <p>(See attached sheets 1- 46 and specified attachments which are hereby made a part of this Agreement)</p> | | |
| COST AND SCHEDULE OF PAYMENTS | <p>(11) PAYMENT TO BE MADE UNDER THE FOLLOWING SCHEDULE UPON RECEIPT OF PROPERLY EXECUTED AND APPROVED INVOICES Actual cost plus burden, fringe, overhead and fixed fee. The maximum limiting amount shall not exceed \$5,953,860.</p> | | |

An individual entering into a DOT Consultant Agreement with the State of Connecticut is contracting under a "work-for-hire" arrangement. As such the individual is an independent contractor, and does not satisfy the characteristics of an employee under the common law rules for determining the employer/employee relationship of Internal Revenue Code section 3121(d). Individuals performing services as independent contractors are not employees of the State of Connecticut and are responsible themselves for payment of all State and local income taxes, federal income taxes and Federal Insurance Contribution Act (FICA) taxes.

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| ACCEPTANCE AND APPROVALS | | (12) STATUTORY AUTHORITY 13b-10 |
| (14) CONTRACTOR (OWNER OR AUTHORIZED SIGNATURE) | NAME & TITLE Stanley Rosenblum, CEO Systra Consulting, Inc. | DATE 7 |
| (15) STATE AGENCY (OFFICIAL) | NAME & TITLE Richard W. Andreski, Bureau Chief Bureau of Public Transportation | DATE |
| (16) ATTORNEY GENERAL (APPROVED AS TO FORM) | DNA | DATE |