



# Volume 1 of 1 Project Manual

**Lower Garage Ramp Restoration  
505 Hudson Street  
Hartford, CT  
Project No.: BI-2B-438**

**Prepared By:  
Freeman Companies  
36 John Street  
Hartford, CT 06106**

**Josh Geballe – Commissioner**

**State of Connecticut  
Department of Administrative Services  
Construction Services  
Office of Legal Affairs, Policy, and Procurement  
450 Columbus Boulevard, Suite 1302  
Hartford, CT 06103**

**Project Manual Date: February 20, 2020**



**FOR YOUR INFORMATION**

**IMPORTANT NOTICE**

**From The State of Connecticut  
Department of Administrative Services - Construction Services  
Office of Legal Affairs, Policy, and Procurement**

**THIS PROJECT MANUAL CONTAINS UPDATED REQUIREMENTS:**

**10/10/19: UPDATED 01 11 00 SUMMARY OF WORK:**

- **Section 1.11 F: Contract Documents will no longer be provided in paper format.** One (1) set of PDF (latest version) Contract Documents on Electronic Data Storage Devices will be provided to the Contractor, at no cost, on or about the time of execution of the Contract from the Architect. Additional sets of PDF (latest version) Contract Documents on Electronic Data Storage Devices from the Architect shall be available at the cost of their reproduction, to the Contractor.

**10/10/19: UPDATED 00 21 13 INSTRUCTIONS TO BIDDERS:**

- **Sections 1.10.3.2, 2.7.1, 2.7.5, Named Subcontractors and Classes of Work:** In accordance with Connecticut General Statutes 4b-93, if the Bidder intends to use **more than one** Subcontractor to perform a Class of Work, then it shall provide **ALL** of the Subcontractor Names and Proposed Dollar Values for **subcontracts in excess of \$100,000**. Failure to correctly state **ALL** of the **Named Subcontractor's prices within a particular Class of Work** on the Bid Proposal Form **shall** be cause for **rejection** of the Bid.
- **Section 2.7.8.1, 2.7.10.3, Named Subcontractor Prequalification:** For Subcontracts greater than \$500,000, the three (3) Apparent Lowest Bidders shall submit within ten (10) Calendar Days after receipt of the "Set-Aside Contractor Schedule Request" current DAS Prequalification Certificate(s) and Update (Bid) Statement(s) for each Named Subcontractor in Table 2.7 of the Bid Proposal Form, to the extent the Class of Work for the Named Subcontractor is a Prequalification Classification. This information shall be considered as part of the Bid Proposal Form and failure to comply with any portion of this requirement **shall** cause rejection of the bid.
- **Section 2.7.10.2, Bidder Performing Work as Named Subcontractor:** In the event that the Bidder names a Subcontractor to perform some, but not all, of the separate section of the specifications for a particular Class of Work, then it will be presumed, in addition, that the Bidder intends to perform the balance of the Class of Work. Post-bid, the Bidder cannot substitute a Subcontractor for one named in the Bid Proposal Form or bring in a Subcontractor for any designated subtrade work presumed to be performed by the General Contractor's own forces, except for "Good Cause" as determined by the awarding authority.

**10/10/19: UPDATED 00 41 00 BID PROPOSAL FORM:**

- **Section 2.7, Named Subcontractors and Classes of Work:** In accordance with Connecticut General Statutes 4b-93, if the Bidder intends to use **more than one** Subcontractor to perform a Class of Work, then it shall provide **ALL** of the Subcontractor Names and Proposed Dollar Values for **subcontracts in excess of \$100,000**. If applicable, Table 2.7 will include an extra page for listing additional named subcontractors.
- **Section 2.9, Insurance Coverages:** Descriptions have been edited to correlate with 00 72 13 General Conditions.

**07/12/19: UPDATED SECTION 00 72 13 GENERAL CONDITIONS:**

The following Articles of the 00 72 13 General Conditions have been revised and/or added:

- **Article 1 Definitions:** Section 1.71 and Section 1.72;
- **Article 3 Correlation of Contract Documents:** Section 3.6;
- **Article 28 Partial Payments:** Section 28.2;
- **Article 33: Owner's Right to Stop Work or Terminate Contract:** Section 33.2 and Section 33.3;
- **Article 35 Contractor's Insurance:** Section 35.1 and Section 35.6;
- **Article 36 Foreign Materials:** Section 36.3;
- **Article 40 Disclosure of Records:** Section 40.1; and
- **Article 41 Audit and Inspection of Plants, Places of Business, and Records:** Section 41.1.

**02/01/19: NEW REPORTING & CONTRACTING REQUIREMENTS FOR SUBCONTRACTOR PAYMENTS:**

**NEW REPORTING REQUIREMENTS FOR CONTRACTOR AND SUBCONTRACTOR PAYMENTS:**

- For compliance with the Connecticut General Statutes Sections 4b-95 and 49-41a, the Department of Administrative Services-Construction Services (DAS/CS) requires every Contractor (and its Subcontractors) who has been awarded a DAS/CS construction contract to log on to the State of Connecticut web-based platform, BizNet, each month and enter payments they have received from the state, from the Contractor, or from a higher tier Subcontractor (as applicable).
- The process is described as follows: The state will pay the Contractor on a monthly basis for work performed (and purchases made) by it and its Subcontractors. The Contractor will input the payment date and amount they receive from the state on a monthly basis. The Contractor's first-level Subcontractor (Tier 1 Subcontractor) will input the payment they receive from the Contractor. The second-level Subcontractor (Tier 2 Subcontractor) will input the payment they receive from the Tier 1 Subcontractor. And so on.
- Detailed instructions can be found in the DAS/CS publication, "**6002 Instructions to Contractors/Subcontractors for Entering Payments in BizNet**", available for download by going to the DAS Homepage ([www.ct.gov/DAS](http://www.ct.gov/DAS)) and selecting Doing Business With The State > State Building Construction > Publications and Forms > DAS Construction Services Library > 6000 Series.

**NEW CONTRACTING REQUIREMENTS FOR CONTRACTOR AND SUBCONTRACTOR PAYMENTS:**

- Contractors awarded a DAS/CS construction contract shall contain a provision in their subcontract agreements requiring their Subcontractors to enter payment receipt from the Contractor in the State of Connecticut web-based platform, BizNet, for work performed or purchases made in relation to state projects.

**THE FOLLOWING DOCUMENTS HAVE BEEN REVISED TO REFLECT THE NEW REQUIREMENTS:**

- Section 00 11 16 Invitation to Bid;
- Section 00 21 13 Instructions to Bidders;
- Section 00 41 10 Bid Package Submittal Requirements; and
- Section 01 11 00 Summary of Work.

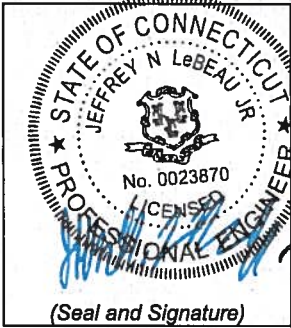
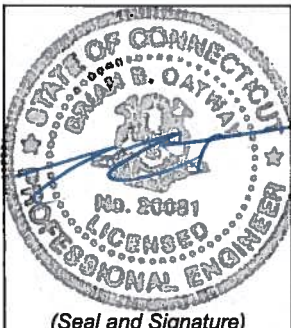
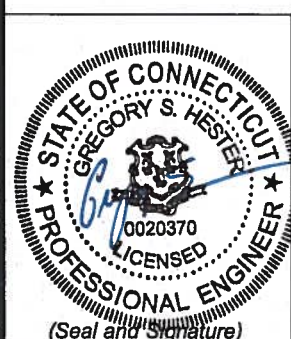
**END**





<b>Project Title:</b>	Lower Garage Ramp Restoration
<b>Project Location:</b>	505 Hudson Street, Hartford, CT 06106
<b>Project Number:</b>	BI-2B-438
<b>Architect/Engineer:</b>	Freeman Companies, 36 John Street, Hartford, CT 06106

**SEALS, SIGNATURES, AND DATES OF DESIGN PROFESSIONALS OF RECORD**

<p>(Seal and Signature)</p>	<p><b>Architect Professional Certification:</b> I hereby certify that these documents were prepared or approved by me and that I am a duly registered Architect.</p> <p>_____ (Print Consultant Name)</p> <p>_____ License No.</p> <p>_____ Expiration Date</p>	 <p>(Seal and Signature)</p>	<p><b>Civil Engineer Professional Certification:</b> I hereby certify that these documents were prepared or approved by me and that I am a duly registered Professional Engineer.</p> <p>_____ (Print Consultant Name)</p> <p>_____ License No.</p> <p>_____ Expiration Date</p>
<p>(Seal and Signature)</p>	<p><b>Structural Engineer Professional Certification:</b> I hereby certify that these documents were prepared or approved by me and that I am a duly registered Professional Engineer.</p> <p>_____ (Print Consultant Name)</p> <p>_____ License No.</p> <p>_____ Expiration Date</p>	 <p>(Seal and Signature)</p>	<p><b>Electrical Engineer Professional Certification:</b> I hereby certify that these documents were prepared or approved by me and that I am a duly registered Professional Engineer.</p> <p>_____ (Print Consultant Name)</p> <p>_____ License No.</p> <p>_____ Expiration Date</p>
 <p>(Seal and Signature)</p>	<p><b>Mechanical Engineer Professional Certification:</b> I hereby certify that these documents were prepared or approved by me and that I am a duly registered Professional Engineer.</p> <p>_____ (Print Consultant Name)</p> <p>_____ License No.</p> <p>_____ Expiration Date</p>	<p>(Seal and Signature)</p>	<p><b>Fire-Protection Engineer Professional Certification:</b> I hereby certify that these documents were prepared or approved by me and that I am a duly registered Professional Engineer.</p> <p>_____ (Print Consultant Name)</p> <p>_____ License No.</p> <p>_____ Expiration Date</p>

End of Section  
00 01 07 Seals Page



## VOLUME 1 of 1

## DIVISION 00 PROCUREMENT AND CONTRACTING REQUIREMENTS

Section No.	Title	Page Count	Not Used
00 01 01	Title Page	1	<input type="checkbox"/>
00 01 07	Seals Page	1	<input type="checkbox"/>
00 01 10	Table of Contents	7	<input type="checkbox"/>
00 01 15	List of Drawing Sheets	1	<input type="checkbox"/>
00 11 16	Invitation to Bid	3	<input type="checkbox"/>
00 21 13	<b>NEW:</b> Instructions To Bidders	17	<input type="checkbox"/>
00 25 13	<b>NEW:</b> Pre-Bid Meeting Agenda	4	<input type="checkbox"/>
00 30 00	General Statements for Available Information	2	<input type="checkbox"/>
00 30 10	General Statement for Existing Conditions Survey		<input type="checkbox"/>
00 30 20	General Statement for Environmental Assessment Information		<input checked="" type="checkbox"/>
00 30 30	General Statement for Hazardous Building Materials Inspection and Inventory		<input checked="" type="checkbox"/>
00 30 40	General Statement for Subsurface Geotechnical Report		<input checked="" type="checkbox"/>
00 30 50	General Statement for Elevator Agreement		<input checked="" type="checkbox"/>
00 30 60	General Statement for FM Global Checklist for Roofing Systems		<input checked="" type="checkbox"/>
00 30 70	General Statement for "Statement of Special Inspections"		<input checked="" type="checkbox"/>
00 30 80	General Statement for Other Information		<input checked="" type="checkbox"/>
00 40 14	Certificate (of Authority) ( <i>Bidder uploads to BizNet</i> )	2	<input type="checkbox"/>
00 40 15	CT DAS Contractor Prequalification Forms ( <i>Bidder uploads to BizNet</i> )	4	<input checked="" type="checkbox"/>
00 41 00	Bid Proposal Form ( <i>Bidder uploads to BizNet</i> )	9	<input type="checkbox"/>
00 41 10	<b>NEW:</b> Bid Package Submittal Requirements	4	<input type="checkbox"/>
00 43 16	Standard Bid Bond ( <i>Bidder uploads to BizNet</i> )	1	<input type="checkbox"/>
00 45 14	General Contractor Bidder's Qualification Statement ( <i>Bidder uploads to BizNet</i> )	7	<input type="checkbox"/>
00 45 15	Objective Criteria Established for Evaluating Qualifications of Bidders	3	<input type="checkbox"/>
00 45 17	Named Subcontractor Bidder's Qualification Statement	7	<input checked="" type="checkbox"/>
00 52 03	Contract	3	<input type="checkbox"/>
00 52 73	Subcontract Agreement Form	3	<input checked="" type="checkbox"/>
00 62 16	Certificate of Insurance	1	<input type="checkbox"/>
00 62 16.1	Asbestos Attachment to Acord Form	1	<input checked="" type="checkbox"/>
00 72 13	General Conditions of the Contract for Construction – For Design-Bid-Build	33	<input type="checkbox"/>
00 72 13.1	Supplementary Conditions	2	<input checked="" type="checkbox"/>
00 73 27	Set-Aside Contractor Schedule – <i>SAMPLE</i>	1	<input checked="" type="checkbox"/>
00 73 38	CHRO Contract Compliance Regulations	7	<input type="checkbox"/>
00 73 44	Prevailing Wage Rates/Contractor's Wage Certification/Payroll Certification	24	<input type="checkbox"/>
00 73 63	CT DOC Security Requirements	3	<input checked="" type="checkbox"/>
00 92 10	Additional Forms To be Submitted After Bond Commission Funding Approval	7	<input type="checkbox"/>
00 92 30	Procedures Regarding Taxation for Nonresident General/Prime Contractor and Subcontractors	2	<input type="checkbox"/>

**VOLUME 1 of 1**  
(continued)

**DIVISION 01 GENERAL REQUIREMENTS**

Section No.	Title	Page Count	Not Used
01 11 00	Summary of Work	6	<input type="checkbox"/>
01 20 00	Contract Considerations	1	<input type="checkbox"/>
01 23 13	Supplemental Bids		<input checked="" type="checkbox"/>
01 25 00	Substitution Procedures	4	<input type="checkbox"/>
01 26 00	Contract Modification Procedures	3	<input type="checkbox"/>
01 29 76	Progress Payment Procedures	5	<input type="checkbox"/>
01 31 00	Project Management and Coordination	5	<input type="checkbox"/>
01 31 19	Project Meetings	3	<input type="checkbox"/>
01 32 16	Construction Progress Schedules	3	<input type="checkbox"/>
01 32 16.13	CPM Schedules		<input checked="" type="checkbox"/>
01 32 33	Photographic Documentation	2	<input type="checkbox"/>
01 33 00	Submittal Procedures	8	<input type="checkbox"/>
01 35 16	Alteration Project Procedures	3	<input type="checkbox"/>
01 35 26	Government Safety Requirements	12	<input type="checkbox"/>
01 42 20	Reference Standards & Definitions	3	<input type="checkbox"/>
01 45 00	Quality Control	5	<input type="checkbox"/>
01 45 23.13	Testing for Indoor Air Quality, Baseline Indoor Air Quality, and Materials		<input checked="" type="checkbox"/>
01 50 00	Temporary Facilities & Controls		<input checked="" type="checkbox"/>
01 57 30	Indoor Environmental Control		<input checked="" type="checkbox"/>
01 57 40	Construction Indoor Air Quality Management Plan		<input checked="" type="checkbox"/>
01 60 00	Product Requirements	3	<input type="checkbox"/>
01 71 23	Field Engineering	2	<input type="checkbox"/>
01 73 20	Selective Demolition and Removal	3	<input type="checkbox"/>
01 73 29	Cutting and Patching		<input checked="" type="checkbox"/>
01 74 19	Construction Waste Management & Disposal		<input checked="" type="checkbox"/>
01 75 00	Starting & Adjusting		<input checked="" type="checkbox"/>
01 77 00	Closeout Procedures	5	<input type="checkbox"/>
01 78 23	Operation & Maintenance Data		<input checked="" type="checkbox"/>
01 78 30	Warranties & Bonds	3	<input checked="" type="checkbox"/>
01 80 13	Sustainable Design Requirements		<input checked="" type="checkbox"/>
01 91 00	Commissioning	6	<input type="checkbox"/>

VOLUME 1 of 1  
(continued)

TECHNICAL SPECIFICATIONS

DIVISION 02 EXISTING CONDITIONS Not Used

Section No.	Title	Page Count
02 41 00	Demolition	3
02 42 19	Selective Demolition & Removal	3

DIVISION 03 CONCRETE Not Used

Section No.	Title	Page Count
	Unused	

DIVISION 04 MASONRY Not Used

Section No.	Title	Page Count
	Unused	

DIVISION 05 METALS Not Used

Section No.	Title	Page Count
	Unused	

DIVISION 06 WOOD, PLASTICS AND COMPOSITES Not Used

Section No.	Title	Page Count
	Unused	

DIVISION 07 THERMAL AND MOISTURE PROTECTION Not Used

Section No.	Title	Page Count
	Unused	

DIVISION 08 OPENINGS Not Used

Section No.	Title	Page Count
	Unused	

DIVISION 09 FINISHES Not Used

Section No.	Title	Page Count
	Unused	

**VOLUME 1 of 1**  
 (continued)

<b>DIVISION 10</b>	<b>SPECIALTIES</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	<b>Unused</b>	

<b>DIVISION 11</b>	<b>EQUIPMENT</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	<b>Unused</b>	

<b>DIVISION 12</b>	<b>FURNISHINGS</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	<b>Unused</b>	

<b>DIVISION 13</b>	<b>SPECIAL CONSTRUCTION</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	<b>Unused</b>	

<b>DIVISION 14</b>	<b>CONVEYING SYSTEMS</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	<b>Unused</b>	

<b>DIVISION 15</b>	<b>RESERVED</b>	
--------------------	-----------------	--

<b>DIVISION 16</b>	<b>RESERVED</b>	
--------------------	-----------------	--

<b>DIVISION 17</b>	<b>RESERVED</b>	
--------------------	-----------------	--

<b>DIVISION 18</b>	<b>RESERVED</b>	
--------------------	-----------------	--

<b>DIVISION 19</b>	<b>RESERVED</b>	
--------------------	-----------------	--

<b>DIVISION 20</b>	<b>RESERVED</b>	
--------------------	-----------------	--

<b>DIVISION 21</b>	<b>FIRE SUPPRESSION</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	<b>Unused</b>	

**VOLUME 1 of 1**  
(continued)

**DIVISION 22** **PLUMBING** Not Used

Section No.	Title	Page Count
22 00 00	General Conditions for Plumbing Trades	10
22 05 00	Common Work Results for Plumbing	12
22 05 23	General Duty Valves for Plumbing Piping	12
22 05 29	Hangers and Supports for Plumbing Piping and Equipment	6
22 05 53	Identification for Plumbing Piping & Equipment	5
22 07 00	Plumbing Pipe Insulation	11
22 11 16	Domestic Water Piping	6
22 40 10	Plumbing Specialties	15

**DIVISION 23** **HEATING, VENTILATING AND AIR CONDITIONING** Not Used

Section No.	Title	Page Count
23 01 00	General Conditions for HVAC Trades	10
23 05 00	Common Work Results for HVAC	12
23 05 19	Meters and Gauges for HVAC Piping	6
23 05 23	General Duty Valves for HVAC Piping	11
23 05 29	Hangers and Supports for HVAC Piping and Equipment	6
23 05 48	Vibration and Seismic Controls	23
23 05 53	Identification for HVAC Piping and Equipment	6
23 05 93	Testing, Adjusting and Balancing for HVAC	14
23 07 20	HVAC Pipe Insulation	11
23 08 00	Commissioning of HVAC Systems	3
23 09 23	Variable Frequency Drives	10
23 09 33	Instrumentation and Controls	8
23 21 13	Hydronic Piping	19
23 21 23	Hydronic Pumps	5
23 83 16	Radiant-Heating Hydronic Piping	10

**DIVISION 24** **RESERVED**

**DIVISION 25** **INTEGRATED AUTOMATION** Not Used

Section No.	Title	Page Count
	Unused	

**DIVISION 26** **ELECTRICAL** Not Used

Section No.	Title	Page Count
26 05 00	Common Work Results for Electrical	5

**DIVISION 27** **COMMUNICATIONS** Not Used

Section No.	Title	Page Count
	Unused	

**VOLUME 1 of 1  
(continued)**

<b>DIVISION 28</b>	<b>ELECTRONIC SAFETY AND SECURITY</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
--------------------	---------------------------------------	---

Section No.	Title	Page Count
	Unused	

DIVISION 29	RESERVED	
-------------	----------	--

DIVISION 30	RESERVED	
-------------	----------	--

<b>DIVISION 31</b>	<b>EARTHWORK</b>	<b>Not Used</b> <input type="checkbox"/>
--------------------	------------------	--

Section No.	Title	Page Count
31 25 00	Sedimentation and Erosion Controls	4

<b>DIVISION 32</b>	<b>EXTERIOR IMPROVEMENTS</b>	<b>Not Used</b> <input type="checkbox"/>
--------------------	------------------------------	--

Section No.	Title	Page Count
32 13 16	Bituminous Concrete Paving	4
32 13 13	Concrete Paving	12
32 31 50	Bollards	1

<b>DIVISION 33</b>	<b>UTILITIES</b>	<b>Not Used</b> <input type="checkbox"/>
--------------------	------------------	--

Section No.	Title	Page Count
33 44 16	Trench Drains	2

<b>DIVISION 34</b>	<b>TRANSPORTATION</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
--------------------	-----------------------	---

Section No.	Title	Page Count
	Unused	

<b>DIVISION 35</b>	<b>WATERWAYS AND MARINE</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
--------------------	-----------------------------	---

Section No.	Title	Page Count
	Unused	

DIVISION 36	RESERVED	
-------------	----------	--

DIVISION 37	RESERVED	
-------------	----------	--

DIVISION 38	RESERVED	
-------------	----------	--

DIVISION 39	RESERVED	
-------------	----------	--



<b>VOLUME 1 of 1 (continued)</b>
--------------------------------------

<b>DIVISION 40</b>	<b>PROCESS INTEGRATION</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	Unused	

<b>DIVISION 41</b>	<b>MATERIAL PROCESSING</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	Unused	

<b>DIVISION 42</b>	<b>PROCESS HEATING, COOLING, AND DRYING</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	Unused	

<b>DIVISION 43</b>	<b>PROCESS GAS AND LIQUID HANDLING, PURIFICATION, AND STORAGE EQUIPMENT</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	Unused	

<b>DIVISION 44</b>	<b>POLLUTION CONTROL EQUIPMENT</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	Unused	

<b>DIVISION 45</b>	<b>INDUSTRY SPECIFIC MANUFACTURING EQUIPMENT</b>	<b>Not Used</b> <input checked="" type="checkbox"/>
<b>Section No.</b>	<b>Title</b>	<b>Page Count</b>
	Unused	

<b>DIVISION 46</b>	<b>RESERVED</b>	
--------------------	-----------------	--

<b>DIVISION 47</b>	<b>RESERVED</b>	
--------------------	-----------------	--

<b>DIVISION 48</b>	<b>RESERVED</b>	
--------------------	-----------------	--

<b>DIVISION 49</b>	<b>RESERVED</b>	
--------------------	-----------------	--

**VOLUME 1 of 1**  
 (continued)

DIVISION 50	PROJECT-SPECIFIC AVAILABLE INFORMATION	Page Count	Not Used <input type="checkbox"/>
50 10 00	Existing Conditions Information	2	<input type="checkbox"/>
50 20 00	Environmental Assessment Information		<input checked="" type="checkbox"/>
50 30 00	Hazardous Building Materials Inspection and Inventory		<input checked="" type="checkbox"/>
50 40 00	Subsurface Geotechnical Report		<input checked="" type="checkbox"/>
50 50 00	Elevator Agreement		<input checked="" type="checkbox"/>
50 60 00	FM Global Checklist For Roofing Systems		<input checked="" type="checkbox"/>
50 70 00	Statement of Special Inspections		<input checked="" type="checkbox"/>
50 80 00	Other Information:		<input checked="" type="checkbox"/>

00 01 10 Table of Contents

List of Drawing Sheets	
Sheet No.	Title
--	COVER SHEET
B1.01	EXISTING CONDITIONS PLAN
B1.02	EXISTING CONDITIONS PLAN
C1.01	OVERALL SITE PLAN
C1.02	ENLARGED SITE PLAN
M0.01	ABBREVIATIONS & SYMBOLS
MP1.01	DEMOLITION DRAWING
MP1.02	NEW WORK DRAWING
MP5.01	DETAILS AND SCHEDULES
MP5.02	DETAILS
MP6.01	FLOW DIAGRAMS
MP6.02	CONTROLS
E1.01	ELECTRICAL SITE PLAN

**End of Section  
 00 01 15 List of Drawing Sheets**





Advertisement No.:	20-03-I	Advertisement Date:	March 13, 2020
--------------------	---------	---------------------	----------------

<p><b>INVITATION TO BID</b></p> <p>Connecticut Department of Administrative Services (DAS) Construction Services (CS) Office of Legal Affairs, Policy and Procurement 450 Columbus Blvd, Suite 1302, Hartford, CT 06103-1835</p>
--

<b>Find Invitations to Bid on the State Contracting Portal:</b>	Go to the <b>DAS website</b> <a href="http://www.ct.gov/das">www.ct.gov/das</a> Click on “ <b>State Contracting Portal</b> ”; Select “ <b>Administrative Services, Construction Services</b> ”; Select the appropriate <b>Invitation to Bid</b> .
---	--

<b>Instructions for On-Line Bidding:</b>	Follow the instructions in <a href="http://portal.ct.gov/-/media/DAS/Construction-Services/DAS-CS-Library/6000-Series/6001-Construction-On-Line-Bidding-Instructions.pdf">6001 Construction On-line Bidding Instructions</a> . ( <a href="http://portal.ct.gov/-/media/DAS/Construction-Services/DAS-CS-Library/6000-Series/6001-Construction-On-Line-Bidding-Instructions.pdf">http://portal.ct.gov/-/media/DAS/Construction-Services/DAS-CS-Library/6000-Series/6001-Construction-On-Line-Bidding-Instructions.pdf</a> ) For questions, call 860-713-5794.
--	--

<b>Date and Time of Bid Opening:</b>	<table border="1" style="display: inline-table; margin-right: 10px;"> <tr><td style="text-align: center;"><b>April</b></td></tr> <tr><td style="text-align: center;"><i>(Month)</i></td></tr> </table> <table border="1" style="display: inline-table; margin-right: 10px;"> <tr><td style="text-align: center;"><b>15</b></td></tr> <tr><td style="text-align: center;"><i>(Day)</i></td></tr> </table> <table border="1" style="display: inline-table; margin-right: 10px;"> <tr><td style="text-align: center;"><b>2020</b></td></tr> <tr><td style="text-align: center;"><i>(Year)</i></td></tr> </table>	<b>April</b>	<i>(Month)</i>	<b>15</b>	<i>(Day)</i>	<b>2020</b>	<i>(Year)</i>	<b>Time:</b>	<table border="1" style="display: inline-table; margin-right: 10px;"> <tr><td style="text-align: center;"><b>1:00</b></td></tr> <tr><td style="text-align: center;"><i>(ET)</i></td></tr> </table> <b>PM</b>	<b>1:00</b>	<i>(ET)</i>
<b>April</b>											
<i>(Month)</i>											
<b>15</b>											
<i>(Day)</i>											
<b>2020</b>											
<i>(Year)</i>											
<b>1:00</b>											
<i>(ET)</i>											

<b>This Invitation to Bid is for the following Project:</b>
---

<b>Project Title:</b>	Lower Garage Ramp Restoration		
<b>Project Location:</b>	505 Hudson Street Hartford, CT		
<b>Project Number:</b>	BI-2B-438 (Re-Bid)		
<b>Project Description:</b>	See <b>Specifications</b> Section 01 11 00 Summary of Work, Section 1.3		
<b>Construction Costs:</b>	Less Than or Equal To \$500,000		
<b>Bidding Limited To:</b>	Current DAS Certified Connecticut Set-Aside Contractors Only		
<b>Threshold Limits:</b> (C.G.S. §29-276b)	This Project DOES NOT exceed Threshold Limits.		
<b>Set Aside Requirements:</b>	SBE Subcontractors and/or Suppliers: None Required; MBE Subcontractors and/or Suppliers: Good Faith Effort		
<b>Date DAS/CS Began Planning Project:</b>	6-15-2017		
<b>Special Requirements:</b>	N/A		
<b>Cost Estimate Range:</b>	\$ 237,500.00	<b>To</b>	\$ 250,000.
<b>Date Plans &amp; Specs Ready:</b>	March 18, 2020		
<b>Plans &amp; Specs Download:</b>	Plans & Specs are available for electronic download on the DAS State Contracting Portal.		
<b>Contract Time Allowed:</b>	<b>Calendar Days:</b>	75	
<b>Liquidated Damages:</b>	\$ 943.00	Per Calendar Day Beyond Substantial Completion.	
	\$ 943.00	Per Calendar Day Beyond 90 days After Substantial Completion	



Advertisement No.:	20-03-I	Advertisement Date:	March 13, 2020
--------------------	---------	---------------------	----------------

<b>Invitation to Bid (continued)</b>
--------------------------------------

<b>Pre-Bid Meeting Date:</b>	March 25, 2020		
	<input type="checkbox"/>	Bidders are <b><i>strongly encouraged</i></b> to attend the Pre-Bid Meeting.	
	<input checked="" type="checkbox"/>	Bidders are <b><i>required</i></b> to attend a <b>MANDATORY</b> Pre-Bid Meeting.	
<b>Pre-Bid Meeting Time:</b>	09:30	<input checked="" type="checkbox"/> <b>AM</b>	<input type="checkbox"/> <b>PM</b>
<b>Pre-Bid Meeting Location:</b>	505 Hudson Street, Hartford, CT – Meet at the Security Desk (Lobby)		
<b>Pre-Bid Meeting Contact:</b>	<b>DAS/CS Project Manager:</b>	Ashour Gevargisnia	
	<b>Phone No.:</b>	860.713.5639	
<b>Pre-Bid Meeting Registration:</b>	At the Pre-Bid Meeting, all prospective bidders shall <i>sign</i> his or her name on the <b>official roster</b> and <i>list</i> the name and address of the company he or she represents. For <b>MANDATORY</b> Pre-Bid Meetings, this shall be done no later than the designated <b>start time</b> of the Pre-Bid Meeting. <b>No</b> attendee will be allowed to register <i>after</i> the advertised start time. <b>Bids</b> submitted by contractors who have <i>not properly</i> registered and attended the <b>MANDATORY</b> Pre-Bid Meeting <i>shall be rejected as non-responsive</i> . See <b>Section 00 25 13 Pre-Bid Meeting Agenda</b> for additional details.		
<b>Bid Proposal Submission and Other Bid Submittal Requirements:</b>	See <b>Sections 00 21 13 Instructions to Bidders, 00 41 00 Bid Proposal Form, and 00 41 10 Bid Package Submittal Requirements</b> for Bid Proposal submission requirements, including requirements for Affidavits, Certifications, Addenda, Pre-Bid Equals and Substitution Requests, and other bidding documents.		
<b>Bid Upload and Bid Opening:</b>	Bids can be uploaded and edited electronically in BizNet <b>UNTIL 1:00 p.m.</b> on the <b>Bid Opening Date</b> and thereafter shall be locked down and publicly opened in the State Contracting Portal.		
<b>Bid Results:</b>	Within approximately two (2) days after the Bid Opening Date, the Bid Results will be posted on the State Contracting Portal.		
<b>Guide to the Code of Ethics For Current or Potential State Contractors (for contracts greater than \$500,000):</b>	Anyone seeking a contract with a value of more than \$500,000 shall electronically download the <b>“Guide to the Code of Ethics For Current or Potential State Contractors”</b> from the of Office of State Ethics (OSE) website <a href="http://www.ct.gov/ethics">www.ct.gov/ethics</a> , then click on the <b>“Publications”</b> link:		
<b>Prevailing Wage Rates:</b>	<p>Prevailing wages are required on this project, in accordance with the schedule provided in the bid documents, pursuant to Connecticut General Statutes (C.G.S.) Section 31-53 (a) through (h), as amended. See Section 00 73 44 Prevailing Wage Rates.</p> <p>Each contractor who is awarded a contract on or after October 1, 2002 shall be subject to provisions of C.G.S. § 31-55a concerning annual adjustments to prevailing wages.</p> <p>Wage Rates will be posted each July 1st on the Department of Labor website <a href="http://www.ctdol.state.ct.us">www.ctdol.state.ct.us</a>. Such prevailing wage adjustment shall <b>not</b> be considered a matter for any contract amendment.</p>		
<b>To access Executive Orders:</b>	Go to <a href="http://www.ct.gov">www.ct.gov</a> > Governor Ned Lamont > Executive Orders.		
<b>UPDATED DOCUMENTS:</b>	<p>Many <b>Division 00</b> and <b>Division 01</b> documents have been updated. Read all of the contents of the Project Manual <b>carefully!</b></p> <p>All Contractors are cautioned that any modifications or alterations made to either the Project Manual or any of the forms and documents contained herein may be just cause to <b>reject the bid!</b></p>		



Advertisement No.:	20-03-I	Advertisement Date:	March 13, 2020
--------------------	---------	---------------------	----------------

### Invitation to Bid (continued)

**NEW PROCESS FOR CONSTRUCTION STORMWATER GENERAL PERMIT:**

See Section 01 50 00 Temporary Facilities and Controls.

For all DAS/CS construction projects disturbing **one or more total acres of land area** on a site regardless of project phasing, the **Architect/Engineer** shall be responsible for filing a Department of Energy and Environmental Protection (DEEP) *General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities (DEEP-WPED-GP-015)* registration and Stormwater Pollution Control Plan (SPCP) through the online DEEP ezFile Portal **prior** to bidding.

Once the **Contractor** is under contract with DAS/CS, and **prior** to the commencement of any construction activities, the Contractor (and all other contractors and subcontractors listed on the SPCP) shall assume responsibility for storm water pollution control and conform to the general permit obligations and requirements by **signing** the SPCP "Contractor Certification Statement" and License Transfer Form as directed by the Architect/Engineer.

At completion of the project, the Contractor shall file a Notice of Termination (DEP-PED-NOT-015) with the DEEP in order to terminate the Construction Stormwater General Permit. A project shall **only** be considered complete after all **post-construction** measures are installed, cleaned, and functioning and the site has been stabilized for at least **three (3) months** following the cessation of construction activities.

**NEW PROCESS FOR CONTRACTOR AND SUBCONTRACTOR PAYMENTS REPORTING:**

See Section 00 21 13 Instructions to Bidders (Subsection 3.13) and Section 0111 00 Summary of Work (Subsection 1.11).

For compliance with **C.G.S. § 4b-95 and 49-41**, DAS/CS requires every Contractor (and its Subcontractors) who has been awarded a DAS/CS construction contract to log on to the State of Connecticut web-based platform, BizNet, **each month** and **enter payments** they have received from the state, from the Contractor, or from a higher tier Subcontractor (as applicable).

The process is described as follows: The state will pay the Contractor on a monthly basis for work performed (and purchases made) by it and its Subcontractors. The Contractor will input the payment date and amount they receive from the state on a monthly basis. The Contractor's first-level Subcontractor (Tier 1 Subcontractor) will input the payment they receive from the Contractor. The second-level Subcontractor (Tier 2 Subcontractor) will input the payment they receive from the Tier 1 Subcontractor. And so on.

Contractors awarded a DAS/CS construction contract shall contain a **provision in their subcontract agreements** requiring their Subcontractors to enter payment receipt from the Contractor in the State of Connecticut web-based platform, BizNet, for work performed or purchases made in relation to state projects.

Detailed instructions can be found in the DAS/CS manual, "**6002 Instructions to Contractors/Subcontractors for Entering Payments in BizNet**", available for download by going to the DAS Homepage ([www.ct.gov/DAS](http://www.ct.gov/DAS)) and selecting Doing Business With The State > State Building Construction > Publications and Forms > DAS Construction Services Library > 6000 Series.

**IMPORTANT NOTE:**

*The Commissioner of the Connecticut Department of Administrative Services reserves the right to do any of the following without liability, including but not limited to: (a) waive technical defects in the bid proposal as he or she deems best for the interest of the State; (b) negotiate with a contractor in accordance with Connecticut General Statutes Section 4b-91; (c) reject any or all bids; (d) cancel the award or execution of any contract prior to the issuance of the "Notice To Proceed"; and (e) advertise for new bids.*

**All Project Questions, Bid Questions, and Pre-Bid Equals and Substitution Requests must be submitted fourteen (14) Calendar Days prior to the Bid Due Date.**

All **Project Questions** and **Pre-Bid Equals and Substitution Requests** must be emailed (not phoned) to the **Architect/Engineer** with a **copy** to the **Construction Administrator** and the **DAS/CS Project Manager** listed below.

<b>Architect/Engineer:</b>	Freeman Companies, LLC	<b>Email:</b>	jlebeau@freemancos.com
<b>Construction Administrator:</b>	Freeman Companies, LLC	<b>Email:</b>	jlebeau@freemancos.com
<b>DAS/CS Project Manager:</b>	Ashour Gevargisnia	<b>Email:</b>	Ashour.Gevargisnia@ct.gov
All <b>Bid Questions</b> must be emailed to the <b>DAS/CS Associate Fiscal Administrative Officer</b> listed below.			
<b>DAS/CS Associate Fiscal Administrative Officer:</b>	Mellanee Walton	<b>Email:</b>	Mellanee.Walton@ct.gov





## Instructions to Bidders

DAS | Construction Services | Office of Legal Affairs, Policy, and Procurement

<b>1.0 General Bid Proposal Information</b>	
<b>1.1 On-Line Bidding:</b>	
<b>1.1.1</b>	The Department of Administrative Services (DAS) Construction Services (CS) has streamlined the Bid process by allowing contractors to submit their <b>Bid Package Documents on line</b> through the <b>State Contracting Portal</b> and <b>BizNet</b> . Rather than submitting paper Bid Package Documents, contractors simply respond to an <b>Invitation to Bid</b> on the <b>State Contracting Portal</b> by retrieving and uploading their documents electronically through their <b>BizNet</b> account. Once completed, the Bid Proposal must be <b>electronically signed prior</b> to the date and time of the <b>Bid Opening</b> . See <b>Page 1</b> of the <b>Invitation to Bid</b> for the <b>Date and Time of the Bid Opening</b> .
<b>1.1.2</b>	All Bidders shall <b>electronically</b> upload their <b>Bid Package Documents</b> to BizNet following the <b>instructions</b> in the DAS/CS publication, <a href="#">6001 Construction On-line Bidding Instructions</a> , available for download here: Go to the DAS Homepage ( <a href="http://www.ct.gov/DAS">www.ct.gov/DAS</a> ), Doing Business With The State > State Building Construction > Publications and Forms > DAS Construction Services Library > 6000 Series > <b>6001 Construction On Line Bidding Instructions</b> . For questions, call 860-713-5794.
<b>1.2 Bid Opening:</b>	
All Bids shall be publicly opened in BizNet by the awarding authority as stated in <b>Section 00 11 16 Invitation to Bid</b> .	
<b>1.3 Withdrawal of Bid:</b>	
Any <b>Bid</b> once uploaded into BizNet cannot be deleted. A Bid may only be <b>withdrawn</b> by uploading a written <b>Letter of Withdrawal</b> to BizNet using the " <b>Other Solicitation Document</b> " link <b>prior</b> to the date and time of the Bid Opening.	
<b>1.4 Disqualification from Bidding:</b>	
Any contractor who violates any provision of <b>Connecticut General Statutes (C.G.S.) § 4b-95</b> , as revised, shall be <b>disqualified</b> from bidding on other contracts for a period not to exceed <b>twenty-four (24) months</b> , commencing from the date on which the violation is discovered, for each violation.	
<b>1.5 Waive Minor Irregularities:</b>	
<b>1.5.1</b>	The awarding authority <b>shall</b> be authorized to <b>waive minor irregularities</b> which he or she considers in the best interest of the State, provided the reasons for any such waiver are stated in writing by the awarding authority and made a part of the contract file.
<b>1.5.2</b>	<b>No</b> such bid shall be rejected because of the failure to submit prices for, or information relating to, any item or items for which no specific space is provided in the bid form furnished by the awarding authority, but this sentence shall not be applicable to any failure to furnish prices or information required by <b>C.G.S. § 4b-95</b> , as revised, to be furnished in the bid form provided by the awarding authority.
<b>1.6 Minimum Percentage of Work:</b>	
The awarding authority <b>may</b> require in the <b>Bid Proposal Form</b> that the contractor agree to perform a stated, minimum percentage of work with its <b>own forces</b> , in accordance with <b>C.G.S. § 4b-95(b)</b> .	
<b>1.7 Set-Aside Contracts:</b>	
The awarding authority <b>may also</b> require the contractor to set aside a portion of the contract for subcontractors who are eligible for <b>set-aside contracts</b> .	
<b>1.8 Connecticut Sales And Use Taxes:</b>	
<b>1.8.1</b>	<b>All Bidders shall</b> familiarize themselves with the current statutes and regulations of the <b>Connecticut Department of Revenue Services (DRS)</b> , including the Regulations of Connecticut State Agencies (R.C.S.A.) §12-426-18 and all relevant state statutes. The tax on materials or supplies exempted by such statutes and regulations shall not be included as part of a bid. See the <b>Sales and Use Tax Exemption for Purchases by Qualifying Governmental Agencies (CERT-134)</b> , available for download from the DRS website ( <a href="http://www.ct.gov/drs">www.ct.gov/drs</a> ) under "Exemption Certificates".
<b>1.8.2</b>	The State of Connecticut construction contract has the following tax exemptions: (1) Purchasing of materials which will be physically incorporated and become a permanent part of the project; and (2) Services that are resold by the contractor. For example, if a Contractor hires a plumber, carpenter or electrician, a resale certificate may be issued to the subcontractor because these services are considered to be integral and inseparable component parts of the building contract.
<b>1.8.3</b>	The following items are <b>not</b> exempt from taxes when used to fulfill a State of Connecticut construction contract: Tools, supplies and equipment used in fulfilling the construction contract.

<b>1.9 Union Labor:</b>	
Attention is called to the fact that there may or could be construction work carried on at the site by union labor. This fact must be kept in mind by all Bidders.	
<b>1.10 Rejection of Bids:</b>	
The awarding authority <i>shall reject</i> every such <b>Bid Proposal</b> , including but not limited to, the following reasons:	
<b>1.10.1</b>	A <b>Bid Proposal Form</b> that does <i>not</i> contain the signature of the bidder or its authorized representative.
<b>1.10.2</b>	A <b>Bid Proposal Form</b> that is <i>not</i> accompanied by the following documents in BizNet: <ul style="list-style-type: none"> <li><b>.1</b> <b>Section 00 43 16 Standard Bid Bond</b>, completed for <i>either</i> the <b>Bid Bond</b> option <i>or</i> <b>Certified Check</b> option;</li> <li><b>.2</b> A <b>Certified Check</b> (if applicable) delivered to the DAS/CS Office of Legal Affairs, Policy, and Procurement <i>prior</i> to the date and time of the Bid Opening;</li> <li><b>.3</b> <b>Section 00 45 14 General Contractor Bidder's Qualification Statement</b></li> <li><b>.4</b> A <b>DAS Contractor Prequalification Certificate</b> for the Bidder for Projects <i>greater</i> than <b>\$500,000</b>;</li> <li><b>.5</b> A <b>DAS Update (Bid) Statement</b> for the Bidder for Projects <i>greater</i> than <b>\$500,000</b>;</li> <li><b>.6</b> A <b>Gift and Campaign Contribution Certification – Office of Policy and Management (OPM) Ethics Form 1</b>;</li> <li><b>.7</b> A <b>Consulting Agreement Affidavit – OPM Ethics Form 5</b>. <b>NOTE: If the Bidder fails to submit or upload the Consulting Agreement Affidavit</b> required under C.G.S. § 4a-81, such bidder shall be <i>disqualified</i> and the award shall be made to the next lowest responsible qualified bidder or new bids or proposals shall be sought;</li> <li><b>.8</b> An <b>Ethics Affidavit (Regarding State Ethics) – OPM Ethics Form 6</b>;</li> <li><b>.9</b> An <b>Iran Certification – OPM Ethics Form 7</b>.</li> </ul>
<b>1.10.3</b>	A <b>Bid Proposal Form</b> that: <ul style="list-style-type: none"> <li><b>.1</b> Fails to <b>acknowledge</b> all <b>Addenda</b> in the space provided in the <b>Bid Proposal Form</b>;</li> <li><b>.2</b> Fails to <b>correctly list <u>ALL</u> of the Named Subcontractors within a particular Class of Work</b> on the <b>Bid Proposal Form for subcontracts in excess of \$100,000</b>;</li> <li><b>.3</b> Fails to <b>correctly state a Named Subcontractor's price</b> on the <b>Bid Proposal Form</b>; and</li> <li><b>.4</b> Fails to <b>list Named Subcontractors</b> who are <b>DAS Prequalified at the time of the bid</b>.</li> </ul>
<b>1.10.4</b>	A <b>Bid Proposal Form</b> that is <i>not</i> submitted on the <b>forms furnished</b> for the specific project. <b>NOTE: In no event will bids or changes in bids be made by telephone, telegraph, facsimile or other communication technology except through BizNet. All pages of the Bid Proposal Form must be uploaded to BizNet prior to the date and time of the Bid Opening.</b>
<b>1.10.5</b>	A <b>Bid Proposal Form</b> that has omitted items, omitted pages, added items not called for, altered the form, contains conditional bids, contains alternative bids, or contains obscure bids.
<b>1.10.6</b>	A <i>paper Bid Package</i> sent to the DAS/CS Office of Legal Affairs, Policy, and Procurement. Such bids will be returned to the bidder unopened.
<b>1.10.7</b>	<b>Any Bidder</b> that does <i>not</i> make all required <b>pre-award submittals</b> <i>within</i> the designated time period. DAS/CS <i>may</i> reject such bids as <b>non-responsive</b> .
<b>1.11 Pre-Bid Meeting:</b>	
<b>1.11.1</b>	See <b>Section 00 11 16 Invitation to Bid</b> and <b>Section 00 25 13 Pre-Bid Meeting Agenda</b> for details.
<b>1.11.2</b>	When a <b>Pre-Bid Meeting</b> is “ <b>strongly encouraged</b> ”, all attendees shall sign his or her name to the official roster and list the name and address of the company he or she represents.
<b>1.11.3</b>	When a <b>Pre-Bid Meeting</b> is <b>MANDATORY</b> , all attendees will be required to register. <b>Proper registration</b> means that the attendee has signed his or her name to the official roster and listed the name and address of the company he or she represents on the official roster no later than the designated start time of the <b>MANDATORY Pre-Bid Meeting</b> . Bidders are advised to register early as <b>no</b> attendee will be allowed to register <i>after</i> the advertised start time of the <b>MANDATORY Pre-Bid Meeting</b> .  <b>All bids submitted by all contractors who have not properly registered and attended the MANDATORY Pre-Bid Meeting shall be rejected as non-responsive.</b>
<b>1.11.4</b>	<b>All Bidders Attending a Pre-Bid Meeting at a Connecticut Department of Corrections (DOC) Facility: Prior to the Pre-Bid Meeting</b> , download the “ <b>Security Background Questionnaire</b> ” from the <b>CT DOC website (<a href="http://www.ct.gov/doc">www.ct.gov/doc</a> under “Forms”)</b> , complete and submit the form as directed, and obtain approval, otherwise admission to the Pre-Bid Meeting <b>will be denied</b> . It is recommended that the approved form be brought as evidence of approval to attend the Pre-Bid Meeting.

<b>1.12 Pre-Bid Equals and Substitution Requests Procedures:</b>	
<b>1.12.1</b>	All submissions requesting "Equals and/or Substitutions" shall be made by the <b>Bidder</b> in accordance with <b>Section 01 25 00 Substitution Procedures</b> of the <b>Division 01 General Requirements and Article 15, Materials: Standards of Section 00 72 13 General Conditions</b> . Every submission shall contain all the information necessary for DAS/CS to evaluate the submission and the request. Failure to submit sufficient information to make a proper evaluation, including submittal of data for the first manufacturer listed as well as the data for the " <b>Equals and/or Substitutions</b> " proposed, shall result in a <b>rejection</b> of the submission and request. Upon receipt of the submission and request, DAS/CS shall notify the <b>Bidder</b> that the request has been received and as soon as possible shall render a decision on such submission and request.
<b>1.12.2</b>	<b>Pre-Bid-Opening Substitution of Materials and Equipment:</b> The Owner will consider requests for equals or substitutions <i>if received fourteen (14) Calendar Days prior</i> to the <b>Bid Opening Due Date</b> , as stated in the <b>Invitation To Bid</b> . The <b>Equal or Substitute Product Request (Form 7001)</b> must be used to submit requests. Download <b>Form 7001</b> from the DAS Homepage ( <a href="http://www.ct.gov/DAS">www.ct.gov/DAS</a> ) > Doing Business With The State > State Building Construction > Publications and Forms > DAS Construction Services Library > 7000 Series.
<b>1.12.3</b>	<b>Equals and/or Substitutions Requests Submittal:</b> Requests for Equals or Substitutions shall be submitted to the <b>DAS/CS Project Manager, Architect / Engineer, and Construction Administrator</b> .
<b>1.12.4</b>	<b>Substitution Request Deadline:</b> Any substitution request not complying with requirements will be denied. Substitution requests sent <b>after</b> the <b>Deadline</b> will be denied.
<b>1.12.5</b>	<b>Addendum:</b> An Addendum shall be issued to inform all prospective bidder of any accepted substitution in accordance with our addenda procedures.
<b>1.12.6</b>	<b>Time Extensions:</b> No extensions of time will be allowed for the time period required for consideration of any Substitution or Equal.
<b>1.12.7</b>	<b>Post Contract Award Substitution of Materials and Equipment:</b> All requests for "Equals and Substitutions" <b>after</b> the Award of the Contract shall be made <b>only</b> by the <b>Prime Contractor</b> for materials or systems specified that are no longer available. The requests will not be considered if the product was not purchased in a reasonable time after award, in accordance with <b>Article 15, Materials: Standards of Section 00 72 13 General Conditions</b> .
<b>1.13 Joint Ventures:</b>	
<b>1.13.1</b>	<b>Each entity</b> in a Joint Venture shall submit with the Venture's bid a <b>letter</b> on their respective company letterheads stating: <ul style="list-style-type: none"> <li>· Their <b>agreement to bid</b> as a Joint Venture with the other named Joint Venture, and set forth the <b>name and address</b> of the other Joint Venture(s).</li> <li>· The <b>respective percentage of the project work</b> that would be the responsibility of each of the Joint Ventures.</li> </ul>
<b>1.13.2</b>	<b>Prequalification: Each entity</b> in a Joint Venture shall submit its <b>Prequalification Certificate and Update (Bid Statement)</b> . <b>Each entity</b> in a Joint Venture shall be <b>prequalified</b> at the time of the bid and during the entire project construction. <b>Each entity</b> in a Joint Venture shall have the <b>prequalification single project limit</b> , and <b>remaining aggregate capacity balance</b> to meet the value of its respective percentage of the joint proposed bid.
<b>1.13.3</b>	<b>Each entity</b> in a Joint Venture shall submit <b>Section 00 45 14 General Contractor Bidder's Qualification Statement</b> .
<b>1.13.4</b>	<b>Bonding:</b> The Joint Venture shall obtain the required <b>bonding</b> from a surety for the <b>total amount</b> of the contract price.
<b>1.13.5</b>	<b>Insurance: Each entity</b> in a Joint Venture shall have the <b>required insurance coverages and limits</b> to meet the insurance requirements of the contract. The Joint Venture shall provide <b>Builder's Risk insurance</b> .
<b>1.13.6</b>	<b>Bid Submission and Contract Signing:</b> If a Joint Venture submits a bid proposal, it shall be considered to be a proposal by <b>each</b> of the Joint Ventures, jointly and severally, for the performance of the entire contract as a Joint Venture in accordance with the terms and conditions of the contract. <b>Each entity</b> in a Joint Venture is required to <b>sign the contract</b> acknowledging that each Joint Venture shall be jointly and severally liable for the performance of the entire contract.
<b>1.13.7</b>	<b>Certificate of Legal Existence: Each entity</b> in a Joint Venture shall obtain a <b>Certificate of Legal Existence</b> and submit it with the contract documents.
<b>1.14 Procedure for Alleged Violation(s) of Part II Chapter 60 of C.G.S. Bidding and Contracts:</b>	
<b>1.14.1</b>	The Regulations of Connecticut State Agencies establishes a procedure for promptly hearing and ruling on claims alleging a violation or violations of the contract bidding provisions of Part II of Chapter 60 of the Connecticut General Statutes (hereinafter "Chapter 60"). In view of the fact that time is normally of the essence in awarding construction contracts under Chapter 60, the grievance procedures are intended to be quick, informal and conclusive so as to avoid delays which can increase costs and jeopardize the very ability of the State to proceed with needed public works projects.
<b>1.14.2</b>	Download " <b>6510 Procedure for Alleged Violation(s)</b> " and " <b>6505 Petition for Alleged Violation(s)</b> " from the DAS Homepage ( <a href="http://www.ct.gov/DAS">www.ct.gov/DAS</a> ) > Doing Business With The State > State Building Construction > Publications and Forms > DAS Construction Services Library > 6000 Series > Scroll down to locate documents.

<b>1.15 Labor Market Area:</b>	
<b>1.15.1</b>	All Bidders <i>shall</i> have read <b>C.G.S. §§ 31-52 and 31-52a</b> , as revised. These sections relate to the <b>preference of State citizens</b> and the <b>preference of residents of the labor market area</b> in which the work under the contract is to be done and the <b>penalties for violations</b> thereof.
<b>1.15.2</b>	In order to avoid violations by the contractor and to cooperate with and assist the State in the implementation of the statutory mandates, any bidder awarded a contract with the State <b>shall</b> be required to provide the State with the following information: <ul style="list-style-type: none"> <li>.1 The names and addresses of employees utilized by the contractor and by its subcontractors and how long each such employee has resided in Connecticut.</li> <li>.2 How long each employee has resided in the labor market area, as established by the State Labor Commissioner, in which the work under the contract is to be done. Labor market areas are indicated on the end of this section.</li> <li>.3 Within thirty (30) days after the start of work, the contractor <b>shall</b> submit a signed statement setting forth the procedures the contractor and its subcontractors have taken to assure that they have sought out qualified residents of the labor market area. Also, the statement <b>shall</b> include information as to how many persons were considered for employment and how many were actually hired. Such procedures will include, but not be limited to, obtaining names of available persons from area Employment Security Offices.</li> <li>.4 In the same manner as <b>Subsection 1.15.2.3</b> above, the statement <b>shall</b> indicate the steps taken to assure that the contractor and its subcontractors have sought out qualified residents of this State.</li> </ul>
<b>1.15.3</b>	The contractor <b>shall</b> cooperate with and provide information to the DAS/CS Project Manager or their designee assigned to collect and verify the information required. The State may request that all such information be updated during the term of the contract at reasonable times.
<b>1.15.4</b>	All such information gathered and compiled by the State <b>shall</b> be forwarded to the Labor Commissioner.
<b>1.15.5</b>	<b>Pursuant to C.G.S. § 31-52b, as revised:</b> <p style="padding-left: 40px;">“The provisions of C.G.S. § 31-52 and 31-52a <b>shall not</b> apply where the State or any subdivision thereof may suffer the loss of revenue granted or to be granted from any agency or department of the federal government as a result of said sections or regulative procedures pursuant thereto.”</p> <p>However, no exception shall be determined to be applicable unless stated in writing by the Commissioner of the Department of Administrative Services.</p>
<b>1.15.6</b>	<b>Website Link:</b> For guidance on the CT DOL Labor Market Areas (LMA) go to the CT DOL website <a href="http://www.ctdol.state.ct.us/">http://www.ctdol.state.ct.us/</a> , under “Program Services”, click on “Labor Market information”.
<b>1.16 Executive Orders:</b>	
<b>1.16.1</b>	All Executive Orders of which are incorporated into and are made a part of the Contract as if they had been fully set forth in it. The Contract is subject to the provisions of the following: <ul style="list-style-type: none"> <li>.1 <b>Executive Order No. 3:</b> Governor Thomas J. Meskill, promulgated 06/16/71, concerning labor employment practices;</li> <li>.2 <b>Executive Order No. 17:</b> Governor Thomas J. Meskill promulgated 02/15/73, concerning the listing of employment openings;</li> <li>.3 <b>Executive Order No. 16:</b> Governor John G. Rowland promulgated 08/04/99, concerning violence in the workplace;</li> <li>.4 <b>Executive Order No. 14:</b> Governor M. Jodi Rell, promulgated 04/17/06, concerning procurement of cleaning products and services; and</li> <li>.5 <b>Executive Order No. 49:</b> Governor Dannel P. Malloy, promulgated 05/22/15, concerning the requirement for certain state contractors to disclosure campaign contributions to candidates for statewide public office or The General Assembly and to ensure convenient public access to information related to gifts and campaign contribution disclosure affidavits by state contractors.</li> </ul>
<b>1.16.2</b>	All Executive Orders are available for download from the State of Connecticut website. Go to <a href="http://www.ct.gov">www.ct.gov</a> , click on “Governor Ned Lamont” and scroll down to “Executive Orders”.
<b>1.17 Retaliation For Disclosure of Information:</b>	
<b>1.17.1</b>	Each contract between a state or quasi-public agency and a large state contractor shall provide that, if an officer, employee, or appointing authority of a large state contractor takes or threatens to take any personnel action against any employee of the contractor in <b>retaliation</b> for such employee's <b>disclosure</b> of information to the Auditors of Public Accounts or the Attorney General under the provisions of <b>C.G.S. § 4-61dd (a)</b> , the contractor shall be liable for a civil penalty of not more than five thousand dollars for each offense, up to a maximum of twenty per cent of the value of the contract. Each violation shall be a separate and distinct offense and in the case of a continuing violation each calendar day's continuance of the violation shall be deemed to be a separate and distinct offense. The executive head of the state or quasi-public agency may request the Attorney General to bring a civil action in the Superior Court for the judicial district of Hartford to seek imposition and recovery of such civil penalty.
<b>1.17.2</b>	Each large state contractor shall post a <b>notice</b> of the provisions of <b>C.G.S. § 4-61dd</b> relating to large state contractors in a conspicuous place that is readily available for viewing by the employees of the contractor.



**1.18 Laws of the State of Connecticut:**

Forum and Choice of Law. The Bidder agrees that in the event it is awarded a Contract, the Bidder and the State deem the Contract to have been made in the City of Hartford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Contract to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by Federal law or the laws of the State of Connecticut do not bar an action against the State, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Hartford only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut. The Bidder waives any objection which it may now have or will have to the laying of venue of any claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.

**1.19 State's Sovereign Immunity:**

Nothing in this Agreement shall be construed as a waiver or limitation upon the **State's sovereign immunity**. To the extent this Section is found to be inconsistent with any other part of this Agreement, this Section shall control. This Section of the Agreement shall survive the completion and/or termination of this Agreement.

**2.0 Bid Proposal Form Instructions:**

**2.1 Bid Proposal Form:**

**2.1.1 All Bidders** shall upload **ALL** pages of **Section 00 41 00 Bid Proposal Form** to BizNet, prior to the date and time of the Bid Opening.

**2.2 Threshold Projects:**

**2.2.1** See **page 1** of the **Bid Proposal Form** to determine if this Project exceeds the **Threshold Limits**.

**2.2.2** If this Project exceeds Threshold Limits, **all Bidders** shall list their Firm's **Major Contractor Registration License Number** in the **Bid Proposal Form**.

**2.2.3** The **Apparent Low Bidder** shall also provide the Subcontractor(s) **Major Contractor Registration License** number(s) to the DAS/CS Office of Legal Affairs, Policy, and Procurement within **ten (10) business days after** receipt of the Letter of Intent from DAS/CS.

**2.2.4 Summary of Registration Requirements for Major Contractors:** Any person engaged in the business of construction, structural repair, structural alteration, dismantling or demolition of a structure or addition that exceeds the threshold limits provided in **C.G.S §29-276b**, or any person who, under the direction of a general contractor, performs or offers to perform any work that impacts upon the structural integrity of a structure or addition, including repair, alteration, dismantling or demolition of a structure or addition that exceeds the threshold limits shall engage in or offer to perform the work of a Major Contractor unless such person has first obtained a license or certificate of registration from the Connecticut Department of Consumer Protection (DCP). Individuals must be licensed under the requirements of **C.G.S §20-341gg "Registration of Major Contractors"**. DCP shall issue a certificate of registration to any person who is prequalified pursuant to section 4a-100 who applies for registration in accordance with this section.

**2.2.5** The Bidder and all Subcontractors that engage in work that impacts upon the structural integrity of a structure or addition must register as a **Major Contractor** with DCP and obtain a **Major Contractor License** issued by DCP **PRIOR** to the date and time of the Bid Opening for this Project.

**2.2.6** For further information go to the DCP Website: [www.ct.gov/dcp](http://www.ct.gov/dcp).

**2.3 Proposed Lump Sum Base Bid, Allowances, and Contingent Work:**

**2.3.1** The proposed **Lump Sum Base Bid** shall be set forth in the space **provided on Section 00 41 00 Bid Proposal Form**.

**2.3.2** The **Proposed Lump Sum Base Bid** shall *include* all **Allowances**, all work indicated on the drawings and/or described in the specifications *except* for **Contingent Work**. See the **Bid Proposal Form, Section 01 20 00 Contract Considerations, and Section 01 23 13 Supplemental Bids** of Division 01 General Requirements for details regarding **Contingent Work**.

**2.3.3** "**Contingent Work**" includes **Unit Prices** (for Earth and Rock Excavation, Environmental Remediation, and/or Hazardous Building Materials Abatement) and **Supplemental Bids**. See **Section 01 20 00 Contract Considerations** and **Section 01 23 13 Supplemental Bids**, respectively, for applicability.

**2.3.4** The **Proposed Lump Sum Base Bid** shall be shown in *both numerical figures* and "**printed**" words **dollar amount**. In the event of any discrepancy the "**printed**" words **dollar amount** shall govern.

<b>2.4 Addenda and Interpretations:</b>	
2.4.1	The <b>Number of Addenda</b> issued by the State of Connecticut shall be set forth in the space provided on the <b>Bid Proposal Form</b> . It shall be the Bidder's responsibility to make inquiry as to, and to obtain, the Addenda issued, if any.
2.4.2	<b>Addenda</b> , if issued, will be posted on the State Contracting Portal.
2.4.3	<b>Failure to acknowledge</b> all <b>Addenda</b> in the space provided in the Bid Proposal Form <b>shall</b> be cause for <b>rejection</b> of the bid.
2.4.4	Attaching <b>Addenda</b> to the <b>Bid Proposal Form</b> does <b>not</b> constitute an acknowledgement of all Addenda and does not relieve the Bidder from the requirement for the Bidder to acknowledge all Addenda in the space provided on the Bid Proposal Form.
2.4.5	<b>No interpretations</b> of the meaning of the plans, specifications or other contract documents will be made <b>orally</b> at any time. Every <b>request</b> for such interpretation <b>shall</b> be in <b>writing</b> to the awarding authority and to be given consideration <b>shall</b> be received at least <b>fourteen (14)</b> Calendar Days <i>prior</i> to the date fixed for the opening of bids. Any and all such <b>interpretations</b> and any <b>supplemental instructions</b> will be in the form of written <b>Addenda</b> to the specifications which, if issued, will be posted on the State Contracting Portal.
2.4.6	Contractors who have subscribed through BizNet to receive daily e-mail alert notices when new Bids/RFPs are issued will be notified via a daily CT DAS " <b>Connecticut Procurement Portal Daily Notice</b> ".
<b>2.5 Bidder's Qualification Statement and Objective Criteria for Evaluating Bidders:</b>	
2.5.1	<b>All Bidders</b> shall download, complete, and upload <b>Section 00 45 14 General Contractor Bidder's Qualification Statement</b> to BizNet prior to the date and time of the Bid Opening. See BizNet for a template. This information shall be considered as part of the <b>Bid Proposal Form</b> . Failure of a Bidder to answer any question or provide required information <b>may</b> be grounds for the awarding authority to <b>disqualify</b> and <b>reject</b> the bid.
2.5.2	<b>All Bidders</b> shall comply with <b>Section 00 45 15 Objective Criteria Established for Evaluating Qualifications of Bidders</b> . The <b>Objective Criteria Established for Evaluating Qualifications of Bidders</b> are to assure that the State of Connecticut will secure the "lowest responsible and qualified bidder" who has the ability and capacity to successfully complete the Bid Proposal Form and the Work. Failure to comply with any portion of this requirement <b>may</b> cause <b>rejection</b> of the bid. <b>Note:</b> Individual Specification Sections <b>may</b> contain General Contractor and/or Subcontractor Qualification requirements that <i>exceed</i> those in <b>Section 00 45 15 Objective Criteria Established for Evaluating Qualifications of Bidders</b> .
<b>2.6 Bidder's Prequalification Requirements for Projects exceeding \$500,000:</b>	
2.6.1	<b>All Bidders</b> for Projects with estimated Construction Costs <b>greater</b> than <b>\$500,000</b> shall upload a current copy of their " <b>DAS Prequalification Certificate</b> " and " <b>DAS Update (Bid) Statement</b> " for the applicable <b>Class of Work</b> on <b>page 1</b> of <b>Section 00 11 16 Invitation to Bid</b> to Biznet <i>prior</i> to the date and time of the Bid Opening.
2.6.2	Pursuant to <b>C.G.S. § 4b-91(a)(2)</b> and <b>C.G.S. §4a-100</b> , as revised, every contract for the construction, reconstruction, alteration, remodeling, repair or demolition of any public building or any other public work by the state that is <b>estimated</b> to exceed <b>five hundred thousand dollars (\$500,000)</b> shall be awarded <b>only</b> to the lowest responsible and qualified Bidder who is " <b>prequalified</b> " by DAS in the <b>Class of Work for this Project</b> , as specified in <b>Section 00 11 16 Invitation to Bid</b> . No person who's <b>Contract or Subcontract</b> exceeds <b>\$500,000</b> in value may perform work as a Contractor or Subcontractor, unless the person is <b>prequalified</b> , <i>at the time of bid submission</i> , in accordance with <b>C.G.S. § 4a-100</b> , as amended, <b>C.G.S. § 4b-91(a)(2)</b> , and <b>C.G.S. §4b-91(j)</b> . "Prequalified" includes the contractor's or substantial subcontractor's prequalification classifications, aggregate work capacity ratings and single project limits.
2.6.3	The State may waive minor irregularities that otherwise may cause rejection of a Bid only when waiving such minor irregularities is in the best interests of the State and the minor irregularities have been corrected by the Bidder within seven (7) Calendar Days after the Bid Due Date. Failure to properly <u>complete</u> , <u>sign</u> and <u>upload</u> either the " <b>DAS Prequalification Certificate</b> " or " <b>DAS Update (Bid) Statement</b> " to Biznet prior to the date and time of the Bid Opening <b>shall</b> cause <b>rejection</b> of the bid and shall <b>not</b> be considered a minor irregularity under <b>C.G.S. § 4b-95</b> .
2.6.4	See <b>Section 00 40 15 CT DAS Prequalification Forms</b> for instructions on preparing and/or downloading your Firm's " <b>DAS Contractor Prequalification Certificate</b> " and " <b>DAS Update (Bid) Statement</b> ".
2.6.5	<b>Bidder's Certification:</b> Within <b>ten (10) business days after</b> receipt of the Letter of Intent from DAS/CS, the <b>Apparent Low Bidder shall</b> submit a <b>Bidder's Certification</b> certifying that the information in the bid is true, that there has been no substantial change in the Bidder's financial position or corporate structure since its most recent <b>DAS Prequalification Certificate</b> and <b>DAS Update (Bid) Statement</b> and that the bid was made without fraud or collusion with any person. See <b>Section 00 92 10 Additional Forms</b> of this Project Manual for a sample form.

<b>2.7 Named Subcontractor Requirements:</b>	
2.7.1	All Bid Proposals <b>shall</b> be for the complete work as specified and <b>shall</b> include the names of <b>ALL Subcontractors</b> for the <b>four (4) Classes of Work</b> specified in <b>C.G.S. § 4b-93(a)</b> , as revised, and for each other class of work for which the awarding authority has required a separate section pursuant to said subsection, together with the dollar amounts of their subcontracts, <i>if the subcontracts are in excess of \$100,000</i> . The contractor shall be selected on the basis of such bids.
2.7.2	The <b>Named Subcontractor Bid Price</b> shall be the price set forth in the space provided on the <b>Bid Proposal Form</b> .
2.7.3	No bid shall be rejected because of an error in setting forth the Name of a Subcontractor as long as the Subcontractor or Subcontractors designated are clearly identifiable.
2.7.4	No bid shall be rejected because the <b>Named Subcontractor's</b> plans and specifications do not accompany the bid or are not submitted with the bid.
2.7.5	Failure to correctly state <b>ALL of the Named Subcontractor's prices within a particular Class of Work</b> on the Bid Proposal Form <b>shall</b> be cause for <b>rejection</b> of the Bid.
2.7.6	<b>Named Subcontractor Replacement:</b> The awarding authority may require the <b>Bidder</b> to replace a <b>Named Subcontractor</b> whenever the awarding authority determines in their sole discretion that such <b>replacement</b> is in the <b>best interest of the State</b> .
2.7.7	<b>Named Subcontractor Substitution:</b>
.1	The awarding authority <b>shall not</b> permit <b>substitution</b> of a subcontractor for one <b>Named</b> in accordance with the provisions of <b>C.G.S. § 4b-95</b> , as revised, <b>except</b> for <b>"Good Cause"</b> .
.2	The awarding authority <b>shall not</b> permit <b>substitution</b> of a subcontractor for any designated sub-trade work bid to be performed by the Bidder's own forces in accordance with the provisions of <b>C.G.S. § 4b-95</b> <b>except</b> for <b>"Good Cause"</b> .
.3	<b>"Good Cause":</b> The term "good cause" includes but is not limited to, a subcontractor's or, <b>where appropriate, a Bidder's:</b> (1) death or physical disability, if the listed subcontractor is an individual; (2) dissolution, if a corporation or partnership; (3) bankruptcy; (4) inability to furnish any performance and payment bond shown on the bid form; (5) inability to obtain, or loss of, a license necessary for the performance of the particular category of work; (6) failure or inability to comply with a requirement of law applicable to contractors, subcontractors, or construction, alteration, or repair projects; and (7) failure to perform its agreement to execute a subcontract under C.G.S. § 4b-96, as revised.
2.7.8	<b>Named Subcontractor DAS Prequalification Requirement for Subcontracts exceeding \$500,000:</b>
.1	The <b>Three (3) Apparent Lowest Bidders</b> shall receive <i>VIA EMAIL</i> a "Set-Aside Contractor Schedule Request" ("Request") from the DAS/CS Office of Legal Affairs, Policy, and Procurement. For <b>Subcontracts greater than \$500,000</b> , the <b>Three (3) Apparent Lowest Bidders</b> shall submit within <b>ten (10) Calendar Days</b> after receipt of the Request current <b>DAS Prequalification Certificate(s) and Update (Bid) Statement(s)</b> for <b>each Named Subcontractor</b> in <b>Table 2.7</b> of the <b>Bid Proposal Form</b> , to the extent the <b>Class of Work for the Named Subcontractor is a Prequalification Classification</b> . This information shall be considered as part of the <b>Bid Proposal Form</b> and failure to comply with any portion of this requirement <b>shall</b> cause <b>rejection</b> of the bid.
.2	Instructions for downloading " <b>DAS Contractor Prequalification Certificates</b> " and " <b>DAS Update (Bid) Statement</b> " can be found in <b>Section 00 40 15 CT DAS Prequalification Forms</b> .
.3	<b>In accordance C.G.S. §4b-91(j)</b> , no person whose subcontract <i>exceeds</i> five hundred thousand dollars in value may perform work as a subcontractor on a project, which project is estimated to cost more than five hundred thousand dollars and is paid for, in whole or in part, with state funds, <i>unless, at the time of bid submission</i> , the person is prequalified in accordance with <b>C.G.S. §4a-100</b> , as amended. "Prequalified" includes the contractor's or substantial subcontractor's prequalification classifications, aggregate work capacity ratings and single project limits. For <b>Subcontracts</b> estimated to <b>exceed \$500,000</b> , the <b>Named Subcontractor must</b> be " <b>prequalified</b> " by DAS in the <b>Class of Work</b> specified in <b>Table 2.7 of Section 00 41 00 Bid Proposal Form</b> <i>at the time of bid submission</i> , pursuant to <b>C.G.S. §4b-91(j)</b> and <b>C.G.S. § 4a-100</b> , as amended. This requirement also applies to the Bidder, if the Bidder is a Named Subcontractor.
2.7.9	<b>Named Subcontractor Bidder's Qualification Statements (Section 00 45 17)</b>
.1	The <b>Three (3) Apparent Lowest Bidders</b> shall receive <i>VIA EMAIL</i> a "Set-Aside Contractor Schedule Request" ("Request") from the DAS/CS Office of Legal Affairs, Policy, and Procurement. For Projects with <b>estimated Construction Costs greater than \$500,000</b> , the <b>Three (3) Apparent Lowest Bidders</b> shall submit within <b>ten (10) Calendar Days</b> after receipt of the Request completed <b>Section 00 45 17 Named Subcontractor Bidder's Qualification Statement(s)</b> of this Project Manual for <b>each Named Subcontractor</b> in <b>Table 2.7</b> of the <b>Bid Proposal Form</b> . This information shall be considered as part of the <b>Bid Proposal Form</b> and failure to comply with any portion of this requirement <b>may</b> cause <b>rejection</b> of the bid.
.2	<b>Important Note:</b> Individual Technical Specification Sections <b>may</b> contain qualification requirements that <b>exceed</b> those from <b>Section 00 45 17 Named Subcontractor Bidder's Qualification Statement</b> .

<b>2.7 Named Subcontractor Requirements (continued):</b>	
<b>2.7.10 Bidder Performing Work as Named Subcontractor:</b>	
.1	In accordance with <b>C.G.S. § 4b-95(c)</b> , it shall be presumed that the <b>Bidder</b> intends to perform, with its own employees, all work in such <b>four (4) Classes of Work</b> and such other classes, for which <b>no</b> Subcontractor is named in <b>Table 2.7 of the Bid Proposal Form</b> . In accordance with <b>C.G.S. § 4b-92</b> , as revised, the <b>Bidder's</b> qualifications for performing such work shall be subject to review.
.2	In the event that the Bidder names a Subcontractor to perform some, but not all, of the separate section of the specifications for a particular Class of Work, then it will be presumed, in addition, that the Bidder intends to perform the balance of the Class of Work. Post-bid, the Bidder cannot substitute a Subcontractor for one named in the Bid Proposal Form or bring in a Subcontractor for any designated subtrade work presumed to be performed by the General Contractor's own forces, except for "Good Cause" as determined by the awarding authority.
.3	If the Bidder has listed itself as a <b>Named Subcontractor(s)</b> for a <b>Class(es) of Work</b> in <b>Table 2.7 of the Bid Proposal Form</b> and the proposed dollar value of the Subcontract(s) is greater than \$500,000, then <b>to the extent the Class(es) of Work is a Prequalification Classification</b> , the Bidder shall provide a current <b>DAS Prequalification Certificate</b> and <b>Update (Bid) Statement</b> for each of the applicable <b>Class(es) of Work</b> within ten (10) Calendar Days after receipt of the "Set-Aside Contractor Schedule Request" from DAS/CS. <b>Failure to comply with this requirement shall cause rejection of the bid and shall not be considered a minor irregularity under C.G.S. § 4b-95.</b>
<b>2.8 Set-Aside Requirements:</b>	
<b>2.8.1 Bidder's DAS Set-Aside Certificate For Projects With Construction Costs Estimated To Be Less Than \$500,000: All Small Business Enterprise (SBE) / Minority Business Enterprise (MBE) Bidders</b>	shall upload a copy of their Firm's current "DAS Set-Aside Certificate" to BizNet prior to the date and time of the Bid Opening.
<b>2.8.2 Bidder Contract Compliance Monitoring Report For Projects With Construction Costs Estimated To Be Less Than \$500,000:</b>	All Firm's shall upload a completed copy of the CHRO Employment Information Form, " <b>Bidder Contract Compliance Monitoring Report</b> " <i>with</i> their <b>Bid Proposal Form</b> prior to the date and time of the Bid Opening. The report is posted on the <b>CHRO Webpage</b> : <a href="http://www.ct.gov/chro/cwp/view.asp?a=2525&amp;Q=315900&amp;chroPNavCtr= 45679">http://www.ct.gov/chro/cwp/view.asp?a=2525&amp;Q=315900&amp;chroPNavCtr= 45679</a> .
<b>2.8.3 All Bidders shall be required</b>	to award not less than the percentage(s) stated on <b>page 1 of Section 00 41 00 Bid Proposal Form</b> to Subcontractors who are currently certified and eligible to participate under the State of Connecticut Set-Aside Program for <b>SBE and/or MBE</b> contractors, in accordance with C.G.S. § 4a-60g. <b>Failure</b> to meet these requirements <b>shall</b> cause <b>rejection</b> of the bid. The MBE participation <b>does</b> count as part of the SBE participation.
<b>2.8.4 Set-Aside Contractor Schedule Request:</b>	The SBE/MBE participation requirement <i>must be met</i> even if the Bidder is <i>certified</i> and <i>eligible</i> to participate in the <b>Small Business Set-Aside Program</b> . To facilitate compliance with this requirement for set-aside subcontractors, the <b>Three (3) Apparent Lowest Bidders</b> shall receive <b>VIA EMAIL</b> a "Set-Aside Contractor Schedule Request" ("Request") from the DAS/CS Office of Legal Affairs, Policy, and Procurement. As directed in the Request, the <b>Three (3) Apparent Lowest Bidders</b> shall submit within <b>ten (10) Calendar Days</b> after receipt of the Request, a <b>list of certified set-aside contractors</b> to be used on this project along with the <b>dollar amounts</b> to be paid to each. (See Section 00 73 27 Set-Aside Contractor Schedule for a sample Request.) A copy of the <b>current DAS Set-Aside Certificate</b> for <b>each Subcontracted SBE and/or MBE firm(s)</b> listed in the " <b>Set-Aside Contractor Schedule</b> " must be attached to the Request. This information will be considered as part of your Bid Proposal Form and <b>failure</b> to comply with any portion of this requirement within the ten (10) days, including but not limited to <b>failure</b> to list or meet the necessary dollar amount or percentage of the bid price, will be cause to <b>reject</b> your bid.
<b>2.8.5 Percentage of Work Performed by SBE/MBE Contractors and Subcontractors:</b>	The percentage of the work performed by the SBE/MBE Contractors and Subcontractors on this project shall not be less than the percentage noted in <b>Subsection 5.1 Amount of Work Required to Be Done by "Set-Aside" Contractors of Section 00 73 38 Commission on Human Rights (CHRO) Contract Compliance Regulations</b> .
<b>2.8.6 To view and/or download a Set-Aside Certificate:</b>	Go to the DAS Homepage ( <a href="http://www.ct.gov/DAS">www.ct.gov/DAS</a> ) > Small and Minority Businesses > Apply for Small Business Enterprise or Minority Business Enterprise Certification (SBE or MBE) > View/Search SBE/MBE Directory.
<b>2.9 Insurance Coverages:</b>	
<b>2.9.1</b>	The Insurance coverages required for this project shall be those listed in <b>Article 35 Contractors Insurance of Section 00 73 13 General Conditions</b> of this Project Manual. See <b>Section 00 41 00 Bid Proposal Form</b> and <b>Section 00 62 16 Certificate of Insurance</b> of this Project Manual for additional details.
<b>2.9.2</b>	The <b>Apparent Low Bidder shall</b> submit the Firm's <b>Certificate of Liability Insurance Acord®</b> form within <b>ten (10) business days after</b> receipt of the Letter of Intent from DAS/CS.



**3.0 All Other Required Bid Documents, Affidavits, and Certifications:**

**3.1 Affidavits and Certifications:**

**Important Note:** *The State may waive minor irregularities that otherwise may cause rejection of a Bid only when waiving such minor irregularities is in the best interests of the State and the minor irregularities have been corrected by the Bidder within seven (7) Calendar Days after the Bid Due Date. Failure to properly complete, sign and upload **all** of the following Affidavits and Certifications to Biznet prior to the date and time of the Bid Opening **shall** cause **rejection** of the bid and shall **not** be considered a minor irregularity under C.G.S. § 4b-95.*

**3.1.1 Gift and Campaign Contribution Certification – OPM Ethics Form 1: All Bidders**

- .1 All Bidders:** In accordance with Executive Order No. 49, and pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2), as revised, any principal or key personnel of the person, firm or corporation submitting a bid or proposal for a contract that has a value of **\$50,000** or more, shall be required to upload to BizNet a **Gift and Campaign Contribution Certification** prior to the date and time of the Bid Opening.
- .2** Any bidder or proposer that **does not** upload the **Gift and Campaign Contribution Certification** to BizNet prior to the date and time of the Bid Opening as required under this section shall be **disqualified** and DAS shall award the contract to the next highest ranked proposer or the next lowest responsible qualified bidder or seek new bids or proposals. Failure to upload this form to BizNet **prior** to the date and time of the Bid Opening shall not be considered a minor irregularity under CGS 4b-95.
- .3** Once uploaded, an updated **Gift and Campaign Contribution Certification** shall be uploaded within **30 days** of any changes to the submitted information.
- .4** **Annually**, on or within **two (2)** weeks of the **anniversary** date of the execution of this contract, the Contractor shall upload a completed **Annual Certification** with authorizing resolution. For the purposes of this paragraph, the execution date of the contract will be the date the DAS Commissioner signs the contract.

**3.1.2 Consulting Agreement Affidavit – OPM Ethics Form 5: All Bidders**

- .1 All Bidders:** Pursuant to C.G.S. §§ 4a -81a and 4a -81b, as revised, a **Consulting Agreement Affidavit** must be completed and uploaded to BizNet prior to the date and time of the Bid Opening for contracts with a value of **\$50,000** or more.
- .2** In the event that a Bidder or vendor fails or refuses to upload the **Consulting Agreement Affidavit** to BizNet prior to the date and time of the Bid Opening, as required under C.G.S. § 4a-81, such bidder shall be **disqualified** and the award shall be made to the next lowest responsible qualified bidder or new bids or proposals shall be sought. Failure to upload this form to BizNet **prior** to the date and time of the Bid Opening shall not be considered a minor irregularity under CGS 4b-95.
- .3** Once uploaded, an updated **Consulting Agreement Affidavit** **shall** be amended and uploaded not later than (1) **thirty (30) days** after the effective date of any such change or (2) upon the submittal of any new bid or proposal, whichever is earlier. For the purposes of this paragraph, the **execution date** of the contract will be the date the DAS Commissioner signs the contract.
- .4** Other Contributions by Individuals. Principals of Investment Services Firms, State Contractors, Principals Of State Contractors, Prospective State Contractors Or Principals Of Prospective State Contractors. Lists. Subcontracts Study. State Officials or Employees: All acquisitions, agreements and contracts are subject to the provisions of the C.G.S. § 9-612 regarding **Campaign Contribution or Contributions**.

### 3.1 Affidavits and Certifications Forms (continued):

#### 3.1.3 Ethics Affidavit – OPM Ethics Form 6: All Bidders and Apparent Low Bidder

- .1 **All Bidders:** Pursuant to C.G.S. §§ 1-101mm and 1-101qq, as revised, when DAS/CS is seeking a contract for a large state construction or procurement contract having a cost of more than **\$500,000**, DAS shall inform all potential consultant and contractor firms of the summary of state ethics laws developed by the Office of State Ethics (OSE) pursuant to C.G.S. § 1-81b. “Large State Contract” means an agreement or a combination or series of agreements between a state agency and a person, firm or corporation, having a total value of more than **\$500,000** in a calendar or fiscal year a project for the construction, alteration or repair of any public building or public work. For a **Guide to the Code of Ethics For Current or Potential State Contractors** go to the Office of State Ethics (OSE) website ([www.ct.gov/ethics](http://www.ct.gov/ethics)), then click on the “**Publications**” link.
- .2 **All Bidders:** Pursuant to C.G.S. § 1-101qq, as revised, DAS is also required to notify all potential consultant and contractor firms or a large state construction or procurement contract that they must upload an **Affirmation of Receipt of State Ethics Laws Summary** to BizNet prior to the date and time of the Bid Opening affirming that their key employees have read and understand the summary and agree to comply with the provisions of state ethics law.
- .3 Failure to upload this affidavit to BizNet prior to the date and time of the Bid Opening **shall** result in **rejection** of the bid and shall not be considered a minor irregularity under CGS 4b-95.
- .4 **Apparent Low Bidder:** Furthermore, the **Apparent Low Bidder** shall provide the **Summary of the State Ethics Laws** to each **Named Subcontractor** and any other **Subcontractor** or **Subconsultant** with a contract valued over **\$500,000** and obtain a **Subcontractor and Subconsultant State Ethics Affidavit** stating that the key personnel of the subcontractor have read, understand, and agree to comply with provisions of the state ethics laws. The **Apparent Low Bidder** shall submit such subcontractor(s) affidavits to the DAS/CS Office of Legal Affairs, Policy, and Procurement within **ten (10) business days** after receipt of the Letter of Intent from DAS/CS.

#### 3.1.4 Iran Certification – OPM Ethics Form 7: All Bidders

- .1 **All Bidders:** Pursuant to C.G.S. § 4-252a, when DAS/CS is seeking a contract for a large state construction or procurement contract having a cost of more than **\$500,000**, an **Iran Certification** must be completed and uploaded to BizNet **prior to the date and time of the Bid Opening**.
- .2 Pursuant to C.G.S. § 4-252a, *“This form must always be submitted with the bid or proposal, or if there was no bid process, with the resulting contract, regardless of where the principal place of business is located. Entities whose principal place of business is located outside of the United States are required to complete the entire form, including the certification portion of the form. United States subsidiaries of foreign corporations are exempt from having to complete the certification portion of the form. Those entities whose principal place of business is located inside of the United States must also fill out the form, but do not have to complete the certification portion of the form.”*

#### 3.1.5 Nondiscrimination Certification – Form A, B, C, D, or E: All Bidders

- .1 **All Bidders:** Pursuant to C.G.S. §§ 4a-60 and 4a-60a, as amended, a contractor must provide an awarding State agency with written representation or documentation that certifies the contractor complies with the State's nondiscrimination agreements and warranties prior to the award of any contract with the State. A **Nondiscrimination Certification** is required for all State contracts, regardless of type, term, cost or value. The **appropriate form** must be uploaded to BizNet prior to the date and time of the Bid Opening.
- .2 Once uploaded, an updated **Nondiscrimination Certification** shall be uploaded within **30 days** of any changes to the submitted information.
- .3 **Annually**, on or within **two (2)** weeks of the **anniversary** date of the execution of this contract, the Contractor shall upload a completed **Annual Certification** with authorizing resolution. For the purposes of this paragraph, the execution date of the contract will be the date the DAS Commissioner signs the contract.

- 3.1.6 For instructions on how to electronically download and upload **Affidavits and Non-Discrimination Forms**, go to the DAS Homepage ([www.ct.gov/DAS](http://www.ct.gov/DAS)) > Doing Business with the State > Create a BizNet Account for Doing Business with the State > Documents/Forms > Vendor Guide to Uploading Affidavits and Nondiscrimination Forms Online.

<b>3.2 Security For Faithful Performance:</b>	
<b>3.2.1 Certified Check or Bid Bond: All Bidders</b>	
.1	<b>All Bidders for bids in excess of \$50,000 shall submit either a Certified Check or a Bid Bond</b> , in the form required by the awarding authority. See <b>Section 00 43 16 Standard Bid Bond</b> in BizNet for a template and important instructions regarding submitting the Bid Bond or Certified Check. Complete and upload <b>Section 00 43 16 Standard Bid Bond</b> to Biznet <b>prior</b> to the date and time of the Bid Opening for <b>either</b> the Bid Bond option <b>or</b> the Certified Check option.
.2	<b>Certified Check Option:</b> The <b>Certified Check</b> shall be drawn to the order of <b>"Treasurer, State of Connecticut"</b> , in which it is understood shall be cashed and the proceeds thereof used so far as may be necessary to reimburse the State of Connecticut for losses and damages arising by virtue of the Bidder's failure to file the required Bonds and execute the required contract if this proposal is accepted by the Awarding Authority.
.3	<b>Bid Bond Option:</b> The <b>Bid Bond</b> shall be in the form required by the awarding authority, having as <b>surety</b> thereto such surety company or companies acceptable to the DAS Commissioner and as are authorized to do business in this State, for an amount not less than 10 percent of the bid.
.4	<b>Return of Certified Check:</b> All <b>checks</b> submitted by <b>unsuccessful</b> Bidders shall be returned to them <b>after</b> the contract has been awarded.
.5	Failure to submit the Bid Bond <b>or</b> Certified Check <b>prior</b> to the date and time of the Bid Opening <b>shall</b> cause <b>rejection</b> of the bid and shall not be considered a minor irregularity under CGS 4b-95.
.6	<b>Forfeiture of Certified Check or Bid Bond:</b> <b>Failure</b> of the successful bidder to execute a contract awarded as specified and bid shall result in the <b>forfeiture</b> of the certified check or bid bond.
<b>3.2.2 Performance Bond: Apparent Low Bidder:</b>	Within <b>ten (10) business days after</b> receipt of the Letter of Intent from DAS/CS, the <b>Apparent Low Bidder shall</b> substitute for the <b>certified check or bid bond</b> accompanying its bid an executed <b>performance bond</b> , in the amount not less than 100 percent of the contract price, conditioned upon the faithful performance of the contract, and having as surety thereto such surety company or companies satisfactory to the Commissioner and as are authorized to transact business in this State. This bond is to be furnished pursuant to <b>C.G.S. § 49-41</b> , as revised. See <b>Section 00 92 10 Additional Forms</b> of this Project Manual for a template.
<b>3.2.3 Labor and Material Bond: Apparent Low Bidder:</b>	Within <b>ten (10) business days after</b> receipt of the Letter of Intent from DAS/CS, the <b>Apparent Low Bidder shall</b> submit a labor and material bond in the amount not less than 100 percent of the contract price which <b>shall</b> be binding upon the award of the contract to such bidder, with surety or sureties satisfactory to the Commissioner and as are authorized to transact business in this State, for the protection of persons supplying labor or materials in the prosecution of the work provided for in the contract for the use of each such person. Any such bond furnished <b>shall</b> have as principal the name of the successful Bidder. This bond is to be furnished pursuant to <b>C.G.S. § 49-41</b> , as revised. See <b>Section 00 92 10 Additional Forms</b> of this Project Manual for a template.
<b>3.2.4</b>	<b>The following section of the General Statutes of Connecticut, as revised, is inserted as information concerning this bond and will be incorporated into the Contract for the Work:</b> <b>C.G.S. § 49-41a. Enforcement of payment by general contractor to subcontractor and by subcontractor to his subcontractors. (a)</b> When any public work is awarded by a contract for which a payment bond is required by section 49-41, the contract for the public work shall contain the following provisions: (1) A requirement that the general contractor, within thirty days after payment to the contractor by the State or a municipality, pay any amounts due any subcontractor, whether for labor performed or materials furnished, when the labor or materials have been included in a requisition submitted by the contractor and paid by the State or a municipality; (2) a requirement that the general contractor shall include in each of its <b>subcontracts</b> a <b>provision</b> requiring each <b>subcontractor</b> to pay any amounts due any of its subcontractors, whether for labor performed or materials furnished, within thirty days after such subcontractor receives a payment from the general contractor which encompasses labor or materials furnished by such subcontractor. <b>(b)</b> If payment is not made by the general contractor or any of its subcontractors in accordance with such requirements, the subcontractor shall set forth his claim against the general contractor and the subcontractor of a subcontractor shall set forth its claim against the subcontractor through notice by registered or certified mail. Ten days after the receipt of that notice, the general contractor shall be liable to its subcontractor, and the subcontractor shall be liable to its subcontractor, for interest on the amount due and owing at the rate of one percent per month. In addition, the general contractor, upon written demand of its subcontractor, or the subcontractor, upon written demand of its subcontractor, shall be required to place funds in the amount of the claim, plus interest of one per cent, in an interest-bearing escrow account in a bank in this State, provided the general contractor or subcontractor may refuse to place the funds in escrow on the grounds that the subcontractor has not substantially performed the work according to the terms of his or its employment. In the event that such general contractor or subcontractor refuses to place such funds in escrow, and the party making a claim against it under this section is found to have substantially performed its work in accordance with the terms of its employment in any arbitration or litigation to determine the validity of such claim, then such general contractor or subcontractor shall pay the attorney's fees of such party. <b>(c)</b> No payment may be withheld from a subcontractor for work performed because of a dispute between the general contractor and another contractor or subcontractor. <b>(d)</b> This section shall not be construed to prohibit progress payments prior to final payment of the contract and is applicable to all subcontractors for material or labor whether they have contracted directly with the general contractor or with some other subcontractor on the work.
<b>3.2.5</b>	<b>Surety Sheet: Apparent Low Bidder:</b> Within <b>ten (10) business days after</b> receipt of the Letter of Intent from DAS/CS, the <b>Apparent Low Bidder shall</b> submit a Surety Sheet that provides information regarding the Surety Company and Agent. See <b>Section 00 92 10 Additional Forms</b> of this Project Manual for a template.

<b>3.3 Certificate (of Authority):</b>	
3.3.1	All Bidders for bids in excess of \$50,000 shall upload a signed and scanned <b>Section 00 40 14 Certificate (of Authority)</b> to BizNet prior to the date and time of the Bid Opening. See BizNet for a template.
3.3.2	The <b>Apparent Low Bidder</b> shall submit a <b>second Certificate (of Authority)</b> within <b>ten (10) business days after</b> receipt of the Letter of Intent from DAS/CS.
<b>3.4 Security Requirements for CT Department of Correction (CT DOC) Facilities:</b>	
3.4.1	All Bidders for Projects at a CT DOC Facility shall read and comply with <b>Section 00 73 63 CT DOC Security Requirements</b> for Contract Forces on CT DOC Facilities.
3.4.2	<b>NEW:</b> All Bidders for Projects at a CT DOC Facility: Prior to the Pre-Bid Meeting, all Bidders shall download the “ <b>Security Background Questionnaire</b> ” from the CT DOC website ( <a href="http://www.ct.gov/doc">www.ct.gov/doc</a> , under “Forms”), complete and submit the form as directed, and obtain approval, otherwise admission to the Pre-Bid Meeting <b>will be denied</b> . It is recommended that the approved form be brought as evidence of approval to attend the Pre-Bid Meeting.
<b>3.5 Affirmative Action Plan &amp; Employment Information Form (DAS-45): Apparent Low Bidder</b>	
3.5.1	For Projects greater than \$500,000 and/or Firms with 50 or more employees, the <b>Apparent Low Bidder shall</b> submit the Firm’s <b>Affirmative Action Plan</b> and <b>Employment Information Form (DAS-45)</b> to CHRO within <b>fifteen (15) calendar days after</b> receipt of the “Request for the <i>Affirmative Action Plan</i> and <i>Employment Information Form</i> Letter” from DAS/CS. See <b>Section 00 73 38 Commission on Human Rights and Opportunities/ Contract Compliance Regulations</b> .
3.5.2	The Apparent Low Bidder <b>shall</b> submit a copy of the Transmittal Letter to the DAS/CS Office of Legal Affairs, Policy, and Procurement within <b>fifteen (15) calendar days after</b> receipt of the “Request for the <i>Affirmative Action Plan</i> and <i>Employment Information Form</i> Letter” from DAS/CS.
<b>3.6 Prevailing Wage: Apparent Low Bidder</b>	
3.6.1	The <b>Apparent Low Bidder shall</b> submit the “ <b>Contractor’s Wage Certification Form</b> ” to CT Department of Labor (CT DOL) within <b>fifteen (15) calendar days after</b> receipt of the “Request for the <i>Affirmative Action Plan</i> and <i>Employment Information Form</i> Letter” from DAS/CS. See <b>Section 00 73 44 Prevailing Wage Rates/Contractor’s Wage Certification/Payroll Certification</b> of this Project Manual.
3.6.2	Each contractor who is awarded a contract on or after October 1, 2002 shall be subject to provisions of <b>C.G.S. § 31-53, as revised</b> . See <b>Section 00 73 44 Prevailing Wage Rates/Contractor’s Wage Certification/Payroll Certification</b> of this Project Manual.
3.6.3	<b>Annual Adjustment Of Prevailing Wage Rates:</b> In determining bid price, consideration should be given to <b>C.G.S. § 31-53 and 31-55a, as revised</b> , regarding <b>annual adjustment of prevailing wage rates</b> . Annual adjustments of prevailing wage rates will <b>not</b> be considered a matter for a contract amendment.
<b>3.7 NEW PROCESS: General Permit for the Discharge of Stormwater &amp; Dewatering Wastewaters from Construction Activities: Apparent Low Bidder</b>	
3.7.1	All DAS/CS construction projects disturbing <b>one or more total acres of land area</b> on a site <b>regardless of project phasing</b> must file a Department of Energy and Environmental Protection (DEEP) <a href="#">General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities (DEEP-WPED-GP-015)</a> (“Construction Stormwater General Permit”) registration and Stormwater Pollution Control Plan (SPCP) with the DEEP. <b>The DAS/CS Architect/Engineer (A/E) shall be responsible for registering the Construction Stormwater General Permit and SPCP through the online DEEP ezFile Portal prior to bidding.</b>
3.7.2	Once the Apparent Low Bidder is under contract with DAS/CS, and prior to the commencement of any construction activities, the Apparent Low Bidder (“Contractor”) shall be required to provide the necessary information from all applicable contractors and/or subcontractors working on the Project to the DAS/CS A/E in order to finalize the SPCP and transfer the Construction Stormwater General Permit obligations to the Contractor.
3.7.3	All Contractors and Subcontractors listed on the SPCP shall be required to sign the SPCP “Contractor Certification Statement” and License Transfer Form <b>prior</b> to commencement of any construction activity.

**3.8 Section 00 52 73 Subcontract Agreement Forms: Apparent Low Bidder**

**3.8.1** The **Apparent Low Bidder** shall submit a completed **Section 00 52 73 Subcontract Agreement Form** of this Project Manual for *each* Named Subcontractor within **ten (10) Business Days** after receipt of the "Letter of Intent" from DAS/CS. This information *shall* be considered as part of the **Bid Proposal Form** and failure to comply with any portion of this requirement *may* cause **rejection** of the bid.

**3.8.2** Each **Named Subcontractor** shall be the matter of a **Subcontract** as required by **C.G.S. § 4b-96**.

**3.9 Non-Resident Contractors and Taxation: Apparent Low Bidder**

**3.9.1** **Nonresident contractors** must comply with the **provisions C.G.S. § 12-430 (7), Procedures for Nonresident Contractors**, and the regulations established pursuant to that section. See **Section 00 92 30 Procedures Regarding Taxation for Nonresident General/Prime Contractor and Subcontractors** of this Project Manual for additional details.

**3.9.2** **Apparent Low Bidder who is a Nonresident Contractor:** Within **ten (10) business days after** receipt of the "**Letter of Intent**" from DAS/CS, a certificate(s) from DRS must be provided which evidences that C.G.S. §12-430 for non-resident contractors has been met. As described in Section 00 92 30 "Procedures Regarding Taxation for Nonresident General/Prime Contractor and Subcontractors", **Verified Nonresident** General/Prime Contractors must submit a copy of their "**Notice of Verified Status**" (Verification Letter) from DRS. **Unverified Nonresident** General/Prime Contractors must submit a copy of **Form AU-965 "Acceptance of Surety Bond"** from DRS.

**3.10 Certificate of Legal Existence: Apparent Low Bidder**

**3.10.1** A **corporation** that is awarded the contract must comply with the laws of this State regarding the procurement of a certificate of authority to transact business in this State from the **Secretary of the State**. A "**Certificate of Legal Existence**" which is not older than **ninety (90) calendar days** from the date of the contract signing must be filed with the DAS/CS Office of Legal Affairs, Policy, and Procurement within **ten (10) business days after** receipt of the "Letter of Intent" from DAS/CS.

**3.11 State Election Enforcement Commission (SEEC) Form 10: Apparent Low Bidder**

**3.11.1** The **Apparent Low Bidder** shall submit a **State Election Enforcement Commission's (SEEC) Form 10** "Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations" within **ten (10) business days after** receipt of the "Letter of Intent" from DAS/CS for contracts with a value of \$50,000 or more.

**3.11.2** Pursuant to C.G.S. § 9-612, as revised, a State Contract means an agreement or contract with the state or any state agency or any quasi-public agency having a value in a calendar year of **\$50,000** or more, or a combination or series of such **agreements** or **contracts** having a value of **\$100,000** or more, the **authorized signatory** to this **submission** in response to the State's solicitation expressly **acknowledges receipt** of, and must submit **in writing**, the **SEEC Form 10 notice** advising prospective state contractors of the state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the **notice**.

**3.11.3** For instructions on how to download "**SEEC Form 10**", go to the SEEC Homepage ([www.ct.gov/seec](http://www.ct.gov/seec)); click on "Forms" at the top of the page; click on "Contractor Reporting Forms"; click on "SEEC Form 10" and follow the directions.

**3.12 OSHA Training Course: Successful Bidder**

**3.12.1** Pursuant to **C.G.S. §. 31-53b (a)**, as revised, each contract entered into for the construction, remodeling, refinishing, refurbishing, rehabilitation, alteration or repair of any public building project by the state or any of its agents, or by any political subdivision of the state or any of its agents, where the total cost of all work to be performed by all contractors and subcontractors in connection with the contract is at least **one hundred thousand dollars (\$100,000)**, shall contain a provision requiring that, not later than **thirty (30) days** after the date such contract is awarded, **each contractor furnish proof to the Labor Commissioner** that all employees performing manual labor on or in such public building, pursuant to such contract, have completed a **course** of at least **ten (10) hours** in duration in **construction safety and health** approved by the federal Occupational Safety and Health Administration or, in the case of telecommunications employees, have completed at least **ten (10) hours** of training in accordance with 29 CFR 1910.268.

**3.13 NEW PROCESS: Contractor and Subcontractor Payments Reporting: Successful Bidder**

**3.13.1** For compliance with **C.G.S. §. 4b-95 and 49-41**, DAS/CS requires every Contractor (and its Subcontractors and their Subcontractors) who has been awarded a DAS/CS construction contract to log on to the State of Connecticut web-based platform, BizNet, **each month** and **enter payments** they have received from the state, from the Contractor, or from a higher tier Subcontractor (as applicable).

The process is described as follows: The state will pay the Contractor on a monthly basis for work performed (and purchases made) by it and its Subcontractors. The Contractor will input the payment date and amount they receive from the state on a monthly basis. The Contractor's first-level Subcontractor (Tier 1 Subcontractor) will input the payment they receive from the Contractor. The second-level Subcontractor (Tier 2 Subcontractor) will input the payment they receive from the Tier 1 Subcontractor. And so on.

Contractors awarded a DAS/CS construction contract shall contain a **provision in their subcontract agreements** requiring their Subcontractors to enter payment receipt from the Contractor in the State of Connecticut web-based platform, BizNet, for work performed or purchases made in relation to state projects.

Detailed instructions can be found in the DAS/CS publication, "**6002 Instructions to Contractors/Subcontractors for Entering Payments Online**", available for download by going to the DAS Homepage ([www.ct.gov/DAS](http://www.ct.gov/DAS)) and selecting Doing Business With The State > State Building Construction > Publications and Forms > DAS Construction Services Library > 6000 Series.

**4.0 Nondiscrimination and Affirmative Action**

This contract is subject to Federal and state laws, including Title VII of the 1964 Civil Rights Act, 42 U.S.C. § 2000e-2(a)(1), and the Connecticut Fair Employment Practices Act, C.G.S. §46a-60 et seq., prohibit various forms of discrimination and illegal harassment in employment.

**4.1 Nondiscrimination and Affirmative Action Provisions:**

**4.1.1** This section is inserted in connection with C.G.S. § 4a-60, as revised.

**4.1.2** References in this section to "contract" **shall** mean this Contract and references to "contractor" **shall** mean the Contractor/Bidder.

**4.1.3** C.G.S. § 4a-60, as revised:

- (a) Every contract to which the state or any political subdivision of the state other than a municipality is a party shall contain the following provisions:
- (1) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the state of Connecticut; and the contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved;
  - (2) The contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the commission;
  - (3) The contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the commission advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment;
  - (4) The contractor agrees to comply with each provision of this section and sections 46a-68e and 46a-68f and with each regulation or relevant order issued by said commission pursuant to sections 46a-56, 46a-68e and 46a-68f; and
  - (5) The contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor as relate to the provisions of this section and section 46a-56.
- (b) If the contract is a public works contract, the contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works project.



- (c) (1) Any contractor who has one or more contracts with the state or a political subdivision of the state that is valued at less than fifty thousand dollars for each year of the contract shall provide the state or such political subdivision of the state with a written or electronic representation that complies with the nondiscrimination agreement and warranty under subdivision (1) of subsection (a) of this section, provided if there is any change in such representation, the contractor shall provide the updated representation to the state or such political subdivision not later than thirty days after such change.
- (2) Any contractor who has one or more contracts with the state or a political subdivision of the state that is valued at fifty thousand dollars or more for any year of the contract shall provide the state or such political subdivision of the state with any one of the following:
- (A) Documentation in the form of a company or corporate policy adopted by resolution of the board of directors, shareholders, managers, members or other governing body of such contractor that complies with the nondiscrimination agreement and warranty under subdivision (1) of subsection (a) of this section;
- (B) Documentation in the form of a company or corporate policy adopted by a prior resolution of the board of directors, shareholders, managers, members or other governing body of such contractor if (i) the prior resolution is certified by a duly authorized corporate officer of such contractor to be in effect on the date the documentation is submitted, and (ii) the head of the agency of the state or such political subdivision, or a designee, certifies that the prior resolution complies with the nondiscrimination agreement and warranty under subdivision (1) of subsection (a) of this section; or
- (C) Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson or other corporate officer duly authorized to adopt company or corporate policy that certifies that the company or corporate policy of the contractor complies with the nondiscrimination agreement and warranty under subdivision (1) of subsection (a) of this section and is in effect on the date the affidavit is signed.
- (3) Neither the state nor any political subdivision shall award a contract to a contractor who has not provided the representation or documentation required under subdivisions (1) and (2) of this subsection, as applicable. After the initial submission of such representation or documentation, the contractor shall not be required to resubmit such representation or documentation unless there is a change in the information contained in such representation or documentation. If there is any change in the information contained in the most recently filed representation or updated documentation, the contractor shall submit an updated representation or documentation, as applicable, either (A) not later than thirty days after the effective date of such change, or (B) upon the execution of a new contract with the state or a political subdivision of the state, whichever is earlier. Such contractor shall also certify, in accordance with subparagraph (B) or (C) of subdivision (2) of this subsection, to the state or political subdivision, not later than fourteen days after the twelve-month anniversary of the most recently filed representation, documentation or updated representation or documentation, that the representation on file with the state or political subdivision is current and accurate.
- (d) For the purposes of this section, "contract" includes any extension or modification of the contract, "contractor" includes any successors or assigns of the contractor, "marital status" means being single, married as recognized by the state of Connecticut, widowed, separated or divorced, and "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders. For the purposes of this section, "contract" does not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, (2) a quasi-public agency, as defined in section 1-120, (3) any other state, as defined in section 1-267, (4) the federal government, (5) a foreign government, or (6) an agency of a subdivision, agency, state or government described in subparagraph (1), (2), (3), (4) or (5) of this subsection.
- (e) For the purposes of this section, "minority business enterprise" means any small contractor or supplier of materials fifty-one per cent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) Who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of section 32-9n; and "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations. "Good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements.
- (f) Determination of the contractor's good faith efforts shall include but shall not be limited to the following factors: The contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- (g) The contractor shall develop and maintain adequate documentation, in a manner prescribed by the commission, of its good faith efforts.
- (h) The contractor shall include the provisions of subsections (a) and (b) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the commission. The contractor shall take such action with respect to any such subcontract or purchase order as the commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with section 46a-56; provided, if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the commission, the contractor may request the state of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.

**4.2 Nondiscrimination Provisions Regarding Sexual Orientation:**

**4.2.1 This section is inserted in connection with C.G.S. § 4a-60a, as revised.**

**4.2.2** References in this section to "contract" shall mean this Contract and references to "contractor" shall mean the Contractor/Bidder.

**4.2.3 C.G.S. § 4a-60a, as revised:**

- (a) Every contract to which the state or any political subdivision of the state other than a municipality is a party shall contain the following provisions:
- (1) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or of the state of Connecticut, and that employees are treated when employed without regard to their sexual orientation;
  - (2) The contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment;
  - (3) The contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said commission pursuant to section 46a-56; and
  - (4) The contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor which relate to the provisions of this section and section 46a-56.
- (b) (1) Any contractor who has one or more contracts with the state or a political subdivision of the state that is valued at less than fifty thousand dollars for each year of the contract shall provide the state or such political subdivision of the state with a written representation that complies with the nondiscrimination agreement and warranty under subdivision (1) of subsection (a) of this section.
- (2) Any contractor who has one or more contracts with the state or a political subdivision of the state that is valued at fifty thousand dollars or more for any year of the contract shall provide the state or such political subdivision of the state with any of the following:
- (A) Documentation in the form of a company or corporate policy adopted by resolution of the board of directors, shareholders, managers, members or other governing body of such contractor that complies with the nondiscrimination agreement and warranty under subdivision (1) of subsection (a) of this section;
  - (B) Documentation in the form of a company or corporate policy adopted by a prior resolution of the board of directors, shareholders, managers, members or other governing body of such contractor if (i) the prior resolution is certified by a duly authorized corporate officer of such contractor to be in effect on the date the documentation is submitted, and (ii) the head of the agency of the state or such political subdivision, or a designee, certifies that the prior resolution complies with the nondiscrimination agreement and warranty under subdivision (1) of subsection (a) of this section; or
  - (C) Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson or other corporate officer duly authorized to adopt company or corporate policy that certifies that the company or corporate policy of the contractor complies with the nondiscrimination agreement and warranty under subdivision (1) of subsection (a) of this section and is in effect on the date the affidavit is signed.
- (3) Neither the state nor any political subdivision shall award a contract to a contractor who has not provided the representation or documentation required under subdivisions (1) and (2) of this subsection, as applicable. After the initial submission of such representation or documentation, the contractor shall not be required to resubmit such representation or documentation unless there is a change in the information contained in such representation or documentation. If there is any change in the information contained in the most recently filed representation or updated documentation, the contractor shall submit an updated representation or documentation, as applicable, either (A) not later than thirty days after the effective date of such change, or (B) upon the execution of a new contract with the state or a political subdivision of the state, whichever is earlier. Such contractor shall also certify, in accordance with subparagraph (B) or (C) of subdivision (2) of this subsection, to the state or political subdivision, not later than fourteen days after the twelve-month anniversary of the most recently filed representation, documentation or updated representation or documentation, that the representation on file with the state or political subdivision is current and accurate.
- 4) For the purposes of this section, "contract" includes any extension or modification of the contract, and "contractor" includes any successors or assigns of the contractor. For the purposes of this section, "contract" does not include a contract where each contractor is (A) a political subdivision of the state, including, but not limited to, a municipality, (B) a quasi-public agency, as defined in section 1-120, (C) any other state, as defined in section 1-267, (D) the federal government, (E) a foreign government, or (F) an agency of a subdivision, agency, state or government described in subparagraph (A), (B), (C), (D) or (E) of this subdivision.



(c) The contractor shall include the provisions of subsection (a) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the commission. The contractor shall take such action with respect to any such subcontract or purchase order as the commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with section 46a-56; provided, if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the commission, the contractor may request the state of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.

**End of Section  
00 21 13 Instructions to Bidders**



## Pre-Bid Meeting Agenda:

DAS ● Construction Services ● Office of Legal Affairs, Policy, and Procurement

### 1.0 Pre-Bid Meeting:

**1.1** The **Owner** will conduct a Pre-Bid Meeting.  
For the Pre-Bid Meeting Date, Time, and Location see Section 00 11 16 Invitation To Bid for this Specific Bid.

**1.2 Attendance:**

<b>1.2.1</b>	<b>General Contractor:</b>	Attendance at the Pre-Bid Meeting is <b>MANDATORY</b> . At the Pre-Bid Meeting, all prospective bidders shall <i>sign</i> his or her name on the <b>official roster</b> and <i>list</i> the name and address of the company he or she represents. For <b>MANDATORY</b> Pre-Bid Meetings, this shall be done no later than the designated <b>start time</b> of the Pre-Bid Meeting. Prospective bidders are advised to register early as <b>no</b> attendee will be allowed to register <i>after</i> the advertised start time. <b>Bids</b> submitted by contractors who have <i>not properly</i> registered and attended the <b>MANDATORY</b> Pre-Bid Meeting <i>shall be rejected</i> as <b>non-responsive</b> .
<b>1.2.2</b>	<b>Subcontractors:</b>	Attendance at the Pre-Bid Meeting is recommended.
<b>1.2.3</b>	<b>Pre-Bid Meeting Sign-in Sheet:</b>	It is <b>MANDATORY</b> that all attendees sign the <b>Pre-Bid Meeting Sign-in Sheet</b> .

**1.3 Site/Facility Visit or Walkthrough:** Please **do not** make any Site/Facility Visits without notifying the DAS/CS Project Manager prior to your visit.

- 1.3.1**  **A Site/Facility Visit or Walkthrough is scheduled for the Pre-Bid Meeting**
- 1.3.2**  **A Site/Facility Visit or Walkthrough is NOT scheduled for the Pre-Bid Meeting**

**1.4 Bidder Questions:**

**1.4.1** Submit **written** questions to be discussed at the **Pre-Bid Meeting** a **minimum of two (2) Calendar Days prior** to **Pre-Bid Meeting date**. See the **Invitation to Bid** for instructions on submitting questions.  
**IMPORTANT NOTE:** In accordance with DAS Regulations, **no** participants in any Selection, Proposal, or Bidding process, including User Agency representative(s), shall communicate with any potential Offeror prior to, during, or upon conclusion of the entire Selection, Proposal, or Bidding procedure, with the exception of information necessary to complete the administrative steps of the Selection process.

### 2.0 Pre-Bid Meeting Agenda:

The Pre-Bid Meeting Agenda will include a review of topics, **as applicable to the Project**, which may affect proper preparation and submittal of bids, including, but not limited to, the following:

**2.1 Introduction of Participants:**

<b>2.1.1</b>	<b>Architect/Engineer:</b> Freeman Companies, LLC
<b>2.1.2</b>	<b>CA:</b> Freeman Companies, LLC
<b>2.1.3</b>	<b>DAS Representative:</b> Ashour Gevargisnia
<b>2.1.4</b>	<b>Agency Representative:</b> Marilyn Bantz

**2.0 Pre-Bid Meeting Agenda (continued):**

<b>2.2</b>	<b>Project Summary:</b>
2.2.1	<b>Summary of Work:</b> See General Requirements Section 01 11 00
2.2.2	<b>Temporary Facilities and Controls:</b> See General Requirements Section 01 50 00
2.2.3	<b>Work Sequence:</b> See General Requirements Section 01 11 00
2.2.4	<b>Contractor Use of Premises:</b> See General Requirements Section 01 11 00
2.2.5	<b>Project Schedule</b>
2.2.6	<b>Contract Time</b>
2.2.7	<b>Liquidated Damages:</b> See General Conditions Section 00 73 13, Articles 1 and 8, and 00 41 00 Bid Proposal Form.

<b>2.3</b>	<b>Procurement and Contracting Requirements:</b>
2.3.1	<b>Section 00 11 16 – Invitation to Bid</b>
2.3.2	<b>Section 00 21 13 – Instructions to Bidders</b>
2.3.3	<b>Section 00 41 00 – Bid Proposal Form</b>
2.3.4	<b>Section 00 41 10 – Bid Package Submittal Requirements</b>
2.3.5	<b>Section 00 30 00 – General Statements for Available information</b>
2.3.6	<b>Division 50 – Project-Specific Available Information</b>
2.3.7	<b>Bonding</b>
2.3.8	<b>Insurance</b>
2.3.9	<b>Bid Security</b>
2.3.10	<b>Notice of Award</b>

<b>2.4</b>	<b>Communication During Bidding Period:</b>
2.4.1	<b>Obtaining Bid Documents</b>
2.4.2	<b>Access to DAS Website, BizNet, and State Contracting Portal</b>
2.4.3	<b>Bidder's Requests for Information:</b> See General Requirements Sections 01 26 00
2.4.4	<p><b>Substitution Procedures (Prior to Bid):</b> See General Requirements Section 01 25 00 &amp; General Conditions Section 00 73 13, Article 15.</p> <p>The Owner will consider Pre-Bid Equals or Substitutions Requests, if made <b>fourteen (14)</b> Calendar Days <b>prior</b> to the <b>Bid Due Date</b>. The information on all materials shall be consistent with the information herein.</p>
2.4.5	<p><b>Substitutions following Contract Award:</b> See General Requirements Section 01 25 00 &amp; General Conditions Section 00 73 13, Article 15.</p> <p>Subject to the Architect or Engineer's determination, if the material or equipment is Equal to the one specified or pre-qualified and the DAS/CS Project Manager's approval of such determination, Substitution of Material or Equipment may be allowed after the Letter of Award is issued, as specified in the Conditions Section 00 73 13, Article 15.</p>
2.4.6	<b>Addenda Procedures:</b> See Item No. 2.7 of this form

**2.0 Pre-Bid Meeting Agenda (continued):**

**2.5 Contract Considerations:**

- 2.5.1 **Allowances:** See General Requirements Section 01 20 00
- 2.5.2 **Unit Prices:** See General Requirements Section 01 20 00
- 2.5.3 **Supplemental Bid:** See General Requirements Section 01 23 13 and 00 41 00 Bid Proposal Form.

**2.6 Separate Contracts:**

- 2.6.1 **Work by Owner**
- 2.6.2 **Work of Other Contracts**

**2.7 Post Pre-Bid Meeting Addendum:**

- 2.7.1 **No Interpretations** of the meaning of the plans, specifications or other contract documents will be made orally at any time. Every bidder **request** for such interpretation **shall** be in writing to the awarding authority and to be given consideration **shall** be received at least **fourteen (14)** Calendar Days **prior** to the Bid Due Date. Any and all such **interpretations** and any **supplemental instructions** will be in the form of written **addenda** to the specifications which, *if* issued, will be posted on the State Contracting Portal.
- 2.7.2 **Other Bidder Questions**

**2.8 Other Agenda Topics and Notes:**

- 2.8.1
- 2.8.2

**3.0 Pre-Bid Meeting Minutes:**

**3.1 Recording and Distribution of Pre-Bid Meeting Minutes:**

3.1.1 The **Owner** is responsible for conducting the Pre-Bid Meeting and will record and distribute meeting minutes to attendees.

**3.2 Pre-Bid Meeting Minutes as “Available Information”**

3.2.1 Minutes of the Pre-Bid Meeting are issued as “Available Information” and **do not** constitute a modification to the Procurement and Contracting Documents. **Modifications to the Procurement and Contracting Documents are issued by written Addendum only.**

**3.3 Pre-Bid Meeting Sign-in Sheet:**

3.3.1 Minutes will include the list of meeting attendees.

**3.4 List of Planholders:**

3.4.1 Minutes will include the list of planholders.

**End of Section  
00 25 13 Pre-Bid Meeting Agenda**

<b>00 30 00</b>	<b>GENERAL STATEMENTS FOR AVAILABLE INFORMATION</b>	<b>NOT USED</b> <input type="checkbox"/>
-----------------	---	--

- A. **Summary:** This Section is **not** a Bidding Document, but directs Bidders to **Division 50 00 00 Project-Specific Available Information** that provides project-specific information available for review by Bidders.
- B. **Bidder Responsibility:** The Bidder is responsible for information, including but not limited to, any interpretations and opinions of information contained in any plans, reports, evaluations, and logs, or shown on any drawings, or indicated on any drawings. **Division 50 00 00 Project-Specific Available Information** is provided to Bidders for their use in the preparation of a Bid.
- C. **Measurement:** **Division 50 00 00 Project-Specific Available Information** **shall** be utilized for determination of payment for the Work during construction of the project.
- D. **Payment:** **No** separate payment will be made for **any** Work under **Division 50 00 00 Project-Specific Available Information**.
- E. **Related Sections:** Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section. **See Division 50 00 00 Project-Specific Available Information** for information that is available for this Project.
- F. Please read the following **General Statement(s)** that describe the type of project-specific information that is available in **Division 50 00 00 Project-Specific Available Information**:

00 30 00	General Statements For Available Information Table Of Contents	Not Used
00 30 10	General Statement for Existing Conditions Survey	<input type="checkbox"/>
00 30 20	General Statement for Environmental Assessment Information	<input checked="" type="checkbox"/>
00 30 30	General Statement for Hazardous Building Materials Inspection and Inventory	<input checked="" type="checkbox"/>
00 30 40	General Statement for Subsurface Geotechnical Report	<input checked="" type="checkbox"/>
00 30 50	General Statement for Elevator Agreement	<input checked="" type="checkbox"/>
00 30 60	General Statement for FM Global Checklist for Roofing Systems	<input checked="" type="checkbox"/>
00 30 70	General Statement for "Statement of Special Inspections"	<input checked="" type="checkbox"/>
00 30 80	General Statement for Additional Information	<input checked="" type="checkbox"/>

**00 30 10 GENERAL STATEMENT FOR EXISTING CONDITIONS SURVEY**

Not Used

- A.** The “**Existing Conditions Survey**” for this project is located in **Division 50 00 00 Project-Specific Available Information, Section 50 10 00 Existing Conditions Survey** at the end of the Technical Specification Sections.
1. The information is made available for the convenience of all Bidders and is not a part of the Contract.
  2. All Bidders must interpret this information according to their own judgment and acknowledge that they are not relying upon the information shown as accurately describing the conditions which may be found to exist.
  3. Other components of the information, including but not limited to recommendations, may not be relied upon by the Bidders. The Owner shall not be responsible for any interpretation.
  4. All Bidders further acknowledge that they assume all risk contingents upon the nature of the existing conditions which shall be actually be encountered by them.
  5. All Bidders should visit the site and become acquainted with all existing conditions in relationship to this information and may make their own investigations to satisfy themselves as to the existing conditions. Such investigations shall be conducted only under time schedules and arrangements approved in advance by the Owner.

**End of Section**

**00 30 00 General Statements for Available Information**



<b>Certificate (of Authority)</b>	
<b>DAS Construction Services Project No.:</b>	
I <input style="width: 300px; height: 20px;" type="text"/>	<input style="width: 400px; height: 20px;" type="text"/>
<i>(Signer's Name)<sup>1</sup></i>	<i>(Signer's Title)</i>
of <input style="width: 300px; height: 20px;" type="text"/>	, an entity lawfully organized and existing under the laws
<i>(Name of Entity)</i>	
of <input style="width: 300px; height: 20px;" type="text"/>	, do hereby certify that the following is a true and correct
<i>(Name of State or Commonwealth)</i>	
copy of a resolution adopted on the <input style="width: 50px; height: 20px;" type="text"/> day of <input style="width: 50px; height: 20px;" type="text"/>	, 20 <input style="width: 50px; height: 20px;" type="text"/> by the governing body of
<i>(Day)<sup>2</sup></i>	<i>(Month)<sup>2</sup></i> <i>(Year)<sup>2</sup></i>
<input style="width: 300px; height: 20px;" type="text"/>	, in accordance with all of its documents of governance and
<i>(Name Of Entity)</i>	
management and the laws of <input style="width: 250px; height: 20px;" type="text"/>	and further certify that such resolution has not
<i>(Name of State or Commonwealth)</i>	
<b>been modified, rescinded or revoked, and is at present in full force and effect.</b>	
<b>RESOLVED:</b> that <input style="width: 250px; height: 20px;" type="text"/>	<input style="width: 250px; height: 20px;" type="text"/>
<i>(Name of Signer of Contract Documents)<sup>3</sup></i>	<i>(Title of Signer of Contract Documents)<sup>3</sup></i>
of <input style="width: 300px; height: 20px;" type="text"/>	is empowered and authorized, on behalf of the entity,
<i>(Name of Entity)</i>	
<b>to execute and deliver contracts and amendments thereto, and all documents required by the Governor, the Connecticut Department of Administrative Services, the Connecticut State Properties Review Board and the Office of the Attorney General associated with such contracts and amendments.</b>	
<b>IN WITNESS WHEREOF, the undersigned has executed this certificate this <input style="width: 50px; height: 20px;" type="text"/> day of <input style="width: 50px; height: 20px;" type="text"/></b>	
	<b>, 20 <input style="width: 50px; height: 20px;" type="text"/></b>
	<i>(Day)<sup>4</sup></i> <i>(Month)<sup>4</sup></i> <i>(Year)<sup>4</sup></i>
<input style="width: 350px; height: 20px;" type="text"/>	
<i>(Signature)</i>	
<input style="width: 350px; height: 20px;" type="text"/>	<input style="width: 350px; height: 20px;" type="text"/>
<i>(Print Name)</i>	<i>(Title)</i>

**Reference Notes:**

- 1 The signer of this certificate must be someone *other than* the signer of the contract documents *except for* a sole managing member of an LLC or the sole officer or sole principal of a corporation. *If* the signer is a sole managing member of an LLC, *then* along with this certificate the signer must provide a letter on company letterhead that indicates the signer is a sole member and managing member. If the signer is the sole officer or sole principal of a corporation, then the signer must provide with the certificate a letter on company letterhead setting forth this fact.
- 2 This date must be on or before the **date of signing** of the Bid Proposal (or Contract).
- 3 This person shall sign the Contract and other required documents.
- 4 This date must be on or after the **date of signing** of the Bid Proposal (or Contract).

**For Your Information:**

**Certificate (of Authority)**

**All Bidders:**

**Complete page 1, print, sign, and scan to PDF. Upload the PDF form to BizNet.**

What the **Certificate** is saying is that the organization authorized the signatory to sign the pertinent **documents other than** the Certificate (of Authority) and that, as of the date of **execution** of the CERTIFICATE (i.e., the date set forth in the "In Witness Whereof" blanks) there has been no change in that authorization.

**Instructions For Completing The Certificate (of Authority)**

**The Certificate (of Authority) to Accompany the Bid Proposal Form:**

**1. 1<sup>st</sup> Paragraph:**

- 1.1 First, enter the name and title of the individual signing the Certificate (of Authority).
- 1.2 Second, enter the legal name of the entity (exactly as it is shown on the Secretary of State registry).
- 1.3 Third, enter the name of the state or commonwealth the entity is registered in.
- 1.4 Fourth, enter the date the resolution was adopted by the governing body. This **date** is **on or before** the date the **Bid Proposal** is signed.
- 1.5 Fifth, enter the name of the state or commonwealth the entity is registered in.

**2. 2<sup>nd</sup> Paragraph:**

- 2.1 First, enter the name and title of the individual signing bid documents for the entity.
- 2.2 Second, enter the legal name of the entity (exactly as it is shown on the Secretary of State registry).

**3. Last Paragraph:**

- 3.1 Enter the **Witness Date**<sup>1</sup>. This date will likely be the date of execution of the **Bid Proposal form**.

**<sup>1</sup> This Witness Date Should Not Be Before The Date Of Execution Of The Bid Proposal.**

**The Certificate (of Authority) to Accompany the Contract:**

**1. 1<sup>st</sup> Paragraph:**

- 1.1 First, enter the name and title of the individual signing the Certificate (of Authority).
- 1.2 Second, enter the legal name of the entity (exactly as it is shown on the Secretary of State registry).
- 1.3 Third, enter the name of the state or commonwealth the entity is registered in.
- 1.4 Fourth, enter the date the resolution was adopted by the governing body. This **date** is **on or before** the date the **Contract** is signed.
- 1.5 Fifth, enter the name of the state or commonwealth the entity is registered in.

**2. 2<sup>nd</sup> Paragraph:**

- 2.1 First, enter the name and title of the individual signing contract documents for the entity.
- 2.2 Second, enter the legal name of the entity (exactly as it is shown on the Secretary of State registry).

**3. Last Paragraph:**

- 3.1 Enter the **Witness Date**<sup>1</sup>. This date will likely be the date of execution of the **Contract**.

**<sup>1</sup> This Witness Date Should Not Be Before The Date Of Execution Of The Contract.**

**End of Section 00 40 14 Certificate (of Authority)**

## Bid Proposal Form

DAS | Construction Services | Office of Legal Affairs, Policy, and Procurement  
 450 Columbus Boulevard, Suite 1302 | Hartford, CT 06103

<b>Date and Time of Bid Opening:</b>	See page 1 of Section 00 11 16 Invitation To Bid.
<b>Instructions for On-Line Bidding:</b>	Follow the instructions in <a href="#">6001 Construction On-line Bidding Instructions</a> , available for download from the DAS/CS Library ( <a href="http://portal.ct.gov/DASCSLibrary">http://portal.ct.gov/DASCSLibrary</a> ) > 6000 Series – Bid Phase Forms. For questions, call 860-713-5794 or 860-713-5783.

- Instructions for Completing This Bid Proposal Form:**
- **Download** and **save** the Bid Proposal Form to your computer. Close the form. Open your *saved* Bid Proposal Form and type required information in blue boxes. (Remember to keep saving to your computer.)
  - On your Word Toolbar, **click “View” then “Edit Document” or “Print Layout”** in order to edit the form.
  - When your Bid Proposal Form is complete, perform a final “save” to your computer! **Print ALL pages and sign** your Bid Proposal Form. **Scan ALL** pages of your Bid Proposal Form to **PDF**. Upload the **PDF** Bid Proposal Form to BizNet.
  - **Duly Authorized Signature:** A duly authorized representative of the Bidder or Bidder’s partnership, firm, corporation or business organization must sign the Bid Proposal Form.
  - **No Facsimile Signature** is permitted. **All information below** is to be filled in by the **Bidder**.
  - *If an Addendum is issued that **changes** the **Bid Proposal Form** then the **Revised Bid Proposal Form** (issued with the Addendum) **must** be uploaded instead.*
  - Upload to BizNet **only** the additional **Bid Package Documents** as described in **Table 1** of **Section 00 41 10 Bid Package Submittal Requirements**.
  - A signed and scanned **Certificate (of Authority), Section 00 40 14, must** be uploaded to Biznet **prior** to the date and time of the Bid Opening.
  - *Any **Bid Proposal Form** that has omitted or added items, altered the form, contains conditional, alternative, or obscure bids, or is submitted *without* the signature of the bidder or its authorized representative, **will be rejected**.*
  - See **Section 00 21 13 Instructions to Bidders** for additional information.

**1.0 General Bid Proposal Information:**

<b>Project Title:</b>	Lower Garage Ramp Restoration
<b>Project Location:</b>	505 Hudson Street Hartford, CT
<b>Project Number:</b>	<b>BI-2B-438</b>
<b>Construction Costs:</b>	Less Than or Equal To \$500,000
<b>Bidding Limited To :</b>	Current DAS Certified Connecticut Set-Aside Contractors Only
<b>Threshold Limits: (C.G.S. §29-276b)</b>	This Project DOES NOT exceed Threshold Limits.
<b>Set Aside Requirements:</b>	SBE Subcontractors and/or Suppliers: None Required; MBE Subcontractors and/or Suppliers: Good Faith Effort
<b>Pre-Bid Meeting:</b>	See <b>Section 00 11 16 Invitation to Bid</b> and <b>Section 00 25 13 Pre-Bid Meeting</b> .
<b>Plans and Specifications prepared by A/E:</b>	Freeman Companies, LLC, 36 John Street, Hartford, CT 06106

**1.1 Commencement and Acceptance:** (See Section 00 73 13 General Conditions, Article 4 - Commencement and Progress of Work and Article 1 - Definitions)

The Selected Bidder shall commence Work within **fourteen (14) Calendar Days** after receiving a “**Construction Start Date and Notice to Proceed**” by the Commissioner or authorized representative and continue for 

75
90

**Calendar Days** for “**Substantial Completion**” of the project; and then continue 

90
----

**Calendar Days** for “**Acceptance**” of the Work.

**1.2 Liquidated Damages:** (See Section 00 73 13 General Conditions, Article 8 – Damages & Article 1 - Definitions)

**1.2.1 Liquidated Damages – Substantial Completion:**

The Selected Bidder shall be assessed \$ 

943.00
--------

 per **Calendar Day** beyond the date established for Substantial Completion of the Contract according to the **Contract Time** as defined in **Article 1.28 of Section 00 73 13 General Conditions**, and not otherwise excused or waived pursuant to the Contract Documents, as defined in **Article 1.23 of Section 00 73 13 General Conditions**.

**1.2.2 Liquidated Damages – Acceptance:**

The Selected Bidder shall be assessed \$ 

943.00
--------

 per **Calendar Day** beyond ninety (90) days after the date of said Substantial Completion that the Selected Bidder fails to achieve **Acceptance**, as defined in **Article 1.1 of Section 00 73 13 General Conditions** and not otherwise excused or waived as described above.

**1.3 Bid Proposal Statements and Conditions:** This **Bid Proposal Form** shall be submitted according to, and in compliance with, the foregoing and following statements, conditions, and/or information:

**1.3.1** This Bid Proposal Form is submitted in accordance with Chapter 60 Construction And Alterations Of State Buildings, Part II Bidding And Contracts of the Connecticut General Statutes (C.G.S.), as amended, particularly C.G.S. § 4b-91(a)(5)(A) – (C), and pursuant to, and in compliance with, the **Invitation to Bid** (Section 00 11 16), the **Instructions to Bidders** (Section 00 21 13), the **Bid Package Submittal Requirements** (Section 00 41 10), and the **Contract** (Section 00 52 03).

**1.3.2** The Bidder proposes to furnish the labor and/or materials, installed as required for the Project named and numbered on this **Bid Proposal Form**, submitted herein, furnishing all necessary equipment, machinery, tools, labor and other means of construction, and all materials specified in the manner and at the time prescribed strictly in accordance with the provisions of the **Contract** including, but not limited to, the specifications and/or drawings together with all **Addenda** issued by the Awarding Authority and received by the Bidder, prior to the scheduled **Date and Time of the Bid Opening** as stated on **page 1** of the **Invitation To Bid**, and in conformity with requirements of the Awarding Authority and any laws or Departmental regulations of the State of Connecticut or of the United States which may affect the same, for and in consideration of the price(s) stated on this **Bid Proposal Form**, hereof.

**1.3.3** The Bidder acknowledges that the **Proposed Lump Sum Base Bid** submitted on this **Bid Proposal Form** includes all work indicated on the drawings and/or described in the specifications, except for the **Contingent Work** described in **Subsection 2.4**.

**1.3.4** The Bidder acknowledges and agrees to furnish all labor and materials required for this **Project**, in accordance with the accompanying **Plans and Specifications** prepared by the **Architect/Engineer** listed on **page 1** of this Bid Proposal Form, for the **Contract Sum** specified in the **Proposed Lump Sum Base Bid** in **Subsection 2.1** of this Bid Proposal Form, subject to **additions** and **deductions** according to the terms of the specifications, and including the number of **Addenda** stated in **Subsection 2.2** of this Bid Proposal Form.

**1.4 Award:**

**1.4.1** All Bid Proposals shall be subject to the provisions of **Section 00 21 13 Instructions to Bidders** and for purpose of award, consideration shall be given only to Bid Proposals submitted by qualified and responsible Bidders.

**1.4.2** The award shall be made on the **lowest Lump Sum Bid** and any or all **Supplemental Bid(s)** as stated in **Subsection 2.4.2** of this **Bid Proposal Form**, taken sequentially, as applicable, provided funds are available.

**1.4.4** In the event of any **discrepancy** between the amount written in words and the amount written in numerical figures, the amount written in words shall be controlling.

**2.0 Bid Proposal Requirements:**

**Bidder Information:**

**Bid Uploaded On:**     
 (Month) (Day) (Year)

**Proposal Of:**   
 (Complete Bidder's Legal Company Name As Registered With the CT Secretary of State)

**Firm Address:**  ,  ,    
 (Avenue / Street) (Town / City) (State) (Zip Code)

**Contact Person:**    
 (Name) (Title)

**Contact Information:**     
 (Phone Number) (Fax Number) (Email Address)

**Threshold Project: Major Contractor Registration License No.:**

All Bidders for Projects that exceed Threshold Limits (see page 1 of this Bid Proposal Form): Insert your Firm's Major Contractor Registration License Number in the space provided above. **NOTE:** If this Project does NOT exceed Threshold Limits, insert "Not Applicable" in the blue box above. Delete this note by pressing the spacebar.

**2.1 Proposed Lump Sum Base Bid:**

**2.1.1 All Bidders:** Insert the Proposed Lump Sum Base Bid in the spaces provided below, including both numerical figures and "printed words" dollar amount. The Proposed Lump Sum Base Bid shall include all Allowances, all work indicated on the drawings and/or described in the specifications except for Contingent Work.

**2.1.2** The Proposed Lump Sum Base Bid shall be shown in both numerical figures and "printed words" dollar amount. In the event of any discrepancy the "printed" words dollar amount shall govern.

**2.1.3** The Proposed Lump Sum Base Bid is:

\$   
 (Place Numerical Figures in the Box Above)

Dollars  
 (Insert "Printed Words" Dollar Amount in the Box Above)

**2.2 Number of Addenda:**

**2.2.1 All Bidders:** Insert the Number of Addenda issued by the State of Connecticut in the space provided below.

**2.2.2** Failure to acknowledge the correct number of all Addenda in the box below in this Bid Proposal Form shall cause rejection of the bid.

**2.2.3** The Bidder acknowledges that their Proposed Lump Sum Base Bid Proposal includes:

Number of Addenda. If none, enter "0".

**2.3 Allowances:**

See Section 01 20 00 Contract Considerations in Division 01 General Requirements for Allowances for applicability.

**2.4 Contingent Work:**

**2.4.1 Base Bid Quantities and Defined Unit Prices:** See **Section 01 20 00 Contract Considerations** in Division 01 General Requirements for **applicability** regarding Base Bid Quantities and Defined Unit Prices for Earth and Rock Excavation, Miscellaneous Items, Alterations Items, Environmental Remediation, and/or Hazardous Building Materials Abatement.

**2.4.2 Supplemental Bids:**

.1 See **Section 01 23 13 Supplemental Bids** in Division 01 General Requirements for **applicability**.

.2 **All Bidders: If Supplemental Bids are applicable** to this Project, insert the **Supplemental Bids** in the spaces provided below. Any **Supplemental Bids** listed below, *if* accepted by the Owner, will be taken cumulatively and in numerical order as scheduled. No Supplemental Bid will be skipped or taken out of numerical order as scheduled.

<b>Supplemental Bid No. 1: NOT APPLICABLE</b>		
ADD: \$	<input style="width: 90%;" type="text"/> <i>(Insert Numerical Figures)</i>	<input style="width: 90%;" type="text"/> <i>(Insert "Printed Words" Dollar Amount)</i>
<b>Dollars</b>		
<b>Supplemental Bid No. 2: NOT APPLICABLE</b>		
ADD: \$	<input style="width: 90%;" type="text"/> <i>(Insert Numerical Figures)</i>	<input style="width: 90%;" type="text"/> <i>(Insert "Printed Words" Dollar Amount)</i>
<b>Dollars</b>		
<b>Supplemental Bid No. 3: NOT APPLICABLE</b>		
ADD: \$	<input style="width: 90%;" type="text"/> <i>(Insert Numerical Figures)</i>	<input style="width: 90%;" type="text"/> <i>(Insert "Printed Words" Dollar Amount)</i>
<b>Dollars</b>		
<b>Supplemental Bid No. 4: NOT APPLICABLE</b>		
ADD: \$	<input style="width: 90%;" type="text"/> <i>(Insert Numerical Figures)</i>	<input style="width: 90%;" type="text"/> <i>(Insert "Printed Words" Dollar Amount)</i>
<b>Dollars</b>		

**2.5 Bidder's Qualification Statement and Objective Criteria for Evaluating Bidders:**

**2.5.1 All Bidders:** Download **Section 00 45 14 General Contractor Bidder's Qualification Statement** from BizNet for a template and instructions. Complete and upload **Section 00 45 14 General Contractor Bidder's Qualification Statement** to Biznet **prior** to the date and time of the Bid Opening. Information with regards to the **General Contractor's Bidder's Qualification Statement** is submitted and is made part of this **Bid Proposal Form**. Failure of a Bidder to answer any question or provide required information **shall** be grounds for the awarding authority to **disqualify** and **reject** the bid, pursuant to Connecticut General Statutes §4b-92.

**2.5.2 All Bidders** shall comply with **Section 00 45 15 Objective Criteria Established for Evaluating Qualifications of Bidders**. **Note:** Individual Specification Sections may contain General Contractor and/or Subcontractor Qualification requirements that exceed those in **Section 00 45 15 Objective Criteria Established for Evaluating Qualifications of Bidders**.

**2.6 Prequalification Requirements for Projects Exceeding \$500,000:**

**2.6.1 All Bidders for Projects with estimated Construction Costs greater than \$500,000:** Upload to BizNet a current copy of your Firm's "**DAS Contractor Prequalification Certificate**" and "**Update (Bid) Statement**" for the applicable **Class of Work** on **page 1** of this **Bid Proposal Form** **prior** to the date and time of the Bid Opening. Failure to comply with this requirement **shall** cause rejection of the bid and shall not be considered a minor irregularity under **C.G.S. § 4b-95**. See **Section 00 40 15 CT DAS Prequalification Forms** for instructions on preparing and/or downloading your Firm's "**DAS Contractor Prequalification Certificate**" and "**DAS Update (Bid) Statement**".

**2.6.2 Named Subcontractor(s) for Subcontracts exceeding \$500,000:** The **Named Subcontractor(s)** **must** be "**prequalified**" by DAS in the **Class of Work** specified in **Table 2.7** of this **Bid Proposal Form** *at the time of bid submission*, pursuant to **C.G.S. §4b-91(j)** and **C.G.S. § 4a-100**, as amended, to the extent the Class of Work for the Named Subcontractor is a Prequalification Classification. This requirement also applies to the Bidder, if the Bidder is a Named Subcontractor. Failure to comply with this requirement **shall** cause rejection of the bid and shall not be considered a minor irregularity under **C.G.S. § 4b-95**.

**2.7 Named Subcontractors and Classes of Work:**

**2.7.1 All Bidders for Projects with one or more Classes of Work checked in Table 2.7 below:** Complete Table 2.7 according to the instructions below. Failure to properly provide all of the **required information** in Table 2.7 may cause rejection of the bid.

**Table 2.7: Named Subcontractors and Classes of Work:**

<input type="checkbox"/>	<b>Electrical Work: NOT APPLICABLE</b>	Complete Subcontractor Name:	
		Proposed Dollar Value of Subcontract:	\$
<input type="checkbox"/>	<b>HVAC Work: NOT APPLICABLE</b>	Complete Subcontractor Name:	
		Proposed Dollar Value of Subcontract:	\$
<input type="checkbox"/>	<b>Masonry Work: NOT APPLICABLE</b>	Complete Subcontractor Name:	
		Proposed Dollar Value of Subcontract:	\$
<input type="checkbox"/>	<b>Plumbing Work: NOT APPLICABLE</b>	Complete Subcontractor Name:	
		Proposed Dollar Value of Subcontract:	\$
<input type="checkbox"/>	<b>Environmental Remediation: NOT APPLICABLE</b>	Complete Subcontractor Name:	
		Proposed Dollar Value of Subcontract:	\$
<input type="checkbox"/>	<b>Hazardous Materials Abatement: NOT APPLICABLE</b>	Complete Subcontractor Name:	
		Proposed Dollar Value of Subcontract:	\$

**2.7.2 Instructions For Table 2.7:**

- .1 Each **Class of Work** set forth in a separate section of the specifications pursuant to this Section shall be a **subtrade** designated in **Table 2.7** of this **Bid Proposal Form** and shall be the matter of a **subcontract**.
- .2 For each **Class of Work** checked in **Table 2.7**, the Bidder shall insert the name of each **Subcontractor** with their **Proposed Dollar Value of Subcontract**; this is known as the **“Named Subcontractor”**. If the Bidder intends to use **more than one** Subcontractor to perform a Class of Work, then it shall provide **ALL** of the Subcontractor Names and Proposed Dollar Values of **each Subcontract in excess of \$100,000**.
- .3 *If a Bidder* intends to use **one or more Subcontractors** to perform **any portion** of the Named **Classes of Work**, including circumstances where the Subcontractor is a Small Business Enterprise (SBE) or a Minority Business Enterprise (MBE), *then* it must list **ALL** of the Subcontractors or SBE/MBE Subcontractors as the case may be, for such Class of Work. A Bidder may **not** substitute itself for any of the Named Classes of Work. The Bidder **should not list itself** as the **Named Subcontractor** if it intends to use a **Subcontractor** to perform any portion of the Classes of Work listed in **Table 2.7**. The Bidder should name the Subcontractor.
- .4 If a Bidder customarily performs any of the specified Classes of Work and is Prequalified by DAS for the Class of Work *at the time of the Bid Opening Date* if the work is greater than \$500,000, the Bidder may list **itself** as a Subcontractor together with its **price** in the space provided in **Table 2.7**. Failure to properly provide **all** of the **required information** in **Table 2.7** **shall** cause **rejection** of the bid.
- .5 If the Bidder does **not** name **itself** or a **Subcontractor** for a specified Class of Work, it shall be presumed that the Bidder intends to perform with its own employees **all work** in such specified classes. The Bidder shall be required to perform with its own employees **all** of the work of the specified class. Subcontracting any portion of such specified class of work subsequently, will be considered a violation of **C.G.S. § 4b-95** and subject the Bidder to disqualification under **C.G.S. § 4b-95(e)**.
- .6 In the event that the Bidder names a Subcontractor to perform some, but not all, of the separate section of the specifications for a particular Class of Work, then it will be presumed, in addition, that the Bidder intends to perform the balance of the Class of Work. Post-bid, the Bidder cannot substitute a Subcontractor for one named in the Bid Proposal Form or bring in a Subcontractor for any designated subtrade work presumed to be performed by the General Contractor’s own forces, except for “Good Cause” as determined by the awarding authority.
- .7 In the event the Bidder either lists itself or is presumed to perform with its own employees all work in a specified class, no such sub-bid by a Bidder shall be considered unless the Bidder can show to the satisfaction of the awarding authority, based on objective criteria established for such purpose, that it customarily performs such subtrade work and is qualified to do the character of work required by the applicable section of the specifications.



<b>2.8</b>	<b>Set Aside Requirements:</b> (see Section 00 73 38 "CHRO Contract Compliance Regulations")
<b>2.8.1</b>	<b>For Projects Less Than \$500,000:</b> Submit a current copy of your Firm's "DAS Set-Aside Certificate" <i>with</i> your Bid Proposal Form <i>prior</i> to the date and time of the Bid Opening.
<b>2.8.2</b>	<b>For Projects Less Than \$500,000:</b> Upload a completed copy of the CHRO Employment Information Form, "Bidder Contract Compliance Monitoring Report" <i>with</i> your Bid Proposal Form <i>prior</i> to the date and time of the Bid Opening. The report is on the CHRO Webpage ( <a href="http://www.ct.gov/chro/cwp/view.asp?a=2525&amp;Q=315900&amp;chroPNavCtr= 45679">http://www.ct.gov/chro/cwp/view.asp?a=2525&amp;Q=315900&amp;chroPNavCtr= 45679</a> ).
<b>2.8.3</b>	<b>All Bidders shall be required</b> to award not less than the percentage(s) stated on <b>page 1 of this Bid Proposal Form</b> to Subcontractors who are currently certified and eligible to participate under the State of Connecticut Set-Aside Program for <b>SBE and/or MBE</b> contractors, in accordance with C.G.S. § 4a-60g. <b>Failure</b> to meet these requirements <b>shall</b> cause <b>rejection</b> of the bid.

<b>2.9</b>	<b>Insurance Coverages:</b> The <b>limits of liability</b> for the Insurance required for this project shall be those listed in <b>Article 35 Contractors Insurance of Section 00 73 13 General Conditions</b> . Also see Section 00 62 16 Certificate of Insurance.
------------	--

<b>2.9.1</b>	<b>Commercial General Liability Insurance:</b> The Bidder <b>shall</b> maintain Commercial General Liability Insurance. <b>NOTE:</b> All selected firms are required to provide an endorsement to the CGL insurance stating that the State of Connecticut, the Department of Administrative Services, and their respective officers, agents, and employees shall be named as an Additional Insured. Please be advised that a blanket endorsement <b>may not</b> be acceptable. Products/Completed Operations insurance shall be maintained for the duration of the Project and shall be maintained for a minimum of <b>three (3) years</b> after certification by the Owner that all Work has been completed and accepted by the Owner in accordance with the Contract Documents. CGL coverage may include <b>Special Hazards Insurance</b> , as described below.
--------------	---

<b>2.9.2</b>	<b>Special Hazards Insurance:</b> <input checked="" type="checkbox"/> None is Required. <input type="checkbox"/> The Bidder <b>shall</b> maintain Special Hazards Insurance, including coverage for <b>explosion, collapse or underground damage (X-C-U)</b> . <input type="checkbox"/> The Bidder <b>shall</b> maintain Special Hazards Insurance, including coverage for <b>Asbestos Abatement and Lead Liability</b> .
--------------	--

<b>2.9.3</b>	<b>Owner's and Contractor's Protective Liability Insurance:</b> The Bidder <b>shall</b> maintain Owner's and Contractor's Protective Liability Insurance. This coverage shall be for and in the name of the State of Connecticut.
--------------	---

<b>2.9.4</b>	<b>Automobile Liability Insurance:</b> The Bidder <b>shall</b> maintain Automobile Liability Insurance for the operation of all motor vehicles including those owned, non-owned and hired or used in connection with the Contract. Should the Bidder not own any automobiles, the automobile & liability requirement shall be amended to allow the Bidder to maintain only hired and non-owned liability coverage.
--------------	--

<b>2.9.5</b>	<b>Umbrella Liability Insurance:</b> The Bidder <b>shall</b> maintain Umbrella Liability Insurance. The Bidder shall provide an endorsement to the Umbrella Liability Insurance stating that the State of Connecticut is an additional insured.
--------------	---

<b>2.9.6</b>	<b>Workers Compensation/Employer Liability Insurance:</b> The Bidder <b>shall</b> maintain Workers Compensation/Employer Liability Insurance.
--------------	---

<b>2.9.7</b>	<b>Builder's Risk Insurance:</b> <input type="checkbox"/> None is Required. <input checked="" type="checkbox"/> The Bidder <b>shall</b> maintain Builder's Risk Insurance providing coverage for the entire Work at the project site, portions of the Work located away from the site but intended for use at the site, and portions of the Work in transit. Coverage shall be written on an All-Risk, Replacement Cost, and completed Value Form basis in an amount at least equal to the projected completed value of the Work. Prior to the Owner's issuance of a Notice to Proceed, the Contractor shall provide coverage for the entire Work in an amount equal to the total contract amount and any additional modifications. The Owner and its officers, agents and employees shall be listed as loss payee subject to the prior review of the Owner, and not as an additional insured for these coverages. The Builder's Risk Insurance policy shall state it is for the benefit of and payable to the State of Connecticut. The <b>Period of Coverage</b> shall be the number of Calendar Days from Construction Start Date to Substantial Completion as stated in the Bid Proposal Form of the Project Manual, plus ninety (90) Calendar Days to Acceptance of the Work.
--------------	--

<b>2.9.8</b>	<b>Inland Marine/Transit Insurance (Transportation Insurance):</b> <input checked="" type="checkbox"/> None is Required. <input type="checkbox"/> The Bidder <b>shall</b> maintain Inland Marine/Transit Insurance (Transportation Insurance) provided the coverage is not afforded by a Builder's Risk policy. The Inland Marine/Transit Insurance policy shall endorse the State of Connecticut as a Loss Payee and the policy shall state it is for the benefit of and payable to the State of Connecticut.
--------------	--



### 3.0 Bid Proposal Acknowledgements:

The Bidder *acknowledges and agrees* to the following:

#### 3.1 To Upload to BizNet Submit the Bid Proposal Form (all pages), All Other Bid Documents, Affidavits, and Certifications:

3.1.1 The Bidder acknowledges and agrees to electronically upload to DAS BizNet all pages of the **Bid Proposal Form**, and all other **Bid Documents, Affidavits, and Certifications** as directed in **Section 00 11 16 Invitation to Bid, Section 00 21 13 Instructions to Bidders**, and **Section 00 41 10 Bid Package Submittal Requirements**.

3.1.2 The State may waive minor irregularities which it considers in the best interest of the State and, when applicable, are corrected by the Bidder within seven (7) Calendar Days after the Bid Due Date. Failure to properly complete, sign and upload any of the items marked with an asterisk (\*) in **Table 1 of Section 00 41 10 Bid Package Submittal Requirements** *shall* cause rejection of the bid and *shall not* be considered a minor irregularity under **C.G.S. § 4b-95**.

3.1.3 If there are any delays in the receipt of other documents then the Bid shall remain valid for the same additional number of days. For example, if the documents are submitted four (4) Calendar Days later; then the bid shall remain valid for ninety-four (94) Calendar Days.

3.1.4 Failure to submit the documents before the stated deadline **may** result in rejection of the bid at the sole discretion of the Commissioner of Administrative Services.

#### 3.2 To Hold Bid Price:

The Bidder acknowledges and agrees to hold the **Proposed Lump Sum Base Bid** in **Subsection 2.1** of this Bid Proposal Form for **ninety (90) Calendar Days** and any extensions caused by the Bidder's delays in required submissions. The Bidder and the State may mutually agree to extend this period. The agreement to extend the **ninety (90) Calendar Day** period may occur after the expiration of the original **ninety (90) Calendar Day** period.

#### 3.3 To Use and Accept Allowances:

When applicable to this Project, the Bidder **acknowledges and agrees** to accept and use the **Allowances** as shown in **Section 01 20 00 Contract Considerations** of Division 01 General Requirements as part of the **Proposed Lump Sum Base Bid** listed in **Subsection 2.1** of this Bid Proposal Form.

#### 3.4 To Use and Accept the Following Contingent Work:

3.4.1 **Unit Prices:** When applicable to this Project, the Bidder **acknowledges and agrees** to accept and use the **Units, Add Unit Prices, and Deduct Unit Prices** as shown in **Section 01 20 00 Contract Considerations** of Division 01 General Requirements in evaluating either additions to or deductions from the Work.

3.4.2 **Supplemental Bid:** When applicable to this Project and if accepted by the Owner, the Bidder **acknowledges and agrees** to provide all labor, material and equipment to complete the Work in accordance with the **Supplemental Bid** described in **Section 01 23 13 Supplemental Bids** of Division 01 General Requirements and provided by the **Bidder** in **Subsection 2.4.2** of this Bid Proposal Form.

#### 3.5 To Use the Named Subcontractors Listed in Table 2.7:

3.5.1 The Bidder **agrees** that each of the **Named Subcontractors** stated in **Table 2.7** of this Bid Proposal Form will be used for the **Class of Work** indicated, for the **Proposed Total Subcontract Value dollar amount stated, unless** a **substitution** is permitted by the awarding authority as provided for in and in accordance with C.G.S. § 4b-96, as amended.

3.5.2 **For Named Subcontractor(s) with Subcontracts exceeding \$500,000**, the Bidder acknowledges that the **Named Subcontractor(s)** *must* be "prequalified" by DAS in the **Class of Work** specified in **Table 2.7** of this **Bid Proposal Form** *at the time of bid submission*, pursuant to **C.G.S. §4b-91(j)** and **C.G.S. § 4a-100**, as amended, to the extent the Class of Work for the Named Subcontractor is a Prequalification Classification. In addition, the Bidder agrees to submit within *ten (10) Calendar Days* after receipt of the "Set-Aside Contractor Schedule Request" the current DAS Prequalification Certificate(s) and Update (Bid) Statement(s) for each Named Subcontractor in **Table 2.7** of this Bid Proposal Form.

**3.0 Bid Proposal Acknowledgements (continued):****3.6 To Make Good Faith Efforts to Employ MBEs:**

The Bidder acknowledges and agrees to make **good faith efforts** to employ **Minority Business Enterprises (MBEs)** as **Subcontractors** and **Suppliers** of materials under such Contract.

**3.7 To Submit a Certified Check or Bid Bond (if required):**

The Bidder acknowledges and agrees to submit a **Certified Check** or **Standard Bid Bond** *prior* to the due date and time of the Bid Opening (if required). Download **Section 00 43 16 Standard Bid Bond** from BizNet for a template and instructions.

**3.8 To Accept the Current Prevailing Wage Rate Schedule:**

The U. S. Secretary of Labor's latest decision and the State of Connecticut Department of Labor (DOL) Prevailing Wage Rate Schedule are all incorporated in the documents. The higher rate (Federal or State) for any given occupation shall prevail. At the time of bidding, the Bidder agrees to accept the current Prevailing Wage Rate Schedule, as well as the annual adjustment to the prevailing wage rate that is in effect each July 1st, as provided by DOL. See **Section 00 73 44 Prevailing Wage Rates/Contractor's Wage Certification/Payroll Certification**. Annual adjustments of prevailing wage rates will **not** be considered a matter for a contract amendment with DAS/CS.

**3.9 To Comply With CHRO Requirements:**

If applicable, the Apparent Low Bidder acknowledges and agrees to provide the Commission on Human Rights and Opportunities with such information as is requested by the Commission concerning their **employment practices and procedures** as they relate to the current provisions of the Connecticut General Statutes governing Contract requirements within **fifteen (15) calendar days after** receipt of the "Request for the *Affirmative Action Plan and Employment Information Form Letter*" from the DAS/CS Office of Legal Affairs, Policy, and Procurement.

**3.10 To Ensure Executive Order No. 11246 for Equal Employment Opportunity & Non-Segregated Facilities Has Been Met:**

The Apparent Low Bidder acknowledges and agrees to ensure that Executive Order No. 11246 for Equal Employment Opportunity & Non-Segregated Facilities has been met for their firm and their Subcontractors. The Apparent Low Bidder also agrees to certify (if required) to the compliance of non-segregated facilities.

**3.11 To Obtain and Maintain Required Insurance Coverages:**

The Bidder acknowledges and agrees to obtain and maintain the required Insurance Coverages and submit the Firm's "**Certificate of Liability Insurance Acord® form**" within **ten (10) business days after** receipt of the "Letter of Intent" from the DAS/CS Office of Legal Affairs, Policy, and Procurement, as discussed in **Section 00 62 16 Certificate of Insurance** and **Article 35, "Contractors Insurance"** in **Section 00 73 13 General Conditions**.

**3.12 To Comply With Security Requirements for CT Department of Correction Facilities:**

When applicable to this Project, the Bidder acknowledges and agrees to comply with **Section 00 73 63 CT Department of Correction (CT DOC) Security Requirements** for Contract Forces on CT DOC Facilities.

**3.13 To Ensure C.G.S. § 12-430 for Non-Resident Contractors Has Been Met:**

If applicable, the Apparent Low Bidder acknowledges and agrees to provide either a copy of the "**Notice of Verified Status**" (Verification Letter) from the Connecticut Department of Revenue Services (DRS) (for **Verified Nonresident** General/Prime Contractors) or a copy of **Form AU-965 "Acceptance of Surety Bond"** from DRS (for **Unverified Nonresident** General/Prime Contractors) within **ten (10) business days after** receipt of the "Letter of Intent" from the DAS/CS Office of Legal Affairs, Policy, and Procurement which evidences that **C.G.S. § 12-430** for non-resident contractors has been met, as described in **Section 00 92 30 Procedures Regarding Taxation for Nonresident General/Prime Contractor and Subcontractors**.

**3.14 To Execute Contract:**

If selected as the Prime Contractor, the Bidder acknowledges and agrees to **execute a Contract** in accordance with the terms of this **Bid Proposal Form** and the **Contract** within **ten (10) Calendar Days** (legal State holidays excluded) **after** notification thereof by the awarding authority. See **Section 00 52 03 Contract** for a sample.

**4.0 Confidentiality of Documents:**

- 4.1** The **undersigned** agrees that if not selected as the Prime Contractor for this project, all plans and specifications in their possession for the project shall be destroyed.
- 4.2** The **undersigned** agrees that if selected as the Prime Contractor for this project:
- 4.2.1** The **plans and specifications** shall not be disseminated to anyone except for construction of this project.
- 4.2.2** The **following provision** shall be included in all of its contracts with subcontractors and sub-consultants:
- “Any and all drawings, specifications, maps, reports, records or other documents associated with the contract shall only be utilized to the extent necessary for the performance of the work and duties under this contract. Said drawings, specifications, maps, reports, records and other documents may not be released to any other entity or person except for the sole purpose of the work described in this contract. No other disclosure shall be permitted without the prior written consent of DAS Construction Services. When any such drawings, specifications, maps, reports, records or other documents are no longer needed, they shall be destroyed.”*
- 4.2.3** Upon completion of the construction and the issuance of a certificate of occupancy, the plans and specifications shall be returned to DAS Construction Services, or destroyed, or retained in a secure location and not released to anyone without first obtaining the permission of DAS Construction Services.

**5.0 Bid Proposal Declarations:**

I (we), the undersigned, hereby declare that I am (we are) the only person(s) interested in the Bid Proposal and that it is made without any connection with any other person making any Bid Proposal for the same work. No person acting for, or employed by, the State of Connecticut is directly or indirectly interested in this Bid Proposal, or in any Contract which may be made under it, or in expected profits to arise therefrom. This Bid Proposal is made without directly or indirectly influencing or attempting to influence any other person or corporation to bid or refrain from bidding or to influence the amount of the Bid Proposal of any other person or corporation. This Bid Proposal is made in good faith without collusion or connection with any other person bidding for the same work and this proposal is made with distinct reference and relation to the plans and specifications prepared for this Contract. I (we) further declare that in regard to the conditions affecting the Work to be done and the labor and materials needed, this Bid Proposal is based solely on my (our) own investigation and research and not in reliance upon any representations of any employee, officer or agent of the State.

**6.0 Duly Authorized Signature:**

**Type of Business:** *(Check Applicable Box)*

<input type="checkbox"/> <b>Limited Liability Corporation (LLC)</b>  <input type="checkbox"/> <b>Partnership</b>  <input type="checkbox"/> <b>Sole Proprietor</b>  <input type="checkbox"/> <b>Doing Business As (d/b/a)</b> <i>(If d/b/a box is checked provide complete name below)</i> <input style="width: 100%;" type="text"/> <i>(Doing Business As Name)</i>	<input type="checkbox"/> <b>Corporation</b> <i>(If Checked, Provide Corporate Seal Below)</i>  <div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto;"></div> <i>(Provide <u>exact</u> corporate name from corporate seal below)</i> <input style="width: 100%;" type="text"/> <i>(Name On Corporate Seal)</i>
--	---

<b>Signed:</b>	(Month)	(Day)	(Year)
<b>Bidder's Signature:</b>	(Duly Authorized)		(Title)
	(Print Named)		(Date)



## Bid Package Submittal Requirements:

**DAS | Construction Services | Office of Legal Affairs, Policy, and Procurement**  
**450 Columbus Boulevard, Suite 1302 | Hartford, CT 06103**

<b>1.1</b>	<b>On-Line Bidding:</b>
1.1.1	All Bidders shall <b>electronically</b> upload their <b>Bid Package Documents</b> to BizNet following the <b>instructions</b> in the DAS/CS publication, <a href="#">6001 Construction On-line Bidding Instructions</a> , available for download here: Go to the DAS Homepage ( <a href="http://www.ct.gov/DAS">www.ct.gov/DAS</a> ) > Doing Business With The State > State Building Construction > Publications and Forms > DAS Construction Services Library > 6000 Series > <b>6001 Construction On Line Bidding Instructions</b> .
1.1.2	For questions, call 860-713-5794.

<b>1.2</b>	<b>Bid Package Submittal Requirements:</b>
	All Bidders are required to <b>electronically upload Bid Package Documents</b> to BizNet <i>prior</i> to the date and time of the Bid Opening. Additional documents must be either <b>electronically uploaded</b> to BizNet <b>or</b> submitted as <b>paper copies</b> to the <b>appropriate Agency</b> . See Tables 1, 2, and 3 for specific submittal requirements.
1.2.1	<b>All Bidders:</b> See Table 1. <b>All Documents in Table 1 <u>must be electronically uploaded to BizNet.</u></b>
1.2.2	<b>Three (3) Apparent Lowest Bidders:</b> See Table 2.
1.2.3	<b>Apparent Low Bidder:</b> See Table 3.

<b>1.3</b>	<b>Deadlines for Receipt of Bid Package Documents:</b>
1.3.1	<b>Table 1:</b> Bid Package Documents must be uploaded to BizNet <i>prior</i> to the date and time of the Bid Opening. The State may waive minor irregularities that otherwise may cause rejection of a Bid only when waiving such minor irregularities is in the best interests of the State and the minor irregularities have been corrected by the Bidder within seven (7) Calendar Days after the Bid Due Date. Failure to properly <u>complete, sign and upload</u> to BizNet any of the items <b>marked with an asterisk (*)</b> in <b>Table 1 prior</b> to the date and time of the Bid Opening <b>shall cause rejection</b> of the bid and shall <b>not</b> be considered a minor irregularity under <b>Connecticut General Statutes (C.G.S.) § 4b-95</b> .
1.3.2	<b>Tables 2 and 3:</b> See the tables for additional deadlines. Failure to submit the documents before the stated deadlines <b>may</b> result in rejection of the bid at the sole discretion of the Commissioner of Administrative Services.

<b>1.4</b>	<b>Delays in Receipt of Supportive Documents from the Three Apparent Lowest Bidders:</b>		
1.4.1	If there are any delays in the receipt of the supportive documents specified in Tables 2 and 3, then the Bids shall remain valid for the same additional number of days. <table style="margin-left: 20px; border: none;"> <tr> <td style="width: 20px;">.1</td> <td>For example, since the <b>Three (3) Apparent Lowest Bidders</b> are required to <b>Hold The Bid Price</b> for <b>ninety (90) calendar days</b>, if supportive documents are submitted <b>four (4) calendar days later</b>, then the bid shall remain valid for <b>ninety-four (94) calendar days</b>.</td> </tr> </table>	.1	For example, since the <b>Three (3) Apparent Lowest Bidders</b> are required to <b>Hold The Bid Price</b> for <b>ninety (90) calendar days</b> , if supportive documents are submitted <b>four (4) calendar days later</b> , then the bid shall remain valid for <b>ninety-four (94) calendar days</b> .
.1	For example, since the <b>Three (3) Apparent Lowest Bidders</b> are required to <b>Hold The Bid Price</b> for <b>ninety (90) calendar days</b> , if supportive documents are submitted <b>four (4) calendar days later</b> , then the bid shall remain valid for <b>ninety-four (94) calendar days</b> .		
1.4.2	Failure to submit the documents before the stated deadline <b>may</b> result in rejection of the bid at the sole discretion of the Commissioner of Administrative Services.		

TABLE 1 ALL BIDDERS			
Construction Costs:		The Bid Proposal Form, Other Bid Package Documents, Affidavits, and Certifications <u>shall</u> be electronically uploaded to BizNet by <u>all</u> Bidders prior to the Date and Time of the Bid Opening.	Form Location
Less Than \$500,000	Greater Than \$500,000		
Bid Proposal Form and Other Bid Package Documents			
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	* Section 00 41 00 Bid Proposal Form	BizNet
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	* Section 00 43 16 Standard Bid Bond or Certified Check	BizNet
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	* Section 00 45 14 General Contractor Bidder's Qualification Statement	BizNet
	<input checked="" type="checkbox"/>	* DAS Prequalification Certificate	BizNet
	<input checked="" type="checkbox"/>	* DAS Update (Bid) Statement	BizNet
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Section 00 40 14 Certificate (of authority)	BizNet
<input checked="" type="checkbox"/>		DAS Set-Aside Certificate	BizNet
<input checked="" type="checkbox"/>		Bidder Contract Compliance Monitoring Report	CHRO Website
Affidavits and Certifications			
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	* Gift and Campaign Contribution Certification – OPM Ethics Form 1	BizNet
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	* Consulting Agreement Affidavit – OPM Ethics Form 5	BizNet
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	* Ethics Affidavit (Regarding State Ethics) – OPM Ethics Form 6	BizNet
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	* Iran Certification – OPM Ethics Form 7	BizNet
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Nondiscrimination Certification – Form A, B, C, D, or E	BizNet

**\* NOTE:** The State may waive minor irregularities that otherwise may cause rejection of a Bid only when waiving such minor irregularities is in the best interests of the State and the minor irregularities have been corrected by the Bidder within seven (7) Calendar Days after the Bid Due Date. Failure to properly complete, sign and upload to BizNet any of the items marked with an **asterisk (\*)** in **Table 1** **prior** to the date and time of the Bid Opening **shall** cause rejection of the bid and shall **not** be considered a minor irregularity under C.G.S. § 4b-95.

TABLE 2			
THREE (3) APPARENT LOWEST BIDDERS			
Construction Costs:		WHEN APPLICABLE:	Form Location
Less Than \$500,000	Greater Than \$500,000	Submit within <b>ten (10) Calendar Days</b> <i>after</i> receipt of the “ <b>Set-Aside Contractor Schedule Request</b> ” from the DAS/CS Procurement Unit:	Form Location
	<input checked="" type="checkbox"/>	<b>Set-Aside Contractor Schedule</b> for each subcontracted SBE and/or MBE firm(s) (See Section 00 73 27 Set-Aside Contractor Schedule for a sample Request.)	<b>Email From DAS/CS Procurement Unit</b>
	<input checked="" type="checkbox"/>	<b>DAS Set-Aside Certificate(s)</b> for each subcontracted SBE and/or MBE firm(s) listed in the Set-Aside Contractor Schedule.	<b>Download from BizNet</b>
	<input checked="" type="checkbox"/>	<b>Section 00 45 17 Named Subcontractor Bidder’s Qualification Statements</b> for each Named Subcontractor listed in the Bid Proposal Form.	<b>Copy from Project Manual</b>
	<input checked="" type="checkbox"/>	<b>DAS Prequalification Certificate(s) and Update (Bid) Statement(s)</b> for each Named Subcontractor listed in the Bid Proposal Form <b>with Subcontracts greater than \$500,000.</b>	<b>Download from BizNet</b>

TABLE 3			
APPARENT LOW BIDDER			
Construction Costs:		When Applicable, submit the following documents as noted:	Form Location
Less Than \$500,000	Greater Than \$500,000	When Applicable, submit the following documents as noted:	Form Location

Submit within <b>fifteen (15) calendar days</b> <i>after</i> receipt of the “ <b>Request for the Affirmative Action Plan and Employment Information Form Letter</b> ” from the DAS/CS Procurement Unit:			
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>If Contractor has 50 or more employees and/or the Project is equal to or greater than \$500,000, submit to CHRO:</b> Affirmative Action Plan and Employment Information Form (DAS-45).	<b>CHRO Website &amp; BizNet</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Submit to DAS/CS Procurement Unit:</b> Copy of Transmittal Letter to confirm the Affirmative Action Plan was filed with CHRO.	<b>(copy of transmittal letter)</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Submit to CT Department of Labor:</b> Contractors Wage Certification Form. See Section 00 73 44 Prevailing Wage Rates/Contractor’s Wage Certification/Payroll Certification.	<b>Copy from Project Manual</b>

TABLE 3 APPARENT LOW BIDDER (continued)			
Construction Costs:		Submit within <b>ten (10) business days</b> <i>after</i> receipt of the “Letter of Intent” from the DAS/CS Procurement Unit:	Form Location
Less Than \$500,000	Greater Than \$500,000		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Section 00 40 14 Certificate (of authority)</b>	Email From DAS/CS Procurement Unit
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Section 00 52 03 Contract</b>	Email From DAS/CS Procurement Unit
	<input checked="" type="checkbox"/>	<b>Section 00 52 73 Subcontract Agreement Form (Named &amp; Listed)</b>	Email From DAS/CS Procurement Unit
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Certificate of Liability Insurance Acord® form</b> (See Section 00 62 16 Insurance Certificate Form for details)	Email From DAS/CS Procurement Unit
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Certificate of Asbestos Abatement Liability Insurance</b> (for asbestos abatement only; see Section 00 62 16.1 Asbestos Abatement Liability Insurance for details)	Email From DAS/CS Procurement Unit
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Section 00 92 10: Additional Forms</b>	<b>Performance Bond</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<b>Labor &amp; Material Bond</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<b>Surety Sheet</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<b>Bidder’s Certification: Financial Position &amp; Corporate Structure</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Power of Attorney from the Surety Company</b>	<b>Surety Company</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Nonresident (Out of State) Contractors:</b> <u>Verified Nonresident</u> General/Prime Contractors must submit a copy of their “ <b>Notice of Verified Status</b> ” (Verification Letter) from the CT Department of Revenue Services (DRS). <u>Unverified Nonresident</u> General/Prime Contractors must submit a copy of <b>Form AU-965 “Acceptance of Surety Bond”</b> from the DRS. (See Section 00 92 30 Procedures Regarding Taxation for Nonresident General/Prime Contractor and Subcontractors for additional details.)	<b>CT Department of Revenue Services</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>NEW: General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities:</b> For projects disturbing <b>one or more total acres of land area</b> , submit a copy of the signed <b>Stormwater Pollution Control Plan “Contractor Certification Statement”</b> and <b>License Transfer Form</b> , as directed by the DAS/CS Architect/Engineer, prior to commencement of any construction activities.	<b>DAS/CS Architect/Engineer</b>
	<input checked="" type="checkbox"/>	<b>Ethics Affidavit (Regarding State Ethics) OPM Ethics Form 6</b> for each Named Subcontractor	<b>BizNet</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Threshold Projects Only: Submit Major Contractor Registration License Number(s)</b> for Subcontractors	<b>CT Department of Consumer Protection</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>SEEC Form 10</b>	<b>SEEC Website</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Certificate of Legal Existence from Corporations</b>	<b>Secretary of the State</b>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<b>NEW: Contractor and Subcontractor Payments Reporting:</b> Every Contractor (and its Subcontractors) shall log on to BizNet <b>each month</b> and <b>enter payments</b> they have received from the state, from the Contractor, or from a higher tier Subcontractor (as applicable).	<b>BizNet</b>

End of Section  
 00 41 10 Bid Package Submittal Requirements



**INSTRUCTIONS FOR CERTIFIED CHECK OR BID BOND (select one):**  
**All Bidders:**  
**Edit this page, print, sign, and scan to PDF. Upload the PDF form to BizNet.**

- CERTIFIED CHECK OPTION:** *Prior* to the Date and Time of the Bid Opening:
  - (1) Check the box for "Certified Check Option";
  - (2) Print, scan to PDF, and upload the PDF form to Biznet; and
  - (3) Deliver the Certified Check, made payable to "Treasurer, State of Connecticut", to the following address:  
 State of Connecticut  
 Department of Administrative Services, Construction Services  
 Office of Legal Affairs, Policy, and Procurement  
 450 Columbus Boulevard, North Tower, Suite 1302  
 Hartford, CT 06103-1835
- BID BOND OPTION** (see template below): *Prior* to the Date and Time of the Bid Opening:
  - (1) Check the box for "Bid Bond Option";
  - (2) Complete the **Standard Bid Bond** (below), print, sign, scan to PDF, and upload the PDF Bid Bond to Biznet.

**Standard Bid Bond**

**DAS | Construction Services | Office of Legal Affairs, Policy, and Procurement**

KNOW ALL MEN BY THESE PRESENTS, That we, \_\_\_\_\_

\_\_\_\_\_, hereinafter called the Principal,

of \_\_\_\_\_, as Principal,

and \_\_\_\_\_, hereinafter

called the Surety, a corporation organized and existing under the laws of the

State of \_\_\_\_\_, and duly authorized to transact a

surety business in the State of Connecticut, as Surety, are held and firmly bound unto the State of

Connecticut, as Obligee, in the penal sum of ten (10) percent of the amount of the bid set forth in a

proposal hereinafter mentioned, \_\_\_\_\_

\_\_\_\_\_,

lawful money of the United States of America, for the payment of which, well and truly to be made to the Obligee,

the Principal and the Surety bind themselves, their heirs, executors, administrators, successors and assigns,

jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, That, whereas the Principal has submitted

or is about to submit a proposal to the Obligee related to a contract for Project No.: \_\_\_\_\_

NOW, THEREFORE, if the said contract be awarded to the Principal and the Principal shall, within such time as

may be specified, enter into the said contract in writing with the State of Connecticut and give the required

bonds, with surety acceptable to the Obligee, or if the Principal shall fail to do so, pay to the Obligee the

damages which the Obligee may suffer by reason of such failure not exceeding the penalty of this bond, then

this obligation shall be void, otherwise to remain in full force and effect.

SIGNED, SEALED AND DELIVERED this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

\_\_\_\_\_  
(Principal's Signature)

\_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
Company Name

\_\_\_\_\_  
Surety

by \_\_\_\_\_  
Its attorney in fact Signature

\_\_\_\_\_  
(Print Name)



## General Contractor Bidder's Qualification Statement

DAS | Construction Services | Office of Legal Affairs, Policy, and Procurement

**Instructions:**

- All Bidders are **required** to **upload this form to BizNet**, properly completed, **prior to the date and time of the Bid Opening**.
- Failure of a Bidder to answer any question or provide required information **shall** be grounds for the awarding authority to disqualify and reject the bid, pursuant to Connecticut General Statutes §4b-92.
- If a question or request for information does not pertain to your organization in any way, use the symbol "NA" (Not Applicable).
- Attach additional information on 8 ½" x 11" sheets with your letterhead as necessary and reference specific section and subsection numbers.
- **NOTE:** The Department reserves the right to request any additional or supplemental information necessary to complete its evaluation of a Bidder's qualification.

**1.0 Project Information:**

1.1 DAS/CS Project Number:

1.2 Project Name:

1.3 Project Location:

**2.0 Projects with Construction Costs Estimated To Be Greater than \$500,000:**

- Select the applicable **Class of Work** as stated in the **00 11 16 Invitation to Bid**.
- Select **YES** if your Firm has the applicable the **DAS Prequalification Certificate and Update (Bid) Statement** or **NO** if it does not.
- If **YES**, upload the applicable **DAS Prequalification Certificate and Update (Bid) Statement** to BizNet **prior** to the date and time of the Bid Opening.

**Not Applicable - Construction Costs Less than \$500,000**

Class of Work:

Does your Firm have the applicable  
DAS Prequalification Certificate and  
Update (Bid) Statement?

2.1  **General Building Construction (Group A):**

YES  NO

2.2  **General Building Construction (Group B):**

YES  NO

2.3  **General Building Construction (Group C):**

YES  NO

2.4  **General Trades (Interior Work Only):**

YES  NO

2.5  **CPS Projects ONLY: Insert Class of Work**

YES  NO

**3.0 Firm's Present Legal Name:** (the *complete legal name exactly* as it appears with the **Secretary of State registry**. The appropriate **title** must be used throughout the documents, for example: General Partner, Member, Manager, Sole Member, etc.)

**Name:**

**4.0** How many years has your Firm been in business under its **Present Legal Name**?

**Years:**

**5.0** How many years has your Firm been in business as a General Contractor?

**Years:**

**6.0** Indicate **all** other **names** by which your Firm has been known and the **length of time** known by each name:

**6.1**

Years	Months

**6.2**

Years	Months

**6.3**

Years	Months

**7.0** This Firm's **Certification** with the CT Secretary of State:

**Check Box**

**Type of Business Entity:**

**Certification Year**

**Corporation**



**Partnership**



**Sole Proprietorship**



**Limited Liability Company (LLC)**



**Other:**



**8.0** Attach resumes of all **supervisory personnel**, such as **Principals, Project Managers, and Superintendents**, who will be directly involved with the project on which you are now a bidder. Indicate their construction related training, certifications and licenses and the number of years of actual construction experience. Indicate the number of years of this actual construction experience which were in a Supervisory capacity.

**9.0 Named Subcontractor – Bidder Intends to Self-Perform:**

Check **YES** or **NO** for each “Named Subcontractor” **Class of Work** which your firm intends to perform with its own employees for this Contract; see **Section 2.7** of **Section 00 41 00 Bid Proposal Form**.

**NOTE:** For Projects with Construction Costs estimated to be greater than \$500,000, complete **Section 00 45 17 Named Subcontractor Bidder's Qualification Statement** for each **Named Subcontractor Class of Work** checked **YES** and submit within ten (10) calendar days *after* receipt of the “Set-Aside Contractor Schedule Request” from DAS/CS Office of Legal Affairs, Policy, and Procurement.

<input type="checkbox"/>	<b>Not Applicable – No Named Subcontractors &amp;/or Not Self-Performing</b>	
	Named Subcontractor Class of Work	Does your Firm intend to self-perform this Named Subcontractor Class of Work?
9.1	Electrical:	YES <input type="checkbox"/> NO <input type="checkbox"/>
9.2	HVAC:	YES <input type="checkbox"/> NO <input type="checkbox"/>
9.3	Masonry:	YES <input type="checkbox"/> NO <input type="checkbox"/>
9.4	Plumbing:	YES <input type="checkbox"/> NO <input type="checkbox"/>
9.5	Environmental Remediation:	YES <input type="checkbox"/> NO <input type="checkbox"/>
9.6	Hazardous Materials Abatement:	YES <input type="checkbox"/> NO <input type="checkbox"/>

**10.0 Named Subcontractor - Class of Work Greater than \$500,000 and Self-Performing:**

- Select the applicable **Named Subcontractor Class of Work** which your firm intends to perform with its own employees for this Contract.
- Select **YES** if your Firm has the applicable the **DAS Prequalification Certificate and Update (Bid) Statement** or **NO** if it does not.
- If **YES**, submit the applicable **DAS Prequalification Certificate and Update (Bid) Statement** within ten (10) calendar days *after* receipt of the “Set-Aside Contractor Schedule Request” from DAS/CS Office of Legal Affairs, Policy, and Procurement.

<input type="checkbox"/>	<b>Not Applicable – No Class of Work Greater \$500,000 &amp;/or Not Self-Performing</b>	
	Named Subcontractor Class of Work Greater Than \$500,000	Does your Firm have the applicable DAS Prequalification Certificate and Update (Bid) Statement?
10.1	<input type="checkbox"/> Electrical:	YES <input type="checkbox"/> NO <input type="checkbox"/>
10.2	<input type="checkbox"/> HVAC:	YES <input type="checkbox"/> NO <input type="checkbox"/>
10.3	<input type="checkbox"/> Masonry:	YES <input type="checkbox"/> NO <input type="checkbox"/>
10.4	<input type="checkbox"/> Plumbing:	YES <input type="checkbox"/> NO <input type="checkbox"/>

**11.0 List all** construction projects your Firm has completed in the **past five (5) years**. Provide **all** of the information listed below. DAS/CS *may* reject a bid as **non-responsive** if the bidder does not make **all** required pre-award submittals within the designated time period. Attach additional sheets as necessary **using the following format**:

**IMPORTANT NOTE:** **Two (2)** of the construction projects completed in the past five (5) years shall be (1) single project contracts that have reached substantial completion, not aggregate projects; (2) of commercial and/or institutional construction work (this includes compliance with general requirements); (3) within the Cost Estimate Range stated in Section 00 11 16 Invitation to Bid for this project; and (4) of the size and complexity of this Project. Failure to identify to **two** such projects **shall** result in rejection of the bid.

<b>11.1 Project Title:</b>		
<b>11.2 Project Location:</b>		
<b>11.3 Construction Start Date:</b>		
<b>11.4 Construction Finish Date:</b>		
<b>11.5 Describe the Scope of Work your Firm performed:</b>		
<b>11.6 Original Contract Amount:</b>		
<b>11.7 Final Contract Amount:</b>		
<b>11.8 Original Contract Duration (Calendar Days):</b>		
<b>11.9 Final Contract Duration (Calendar Days):</b>		
<b>11.10 Owner:</b>		
<b>11.11 Owner's Representative:</b>		
	<i>(Name)</i>	<i>(Phone Number)</i>
<b>11.12 Design Firm:</b>		
<b>11.13 Design Firm's Representative:</b>		
	<i>(Name)</i>	<i>(Phone Number)</i>

**12.0 References:**

Furnish references from **architects, engineers or owners** indicating that your Firm has satisfactorily completed in a timely manner contract work for projects within the cost estimate range, size and complexity of this project. Provide explanations where delays have occurred. This information should cover work done over the past five years.

**13.0 Construction Scheduler:**

**For Projects greater than \$5 Million:** Submit the **name, resume and references** of the **Construction Scheduler** in accordance with the requirements called for in Section **01 32 16.13 Critical Path Method Schedules** of the General Requirements.

Not Applicable – Project Less Than \$5 Million

**14.0** List and explain if your Firm has ever failed to complete a contract or if any officer or partner of your Firm has ever been an officer or partner of another organization that failed to complete a contract. Indicate below the circumstances leading to the project failure and the name of the company which provided the bonding for the failed contract(s):

Not Applicable

**15.0** List and explain if your Firm has ever had a contract terminated, indicating the circumstances leading to the project termination of contract(s):

Not Applicable

**16.0** List and explain all legal or administrative proceedings against your Firm or any officers, principals, partners, members, or employees of the organization currently pending or concluded adversely within the last five years, and any judicial or administrative sanctions that are still in effect against such organization, and any of its officers, principals, partners, members, or employees. (Exclude Occupational Safety and Health Act [OSHA] violations which are called for elsewhere in this statement). Add attachments as necessary.

Not Applicable

**17.0** List and explain any disbarments or suspensions that have been imposed on your Firm in the past five years or that were still in effect during the five year period or that are still in effect. Such list must include disbarments and suspensions of officers, principals, partners, members, and employees of your Firm:

Not Applicable

**18.0** List and explain any other reason(s) that precludes your Firm or any officer, principal, partner, member, or employees thereof from bidding on a contract in Connecticut or any other jurisdiction:

Not Applicable

**19.0** List and explain all willful or serious violations your Firm has had of any OSHA or of any standard, order or regulation promulgated pursuant to such act, during the three year period preceding the bid, provided such violations were cited in accordance with the provisions of any State Occupational Safety and Health Act or Occupational Safety and Health Act of 1970. Indicate whether these were abated within the time fixed by the citation or whether the citation was appealed. If appealed what is the status or disposition. Add attachments as necessary.

Not Applicable

**20.0** List and explain any criminal convictions your Firm has had related to the injury or death of any employee in the three-year period preceding the bid: Add attachments as necessary.

Not Applicable

**21.0** List and explain any changes in your Firm's financial condition or business organization, which might affect your Firm's ability to successfully complete this contract:

Not Applicable

**22.0** **NEW:** List and explain if your Firm has ever failed to submit an Affirmative Action Plan to the Commission on Human Rights and Opportunities (CHRO). Indicate below the circumstances leading to the failure to submit the Affirmative Action Plan to CHRO:

Not Applicable

**23.0** **NEW:** List and explain if your Firm's Affirmative Action Plan has ever been disapproved by CHRO or determined to be noncompliant. Indicate below the circumstances leading to the disapproval or finding of noncompliance of your Affirmative Action Plan by CHRO:

Not Applicable



### 24. Signature

Dated at

Signed this

 day of , 20 

Name of Firm:

Firm Address:

Signature:

Print or Type Name:

Title:

### 25. Notary Statement

Mr./Mrs./Ms.  being duly sworn

deposes and says that he/she is the  of  
*(Position or Title)*

, and that the answers to the foregoing  
*(Firm Name)*

questions and all statements therein contained are true and correct.

Subscribed and sworn before me this  day of , 20

Notary Public

My Commission Expires , 20

00 45 14 General Contractor Bidder's Qualification Statement



## Objective Criteria Established for Evaluating Qualifications of Bidders:

CT DAS | Construction Services | Office of Legal Affairs, Policy, and Procurement

The following items are established pursuant to Sections 4b-92, 4b-94 and 4b-95a of the Connecticut General Statutes (C.G.S.) as amended.

The **Objective Criteria Established for Evaluating Qualifications of Bidders** (Section 00 45 15) are to assure that the State of Connecticut will secure the "lowest responsible and qualified bidder" who has the ability and capacity to successfully complete the Bid Proposal Form and the Work. Failure to comply with any portion of this requirement **may** cause **rejection** of the bid. **Note:** Individual Specification Sections **may** contain General Contractor and/or Subcontractor Qualification requirements that **exceed** those in **Section 00 45 15 Objective Criteria Established for Evaluating Qualifications of Bidders**.

**THE BIDDER MUST HAVE OR HAVE COMPLETED THE FOLLOWING:**

### 1.1 DAS Prequalification Requirements:

For Projects with Construction Costs greater than \$500,000, **all Bidders** shall upload to BizNet a valid Department of Administrative Services (DAS) **Prequalification Certificate** and **Update (Bid) Statement** *prior* to the date and time of the Bid Opening.

### 1.2 Evaluation:

**1.2.1 All Bidders** shall upload to BizNet **Section 00 45 14 General Contractor's Bidder Qualifications Statement** *prior* to the date and time of the Bid Opening.

**1.2.2** If applicable, the **Three (3) Lowest Bidders** shall submit **Section 00 45 17 Named Subcontractor's Bidder Qualification Statement(s)** to DAS Construction Services (DAS/CS) Office of Legal Affairs, Policy, and Procurement within **ten (10)** calendar days **after** receipt of the "Set-Aside Contractor Schedule Request" *from* DAS/CS.

**1.2.3** The Bidder must demonstrate that the Bidder and, if applicable, its Named Subcontractors, meet the **objective criteria** for this specific project.

**1.2.4** The **responses** to the Statement(s) must identify two (2) **projects completed** – single project contracts that have reached substantial completion, not aggregate projects – of commercial and/or institutional construction work (this includes compliance with general requirements) during the past five (5) years within the Cost Estimate Range stated in Section 00 11 16 **Invitation to Bid** for this project, and of the size and complexity of this project. The failure to identify to such projects shall result in rejection of the bid.

**1.2.5** If the Bidder identifies two projects that meet the above criteria, the **State's evaluation** shall be based on the **performance record** of the prospective Bidder as a general, prime contractor and its named subcontractors during the course of the two (2) comparable projects, and not just the end result. The state will conduct the evaluation based on its interpretation of its objective criteria. **Evaluation criteria** shall include: Faithful and efficient performance; fulfilment of contract obligations; financial, managerial and technical abilities; and integrity and the absence of any conflicts of interest. Any one or all of the factors noted in this paragraph as well as in the other criteria set forth in this **Section 00 45 15** may be grounds for the determination by the State, in its sole discretion, of the Bidder's responsibility and qualifications necessary for the faithful performance of the work required of this project.

### 1.3 References:

Furnished **references from architects, engineers or owners** indicating that it has satisfactorily completed in a timely manner contract work for projects and provide explanations where delays have occurred. This information should cover work done over the **past five years**. Review of DAS/CS projects shall be included in the evaluation of the bidder's qualifications and anticipated future performance.

<b>1.4</b>	<b>Qualified Personnel:</b>
1.4.1	Shown that it customarily employs or has on its payroll <b>supervisory personnel, qualified</b> to perform the work required for this project and to coordinate the work called for in the Bid Specifications.
1.4.2	If the project is for \$5 Million or more, submit the <b>name, resume and references</b> of the <b>Construction Scheduler</b> in accordance with the requirements called for in <b>Section 01 32 16.13 Critical Path Method Schedules</b> of the General Requirements.
<b>1.5</b>	<b>Past Performance:</b>
	Demonstrated a good track record of <b>past performance</b> on State or other projects relative to quantity, quality, timeliness, cost, cooperation and harmonious working relationships with subcontractors, suppliers and client agencies. DAS/CS will review the Bidders past performance ratings prepared by DAS/CS or prepared as part of the DAS Contractor Prequalification Program. This review may focus on the comments relative to: Quality of Supervision, Adherence to Contract Documents, On Time Project Completion, Subcontractor performance, and the handling of Change Orders. Unacceptable ratings for several criteria shall be sufficient cause to deem a bidder not responsible.
<b>1.6</b>	<b>Financial Responsibility:</b>
	Shown that it is <b>financially responsible</b> to perform the work as bid. If requested, additional financial information shall be provided. Prompt and proper payments to its subcontractors and material suppliers is a critical factor to be considered by DAS/CS.
<b>1.7</b>	[Left Blank]
<b>1.8</b>	<b>Equipment Requirements:</b>
	Shown that it owns or possesses, rented, or leased <b>equipment</b> of the type customarily required by contractors in the performance of contract work and that such equipment, if needed, is available for this project.
<b>1.9</b>	<b>Materials and Suppliers:</b>
	Purchased <b>materials</b> over the past three years from suppliers who customarily sell such materials in quantity to contractors.
<b>1.10</b>	<b>Physical Facilities:</b>
	Control of adequate <b>physical facilities</b> from which the work can be performed.
<b>1.11</b>	<b>Compliance with Subcontractor Requirements:</b>
	Demonstrated that on <b>previous state projects</b> the bidder complied in good faith with the requirements of listing subcontractors as outlined in C.G.S. Sections 4b-93 and 4b-95.
<b>1.12</b>	<b>Threshold Building and Major Contractor Requirements:</b>
	Demonstrated that <b>all major subcontractors</b> are in compliance with the provisions of C.G.S. Section 20-341gg, as revised, concerning licensure requirements to perform work on any structure that exceeds the threshold limits contained in C.G.S. Section 29-276b, as revised.
<b>1.13</b>	<b>OSHA Requirements:</b>
	Proven that the Bidder has not been found to be in violation of three or more willful or serious violations of Occupational Safety and Health Administration (OSHA) regulations in the past three years.

**1.14 Criminal Convictions and Injuries or Death of Employees:**

Not received a **criminal conviction** related to the injury or death of any employee in the three-year period preceding the bid.

**1.15 Legal or Administrative Proceedings:**

Listed all **legal** (court and/or arbitration) or **administrative proceedings** currently pending as well as any legal (court and/or arbitration) or administrative proceeding related to procurement or performance of any public or private construction contracts which has concluded adversely within the last three years.

**1.16 Contract Performance and Surety:**

Identified any situations where: (1) the bidder failed to complete a construction contract; or (2) bonds were called during the past three years. If applicable, attach a sheet providing explanation including date(s) and location(s).

**1.17 State Tax Requirements:**

Not been found to be in violation of any **state tax** requirements of the Connecticut Department of Revenue Services in the five (5)-year period preceding the bid.

**1.18 State and Federal Labor Requirements:**

Not been found to be in violation of any State or Federal **labor laws** as required through the Department of Labor including violations of prevailing wage laws in the five (5)-year period preceding the bid.

**1.19 Change Order Pricing and State Ethics:**

Been found to be in compliance with all statutory and regulatory requirements. This Item shall include, but not be limited to, any DAS/CS determinations related to improper Change Order pricing relative to C.G.S. Section 1-101nn of The State Ethics Statutes.

**1.20 Internal Revenue Services (IRS) Requirements:**

Not been found in violation of any of the **Internal Revenue Service Tax Requirements** regarding classification of employees and independent contractors in the five (5)-year period preceding the bid.

**1.21 Workers Compensation and Insurance Requirements:**

Not been found to be in any violation of C.G.S. Section 31-288 relating to employee classification for purposes of Workers' Compensation insurance premiums in the five (5)-year period preceding the bid.

**NOTE:** The foregoing Item Numbers **1.13** and **1.14** are meant to comport with C.G.S. Section 31-57b.

**End of Section**  
**00 45 15 Objective Criteria Established for Evaluating Qualifications of Bidders**



## Contract

DAS | Construction Services | Office of Legal Affairs, Policy, and Procurement

Contract For:

Dated as of  by and between the **State of Connecticut** (herein called the  
*(Month, Day, Year)*

“State”) acting herein by its Commissioner, Department of Administrative Services under the provisions of the Connecticut General Statutes (C.G.S.) Sections 4-8, 4a-1, 4a-2, 4b-1, and 4b-3, as revised, and  (herein called the “Contractor”).

*(Print Name of Contractor)*

WITNESSETH, that the State and the Contractor in consideration of the hereinafter contained mutual promises and covenants, do hereby agree as follows:

### 1. CONTRACT AND CONTRACT DOCUMENTS:

The **Invitation for Bids**, the enumerated **Plans**, the **Specifications** and **Amendments** thereto, the **Addenda**, the **Bid Proposal** as accepted by the Commissioner, Department of Administrative Services, **Order of Award**, which Order is made a part of this **Contract**, the **General Conditions**, the **Supplementary Conditions**, the **General Requirements**, the **Contract** and the **Bonds** shall form part of this **Contract** and the **provisions** thereof shall be as binding upon the parties as if they were fully set forth herein. The tables of contents, titles, headings, running headlines and marginal notes contained herein and in said Documents, are solely to facilitate to various provisions of the Contract Documents and in no way affect, limit, or cast light upon the interpretations of the provisions to which they refer. Whenever the term “**Contract Documents**” is used, it shall mean and include this **Contract**, the **Invitation for Bids**, the enumerated **Plans**, **Specifications** and **Amendments** thereto, the **Addenda**, the **Bid Proposal** as accepted by the Commissioner, Department of Administrative Services, the **General Conditions**, the **General Requirements**, the **Bonds**, the **Instructions to Bidders**, the **Wage Scales**, the **Supplementary Conditions**, and the **Insurance Certificates**.

### 2. SCOPE OF THE WORK:

The Contractor shall furnish all plant, labor, materials, supplies, equipment, and other facilities and things necessary or proper for or incidental to the work contemplated by this Contract as required by and in strict accordance with applicable Plans, Specifications and Amendments thereto, and Addenda (hereinafter enumerated), and as required by and in strict accordance with such changes as are ordered and approved pursuant to this Contract, and will perform all other obligations imposed on him by this Contract.

**3. ENUMERATION OF PLANS, SPECIFICATIONS AND ADDENDA:**

The following is an enumeration of the Plans, Specifications, and Addenda:

<b>Prepared By:</b>	<input type="text"/> <i>(Print Name of Architect/Engineer Firm)</i>
<b>Plans and Specifications:</b>	<input type="text"/>
<b>Addenda:</b>	<input type="text"/>

**4. COMPENSATION TO BE PAID THE CONTRACTOR**

The State will pay and the Contractor will accept in full consideration for the performance of the Contractor's obligation hereunder the sum of:

<input type="text"/>	Dollars and 00/100 (\$	<input type="text"/>	)
----------------------	------------------------	----------------------	---

**5. PROVISIONS REQUIRED BY LAW DEEMED INSERTED**

Each and every provision of law and clause required by law to be inserted in this Contract shall be deemed to be inserted herein and the Contract shall be read and enforced as though it were included herein, and if through mistake or otherwise any such provision is not inserted, or is not correctly inserted, then upon the application of either party, the Contract shall forthwith be physically amended to make such insertion.


For all State contracts as defined in the **C.G.S. §9-612(f)(1)(C)**, having a value in a calendar year of \$50,000 or more or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this Agreement expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising state contractors of campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice. See **SEEC Form 10**.

Contractor hereby irrevocably assigns to the State of Connecticut all rights, title and interest in and to all **Claims\* associated with this Contract** that Contractor now has or may or will have and that arise under the antitrust laws of the United States, **15 USC Section 1, et seq.** and the antitrust laws of the State of Connecticut, **C.G.S. §35-24, et seq.**, including but not limited to any and all Claims for overcharges. This assignment shall become valid and effective immediately upon the accrual of a Claim without any further action or acknowledgment by the parties.

**\*Definition of Claims associated with this Contract:** "All actions, suits, claims, demands, investigations and proceedings of any kind, open, pending or threatened, whether mature, unmaturing, contingent, known or unknown, at law or in equity, in any forum."



IN WITNESS WHEREOF, the Commissioner, Department of Administrative Services for and on behalf of the State of Connecticut, and the Contractor have executed this contract on the day and year first written.

<b>State Of Connecticut Attested By:</b>	<b>State Of Connecticut:</b>
WITNESS: <input type="text"/> <i>(Signature)</i>	By: <input type="text"/> <i>(Signature)</i>
Print Name: <input type="text"/>	Print Name: Noel Petra
WITNESS: <input type="text"/> <i>(Signature)</i>	Its: Deputy Commissioner
Print Name: <input type="text"/>	Department of Administrative Services
<b>Contractor Attested By:</b>	<b>Contractor:</b>
WITNESS: <input type="text"/> <i>(Signature)</i>	Firm Name: <input type="text"/>
Print Name: <input type="text"/>	By: <input type="text"/> <i>(Signature)</i>
WITNESS: <input type="text"/> <i>(Signature)</i>	Print Name: <input type="text"/>
Print Name: <input type="text"/>	Its: <input type="text"/> , Duly Authorized
<b>Office of the Attorney General:</b>	Date Signed: <input type="text"/>
Approved as to form:	
By: <input type="text"/> <i>(Signature)</i>	
Print Name: <input type="text"/>	
Its: Attorney General / Assistant Deputy Attorney General / Associate Attorney General / Assistant Attorney General	
Date Signed: <input type="text"/>	SEAL

End of Section  
00 52 03 Contract





# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME:	
	PHONE (A.C. No. Ext):	FAX (A.C. No.):
INSURED Contractor's Legal Name and Address	E-MAIL ADDRESS:	
	INSURER(S) AFFORDING COVERAGE	
	INSURER A:	NAIC#
	INSURER B:	
	INSURER C:	
	INSURER E:	

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADD. SUIN INSR. W/O	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>GENERAL LIABILITY</b> <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-WIDE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC		Policy Number must be provided	Policy Effective Date must be provided	Policy Expiration Date must be provided	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea. occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADY INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMPYOP AGG \$ 2,000,000
	<b>AUTOMOBILE LIABILITY</b> <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS		Policy Number must be provided	Policy Effective Date must be provided	Policy Expiration Date must be provided	COMBINED SINGLE LIMIT (Ea. accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB OCCUR CLAIMS-MADE DED. \$ RETENTION \$					EACH OCCURRENCE \$ AGGREGATE \$
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/> N/A	Policy Number must be provided	Policy Effective Date must be provided	Policy Expiration Date must be provided	<input checked="" type="checkbox"/> WC STATU-TORY LIMITS OTH-ER E.L. EACH ACCIDENT \$ 100,000 E.L. DISEASE - EA EMPLOYEE \$ 100,000 E.L. DISEASE - POLICY LIMIT \$ 500,000
	Owner's and Contractor's Protective Liability Builder's Risk (include here when applicable)					Bodily Injury or Death (per occ.) Total \$ 1,000,000 Property Damages Total (aggregate) \$ 2,000,000 Completed Value

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Indicate Project Number and Title here

The State of Connecticut is an Additional Insured with respect to General Liability and Umbrella/Excess Liability Insurance coverage.

If Builder's Risk and or Inland Marine/Transit Insurance is required then the State is endorsed as a Loss Payee.

<b>CERTIFICATE HOLDER</b> State of Connecticut Department of Administrative Services, Construction Services Office of Legal Affairs, Policy and Procurement 450 Columbus Boulevard, Suite 1302 Hartford, CT 06103-1838	<b>CANCELLATION</b> SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE Agent of Producer
---	---

© 1988-2010 ACORD CORPORATION. All rights reserved.

ACORD 25 (2010/05)

The ACORD name and logo are registered marks of ACORD

End of Section  
 00 62 16 Certificate of Insurance



**General Conditions of the Contract for Construction  
For Design-Bid-Build  
Connecticut Department of Administrative Services**

<b>TABLE OF CONTENTS</b>		
<b>ARTICLE</b>	<b>TITLE</b>	<b>PAGE</b>
1	Definitions	2
2	Conditions of Work	6
3	Correlation of Contract Documents	6
4	Commencement and Progress of Work	7
5	Submittals, Product Data, Shop Drawings and Samples	8
6	Separate Contracts	8
7	Cooperation of Trades	9
8	Damages	9
9	Minimum Wage Rates	10
10	Posting Minimum Wage Rates	10
11	Construction Schedules	10
12	Preference in Employment	11
13	Compensation for Changes in the Work	11
14	Deleted Work	14
15	Materials: Standards	14
16	Inspection and Tests	16
17	Royalties and Patents	16
18	Surveys, Permits, and Regulations	17
19	Protection of the Work, Persons and Property	17
20	Temporary Utilities	18
21	Correction of Work	18
22	Guarantees and Warranties	18
23	Cutting, Fitting, Patching, and Digging	18
24	Cleaning Up	19
25	All Work Subject to Control of the Commissioner	19
26	Authority of the Construction Administrator	19
27	Schedule of Values: Application for Payment	20
28	Partial Payments	20
29	Delivery of Statement Showing Amounts Due for Wages, Materials, and Supplies	21
30	Substantial Completion and Acceptance	21
31	Final Payment	22
32	Owner's Right to Withhold Payments	23
33	Owner's Right to Stop Work or Terminate Contract	23
34	Subletting or Assigning of Contract	25
35	Contractor's Insurance	25
36	Foreign Materials	27
37	Hours of Work	27
38	Claims	28
39	Diesel Vehicle Emissions Control	31
40	Disclosure of Records	32
41	Audit and Inspection of Plants, Places of Business, and Records	32
Appendix 1 – CT DAS 7048 General Contractor Retainage Reduction Request Form		33

**ARTICLE 1  
DEFINITIONS**

WHENEVER THE FOLLOWING TERMS, OR PRONOUNS IN PLACE OF THEM, ARE USED THE INTENT AND MEANING SHALL BE AS FOLLOWS:

**1.1 ACCEPTANCE:** The Owner's acknowledgement of the Work from the Contractor upon certification by the Construction Administrator and Architect or Engineer that all Work has been completed.

**1.2 ADDITIONAL OR DELETED WORK:** Work required by the Department that, in the judgment of the Commissioner, involves any addition to, deduction from, or modification of the Work required by the Contract Documents.

**1.3 AGENCY:** The (User) Agency of the State of Connecticut having administrative authority of the facility in which the Work is being performed.

**1.4 APPLICATION FOR PAYMENT, PARTIAL PAYMENT OR REQUISITION:** Contractor's certified request for payment for completed portions of the Work and, if the Contract so provides, for materials or equipment suitably stored pending their incorporation into the Work.

**1.5 ARCHITECT OR ENGINEER:** A sole proprietor, partnership, firm, corporation or other business organization under Contract with the Owner, commissioned to prepare Contract Drawings and Specifications, to advise the Owner and in certain cases, to perform regular inspections during construction and when authorized to perform the duties of the Construction Administrator.

**1.6 AS-BUILT DRAWINGS:** Construction Drawings revised by the Contractor to show all significant Modifications made during the construction process.

**1.7 BASE BID:** Monetary value stated in the Bid Proposal Form as the sum for which the Bidder offers to perform the Work described in the Bidding Documents, exclusive of adjustments for Supplemental Bids.

**1.8 BID BOND:** Form of Bid Security executed by the Bidder as Principal and by a Surety to guarantee that the Bidder will enter into a Contract within a specified time and furnish any required bond as mandated by Connecticut General Statute Section 4b-92.

**1.9 BIDDER:** A sole proprietor, partnership, firm, corporation or other business organization submitting a Bid on the Bid Proposal Form for the Work contemplated.

**1.10 BIDDING DOCUMENTS:** Collectively, the Bidding Requirements and the proposed Contract Documents, including any addenda issued prior to receipt of Bids.

**1.11 BID OR BID PROPOSAL FORM:** A complete and duly signed proposal to perform Work (or a designated portion thereof) for a stipulated sum submitted in accordance with the Bidding Documents.

**1.12 BID SECURITY:** Certified check or Bid Bond submitted with Bid Proposal Form, which provides that the Bidder, if awarded the Contract, will execute such Contract in accordance with the requirements of the Bidding Documents.

**1.13 BUILDER'S RISK INSURANCE:** A specialized form of property insurance which provides coverage for loss or damage to the Work pursuant to the Contract Documents.

**1.14 CASH ALLOWANCE:** An amount established in the Contract Documents for inclusion in the Contract Sum to cover the cost of prescribed items not specified in detail, and as shown in the Allowance Schedule.

**1.15 CERTIFICATE OF ACCEPTANCE:** A document issued by the Owner to the Contractor stating that all Work specified in the Certificate of Acceptance has been completed and accepted by the Owner.

**1.16 CERTIFICATE OF COMPLIANCE:** A document stating that for the portion of the Project completed, either the design portion or the construction portion, has been performed in substantial compliance with all applicable building codes.

**1.17 CERTIFICATE OF OCCUPANCY:** Document issued by the authority having jurisdiction certifying that all or a designated portion of a building is approved for its designated use.

**1.18 CERTIFICATE OF SUBSTANTIAL COMPLETION:** A document prepared by the Architect or Engineer and approved by the Owner on the basis of an inspection stating:

1.18.1 that the Work, or a designated portion thereof, is determined to be Substantially Complete;

1.18.2 the date of Substantial Completion;

1.18.3 the responsibilities of the Owner and the Contractor for security maintenance, heat, utilities, damage to the Work and insurance; and

1.18.4 the time within which the Contractor shall complete the remaining Work.

**1.19 CHANGE ORDER:** Written authorization signed by the Owner, authorizing a modification in the Work, an adjustment in the Contract Sum, or an adjustment in the Contract Time.

**1.20 COMMISSIONER:** The State of Connecticut, Department of Construction Services (CT DCS) Commissioner acting directly or through specifically authorized CT DCS personnel or agent(s) having authority to perform duties defined in Article 25.

**1.21 COMMISSIONING AGENT (CxA):** An independent entity under contract directly with the Owner or Owner's Representative responsible for performing the specified commissioning procedures.

**1.22 CONSTRUCTION ADMINISTRATOR:** A sole proprietor, partnership, firm, corporation or other business organization, under Contract or employed by the Owner commissioned and/or authorized to oversee the fulfillment of all requirements of the Contract Documents. The authorized Construction Administrator may be a Department of Construction Services Assistant Project Manager, Department of Construction Services Project Manager, a Clerk of the Works, an Architect, a Consulting Architect, a Consulting Construction Administrator, a Consulting Engineer etc. or any other designee as authorized and identified by the Owner.

**1.23 CONSTRUCTION CHANGE DIRECTIVE:** A written authorization signed by the Owner, directing a modification in the Work and stating a proposed basis for adjustment, if any, in the Contract Sum, Contract Time or both. Any Construction Change Directive effecting an adjustment to the Contract Sum or Contract Time shall result in a Change Order.

**1.24 CONTRACT DOCUMENTS OR CONTRACT:** The Agreement between Owner and Contractor, Conditions of the Contract (General Conditions, Supplementary Conditions, General Requirements and other Conditions), Drawings, Specifications, and Addenda issued prior to execution of the Contract, other documents listed in the Agreement and Modifications issued after execution of the Contract, all of which shall constitute the Contract.

**1.25 CONTRACTOR OR GENERAL CONTRACTOR:** A sole proprietor, partnership, firm or Corporation, under direct Contract with the Department of Construction Services, responsible for performing the Work under the Contract Documents. Whenever the words "Contractor" or "General Contractor" are used it shall be understood to mean Contractor.

**1.26 CONTRACTOR'S LIABILITY INSURANCE:** Insurance purchased and maintained by the Contractor that insures the Contractor for claims for property damage, bodily injury or death.

**1.27 CONTRACT START DATE OR DATE OF COMMENCEMENT OF THE WORK:** The date, specified by the Owner in the Notice to Proceed, on which the Contractor is required to start the Work.

**1.28 CONTRACT SUM:** The sum stated in the Contract, which is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

**1.29 CONTRACT TIME:** The period of time allotted in the Contract Documents for Substantial Completion of the Work, including authorized adjustments thereto. The Contract Time is the sum of all Working Days and Non-Working Days as further defined herein and specified in the Contract Documents.

**1.30 DAY:** Whenever the word Day is used it shall be understood to mean calendar day stated on the Bidding Documents, unless stated otherwise.

**1.31 DEPARTMENT OF CONSTRUCTION SERVICES (CT DCS) PROJECT MANAGER:** The individual employed by the Owner, designated and authorized by the Commissioner, to be responsible for the overall management and oversight of the Project, and to represent the (User) Agency.

**1.32 DIESEL VEHICLE EMISSIONS CONTROL:** The reduction of air pollution emissions from diesel powered vehicles through the use of diesel engine emission control technologies.

**1.33 EQUAL(S):** Any deviation from the Specification which is defined as follows: A replacement for the specified material, device, procedure, equipment, etc., which is recognized and accepted as substantially equal to the first listed manufacturer or first listed procedure specified after review by the Architect/Engineer, and may be rejected or approved at the sole discretion of the Owner. All equals must be substantially equivalent to the first manufacturer or first procedure listed in the Specifications with reference to all of the following areas: the substance and function considering quality, workmanship, economy of operation, durability, and suitability for purposes intended; size, rating, and cost. The equal does not constitute a modification in the scope of Work, the Schedule, or Architect/Engineer's design intent of the specified material, device, procedure, equipment, etc.

**1.34 FINAL INSPECTION:** Review of the Work by the Architect or Engineer and Owner to determine whether Acceptance has been achieved.

**1.35 FINAL PAYMENT:** The last payment made by the Owner to the Contractor, made after notice of the Acceptance. Payment shall include the entire unpaid balance of the Contract Sum as adjusted by modifications.

**1.36 GENERAL CONDITIONS:** The General Conditions of the Contract for Construction, part of Division 00 of the Specifications.

**1.37 GENERAL REQUIREMENTS:** That part of the Contract Documents entitled General Requirements, which is Division 01 of the Specifications.

**1.38 GUARANTEE:** See Warranty.

**1.39 LIQUIDATED DAMAGES:** A sum established in a Contract, usually as a fixed sum per Day, as the predetermined measure of damages to be paid to the Owner due to the Contractor's failure to complete the Work within the Contract Time.

**1.40 LUMP SUM:** An item or category priced as a whole rather than broken down into its elements.

**1.41 MOBILE SOURCE:** A source designed or constructed to move from one location to another during normal operation except portable equipment and includes, but is not limited to, automobiles, buses, trucks, tractors, earth moving equipment, hoists, cranes, aircraft, locomotives operating on rails, vessels for transportation on water, lawnmowers, and other small home appliances.

**1.42 NON-WORKING DAYS:** All Saturdays, Sundays, Legal State Holidays (12), and any other Days identified in the Contract Documents that the Contractor is not permitted to execute the Work. The restriction of Non-Working Days may be suspended upon the approval or direction of the Commissioner.

**1.43 NOTICE TO BIDDER:** A notice contained in the Bidding Document informing prospective Bidders of the opportunity to submit Bids on a Project.

**1.44 NOTICE TO PROCEED:** Written notice, issued by the Commissioner or the Commissioner's authorized representative, to the Contractor authorizing the Contractor to proceed with the Work and establishing the date for commencement of the Contract Time.

**1.45 OWNER OR DEPARTMENT:** The State of Connecticut, Department of Construction Services acting through its Commissioner or specifically authorized Department personnel or agent.

**1.46 OVERHEAD:** Indirect costs including: supervision (any position over the foreman), field and home office expense, insurance, and small tools and consumables.

**1.47 PAYMENT, BOND, LABOR BOND OR MATERIAL BOND:** A bond in which the Contractor and the Contractor's surety guarantee to the Owner that the Contractor will pay for labor and materials furnished for use in the performance of the Contract, as required by Connecticut General Statutes Section 49-41.

**1.48 PERFORMANCE BOND OR SURETY BOND:** A bond in which the Contractor and the Contractor's surety guarantee to the Owner that the Work will be performed in accordance with the Contract Documents, as required by Connecticut General Statutes Section 49-41.

**1.49 PERFORMANCE SPECIFICATION:** A description of the desired results or performance of a product, material, assembly, procedure, or a piece of equipment with criteria for identifying the standard.

**1.50 PLANS OR DRAWINGS:** All Drawings or reproductions of Drawings pertaining to the construction of the Work contemplated and its appurtenances.

**1.51 PROJECT:** The total construction of which the Work performed under the Contract Documents may be the whole or a part.



**1.52 PROJECT MANUAL:** The set of documents assembled for the Work which includes, but is not limited to, Contract Documents, Bidding Requirements, Sample Forms, General Conditions of the Contract for Construction, General Requirements, and the Specifications.

**1.53 PROPRIETARY SPECIFICATION:** A specification that describes a product, procedure, function, material, assembly, or piece of equipment by trade name and/or by naming the manufacturer(s) or manufacturer's procedure, exact model number, item, etc., of those products acceptable to the Owner.

**1.54 RETAINAGE:** A percentage of each Application for Payment and a percentage of the total Contract Sum retained by the Owner.

**1.55 SCHEDULE:** A Critical Path Method (CPM) or Construction Schedule as required by the Contract Documents which shall be a diagram, graph or other pictorial or written Schedule showing all events expected to occur and operations to be performed and indicating the Contract Time, start dates, durations and finish dates as well as Substantial Completion and Acceptance of the Work, rendered in a form permitting determination of the optimum sequence and duration of each operation.

**1.56 SCHEDULE OF VALUES:** A document furnished by the Contractor to the Architect or Engineer and Owner stating the portions of the Contract Sum allocated to the various portions of the Work, which is to be used for reviewing the Contractor's Applications for Payment.

**1.57 SECONDARY SUBCONTRACTOR:** A sole proprietor, partnership, firm or Corporation under direct Contract with the Subcontractor to the General Contractor.

**1.58 SENSITIVE RECEPTOR SITES:** Areas where concentrations of diesel emissions may be harmful to sensitive populations, including, but not limited to, hospitals, school and university buildings being occupied during a student semester, residential structures, daycare facilities, elderly housing, and convalescent facilities.

**1.59 SHOP DRAWINGS:** Drawings provided to Architect or Engineer and Owner by a Contractor that illustrate construction, materials, dimensions, installation, and other pertinent information for the incorporation of an element or item into the construction as detailed Contract Documents.

**1.60 SPECIFICATIONS:** The description, provisions and other requirements pertaining to the method and manner of performing the Work and/or to the quantities and quality of materials to be furnished under the Contract.

**1.61 SUBCONTRACTOR:** A sole proprietor, partnership, corporation or other business organization under direct Contract with the Contractor supplying labor and/or materials for the Work at the site of the Project.

**1.62 SUBMITTALS:** Documents including, but not limited to, samples, manufacturer's data, Shop Drawing, or other such items submitted to the Owner and Architect or Engineer by the Contractor for the purpose of approval or other action, as required by the Contract Documents.

**1.63 SUBSTANTIAL COMPLETION:** The stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents.

**1.64 SUBSTITUTION:** Any deviation from the specified requirements, which is defined as follows: A replacement for the specified material, device, procedure, equipment, etc., which is not recognized or accepted as equal to the first manufacturer or procedure listed in the Specification after review by the Architect/Engineer, and may be rejected or approved by the Owner. The Substitution is not equal to the specified requirement in comparison to the first manufacturer or first procedure listed in the Specifications in one or more of the following areas: the substance and function considering quality, workmanship, economy of operation, durability, and suitability for purposes intended; size, cost, and rating. The Substitution constitutes a modification in the scope of Work, the Schedule, or the Architect/Engineer's design intent of the specified material, device, procedure, equipment, etc.

**1.65 SUPERINTENDENT:** The Contractor's representative at the site who is responsible for continuous field supervision, coordination, in, completion of the Work, and, unless another person is designated in writing by the Contractor to the Owner and the Construction Administrator, for the prevention of accidents.

**1.66 SUPPLEMENTAL BID:** The monetary value stated in the Bid to be added to the amount of the Base Bid if the corresponding Work, as described in the Bidding Documents, is accepted.

**1.67 SUPPLEMENTARY CONDITIONS:** An extension in the Bid to be added to the amount of the Base Bid if the corresponding Work, as described in the Bidding Documents, is accepted.

**1.68 THRESHOLD LIMIT BUILDING:** Any proposed (new) structures or additions as defined by the Connecticut General Statutes Section 29-276b.

**1.69 UNIT PRICE:** The monetary value stated by the Owner or the Contractor, as a price per unit of measurement for materials or services as described in the Contract Documents and/or Bidding Documents.

**1.70 WARRANTY:** A written, legally enforceable assurance of specified quality or performance of a product or Work or of the duration of satisfactory performance.

**1.71 WORK:** The construction and services required by the Contract Documents, and including all labor, materials, equipment and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project and "Work Phase".

**1.72 WORK PHASE:** Construction of the Project by sequence or time intervals, which may include but not be limited to separate Construction Start Dates, Substantial Completion Dates, Application for Payments, Change Orders, Liquidated Damages, Retainage, and Subcontractors for each Work Phase.

## **ARTICLE 2** **CONDITIONS OF WORK**

**2.1** The Contractor shall carefully examine and study the conditions under which the Work is to be performed and the site of the Work, and compare the Contract Documents with each other and to information furnished by the Owner including but not limited to the Plans and Specifications, the form of the Contract, General Conditions, Supplementary Conditions, General Requirements, Bonds and all other Contract Documents associated with the Work.

**2.2** The Contractor shall report to the Construction Administrator all errors, inconsistencies or omissions discovered. The Contractor shall not be liable to the Owner for damage resulting from errors, inconsistencies or omissions in the Contract Documents unless the Contractor recognized such errors, inconsistencies or omission and failed to report it to the Construction Administrator. If the Contractor performs any actions or construction activity knowing it involves an error, inconsistency or omission in the Contract Documents without notice to the Construction Administrator, the Contractor shall assume responsibility for such performance and related costs for the correction and shall not be allowed to submit any claim related to error, inconsistencies or omission.

**2.3** The Contractor shall take field measurements and verify field conditions and shall carefully compare such field measurements and conditions and other information known to the Contractor with the Contract Documents before commencing activities. Errors, inconsistencies or omissions discovered shall be reported to the Construction Administrator at once; and it will be assumed that the Contractor has been satisfied as to all requirements of the Contract Documents. Any deterrent conditions at the site of the Work which are obvious and apparent upon examination of the site but are not indicated on the Plans shall be corrected by the Contractor without additional compensation.

**2.4** In performing the Work, the Contractor must employ such methods or means as will not cause any interruption of or interference with the Work of any other Contractor, nor any inordinate disruption with the normal routine of the Owner, institution or Agency operating at the site.

**2.5** No claims for additional compensation will be considered when additional costs result from conditions made known to, discovered by, or which should have been discovered by, the Contractor prior to Contract signing.

**2.6** All Communications from the Contractor concerning proposed changes to the Contract Sum, Contract Time, or Work shall be in writing.

**2.7** The Contractor shall perform the Work in accordance with the Contract Documents and approved Submittals pursuant to Article 5.

## **ARTICLE 3** **CORRELATION OF CONTRACT DOCUMENTS**

**3.1** The Contract Documents are complementary, and what is called for by any one shall be as binding as if called for by all. Where discrepancies or conflict occur in the Contract Documents the following order of precedence shall be utilized:

**3.1.1** Amendments and addenda shall take precedence over previously issued Contract Documents.

**3.1.2** The Supplementary Conditions take precedence over the General Conditions.

**3.1.3** The General Conditions take precedence over the General Requirements.

**3.1.4** The Specifications shall take precedence over the Plans.

**3.1.5** Stated dimensions shall take precedence over scaled dimensions.

**3.1.6** Large-scale detail Drawings shall take precedence over small-scale Drawings.

**3.1.7** The Schedules contained in the Contract Documents shall take precedence over other data on the Plans.

**3.2** Neither party to the Contract shall take advantage of any obvious error or apparent discrepancy in the Contract Documents. The Contractor shall give immediate written notification of any error or discrepancy discovered to the Construction Administrator, who shall take the necessary actions to obtain such corrections and interpretations as may be deemed necessary for the completion of the Work in a satisfactory and acceptable manner. The Contractor shall then promptly proceed under the direction of the Owner and the provisions of Article 13. The Contractor's failure to provide immediate notice shall mean the Contractor will not be entitled to any additional compensation, either monetary or Contract Time adjustment, with respect to any discrepancy.

**3.3** Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become familiar with local conditions under which the Work is to be performed, and correlated personal observations with requirements of the Contract Documents.

**3.4** Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings, shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

**3.5** Unless otherwise stated in the Contract Documents, words which have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

**3.6** In accordance with C.G.S. Section 4a-1, wherever the term "Commissioner of Construction Services" is used in the "Bidding Documents" or "Project Manual" the term "Commissioner of Administrative Services" shall be substituted in lieu thereof; and wherever the term "Department of Construction Services" is used in "Bidding Documents" or "Project Manual", the term "Department of Administrative Services" shall be substituted in lieu thereof.

#### **ARTICLE 4**

#### **COMMENCEMENT AND PROGRESS OF WORK**

**4.1** The Work shall start upon the date given in the Notice to Proceed. The Contractor shall complete all the Work necessary for Final Payment, including but not limited to Substantial Completion, Contract close-out, testing and demonstration of all systems as required for Acceptance, punchlist Work, training and submission of Record Documents, manuals, Guarantees and Warranties as stated in the Contract Document.

**4.2** Time is of the essence with respect to the Contract Time. By executing the Contract, the Contractor confirms and agrees that the Contract Time is a reasonable period to perform the Work. The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time. The Contractor may, at his discretion, plan to complete the Work and achieve Substantial Completion in less time than the Contract Time.

**4.3** The Contractor's early completion Schedule notwithstanding, the Owner reserves the right to order Modifications to the Work in accordance with Article 13 at any time during the Contract Time.

**4.4** The Contractor shall not be entitled to costs for delay due to Owner ordered Modifications or any other circumstances for the period of time between the Contractor's elected early completion and the end of the Contract Time. Such costs include, but are not limited to, extended home office costs, field office costs, or supervisory and management costs incurred in performance of the Work. Early completion of the Work shall not merit additional compensation.

**4.5** If the Contractor is delayed at any time in the progress of Work by acts of God, such as fire or flood or any action, injunction or stop order issued by any court, judge or officer of the court or any other court action beyond the Owner's control, then the Contract Time may be extended by Change Order for such reasonable time as demonstrated by the Contractor's Schedule and as the Owner may determine that such event has delayed the Work. In any event, the granting of an extension of time shall be solely within the discretion of the Owner.

**4.6** Except as otherwise may be provided herein, extensions of time shall be the Contractor's sole remedy for such delay. No payment or compensation of any kind shall be made to the Contractor for damages because of hindrance in the orderly progress of Work caused by the aforesaid causes.

**4.7** The Contractor acknowledges that the Contract amount includes and anticipates any and all delays, whether avoidable or unavoidable, from said orders, which may issue from any court, judge, court officer, or act of God, and that such delays shall not, under any circumstances, be construed as compensable delays.

**4.8** Any extension of the Contract Time shall be by Change Order pursuant to Article 13.

**4.9** The Contractor shall employ a competent project manager who shall represent the Contractor. Communications given to the project manager shall be binding as if given to the Contractor. The project manager will be employed full time on the Project and be located and assigned to the Project site during and for the duration of the Work.

**4.10** The Contractor shall employ a competent Superintendent and necessary assistants who will be in attendance at the project site during the performance of the Work.

**4.11** Upon execution of the Contract, materials may be purchased. No material escalation costs will be valid or compensable unless the Owner directs, in writing, a delay in the procurement.

**ARTICLE 5**  
**SUBMITTALS, PRODUCT DATA, SHOP DRAWINGS AND SAMPLES**

**5.1** Contractor shall review, approve, and submit to the Construction Administrator all Submittals including but not limited to, product data, Shop Drawings, and samples, with such promptness as to cause no delay in the Work.

**5.2** Correction or approval of such Submittals, Shop Drawings, product data and samples will be made with reasonable promptness by the Architect or Engineer. Approval will be general only and shall not relieve the Contractor from responsibility for errors in dimensions, for construction and field coordination of the Work or for any departure from the Contract Documents, unless such departure has received the Owner's written approval.

**5.3** No Work governed by such Shop Drawings, Schedules or samples shall be fabricated, delivered or installed until approved by the Architect or Engineer.

**5.4** No damages for delays or time extensions will be granted, even if approvals deviate from the approved Schedule.

**ARTICLE 6**  
**SEPARATE CONTRACTS**

**6.1** The Owner reserves the right to perform Work in connection with the Contract with the Owner's own forces, or to let separate contracts relating to the Contract (Project) site or in connection with Work on adjoining sites. In such cases, the Contractor shall afford such parties reasonable opportunity for storage of materials and equipment and coordinate and connect the Work with the work on adjoining sites or other Projects, and shall fully cooperate with such parties in the matter required under Article 7 herein.

**6.2** Contractors working in the same vicinity shall cooperate with one another and, in case of dispute, decision of the Owner shall be final and binding to all Contractors involved, including Contractors under separate Contracts.

**6.3** The Contractor shall assume all liability, financial or otherwise, in connection with this Contract and shall protect and hold harmless the Owner from any and all damages or claims that may arise because of inconvenience or delay which the Contractor may cause other Contractors. If the Contractor experiences a loss because of the presence and operations of other Contractors working adjacent to or within the limits of the same Project, then as between the Owner and the Contractor, the Contractor shall bear such loss.

**6.4** Insofar as possible, the Contractor shall arrange the Work and shall place and dispose of the materials being used so as not to interfere with the operations of other Contractors adjacent to or within the limits of the same Project. The Contractor shall join its Work with that of others in an acceptable manner, and perform the Work in proper accordance with that of the others.

**6.5** In no event shall the Owner be responsible for any claim or damages that are the result of the Contractor's failure to coordinate the Work with any other Contractor or Subcontractor.

**ARTICLE 7  
COOPERATION OF TRADES**

**7.1** The Contractor shall be responsible for and shall control all activities of their Subcontractors. The Subcontractors shall consult and cooperate with one another. Each Subcontractor shall furnish all necessary information to other Subcontractors and shall lay out and install their own Work so as to avoid any delays or interference with the Work of others.

**7.2** Any cost or changes, cutting and/or repairing, made necessary by the failure to observe the above requirements shall be borne by the party or parties responsible for such failure or neglect or their faulty Work installed.

**ARTICLE 8  
DAMAGES**

**8.1** The Liquidated Damages, provided in the Bidding Documents, will be assessed at two distinct times, as follows:

**8.1.1 Liquidated Damages – Substantial Completion:**

If the Contractor fails to achieve Substantial Completion of the Work by the Substantial Completion Date, and such delay is not otherwise excused under this Contract, then the Contractor agrees to pay to the Owner Liquidated Damages for the dollar amount specified in the Bid Proposal Form for this Project, for each Day beyond Substantial Completion that the Contractor fails to achieve Substantial Completion. The parties to this Contract acknowledge and agree that the actual damages that are to be anticipated as a result of the neglect, failure, or refusal of the Contractor to substantially complete the Project by the established Substantial Completion Date are uncertain in amount or extremely difficult to determine. Accordingly, the parties to this Contract do intend and in fact now agree to liquidate damages in advance and stipulate that the amount set forth in this subparagraph is reasonable and an appropriate remedy and is intended to constitute compensatory damages and does not constitute a penalty of any kind. The parties understand and agree that, by including a provision for Liquidated Damages in this Contract, or in pursuing any relief pursuant to such provision:

- .1 the parties do not intend to set a price for the privilege not to perform;
- .2 the availability of Liquidated Damages may not be relied upon as a basis for argument that the Owner has an adequate remedy at law; and
- .3 the remedies available to the Owner under this Agreement are cumulative and not exclusive.

**8.1.2 Liquidated Damages – Acceptance:**

If the Contractor fails to complete all of the Work required for Acceptance of the Work within ninety (90) Days of Substantial Completion then the Contractor agrees to pay to the Owner Liquidated Damages for the dollar amount specified in the Bid Proposal Form for each Day in excess of ninety (90) Days beyond the Substantial Completion Date that the Contractor fails achieve Acceptance. The parties to this Contract acknowledge and agree that the actual damages that are to be anticipated as a result of the failure of the Contractor to complete all of the Work required for Acceptance within ninety (90) Days of the established Substantial Completion Date are uncertain in amount or extremely difficult to determine. Accordingly, the parties to this Contract do intend and in fact now agree to liquidate damages in advance and stipulate that the amount set forth in this subparagraph is reasonable and an appropriate remedy and is intended to constitute compensatory damages and does not constitute a penalty of any kind. The parties understand and agree that, by including a provision for Liquidated Damages in this Contract, or in pursuing any relief pursuant to such provision:

- .1 the parties do not intend to set a price for the privilege not to perform;
- .2 the availability of Liquidated Damages may not be relied upon as a basis for argument that the Owner has an adequate remedy at law; and
- .3 the remedies available to the Owner under this Agreement are cumulative and not exclusive.

**8.2** The Liquidated Damages or any portion thereof may be waived at the sole discretion of the Commissioner.

**8.3** No payment by the Owner, either partial or final, shall be construed to waive the Owner's right to seek Liquidated Damages.

**8.4** In the event a court determines that the Contract herein is null and void for any reason, Contractor agrees that Contractor will not seek or pursue any lawsuit or claim for damages, including, but not limited to, claims for loss of Overhead or anticipated profits, against the Owner and the Owner shall not be liable for any damages which Contractor may incur as a result of such decision. In addition, if the court enjoins the Owner from entering into or proceeding with the Contract herein, the Owner shall not be liable for any damages arising out of or relating to the award of such Contract which Contractor may have incurred as a result of the injunction.

**ARTICLE 9**  
**MINIMUM WAGE RATES**

**9.1** In accordance with the provisions of the Connecticut General Statutes Section 31-53, the following applies:

"The wages paid on an hourly basis to any person performing the work of any mechanic, laborer, or worker on the work herein contracted to be done and the amount of payment or contribution paid or payable on behalf of each such person to any employee welfare fund, as defined in subsection (h) of this section, shall be at a rate equal to the rate customary or prevailing for the same work in the same trade or occupation in the town in which such public works project is being constructed. Any contractor who is not obligated by agreement to make payment or contribution on behalf of such persons to any such employee welfare fund shall pay to each mechanic, laborer or worker as part of such person's wages the amount of payment or contribution for such person's classification on each payday."

**9.2** Each Contractor who is awarded a Contract on or after October 1, 2002 shall be subject to provisions of the Connecticut General Statutes, Section 31-53 as amended by Public Act 02-69, "An Act Concerning Annual Adjustments to Prevailing Wages." No wage adjustment will be made to the Contract for any wage increase under this Article.

**ARTICLE 10**  
**POSTING MINIMUM WAGE RATES**

**10.1** The Contractor shall post at conspicuous points on the site of the Contract a Schedule showing all determined wage rates for all trades and all authorized deductions, if any, from wages to be paid.

**10.2** The Contractor shall provide weekly certified payrolls to the Owner for all persons working on the site.

**ARTICLE 11**  
**CONSTRUCTION SCHEDULES**

**11.1** Unless otherwise specified in the Contract Documents, within twenty-one (21) Days from the Contract Start Date, the Contractor shall submit the following to the Owner for approval:

**11.1.1** A comprehensive Schedule of Submittals required by the Specifications. Said Schedule shall include Submittal dates, required approval dates and date material must be on site.

**11.1.2** The Contractor shall allow a minimum of 14 Days for the Owner and its agents' review of Submittals. No extension of the Contract Time shall be granted for revisions and resubmission. Further, the Contractor shall allow a minimum of eight weeks for testing and Acceptance of the Work by the Owner.

**11.1.3** When the Contract Documents specify a "CPM Schedule" a detailed Critical Path Method Schedule is required using software approved by the Owner and/or Construction Administrator with as many activities as necessary to make the Schedule an effective tool for planning and monitoring the progress of the Work. The Contractor shall show all pertinent activities requiring coordination between trades.

**11.1.4** When the Contract Documents specify a "Construction Schedule" a detailed Construction Schedule is required using software approved by the Owner as a horizontal bar chart with a separate bar for each major portion of the Work or operation to make the Schedule an effective tool for planning and monitoring the progress of the Work.

**11.2** Unless otherwise specified under the Contract Documents, the Contractor shall provide a monthly update of the CPM Schedule or Construction Schedule in the format required by the Owner as well as a disk of the updated Schedule and program. If, in the opinion of the Owner, the Work is falling behind Schedule, the Contractor shall submit a revised Schedule demonstrating a recovery plan to ensure Substantial Completion of the Work within the Contract Time.

**11.3** Overtime, increased manpower, and additional shifts: If ordered by the Owner in writing, the Contractor shall work overtime, and/or add additional manpower and/or shifts:

**11.3.1** If the Contractor is not behind Schedule, the Owner will pay the Contractor the actual additional premium portion of the wages for overtime or additional shift work not included in the Contract price, but the Contractor shall not be entitled to Overhead and Profit.

**11.3.2** If the Contractor, through its sole or partial fault or neglect is behind Schedule, the Owner may order the Contractor, at the Contractor's expense, to increase its manpower or to work any overtime or additional shifts or take other action necessary to expedite the Work to meet the Project Schedule.

**11.3.3** If the Schedule is shown to be more than 21 Days behind in any critical activity, overtime, increase manpower and/or additional shifts shall be implemented immediately regardless of who is at fault. A disagreement over the cause of the impact will not relieve the Contractor from the obligation of complying with this Article. Once liability for the impact is determined, compensation will be determined in accordance with 11.3.1 or 11.3.2.

**11.3.4** The Owner reserves the right to suspend activity under Paragraph 11.3. Suspension shall be in writing and at the sole discretion of the Commissioner.

**11.4** Requisitions for partial payment will not be processed until the Contractor has complied with this requirement.

## **ARTICLE 12** **PREFERENCE IN EMPLOYMENT**

**12.1** Should this Contract be for the construction or repair of any building, then in the employment of labor to perform the Work specified herein, preference shall be given to citizens of the United States, who are, and continuously for at least three (3) months prior to the date hereof, have been residents of the labor market area, as established by the State of Connecticut Labor Commissioner, in which such Work is to be done, and if no such qualified person is available, then to citizens who have continuously resided in the county in which the Work is to be performed for at least three (3) months prior to the date hereof, and then to citizens of the state who have continuously resided in the State at least three months prior to the date hereof.

**12.2** Should this Contract be for a public works project other than for the construction, remodeling or repairing of public buildings covered by Connecticut General Statutes 31-52, then in the employment of mechanics, laborers or workmen to perform the Work specified herein, preference will be given to residents of the state who are, and continuously for at least six (6) months prior to the date hereof have been residents of this State, and if no such person is available then to residents of other states.

**12.3** The provisions of this Article shall not apply where the state or any subdivision thereof may suffer the loss of revenue granted or to be granted from any Agency or Department of the federal government as a result of this Article or regulations related thereto.

## **ARTICLE 13** **COMPENSATION FOR CHANGES IN THE WORK**

**13.1** At any time, without invalidating the Contract and by a written order and without notice to the sureties, the Owner, through the Construction Administrator, may order modifications in the Work consisting of additions, deletions or other revisions. Upon request, the Contractor shall supply the Construction Administrator promptly with a detailed proposal for the same, showing quantities of and Unit Prices for the Work and that of any Subcontractor involved.

**13.2** Modifications to the Work will be authorized by a written Change Order, or if necessary to expedite the Work, a written Construction Change Directive, issued by the Owner as provided for in Article 25. Change Orders and Construction Change Directives shall be processed in accordance with the terms of the Contract Documents. Upon receipt of the written Change Order, the Contractor shall proceed with the Work when and as directed.

**13.3** If a Change Order makes the Work less expensive for the Contractor, the proper deductions shall be made from the Contract Sum, said deductions to be computed in accordance with the provisions listed in this Article 13.

**13.4** The Contractor shall not be entitled to an extension of time if in the opinion of the Owner the Additional Work in conjunction with the Work can be performed without impact on the Contract Time.

**13.5** The Contractor may request, and the Owner may grant additional Contract Time when, in the opinion of the Owner, the Contractor has demonstrated that the Additional Work cannot be performed in conjunction with the Work without impact on the original Substantial Completion and/or Acceptance (if applicable) date.

**13.6** The amount of compensation to be paid to the Contractor for any Additional or Deleted Work that results in a Change Order shall be determined in one of the following manners:

**13.6.1 AMOUNT OF COMPENSATION FOR CHANGE ORDER COSTS: LABOR, EQUIPMENT, BENEFITS AND MATERIAL:**

**13.6.1.1 Unit Price:** As stated in the Contract Documents.

**13.6.1.2 Unit Price:** As subsequently agreed upon by the Contractor and Owner

**13.6.1.3 Lump Sum:** Agreed upon sum by the Owner and the Contractor. The Owner may rely on costs, prices, and documentation provided by the Contractor or Subcontractor in agreeing to a Lump Sum. If the Owner believes that additional information is necessary to substantiate the accuracy of the cost, the Owner reserves the right to request and receive additional information from the Contractor. The Lump Sum must be based upon the following itemized costs:

**13.6.1.3.1 Labor:** (Contractor's or Subcontractor's own forces) No Change Order Proposal shall be negotiated if the request is solely for the increased labor rate over those originally carried by the Contractor in its original bid. Additional foreman hours shall not be included unless additional crews are added and/or a compensable time extension is granted. Project Executive time shall not be included as a direct cost as it is part of the overhead mark-up allowed. Project manager hours shall not be included unless a compensable time extension is granted.

**13.6.1.3.2 Material:** (Actual cost to the Contractor or Subcontractor) Cost shall not be based upon list pricing unless it reflects the actual prices being paid and no discounts or other offsets are being received by the Contractor or Subcontractor. No Change Order Proposal shall be negotiated if the request is solely for the escalation of material prices over those originally carried by the Contractor in its original bid.

**13.6.1.3.3 Benefits:** (The established rates of the following benefit costs inherent to the particular labor involved):

**13.6.1.3.3.1** Workers Compensation.

**13.6.1.3.3.2** Federal Social Security.

**13.6.1.3.3.3** Connecticut Unemployment Compensation.

**13.6.1.3.3.4** Fringe Benefits.

**13.6.1.4 Rented Equipment:** (Used directly on the Work and by the Contractor's or Subcontractor's own forces).

**13.6.1.5 Owned Equipment:** (Used directly on the Work and by the Contractor's or Subcontractor's own forces). Daily rate is not to exceed 3% of the monthly rental rate as identified by a nationally recognized construction cost estimating guide or service.

**13.6.1.6 Small Tools:**

Include items such as shovels, picks, rakes, ladders, and power tools which are expected to be utilized on a project. Trade related equipment, hand tools, and power tools normally supplied with the labor or are normally expected to be owned in the performance of the typical work for a trade are not compensable. These costs shall not be approved as part of the Direct Cost of a Change Order as they are included in the Contractor's overhead mark-up percentage.



**13.6.2 OVERHEAD AND PROFIT PERCENTAGES:** (Maximum allowable percentages applied to labor, equipment, and material)

**13.6.2.1** Contractor's mark-up for Work performed by its own forces:

Change Order Amount	Overhead and Profit
\$0 to \$5,000	20%
\$5,001 to \$15,000	17%
\$15,001 to \$25,000	15%
\$25,000 and greater	12%

**13.6.3 OVERHEAD AND PROFIT PERCENTAGES:** (Maximum allowable percentages applied to labor, equipment, benefits and material)

**13.6.3.1** Contractor's mark-up for Work performed by its Subcontractor's forces and not allowable for any subsidiary in which the Contractor has a majority ownership:

Change Order Amount	Overhead and Profit
\$0 and greater	6%

**13.6.4 OVERHEAD AND PROFIT PERCENTAGES:** (Maximum allowable percentages applied to labor, equipment, benefits and material) Subcontractor's mark-up for Work performed by its own forces:

Change Order Amount	Overhead and Profit
\$0 to \$5,000	20%
\$5,001 to \$15,000	17%
\$15,001 to \$25,000	15%
\$25,000 and greater	12%

**13.6.5 OVERHEAD AND PROFIT PERCENTAGES:** (Maximum allowable percentages applied to labor, equipment, benefits and material)

**13.6.5.1** Subcontractor's mark-up for Work performed by its Secondary Subcontractor's forces. Limited to one level (tier) below the Subcontractor and not allowable for any subsidiary in which the Subcontractor has a majority ownership.

Change Order Amount	Overhead and Profit
\$0 and greater	6%

### 13.7 BOND COSTS

**13.7.1** Actual additional bonding costs associated with the value of the Change Order will be compensable only when supported by written documentation by the bonding company that the Change Order requires an increase to the original Performance, Payment, Labor or Material Bond.

**13.7.2** The Contractor shall notify the bonding company at each \$500,000 increase to the contract value as the cumulative result of change orders. A copy of the Consent of Surety must be provided to the Owner prior to the execution of any change order which exceeds each cumulative \$500,000.

**13.8** Trade discounts, rebates, and amounts received from the sales by the Contractor of surplus materials and equipment shall accrue to the Owner.

**13.9** If the parties cannot agree upon a Lump Sum, then the Commissioner, through the Project Manager, may at the option of the Commissioner take the following action(s):

**13.9.1** Issue a Construction Change Directive for the Additional or Deleted Work. The amount of compensation shall be computed by the actual net costs to the Contractor determined by time and material or Unit Prices based upon the same information required in Subparagraphs 13.6.1.3.3.1 through 13.6.1.5:

**13.9.1.1 Labor:** (Contractor's or Subcontractor's own forces).

**13.9.1.2 Material:** (Used by Contractor's or Sub-contractor's own forces).

**13.9.1.3 Benefits:** (The established rates of the following benefit costs inherent to the particular labor involved):

**13.9.1.3.1 Workers Compensation.**

**13.9.1.3.2 Federal Social Security.**

**13.9.1.3.3 Connecticut Unemployment Compensation.**

**13.9.1.3.4 Fringe Benefits.**

**13.9.1.4 Rented Equipment:** (Used directly on the Work and by the Contractor's or Subcontractor's own forces).

**13.9.1.5 Owned Equipment:** (Used directly on the Work and by the Contractor's or Subcontractor's own forces). Daily rate is not to exceed 3% of the monthly rental rate that can be identified by a nationally recognized construction cost estimating guide or service.

**13.9.2** Issue a Change Order adjusting the Contract Sum in the amount as determined by the Commissioner.

**13.10** For any Change Order or Construction Change Directive the Contractor shall, when requested, promptly furnish in a form satisfactory to the Construction Administrator and the Owner a complete detailed accounting of all costs relating to the Additional Work, including but not limited to certified payrolls and copies of accounts, bills and vouchers to substantiate actual costs. Further, the Owner reserves the right to access and make copies of the Contractor's records at any time upon written request from the Commissioner.

**13.11** Failure of the Contractor to negotiate in good faith issues of time and costs or failure to provide requested documentation within fourteen (14) Days, or a time period accepted by the Commissioner, shall constitute a waiver by the Contractor of any claim. In such cases the Owner may elect to issue a unilateral Change Order in an amount deemed to be fair and equitable by the Commissioner. The provisions hereof shall not affect the power of the Contractor to act in case of emergency, threatened injury to persons, or damage to Work on any adjoining property. In this case the Commissioner, through the Project Manager, shall issue a Change Order for such amount as the Commissioner finds to be reasonable cost of such Work.

## **ARTICLE 14** **DELETED WORK**

**14.1** Without invalidating any of the terms of the Contract, the Commissioner may order deleted from the Contract any items or portions of the Work deemed necessary by the Commissioner.

**14.2** The compensation to be deducted from the Contract Sum for such deletions shall be determined in the manner provided for under the provisions of Article 13 or in the event none of the provisions of Article 13 are applicable then by the value as estimated by the Owner.

## **ARTICLE 15** **MATERIALS: STANDARDS**

**15.1** Unless otherwise specifically provided for in the Specifications, all equipment, materials and articles incorporated in the Work are to be new and of the best grade of their respective kinds for the purposes. Wherever in the Contract Documents a particular brand, make of material, device, or equipment is shown or specified, the first manufacturer listed in the specification section is to be regarded as the standard. When the specification is proprietary and only one manufacturer is listed, the Contractor shall use the named manufacturer and no Substitutions or Equals will be allowed.

**15.2** Any other brand, make of material, device, equipment, procedure, etc. which is a deviation from the specified requirement is prohibited from use, but may be considered by the Owner for approval as an Equal or Substitution. The Contractor is to adhere to the specific requirements of the Contract Documents. Substitutions are discouraged and are only approved by the Commissioner as an exception.

**15.3 Submittals – Equals and Substitution Requests:**

**15.3.1 Substitution of Materials and Equipment before Bid Opening.** The Owner will consider requests for Equals or Substitutions, if made prior to the receipt of the Bid. The information on all materials shall be consistent with the information herein.

**15.3.1.1 Statement of Variances –** a statement of variances must list all features of the proposed Substitution which differ from the Drawings, Specifications and/or product(s) specified and must further certify that the Substitution has no other variant features. A request will be denied if submitted without sufficient evidence.

**15.3.1.2 Substitution Denial –** any Substitution request not complying with the above requirements will be denied. Substitution request sent after the deadline established in the Notice to Bidder will be denied.

**15.3.1.3** An addendum shall be issued to inform all prospective Bidders of any accepted Substitution in accordance with Owner's addenda procedures.

**15.3.2 Substitution of Materials and Equipment After Bid Opening:** Subject to the Architect or Engineer's determination, if the material or equipment is Equal to the one specified or pre-qualified and the CT DCS Project Manager's approval of such determination, Substitution of Material or Equipment may be allowed after the Letter of Award is issued only:

**15.3.2.1** If the specified or pre-qualified item is delayed by unforeseeable contingencies beyond the control of the Contractor which would cause a delay in the Project completion;

**15.3.2.2** If any specified or pre-qualified item is found to be unusable or unavailable due to a change by the manufacturer or other circumstances; or

**15.3.2.3** If the Contractor desires to provide a more recently developed material, equipment, or manufactured model from the same named manufacturer than the one specified or pre-qualified; or

**15.3.2.4** If the specified material and/or equipment inadvertently lists only a single manufacturer.

**15.4** Contractor shall submit each request for Equal or Substitution to the Architect or Engineer who shall review each request and make the following recommendations to the Owner:

**15.4.1** Acceptance or non-acceptance of the adequacy of the submission and required back-up,

**15.4.2** Determination of the category of the request for Substitution or Equal, and

**15.4.3** Overall recommendation for approval or rejection of the Substitution or Equal. The determination of the category as a Substitution may be grounds for an immediate rejection by the Owner.

**15.5** Approval of the Owner for each Equal or Substitution shall be obtained before the Contractor proceeds with the Work. The decision of the Commissioner, in this regard, shall be final and binding on the Contractor.

**15.6** No extension of time will be allowed for the time period required for consideration of any Substitution or Equal. No extension of time will be allowed and no responsibility will be assumed by the Owner when a Contractor submits a request for Substitution or Equal, whether such request be approved or denied, and the Contractor shall not be entitled to any claim for damages for delay.

**15.7** If the Contractor submits any request for an Equal or a Substitution, he shall bear the burden of proof that such requested Equal or Substitution meets the requirements of the Plans and Specifications.

**15.8** The Contractor shall purchase no materials or supplies for the Work which is subject to any chattel mortgage or which are under a conditional sale or other agreement by which an interest is retained by the seller. The Contractor warrants that the Contractor has good title to all materials and supplies used by him in the Work.

**15.9** All products and systems supplied to the State as a result of a purchase by a Contractor shall be certified that, to the best of the supplier's knowledge, there are no materials that are classified as hazardous materials being used within the assembly. Hazardous materials include, but are not limited to, products such as asbestos, lead, and other materials that have proven to cause a health risk by their presence.

## **ARTICLE 16** **INSPECTION AND TESTS**

**16.1** The purpose of the inspections will be to assure that the Work is performed in accordance with the Contract Documents. These inspections shall include, but not be limited to, all inspections and testing as required by the Owner, and any authorities have jurisdiction.

**16.2** All material and workmanship, if not otherwise designated by the Specifications, shall be subject to inspection, examination and test by the Commissioner at any and all times during manufacture and/or construction and at any and all places where such manufacture and/or construction is carried on. The Contract Documents additionally identify the parties responsible for performing and paying for the required testing and inspections. All required tests performed in a laboratory will be obtained and paid for by the Owner, except when the tests show the Work to be defective. The Contractor shall pay for all the costs associated with re-tests and re-inspections for all tests and inspections which fail. The Owner will issue a deduct Change Order to recover said retesting costs from the Contractor. All other tests, unless otherwise specified, shall be made at the Contractor's expense. Notice of the time of all tests to be made at the site shall be given to all interested parties, including the Owner.

**16.3** Without additional cost to the Owner, the Contractor shall promptly furnish facilities, labor and materials necessary to coordinate and perform operational tests and checkout of the Work. The Contractor shall furnish promptly all reasonable facilities, labor, and materials necessary to make all such testing safe and convenient.

**16.4** If, at any time before final payment and Acceptance of the Work, the Commissioner considers it necessary or advisable to examine of any portion of the Work already completed by removing or tearing out the same, the Contractor shall, upon request, furnish promptly all necessary facilities, labor, and materials. If such Work is found to be defective in any material respect, as determined by the Owner, because of a fault of the Contractor or any of the Contractor's Subcontractors, or if any Work shall have been covered without the approval or consent of the Commissioner (whether or not it is found to be defective), the Contractor shall be liable for testing costs and all costs of correction, including removal and/or demolition of the defective Work, including labor, material, and testing, including labor, material, re-testing or re-inspecting, services of required consultants, additional supervision, the Commissioner's and the Construction Administrator's administrative costs, and other costs for services of other consultants.

**16.5 Cost of Systems Commissioning Retesting:** The cost to retest a pre-functional or functional test, if the Contractor is responsible for the deficiency, shall be the Contractor's. If the Contractor is not responsible, any cost recovery for retesting costs shall be negotiated with the Contractor.

**16.5.1** For a deficiency identified, not related to any pre-functional checklist or start-up fault, the following shall apply: The Commissioning Agent (CxA) and Construction Administrator will direct the retesting of the equipment once at no "charge" to the Contractor for their time. However, the Commissioning Agent's and Construction Administrator's time for additional testing will be charged to the Contractor.

**16.5.2** The time for the Systems Commissioning Agent and Construction Administrator to direct any retesting required because a specific pre-functional checklist or start-up test item, reported to have been successfully completed, but determined during functional testing to be faulty, will be back charged to the Contractor.

**16.5.3** Any required retesting by any Subcontractor shall not be considered a justified reason for a claim of delay or for a time extension by the Contractor.

## **ARTICLE 17** **ROYALTIES AND PATENTS**

**17.1** If the Contractor desires to use any design, device, material or process covered by a patent or copyright, the Contractor shall provide for such use by suitable legal agreement with the holder of said patent or copyright. The Contractor shall furnish a copy of this legal agreement to the Owner.

**17.2** The Contractor shall indemnify and hold harmless the Owner and Construction Administrator for any costs, expenses and damage which it may be obliged to pay by reason of any infringement of a patent or a copyright, at any time during the prosecution or after the Final payment of the Work.

**ARTICLE 18**  
**SURVEYS, PERMITS AND REGULATIONS**

**18.1** Unless otherwise provided for, the Contractor shall furnish surveys necessary for the execution of the Work. The Owner will furnish the Contractor with two base lines and a benchmark.

**18.2** The Contractor shall obtain and pay for permits and licenses necessary for the execution of the Work and the occupancy and use of the completed Work.

**18.3** The Contractor shall give all notices and comply with all laws, ordinances, rules and regulations including building and fire safety codes relating to the performance of the Work.

**18.4** If underground utilities may be involved in part of the Work the Contractor is required to request "Call-Before-You-Dig" to verify the location of underground utilities at least (3) Working Days, as further defined under Paragraph 1.71 herein, prior to the start of any excavation. The Contractor shall also notify the Owner and Agency at least (3) Working Days prior to the start of any excavation. If "Call-Before-You-Dig" fails or refuses to respond to the Contractor's request, then the Contractor shall obtain the services of a qualified underground utility locating firm, at no additional cost to the Owner, to verify locations of underground utilities prior to the start of any excavation. The Contractor shall be held responsible for providing safety, protecting the Work and protecting workmen as necessary to perform the Work. The Contractor shall be responsible for maintaining and protecting all original utility mark-out at no additional cost to the Owner.

**ARTICLE 19**  
**PROTECTION OF THE WORK, PERSONS AND PROPERTY**

**19.1** The Contractor shall continuously and adequately protect the Work against damage from any cause, and shall protect materials and supplies furnished by the Contractor or Subcontractors, whether or not incorporated in the Work, and shall make good any damage unless it be due directly to errors in the Contract Documents or is caused by agents or employees of the Owner.

**19.2** To the extent required by law, by public authority, or made necessary in order to safeguard the health and welfare of the personnel or occupants of any of the state institutions, the Contractor shall adequately protect adjacent property and persons, and provide and maintain all facilities, including but not limited, to passageways, guard fences, lights, and barricades necessary for such protection.

**19.3** The Contractor shall take all necessary precautions for the safety of employees on the Work and shall comply with applicable provisions of federal and state safety laws and building codes to prevent accidents or injury to persons on, about, or adjacent to the premises where the Work is being performed. The Contractor shall also comply with the applicable provisions of the Associated General Contractors' "Manual of Accident Prevention in Construction", the standards of the Connecticut Labor Department and Occupational Safety and Hazard Association (OSHA).

**19.4** The Contractor shall erect and properly maintain at all times, as required by the conditions and progress of the Work, all necessary safeguards for the protection of employees of the State and the public, and shall post danger signs warning against any dangerous condition or hazard created by such things as protruding nails, well holes, elevator hatchways, scaffolding, window openings, excavations, tripping hazards or slipping, stairways and falling materials.

**19.5** The Contractor shall designate a qualified and responsible on-site staff person, whose duty shall be the prevention of accidents. The name and position of the designated person shall be reported to the Owner by the Contractor at the commencement of the Contract.

**19.6** The Contractor shall at all times protect excavations, trenches, buildings, and all items of Work from damage by rain, water from melted snow or ice, surface water run off and subsurface water usual for the vicinity at the time of operations; and provide all pumps and equipment and enclosures to insure such protection.

**19.7** The Contractor shall construct and maintain all necessary temporary drainage and provide all pumping necessary to keep excavation, basements, footings and foundations free of water.

**19.8** The Contractor shall remove all snow and ice as may be required for access to the site and proper protection and prosecution of the Work.

**19.9** The Contractor shall install bracing, shoring, sheathing, sheet piling, caissons and any other underground facilities as required for safety and proper execution of the Work, and shall remove this portion of the Work when no longer necessary.

**19.10** During cold weather the Contractor shall protect all Work from damage. If low temperature makes it impossible to continue operations safely in spite of cold weather precautions, the Contractor may cease Work upon the written approval of the Commissioner.

**ARTICLE 20  
TEMPORARY UTILITIES**

**20.1** Unless expressly provided for otherwise in the Contract Documents, the Contractor shall include in the proposed contract bid price as stated on the Bid Proposal Form, the costs of all temporary utilities required for Project completion and protection of the Work. Said temporary utilities include, but are not limited to, lighting, heating, cooling, electrical power, water, telephone, sanitary facilities, and potable water.

**ARTICLE 21  
CORRECTION OF WORK**

**21.1** The Contractor shall promptly and without expense to the Owner remove from the premises all materials rejected by or unacceptable to the Commissioner as failing to conform to the Contract Documents, whether incorporated in the Work or not.

**21.2** The Contractor shall promptly and without expense to the Owner replace any such materials, which do not conform to the Contract Documents, and shall bear the expense of making good all Work of other Contractors or Subcontractors destroyed or damaged by such removal or replacement.

**21.3** If the Contractor, after receipt of notice from the Owner, shall fail to remove such rejected or unacceptable materials within a reasonable time as fixed in said notice, the Owner may remove and store such materials at the expense of the Contractor.

**21.4** Such action shall not affect the obligation of the Contractor to replace and complete assembly and installation of the Work and to bear the expenses referred to above. Prior to the correction of rejected or unacceptable Work or if the Commissioner deems it inexpedient or undesirable to correct any portion of the Work which was rejected, deemed unacceptable, or not done in accordance with the Contract Documents, the Contract Sum shall be reduced by such amount as, in the judgment of the Commissioner, shall be equitable.

**21.5** No extension of time will be given to the Contractor for correction of rejected or unacceptable Work. All significant punchlist Work shall be completed before Substantial Completion is determined. The remaining minor punchlist Work, as determined by the Commissioner, shall be completed within **ninety (90) Days** of established Substantial Completion date.

**21.6** Final Payment shall not relieve the Contractor of responsibility for the defects in material or workmanship.

**21.7** Unless expressly provided for otherwise in the Contract Documents, the Contractor shall remedy any rejected or unacceptable Work, and any Work found to be not conforming to the Contract Documents which is discovered within 18 Months after the date of Substantial Completion. The Contractor shall pay for any damage to other Work caused by such nonconforming Work or any damage created in correcting the nonconforming Work.

**ARTICLE 22  
GUARANTEES and WARRANTIES**

**22.1** Unless expressly provided for otherwise in the Contract Documents, the Contractor shall provide a Warranty on the Work for an **18-Month** period from the date of Substantial Completion. The Contractor shall warrant that the equipment, materials and workmanship are of good quality and new, unless permitted elsewhere by the Contract Documents, and that the Work shall be free from defects not inherent in the quality required or permitted and that the Work conforms to the Contract Documents.

**22.2** Disclaimers and limitations from manufactures, Subcontractors, suppliers or installers to the Contractor shall not relieve the Contractor of the Warranty on the Work. The Contract Documents detail the related damages, reinstatement of Warranty, replacement cost and Owner's recourse.

**ARTICLE 23  
CUTTING, FITTING, PATCHING, AND DIGGING**

**23.1** The Contractor will perform or will cause the Subcontractors to perform all cutting, fitting, or patching of the portion(s) of the Work that may be required to make the several parts thereof joined and coordinated in a manner satisfactory to the Commissioner and in accordance with the Plans and Specifications.

**23.2** The responsibility for defective or ill-timed Work shall be with the Contractor, but such responsibility shall not in any way relieve the Subcontractor who performed such Work. Except with the consent of the Commissioner, neither the Contractor nor any of its Subcontractors shall cut or alter the Work of any other Contractor or Subcontractor.

**ARTICLE 24**  
**CLEANING UP**

**24.1** The Contractor shall, on a daily basis, keep the premises free from accumulations of waste material or rubbish.

**24.2** Prior to Acceptance of the Work, the Contractor shall remove from and about the site of the Work, all rubbish, all temporary structures, tools, scaffolding, and surplus materials, supplies, and equipment which may have been used in the performance of the Work. If the Commissioner in his sole discretion determines that the Contractor has failed to clean the work site, the Owner may remove the rubbish and charge the cost of such removal to the Contractor. A deduct Change Order will be issued by the Owner to recover such cost.

**ARTICLE 25**  
**ALL WORK SUBJECT TO CONTROL OF THE COMMISSIONER**

**25.1** The Commissioner hereby declares that the CT DCS Project Manager is the Commissioner's only authorized representative to act in matters involving the Owner's, and/or Architect's or Engineer's, ability to revoke, alter, enlarge or relax any requirement of the Contract Documents; to settle disputes between the Contractor and the Construction Administrator; and act on behalf of the Commissioner. In all such matters, the provisions of Articles 13 and 14 herein shall guide the CT DCS Project Manager.

**25.2** In no event may the Contractor act on any instruction of the Agency without written consent of the Owner. In the event the Contractor acts without such consent, he does so at his own risk and at his own expense, not only for the Work performed, but for the removal of such Work as determined necessary by the Commissioner.

**25.3** In the performance of the Work, The Contractor shall abide by all orders, directions, and requirements of the Commissioner at such time and places and by such methods and in such manner and sequence as the Commissioner may require.

**25.4** The Commissioner shall determine the amount, quality, acceptability and fitness of all parts of the Work, shall interpret the plans, Specifications, Contract Documents and extra work orders and shall decide all other questions in connection with the Work.

**25.5** The Contractor shall employ no plant, equipment, materials, methods, or persons to which the Commissioner objects and shall remove no plant materials, equipment, or other facilities from the site of the Work without the permission of the Commissioner. Upon request, the Commissioner shall confirm in writing any oral order, direction, requirement or determination.

**25.6** In accordance with Section 4b-24 of the Connecticut General Statutes, the public auditors of the State of Connecticut and the auditors or accountants of the Commissioner of Construction Services shall have the right to audit and make copies of the books of any Contractor employed by the Commissioner.

**ARTICLE 26**  
**AUTHORITY OF THE CONSTRUCTION ADMINISTRATOR**

**26.1** The Construction Administrator employed by the Commissioner is authorized to inspect all Work for conformance to the Contract Documents. The Construction Administrator is authorized to reject all Work found to be defective, unacceptable and nonconforming to the Contract Documents. Such inspections and rejections may extend to all or any part of the Work, and to the preparation or manufacture of the material to be used.

**26.2** The Construction Administrator is not empowered to revoke, alter, enlarge, or relax any requirements of the Contract Documents, or to issue instructions contrary to the Contract Documents. The Construction Administrator shall in no case act as foreman or perform other duties for the Contractor, nor shall the Construction Administrator interfere with the management of the Work by the Contractor. Any advice, which the Construction Administrator may give the Contractor, shall in no way be construed as binding the Commissioner or Owner in any way, nor releasing the Contractor from the fulfillment of the terms of the Contract.

**26.3** In any dispute arising between the Contractor and the Construction Administrator with reference to inspection and rejection of the Work, the Construction Administrator may suspend Work on the non-compliant portion of the Work until the dispute can be referred to and decided by the Commissioner.

**ARTICLE 27**  
**SCHEDULE OF VALUES, APPLICATION FOR PAYMENT**

**27.1** Immediately after the signing of the Contract, the Contractor shall furnish for the use of the Commissioner, as a basis for estimating partial payments, a certified Schedule of Values, totaling the Contract Sum and broken down into quantities and unit costs, as outlined in the Contract Documents and as directed by the Owner. The Schedule of Values must reflect true costs and be in sufficient detail to be an effective tool for monitoring the progress of the Work. Upon request of the Commissioner; the Contractor shall supply copies of signed Contracts, vendor quotations, etc. as back up to the Schedule of Values.

**27.2** Approval of the Schedule of Values by the Commissioner is required prior to any payment by the Owner.

**27.3** The Schedule of Values shall include a breakdown of the Contractor's general condition costs.

**27.3.1** Non-recurring costs, (i.e. Mobilization costs, utility hook-ups, temporary heat) will be paid at the time of occurrence.

**27.3.2** Reoccurring costs will be paid in proportion to the percent of completion of the Project.

**27.3.3** Further detail can be found in the General Requirements 01.29.76; paragraphs 1.3.B.4 for this project.

**27.4** The Schedule of Values shall include a breakdown of Contract closeout costs including systems certification testing and acceptance, training, Warranties, Guarantees, As-Built Drawings and attic stock.

**27.5** The Contractor shall make periodic applications for payment, which shall be subdivided into categories corresponding with the approved Schedule of Values and shall be in such numbers of copies as may be designated by the Commissioner.

**ARTICLE 28**  
**PARTIAL PAYMENTS**

**28.1** Commissioner will examine the Contractor's Applications For Payments to determine, in the opinion of the Commissioner, the amounts that properly represent the value of the Work completed and the materials suitably stored on the site.

**28.2** In making such Application For Payment for the Work, there shall not be more than **seven and five-tenths percent (7.5%)** deducted from the amount of each Application for Payment to be retained by the Owner as Retainage until Acceptance of the Work.

**28.2.1** The following criteria shall be utilized in the reduction of Retainage withheld: At fifty percent (50%) completion of the Work the Retainage shall be reduced to **five percent (5%)**. All subsequent Applications for Payment shall be subject to **five percent (5%)** Retainage. Upon Substantial Completion, and in the Commissioner's sole discretion and based upon the factors set forth in **Section 28.3**, the Retainage may be reduced upon the request of the Contractor and recommendation of the DAS Project Manager. In the event of a reduction in Retainage to **below five percent (5%)**, the minimum Retainage withheld shall not be less than the DAS Project Manager's estimate of the remaining Work or **two and five-tenths percent (2.5%)**, whichever is greater. All requests for Retainage Reduction shall be done on **CT DAS Form 7048 General Contractor Retainage Reduction Request**, a sample of which can be found at the end of these General Conditions.

**28.2.2** Subsequent to Substantial Completion, in limited circumstances, at the sole discretion of the Commissioner and based upon factors set forth in Section 28.3, a reduction of Retainage below **two and five-tenths percent (2.5%)** may be considered.

**28.2.3** A "Good" Contractor's Performance Evaluation score shall be defined as a minimum total score of sixty percent (60%).

**28.3** The decision of the Commissioner to reduce the Retainage rate will be based upon the **Contractor's Performance Evaluation** score for completed portions of the Work as set out above and other factors that the Commissioner may find appropriate as follows:

**28.3.1** The Contractor's timely submission of an appropriate and complete CPM Schedule or Construction Schedule and Schedule of Values, in compliance with the Contract requirements and the prompt resolution of the Owner's and/or Architect's or Engineer's comments on the submitted material resulting in an appropriate basis for progress of the Work.

**28.3.2** The Contractor's timely and proper submission of all Contract Document required submissions: including, but not limited to, Shop Drawings, material certificates and material samples and the prompt resolution of the Owners and/or Architect's or Engineer's comments on the submitted material, resulting in an appropriate progress of the Work.



**28.3.3** The Contractor's provision of proper and adequate supervision and home office support of the Project.

**28.3.4** The Work completed to date has been installed or finished in a manner acceptable to the Owner.

**28.3.5** The progress of the Work is consistent with the approved CPM Schedule or Construction Schedule.

**28.3.6** All approved credit change orders have been invoiced.

**28.3.7** All Change Order requests for pricing are current.

**28.3.8** The Contractor has and is maintaining a clean worksite in accordance with the Contract Documents.

**28.3.9** All Subcontractor payments are current at the time of reduction request.

**28.3.10** Contractor is compliant with set-aside provisions of the contract.

**28.3.11** Pursuant to C.G.S. Sec. 4a-101, the General Contractor shall compile evaluation information during the performance of the contract on each of its subcontractors who are performing work with a value in excess of five hundred thousand dollars (\$500,000.00). The General Contractor shall complete and submit to the State of Connecticut Department of Construction Services (CT DCS) evaluations of each such subcontractor upon fifty percent (50%) completion of the project and upon Substantial Completion of the project. The General Contractor acknowledges that its failure to complete and submit these evaluations in a timely manner may, by statute; result in a delay in project funding and, consequently, payment to the General Contractor.

**28.4** No payments will be made for improperly stored or protected materials or unacceptable Work.

**28.5** At his or her sole discretion, the Commissioner may allow to be included in the monthly requisitions payment requests for materials and equipment stored off the site.

**28.5.1** In the event the Commissioner allows the Contractor to include in its requisitions payment requests for materials and equipment stored off the site, the Contractor shall also submit any additional bonds and/or insurance certificates relating to off-site stored materials and equipment, and follow such other procedures as may be required by the State to obtain the Commissioner's approval of such requests.

**28.5.2** The Architect or Engineer, or Construction Administrator shall have inspected said materials and equipment and recommended payment therefore. The Contractor shall pay for the cost of the Architect's or Engineer's, or Construction Administrator's time and expense in performing these inspection services.

**ARTICLE 29**  
**DELIVERY OF STATEMENT SHOWING**  
**AMOUNTS DUE FOR WAGES, MATERIALS, AND SUPPLIES**

**29.1** For each Application for Payment under this Contract, the Owner reserves the right to require the Contractor and every Subcontractor to submit a written verified statement, in a form satisfactory to the Owner, showing in detail all amounts then due and unpaid by such Contractor or Subcontractor for daily or weekly wages to all laborers employed by it for the performance of the Work or to other persons for materials, equipment or supplies delivered at the site.

**29.2** The term "laborers" as used herein shall include workmen, workwomen, and mechanics.

**29.3** Failure to comply with this requirement may result in the Owner withholding the Application for Payment pursuant to Article 28.

**ARTICLE 30**  
**SUBSTANTIAL COMPLETION AND ACCEPTANCE**

**30.1 Substantial Completion:**

**30.1.1** When the Contractor considers that the Work or a portion thereof is Substantially Complete, the Contractor shall request an inspection of said Work in writing to the Construction Administrator. The request shall certify that the Contractor has completed its own inspection prior to the request and that the Contractor is compliant with all requirements of Section 01 77 00 of the General Requirements. The request must also include a statement that a principal or senior executive of the Contractor is ready, willing and able to attend a walk through inspection with the Architect or Engineer.

**30.1.2** Upon receipt of the request, the Architect or Engineer, Construction Administrator and Owner, will make an inspection to determine if the Work or designated portion thereof is Substantially Complete. A principal or senior executive of the Contractor shall accompany the Architect or Engineer during each inspection/re-inspection. If the inspection discloses any item, whether or not included on the inspection list, which is not in accordance with the requirements of the Contract Documents, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item.

**30.1.3** The Contractor shall then submit a request for another inspection. The determination of Substantial Completion is solely within the discretion of the Owner. Any costs for re-inspection beyond one, shall be at the expense of the Contractor and such costs will be recovered by issuance of a credit Change Order. When the Work or designated portion thereof is determined to be Substantially Complete, the Contractor will be provided a Certificate of Substantial Completion from the Owner. The Certificate of Substantial Completion shall establish the date when the responsibilities of the Contractor for security, maintenance, heat, utilities, damage to the Work, and insurance, are transferred to the Owner and shall fix the time within which the Contractor shall finish all items on the inspection list accompanying the Certificate. If the punch list is not complete in **90 Days**, the Owner reserves the right to complete the outstanding punch list items with their own forces or by awarding separate contracts and to deduct the cost thereof from the amounts remaining due to the Contractor.

**30.1.4** The Certificate of Substantial Completion shall be signed by the Construction Administrator, Owner, and Architect or Engineer. Upon Substantial Completion of the Work or designated portion thereof and upon application by the Contractor and certification by the Construction Administrator and Architect or Engineer, the Owner shall make payment reflecting adjustment in Retainage, if any, for such Work or portion thereof as provided in the Contract Documents.

**30.2 Acceptance:**

**30.2.1** Upon completion of the Work, the Contractor shall forward to the Construction Administrator a written notice that the Work is ready for inspection and Acceptance.

**30.2.2** When the Work has been completed in accordance with terms and conditions of the Contract Documents as determined by the Owner a Certificate of Acceptance shall be issued by the Owner.

**ARTICLE 31**  
**FINAL PAYMENT**

**31.1** The Owner reserves the right to retain for a period of thirty (30) Days after filing of the Certificate of Acceptance the amount therein stated less all prior payments and advances whatsoever to or for the account of the Contractor.

**31.2** All prior estimates and payments, including those relating to extra or additional Work, shall be subject to correction by the Final Payment.

**31.3** No Application for Payment, Final or Partial, shall act as a release to the Contractor or the Contractor's sureties from any obligations under this Contract.

**31.4** The Architect or Engineer and Construction Administrator will promptly issue the Certificate for Payment, stating that to the best of their knowledge, information and belief, and on the basis of their observations and inspections, the Work has been completed in accordance with terms and conditions of the Contract Documents and that the entire balance found to be due the Contractor and noted in said Final Payment is due and payable.

**31.5** Final Payment shall not be released until a Certificate of Acceptance and a Certificate of Compliance have been issued.

**31.6** Neither Final Payment nor any Retainage shall become due until the Contractor submits to the Owner the following:

**31.6.1** An affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied.

**31.6.2** A certificate evidencing that insurance required by the Contract Documents to remain in force after Final Payment is currently in effect and will not be canceled or allowed to expire without at least 30 Days prior written notice to the Owner.

**31.6.3** A written statement that the Contractor knows of no substantial reason that the insurance will not be renewable to cover the period required by the Contract Documents.

**31.6.4** Written consent of surety, if any, to Final Payment.

**31.6.5** If required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts, releases and waivers of liens, claims, security interests or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien. If such lien remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging such lien, including all costs and reasonable attorney's fees.

**ARTICLE 32**  
**OWNER'S RIGHT TO WITHHOLD PAYMENTS**

**32.1** The Commissioner may withhold a portion of any Payment due the Contractor that may, in the judgment of the Commissioner, be necessary:

**32.1.1** To assure the payment of just claims then due and unpaid to any persons supplying labor or materials for the Work.

**32.1.2** To protect Owner from loss due to defective, unacceptable or non-conforming Work not remedied by the Contractor.

**32.1.3** To protect the Owner from loss due to injury to persons or damage to the Work or property of other Contractors, Subcontractors, or others caused by the act or neglect of the Contractor or any of its Subcontractors.

**32.2** The Owner shall have the right to apply any amount withheld under this Article as the Owner may deem proper to satisfy protection from claims. The amount withheld shall be considered a payment to the Contractor.

**32.3** The Owner has the right to withhold payment if the Contractor fails to provide accurate submissions of Submittals, up date the status including but not limited to the following: As-Built Drawings, request for information (RFI) log, Schedule, submittal log, Change Order log, certified payrolls and daily reports and all other requirement of the Contract Documents.

**32.4** If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien. If such lien remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging such lien, including all costs and reasonable attorney's fees.

**ARTICLE 33**  
**OWNER'S RIGHT TO STOP WORK OR TERMINATE CONTRACT**

**33.1** The Commissioner shall have the authority to suspend the Work wholly or in part, for such period or periods as the Commissioner considers being in the best interests of the State, or in the interests of public necessity, convenience or safety. During such periods the Contractor shall store all materials and equipment, in such a manner to prevent the materials and equipment from being damaged in any way, and the Contractor shall take precautions to protect the Work from damage.

**33.1.1** If the Commissioner, in writing, orders the performance of all or any portion of the Work to be suspended or delayed for an unreasonable period of time (i.e. not originally anticipated, customary, or inherent in the construction industry) and the Contractor believes that additional compensation and/or Contract Time is due as a result of such suspension or delay, the Contractor shall submit to the Commissioner in writing a request for a Contract adjustment within 7 Days of receipt of the notice to resume Work. The request shall set forth the specific reasons and support for said adjustment.

**33.1.2** The Commissioner shall evaluate any such requests received. If the Commissioner agrees that the cost and/or time required for the performance of the Contract has increased as a result of such suspension and that the suspension was caused by conditions beyond the control of and not the fault of the Contractor, its suppliers, or Subcontractors, and was not caused by weather, then the Commissioner will make a reasonable adjustment, excluding profit, of the Contract terms. The Commissioner will notify the Contractor of the determination as to what adjustments of the Contract, if any, that the Commissioner deems warranted.

**33.1.3** No Contract adjustment will be made unless the Contractor has submitted the request for adjustment within the time prescribed.

**33.1.4** No Contract adjustment will be made under this Article to the extent that performance would have been suspended or delayed by any other cause within the Contractor's control or by any factor for which the Contractor is responsible under the Contract; or that such an adjustment is provided for or excluded under other term or condition of this Contract.

**33.2 Termination for Convenience:** Notwithstanding any provision or language in the Contract to the contrary, the State may terminate the Contract for convenience whenever the Commissioner determines at his sole discretion that such termination is in the best interests of the State. Any such termination shall be effected by delivery to the Contractor of a written Notice of Termination for Convenience specifying the extent to which performance of Work under the Contract is terminated, and the date upon which such termination shall be effective.

**33.2.1** In the event of such termination, the Contractor shall be entitled to reasonable compensation as determined by the Commissioner, however, no claim for lost Overhead or Profits shall be allowed.

**33.2.2** All Work and materials obtained by the Contractor for the Work, that have been incorporated into the Work, inspected, tested as required, accepted by the Commissioner, and paid for by the State, shall become the property of the State.

**33.2.3** Materials obtained by the Contractor for the Work that have been inspected, tested as required, and accepted by the Commissioner, and that are not incorporated into the Work, shall, at the option of the Commissioner, be purchased from the Contractor at actual cost as shown by receipted bills. To this cost shall be added all actual costs for delivery at such points of delivery as may be designated by the Commissioner, as shown by actual cost records.

**33.2.4** Termination of the Contract for convenience shall not relieve the Contractor or its surety of their responsibilities for the completed Work, nor shall it relieve the Contractor's surety of its obligations to ensure completion of the Work and to pay legitimate claims arising out of the Work.

**33.3 Termination for Cause:**

**33.3.1** The Commissioner may give notice in writing to the Contractor and its surety of any particular delay, neglect, or default of the Contractor due to one or more of the following:

**33.3.1.1** Failure to begin the Work within the time specified for same in the Contract Documents.

**33.3.1.2** Failure to perform the Work with sufficient workmen, equipment or materials to ensure the prompt completion of the Work within the time specified in the Contract.

**33.3.1.3** Unsuitable performance of the Work or failure to remedy or redo such work as DAS Project Manager shall reject as defective, unsuitable, or noncompliant with Contract requirements.

**33.3.1.4** Failure or refusal to remove material rejected as defective, unsuitable, or noncompliant with Contract requirements.

**33.3.1.5** Discontinuance of the suitable prosecution of the Work for a period of seventy-two (72) hours, excluding Saturdays, Sundays and holidays, without written authorization to do so from the DAS Project Manager.

**33.3.1.6** Failure to recommence discontinued Work within forty-eight (48) hours (excluding Saturdays, Sundays and holidays) after being ordered to do so by the DAS Project Manager.

**33.3.1.7** Insolvency, filing for bankruptcy or any act or occurrence that may render the Contractor financially incapable of completing the Work.

**33.3.1.8** Failure to satisfy any final judgment against it for a period of thirty (30) days.

**33.3.1.9** Making of any assignment for the benefit of creditors.

**33.3.1.10** Violation of any provisions of the Contract Documents.

**33.3.2** If the Contractor or its surety within a period of ten (10) days after the issuance of such notice does not proceed in conformance with the directions set forth therein, or fails to present a remedial plan of operation, satisfactory to the Commissioner, for remedying the acts or failures complained of in the notice, then the Commissioner may, at his discretion, order the surety to complete the Work or, without violating the Contract, take the right to control and prosecute the Work out of the hands of said Contractor and surety, terminating the Contract.

**33.3.3** The Commissioner may appropriate or use any or all stockpiled materials and any and all equipment required by the Contract as may be suitable and necessary for completion of the Work and may enter into an agreement, either by negotiation or public letting, for the completion of said Contract by a party other than the Contractor, according to the terms and provisions thereof, or use such other methods or combinations thereof as in his or her opinion shall be required or desirable for the completion of the Work.

**33.3.4** All costs and charges incurred by the Owner in connection with completing the Work, or as a result of the Contractor's default, shall be deducted from any monies due to or which may become due to the Contractor. In case such expense exceeds the sum that would have been payable under the Contract, then the Contractor and the surety shall be liable for, and shall pay to the State, the amount of the excess. Termination of the Contract shall not relieve the Contractor or its surety of their responsibilities for the completed Work, nor shall it relieve the Contractor's surety of its obligations to ensure completion of the Work and to pay legitimate claims arising out of the Work.

**ARTICLE 34**  
**SUBLETTING OR ASSIGNING OF CONTRACT**

**34.1** The Contract or any portion thereof, or the Work provided for therein, or the right, title, or interest of the Contractor therein may not be sublet, sold, transferred, assigned, or otherwise disposed of to any person, firm, or corporation without the written consent of the Commissioner.

**34.2** No person, firm, or corporation other than the Contractor to whom the Contract was awarded shall be permitted to commence Work at the site of the Contract until such consent has been granted.

**ARTICLE 35**  
**CONTRACTOR'S INSURANCE**

**35.1** The Contractor shall not start Work under the Contract until they have obtained insurance as stated in SECTIONS 00 62 16 CERTIFICATE OF INSURANCE and 00 41 00 BID PROPOSAL FORM of the Project Manual and until the insurance has been approved by the Owner. The Contractor shall not allow any Subcontractor to start Work until the same insurance has been obtained by the Subcontractor and approved by the Owner or the Contractor's insurance provides coverage on behalf of the Subcontractor. The Contractor shall send Certificates of Liability Insurance to the Connecticut Department of Administrative Services/Construction Services, Office of Legal Affairs, Policy and Procurement, 450 Columbus Blvd, Suite 1302, Hartford, CT 06103-1835 unless otherwise directed in writing. For insurance definitions see Article 1 herein. Presented below is a narrative summary of the insurance required.

**35.1.1 Commercial General Liability Insurance:** Insurance including contractual liability, products/completed operations, broad form property damage and independent Contractors. The limits shall be no less than \$1,000,000 each occurrence and \$2,000,000 annual aggregate. Coverage for hazards of explosion, collapse and underground (X-C-U) and for asbestos abatement when applicable to this Contract, must also be included when applicable to the Work to be performed. The State of Connecticut, the Department of Administrative Services, and their respective officers, agents, and employees shall be named as an Additional Insured. This coverage shall be provided on a primary basis.

**35.1.2 Owner's and Contractor's Protective Liability Insurance:** Insurance providing a total limit of \$1,000,000 for all damages arising out of bodily injury or death of persons in any one accident or occurrence and for all damages arising out of injury or destruction of property in any one accident or occurrence and subject to a total (aggregate) limit of \$2,000,000 for all damages arising out of bodily injury to or death of persons in all accidents or occurrences and out of injury to or destruction of property during the policy period. This coverage shall be for and in the name of the State of Connecticut.

**35.1.3 Automobile Liability Insurance:** The operation of all motor vehicles including those owned, non-owned and hired or used in connection with the Contract shall be covered by Automobile Liability Insurance providing for a total limit of \$1,000,000 for all damages arising out of bodily injuries to or death of all persons in any one accident or occurrence and for all damages arising out of injury to or destruction of property in any one accident or occurrence. In cases where an insurance policy shows an aggregate limit as part of the automobile liability coverage, the aggregate limit must be at least \$2,000,000. This coverage shall be provided on a primary basis. Should the Contractor not own any automobiles, the automobile & liability requirement shall be amended to allow the Contractor to maintain only hired and non-owned liability coverage.

**35.1.4 Umbrella Liability Insurance:** Umbrella Liability Insurance, including a drop down provision covering any exhausted underlying aggregate limits in the specified amount shown below of combined single limit each occurrence in excess of the coverages described in subsections 35.1.1 Commercial General Liability Insurance, 35.1.3 Automobile Liability, and 35.1.5 Workers' Compensation and Employer's Liability. The State of Connecticut shall be named as an additional insured. The Umbrella Liability Insurance Limits for the Contractor are based on the Contract Value as specified in the following table.

<b>Umbrella Liability Insurance Table:</b>			
<b>Contract Value</b>			<b>Umbrella Limit</b>
\$1.00	to	\$500,000.00	\$1,000,000.00
\$500,000.01	to	\$1,000,000.00	\$2,000,000.00
\$1,000,000.01	to	\$10,000,000	\$5,000,000.00
\$10,000,000.01	to	\$30,000,000	\$10,000,000.00
\$30,000,000.01	to	\$80,000,000	\$15,000,000.00
\$80,000,000.01	to	\$150,000,000	\$20,000,000.00
\$150,000,000.01	to	\$300,000,000	\$25,000,000.00

**35.1.5 Workers' Compensation and Employer's Liability:** As required by Connecticut Law and **Employers' Liability** with a limit of not less than \$100,000 per occurrence, \$500,000 disease policy limit and \$100,000 disease each employee. When Work is on or contiguous to navigable bodies of waterways and ways adjoining, the Contractor shall include the Federal Act endorsement for the U.S. Longshoremen's and Harbor Workers Act.

**35.1.6 Special Hazards Insurance:** If required, will be stated in the BID PROPOSAL FORM of this Project Manual. This includes coverage for explosion, collapse or underground damage and for asbestos abatement when applicable to this Contract and shall be no less than \$1,000,000 each occurrence.

**35.1.7 Builder's Risk Insurance:** If required, will be stated in the BID PROPOSAL FORM of this Project Manual.

**35.1.8 Inland Marine/Transit Insurance:** With respect to property with values in excess of \$100,000 which is rigged, hauled or situated at the site pending installation, the Contractor shall maintain inland marine/transit insurance provided the coverage is not afforded by a Builder's Risk policy.

**35.1.9** When required to be maintained, the Builder's Risk and/or Inland Marine/Transit Insurance policy shall endorse the State of Connecticut as a Loss Payee and the policy shall state it is for the benefit of and payable to the State of Connecticut.

**35.2 Satisfying Limits Under an Umbrella Policy:** If necessary, the Contractor may satisfy the minimum limits required above for either Commercial General Liability, Automobile Liability, and Employer's Liability coverage under an Umbrella or Excess Liability policy. The underlying limits may be set at the minimum amounts required by the Umbrella or Excess Liability policy provided the combined limits meet at least the minimum limit for each required policy. The Umbrella or Excess Liability policy shall have an Annual Aggregate at a limit not less than two (2) times the highest per occurrence minimum limit required above for any of the required coverages. The State of Connecticut shall be specifically endorsed as an Additional Insured on the Umbrella or Excess Liability policy, unless the Umbrella or Excess Liability policy provides continuous coverage to the underlying policies on a complete "Follow-Form" basis.

**35.3** The Contractor shall, at its sole expense, maintain in full force and effect at all times during the life of the Contract or the performance of Work hereunder, insurance coverage as described herein. Certificates shall include a minimum thirty (30)-day endeavor to notify requirement to the Owner prior to any cancellation or non-renewal.

**35.4** The Contractor shall be fully and solely responsible for any costs or expenses as a result of a coverage deductible, coinsurance penalty, or self-insured retention, including any loss not covered because of the operation of such deductible, coinsurance penalty, or self-insured retention.

**35.5** The requirement contained herein as to types and limits of insurance coverage to be maintained by the Contractor are not intended to and shall not in any manner limit or qualify the liabilities and obligations assumed by the Contractor.

**35.6 Indemnification and Hold Harmless Provisions:**

**35.6.1** The Contractor shall indemnify, defend and hold harmless the State and its officers, representatives, agents, servants, employees, successors and assigns from and against any and all (1) Claims arising, directly or indirectly, in connection with the Contract, including the acts of commission or omission (collectively, the "Acts") of the Contractor or Contractor Parties; and (2) liabilities, damages, losses, costs and expenses, including but not limited to, attorneys' and other professionals' fees, arising, directly or indirectly, in connection with Claims, Acts or the Contract. The Contractor shall use counsel reasonably acceptable to the State in carrying out its obligations under this section. The Contractor's obligations under this section to indemnify, defend and hold harmless against Claims includes Claims concerning confidentiality of any part of or all of the Contractor's bid, proposal or any Records, any intellectual property rights, other proprietary rights of any person or entity, copyrighted or uncopyrighted compositions, secret processes, patented or unpatented inventions, articles or appliances furnished or used in the Performance.

**35.6.2** The Contractor shall not be responsible for indemnifying or holding the State harmless from any liability arising due to the negligence of the State or any third party acting under the direct control or supervision of the State.

**35.6.3** The Contractor shall reimburse the State for any and all damages to the real or personal property of the State caused by the Acts of the Contractor or any Contractor Parties. The State shall give the Contractor reasonable notice of any such Claims.

**35.6.4** The Contractor's duties under this section shall remain fully in effect and binding in accordance with the terms and conditions of the Contract, without being lessened or compromised in any way, even where the Contractor is alleged or is found to have merely contributed in part to the Acts giving rise to the Claims and/or where the State is alleged or is found to have contributed to the Acts giving rise to the Claims.

**35.6.5** The Contractor shall carry and maintain at all times during the term of the Contract, and during the time that any provisions survive the term of the Contract, sufficient general liability insurance to satisfy its obligations under this Contract. The Contractor shall name the State as an additional insured on the policy and shall provide a copy of the policy to the Agency prior to the effective date of the Contract. The Contractor shall not begin Performance until the delivery of the policy to the Agency. The Agency shall be entitled to recover under the insurance policy even if a body of competent jurisdiction determines that the Agency or the State is contributorily negligent.

**35.6.6** Such obligations shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity which would otherwise exist as to any party or person described in General Conditions Article 35.

**35.6.7** This section shall survive the Termination of the Contract and shall not be limited by reason of any insurance coverage.

**ARTICLE 36**  
**FOREIGN MATERIALS**

**36.1** Preference shall be given to articles or materials manufactured or produced in the United States, Canada, and Mexico, (the members of the North American Free Trade Agreement (NAFTA)); and the products shall meet all of the referenced standards and Specifications for conditions of performance, quality, and price with duty being equal.

**36.2** Only articles or materials manufactured or produced in the United States, Canada, and Mexico, (the members of the North American Free Trade Agreement (NAFTA)), will be allowed. The foregoing provisions shall not apply to foreign articles or materials required by the Contract Documents.

**36.3 Buy American Act (BAA):** Any "public building" or "public work" project funded by the American Recovery and Reinvestment Act of 2009 ("ARRA") requires that "all of the iron, steel, and manufactured goods used in the project" must be "produced in the United States" in accordance with the requirements of the Buy American Act (BAA).

**ARTICLE 37**  
**HOURS OF WORK**

**37.1** No person shall be employed to work or be permitted to work more than eight (8) hours in any Day or more than forty (40) hours in any week for any Work provided in the Contract, in accordance with Connecticut General Statute Section 31-57.

**37.2** The operation of such limitation of hours of work may be suspended during an emergency, upon the approval of the Commissioner, in accordance with Connecticut General Statute Section 31-57.

**ARTICLE 38**  
**CLAIMS**

**38.1 General:** When filing a formal claim under Section 4-61 (referred to as "Section 4-61" below) of the Connecticut General Statutes (as revised), either as a lawsuit in the Superior Court or as a demand for arbitration, the Contractor must follow the procedures and comply with the requirements set forth in this Article. This Section does not, unless so specified, govern informal claims for additional compensation which the Contractor may bring before the Department. The Contractor should understand, however, that the Department may need, before the Department can resolve such a claim, the same kinds of documentation and other substantiation that it requires under this Article. It is the intent of the Department to compensate the Contractor for actual increased costs caused by or arising from acts or omissions on the part of the Department that violate legal or contractual duties owed to the Contractor by the Department.

**38.2 Notice of Claim:** Whenever the Contractor intends to file a formal claim against the Department under Section 4-61, seeking compensation for additional costs, the Contractor shall notify the Commissioner in writing (in strict compliance with Section 4-61) of the details of said claim. Such written notice shall contain all pertinent information described in Paragraph 38.5 below. Once formal notice of a claim under Section 4-61(b) (as revised) has been given to the Commissioner, the claimant may not change the claim in any way, in either concept or monetary amount, (1) without filing a new notice of claim and demand for arbitration to reflect any such change, and (2) without the minimum period of six months after filing of the new demand commencing again and running before any hearing on the merits of the claim may be held. The only exception to this limitation will be for damages that continue to accrue after submission of the notice, in ways described and anticipated in the notice.

**38.3 Record Keeping:** The Contractor shall keep daily records of all costs incurred in connection with its Work on behalf of the Department. The daily records shall identify each aspect of the Project affected by matters related to any claim for additional compensation that the Contractor has filed, intends to file, or has reason to believe that it may file against the Department; the specific Project locations where Project work has been so affected; the number of people working on the affected aspects of the Project at the pertinent time(s); and the types and number of pieces of equipment on the Project site at the pertinent time(s). Any potential or anticipated effect on the Project's progress or Schedule which may result in a claim by the Contractor shall be noted contemporaneously with the cause of the effect, or as soon thereafter as possible.

**38.4 Claim Compensation:** The payment of any claim, or any portion thereof, that is deemed valid by the Department shall be made in accordance with the following provisions of this Article:

**38.4.1 Compensable Items:** The liability of the Department for claims will be limited to the following specifically identified items of cost, insofar as they have not otherwise been paid for by the Department, and insofar as they were caused solely by the actions or omissions of the Department or its agents (except that with regard to payment for extra work, the Department will pay to the Contractor the Overhead and profit percentages provided for in Article 13.):

**38.4.1.1** Additional Project-site labor expenses.

**38.4.1.2** Additional costs for materials.

**38.4.1.3** Additional, unabsorbed Project-site Overhead (e.g., for mobilization and demobilization).

**38.4.1.4** Additional costs for active equipment.

**38.4.1.5** For each Day of Project delay or suspension caused solely by actions or omissions of the Department either:

**38.4.1.5.1** an additional ten percent (10%) of the total amount of the costs identified in Subparagraphs 38.4.1.1 through 38.4.1.4 above; except that if the delay or suspension period prevented the Contractor from incurring enough Project costs under Subparagraphs 38.4.1.1 through 38.4.1.4 during that period to require a payment by the Department that would be greater than the payment described in Subparagraph 38.4.1.5.2 below, then the payment for affected home office Overhead and profit shall instead be made in the following *per diem* amount:

**38.4.1.5.2** six percent (6%) of the original total Contract amount divided by the original number of Days of Contract Time. Payment under either 38.4.1.5.1 or 38.4.1.5.2 hereof shall be deemed to be complete and mutually satisfactory compensation for any unabsorbed home office overhead and any profit related to the period of delay or suspension.



**38.4.1.6** Additional equipment costs. Only actual equipment costs shall be used in the calculation of any compensation to be made in response to claims for additional Project compensation. Actual equipment costs shall be based upon records kept in the normal course of business and in accordance with generally accepted accounting principles. Under no circumstances shall Blue Book or other guide or rental rates be used for this purpose (unless the Contractor had to rent the equipment from an unrelated party, in which case the actual rental charges paid by the Contractor, so long as they are reasonable, shall be used). Idle equipment, for instance, shall be paid for based only on its actual cost to the Contractor.

**38.4.1.7** Subcontractor costs limited to, and determined in accordance with, Subparagraphs 38.4.1.1 through 38.4.1.5 above and applicable statutory and case law. Such Subcontractor costs may be paid for by the Department only: (a) in the context of an informal claims settlement; or (b) if the Contractor has itself paid or legally assumed, present unconditional liability for those Subcontractor costs.

**38.4.2 Excusable But Not Compensable Items:** The Contractor may be allowed Days but the Department will have no liability for the following non-compensable items:

**38.4.2.1** Abnormal or unusually severe weather

**38.4.2.2** Acts of God

**38.4.2.3** Force Majeure

**38.4.2.4** Concurrent Delay

**38.4.3 Non-Compensable Items:** The Department will have no liability for the following specifically-identified non-compensable items:

**38.4.3.1** Profit, in excess of that provided for herein.

**38.4.3.2** Loss of anticipated profit.

**38.4.3.3** Loss of bidding opportunities.

**38.4.3.4** Reduction of bidding capacity.

**38.4.3.5** Home office overhead in excess of that provided for in Subparagraph 38.4.1.5 hereof.

**38.4.3.6** Attorneys fees, claims preparation expenses, or other costs of claims proceedings or resolution.

**38.4.3.7** Subcontractor failure to perform

**38.4.3.8** Any other consequential or indirect expenses or costs, such as tort damages, or any other form of expense or damages not provided for in these specifications or elsewhere in the Contract.

**38.5 Required Claim Documentation:** All claims shall be submitted in writing to the Commissioner, and shall be sufficient in detail to enable the Department to ascertain the basis and the amount of each claim, and to investigate and evaluate each claim in detail. As a minimum, the Contractor must provide the following information for each and every claim and sub-claim asserted:

**38.5.1** A detailed factual statement of the claim, with all dates, locations and items of Work pertinent to the claim.

**38.5.2** A statement of whether each requested additional amount of compensation or extension of time is based on provisions of the Contract or on an alleged breach of the Contract. Each supporting or breached Contract provision and a statement of the reasons why each such provision supports the claim must be specifically identified or explained.

**38.5.3** Excerpts from manuals or other texts which are standard in the industry, if available, that support the Contractor's claim.

**38.5.4** The details of the circumstances that gave rise to the claim.

**38.5.5** The date(s) on which any and all events resulting in the claim occurred, and the date(s) on which conditions resulting in the claim first became evident to the Contractor.

**38.5.6** Specific identification of any pertinent document, and detailed description of the substance of any material oral communication, relating to the substance of such claim.

**38.5.7** If an extension of time is sought, the specific dates and number of Days for which it is sought, and the basis or bases for the extension sought. A critical path method, bar chart, or other type of graphical schedule that supports the extension must be submitted.

**38.5.8** When submitting any claim over \$50,000, the Contractor shall certify in writing, under oath and in accordance with the formalities required by the contract, as to the following:

**38.5.8.1** That supporting data is accurate and complete to the Contractor's best knowledge and belief;

**38.5.8.2** That the amount of the dispute and the dispute itself accurately reflects what the Contractor in good faith believes to be the Department's liability;

**38.5.8.3** The certification shall be executed by:

**38.5.8.3.1** If the Contractor is an individual, the certification shall be executed by that individual.

**38.5.8.3.2** If the Contractor is not an individual, the certification shall be executed by a senior company official in charge at the Contractor's plant or location involved or an officer or general partner of the Contractor having overall responsibility for the conduct of the Contractor's affairs.

**38.6 Auditing of Claims:** All claims filed against the Department shall be subject to audit by the Department or its agents at any time following the filing of such claim. The Contractor and its Subcontractors and suppliers shall cooperate fully with the Department's auditors. Failure of the Contractor, its Subcontractors, or its suppliers to maintain and retain sufficient records to allow the Department or its agents to fully evaluate the claim shall constitute a waiver of any portion of such claim that cannot be verified by specific, adequate, contemporaneous records, and shall bar recovery on any claim or any portion of a claim for which such verification is not produced. Without limiting the foregoing requirements, and as a minimum, the Contractor shall make available to the Department and its agents the following documents in connection with any claim that the Contractor submits:

**38.6.1** Daily time sheets and foreman's daily reports.

**38.6.2** Union agreements, if any.

**38.6.3** Insurance, welfare, and benefits records.

**38.6.4** Payroll register.

**38.6.5** Earnings records.

**38.6.6** Payroll tax returns.

**38.6.7** Records of property tax payments.

**38.6.8** Material invoices, purchase orders, and all material and supply acquisition contracts.

**38.6.9** Materials cost distribution worksheets.

**38.6.10** Equipment records (list of company equipment, rates, etc.).

**38.6.11** Vendor rental agreements.

**38.6.12** Subcontractor invoices to the Contractor, and the Contractor's certificates of payments to Subcontractors.

**38.6.13** Subcontractor payment certificates.

**38.6.14** Canceled checks (payroll and vendors).

**38.6.15** Job cost reports.

**38.6.16** Job payroll ledger.

**38.6.17** General ledger, general journal (if used), and all subsidiary ledgers and journals, together with all supporting documentation pertinent to entries made in these ledgers and journals.

**38.6.18** Cash disbursements journals.

**38.6.19** Financial statements for all years reflecting the operations on the Project.

**38.6.20** Income tax returns for all years reflecting the operations on the Project.

**38.6.21** Depreciation records on all company equipment, whether such records are maintained by the company involved, its accountant, or others.

**38.6.22** If a source other than depreciation records is used to develop costs for the Contractor's internal purposes in establishing the actual cost of owning and operating equipment, all such other source documents.

**38.6.23** All documents which reflect the Contractor's actual profit and overhead during the years that the Project was being performed, and for each of the five years prior to the commencement of the Project.

**38.6.24** All documents related to the preparation of the Contractor's bid, including the final calculations on which the total proposed Contract bid price as stated in the Bid Proposal Form was based.

**38.6.25** All documents which relate to the claim or to any sub-claim, together with all documents that support the amount of damages as to each claim or sub-claim.

**38.6.26** Worksheets used to prepare the claim, which indicate the cost components of each item of the claim, including but not limited to the pertinent costs of labor, benefits and insurance, materials, equipment, and Subcontractors' damages, as well as all documents which establish the relevant time periods, individuals involved, and the Project hours and the rates for the individuals.

**38.6.27** The name, function, and pertinent activity of each Contractor's or Subcontractor's official, or employee, involved in or knowledgeable about events that give rise to, or facts that relate to, the claim.

**38.6.28** The amount(s) of additional compensation sought and a break-down of the amount(s) into the categories specified as payable under Paragraph 38.4 above.

**38.6.29** The name, function, and pertinent activity of each Department official, employee, or agent involved in or knowledgeable about events that give rise to, or facts that relate to, the claim.

### **ARTICLE 39** **DIESEL VEHICLE EMISSIONS CONTROL**

**39.1** The Contractor shall be responsible for compliance with the following provisions:

**39.1.1** All Contractor and Subcontractor diesel powered non-road construction equipment with engine horsepower (HP) ratings of 60 HP and above, that are on the Project or are assigned to the Contract for a period in excess of 30 consecutive Days, shall be retrofitted with emission control devices in order to reduce diesel emissions. In addition, all motor vehicles and/or construction equipment (both on-highway and non-road) shall comply with all pertinent State and Federal regulations relative to exhaust emission controls and safety.

**39.1.2** Retrofit emission control devices shall consist of oxidation catalysts, or similar retrofit equipment control technology that is:

**39.1.2.1** Included on the U.S. Environmental Protection Agency (EPA) "Verified Technology List," as may be amended from time to time <http://www.epa.gov/otaq/retrofit/retroverifiedlist.htm> and

**39.1.2.2** Verified by EPA to provide a minimum emissions reduction of 20% particulate matter (PM<sub>10</sub>), 40% carbon monoxide (CO), and 50% hydrocarbons (HC).

**39.1.3** Construction shall not proceed until all diesel powered non-road construction equipment meeting the criteria in provision 39.1.1 have been retrofitted, unless the Commissioner grants a waiver under provision 39.2.

**39.1.4** The Contractor shall at least monthly, assess which diesel powered non-road construction equipment are subject to these provisions. The Contractor shall notify the CT DCS Project Manager of any violations of these provisions.

**39.1.5** Idling of delivery and/or dump trucks, or other diesel powered equipment shall be limited to three (3) minutes during non-active use in accordance with the Regulations of Connecticut State Agencies Section 22a-74-18(b)(3)(C), which states, in part:

*"[N]o person shall cause or allow a Mobile Source to operate for more than three (3) consecutive minutes when such Mobile Source is not in motion, except as follows:*

- *When a Mobile Source is forced to remain motionless because of traffic conditions or mechanical difficulties over which the operator has no control,*
- *When it is necessary to operate defrosting, heating or cooling equipment to ensure the safety or health of the driver or passengers,*
- *When it is necessary to operate auxiliary equipment that is located in or on the Mobile Source to accomplish the intended use of the Mobile Source,(To bring the Mobile Source to the manufacturer's recommended)*
- *When a Mobile Source is in queue to be inspected by U.S. military personnel prior to gaining access to a U.S. military installation."*

**39.1.6** All Work shall be conducted to ensure that no harmful effects are caused to adjacent Sensitive Receptor Sites. Diesel powered engines shall be located away from fresh air intakes, air conditioners, and windows.

**39.1.7** If any diesel powered non-road construction equipment is found to be in non-compliance with these provisions by the CT DCS Project Manager, the Contractor will be issued a Non-Conformance Notice and given a 24 hour period in which to bring the equipment into compliance or remove it from the Project. The Contractor's failure to comply with these provisions shall be reason to withhold payment as described in Article 33.

**39.1.8** Any costs associated with these provisions shall be included in the general cost of the contract. In addition, there shall be no time granted to the Contractor for compliance with these provisions. The Contractor's compliance with these provisions and any associated regulations shall not be grounds for a Change Order.

**39.2** The Commissioner reserves the right to waive all or portions of these provisions at his/her discretion. The Contractor may request a waiver to all or portions of these provisions with written justification to the Commissioner as to why the Contractor cannot comply with these provisions. A waiver, to be effective, must be granted in writing by the Commissioner.

#### **ARTICLE 40** **DISCLOSURE OF RECORDS**

**40.1** This Contract may be subject to the provisions of C.G.S. Section 1-218. In accordance with this statute, each contract in excess of two million five hundred thousand dollars (\$2,500,000.00) between a public agency and a person for the performance of a governmental function shall (a) provide that the public agency is entitled to receive a copy of records and files related to the performance of the governmental function, and (b) indicate that such records and files are subject to the Freedom of Information Act (FOIA) and may be disclosed by the public agency pursuant to FOIA. No request to inspect or copy such records or files shall be valid unless the request is made to the public agency in accordance with FOIA. Any complaint by a person who is denied the right to inspect or copy such records or files shall be brought to the Freedom of Information Commission in accordance with the provisions of C.G.S. Sections 1-205 and 1-206.

#### **ARTICLE 41** **AUDIT AND INSPECTION OF PLANTS, PLACES OF BUSINESS, AND RECORDS**

**41.1** The State and its agents, including, but not limited to, the Connecticut Auditors of Public Accounts, Attorney General and State's Attorney and their respective agents, may, at reasonable hours, inspect and examine all of the parts of the Contractor's and Contractor Parties' plants and places of business which, in any way, are related to, or involved in, the performance of this Contract.

**41.2** The Contractor shall maintain, and shall require each of the Contractor Parties to maintain, accurate and complete Records. The Contractor shall make all of its and the Contractor Parties' Records available at all reasonable hours for audit and inspection by the State and its agents.

**41.3** The State shall make all requests for any audit or inspection in writing and shall provide the Contractor with at least twenty-four (24) hours' notice prior to the requested audit and inspection date. If the State suspects fraud or other abuse, or in the event of an emergency, the State is not obligated to provide any prior notice.

41.4 All audits and inspections shall be at the State's expense.

41.5 The Contractor shall keep and preserve or cause to be kept and preserved all of its and Contractor Parties' Records until three (3) years after the latter of (i) final payment under this Agreement, or (ii) the expiration or earlier termination of this Agreement, as the same may be modified for any reason. The State may request an audit or inspection at any time during this period. If any Claim or audit is started before the expiration of this period, the Contractor shall retain or cause to be retained all Records until all Claims or audit findings have been resolved.


41.6 The Contractor shall cooperate fully with the State and its agents in connection with an audit or inspection. Following any audit or inspection, the State may conduct and the Contractor shall cooperate with an exit conference.

41.7 The Contractor shall incorporate this entire Section verbatim into any contract or other agreement that it enters into with any Contractor Party.

END

Appendix 1

7048  
General Contractor (GC)  
Retainage Reduction Request  
Page 1 of 1



To: Department of Administrative Services (DAS) Construction Services  
Office of Legal Affairs, Policy and Procurement  
450 Columbus Blvd, Suite 1302 – North Tower  
Hartford, CT 06103

From:  General Contractor (GC)

Subject: DAS Project Number:   
DAS Project Name:   
Reduction of Retainage at:  Percent (  % ) Project Completion

Date:

In accordance with the General Conditions, Article 28 Progress Payments,  
  
hereby requests a reduction of retainage from  % to  %.

The following list of items required under the General Conditions is in compliance with the terms of the contract and has been verified by the General Contractor (GC).

- DAS Construction Services Contractor Performance Evaluation Score is a minimum of **(60%)** Percent.
- Timely submission of an appropriate and complete CPM Schedule / Schedule of Values, in compliance with the Contract requirements and the prompt resolution of the Owner's and/or A/E's comments on the submitted material resulting in an appropriate basis for payment of the Work.
- Timely and proper submission of all required Contract Documents including but not limited to Shop Drawings, material certificates, material samples and the prompt resolution of the Owner's and/or A/E's comments on the submitted material resulting in an appropriate process of the Work.
- Proper and adequate supervision on site appropriate to the Project.
- The Work completed to date has been installed or finished in a manner acceptable to the Owner.
- The progress of the Work is consistent with the approved CPM Schedule.
- All approved change orders have been invoiced.
- All Change Order requests for materials are current.
- The Contractor is maintaining a clean worksite in accordance with the Contract Documents.
- All Subcontractor payments are current at the time of reduction request.
- GC is in compliance with all other provisions of the contract.

General Contractor Certification:

Project Manager Recommendation:

ADPM Approval:

DAS Chief Architect or Authorized Representative:

END



**State Of Connecticut  
Department of Administrative Services  
Construction Services**

February 1, 2019

**To:** All Department of Administrative Services, Construction Services Contractors

**Subject:** Set-Aside Contract Laws

Dear Sir/Madam:

The administration of Governor Ned Lamont is committed to supporting the subject programs by encouraging all contractors on State projects to improve their efforts in these areas.

State law requires contractors doing business with the State to demonstrate non-discrimination by making "good faith efforts" in both hiring and in sub-contracting practices (Connecticut General Statutes Section [C.G.S. §] 4a-60).

What does "good faith efforts" mean? It means that you, as contractors, must act affirmatively. It is not good enough to say you can't find minorities and women. You must seek them out. That is the law, and the Department of Administrative Services (DAS) / Construction Services (CS) is committed to enforcing the law. At the same time, we are ready to assist you in making "good faith efforts."

DAS is required by C.G.S. § 4a-60g (b) and (c) to set aside projects (amounting to **twenty-five percent (25%)** of its annual contract awards) for small business and **twenty-five percent (25%)** of that amount for minority business enterprises. DAS may require any general contractor to set aside a portion of the contract for subcontractors who are small businesses or minority business enterprises in lieu of setting aside a project or in addition to setting aside a project.

Therefore, unless otherwise specified in the **Bid Proposal Form**, DAS will require contractors to subcontract **twenty-five percent (25%)** of the total contract value to small businesses certified by DAS and further will require contractors to subcontract 25% of that 25% to minority and women small contractors certified as minority business enterprises by DAS. These statutory goals represent the minimum values expected to be achieved by this program.

Together, we can meet the challenge of providing equal opportunity for minority and women-owned businesses and workers in our State. We expect superior results in the areas of affirmative action, equal employment opportunity, and set-aside contracts. The DAS standard in these areas is not just minimal effort. Our goal is to uphold the letter and the spirit of the law.

For more information on Non-Discrimination and Affirmative Action Provisions for State Contracts please visit the Commission on Human Rights and Opportunities (**CHRO**) Website at [www.ct.gov/chro](http://www.ct.gov/chro).

Sincerely yours,

Josh Geballe  
Commissioner

PB:pb

## Non-Discrimination and Affirmative Action Provisions for State Contracts

Section 1	CHRO – Contract Compliance Regulations Notification to Bidders:
1.1	<p>The contract to be awarded is subject to contract compliance requirements mandated by:</p> <ul style="list-style-type: none"><li>1.1.1 The <b>Connecticut General Statutes (C.G.S.) § 4a-60</b> and <b>4a-60a</b>;</li><li>1.1.2 <b>C.G.S. § 46a-71(d)</b> and <b>46a-81i (d)</b> when the awarding agency is the State; and</li><li>1.1.3 The <b>Contract Compliance Regulations</b> codified in the <b>Regulations of Connecticut State Agencies (RSCA) §46a-68j-21 through 43</b>, which establish a procedure for awarding all contracts covered by <b>C.G.S. §4a-60</b> and <b>46a-71(d)</b>.</li></ul>
1.2	<p>According to the <b>Contract Compliance Regulations §46a-68j-30(9)</b>, every agency awarding a contract subject to the contract compliance requirements has an obligation to “aggressively solicit the participation of legitimate minority business enterprises as bidders, contractors, subcontractors and suppliers of materials.”</p> <p>“<b>Minority business enterprise</b>” is defined in <b>C.G.S §4a-60</b>-as a small contractor or supplier of materials fifty-one (51%) percent or more of the capital stock or assets of which is owned by a person or persons:</p> <ul style="list-style-type: none"><li>1.2.1 who are active in the daily affairs of the enterprise;</li><li>1.2.2 who have the power to direct the management and policies of the enterprise; and</li><li>1.2.3 who are members of a minority, as such term is defined in subsection (a) of <b>C.G.S. §32-9n.</b>”</li></ul>
1.3	<p>“<b>Minority</b>” groups are defined in <b>C.G.S. §32-9n</b> as:</p> <ul style="list-style-type: none"><li>1.3.1 Black Americans, including all persons having origins in any of the Black African racial groups not of Hispanic origin;</li><li>1.3.2 Hispanic Americans, including all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race;</li><li>1.3.3 Persons who have origins in the Iberian Peninsula, including Portugal, regardless of race;</li><li>1.3.4 Women;</li><li>1.3.5 Asian Pacific Americans and Pacific Islanders; or</li><li>1.3.6 American Indians and persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification.</li><li>1.3.7 “<b>Individuals with a disability</b>” is also a minority business enterprise as provided by C.G.S. § 4a-60g (4).</li></ul>
1.4	<p>The above “<b>Minority business enterprise</b>” definitions apply to the contract compliance requirements by virtue of <b>Contract Compliance Regulations §46a-68j-21(11)</b>.</p> <p>The awarding agency will consider the following factors when reviewing the bidder’s qualifications under the contract compliance requirements:</p> <ul style="list-style-type: none"><li>1.4.1 the bidder’s success in implementing an affirmative action plan;</li><li>1.4.2 the bidder’s success in developing an apprenticeship program complying with <b>RSCA §46a-68-1 to 46a-68-17</b>, inclusive;</li><li>1.4.3 the bidder’s promise to develop and implement a successful affirmative action plan;</li><li>1.4.4 the bidder’s submission of employment statistics contained in the “Employment Information Form”, indicating that the composition of its workforce is at or near parity when compared to the racial and sexual composition of the workforce in the relevant labor market area; and</li><li>1.4.5 the bidder’s promise to set aside a portion of the contract for legitimate minority business enterprises. See <b>Contract Compliance Regulations § 46a-68j-30(10) (E)</b>.</li></ul>

**Note:** The Commission on Human Rights and Opportunities (CHRO) “Employment Information Form” shall be submitted to the DAS/CS Office of Legal Affairs, Policy, and Procurement on behalf of the awarding agency, the Department of Administrative Services (DAS).



<b>Section 2</b>	<b>Non-Discrimination and other Contract Compliance Requirements:</b>
------------------	---

Pursuant to **C.G.S. §4a-60** and **§4a-60a** and **RSCA §46a-68j-21 to §46a-68j-43**, a contractor agrees to the following:

- 2.1** Not to discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, sexual orientation, mental retardation, or physical disability including, but not limited to, blindness (unless it is shown that such disability prevents performance of the work involved) in the performance of a contract, in any manner prohibited by the federal and Connecticut anti-discrimination and contract compliance laws;
- 2.2** To undertake affirmative action which will insure that applicants with job-related qualifications are employed and that employees are treated, when employed, without regard to whether they belong to any of the groups identified in Paragraph # 1) above;
- 2.3** To include a statement that the contractor is an “affirmative action-equal opportunity employer”, in all solicitations or advertisements for employees placed by or on behalf of the contractor;
- 2.4** To provide each labor union or representative of workers with which such contractor has a collective bargaining agreement and each vendor with which such contractor has a contract, a notice advising them of the contractor’s commitments under **C.G.S. §4a-60** and **§4a-60a**. The notice is available by contacting **CHRO**;
- 2.5** To post copies of the notice referred to in item 4) in conspicuous places available to employees and applicants;
- 2.6** To provide **CHRO** with such information requested by said agency, permit access to pertinent books, records, and accounts, concerning the employment practices and procedures of the contractor as relate to the provisions of **C.G.S. §4a-60, §4a-60a** and **§46a-56** and, cooperate fully with **CHRO**; and,
- 2.7** To include the language of **C.G.S. §4a-60 (a)** and **§4a-60a (a)** in every subcontract or purchase order executed to fulfill any obligation of the contract with DAS.

<b>Section 3</b>	<b>Affirmative Action Requirements for Certain Public Works Contracts for Construction:</b>
------------------	---

Pursuant to **C.G.S. §46a-68c** and **§46a-68d** and **RSCA §46a-68j-21 to §46a-68j-29**, the following must file an affirmative action plan with the Commission:

- 3.1** A successful bidder on a <sup>1</sup> “**public works contract**” with a value of **\$500,000** or more. The plan must be filed within **thirty (30)** days after a bid has been accepted by an awarding agency but before a contract is awarded. A plan may be filed in advance of, or at the same time as, a bid is submitted.
- 3.2** A contractor with **fifty (50)** or more employees who has been awarded a “**public works contract**” in excess of **\$50,000** in any fiscal year. A plan must be filed within **thirty (30) days** of the date a contract is awarded.

**CHRO** must review a plan within **sixty (60) days** of receipt and must either approve or reject a plan. Should **CHRO** approve an affirmative action plan, **CHRO** will issue a certificate of compliance. This certificate of compliance shall be proof of a successful bidder’s or a contractor’s eligibility to bid or be awarded contracts for a period of **two (2)** years from the date of the certificate. This certificate does not excuse a successful bidder or contractor from being monitored by the **CHRO** for implementation of its affirmative action plan or, from its reporting requirements under **C.G.S. 46a-68e** and **§ 46a-68f**. (Refer to Section 6) Also, **CHRO** may revoke the certificate if a successful bidder or contractor does not implement its affirmative action plan.

Should **CHRO** opt to disapprove an affirmative action plan, **CHRO** must notify the successful bidder or contractor in writing within **ten (10) days** of the disapproval. The notice will state the reason for disapproval and may provide necessary proposals to bring the plan into compliance. The successful bidder or contractor must then submit a new or amended plan, within **thirty (30) days** of the date the notice of disapproval is mailed by **CHRO**.

**Section 3**

(Continued):

In addition, **CHRO** may conditionally approve an affirmative action plan for a successful bidder on a public works contract valued at **\$500,000** or more. **CHRO** must notify the successful bidder in writing within **ten (10) days** of the conditional disapproval and state the reason for conditional approval and, may provide necessary proposals to bring the plan into compliance. The successful bidder must then submit a new or amended plan or, provide written assurances that it will amend its plan to conform to affirmative action requirements, within **thirty (30) days** of the date the notice is mailed by **CHRO**.

**Note:** The awarding agency (DAS) will provide a successful bidder or contractor with a copy of **CHRO**'s Affirmative Action Plan format. All sections of this Affirmative Action Plan format must be completed by the successful bidder or contractor and forwarded to **CHRO**. Also, the awarding agency (DAS) shall withhold **2%** of the total contract price per month from any payment made to a contractor until such time as the contractor has developed an affirmative action plan, which has been approved by **CHRO**.

<sup>1</sup> **“public works contract”** means any agreement between any individual, firm or corporation and the state or any political subdivision of the state other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the state, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.-**C.G.S. §46a-68b**.

**Section 4**

**“Good Faith Efforts” to Include Minority Business Enterprises as Subcontractors”:**

In addition to, or in the absence of, any other subcontractor requirements included in this project, contractors are required to make <sup>2</sup> **“good faith efforts”** to include minority business enterprises in the work of this project as subcontractors (for services and/or material suppliers). For the purpose of identifying minority business enterprises, a minority business enterprise shall be a subcontractor which has a valid certification as such from DAS and/or a subcontractor for which an affidavit has been submitted by the contractor attesting that the subcontractor named as a minority business enterprise meets the minority business enterprise criteria set out in **C.G.S. §4a-60(b)**.

<sup>2</sup> **“Good faith efforts”** means *“that **degree of diligence** which a reasonable person would exercise in the performance of legal duties and obligations”* and includes, but is not limited to, the following **factors**: the contractor’s employment and subcontracting policies and practices; affirmative advertising, recruitment, training, technical assistance activities and such other reasonable activities or efforts as **CHRO** may recommend to ensure the participation of minority business enterprises in state projects.

**Section 5**

**Set-Aside Program:**

This contract may be subject to the provisions the **Set-Aside Program for Small Contractors** found at **C.G.S. § 4a-60g** and may be awarded only to a contractor certified as a small and/or minority business enterprise by DAS. The notification as to this special provision will be found in the **Bid Proposal Form** for this contract. The listing of eligible "Set-Aside" contractors is found on the [DAS Website for SBE or MBE Certification](#). In the event that the **Set-Aside Program for Small Contractors** applies to this contract, the following special provisions will also apply:

**5.1 Amount of Work Required to Be Done by "Set-Aside" Contractors**

A contractor awarded a contract on a project pursuant to the provisions of **C.G.S. §4a-60g**, as amended, shall be required to perform not less than **thirty (30)** per cent of the work with his/her own forces and shall ensure that not less than **fifty (50)** per cent of the work be performed by contractors or subcontractors who are certified as small contractors or minority business enterprises pursuant to **C.G.S. §4a-60g**.

The primary product/service performed by contractors working on a contract awarded under **C.G.S. §4a-60g** must be the same as the primary product/service described for the contractors on their "Certificate of Eligibility" which is provided to them by DAS.

**5.2 Alternate Bonding Available to "Set Aside" Contractors**

In lieu of a performance, bid, labor and materials or other required bond, a contractor or subcontractor awarded a contract under **C.G.S. §4a-60g** may provide to the awarding authority (DAS) and the awarding authority shall accept a "Letter of Credit". Any such "Letter of Credit" shall be in an amount equal to **ten per cent (10%)** of the contract for any contract that is less than **one hundred thousand (\$100,000) dollars**, and in the amount of **twenty-five per cent (25%)** for any contract that is **one hundred thousand (\$100,000) dollars** or more.

**5.3 Procedures to Follow Regarding Substitution of Named Project "Set-Aside" Subcontractors.**

The awarding authority (DAS) may also require the contractor to set aside a portion of the contract for subcontractors who are eligible for set aside contracts. The awarding authority shall not permit substitution of a subcontractor for one named in accordance with the provisions of **C.G.S. § 4b-95** or substitution of a subcontractor for any designated sub-trade work bid to be performed by the contractor's own forces, except for good cause.

Pursuant to **C.G.S. § 4b-95**, the term "**good cause**" includes but is not limited to a subcontractor's or, where appropriate, a general contractor's:

**5.3.1** Death or physical disability, if the listed subcontractor is an individual;

**5.3.2** Dissolution, if a corporation or partnership;

**5.3.3** Bankruptcy;

**5.3.4** Inability to furnish any performance and payment bond shown on the bid form;

**5.3.5** Inability to obtain, or loss of, a license necessary for the performance of the particular category of work;

**5.3.6** Failure or inability to comply with a requirement of law applicable to contractors and subcontractors, or to subcontracts for construction, alteration, or repair projects;

**5.3.7** Failure to perform his/her agreement to execute a subcontract under **C.G.S. § 4b-96**.

Any general contractor who violates any provision of **C.G.S. § 4b-95** shall be disqualified from bidding on other contracts that are subject to the provisions of **Chapter 60 - Construction and Alterations of State Buildings of the C.G.S.** for a period **not to exceed twenty-four (24) months**, commencing from the date on which the violation is discovered, for each violation.

<b>Section 6</b>	<b>Contract Monitoring and Reporting:</b>
------------------	---

- 6.1 CHRO** has the authority to monitor state contractors pursuant to **C.G.S. § 46a-68e** and **46a-68f** and **RSCA-§46a-68j-23(3)**. In addition, under the **RSCA §46a-68j-25(e)** and **46a-68j-26 (g)**, **CHRO** has the authority to monitor the implementation of an affirmative action plan regarding:
- 6.1.1** a successful bidder who has been awarded a public works contract valued at **\$500,000 or more** and;
  - 6.1.2** a contractor with **fifty (50)** or more employees who has been awarded a public works contract **in excess of \$50,000 in any fiscal year**.
- 6.2** In order to monitor the implementation of these plans **CHRO** requires that the following contract monitoring reports be compiled and submitted:
- 6.2.1 Monthly Employment Utilization Report (Form CHRO: 257):** A contractor, on behalf of itself and all subcontractors who perform work on the project during a given month, is required to report on the work hour participation of minority male and female workers in each trade category on the project. The report must be submitted to the contract awarding agency (**DAS**) and to the Commission by the 15<sup>th</sup> day following the end of each calendar month during the term of the on-site construction work of the project.  
**Website page:** <http://www.ct.gov/chro>, then click on **Forms**, then click on **Contract Compliance Forms and Reports**.
  - 6.2.2 Quarterly Small Contractor and Minority Business Enterprise Payment Status Report (Form CHRO: 258).** A contractor is required to report on the participation of small contractors or minority business enterprises identified to participate on the project. The report must be submitted to the contract awarding agency (**DAS**) and to the Commission by the 15<sup>th</sup> day following the end of each calendar quarter during the term of the on-site construction work of the project.  
**Website page:** <http://www.ct.gov/chro>, then click on **Forms**, then click on **Contract Compliance Forms and Reports**.
  - 6.2.3** In addition, the Commission expects that a contractor will designate an Equal Opportunity/Contract Compliance Officer for its public works project who will compile the above monthly and quarterly reports, as well as, undertake the following responsibilities for implementation of its project Affirmative Action Plan (AAP):
    - .1 Maintain a project Equal Employment Opportunity (EEO) file to include all records, correspondence and other documentation relate to the project AAP.
    - .2 Communicate to and inform all project subcontractors, regardless of tier, and labor referral organizations (if applicable) about project equal employment and AAP commitments and performance requirements.
    - .3 Participate in project job meetings to inform project subcontractors about project equal employment and AAP performance requirements.
    - .4 Track the use of employment recruitment sources identified in the project AAP regarding all employment opportunities with all subcontractors on the project. Also, maintain documentation of all contacts with these recruitment sources and their responses.

The Commission will forward a copy of the monthly and quarterly report to each contractor on a public works project.

<b>NOTES:</b>	Bidders and state contractors may review the full text of the before referenced Connecticut General Statutes by accessing either the State Law Library's web site ( <a href="http://www.cslib.org/psaindex.htm">http://www.cslib.org/psaindex.htm</a> ) or the State Legislatures' web site ( <a href="http://www.cga.ct.gov">http://www.cga.ct.gov</a> ).
	The full text of the RSCA 46a-68j-21 through 46a-68j-43 may be reviewed by accessing the Commission's web site: <a href="http://www.ct.gov/chro/cwp/view.asp?a=2525&amp;Q=315900&amp;chroPNavCtr=#45679">http://www.ct.gov/chro/cwp/view.asp?a=2525&amp;Q=315900&amp;chroPNavCtr=#45679</a> In the alternative, bidders or state contractors may request a copy of these state statutes and regulations by contacting the Commission at (860) 541-3400 (in Hartford) or 1 (800) 477-5737.

<b>Section 7</b>	<b>CHRO Contract Compliance Forms:</b>
------------------	--

The following CHRO Contract Compliance Forms are available on the CHRO Website:

- 7.1 **Monthly Employment Utilization Report (Form CHRO-257 *and* CHRO-257a):**
  - <http://www.ct.gov/chro/lib/chro/257s.pdf>
- 7.2 **Cumulative Utilization Report (Form CHRO-257b):**
  - <http://www.ct.gov/chro/lib/chro/257b.pdf>
- 7.3 **Monthly Small Contractor & MBE Payment Status Report (Form CHRO-258a) *and* Quarterly Small Contractor & MBE Payment Status Report (Form CHRO-258):**
  - <http://www.ct.gov/chro/lib/chro/258s.pdf>

**End of Section**  
**00 73 38 CHRO / Contract Compliance Regulations**



**Minimum Rates and Classifications  
 for Building Construction**

**Connecticut Department of Labor  
 Wage and Workplace Standards Division**

By virtue of the authority vested in the Labor Commissioner under provisions of Section 31-53 of the General Statutes of Connecticut, as amended, the following pages are declared to be the prevailing rates and welfare payments and will apply only where the contract is advertised for bid within 20 days of the date on which the rates are established. Any contractor or sub-contractor not obligated by agreement to pay to the welfare and pension fund shall pay this amount to each employee as part of his hourly wage.

<b>Project Number:</b>	<b>BI-2B-438</b>	<b>Project Town:</b>	<b>Hartford, CT</b>
<b>Project: Lower Garage Ramp Restoration</b>			
<b>505 Hudson Street</b>			
<b>Hartford, CT</b>			

The following pages contain:

Contractors Wage Certification Form	1 page
Notice to all Mason Contractors reference Section 31-53 of C.G.S. (Prevailing Wages)	1 page
Prevailing Wage Rates - English	7 pages
Informational Bulletin - <b>Occupational Classifications</b>	6 pages
Informational Bulletin – <b>The 10-Hour OSHA Construction Safety and Health Course</b>	2 pages
Footnotes	2 pages
Special Notice re: Wage Rate Adjustments	1 pages
Weekly Payroll Certification Form (WWS-CP1)	1 page
Fringe Benefits Explanation (P)	1 page
Weekly Payroll Certification Form (WWS-CP2)	1 page

**As of: March 9, 2020**







# **THIS IS A PUBLIC WORKS PROJECT**

**Covered by the**

# **PREVAILING WAGE LAW**

**CT General Statutes Section 31-53**

**If you have QUESTIONS regarding your wages  
CALL (860) 263-6790**

Section 31-55 of the CT State Statutes requires every contractor or subcontractor performing work for the state to post in a prominent place the prevailing wages as determined by the Labor Commissioner.



CONNECTICUT DEPARTMENT OF LABOR  
WAGE AND WORKPLACE STANDARDS DIVISION

**CONTRACTORS WAGE CERTIFICATION FORM**  
**Construction Manager at Risk/General Contractor/Prime Contractor**

I, \_\_\_\_\_ of \_\_\_\_\_  
Officer, Owner, Authorized Rep. Company Name

do hereby certify that the \_\_\_\_\_  
Company Name  
\_\_\_\_\_  
Street  
\_\_\_\_\_  
City

and all of its subcontractors will pay all workers on the  
\_\_\_\_\_  
Project Name and Number  
\_\_\_\_\_  
Street and City

the wages as listed in the schedule of prevailing rates required for such project (a copy of which is attached hereto).

\_\_\_\_\_  
Signed

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
Notary Public

Return to:  
Connecticut Department of Labor  
Wage & Workplace Standards Division  
200 Folly Brook Blvd.  
Wethersfield, CT 06109

*Rate Schedule Issued (Date):* \_\_\_\_\_



November 29, 2006

**Notice**  
**To All Mason Contractors and Interested Parties**  
**Regarding Construction Pursuant to Section 31-53 of the**  
**Connecticut General Statutes (Prevailing Wage)**

The Connecticut Labor Department Wage and Workplace Standards Division is empowered to enforce the prevailing wage rates on projects covered by the above referenced statute.

Over the past few years the Division has withheld enforcement of the rate in effect for workers who operate a forklift on a prevailing wage rate project due to a potential jurisdictional dispute.

The rate listed in the schedules and in our Occupational Bulletin (see enclosed) has been as follows:

**Forklift Operator:**

- **Laborers (Group 4) Mason Tenders** - operates forklift solely to assist a mason to a maximum height of nine feet only.
- **Power Equipment Operator (Group 9)** - operates forklift to assist any trade and to assist a mason to a height over nine feet.

The U.S. Labor Department conducted a survey of rates in Connecticut but it has not been published and the rate in effect remains as outlined in the above Occupational Bulletin.

*Since this is a classification matter and not one of jurisdiction, effective January 1, 2007 the Connecticut Labor Department will enforce the rate on each schedule in accordance with our statutory authority.*

Your cooperation in filing appropriate and accurate certified payrolls is appreciated.

**Sec. 31-53b. Construction safety and health course. New miner training program. Proof of completion required for mechanics, laborers and workers on public works projects. Enforcement. Regulations. Exceptions.** (a) Each contract for a public works project entered into on or after July 1, 2009, by the state or any of its agents, or by any political subdivision of the state or any of its agents, described in subsection (g) of section 31-53, shall contain a provision requiring that each contractor furnish proof with the weekly certified payroll form for the first week each employee begins work on such project that any person performing the work of a mechanic, laborer or worker pursuant to the classifications of labor under section 31-53 on such public works project, pursuant to such contract, has completed a course of at least ten hours in duration in construction safety and health approved by the federal Occupational Safety and Health Administration or, has completed a new miner training program approved by the Federal Mine Safety and Health Administration in accordance with 30 CFR 48 or, in the case of telecommunications employees, has completed at least ten hours of training in accordance with 29 CFR 1910.268.

(b) Any person required to complete a course or program under subsection (a) of this section who has not completed the course or program shall be subject to removal from the worksite if the person does not provide documentation of having completed such course or program by the fifteenth day after the date the person is found to be in noncompliance. The Labor Commissioner or said commissioner's designee shall enforce this section.

(c) Not later than January 1, 2009, the Labor Commissioner shall adopt regulations, in accordance with the provisions of chapter 54, to implement the provisions of subsections (a) and (b) of this section. Such regulations shall require that the ten-hour construction safety and health courses required under subsection (a) of this section be conducted in accordance with federal Occupational Safety and Health Administration Training Institute standards, or in accordance with Federal Mine Safety and Health Administration Standards or in accordance with 29 CFR 1910.268, as appropriate. The Labor Commissioner shall accept as sufficient proof of compliance with the provisions of subsection (a) or (b) of this section a student course completion card issued by the federal Occupational Safety and Health Administration Training Institute, or such other proof of compliance said commissioner deems appropriate, dated no earlier than five years before the commencement date of such public works project.

(d) This section shall not apply to employees of public service companies, as defined in section 16-1, or drivers of commercial motor vehicles driving the vehicle on the public works project and delivering or picking up cargo from public works projects provided they perform no labor relating to the project other than the loading and unloading of their cargo.

(P.A. 06-175, S. 1; P.A. 08-83, S. 1.)

History: P.A. 08-83 amended Subsec. (a) by making provisions applicable to public works project contracts entered into on or after July 1, 2009, replacing provision re total cost of work with reference to Sec. 31-53(g), requiring proof in certified payroll form that new mechanic, laborer or worker has completed a 10-hour or more construction safety course and adding provision re new miner training program, amended Subsec. (b) by substituting "person" for "employee" and adding "or program", amended Subsec. (c) by adding "or in accordance with Federal Mine Safety and Health Administration Standards" and setting new deadline of January 1, 2009, deleted former Subsec. (d) re "public building", added new Subsec. (d) re exemptions for public service company employees and delivery drivers who perform no labor other than delivery and made conforming and technical changes, effective January 1, 2009.





Minimum Rates and Classifications for  
Heavy/Highway Construction

ID#: 20-10999

Connecticut Department of Labor  
Wage and Workplace Standards Division

By virtue of the authority vested in the Labor Commissioner under provisions of Section 31-53 of the General Statutes of Connecticut, as amended, the following are declared to be the prevailing rates and welfare payments and will apply only where the contract is advertised for bid within 20 days of the date on which the rates are established. Any contractor or subcontractor not obligated by agreement to pay to the welfare and pension fund shall pay this amount to each employee as part of his/her hourly wages.

Project Number: CT #BI-2B-438

Project Town: Hartford

State#: Hudson Street

FAP#: Hudson Street

Project: Hudson Street Garage Ramp Restoration

CLASSIFICATION	Hourly Rate	Benefits
1) Boilermaker	33.79	34% + 8.96
1a) Bricklayer, Cement Masons, Cement Finishers, Plasterers, Stone Masons	35.72	33.16
2) Carpenters, Piledrivermen	33.53	25.66
2a) Diver Tenders	33.53	25.66
3) Divers	41.99	25.66
03a) Millwrights	34.94	26.19
4) Painters: (Bridge Construction) Brush, Roller, Blasting (Sand, Water, etc.), Spray	51.0	21.80
4a) Painters: Brush and Roller	34.62	21.80
4b) Painters: Spray Only	36.62	21.80
4c) Painters: Steel Only	35.62	21.80
4d) Painters: Blast and Spray	37.62	21.80
4e) Painters: Tanks, Tower and Swing	36.62	21.80

Project: Hudson Street Garage Ramp Restoration

5) Electrician (Trade License required: E-1,2 L-5,6 C-5,6 T-1,2 L-1,2 V-1,2,7,8,9)	40.0	27.67+3% of gross wage
6) Ironworkers: Ornamental, Reinforcing, Structural, and Precast Concrete Erection	36.67	35.77 + a
7) Plumbers (Trade License required: (P-1,2,6,7,8,9 J-1,2,3,4 SP-1,2) and Pipefitters (Including HVAC Work) (Trade License required: S-1,2,3,4,5,6,7,8 B-1,2,3,4 D-1,2,3,4 G-1, G-2, G-8, G-9)	43.62	32.06
----LABORERS---- -		
8) Group 1: Laborer (Unskilled), Common or General, acetylene burner, concrete specialist	30.75	20.84
9) Group 2: Chain saw operators, fence and guard rail erectors, pneumatic tool operators, powdermen	31.0	20.84
10) Group 3: Pipelayers	31.25	20.84
11) Group 4: Jackhammer/Pavement breaker (handheld); mason tenders (cement/concrete), catch basin builders, asphalt rakers, air track operators, block paver, curb setter and forklift operators	31.25	20.84
12) Group 5: Toxic waste removal (non-mechanical systems)	32.75	20.84
13) Group 6: Blasters	32.5	20.84
Group 7: Asbestos/lead removal, non-mechanical systems (does not include leaded joint pipe)	31.75	20.84
Group 8: Traffic control signalmen	18.0	20.84
Group 9: Hydraulic Drills	29.3	18.90
----LABORERS (TUNNEL CONSTRUCTION, FREE AIR). Shield Drive and Liner Plate Tunnels in Free Air.----		
13a) Miners, Motormen, Mucking Machine Operators, Nozzle Men, Grout Men, Shaft & Tunnel Steel & Rodmen, Shield & Erector, Arm Operator, Cable Tenders	32.98	20.84 + a
13b) Brakemen, Trackmen	32.01	20.84 + a
14) Concrete Workers, Form Movers, and Strippers	32.01	20.84 + a

As of: March 9, 2020

15) Form Erectors	32.34	20.84 + a
----ROCK SHAFT LINING, CONCRETE, LINING OF SAME AND TUNNEL IN FREE AIR:----		
16) Brakemen, Trackmen, Tunnel Laborers, Shaft Laborers	32.01	20.84 + a
17) Laborers Topside, Cage Tenders, Bellman	31.9	20.84 + a
18) Miners	32.98	20.84 + a
----TUNNELS, CAISSON AND CYLINDER WORK IN COMPRESSED AIR: ----		
18a) Blaster	39.47	20.84 + a
19) Brakemen, Trackmen, Groutman, Laborers, Outside Lock Tender, Gauge Tenders	39.27	20.84 + a
20) Change House Attendants, Powder Watchmen, Top on Iron Bolts	37.29	20.84 + a
21) Mucking Machine Operator	40.06	20.84 + a
----TRUCK DRIVERS----(*see note below)		
Two axle trucks	29.51	24.52 + a
Three axle trucks; two axle ready mix	29.62	24.52 + a
Three axle ready mix	29.67	24.52 + a
Four axle trucks, heavy duty trailer (up to 40 tons)	29.72	24.52 + a
Four axle ready-mix	29.77	24.52 + a
Heavy duty trailer (40 tons and over)	29.98	24.52 + a
Specialized earth moving equipment other than conventional type on-the road trucks and semi-trailer (including Euclids)	29.77	24.52 + a

## ----POWER EQUIPMENT OPERATORS----

Group 1: Crane handling or erecting structural steel or stone, hoisting engineer (2 drums or over), front end loader (7 cubic yards or over), Work Boat 26 ft. & Over, Tunnel Boring Machines. (Trade License Required)	40.97	24.80 + a
Group 2: Cranes (100 ton rate capacity and over); Excavator over 2 cubic yards; Piledriver (\$3.00 premium when operator controls hammer); Bauer Drill/Caisson. (Trade License Required)	40.64	24.80 + a
Group 3: Excavator/Backhoe under 2 cubic yards; Cranes (under 100 ton rated capacity), Gradall; Master Mechanic; Hoisting Engineer (all types of equipment where a drum and cable are used to hoist or drag material regardless of motive power of operation), Rubber Tire Excavator (Drott-1085 or similar); Grader Operator; Bulldozer Fine Grade (slopes, shaping, laser or GPS, etc.). (Trade License Required)	39.88	24.80 + a
Group 4: Trenching Machines; Lighter Derrick; Concrete Finishing Machine; CMI Machine or Similar; Koehring Loader (Skooper)	39.48	24.80 + a
Group 5: Specialty Railroad Equipment; Asphalt Paver; Asphalt Spreader; Asphalt Reclaiming Machine; Line Grinder; Concrete Pumps; Drills with Self Contained Power Units; Boring Machine; Post Hole Digger; Auger; Pounder; Well Digger; Milling Machine (over 24	38.87	24.80 + a
Group 5 continued: Side Boom; Combination Hoe and Loader; Directional Driller.	38.87	24.80 + a
Group 6: Front End Loader (3 up to 7 cubic yards); Bulldozer (rough grade dozer).	38.55	24.80 + a
Group 7: Asphalt Roller; Concrete Saws and Cutters (ride on types); Vermeer Concrete Cutter; Stump Grinder; Scraper; Snooper; Skidder; Milling Machine (24	38.2	24.80 + a
Group 8: Mechanic, Grease Truck Operator, Hydroblaster, Barrier Mover, Power Stone Spreader; Welder; Work Boat under 26 ft.; Transfer Machine.	37.79	24.80 + a
Group 9: Front End Loader (under 3 cubic yards), Skid Steer Loader regardless of attachments (Bobcat or Similar); Fork Lift, Power Chipper; Landscape Equipment (including hydroseeder).	37.34	24.80 + a
Group 10: Vibratory Hammer, Ice Machine, Diesel and Air Hammer, etc.	35.24	24.80 + a
Group 11: Conveyor, Earth Roller; Power Pavement Breaker (whiphammer), Robot Demolition Equipment.	35.24	24.80 + a
Group 12: Wellpoint Operator.	35.18	24.80 + a
Group 13: Compressor Battery Operator.	34.58	24.80 + a

Project: Hudson Street Garage Ramp Restoration

Group 14: Elevator Operator; Tow Motor Operator (Solid Tire No Rough Terrain).	33.41	24.80 + a
Group 15: Generator Operator; Compressor Operator; Pump Operator; Welding Machine Operator; Heater Operator.	32.99	24.80 + a
Group 16: Maintenance Engineer/Oiler	32.32	24.80 + a
Group 17: Portable asphalt plant operator; portable crusher plant operator; portable concrete plant operator.	36.76	24.80 + a
Group 18: Power Safety Boat; Vacuum Truck; Zim Mixer; Sweeper; (minimum for any job requiring CDL license).	34.26	24.80 + a

\*\*NOTE: SEE BELOW

----LINE CONSTRUCTION----(Railroad Construction and Maintenance)---

20) Lineman, Cable Splicer, Technician	48.19	6.5% + 22.00
21) Heavy Equipment Operator	42.26	6.5% + 19.88
22) Equipment Operator, Tractor Trailer Driver, Material Men	40.96	6.5% + 19.21
23) Driver Groundmen	26.5	6.5% + 9.00
23a) Truck Driver	40.96	6.5% + 17.76

----LINE CONSTRUCTION----

24) Driver Groundmen	30.92	6.5% + 9.70
25) Groundmen	22.67	6.5% + 6.20
26) Heavy Equipment Operators	37.1	6.5% + 10.70
27) Linemen, Cable Splicers, Dynamite Men	41.22	6.5% + 12.20
28) Material Men, Tractor Trailer Drivers, Equipment Operators	35.04	6.5% + 10.45

As of: March 9, 2020

Project: Hudson Street Garage Ramp Restoration

*Welders: Rate for craft to which welding is incidental.*

*\*Note: Hazardous waste removal work receives additional \$1.25 per hour for truck drivers.*

*\*\*Note: Hazardous waste premium \$3.00 per hour over classified rate*

*ALL Cranes: When crane operator is operating equipment that requires a fully licensed crane operator to operate he receives an extra \$4.00 premium in addition to the hourly wage rate and benefit contributions:*

- 1) Crane handling or erecting structural steel or stone; hoisting engineer (2 drums or over)*
- 2) Cranes (100 ton rate capacity and over) Bauer Drill/Caisson*
- 3) Cranes (under 100 ton rated capacity)*

*Crane with 150 ft. boom (including jib) - \$1.50 extra*

*Crane with 200 ft. boom (including jib) - \$2.50 extra*

*Crane with 250 ft. boom (including jib) - \$5.00 extra*

*Crane with 300 ft. boom (including jib) - \$7.00 extra*

*Crane with 400 ft. boom (including jib) - \$10.00 extra*

All classifications that indicate a percentage of the fringe benefits must be calculated at the percentage rate times the "base hourly rate".

Apprentices duly registered under the Commissioner of Labor's regulations on "Work Training Standards for Apprenticeship and Training Programs" Section 31-51-d-1 to 12, are allowed to be paid the appropriate percentage of the prevailing journeymen hourly base and the full fringe benefit rate, providing the work site ratio shall not be less than one full-time journeyman instructing and supervising the work of each apprentice in a specific trade.

Project: Hudson Street Garage Ramp Restoration

--Connecticut General Statute Section 31-55a: Annual Adjustments to wage rates by contractors doing

*The Prevailing wage rates applicable to this project are subject to annual adjustments each July 1st for the duration of the project.*

*Each contractor shall pay the annual adjusted prevailing wage rate that is in effect each July 1st, as posted by the Department of Labor.*

*It is the contractor's responsibility to obtain the annual adjusted prevailing wage rate increases directly from the Department of Labor's website.*

*The annual adjustments will be posted on the Department of Labor's Web page: [www.ct.gov/dol](http://www.ct.gov/dol). For those without internet access, please contact the division listed below.*

*The Department of Labor will continue to issue the initial prevailing wage rate schedule to the Contracting Agency for the project.*

*All subsequent annual adjustments will be posted on our Web Site for contractor access.*

*Contracting Agencies are under no obligation pursuant to State labor law to pay any increase due to the annual adjustment provision.*

*Effective October 1, 2005 - Public Act 05-50: any person performing the work of any mechanic, laborer, or worker shall be paid prevailing wage*

All Person who perform work ON SITE must be paid prevailing wage for the appropriate mechanic, laborer, or worker classification.

All certified payrolls must list the hours worked and wages paid to All Persons who perform work ON SITE regardless of their ownership i.e.: (Owners, Corporate Officers, LLC Members, Independent Contractors, et. al)

Reporting and payment of wages is required regardless of any contractual relationship alleged to exist between the contractor and such person.

--Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clause (29 CFR 5.5 (a) (1) (ii)).

Please direct any questions which you may have pertaining to classification of work and payment of prevailing wages to the Wage and Workplace Standards Division, telephone (860)263-6790.

As of: March 9, 2020





## **Information Bulletin** ***Occupational Classifications***

The Connecticut Department of Labor has the responsibility to properly determine "job classification" on prevailing wage projects covered under C.G.S. Section 31-53(d).

***Note: This information is intended to provide a sample of some occupational classifications for guidance purposes only. It is not an all-inclusive list of each occupation's duties. This list is being provided only to highlight some areas where a contractor may be unclear regarding the proper classification. If unsure, the employer should seek guidelines for CTDOL.***

**Below are additional clarifications of specific job duties performed for certain classifications:**

- **ASBESTOS WORKERS**

Applies all insulating materials, protective coverings, coatings and finishes to all types of mechanical systems.

- **ASBESTOS INSULATOR**

Handle, install apply, fabricate, distribute, prepare, alter, repair, dismantle, heat and frost insulation, including penetration and fire stopping work on all penetration fire stop systems.

- **BOILERMAKERS**

Erects hydro plants, incomplete vessels, steel stacks, storage tanks for water, fuel, etc. Builds incomplete boilers, repairs heat exchanges and steam generators.

- **BRICKLAYERS, CEMENT MASONS, CEMENT FINISHERS, MARBLE MASONS, PLASTERERS, STONE MASONS, PLASTERERS. STONE MASONS, TERRAZZO WORKERS, TILE SETTERS**

Lays building materials such as brick, structural tile and concrete cinder, glass, gypsum, terra cotta block. Cuts, tools and sets marble, sets stone, finishes concrete, applies decorative steel, aluminum and plastic tile, applies cements, sand, pigment and marble chips to floors, stairways, etc.

- **CARPENTERS, MILLWRIGHTS. PILEDRIVERMEN. LATHERS. RESILEINT FLOOR LAYERS, DOCK BUILDERS, DIKERS, DIVER TENDERS**

Constructs, erects, installs and repairs structures and fixtures of wood, plywood and wallboard. Installs, assembles, dismantles, moves industrial machinery. Drives piling into ground to provide foundations for structures such as buildings and bridges, retaining walls for earth embankments, such as cofferdams. Fastens wooden, metal or rockboard lath to walls, ceilings and partitions of buildings, acoustical tile layer, concrete form builder. Applies firestopping materials on fire resistive joint systems only. Installation of curtain/window walls only where attached to wood or metal studs. Installation of insulated material of all types whether blown, nailed or attached in other ways to walls, ceilings and floors of buildings. Assembly and installation of modular furniture/furniture systems. Free-standing furniture is not covered. This includes free standing: student chairs, study top desks, book box desks, computer furniture, dictionary stand, atlas stand, wood shelving, two-position information access station, file cabinets, storage cabinets, tables, etc.

- **LABORER, CLEANING**

- The clean up of any construction debris and the general (heavy/light) cleaning, including sweeping, wash down, mopping, wiping of the construction facility and its furniture, washing, polishing, and dusting.

- **DELIVERY PERSONNEL**

- If delivery of supplies/building materials is to one common point and stockpiled there, prevailing wages are not required. If the delivery personnel are involved in the distribution of the material to multiple locations within the construction site then they would have to be paid prevailing wages for the type of work performed: laborer, equipment operator, electrician, ironworker, plumber, etc.

- An example of this would be where delivery of drywall is made to a building and the delivery personnel distribute the drywall from one "stockpile" location to further sub-locations on each floor. Distribution of material around a construction site is the job of a laborer or tradesman, and not a delivery personnel.

- **ELECTRICIANS**

Install, erect, maintenance, alteration or repair of any wire, cable, conduit, etc., which generates, transforms, transmits or uses electrical energy for light, heat, power or other purposes, including the Installation or maintenance of telecommunication, LAN wiring or computer equipment, and low voltage wiring. **\*License required per Connecticut General Statutes: E-1,2 L-5,6 C-5,6 T-1,2 L-1,2 V-1,2,7,8,9.**

- **ELEVATOR CONSTRUCTORS**

Install, erect, maintenance and repair of all types of elevators, escalators, dumb waiters and moving walks. *\*License required by Connecticut General Statutes: R-1,2,5,6.*

- **FORK LIFT OPERATOR**

Laborers Group 4) Mason Tenders - operates forklift solely to assist a mason to a maximum height of nine (9) feet only.

Power Equipment Operator Group 9 - operates forklift to assist any trade, and to assist a mason to a height over nine (9) feet.

- **GLAZIERS**

Glazing wood and metal sash, doors, partitions, and 2 story aluminum storefronts. Installs glass windows, skylights, store fronts and display cases or surfaces such as building fronts, interior walls, ceilings and table tops and metal store fronts. Installation of aluminum window walls and curtain walls is the "joint" work of glaziers and ironworkers, which require equal composite workforce.

- **IRONWORKERS**

Erection, installation and placement of structural steel, precast concrete, miscellaneous iron, ornamental iron, metal curtain wall, rigging and reinforcing steel. Handling, sorting, and installation of reinforcing steel (rebar). Metal bridge rail (traffic), metal bridge handrail, and decorative security fence installation. Installation of aluminum window walls and curtain walls is the "joint" work of glaziers and ironworkers which require equal composite workforce.

- **INSULATOR**

- Installing fire stopping systems/materials for "Penetration Firestop Systems": transit to cables, electrical conduits, insulated pipes, sprinkler pipe penetrations, ductwork behind radiation, electrical cable trays, fire rated pipe penetrations, natural polypropylene, HVAC ducts, plumbing bare metal, telephone and communication wires, and boiler room ceilings.

- **LABORERS**

Acetylene burners, asphalt rakers, chain saw operators, concrete and power buggy operator, concrete saw operator, fence and guard rail erector (except metal bridge rail (traffic), decorative security fence (non-metal)).

installation.), hand operated concrete vibrator operator, mason tenders, pipelayers (installation of storm drainage or sewage lines on the street only), pneumatic drill operator, pneumatic gas and electric drill operator, powermen and wagon drill operator, air track operator, block paver, curb setters, blasters, concrete spreaders.

- **PAINTERS**

Maintenance, preparation, cleaning, blasting (water and sand, etc.), painting or application of any protective coatings of every description on all bridges and appurtenances of highways, roadways, and railroads. Painting, decorating, hardwood finishing, paper hanging, sign writing, scenic art work and drywall hhg for any and all types of building and residential work.

- **LEAD PAINT REMOVAL**

- Painter's Rate

1. Removal of lead paint from bridges.
2. Removal of lead paint as preparation of any surface to be repainted.
3. Where removal is on a Demolition project prior to reconstruction.

- Laborer's Rate

1. Removal of lead paint from any surface NOT to be repainted.
2. Where removal is on a *TOTAL* Demolition project only.

- **PLUMBERS AND PIPEFITTERS**

Installation, repair, replacement, alteration or maintenance of all plumbing, heating, cooling and piping. ***\*License required per Connecticut General Statutes: P-1,2,6,7,8,9 J-1,2,3,4 SP-1,2 S-1,2,3,4,5,6,7,8 B-1,2,3,4 D-1,2,3,4.***

- **POWER EQUIPMENT OPERATORS**

Operates several types of power construction equipment such as compressors, pumps, hoists, derricks, cranes, shovels, tractors, scrapers or motor graders, etc. Repairs and maintains equipment. ***\*License required, crane operators only, per Connecticut General Statutes.***

- **ROOFERS**

Covers roofs with composition shingles or sheets, wood shingles, slate or asphalt and gravel to waterproof roofs, including preparation of surface. (demolition or removal of any type of roofing and or clean-up of any and all areas where a roof is to be relaid.)

- **SHEETMETAL WORKERS**

Fabricate, assembles, installs and repairs sheetmetal products and equipment in such areas as ventilation, air-conditioning, warm air heating, restaurant equipment, architectural sheet metal work, sheetmetal roofing, and aluminum gutters. Fabrication, handling, assembling, erecting, altering, repairing, etc. of coated metal material panels and composite metal material panels when used on building exteriors and interiors as soffits, fascia, louvers, partitions, canopies, cornice, column covers, awnings, beam covers, cladding, sun shades, lighting troughs, spires, ornamental roofing, metal ceilings, mansards, copings, ornamental and ventilation hoods, vertical and horizontal siding panels, trim, etc. The sheet metal classification also applies to the vast variety of coated metal material panels and composite metal material panels that have evolved over the years as an alternative to conventional ferrous and non-ferrous metals like steel, iron, tin, copper, brass, bronze, aluminum, etc. Fabrication, handling, assembling, erecting, altering, repairing, etc. of architectural metal roof, standing seam roof, composite metal roof, metal and composite bathroom/toilet partitions, aluminum gutters, metal and composite lockers and shelving, kitchen equipment, and walk-in coolers. To include testing and air –balancing ancillary to installation and construction.

- **SPRINKLER FITTERS**

Installation, alteration, maintenance and repair of fire protection sprinkler systems.

***\*License required per Connecticut General Statutes: F-1,2,3,4.***

- **TILE MARBLE AND TERRAZZO FINISHERS**

Assists and tends the tile setter, marble mason and terrazzo worker in the performance of their duties.

- **TRUCK DRIVERS**

~How to pay truck drivers delivering asphalt is under REVISION~

Truck Drivers are required to be paid prevailing wage for time spent "working" directly on the site. These drivers remain covered by the prevailing wage for any time spent transporting between the actual construction location and facilities (such as fabrication, plants, mobile factories, batch plant, borrow pits, job headquarters, tool yards, etc.) dedicated exclusively, or nearly so, to performance of the contract or project, which are so located in proximity to the actual construction location that it is reasonable to include them. ***\*License required, drivers only, per Connecticut General Statutes.***

***For example:***

- Material men and deliverymen are not covered under prevailing wage as long as they are not directly involved in the construction process. If, they unload the material, they would then be covered by prevailing wage for the classification they are performing work in: laborer, equipment operator, etc.
- Hauling material off site is not covered provided they are not dumping it at a location outlined above.
- Driving a truck on site and moving equipment or materials on site would be considered covered work, as this is part of the construction process.

➤ *Any questions regarding the proper classification should be directed to:*  
*Public Contract Compliance Unit*  
*Wage and Workplace Standards Division*  
*Connecticut Department of Labor*  
*200 Folly Brook Blvd, Wethersfield, CT 06109*  
*(860) 263-6543.*

# **Informational Bulletin**

## **THE 10-HOUR OSHA CONSTRUCTION SAFETY AND HEALTH COURSE, PROGRAM OR TRAINING**

(Applicable to public works contracts as described by Conn. Gen. Stat. § 31-53(g)  
entered into *on or after July 1, 2009*)

- (1) This requirement was created by Public Act No. 08-83, which is codified in Section 31-53b of the Connecticut General Statutes;
- (2) The course, program or training is required for public works contracts as described by Conn. Gen. Stat. § 31-53(g) entered into on or after July 1, 2009;
- (3) It is required of private workers (not state or municipal workers) and apprentices who perform the work of a mechanic, laborer or worker pursuant to the classifications of labor under Conn. Gen. Stat. § 31-53 on a public works project as described by Conn. Gen. Stat. § 31-53(g);
- (4) The ten-hour construction safety and health course, program or training pertains to the ten-hour Outreach Course conducted in accordance with federal OSHA Training Institute standards, a new mining training program approved by the Federal Mine Safety and Health Administration in accordance with 30 C.F. R. 48, or, for telecommunications workers, a ten-hour training course conducted in accordance with federal OSHA standard, 29 CFR 1910.268;
- (5) The internet website for the federal OSHA Training Institute is [http://www.osha.gov/fso/ote/training/edcenters/fact\\_sheet.html](http://www.osha.gov/fso/ote/training/edcenters/fact_sheet.html);
- (6) The statutory language leaves it to the contractor and its employees to determine who pays for the cost of the ten-hour Outreach Course;
- (7) Proof of course, program or training completion shall be demonstrated through the presentation of a “completion document” (card, document, certificate or other written record issued by federal OSHA or by the Federal Mine Safety and Health Administration) as defined by Conn. State Agencies Regs. § 31-53b-1(2).
- (8) Any completion document with an issuance date more than 5 years prior to the commencement date of the public works project shall not constitute proof of compliance with § 31-53b;
- (9) For each person who performs the duties of a mechanic, laborer or worker on a public works project, the contractor shall affix a copy of the completion document

- to the certified payroll required to be submitted to the contracting agency for such project on which such worker's name first appears;
- (10) Any mechanic, laborer or worker on a public works project found to be in non-compliance shall be subject to removal from the project if such employee does not provide satisfactory proof of course completion to the Labor Commissioner by the fifteenth day after the date the employee is determined to be in noncompliance;
  - (11) Any such employee who is determined to be in noncompliance may continue to work on a public works project for a maximum of fourteen consecutive calendar days while bringing his or her status into compliance;
  - (12) The statute provides the minimum standards required for the completion of a construction safety and health course, program or training by employees on public works contracts; any contractor can exceed these minimum requirements.;
  - (13) Regulations pertaining to § 31-53b are located at Conn. State Agencies Regs. §31-53b-1 *et seq.*, and are effective May 5, 2009. The regulations are posted on the CTDOL website;
  - (14) Any questions regarding this statute or the regulations may be directed to the Wage and Workplace Standards Division of the Connecticut Labor Department via the internet website of <http://www.ctdol.state.ct.us/wgwkstnd/wgmenu.htm>; or by telephone at (860)263-6790.

**THE ABOVE INFORMATION IS PROVIDED EXCLUSIVELY AS AN EDUCATIONAL RESOURCE, AND IS NOT INTENDED AS A SUBSTITUTE FOR LEGAL INTERPRETATIONS WHICH MAY ULTIMATELY ARISE CONCERNING THE CONSTRUCTION OF THE STATUTE OR THE REGULATIONS.**



**Connecticut Department of Labor  
Wage and Workplace Standards Division  
FOOTNOTES**

⇒ Please Note: If the “Benefits” listed on the schedule for the following occupations includes a letter(s) (+ a or + a+b for instance), refer to the information below.

Benefits to be paid at the appropriate prevailing wage rate for the listed occupation.

If the “Benefits” section for the occupation lists only a dollar amount, disregard the information below.

**Bricklayers, Cement Masons, Cement Finishers, Concrete Finishers, Stone Masons**  
(Building Construction) and  
(Residential- Hartford, Middlesex, New Haven, New London and Tolland Counties)

- a. Paid Holiday: Employees shall receive 4 hours for Christmas Eve holiday provided the employee works the regularly scheduled day before and after the holiday. Employers may schedule work on Christmas Eve and employees shall receive pay for actual hours worked in addition to holiday pay.

**Elevator Constructors: Mechanics**

- a. Paid Holidays: New Year’s Day, Memorial Day, Independence Day, Labor Day, Veterans’ Day, Thanksgiving Day, Christmas Day, plus the Friday after Thanksgiving.
- b. Vacation: Employer contributes 8% of basic hourly rate for 5 years or more of service or 6% of basic hourly rate for 6 months to 5 years of service as vacation pay credit.

**Glaziers**

- a. Paid Holidays: Labor Day and Christmas Day.

**Power Equipment Operators**  
(Heavy and Highway Construction & Building Construction)

- a. Paid Holidays: New Year’s Day, Good Friday, Memorial day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day, provided the employee works 3 days during the week in which the holiday falls, if scheduled, and if scheduled, the working day before and the working day after the holiday. Holidays falling on Saturday may be observed on Saturday, or if the employer so elects, on the preceding Friday.

**Ironworkers**

- a. Paid Holiday: Labor Day provided employee has been on the payroll for the 5 consecutive work days prior to Labor Day.

**Laborers (Tunnel Construction)**

- a. Paid Holidays: New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day. No employee shall be eligible for holiday pay when he fails, without cause, to work the regular work day preceding the holiday or the regular work day following the holiday.

**Roofers**

- a. Paid Holidays: July 4<sup>th</sup>, Labor Day, and Christmas Day provided the employee is employed 15 days prior to the holiday.

**Sprinkler Fitters**

- a. Paid Holidays: Memorial Day, July 4th, Labor Day, Thanksgiving Day and Christmas Day, provided the employee has been in the employment of a contractor 20 working days prior to any such paid holiday.

**Truck Drivers**

(Heavy and Highway Construction & Building Construction)

- a. Paid Holidays: New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas day, and Good Friday, provided the employee has at least 31 calendar days of service and works the last scheduled day before and the first scheduled day after the holiday, unless excused.

## STATUTE 31-55a

### - SPECIAL NOTICE -

**To: All State and Political Subdivisions, Their Agents, and Contractors**

**Connecticut General Statute 31-55a - Annual adjustments to wage rates by contractors doing state work.**

*Each contractor that is awarded a contract on or after October 1, 2002, for (1) the construction of a state highway or bridge that falls under the provisions of section 31-54 of the general statutes, or (2) the construction, remodeling, refinishing, refurbishing, rehabilitation, alteration or repair of any public works project that falls under the provisions of section 31-53 of the general statutes shall contact the Labor Commissioner on or before July first of each year, for the duration of such contract, to ascertain the prevailing rate of wages on an hourly basis and the amount of payment or contributions paid or payable on behalf of each mechanic, laborer or worker employed upon the work contracted to be done, and shall make any necessary adjustments to such prevailing rate of wages and such payment or contributions paid or payable on behalf of each such employee, effective each July first.*

- The prevailing wage rates applicable to any contract or subcontract awarded on or after October 1, 2002 are subject to annual adjustments each July 1st for the duration of any project which was originally advertised for bids on or after October 1, 2002.
- Each contractor affected by the above requirement shall pay the annual adjusted prevailing wage rate that is in effect each July 1st, as posted by the Department of Labor.
- It is the **contractor's** responsibility to obtain the annual adjusted prevailing wage rate increases directly from the Department of Labor's Web Site. The annual adjustments will be posted on the Department of Labor Web page: [www.ctdol.state.ct.us](http://www.ctdol.state.ct.us). For those without internet access, please contact the division listed below.
- The Department of Labor will continue to issue the initial prevailing wage rate schedule to the Contracting Agency for the project. All subsequent annual adjustments will be posted on our Web Site for contractor access.

**Any questions should be directed to the Contract Compliance Unit, Wage and Workplace Standards Division, Connecticut Department of Labor, 200 Folly Brook Blvd., Wethersfield, CT 06109 at (860)263-6790.**



[New] In accordance with Section 31-53b(a) of the C.G.S. each contractor shall provide a copy of the OSHA 10 Hour Construction Safety and Health Card for each employee, to be attached to the first certified payroll on the project.

In accordance with Connecticut General Statutes, 31-53 Certified Payrolls with a statement of compliance shall be submitted monthly to the contracting agency.											<b>PAYROLL CERTIFICATION FOR PUBLIC WORKS PROJECTS</b>											Connecticut Department of Labor Wage and Workplace Standards Division 200 Folly Brook Blvd. Wethersfield, CT 06109				
WEEKLY PAYROLL																										
CONTRACTOR NAME AND ADDRESS:											SUBCONTRACTOR NAME & ADDRESS					WORKER'S COMPENSATION INSURANCE CARRIER										
PAYROLL NUMBER	Week-Ending Date		PROJECT NAME & ADDRESS													POLICY #										
																EFFECTIVE DATE: EXPIRATION DATE:										
PERSON/WORKER, ADDRESS and SECTION	APPR RATE %	MALE/ FEMALE AND RACE*	WORK CLASSIFICATION  Trade License Type & Number - OSHA 10 Certification Number	DAY AND DATE							Total ST Hours	BASE HOURLY RATE	TYPE OF FRINGE BENEFITS Per Hour 1 through 6 (see back)	GROSS PAY FOR ALL WORK PERFORMED THIS WEEK	TOTAL DEDUCTIONS				GROSS PAY FOR THIS PREVAILING RATE JOB	CHECK # AND NET PAY						
				S	M	T	W	TH	F	S	Total O/T Hours				FICA	FEDERAL WITH- HOLDING	STATE WITH- HOLDING	LIST OTHER								
				HOURS WORKED EACH DAY																						
												\$ Base Rate	1. \$ 2. \$ 3. \$ 4. \$													
												\$ Cash Fringe	5. \$ 6. \$													
												\$ Base Rate	1. \$ 2. \$ 3. \$ 4. \$													
												\$ Cash Fringe	5. \$ 6. \$													
												\$ Base Rate	1. \$ 2. \$ 3. \$ 4. \$													
												\$ Cash Fringe	5. \$ 6. \$													



**\*FRINGE BENEFITS EXPLANATION (P):**

Bona fide benefits paid to approved plans, funds or programs, except those required by Federal or State Law (unemployment tax, worker’s compensation, income taxes, etc.).

Please specify the type of benefits provided:

- 1) Medical or hospital care \_\_\_\_\_ 4) Disability \_\_\_\_\_
- 2) Pension or retirement \_\_\_\_\_ 5) Vacation, holiday \_\_\_\_\_
- 3) Life Insurance \_\_\_\_\_ 6) Other (please specify) \_\_\_\_\_

**CERTIFIED STATEMENT OF COMPLIANCE**

For the week ending date of \_\_\_\_\_,

I, \_\_\_\_\_ of \_\_\_\_\_, (hereafter known as Employer) in my capacity as \_\_\_\_\_ (title) do hereby certify and state:

**Section A:**

1. All persons employed on said project have been paid the full weekly wages earned by them during the week in accordance with Connecticut General Statutes, section 31-53, as amended. Further, I hereby certify and state the following:

- a) The records submitted are true and accurate;
- b) The rate of wages paid to each mechanic, laborer or workman and the amount of payment or contributions paid or payable on behalf of each such person to any employee welfare fund, as defined in Connecticut General Statutes, section 31-53 (h), are not less than the prevailing rate of wages and the amount of payment or contributions paid or payable on behalf of each such person to any employee welfare fund, as determined by the Labor Commissioner pursuant to subsection Connecticut General Statutes, section 31-53 (d), and said wages and benefits are not less than those which may also be required by contract;
- c) The Employer has complied with all of the provisions in Connecticut General Statutes, section 31-53 (and Section 31-54 if applicable for state highway construction);
- d) Each such person is covered by a worker’s compensation insurance policy for the duration of his employment which proof of coverage has been provided to the contracting agency;
- e) The Employer does not receive kickbacks, which means any money, fee, commission, credit, gift, gratuity, thing of value, or compensation of any kind which is provided directly or indirectly, to any prime contractor, prime contractor employee, subcontractor, or subcontractor employee for the purpose of improperly obtaining or rewarding favorable treatment in connection with a prime contract or in connection with a prime contractor in connection with a subcontractor relating to a prime contractor; and
- f) The Employer is aware that filing a certified payroll which he knows to be false is a class D felony for which the employer may be fined up to five thousand dollars, imprisoned for up to five years or both.

2. OSHA~The employer shall affix a copy of the construction safety course, program or training completion document to the certified payroll required to be submitted to the contracting agency for this project on which such persons name first appears.

\_\_\_\_\_  
 (Signature) (Title) Submitted on (Date)





Weekly Payroll Certification For  
Public Works Projects (Continued)

**PAYROLL CERTIFICATION FOR PUBLIC WORKS PROJECTS**

Week-Ending Date:  
Contractor or Subcontractor Business Name:

**WEEKLY PAYROLL**

PERSON/WORKER, ADDRESS and SECTION	APPR RATE %	MALE/ FEMALE AND RACE*	WORK CLASSIFICATION  Trade License Type & Number - OSHA 10 Certification Number	DAY AND DATE							Total ST Hours	BASE HOURLY RATE	TYPE OF FRINGE BENEFITS Per Hour 1 through 6 (see back)	GROSS PAY FOR ALL WORK PERFORMED THIS WEEK	TOTAL DEDUCTIONS				GROSS PAY FOR THIS PREVAILING RATE JOB	CHECK # AND NET PAY
				S	M	T	W	TH	F	S					FICA	WITH- HOLDING	WITH- HOLDING	OTHER		
				HOURS WORKED EACH DAY							Total O/T Hours	TOTAL FRINGE BENEFIT PLAN CASH	1. \$	2. \$	3. \$	4. \$	5. \$	6. \$		
												\$	Base Rate							
												\$	Cash Fringe							
												\$	Base Rate							
												\$	Cash Fringe							
												\$	Base Rate							
												\$	Cash Fringe							
												\$	Base Rate							
												\$	Cash Fringe							

\*IF REQUIRED



## Additional Forms to Be Submitted After Bond Commission Funding Approval

DAS | Construction Services | Office of Legal Affairs, Policy, and Procurement

<b>Table of Contents</b>	<b>No. of Pages</b>
<b>Performance Bond</b>	<b>2</b>
<b>Labor And Material Bond</b>	<b>2</b>
<b>Surety Sheet</b>	<b>1</b>
<b>Bidder's Certification: Financial Position and Corporate Structure</b>	<b>1</b>

**PERFORMANCE BOND**  
**Know All Men by These Presents**

**THAT** [ ] of the  
Town of [ ] , County [ ] and  
State of [ ] , as Principal (hereinafter called the Principal),  
and [ ] , [ ]

*(Insert place of Business)*

(a surety company authorized to transact business in the State Of Connecticut) as Surety(ies) (hereinafter called the Surety) are held and firmly bound unto the State of Connecticut (hereinafter called the Obligee) in the full penal sum of

[ ]

(\$ [ ] ) Dollars, lawful money of the United States, to be paid to said State of Connecticut, to the which payment well and truly to be made and done, the said Principal binds himself, his heirs, executors, administrators and assigns (or itself, its successors and assigns), and the said Surety (ies) binds itself, its successors and assigns jointly and severally firmly by these presents.

Signed, sealed and delivered this [ ] day of [ ] 20 [ ] .

**THE CONDITION OF THIS OBLIGATION IS SUCH THAT**

**WHEREAS** said Principal will enter into a certain written contract with said Obligee, to be dated-the

[ ] day of [ ] 20 [ ] , which written , as amended, contract shall provide for the following:

- Project Title:** [ ]
- Project Location:** [ ]
- Contract Number:** [ ]
- Project Number:** [ ]

which contract, including any hereafter made extension, modification or alteration thereof, together with all plans and specifications now made or which may hereafter be made in extension, modification or alteration thereof, is hereby referred to, incorporated in, and made a part of this bond as though herein fully set forth.

**NOW, THEREFORE**, if the said Principal shall well and truly keep, perform and execute all the undertaking, covenants, terms, conditions, and agreements of said contract, as it may be extended, modified or altered, and during the *period* of any guaranty required under the contract, according to its provisions on his or its part to be kept and performed or shall indemnify and reimburse the Obligee for any loss that it may suffer through the failure of the Principal to faithfully observe and perform each and every obligation and duty imposed upon the Principal by the said contract, as it may be extended, modified or altered, at the time and in the manner therein specified, then this obligation shall be null and void, otherwise it shall remain and be in full force and effect.

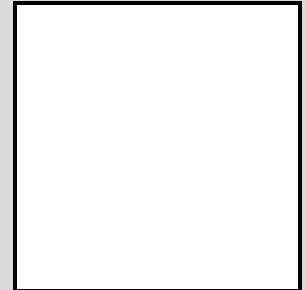
Any alterations which may be made in the terms of the contract, or in the work done or to be done under it, or the giving by the Obligee of any extension of time for the performance of the contract or any other forbearance on the part of either the Obligee or the Principal, one to the other, shall not in any way release the Principal, and/or the Surety(ies) or either of them, their representatives, heirs, executors, administrators, successors or assigns from liability hereunder, and notice to the Surety(ies) of any such alteration, modification, extension or forbearance is hereby specifically and absolutely waived.

In the event that the Surety(ies) assumes the contract or obtains a bid or bids for completion of the contract, the Surety(ies) shall ensure that the contractor chosen to complete the contract is prequalified pursuant to section 4a-100 of the Connecticut General Statutes, in the requisite classification and has the aggregate work capacity rating and single project limit necessary to complete the contract.

**IN TESTIMONY WHEREOF**, the said Principal has hereunto set his / its hand and seal, and the said Surety(ies) has/have caused this instrument to be signed by its/their attorney in fact and its corporate seal to be hereunto affixed, the day and year first written.

**Witness as to Principle**

**SEAL**



(Print Name)

, Its

Duly Authorized

(Print Name)

**Witness as to Surety**

**SEAL**



(Print Name)

by

Its attorney in fact

(Print Name)

**Note:** If more than one surety, add additional lines for additional surety name and address, person signing and title, and two witnesses. Obtain Power of Attorney for each surety.

**End Performance Bond**

**LABOR AND MATERIAL BOND  
Know All Men by These Presents**

**THAT** [ ] of the  
Town of [ ], County [ ] and  
State of [ ], as Principal (hereinafter called the Principal),  
and [ ], [ ]  
(Insert place of Business)

(a surety company authorized to transact business in the State Of Connecticut) as Surety(ies) (hereinafter called the Surety) are held and firmly bound unto the State of Connecticut (hereinafter called the Obligee) in the full penal sum of

[ ]  
(\$ [ ]) Dollars, lawful money of the United States, to be paid to said State of Connecticut, to the which payment well and truly to be made and done, the said Principal binds himself, his heirs, executors, administrators and assigns (or itself, its successors and assigns), and the said Surety (ies) binds itself, its successors and assigns jointly and severally firmly by these presents.

Signed, sealed and delivered this [ ] day of [ ] 20 [ ] .

**THE CONDITION OF THIS OBLIGATION IS SUCH THAT**

**WHEREAS** said Principal will enter into a certain written contract with said Obligee, to be dated the [ ] day of [ ] 20 [ ] , which written, as amended, contract shall provide for the following:

- Project Title:** [ ]
- Project Location:** [ ]
- Contract Number:** [ ]
- Project Number:** [ ]

which contract, including any hereafter made extension, modification or alteration thereof, together with all plans and specifications now made or which may hereafter be made in extension, modification or alteration thereof, is hereby referred to, incorporated in, and made a part of this bond as though herein fully set forth.

**NOW, THEREFORE**, if the said Principal shall promptly pay for all materials furnished and labor supplied or performed in the prosecution of the work included in and under the aforesaid contract, as it may be extended, modified or altered, and/or required by the General Statutes of Connecticut, as amended, whether or not the material or labor enters into and becomes a component part of the real asset, then this obligation shall be null and void, otherwise it shall remain and be in full force and effect. This bond is provided pursuant to Section 49-41 et seq. of the General Statutes of Connecticut and shall be governed thereby.

Any party, whether a subcontractor or otherwise, who furnishes materials or supplies or performs labor or services in the prosecution of the work under said contract, as it may be extended, modified or altered, and who is not paid therefor, may bring a suit on this bond in the name of the person suing and prosecute the same to final execution and judgment for such sum or sums as may be justly due.

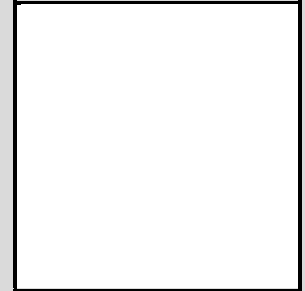
Any alterations which may be made in the terms of the contract, or in the work done or to be done under it, or the giving by the Obligee of any extension of time for the performance of the contract or any other forbearance on the part of either the Obligee or the Principal, one to the other, shall not in any way release the Principal, and/or the Surety(ies) or either of them, their representatives, heirs, executors, administrators, successors or assigns from liability hereunder, and notice to the Surety(ies) of any such alteration, modification, extension or forbearance is hereby specifically and absolutely waived.

In the event that the Surety(ies) assumes the contract or obtains a bid or bids for completion of the contract, the Surety(ies) shall ensure that the contractor chosen to complete the contract is prequalified pursuant to section 4a-100 of the Connecticut General Statutes, in the requisite classification and has the aggregate work capacity rating and single project limit necessary to complete the contract.

**IN TESTIMONY WHEREOF**, the said Principal has hereunto set his / its hand and seal, and the said Surety(ies) has/have caused this instrument to be signed by its/their attorney in fact and its corporate seal to be hereunto affixed, the day and year first written.

**Witness as to Principle**

**SEAL**



(Print Name)

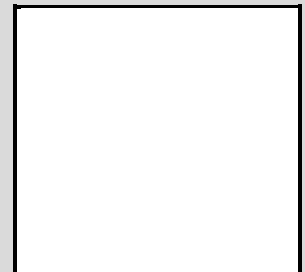
, Its

Duly Authorized

(Print Name)

**Witness as to Surety**

**SEAL**



(Print Name)

by

Its attorney in fact

(Print Name)

**Note:** If more than one surety, add additional lines for additional surety name and address, person signing and title, and two witnesses. Obtain Power of Attorney for each surety.

**End Labor and Material Bond**

## Surety Sheet

State Of Connecticut  
Department of Administrative Services, Construction Services  
Office of Legal Affairs, Policy, and Procurement  
450 Columbus Boulevard, Suite 1302  
Hartford, CT 06103

### 1. Surety Company

Name of Surety Co.:

Address of Home Office:

Telephone Number:

### 2. Agent

Name of Surety Co.:

Address of Agency:

Telephone Number:

Attorney-In-Fact:

Telephone Number:

DAS Project Number:

Contractor's Name:

*End Surety Sheet*



**Bidder's Certification:  
Financial Position and Corporate Structure**

*(Your Name)*

*(Name Of Company)*

Pursuant to C.G.S. § 4b-91(e), as amended, the bidder for this contract (hereinafter "bidder"), certifies under penalty of false statement that the information in the bid is true, that there has been no substantial change in the bidder's financial position or corporate structure since its most recent prequalification certificate was issued or renewed, other than those changes noted in the update statement, and that the bid was made without fraud or collusion with any person.

*(Signature)*

*(Print Name)*

*(Date)*

*(DAS Project Number)*

***End Bidder's Certification: Financial Position and Corporate Structure***

**End of Section 00 92 10  
Additional Forms To Be Submitted After Bond Commission Funding Approval**



## Procedures Regarding Taxation For Nonresident General / Prime Contractor and Subcontractors

**DAS | Construction Services | Office of Legal Affairs, Policy, and Procurement**

According to [Connecticut General Statutes § 12-430\(7\)](#), there are two types of Nonresident Contractors and Subcontractors (*Verified* or *Unverified*) who are required to furnish security for Connecticut taxes arising from jobs performed in Connecticut.

Detailed information can be found by visiting the Connecticut Department of Revenue Services (DRS) website at [www.ct.gov/drs](http://www.ct.gov/drs):

- Under the “**For Businesses**” title, click on “**Withholding Tax**”;
- Click on “**Registering**”;
- Click on “**5. What tax types do I need to register for with DRS**”;
- Read the information for “**Out-of-State**” contractors.
- Click on “[SN 2012\(2\)](#)” for the “Procedure Governing Nonresident Contractors”.

Forms can be downloaded from the DRS website ([www.ct.gov/drs](http://www.ct.gov/drs)) as follows:

- Click on “**Forms**” at the top of the page;
- Under “**Current Year Forms**”:
  - Click on “**Miscellaneous Tax Forms**”;
  - Click on “**Bond Forms**”
- Download the appropriate form.

For questions regarding the nonresident contractor bond law, call **DRS** at **860-541-7538**.

### 1.0 Verified Nonresident Contractors and Subcontractors

Verified Nonresident Contractors are treated just like Resident Contractors. A Verified Nonresident General or Prime Contractor is not required to file a surety bond with DRS. A Verified Nonresident Subcontractor is not required for the General or Prime Contractor to hold back a portion of the amount owed the Subcontractor under the contract.

#### 1.1 Verification Procedure for General/Prime Contractors and Subcontractors:

- |              |  |
|--------------|--|
| <b>1.1.1</b> | <b>Register with DRS</b> via REG-1 for all appropriate taxes.  |
| <b>1.1.2</b> | Submit <b>Form AU-960 “Nonresident Contractor Request for Verified Contractor Status” to DRS</b> . If you have a 3 year filing history with DRS and no delinquencies, then just complete <b>Part I &amp; Part I</b> , otherwise go to <b>Part III</b> .  |
| <b>1.1.3</b> | Submit <b>Form AU-961 “Verification Bond” to DRS</b> .   |
| <b>1.1.4</b> | If Verified by DRS, submit “ <b>Notice of Verified Status</b> ” (Verification Letter issued by DRS) to the Connecticut Department of Administrative Services / Construction Services (DAS/CS) Office of Legal Affairs, Policy, and Procurement as specified in Section 00 41 00 Bid Proposal Form. |

**2.0 Unverified Nonresident Contractors and Subcontractors  
(for Contracts Greater Than \$250,000):**

The requirements for Unverified Nonresident Contractors and Unverified Nonresident Subcontractors (for Contracts greater than \$250,000) are different for General/Prime Contractors and their Subcontractors:

**2.1 Unverified Nonresident General or Prime Contractors:**

- 2.1.1** Submit **Form AU-964 “Surety Bond and Release” to DRS**. The Unverified Nonresident General/Prime Contractor is required to file a good and valid surety bond with DRS using Form AU-964 “Surety Bond and Release” for 5% of the contract price to secure payment of required taxes by both the General/Prime Contractor and its Subcontractors.
- 2.1.2** The General/Prime Contractor must provide proof to DAS/CS that they have posted a good and valid surety bond with DRS by providing a copy of **Form AU-965 “Acceptance of Surety Bond”** that verifies acceptance of the bond by DRS\*.

**2.2 Unverified Nonresident Subcontractors:**

- 2.2.1** The Resident or Verified or Unverified Nonresident General/Prime Contractor is required to hold back 5% of its payments to the Unverified Nonresident Subcontractor. The General/Prime Contractor must keep the hold-backs in a special fund in trust for the state.
- 2.2.2** The Unverified Nonresident Subcontractor can request that the money be released from the General/Prime Contractor by submitting **Form AU-967 “Request for Certificate of Compliance” to DRS**. It must be signed by the General/Prime Contractor and the Nonresident Subcontractor and submitted **to DRS within 90 days of the completion date**.
- 2.2.3** If **Form AU-968 “Certificate of Compliance”** is issued by DRS, DRS will instruct the General/Prime Contractor holding back the 5% to release the withheld amount to the Nonresident Subcontractor. If the “Certificate of Compliance” is denied or not requested within **90 days of the completion date of the contract**, the General/Prime Contractor holding back the 5% will remit the withheld amount on their own Sales & Use tax returns.
- 2.2.4** The 5% holdback does not take the place of any tax returns due from the Unverified Nonresident Contractor.
- 2.2.5** The General/Prime Contractor must give the Unverified Nonresident Subcontractor written notice of the hold-back requirements by the time the Subcontractor begins work under the contract.

\*Document(s) must be submitted to the DAS/CS Office of Legal Affairs, Policy, and Procurement as specified in Section 00 41 00 “Bid Proposal Form”.

**End of Section**

**00 92 30 Procedures Regarding Taxation  
For Nonresident General/Prime Contractor & Subcontractors**

## PART 1 – GENERAL

### 1.1 DEFINITIONS

#### A. Contractor:

Whenever the term "**Contractor**" is used in these Division 01 General Requirements and the Contract Documents, it may be understood to mean either the **Design-Bid-Build (D-B-B) "General Contractor"** or the **Construction Manager at Risk ("CMR")** as applicable to the specific Project.

#### B. Contract:

Whenever the term "**Contract**" is used in these Division 01 General Requirements and the Contract Documents, it may be understood to mean either the **D-B-B General Contractor's Contract Sum** as stated in their Contract or the **CMR's Contract Sum** as stated in their CMR Agreement, as applicable to the specific Project.

### 1.2 RELATED DOCUMENTS

- A. The Contract Documents are defined in the D-B-B and CMR Division 00 General Conditions, as applicable to the specific Project.
- B. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### 1.3 WORK COVERED BY CONTRACT DOCUMENTS

#### A. Project Delivery Method:

- 1.  Design-Bid-Build (DBB);
- 2.  Construction Manager at Risk (CMR)

#### B. Project Number: BI-2B-438.

#### C. Project Title: Lower Parking Garage Restoration.

#### D. Project Location: The 505 Hudson Street, located in Hartford, Connecticut.

#### E. The Project Description:

- 1. Restoration of lower garage ramp and installation of a snow melt system.
- 2. The restoration work includes but is not limited to: sitework, plumbing, HVAC and electrical work associated with snow melt systems. Items also include installation of radiant piping and associated plumbing for snow melt systems.
- 3. The Authorities Having Jurisdiction for Threshold Projects, Non-Threshold Projects, and/or Connecticut State University System (CSUS) 2020 Projects, as defined by the Connecticut General Statutes, are the Connecticut Department of Administrative Services (DAS) / Construction Services (CS) Office of State Building Inspector (OSBI) and Office of State Fire Marshal (OSFM).

#### F. Owner:

- 1. **Owner's Name:** The Owner is the State of Connecticut, Department of Administrative Services.
- 2. **Authorized Representative for the Owner: DAS/CS Project Manager Name: Ashour Gevargisnia.**
  - a. **DAS/CS Project Manager's Location:** The DAS/CS Project Manager is located at 450 Columbus Blvd, Suite 1201, Hartford, CT, 06103.
  - b. **Phone: 860-713-5639;**
  - c. **Fax: 860-622-2947;**
  - d. **Email(s): Ashour.Gevargisnia@ct.gov and DAS.2B438@ct.gov.**
- 3. **Authority:** The DAS/CS Project Manager is the only authorized representative for the Department of Administrative Services Commissioner to act in matters involving revoking, altering, enlarging or relaxing any requirement of the Contract Documents.
  - a. **Related Section: Article 25, All Work Subject To Control of the Commissioner**, Division 00 General Conditions of the Contract for Construction.

**G. Agency:**

1. **Agency Name:** The Connecticut State (User) Agency is **CT DAS/Division of Construction Services**.
2. **Agency Representative Name and Title: Marilyn Bantz.** The Agency Representative's Title is **Property Management Liaison**.
  - a. **Agency Representative Location:** The Agency Representative is located at **450 Columbus Blvd, Hartford, CT 06103**.
  - b. **Phone: (860) 713-5899;**
  - c. **Fax: (860) 713-7262;**
  - d. **Email(s): Marilyn.Bantz@ct.gov.**
3. **Authority:** The Agency Representative has the administrative authority for the facility and or site where the work is being performed but does not have the authority to change the Contract Documents or direct the Contractor.

**H. Architect and Engineer (A/E):**

1. **Engineer's Name:** The Engineer representing the firm for this project is **Freeman Companies, LLC**.
  - a. **Engineer's Location:** The Engineer is located at **36, John Street, Hartford, CT**.
  - b. **Phone: 860-251-9550;**
  - c. **Fax: N/A;**
  - d. **Email(s): jlebeau@freemancos.com.**
2. The Architect and Engineer (A/E) or their accredited representative is referred to in the Contract Documents as "Architect" or "Architects" or "Engineer" or "Engineers" or by pronouns which imply them. As information for the Contractor, the Architect's or Engineer's status is defined as follows:
  - a. The Architect and Engineer will not make interpretations or decisions directly to the Contractor. All interpretations or decisions will be conveyed through the Construction Administrator to the DAS/CS Project Manager.
  - b. As the authorized representative of the Department of Administrative Services Commissioner, the Architect and Engineer is responsible for review of shop drawings, materials, and equipment intended for the work, in accordance with the Division 00 "General Conditions" and "Supplementary Conditions".
3. Wherever the Architect or Engineer is mentioned in the documents in connection with an administrative function, it shall include the Construction Administrator in that function except for shop drawings.

**I. Construction Administrator (CA):**

1. **Construction Administrator Name: Freeman Companies, LLC.**
  - a. **Construction Administrator Location:** The Construction Administrator is located at **36, John Street, Hartford, CT**.
  - b. **Phone: 860-251-9550;**
  - c. **Fax: N/A;**
  - d. **Email(s): jlebeau@freemancos.com.**
2. **Authority:** As information to the Contractor, the Construction Administrator's status is defined as follows:
  - a. The Construction Administrator (CA) is referred to in the Contract Documents as "Construction Administrator" or by pronouns which imply it. All communications concerning the project will be directed through the Construction Administrator or a designated representative(s).
  - b. The Construction Administrator is the Owner's Agent who will, among other things, monitor and analyze the Contractor's performance, scheduling and construction, process shop drawings, material, and equipment submittals, review and process periodic billings, review, analyze, and recommend cost changes.
  - c. **Related Section: Article 26 "Authority of the Construction Administrator"** of Division 00 "General Conditions of the Contract for Construction".
3. The Construction Administrator will process all requests for information, interpretations and decisions regarding the meaning and intent of the Contract Documents, consulting with appropriate parties prior to

rendering the interpretations or decisions for the Project Manager to the Contractor. All such requests and replies shall be in writing.

**J. Construction Manager (CMR):**

**1. Construction Manager's Name (CMR): N/A.**

- a. **Construction Manager's Firm's Location:** The Construction Manager is located at **N/A**.
- b. **Phone:** **N/A**;
- c. **Fax:** **N/A**;
- d. **Email(s):** **N/A**.

**2. Authority:** Construction Manager is under direct Contract with the Department of Administrative Services, responsible for performing the Work under the Contract Documents. Whenever the words "Contractor" or "General Contractor" are used it shall be understood to mean Construction Manager.

**3. Related Sections:**

- a. **Article 1 "Definitions"** of Division 00 "General Conditions of the Contract for Construction for Construction Manager at Risk (CMR)"; and
- b. **Section 2.3 "Construction Phase"** of Article 2 "Construction Manager At Risk Responsibilities", in Section 00 52 23 "Standard Form of Agreement Between Owner and Construction Manager-At-Risk (CMR) For Guaranteed Maximum Price (GMP)".

**K. Work:** The Work Includes but is not limited to the following:

- 1 Site Construction and Site Utilities;**
- 2 Cast-in-Place Concrete;**
- 3 Plumbing, HVAC, and Controls;**
- 4 Electrical.**

**L.** The Contractor will include in their bid, all items required in order to carry out the intent of the Work as described, shown and implied in the Contract Documents.

**M.** It shall be the Contractor's responsibility upon discovery to immediately notify the Construction Administrator, in writing, of errors, omissions, discrepancies, and instances of noncompliance with applicable codes and regulations within the documents, and of any work which will not fit or properly function if installed as indicated on the Contract Documents. Any additional costs arising from the Contractor's failure to provide such notification shall be borne by the Contractor.

**N.** The Work will be constructed under the Contractor's Contract as applicable to this Project.

**1.4 CONTRACTOR'S USE OF PREMISES**

**A. General:** During the construction period the Contractor shall have full use of the newly constructed premises for construction operations, including use of the site. The Contractor's use of the premises is limited only by the Owner's right to perform work or to retain other contractors on portions of the Project.

**B. Use of the Site:** Limit use of the premises to work in areas indicated. Confine operations to areas within contract limits indicated. Do not disturb portions of the site beyond the areas in which the Work is indicated.

- 1. Owner Occupancy:** Allow for Owner occupancy and use by the public of the existing facility.
- 2.** The Contractor shall confine his operations including storage of materials, supplies, equipment, and apparatus to the areas bounded by the contract limits indicated and as directed in the Contract Documents.
- 3.** Existing roads, drives, walks, and parking areas which are not within the contract limit line are to be kept free and clear at all times. All deliveries for the project are to enter the property from **Hudson Street**. The Contractor shall check all roadways for accessibility and clearances for deliveries of all large material and equipment. The Contractor shall inform the Construction Administrator at least **seventy-two (72)** hours in advance of these deliveries so they can be coordinated with the Agency so appropriate traffic control, etc. can be provided. Do not use these areas for parking or storage of materials. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
- 4.** The Contractor shall be responsible for keeping the premises clean and shall pick up rubbish and debris and promptly remove from site.

5. Parking for the Contractor's employees will be limited to an area designated by the Construction Administrator, and the Contractor may be required to provide identification stickers for all employees' cars.
  6. Special precautions shall be taken to protect all wetland areas designated to remain. Prevent any and all sediment, debris, or other materials from getting into these areas. Should any sediment, debris, or other materials get into these areas or if any damage occurs to the vegetation therein, the Contractor shall immediately contact the Construction Administrator for direction.
  7. The Contractor shall comply with local working hour restrictions, unless specifically approved otherwise in writing by the Owner.
  8. No signs, other than those approved by the Construction Administrator, will be visible on the premises.
- C. Use of the Existing Building:** Maintain the existing building in a weather-tight condition throughout the construction period. Repair damage caused by construction operations. Take all precautions necessary to protect the building and its occupants during the construction period. Note: Check with Agency special types of conditions. Contractor personnel are not allowed to use the Cafeteria or vending machines within the existing buildings unless authorized in writing by the agency.

## 1.8 OCCUPANCY REQUIREMENTS

- A. Full Agency Occupancy During Construction:** The Owner reserves the right to allow the Agency to occupy the site and existing building during the entire construction period. Cooperate with the Agency during construction operations to minimize conflicts and facilitate Agency usage. Perform the Work so as not to interfere with the Agency's operations.
1. Provide adequate building and fire code egress from the buildings during the renovation process and/or as indicated on the Contract Documents. The Contractor will be responsible to maintain and protect egress ways during the construction sequence as required and/or indicated in the Contract documents. The Contractor shall be responsible for preparing egress plans for Owner approval and for DAS/CS Office of State Building Official and Office of State Fire Marshal for approval if required.
- B. Partial Agency Occupancy:** The Owner reserves the right to allow the Agency to occupy and to place and install equipment in completed areas of the building prior to Substantial Completion, provided such occupancy does not interfere with completion of the Work. Such placing of equipment and partial occupancy shall not constitute acceptance of the total Work.
1. Should it become necessary or advisable, as the work nears final completion, for the Agency to occupy a portion of the building prior to final acceptance, the Contractor shall cooperate in completing such areas and making same accessible.
  2. The Construction Administrator will determine whether such occupancy or use is possible and, if so, will make arrangements for holding a job inspection with the DAS/CS Project Manager, Agency Representative, and Contractor.
  3. A comprehensive list of items to be completed or corrected as issued by the Contractor, together with the status of completion and terms of occupancy, will be forwarded to the DAS/CS Project Manager by the Construction Administrator. A letter will be issued by the DAS/CS Project Manager and Contractor to Construction Administrator granting such occupancy and will state the terms and conditions of occupancy.
  4. Prior to partial Agency occupancy, mechanical and electrical systems shall be fully operational. Required inspections and tests shall have been successfully completed. Upon occupancy, the Agency will operate and maintain mechanical and electrical systems serving occupied portions of the building.
  5. The Architect will prepare a "Certificate of Substantial Completion" for each specific portion of the Work to be occupied prior to Agency occupancy. Use the "Certificate of Substantial Completion" form as required by the Owner and forward the Certificate to the DAS/CS Office of State Building Inspector for a Certificate of Occupancy and obtain the same after his review and approval.
  6. The DAS/CS Project Manager will request a signed "Certificate of Compliance" from Commissioner of the Department of Administrative Services, Architect, and Contractor, if required.
- C. Agency Occupancy:**
1. The Construction Administrator will determine whether such occupancy is possible and, if so, will make arrangements for holding a job inspection with the DAS/CS Project Manager, Agency Representative, and Contractor.
  2. A comprehensive list of items to be completed or corrected as issued by the Contractor, together with the status of completion and terms of occupancy, will be forwarded to the DAS/CS Project Manager and the Contractor by the Construction Administrator. A letter will be issued by the DAS/CS Project Manager and



Contractor to Construction Administrator granting such occupancy and will state the terms and conditions of occupancy.

3. Prior to Agency occupancy, mechanical and electrical systems shall be fully operational. Required inspections and tests shall have been successfully completed. Upon occupancy, the Agency will operate and maintain mechanical and electrical systems serving occupied portions of the building.
4. The Architect will prepare a "Certificate of Substantial Completion" for the Work to be occupied prior to Agency occupancy. Use the "Certificate of Substantial Completion" form as required by the Owner.
5. The DAS/CS Project Manager will request a signed "Certificate of Compliance" from Commissioner of the Department of Administrative Services, Architect, and Contractor, if required.

#### 1.9 PRODUCTS ORDERED IN ADVANCE

**A. General:** The Owner has negotiated purchase orders with suppliers of material and equipment to be incorporated into the Work. The Owner has assigned these purchase orders to the Contractor. Costs for receiving handling and storage, and installation are included in the contract sum.

1. The Contractor's responsibilities are the same as if the contractor negotiated the purchase orders. If necessary, the Contractor shall renegotiate purchase and execute final purchase-order agreements.
2. A "Schedule of Products Ordered in Advance" is included at the end of this section.

#### 1.10 MISCELLANEOUS PROVISIONS

##### A. Examination of Site:

1. It is not the intent of the Documents to show all existing conditions. All Contractors and Subcontractors are advised to attend the Pre-Bid Meeting prior to submitting their Bid Proposals. This is the only official opportunity to visit and examine the site with the Owner, Agency, Architect, Engineer and Construction Administrator.
2. The Contractor should investigate and satisfy himself as to the conditions affecting the work, including but not restricted to those bearing upon transportation, disposal, handling and storage of materials, availability of labor, water, electric power, uncertainties of weather, roads or similar physical conditions of the ground, the character of equipment, and facilities needed preliminary to and during the prosecution of the Work. The Contractor should further satisfy himself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information is reasonably ascertainable from an inspection of the site, as well as from information presented by the Contract Documents. Any failure by the Contractor to acquaint himself with the available information shall not relieve him from the responsibility for estimating properly the difficulty and cost of successfully performing the Work.

##### B. Pre-Bid Meeting:

1. A Pre-Bid Meeting and tour of the site will be conducted as scheduled in Division 00 Section 00 11 16 "Invitation to Bid". This scheduled meeting is the only official opportunity for the bidders to tour the site with the Owner, Architect, Engineer, Construction Administrator, and Agency.

##### C. Project Documents:

1. The Specifications and Drawings are intended to describe and illustrate the materials and labor necessary for the work of this Project.
2. Throughout the Technical Specifications, the Connecticut Department of Transportation Standard Specifications for Roads, Bridges, and Incidental Construction Form 816, current edition including any interim and supplemental specifications are referenced. Where so referenced the requirements set forth therein are applicable and made a part hereof. Copies of Form 816 are available from the Connecticut Department of Transportation at a nominal charge.

##### D. Scope Review:

1. Prior to signing a Contract with the State, DAS/CS will conduct a full scope review with the apparent Low Bidder to ensure that all of the requirements have been included within the bid. This scope review will highlight all of the specific requirements of the project, a review of the DAS/CS procedures and all of the Technical sections of the contract documents.
2. This process will ensure that all of the scope of work included in the contract documents has indeed been included.

**F. Specifications, Drawings, and Electronic Data Storage Devices Furnished:**

1. The Contractor shall receive **two (2)** sets of the Contract Documents on or about the time of execution of the Contract, free of charge. If additional copies are wanted, they will be available at the direct additional cost of their reproduction, to the Contractor.
2. The Contractor shall receive **one (1)** set of AutoCAD compatible (latest version) Floor Plans on Electronic Data Storage Devices at no cost on or about the time of execution of the Contract from the Architect. Additional sets of AutoCAD compatible (latest version) Floor Plans on Electronic Data Storage Devices from the Architect shall be available at the cost of their reproduction, to the Contractor.

**G. Construction Responsibility:**

1. The Contractor shall be responsible for his construction means, methods, techniques, sequences, and procedures employed in the performance of his work and shall have full responsibility for his failure to carry out any part of his work in accordance with the Contract Documents.

**H. The Contractor shall request approval from the Owner to work overtime. Said request shall be made **forty eight (48) hours** in advance. All costs for overtime are included in the Contract Sum as stated in Division 00 Section 00 41 00 "Bid Proposal Form."**

**I. PMWeb Project Management:**

1. DAS/CS is using PMWeb as the project management collaborative software tool for this project.
2. The Contractor is required to utilize PMWeb for the duration of this project and shall provide all project information via this program management software. This includes, but is not limited to contracts, applications for payment, change orders, change order proposals, requests for information, etc.
3. The DAS/CS Project Manager shall arrange for training. This training is for the Contractor's Staff, the DAS/CS Project Manager, the Construction Administrator, the A/E, and their representatives.
4. DAS/CS will be establishing a project specific email "file" address for this project. The Contractor shall send an electronic "file" copy of all project documents to this email address, to include but not limited to all project correspondence, project emails, forms, etc.
5. The Contractor is required to scan all documents that contain wet (ink) signatures and send a copy of those documents electronically to the DAS/CS Project Manager and the project specific email "file" address. The hard copy of the wet signature documents shall be transmitted as directed by the DAS/CS Project Manager. This includes, but is not limited to all contracts, change orders, applications for payment, closeout documentation, etc.

**J. Pursuant to C.G.S. Sec. 4a-101, the Contractor shall compile evaluation information during the performance of the contract on each of its subcontractors who are performing work with a value in excess of five hundred thousand dollars (\$500,000.00). The Contractor shall complete and submit to DAS/CS evaluations of each such subcontractor upon fifty percent (50%) completion of the project and upon Substantial Completion of the project. The Contractor acknowledges that its failure to complete and submit these evaluations in a timely manner may, by statute, result in a delay in project funding and, consequently, payment to the Contractor. The Contractor agrees to indemnify and hold the State harmless from any loss, damage, or expense that results from or is caused by the Contractor's failure to complete and submit the evaluations to DAS/CS in accordance with this provision.**

**PART 2 - PRODUCTS (Not Applicable)**

**PART 3 - EXECUTION (Not Applicable)**

**END OF SECTION 01 11 00**

## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Contract Documents and general provisions of the Contract, including General and Supplementary Conditions, other Division 01 Specification Sections, and Section 00 41 00 "Bid Proposal Form" apply to this Section.

### 1.2 SUMMARY

- A. This Section includes the following:
  - 1. Allowances.
  - 2. Unit Prices.
- B. **Related Sections:** The following Sections contain requirements that relate to this Section:
  - Section 01 26 00 Contract Modification Procedures
  - Section 01 29 76 Progress Payment Procedures
  - Section 01 77 00 Closeout Procedures
  - Section 02 41 00 Demolition
  - Section 02 42 19 Selective Demolition and Removal
  - Section 23 07 00 HVAC Pipe Insulation

### 1.3 ALLOWANCES

- A. This Section includes administrative and procedural requirements for Allowances.
- B. **Related Sections:** The following Sections contain requirements that relate to this Section:
  - 1. Division 01 Section 01 26 00 "Contract Modification Procedures" for procedures for submitting and handling Change Orders.
- C. **Cash Allowances:**
  - 1. The Contractor's costs for unloading and handling, labor, installation costs, storage, insurance, overhead and profit and other expense related to the Allowance item shall be included in the Lump Sum Bid Amount and not in the Allowance unless stated otherwise in the Allowance Schedule of this section.
  - 2. **Architect/Engineer Responsibilities:**
    - a. Consult with Contractor for consideration of Products, suppliers and installers.
    - b. Select Products in consultation with the DAS/CS Project Manager and Agency Representatives and transmit decision to Construction Administrator.
    - c. Prepare Change Order.
  - 3. **Construction Administrator Responsibilities:**
    - a. Consult with Architect/Engineer, Contractor, DAS/CS Project Manager and Agency Representatives for consideration of Products, suppliers and installers.
    - b. Select Products in consultation with Architect/Engineer, DAS/CS Project Manager and Agency Representatives and transmit decision to Contractor.
    - c. Prepare Change Order.
  - 4. **Contractor Responsibilities:**
    - a. Assist Architect/Engineer and Construction Administrator in selection of Products and Suppliers.
    - b. Obtain proposals from Suppliers and offer recommendations.
    - c. On notification of selection by Construction Administrator execute purchase agreement with designated supplier.
    - d. Arrange for and process shop drawings, product data, and samples. Arrange for delivery.
    - e. If the actual cost of an Allowance item is more or less than the given amount, the Contract Sum will be adjusted by Change Order.

#### 1.4 DEFINED UNIT PRICES - GENERAL

- A. This Section includes administrative and procedural requirements for unit prices.
- B. **Related Sections:** The following Sections contain requirements that relate to this Section:
1. Division 01 Section 01 26 00 "Contract Modification Procedures" for procedures for submitting and handling Change Orders.
  2. Division 01 Section 01 29 76 "Progress Payment Procedures" for procedures for submitting Application for Payments.
- C. **Definition - Unit Price:** Amount the Contractor acknowledges in the Bid Proposal Form as a price per unit of measurement for materials or services as described in the Contract Documents.
- D. **Procedures:**
1. Unit Prices included in the Contract Documents are to be used for determining compensation to the Contractor or Owner for changes to the scope of the work indicated in the Contract Documents, and included in the Lump Sum Contract Price. Special Unit Prices are for items complete, in place, and shall be inclusive of furnishing and installing of all material, labor, trucking, overhead, profit, equipment, hoisting, excavation, stockpiling, loading, engineering, scaffolding, power hookups, protection, shop drawings, taxes, permits, appliances, delivery, disposal, insurance, supervision, cost of bond, etc. and shall remain in effect until completion of the Contract.
  2. **Unit Price:** Is identified by the Owner as a price per unit of measurement for materials or services added to or deducted from the Contract Sum by appropriate modification, if the estimated quantities of Work required by the Contract Documents are increased or decreased.
  3. **Increases or Decreases:** Should the amount of the Work required be increased or decreased because of changes in the work ordered in writing by the DAS/CS Project Manager, the Contractor agrees that the following supplemental UNIT PRICES will be decreased 10% for a reduction of work. Each Unit Price shall include all equipment, tools, labor, permits, fees, etc., incidental to the completion of the work involved. All items marked with an asterisk (\*) in the unit price schedules shall include the completion of the excavation, formation and compaction of sub-grade and the disposal of surplus or unsuitable materials in accordance with the Plans and Specifications or as directed by the Construction Administrator.
  4. The Owner reserves the right to reject the Contractor's measurement of work-in-place that involves use of established unit prices, and to have this work measured, at the Owner's expense, by an independent surveyor acceptable to the Contractor.
  5. **Defect Assessment:** Replace the Work, or portions of the Work, not conforming to the specified requirements. If, in the opinion of the Architect/Engineer, it is not practical to remove and replace the work the Architect/Engineer will direct an appropriate remedy or adjust the payment.
  6. **Unit Price Schedules:** "Unit Price Schedules" are included in this Section. Specification Sections referenced in the Schedule sections contain requirements for materials described under each unit price.

#### 1.5 UNIT PRICE SCHEDULES

- A. **Unit Price Schedule - Earth and Rock Excavation:** This Section includes administrative and procedural requirements for the following unit prices and provisions that are to be included in and become part of this Contract to be used in evaluating additions to or deductions from the work called for in the specifications and/or plans.
1. Unless otherwise specified elsewhere in these documents, Contractors are to assume that all excavation is earth; however, if unspecified rock is encountered, it will be paid for at the given unit prices listed in Paragraph "C". Rock prices are net in that allowances for reduced quantities of earth are also included in the unit prices. The prices given include all costs for overhead, profit and rock surveys.
  2. Wherever rock to be excavated is encountered, the Contractor shall strip or expose the rock to such an extent that in the Owner's opinion the necessary measurements can be taken. The Contractor shall provide the Owner with a survey by a licensed land surveyor indicating top of rock elevations at points of intersection on a rectilinear grid with lines spaced sufficiently close to show accurately the rock surface contours. At the Owner's option, an additional survey may be furnished by the Owner from a licensed surveyor.
  3. If the conditions of the excavation work indicated are clearly of a special nature, the Contractor may ask the Owner for reconsideration of the established unit prices and if granted, the unit prices will not apply, and prices will be negotiated in accordance with Article 13 of the General Conditions.
- B. **Definitions:**

1. **“EARTH”** - is defined as excavation and shall include removal of all materials other than ‘water’ and ‘rock’.
2. **“ROCK”** - is defined as a boulder of one cubic yard or more in volume (1/2 cubic yard for a boulder in trenches), rock in definite ledge formation, and masonry structures of one cubic yard or more in volume, the removal of which requires the use of mechanical equipment or the use of explosives. Rock removed by scarification or ripping method is considered as a separate classification under Paragraph 4.c.1.0.
3. **“ORIGINAL GRADE”** - is defined as being the grade which exists at the time of Contract Award.
4. **“ROUGH GRADE”** - is defined as being the completed surface of required excavations greater than 13’ in width.
5. **“MASS”** - excavation is to be considered as an open area whose minimum horizontal dimensions exceed 13’.
6. **“TRENCH”** - is defined as excavation is defined as the removal of material from areas 13 feet or less in its minimal horizontal dimensions and below the elevation of rough grade or original grade, whichever is lower.

**C. Procedures:**

**1. Rock Excavation in Trenches: Basis for Horizontal Measurement:**

- a. **Horizontal Measurements:** Will be taken between the vertical planes as defined below.
- b. **The Minimum Width of Trenches in Rock:** Will be taken as 3’ 0”.
- c. **Excavation For Walls Or Piers With Footings:** The measurements will be taken parallel to and one foot outside of the edges of the concrete footings as called for in the plans (i.e. for 4’ 0” footing, rock will be taken as 6’ 0” in width).
- d. **Excavation For Walls Or Piers Without Footings:** The limits of the excavation will be 1’ 6” outside of the line of concrete at bottom as shown or called for in the plans (i.e. for a wall with a bottom thickness of 1’ 0”, the width of the trench will be considered to be 4’ 0”). (Caissons are excluded from these measurements).
- e. **Excavation for Pipe Lines:** Will be measured at 2’ 0” more than the nominal inside diameter of the pipe but in no case less than 3’ 0” wide.
- f. **Excavation For Tanks, Vaults, Manholes, Pits, Etc.:** Will be measured as 2’ 0” greater in both length and width or diameter than the actual exterior dimensions of the structures and this excavation is considered to be trench only if any measured horizontal dimensions is 13’ or less.
- g. No allowance will be made for rock removed beyond the above limits.

**2. Rock Excavation in Trenches - Basis for Vertical Measurement:**

- a. To determine depth of trench, vertical measurements will be taken from original grade or rough grade, (whichever is applicable), to the bottom of required excavation. These measurements will define the maximum depths for payments.
- b. To determine quantity of rock in trench, vertical measurements will be taken from the top of rock as encountered in the trench to 12” below the bottom of required rock excavation. Any over excavation below the required elevation shall be filled with concrete or other material as specified at no cost to the Owner.
- c. No allowance will be made for rock removed beyond the above limits.

**3. Earth Excavation in Trenches - Basis of Measurement: (Horizontal & Vertical):** The basis of measurements and allowance limit for earth excavation in trenches is identical to that indicated for rock excavation in trenches, except that there will be no allowance for 12” below the required elevation. In addition the following will prevail:

**a. Maximum allowable widths for earth excavation in trenches without shoring:**

Trench Depth - Classification		Add To Nominal ID Of Pipe Or To Footing Width
	0 ft. - 6 ft.	3 ft.
Over	6 ft. - 10 ft.	5 ft.
Over	10 ft. - 15 ft.	7 ft.
Below 15 ft. deep the width of the trench shall be based on the individual case. The final depth of trench will determine the actual width for payment.		

- b. If shoring is required the measurement shall be taken between the exterior walls of the shoring not to exceed 4' plus the I.D. of the pipe (for all depths).
  - c. To determine quantity of earth in trench, vertical measurements will be taken from the original or rough grade to actual bottom of earth excavation required.
- 4. Unit Prices - Earth and Rock Excavation (Basis for Payment):** Prices include backfill with excavated material if it is suitable. Prices also include all excavation and disposal of all surplus or unsuitable material. Where replacement with the excavated material is prohibited or a particular backfill material is specified, the cost of the delivered replacement material in a volume equal to the above excavation pay limits minus the volume of the items installed in the trench shall be paid for at a prior negotiated price. Prices do not include costs of shoring and de-watering but do include sloping for sides of excavation. Payment and credit amounts shall be determined in the following manner: Widths and depths of trench excavation as indicated. The total quantity of earth or rock excavation encountered in each depth payment category shall be paid for at its respective unit price as shown below. For example, in a 15' trench excavated by machine, the first 6' will be paid for at the 0' - 6' price; the next 4' will be paid for at the over 6' - 10' price and the next 5' will be paid for at the over 10' - 15' price. Thus three different price brackets will prevail.

<b>a. EARTH EXCAVATION - HAND</b>		<b>UNIT</b>	<b>\$ ADD</b>	<b>\$ DEDUCT</b>
1.0	In Trenches (0' - 6' deep)	C.Y.	36.00	28.80
2.0	In Trenches (below 6' deep)	Prices Must Be Negotiated Before Work Is Started.		
<b>b. EARTH EXCAVATION - MACHINE</b>		<b>UNIT</b>	<b>\$ ADD</b>	<b>\$ DEDUCT</b>
1.0	Open Area (All Depths)	C.Y.	18.81	15.05
2.0	In Trenches:			
2.1	In trenches (0' - 6' deep)	C.Y.	14.27	11.40
2.2	In trenches (6' - 10' deep)	C.Y.	19.71	15.75
2.3	In trenches (10' - 15' deep)	C.Y.	35.00	28.00
2.4	In trenches (15' - 20' deep)	C.Y.	75.00	60.00
<b>c. ROCK EXCAVATION</b>		<b>UNIT</b>	<b>\$ ADD</b>	<b>\$ DEDUCT</b>
1.0	Open Areas, Rock Removed By Ripping (Any Amount) – Net Rock	C.Y.	103.50	82.80
2.0	Open Areas, With Explosives:			
2.1	Net Rock (Total Quantity Up To 100)	C.Y.	126.00	100.80
2.2	Net Rock (Total Quantity Up To 1,000)	C.Y.	60.00	48.00
2.3	Net Rock (Total Quantity More Than 1,000)	C.Y.	28.00	22.40
3.0	In Trenches, Boulders, Remove By Machine	C.Y.	45.00	36.00
4.0	In Trenches, Ripping Of Rock By Machine	C.Y.	105.00	84.00
5.0	In Trenches, With Explosives:			
5.1	Net Rock (0' - 4' Deep)	C.Y.	95.60	76.50
5.2	Net Rock (4' - 10' Deep)	C.Y.	125.00	100.00
5.3	Net Rock (10' - 15' Deep)	C.Y.	150.00	120.00
5.4	Net Rock (15' - 20' Deep)	C.Y.	200.00	160.00
5.5	Net Rock (Over 20' Deep)	Prices Must Be Negotiated Before Work Is Started.		
6.0	Jack Holes (For Hydraulic Lift/Elevators)	L.F.	95.00	76.00
7.0	Open Or Mass Areas (If Explosives Are Prohibited): Net Rock	C.Y.	125.00	100.00
8.0	Trench Excavation With Rock Splitters and Jack Hammer or Hoe Ram (If Explosives Are Prohibited): Net Rock	C.Y.	150.00	120.00

**D. Unit Price Schedule – Miscellaneous Items:**

- A. Related Documents:** Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.
- B. Unit Price Schedule – Miscellaneous Items:**

<b>1. Unit Price Schedule – Miscellaneous Items</b>
---

Section Number &/or Drawing Number	Item Description	Base Bid Quantity	Unit of Measurement	\$ Add Unit Price	\$ Deduct Unit Price

2. The Add/Deduct Unit Prices shown in the table above are a **price per unit measurement** for materials, services, or work added to or deducted from the Contract Sum by appropriate modification **if the Base Bid Quantities** of the Work listed in the above Schedule and described in the corresponding Section and/or Drawing are **increased or decreased**.
3. The **Base Bid Quantities** for each type of Work listed in the above Schedule and described in the corresponding Section shall be included in the **Lump Sum Bid**.
4. Unit Prices shall be negotiated if there is a change in scope of work.

**E. Unit Price Schedule - Alterations:**

- A. **Related Documents:** Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.
- B. **Unit Price Schedule – Alterations:**

1. Unit Price Schedule - Alterations					
Section Number &/or Drawing Number	Item Description	Base Bid Quantity	Unit of Measurement	\$ Add Unit Price	\$ Deduct Unit Price

2. The Add/Deduct Unit Prices shown in the table above are a **price per unit measurement** for materials, services, or work added to or deducted from the Contract Sum by appropriate modification **if the Base Bid Quantities** of the Work listed in the above Schedule and described in the corresponding Section and/or Drawing are **increased or decreased**.
3. The **Base Bid Quantities** for each type of Work listed in the above Schedule and described in the corresponding Section shall be included in the **Lump Sum Bid**.
4. Unit Prices shall be negotiated if there is a change in scope of work.

**F. Unit Price Schedule – Environmental Remediation:**

- A. Related Documents:** Drawings and general provisions of the Contract, including General and Supplementary Conditions, other Division 01 Specification Sections, and Technical Specifications apply to this Section.
- B. Unit Price Schedule – Environmental Remediation:**

1. Unit Price Schedule – Environmental Remediation				
Section Number &/or Drawing Number		Environmental Remediation Items	Base Bid Quantity (C.Y.)	\$ ADD/ DEDUCT UNIT PRICE PER 1 TON
	ER-01	Removal of <b>Hazardous Soil/Fill Material:</b> Excavate, Stockpile, Load Out, Transport, and Dispose		\$380
	ER-02	Removal of <b>Contaminated Soil/Fill Material:</b> Excavate, Stockpile, Load Out, Transport, and Dispose		\$120
	ER-03	Removal of <b>Polluted Soil/Fill Material:</b> Excavate, Stockpile, Load Out, Transport, and Dispose		\$60

2. The Add/Deduct Unit Prices shown in the table above are a **price per unit measurement** for materials, services, or work added to or deducted from the Contract Sum by appropriate modification **if the Base Bid Quantities** of the Work listed in the above Schedule and described in the corresponding Section and/or Drawing are **increased or decreased**.
3. The **Base Bid Quantities** for each type of Work listed in the above Schedule and described in the corresponding Section shall be included in the **Lump Sum Bid**.
4. Unit Prices shall be negotiated if there is a change in scope of work.

**G. Unit Price Schedule – Hazardous Building Materials Abatement:**

- A. Related Documents:** Drawings and general provisions of the Contract, including General and Supplementary Conditions, other Division 01 Specification Sections, and Technical Specifications apply to this Section.
- B. Unit Price Schedule – Hazardous Building Materials Abatement:**

1.	ASBESTOS ABATEMENT	UNIT	\$ ADD/ DEDUCT
	AR-001	CLEAN-UP OF ACM DEBRIS BY HEPA VACUUMING	SF \$0.23
	AR-002	REMOVAL OF PIPE INSULATION INCLUDING FITTINGS (FULL CONTAINMENT - < 6" DIA)	LF \$1.63
	AR-003	REMOVAL OF PIPE INSULATION INCLUDING FITTINGS(FULL CONTAINMENT - 6" - 12" DIA)	LF \$2.68
	AR-004	REMOVAL OF PIPE INSULATION INCLUDING FITTINGS(FULL CONTAINMENT - >12" DIA)	LF \$3.65
	AR-005	GLOVE BAG REMOVAL OF PIPE OR FITTING INSULATION (MINI-CONTAINMENT - FIRST 25)	EA \$26.05
	AR-006	GLOVE BAG REMOVAL OF PIPE OR FITTING INSULATION (MINI-CONTAINMENT - QUANTITY BETWEEN 25-50)	EA \$20.56
	AR-007	GLOVE BAG REMOVAL OF PIPE OR FITTING INSULATION (MINI-CONTAINMENT - QUANTITY IN EXCESS OF 50)	EA \$18.30
	AR-008	REMOVAL OF EQUIPMENT INSULATION	SF \$3.81
	AR-009	REMOVAL OF HVAC DUCT INSULATION	SF \$3.81
	AR-010	REMOVAL OF HVAC DUCT SYSTEM FLEXIBLE CONNECTOR	SF \$2.77
	AR-011	REMOVAL OF RESILIENT FLOORING INCLUDING MASTIC	SF \$1.05
	AR-012	REMOVAL OF RESILIENT FLOORING (NO MASTIC)	SF \$0.67
	AR-013	REMOVAL OF SPRAYED ON FIREPROOFING	SF \$2.61
	AR-014	REMOVAL OF PLASTER CEILING SYSTEM (INCLUDING BLACK IRON AND METAL LATH)	SF \$2.68
	AR-015	REMOVAL OF ACOUSTIC OR METAL PAN CEILING SYSTEM (INCLUDING GRID )	SF \$1.74



AR-016	REMOVAL OF ACOUSTIC CEILING PANELS (CLEAN GRID FOR REUSE)	SF	\$1.45
AR-017	REMOVAL OF ACOUSTIC PLASTER FINISH MATERIAL (SCRAPE)	SF	\$2.45
AR-018	PATCH AND/OR SEAL DAMAGED INSULATION	SF	\$1.05
AR-019	REMOVAL OF CONTAMINATED SOIL (2" DEPTH)	SF	\$1.69
AR-020	REMOVAL OF TRANSITE MATERIAL	SF	\$0.92
AR-021	REMOVAL OF ROOFING OR ROOF FLASHING MATERIAL	SF	\$1.34
AR-022	REMOVAL OF UNDERGROUND PIPE OR PIPE INSULATION (INCLUDING HAND EXCAVATION)	LF	\$10.75
AR-023	REMOVAL OF CARPET OVER RESILIENT FLOORING	SF	\$0.83
AR-024	REMOVAL OF WALL BASE AND MASTIC	LF	\$0.95
AR-025	REMOVAL OF DRYWALL PARTITION (INCLUDING WALL FRAMING)	SF	\$0.90
AR-026	REMOVAL OF CMU WALL	SF	\$1.82
AR-027	PREP WORK AREA	SF	\$1.09
AR-028	SOLID BARRIERS OR ACCESS TUNNELS (2"x4"@16", 1/2" PLYWOOD)	SFSA	\$1.26
AR-029	SELECTIVE DEMOLITION TO ACCESS CONCEALED ACM	SF	\$1.11
AR-030	REMOVAL OF FLOOR LEVELING MATERIAL	SF	\$0.79

<b>2.</b>	<b>LEAD-BASED PAINT ABATEMENT</b>	<b>UNIT</b>	<b>\$ ADD/ DEDUCT</b>
SP-001	REMOVE LOOSE PAINT FROM WALLS OR CEILINGS (WET SCRAPING OR BRUSHING)	SF	\$0.89
SP-002	STRIP PAINT FROM FLAT SURFACES	SF	\$2.93
SP-003	STRIP PAINT FROM COLUMNS AND STRUCTURAL FRAMING MEMBERS	SF	\$3.68
SP-004	STRIP PAINT FROM STAIR TREADS, RISERS AND STRINGERS	SF	\$5.08
SP-005	STRIP PAINT FROM TRIM	LF	\$2.82
SP-006	STRIP PAINT FROM DOORS (DOOR OPENING SIZE)	SF	\$4.54
SP-007	STRIP PAINT FROM WINDOW (WINDOW SIZE)	SF	\$7.08
SP-008	STRIP PAINT FROM RADIATOR	SF	\$8.75
SP-009	STRIP PAINT FROM HANDRAIL	LF	\$7.35
SP-010	STRIP PAINT FROM PIPING	SF	\$6.30
SP-011	CLEAN-UP OF MATERIALS CONTAINING LEAD (DIRT, BUILDING DEBRIS, ETC.)	CF	\$3.43
SP-012	HEPA VACUUMING AND WASHING SURFACE (SMOOTH SURFACE)	SF	\$0.63
SP-013	HEPA VACUUMING AND WASHING SURFACE (POROUS SURFACE)	SF	\$1.05
SP-014	REMOVE EXTERIOR SOIL (6" DEPTH)	SF	\$4.50

<b>3.</b>	<b>PCBS IN BUILDING MATERIAL ABATEMENT</b>	<b>UNIT</b>	<b>\$ ADD/ DEDUCT</b>
HM-001	REMOVE LOOSE PCB CONTAMINATED CAULK (WET SCRAPING OR BRUSHING)	LF	\$6.20
HM-002	REMOVE PCB CONTAMINATED CAULK AND 6 INCHES OF BUILDING MATERIALS	LF	\$28.00
HM-003	REMOVE PCB CONTAMINATED CAULK AND 12 INCHES OF BUILDING MATERIALS	LF	\$37.00
HM-004	REMOVE INTACT PCB CONTAMINATED CAULK WITH NO REMOVAL OF BUILDING MATERIALS	LF	\$8.50
HM-005	STRIP PAINT FROM FLAT SURFACES	SF	\$2.94
HM-006	HEPA VACUUMING AND WASHING SURFACE (SMOOTH SURFACE)	SF	\$0.60
HM-007	HEPA VACUUMING AND WASHING SURFACE (POROUS SURFACE)	SF	\$1.05
HM-008	REMOVE EXTERIOR SOIL (6" DEPTH)	SF	\$4.88
HM-009	EXCAVATE, TRANSPORT, AND DISPOSE OF PCB CONTAMINATED SOIL (1 TON)	TON	\$400

<b>4.</b>	<b>MOLD ABATEMENT</b>	<b>UNIT</b>	<b>\$ ADD/ DEDUCT</b>
-----------	-----------------------	-------------	---------------------------

IAQ-001	CLEANING AND HEPA VACUUMING OF CONTAMINATED COMPONENTS OR MATERIALS	SF	\$0.61
IAQ-002	REMOVAL OF CONTAMINATED PIPE INSULATION	LF	\$0.61
IAQ-003	REMOVAL OF CONTAMINATED BUILDING INSULATION	SF	\$0.61
IAQ-004	REMOVAL OF CONTAMINATED HVAC DUCT OR EQUIPMENT INSULATION	SF	\$0.61
IAQ-005	REMOVAL OF CONTAMINATED CARPET	SF	\$0.88
IAQ-006	REMOVAL OF CONTAMINATED DRYWALL PARTITION (INCLUDING WALL FRAMING)	SF	\$1.05
IAQ-007	REMOVAL OF CONTAMINATED PLASTER	SF	\$1.87
IAQ-008	REMOVAL OF CONTAMINATED SUSPENDED CEILING PANELS	SF	\$0.59
IAQ-009	PREP WORK AREA	SF	\$0.99
IAQ-010	SOLID BARRIERS OR ACCESS TUNNELS (2"x4" @16", 1/2" PLYWOOD)	SFSA	\$2.09
IAQ-011	SELECTIVE DEMOLITION TO ACCESS CONTAMINATED COMPONENTS OR MATERIALS	SF	\$1.15

5.	REWORK ITEMS DURING ABATEMENT ACTIVITIES	UNIT	\$ ADD/ DEDUCT
	RW-001 REINSULATE PIPE 1" THICK FIBERGLAS ASJ	SF	\$2.83
	RW-002 REINSULATE PIPE 1 1/2" THICK FIBERGLAS ASJ	SF	\$3.62
	RW-003 REINSULATE PIPE 2" THICK FIBERGLAS ASJ	SF	\$4.30
	RW-004 REINSULATE PIPE FITTING 1" THICK FIBERGLAS ASJ	EA	\$4.37
	RW-005 REINSULATE PIPE FITTING 1 1/2" THICK FIBERGLAS ASJ	EA	\$5.34
	RW-006 REINSULATE PIPE FITTING 2" THICK FIBERGLAS ASJ	EA	\$6.50
	RW-007 REINSULATE MECHANICAL EQUIPMENT 3 PCF, 2" THICK	SF	\$3.50
	RW-008 REINSULATE HVAC DUCT SYSTEM (FLEXIBLE DUCT WRAP) 0.75 PCF, 1 1/2" THICK	SF	\$2.25
	RW-009 REINSULATE HVAC DUCT SYSTEM (RIGID BOARD) 3 PCF, 1 1/2" THICK	SF	\$6.00
	RW-010 REPLACE HVAC DUCT SYSTEM FLEXIBLE CONNECTOR	SF	\$7.83
	RW-011 REPLACE TRIM COMPONENT (WOOD CASING, JAMB, APRON, ETC.)	LF	\$1.26
	RW-012 REPLACE INTERIOR DOOR (SOLID CORE FLUSH OR 6-PANEL PINE)	EA	\$207.50
	RW-013 REPLACE WINDOW (SASH ONLY)	EA	\$207.50
	RW-014 REPLACE WINDOW (COMPLETE UNIT INCLUDING FRAME)	EA	\$375.00
	RW-015 PAINT FLAT SURFACES (PRIMER + FINISH COAT)	SF	\$0.27
	RW-016 PAINT COLUMNS AND STRUCTURAL FRAMING MEMBERS (PRIMER + FINISH COAT)	SF	\$2.89
	RW-017 PAINT STAIR TREADS, RISERS AND STRINGERS (PRIMER + FINISH COAT)	SF	\$2.89
	RW-018 PAINT HANDRAIL (PRIMER + FINISH COAT)	LF	\$0.27
	RW-019 PAINT TRIM COMPONENT (CASING, JAMB, APRON, ETC., PRIMER + FINISH COAT)	LF	\$0.83
	RW-020 PAINT DOORS (DOOR OPENING SIZE - INCLUDES BOTH FACES PRIMER + FINISH COAT)	SF	\$1.67
	RW-021 PAINT WINDOW (INCLUDES INTERIOR & EXTERIOR PRIMER + FINISH COAT)	SF	\$1.97
	RW-022 PAINT RADIATOR (PRIMER + FINISH COAT)	SF	\$2.97
	RW-023 PAINT PIPING (PRIMER + FINISH COAT)	LF	\$0.29
	RW-024 REPLACE EXTERIOR SOIL (6" LOAM AND SEED)	SF	\$7.19
	RW-025 ASPHALT PAVING	SF	\$3.43

6.	MISCELLANEOUS ABATEMENT ITEMS	UNIT	\$ ADD/ DEDUCT
	MI-001 MOBILIZATION (1 PER WORK AREA)	EA	\$262.50
	MI-002 WORKER DECON (1 PER WORK AREA)	EA	\$262.50
	MI-003 CONTAINMENT BARRIERS TO SEPARATE THE WORK AREA (SOFT BARRIER)	SF	\$1.02
	MI-004 CONTAINMENT BARRIERS TO SEPARATE THE WORK AREA (HARD BARRIER)	SF	\$2.55
	MI-005 TEMP ELECTRICAL CONNECTION (LICENSED ELECTRICIAN)	EA	\$450.00
	MI-006 TEMP ELECTRICAL GENERATOR	DY	\$375.00

	MI-007	DISPOSAL OF ACM WASTE (INCLUDES TRANSPORTATION)	CY	\$60.00
	MI-008	DISPOSAL OF HAZARDOUS WASTE MATERIAL (INCLUDES TRANSPORTATION)	TON	\$380.00
	MI-009	DISPOSAL OF CONSTRUCTION DEBRIS (INCLUDES TRANSPORTATION)	TON	\$30.00
	MI-010	ABATEMENT SUPERVISOR (LICENSED)	HR	\$81.00
	MI-011	STAND-BY ABATEMENT PERSONNEL (EACH LICENSED WORKER)	HR	\$74.00
	MI-012	ENCAPSULATION UTILIZING LIQUID COATING SYSTEM	SF	\$0.69
	MI-013	ENCAPSULATION UTILIZING HEAVY BODIED REINFORCED COATING SYSTEM	SF	\$1.03
	MI-014	FIXED SCAFFOLDING	SF	\$16.00
	MI-015	EXCAVATION TO EXPOSE UNDERGROUND PIPE	CY	\$25.00
	MI-016	PROJECT NOTIFICATION AND FEES	EA	\$0.00
	MI-017	PROJECT BOND ( 3% OF CONTRACT)	EA	\$0.00

7.	COMPONENT REPLACEMENT DURING ABATEMENT ACTIVITIES		UNIT	\$ ADD/ DEDUCT
	CR-001	REMOVE TRIM COMPONENT (CASING, BASE, APRON, ETC.)	LF	\$0.49
	CR-002	REMOVE DOOR (DOOR ONLY)	SF	\$0.27
	CR-003	REMOVE DOOR (INCLUDING JAMB, NO TRIM)	SF	\$0.61
	CR-004	REMOVE WINDOW (SASH ONLY)	SF	\$0.40
	CR-005	REMOVE WINDOW (COMPLETE UNIT INCLUDING FRAME)	SF	\$0.92
	CR-006	REMOVE RADIATOR	SF	\$0.77
	CR-007	REMOVE MISCELLANEOUS ITEM	CF	\$7.56

**PART 2 - PRODUCTS (Not Applicable)**

**PART 3 - EXECUTION (Not Applicable)**

**END OF SECTION 01 20 00**

THIS PAGE INTENTIONALLY LEFT BLANK

**PART 1 - GENERAL**

**1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. This Section includes administrative and procedural requirements for handling requests for equals and substitutions made after award of the Contract.
- B. Related Sections: The following Sections contain requirements that relate to this Section:
  - 1. Division 01 Section 01 33 00 "Submittal Procedures" specifies requirements for submitting the Contractor's Construction Schedule and the Submittal Schedule.
  - 2. Division 01 Section 01 42 20 "Reference Standards and Definitions" specifies the applicability of industry standards to products specified.
  - 3. Division 01 Section 01 60 00 "Product Requirements" specifies requirements governing the Contractor's selection of products and product options.

**1.3 DEFINITIONS**

- A. Definitions in this Article do not change or modify the meaning of other terms used in the Contract Documents.
- B. **Equals or Substitutions General:** Changes in products, materials, equipment, and methods of construction required by the Contract Documents proposed by the Contractor after award of the Contract.

**1.4 SUBMITTALS**

- A. **Equals and Substitution Request Submittals:** The Owner will consider requests for equals or substitutions if made prior to the Receipt of the Competitive Bid. The information on all materials shall be consistent with the information herein. After the contract award, substitutions will be considered for materials or systems specified that are no longer available. It will not be considered if the product was not purchased in a reasonable time after award. The Contractor shall submit all equal and substitutions requests on the "**Equal or Substitute Product Request (Form 7001)**", an example of which is shown at the end of this Section. The Form is available from the Construction Administrator (CA). See Article 15 in the General Conditions for further refinement and information.
- B. The Contractor is required to prepare and submit three (3) copies of the required data for the first manufacturer listed or procedure listed in the specifications section with reference to all of the following areas: the substance and function considering quality, workmanship, economy of operation, durability and suitability for purposes intended including the size, rating performance, LEED® compliance, and cost. All submissions must include all the required data for the first listed manufacturer or procedure as specified, as well as the required data for the proposed Equal or Substitution. This will enable the Owner and Architect to determine that the proposed Equal or Substitution is or is not substantially equal to the first listed manufacturer or procedure.
  - 1. Identify the product or the fabrication or installation method to be replaced in each request. Include related Specification Section and Drawing numbers.
  - 2. Provide complete documentation showing compliance with the requirements for equals or substitutions, and the following information, as appropriate:
    - a. Product Data, including Shop Drawings and descriptions of products and fabrication and installation procedures.
    - b. Samples, where applicable or requested.
    - c. A statement indicating the effect on the Contractor's Construction Schedule or CPM Schedule compared to the schedule without approval of the Equal or Substitution. Indicate the effect on overall Contract Time.
    - d. The Contractor's certification that the proposed Equal or Substitution conforms to requirements in the Contract Documents in every respect and is appropriate for the applications indicated.
    - e. The Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of the failure of the Equal or Substitution to perform adequately.

- 3. Architect's Action:** If necessary, the Architect will request additional information or documentation for evaluation within **seven (7) days** of receipt of the original request for equal or substitution request. The Architect will notify the Construction Administrator who will notify the Owner of recommended acceptance or rejection of the proposed equal or substitution, within **fourteen (14) days** of receipt of the request, or **seven (7) days** of receipt of additional information or documentation, whichever is later. The Construction Administrator will give final acceptance or rejection by the Owner not less than **seven (7) days** after notification.
  - a.** Any request deemed an "Equal" and accepted by the Construction Administrator, Architect, Owner, and Agency will result in written notification to the Contractor and will not be in the form of a change order for an "Equal".
  - b.** Any request deemed a "Substitution" and rejected or approved by Construction Administrator, Architect, and Owner may result in written notification to the Contractor and may be in the form of a change order if the "Substitution" is approved.

## **PART 2 - PRODUCTS**

### **2.1 EQUAL OR SUBSTITUTIONS**

- A. Conditions:** The Architect will consider the Contractor's request for Equal or Substitution of a product or method of construction when one or more of the following conditions are satisfied, as determined by the Architect. If the following conditions are not satisfied, the Architect will return the requests to the Construction Administrator without action except to record noncompliance with these requirements.
  - 1.** The proposed request does not require extensive revisions to the Contract Documents.
  - 2.** The proposed request is in accordance with the general intent of the Contract Documents.
  - 3.** The proposed request is timely, fully documented, and/or properly submitted.
  - 4.** The proposed request can be provided within the Contract Time. However, the Architect will not consider the proposed request if it is a result of the Contractor's failure to pursue the Work promptly or coordinate activities properly.
  - 5.** The proposed request can receive the necessary approvals, in a timely manner, required by governing authorities having jurisdiction.
  - 6.** The proposed request can be provided in a manner that is compatible with the Work as certified by the Contractor.
  - 7.** The proposed request can be coordinated with the Work as certified by the Contractor.
  - 8.** The proposed request can uphold the warranties required by the Contract Documents as certified by the Contractor.
- B.** The Contractor's submission and the Architect's review of Submittals, including but not limited to, Samples, Manufacturer's Data, Shop Drawings, or other such items, which are not clearly identified as a request for an Equal or Substitution, will not be considered or accepted as a valid request for an Equal or Substitution, nor does it constitute an approval.

## **PART 3 - EXECUTION (Not Applicable)**

**END OF SECTION 01 25 00**



**7001  
Equal or Substitute  
Product Request**

Page 1 of 2

Request Phase: Pre-Bid  Post Bid  (See Article 15 Materials: Standards, General Conditions)

(If Pre-bid only) Current Bid Due Date:  Request No.:  Dated:

To: State of Connecticut  
Department of Administrative Services,  
Construction Services

DAS Project No.:

Project Name / Location:

References: Specification(s):  Section(s):  Paragraph(s):

Drawing(s):  Drawing(s) No(s):  Detail(s) No(s):

Contractually Specified Product:

Contractor Proposed Product:

Proposed Product is: Equal:  Substitute:  Model No.:

**IMPORTANT:**  
**See Attached Data For Both Specified And Proposed Products  
As Required By Article 15 General Conditions.**

Data attached: Drawings:  Product Data:  Reports:  Samples:

Tests:  Other:

Reason(s) for not providing the Specified Product:

Similar Installation:

Project Name:  Architect's Name:

Project Location:  Owner's Name:

Date Installed:



7001  
Equal or Substitute  
Product Request

Page 2 of 2

Will proposed substitution impact other parts of the Work? No  Yes  *If Yes Attach An Explanation.*

Will proposed substitution increase Contract Time? No  Yes  *By Number Of Calendar Days*

Actual Dollar Savings to the State of Connecticut if substitution is accepted: \$

**The Undersigned Certifies:**  
That The Proposed Request For An Equal Or Substitute Product Conforms To All Of The Requirements Of Division 01 General Requirements, Section 01 25 00 Substitution Procedures.

Request Submitted By General Contractor / CMR:   
*(Firm's Typed Name)*

By:      
*(Typed Name) (Title) (Signature) (Date)*

Contractor / CMR Send copies to : DAS PM:  CA:

Consultant's Request Received on (Date):

Consultant's Review – This Substitution Request is:

Approved: *(Submittal(s) in accordance with Div. 01 General Requirements, Section 01 33 00 Submittal Procedures.)*

Approved as Noted: *(Submittals in accordance with Div. 01 General Requirements, Section 01 33 00 Submittal Procedures.)*

Rejected: Use Specified Materials.

Rejected: Request Not Received Within Specified Time Period - Use Specified Materials.

Reviewed Issued By:

Name:   
*(Typed Name)*

Title:

Signature:    
*(Signature) (Date)*

CONSULTANT Send copies to: DAS PM  CA  Chief Architect  Chief Engineer

If Approved: As noted by Consultant,  
DAS Chief Architect:    
*(Signature) (Date)*

Copies: Project File Red R2

END



**PART 1 - GENERAL**

**1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. This Section specifies procedures for preparation and submittal of the Contractor's Applications for Payment.
- B. Related Sections: The following Sections contain requirements that relate to this Section.
1. Notice to Bidders: Article 10
  2. General Conditions: Articles: 27 "Schedule of Values, Application for Payment"; 28 "Partial Payments"; 31 "Final Payment"; and 32 "Owner's Right to Withhold Payments".
  3. Division 01 Section 01 32 16 "Construction Progress Schedules" for requirements for construction scheduling and reporting progress of work.
  4. Division 01 Section 01 33 00 "Submittal Procedures".
  5. Division 01 Section 01 77 00 "Closeout Procedures" for requirements for Final Payment.

**1.3 SCHEDULE OF VALUES**

- A. **Coordination:** Coordinate preparation of the "Schedule of Values" with preparation of the CPM Schedule or Construction Schedule. Use "Schedule of Values" form as required by the Owner
1. Submit the "Schedule of Values" to the Construction Administrator at the earliest possible date but no later than **twenty-one (21)** days after Contract Start Date.
  2. **Sub-schedules:** Where Work is separated into phases requiring separately phased payments, provide sub-schedules showing values correlated with each phase of payment.
- B. **Format and Content:** Use the Project Manual Table of Contents as a guide to establish the format for the "Schedule of Values". Provide at least one line item for each Specification Section on electronic media printout.
1. **Identification:** Project identification on the Schedule of Values shall include, but not be limited to, the following:
    - a. **Owner**
    - b. **Project Number**
    - c. **Project Name**
    - d. **Project Location**
    - e. **Contractor's name and address.**
  2. Arrange the "Schedule of Values" in tabular format as required by the Owner, containing separate columns including, but not limited to, the following Items:
    - a. **Item Number.**
    - b. **Description of Work with Related Specification Section or Division Number.**
    - c. **Contract sum in sufficient detail.**
  3. Percentage of Contract Sum to nearest one-hundredth percent, adjusted to total 100 percent.
  4. Provide a breakdown of the Contract Sum in sufficient detail to facilitate continued evaluation of Applications for Payment and progress reports. Coordinate with the Project Manual Table of Contents. Break principal subcontract amounts down into several line items. In addition, the following items listed below must be included.
    - a. **Site Logistics Plan (01 31 00):** a lump sum at 1/20 of one percent of the base bid total project cost at the time of submission of this plan.
    - b. **Coordination Drawings (01 31 00):** a lump sum of this cost for payment at the submittal of this product a minimum cost of 1/10<sup>th</sup> of one percent of the base bid total project cost or \$5,000 whichever is greater.

- c. **Photographic Documentation (01 32 33):** a monthly cost of \$1,000 per month to be paid each month upon receipt of the photographs or forfeit of that month's payment.
  - d. **Submittal Schedule (01 33 00):** a lump sum payment calculated at 1/20<sup>th</sup> of 1% of the base bid total project cost upon receipt of the schedule
  - e. **Waste Collection & Cleaning (01 50 00):** a monthly cost. A minimum payment of \$1,000 to \$3,000 (based on size & complexity of the project) with forfeit of that monthly payment if not done.
  - f. **As-Built Updates (01 31 00):** a monthly cost, a minimum payment of \$1,000 with forfeit of that monthly payment if not done.
  - g. **Start-up and Adjusting (01 75 00):** a lump sum cost upon completion. (to be determined by the DAS/CS Project Manager (PM) with Architect/Engineer and Construction Administrator (CA) advice)
  - h. **Schedule (01 32 16):** For the Base Schedule a lump sum payment or 40% of the total schedule budget, with the remainder paid on an even payment over the duration of the project.
5. Round amounts to nearest whole dollar; the total shall equal the Contract Sum.
6. **Unit-Cost Allowances:** Show the line-item value of unit-cost allowances, as a product of the unit cost, multiplied by the measured quantity. Estimate quantities from the best indication in the Contract Documents.
7. **General Conditions:** Show line items for indirect costs and margins on actual costs only when such items are listed individually in Applications for Payment. Each item in the Schedule of Values and Applications for Payment shall be complete. Include the total cost and proportionate share of general overhead and profit margin for each item.
- a. Temporary facilities and other major cost items that are not direct cost of actual work-in-place may be shown either as separate line items in the Schedule of Values or distributed as general overhead expense, at the Contractor's option.

#### 1.4 APPLICATIONS FOR PAYMENT

- A. Each Application for Payment shall be consistent with previous applications and payments as certified by the Architect and Construction Administrator and paid for by the Owner.
- 1. The initial "Application for Payment", the "Application for Payment" at time of "Substantial Completion", and the final "Application for Payment", involve additional requirements.
- B. **Payment-Application Terms:** The Owner will process monthly progress payments. The Contractor may submit applications for payment on a monthly basis.
- C. **Payment-Application Forms:** Use the "Application for Payment" form as required by the Owner. Present the required information on electronic media printout or Owner approved form; multiple pages should be used if required.
- 1. For each item, provide a column including but not limited to the following items:
    - a. Item Number.
    - b. Description of Work and Related Specification Section or Division.
    - c. Scheduled Value, break down by units of material and units of labor.
    - d. Work Completed from previous application.
    - e. Work Completed this period.
    - f. Materials presently stored.
    - g. Total Completed and stored to date of application.
    - h. Percentage of Completion.
    - i. Balance to Finish.
    - j. Retainage.
- D. **Application Preparation:** Complete every entry on the Application form. At the time of Final Payment only, include an executed Application form by a person authorized to sign legal documents on behalf of the Contractor. The Construction Administrator will return incomplete Applications without action.
- 1. Entries shall match data on the "Schedule of Values".
  - 2. Include amounts of Change Orders issued prior to the last day of the construction period covered by the application.

**E. Transmittal:** Except for final payment, submit to the Construction Administrator by a method ensuring receipt within **forty-eight (48)** hours. **One (1)** complete, signed and notarized original of each Application for Payment, including lien waivers and similar attachments when required, along with **six (6)** copies. For Final Payment, **nine (9)** complete, signed and notarized copies shall be submitted.

1. Transmit each copy with a transmittal form listing attachments and recording appropriate information related to the application, in a manner acceptable to the Architect.

**F. Applications for Payment:** Administrative actions and submittals, that must precede or coincide with submittal of the first Application for Payment and all subsequent Application for Payments including, but not limited to, the following items:

1. List of subcontractors and suppliers' name, FEIN/Social Security numbers, and Connecticut Tax Registration Numbers.
2. List of principal suppliers and fabricators.
3. Schedule of Values.
4. Contractor's Construction Schedule (preliminary if not final).
5. Schedule of principal products.
6. Submittal Schedule (preliminary if not final).
7. List of Contractor's staff assignments.
8. List of Contractor's principal consultants.
9. Copies of all applicable permits.
10. Copies of authorizations and licenses from governing authorities for performance of the Work.
11. Proof that subcontractors have been paid amounts included on the Contractor's Application for Payment within thirty (30) days after the Owner has paid the Contractor for the particular Application for Payment in accordance with Connecticut General Statute § 49-41a (a)(1).
12. Releases of Lien from subcontractors with amounts included on the Contractor's Application for Payment when Contractor has been paid by the Owner for the particular Application for Payment but the subcontractors have not been paid.
13. Proof that as-built documents are updated as required by Section 01 77 00 "Closeout Procedures.
14. Initial as-built survey and damage report, if required.
15. Update the "Contractor's Master Subcontract Agreement List" and submit copies all recently executed Subcontract Agreements in accordance with CGS § 4b-96.
  - 15.1. The "Contractor's Master Subcontract Agreement List" shall list all Subcontract Agreements in order of Contract Sum magnitude (from high to low) in the following format:

<b>Contractor's Master Subcontract Agreement List</b>				
<b>Subcontractor Name</b>	<b>Minority Or Small Business Designation</b>	<b>Trade</b>	<b>Address</b>	<b>Contract Sum</b>

16. In accordance with CGS § 42-158j (b):  
 Each payment requisition submitted shall include a statement showing the status of all pending construction change orders, other pending change directives and approved changes to the original contract or subcontract. Such statement shall identify the pending construction change orders and other pending change directives, and shall include the date such change orders and directives were initiated, the costs associated with their performance and a description of any work completed. As used in this section, "pending construction change order" or "other pending change directive" **means an authorized directive for extra work that has been issued to a contractor or a subcontractor and identified by an official Change Order Number or Construction Change Directive Number assigned by the State of Connecticut.**

**G. Application for Payment at Substantial Completion:** Following issuance of the Certificate of Substantial Completion submit an Application for Payment form; use the form as required by the Owner. Present the required information on electronic media printout as applicable that include, but are not limited, to the following:

1. This application shall reflect Certificates of Partial Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
  2. Administrative actions and submittals that shall precede or coincide with this application include, but are not limited to, the following:
    - 2.1 Occupancy permits and similar approvals.
    - 2.2 Warranties (guarantees) and maintenance agreements.
    - 2.3 Test/adjust/balance records.
    - 2.4 Maintenance instructions.
    - 2.5 Meter readings.
    - 2.6 Startup performance reports.
    - 2.7 Changeover information related to Owner's occupancy, use, operation, and maintenance.
    - 2.8 Final cleaning.
    - 2.9 Application for reduction of retainage and consent of surety.
    - 2.10 Advice on shifting insurance coverage.
    - 2.11 Final progress photographs.
    - 2.12 List of incomplete Work, recognized as exceptions to Architect's Certificate of Substantial Completion.
- H. Final Payment Application:** Administrative actions and submittals that must precede or coincide with submittal of the final Application for Payment include, but are not limited, to the following:
1. Completion of Project Closeout requirements.
  2. Completion of list of items remaining to be completed as indicated on the attachment to the Certificate of Substantial Completion.
  3. Ensure that unsettled claims will be settled.
  4. Ensure that incomplete Work is not accepted and will be completed in accordance with a schedule prepared by the Contractor which is acceptable to the Owner.
  5. Transmittal of required Project construction records to the Owner (including as-built documents specified in Section 01 77 00 "Closeout Procedures").
  6. Certified property survey.
  7. Proof that taxes, fees, and similar obligations were paid.
  8. Removal of temporary facilities and services.
  9. Removal of surplus materials, rubbish, and similar elements (Reference Section 01 74 19 "Construction Waste Management & Disposal").
  10. Change of door locks to Owner's access.
  11. The requirements of the General Conditions and Supplementary Conditions for Final Acceptance, Final Completion, Final Inspection, and Final Payment.
  12. Asbestos, lead or other hazardous material manifests.
  13. Completion of "Building Contractor Reporting Form" as supplied by Department of Construction Services, for all Contractors, Subcontractors, Vendors, Suppliers, etc. who work on the Contract. The form includes the following information:
    - a. Contractor/Subcontractor name.
    - b. FEIN/Social Security Numbers
    - c. Connecticut Tax Registration Numbers
    - d. Type of work
    - e. Name of business and address
    - f. Remittance address.

**PART 2 - PRODUCTS (Not Applicable)**

**PART 3 - EXECUTION (Not Applicable)**

**END OF SECTION 01 29 76**

THIS PAGE INTENTIONALLY LEFT BLANK

## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. This Section includes administrative and supervisory requirements necessary for coordinating construction operations including, but not necessarily limited to, the following:
  - 1. General project coordination procedures.
  - 2. Conservation.
  - 3. Coordination Drawings, including Site Logistics Plans.
  - 4. Administrative and supervisory personnel.
  - 5. Cleaning and protection.
- B. **Related Sections:** The following Sections contain requirements that relate to this Section:
  - 1. Division 01 Section 01 29 76 "Progress Payment Procedures" for Schedule of Values items
  - 2. Division 01 Section 01 31 19 "Project Meetings" for progress meetings, coordination meetings, and pre-installation conferences.
  - 3. Division 01 Section 01 32 16 "Construction Progress Schedules" for requirements for construction scheduling and reporting progress of work.
  - 4. Division 01 Section 01 50 00 "Temporary Facilities and Controls".
  - 5. Division 01 Section 01 60 00 "Product Requirements" for coordinating general installation.
  - 6. Division 01 Section 01 71 23 "Field Engineering" specifies procedures for field engineering services, including establishment of benchmarks and control points.
  - 7. Division 01 Section 01 77 00 "Closeout Procedures" for coordinating contract closeout.
  - 8. Division 01 Section 01 91 00 "Commissioning" defines the commissioning process.

### 1.3 CONSTRUCTION ADMINISTRATOR

#### A. Construction Administrator:

- 1. The Construction Administrator is identified in Division 01 Section 01 11 00 "Summary of Work".
- 2. **Construction Mobilization:**
  - a. Cooperate with the Construction Administrator in the allocation of mobilization areas of the site, for field offices and sheds, for agency facility access, traffic, and parking facilities.
  - b. During Construction, coordinate use of site and facilities through the Construction Administrator.
  - c. Comply with Construction Administrator's procedures for intra-project communications; submittals, reports and records, schedules, coordination drawings, and recommendations; and resolution of ambiguities and conflicts.
  - d. Comply with instructions of the Construction Administrator for use of temporary utilities and construction facilities.
  - e. Coordinate field engineering layout as specified in Division 01 Section 01 71 23 "Field Engineering" for work under the instructions of the Construction Administrator.

### 1.4 COORDINATION

- A. Coordinate construction operations included in various Sections of these Specifications to assure efficient and orderly installation of each part of the Work. Coordinate construction operations included under different Sections that depend on each other for proper installation, connection, and operation.
  - 1. Schedule construction operations in the sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.

2. Coordinate installation of different components to assure maximum accessibility for required maintenance, service, and repair.
3. Make provisions to accommodate items scheduled for later installation.
- B. Where necessary, prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and attendance at meetings.
  1. Prepare similar memoranda for the Construction Administrator, Owner and separate contractors where coordination of their work is required.
- C. **Administrative Procedures:** Coordinate scheduling and timing of required administrative procedures with other construction activities to avoid conflicts and assure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
  1. Preparation of schedules.
  2. Installation and removal of temporary facilities.
  3. Delivery and processing of submittals.
  4. Progress meetings.
  5. Project closeout activities.
  6. As-Built - coordinate monthly meetings to assure up-dates being performed.

## 1.5 SUBMITTALS

- A. **Coordination Drawings:** Prepare coordination drawings to complete detailed coordination of systems and components and to integrate information about fabrication and installation.
  1. Thoroughly prepare coordination drawings, as further stipulated in Part 3 "Execution", reviewing all contract documents and consulting with all entities contributing to or involved with each portion of the work under consideration.
    - a. Show the relationship of all components shown on any separate Shop Drawings.
    - b. Indicate required desired installation sequences.
    - c. Comply with requirements contained in Division 01 Section 01 33 00 "Submittal Procedures".
  2. Prepare coordination drawings for installation of all products and materials fabricated by separate entities.
  3. **Prepare a Site Logistics Plan(s) showing:** The entire project area and limits; all routes into and out of site; all staging and stockpiling and lay-down areas; all aspects of phasing/staging; all parking, paving and fencing; and all specific provisions to satisfy requirements of Division 01 Sections, including but not limited to Field Engineering and Temporary Facilities and Controls. The Site Logistics Plan shall coincide with and complement the general staging plans and site plans outlined in the contract bidding documents. It is intended that the Contractor shall present this refined plan for approval by the Construction Administrator. The fencing shown on this plan is required for all phases. Exact placement and timing of installations and removals will be reviewed and approved by the Construction Administrator prior to implementation. An additional allotment of various fencing is specified in Division 32, which the Contractor shall provide, install, and relocate at various intervals, for installation and removal by the Contractor per the direction of the project's Construction Administrator. This staging and logistics plan will require refinement and change for each phase/stage of the project. The Site Logistics Plan(s) shall be drawn at a scale no smaller than 1"=40' and shall be submitted as stipulated in Division 01 Section 01 29 76 "Progress Payment Procedures", but in no case later than (30) days after Notice to Proceed.
  4. Prepare coordination drawings showing locations of surface recesses and voids, as well as offsets and breaks, requiring filling and/or feathering, both those initially visible and those discovered during the course of work. Review with Owner and Architect to obtain direction for filling and feathering. Revise drawing(s) to record directions for same for field and record purposes.
- B. **Staff Names:** Prior to the contract start date, submit a list of the Contractor's principal staff assignments, including the superintendent, project safety officer, and other personnel in attendance at the Project Site. Identify individuals and their duties and responsibilities. List their addresses and telephone numbers.
  1. Post copies of the list in the Project meeting room, the temporary field office, and at each temporary telephone.
  2. Provide resumes of each staff member proposed for the Project. This shall include the Project Manager, Project Superintendent and Safety Officer.



**PART 2 - PRODUCTS (Not Applicable)**

**PART 3 - EXECUTION**

**3.1 GENERAL COORDINATION PROVISIONS**

- A. Inspection of Conditions:** The Contractor shall require the Installer of each major component to inspect both the substrate and conditions under which Work is to be performed and coordinate such inspections with the Construction Administrator and authorities having jurisdictions. If unsatisfactory conditions exist notify the Construction Administrator immediately. Do not proceed until unsatisfactory conditions have been corrected in an acceptable manner.
- B.** The Contractor shall coordinate temporary enclosures with required inspections and tests to minimize the necessity of uncovering completed construction for that purpose.
- C. Coordination Drawings:** Before construction work can begin, the Contractor shall submit to the Architect coordination drawings in the form of (a) reproducible (vellum) transparencies at not less than 1/4-inch scale and (b) CAD files of the coordination drawings on CDROM. Such drawings will be required throughout all areas for trades as described below. These drawings shall show resolutions of trade conflicts in congested areas. The Architect will supply base drawings (with the title blocks removed), including floor plans, reflected ceiling plans, and structural framing plans, in the form of electronic CAD files on CDROM, using the AutoCAD release edition specified with the files, to the Contractor for distribution to the trades for use in developing the coordination drawings. Each trade contractor shall create separate layers within the CAD files to show the work of their trade. Prepare coordination drawings as follows:
1. The HVAC subcontractor shall initiate 1/4-inch scale drawings done on AutoCAD (latest version) showing ducts and piping in plan and section. Sheet metal shop drawings must be approved prior to starting coordination drawings.
  2. The Sprinkler subcontractor shall then add layers to superimpose his piping layout on the coordination drawings.
  3. The Electrical subcontractor shall then add layers to superimpose all the electrical information on the coordination drawings. Said information is to include but not necessarily be limited to cable trays, equipment, lighting, conduits, bus duct, etc. Show space allowances reserved for work under other contracts, such as audio-visual wiring and equipment.
  4. The Plumbing subcontractor shall then add layers to complete the coordination drawing by drawing his piping (including pitch) on the coordination drawings.
  5. Subcontractors for specialties, furnishings, equipment and special construction shall add layers to show their work to assure full coordination of all systems.
  6. The Construction Administrator shall review the completed coordination drawings for general compliance and then submit them to the Architect for his review. All subcontractors shall rework the drawings until all systems are properly coordinated.
  7. The Ceiling subcontractor shall utilize the drawings to prepare acoustic panel ceiling drawings and any other suspended ceiling drawings, and shall indicate areas of conflict with the work of other trades by drafting the location of grids, panels and tiles.
  8. The Contractor shall indicate Architectural/Structural conflicts or obstacles and coordinate to suit the overall construction schedule. The Contractor shall locate all precut and prefabricated holes and openings in structural steel on the CAD coordination drawing files as required for HVAC, plumbing, fire protection and electrical work. The Contractor shall coordinate these holes and openings with the structural steel fabricator during the structural steel shop drawing development phase. Coordination to take place on schedule so as to permit shop fabrication of all structural steel holes and openings. The Owner will not be held responsible for the costs associated with field fabrication of structural openings resulting from the lack of timely and thorough coordination.
  9. The Contractor shall expedite all drawing work and coordinate to suit the construction schedule. The Contractor shall then review these drawings and compare them with the Architectural, Structural, Equipment, and other drawings and determine that all of the work can be installed without undue interference. Prior to the submittal to the Architect, areas of potential conflict shall be brought to the attention of the Contractor who shall convene a coordination meeting of all parties involved, for the purpose of resolving all utility conflicts. The Contractor shall supervise and direct corrective measures and have all trades sign acceptance of the drawings. Submit four (4) hard copies of each drawing to the Architect and two (2) copies to the Construction Administrator for the record, and only after all conflicts have been accommodated.

10. If the coordination meeting fails to resolve coordination conflicts, the Contractor shall indicate the nature of such conflicts in a detailed RFI, proposing the most economical solution.
  11. The Contractor shall not permit work by trades to proceed in a given bay or area until all trade foremen agree on the exact arrangements for each room or area. If a given trade proceeds prior to trades approval, then if necessary, that trade shall revise their work, if necessary, at no extra cost, in order to permit other trades to proceed.
  12. Submit all coordination drawings on CD-ROM, in addition to hard copy.
- D. The Construction Administrator will meet with the Contractor on all major items of coordination.

### 3.2 CLEANING AND PROTECTION

- A. Clean and protect construction in progress and adjoining materials in place, during handling and installation. Apply protective covering, where required, to assure protection from damage or deterioration.
- B. Clean and provide maintenance on completed construction as construction per manufacturers requirements through the remainder of the construction period. Adjust and lubricate operable components to assure operability without damaging effects.
- C. Limiting Exposures: Supervise construction operations to assure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period. Where applicable, such exposures include, but are not limited to, the following:
  1. Excessive static or dynamic loading.
  2. Excessive internal or external pressures.
  3. Excessively high or low temperatures.
  4. Thermal shock.
  5. Excessively high or low humidity.
  6. Air contamination or pollution.
  7. Water or ice.
  8. Solvents.
  9. Chemicals.
  10. Light.
  11. Radiation.
  12. Puncture.
  13. Abrasion.
  14. Heavy traffic.
  15. Soiling, staining, and corrosion.
  16. Bacteria.
  17. Rodent and insect infestation.
  18. Combustion.
  19. Electrical current.
  20. High-speed operation.
  21. Improper lubrication.
  22. Unusual wear or other misuse.
  23. Contact between incompatible materials.
  24. Destructive testing.
  25. Misalignment.
  26. Excessive weathering.
  27. Unprotected storage.
  28. Improper shipping or handling.
  29. Theft.
  30. Vandalism.

**PART 2 - PRODUCTS (Not Applicable)**

**PART 3 - EXECUTION (Not Applicable)**

**END OF SECTION 01 31 00**

THIS PAGE INTENTIONALLY LEFT BLANK

## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. This Section specifies administrative and procedural requirements for project meetings, including, but not limited to, the following:
  - 1. **Start Date meeting (establishes start date)**
  - 2. **Pre-construction conferences.**
  - 3. **Pre-installation conferences.**
  - 4. **Progress meetings.**
  - 5. **Safety**
  - 6. **Coordination**
  - 7. **As-built drawings review**
- B. **Related Sections:** The following Sections contain requirements that relate to this Section:
  - 1. Division 01 Section 01 31 00 "Project Management and Coordination" for procedures for coordinating project meetings with other construction activities.
  - 2. Division 01 Section 01 32 16 "Construction Progress Schedules" for requirements for construction scheduling and reporting progress of work.
  - 3. Division 01 Section 01 33 00 "Submittal Procedures" for submitting the Construction Schedule or CPM Schedule.
  - 4. Division 01 Section 01 35 26 "Government Safety Requirements specifies the requirements for safety plans, reports, and investigation submittals.
  - 5. Division 03 Section 03 45 00 "Precast Architectural Concrete" for pre-installation/erection conferences.
  - 6. Division 07 Section 07 50 00 "Membrane Roofing" for pre-construction conferences.

### 1.3 PRE-CONSTRUCTION CONFERENCE

- A. The Contractor will attend a pre-construction conference before starting construction, as scheduled by the Construction Administrator convenient to the Owner, the Construction Administrator, Architect, and Contractor. This meeting will take place at least **fourteen (14)** days prior to official Start Date. Hold the conference at the Project Site or another convenient location as directed by the Construction Administrator. The Construction Administrator shall conduct the Pre-construction Conference to review the Contractor and Subcontractor responsibilities and personnel assignments.
- B. **Attendees:** Authorized representatives of the Construction Administrator, Owner, Architect, and their consultants; the Contractor and its superintendent; major subcontractors; agency; and other concerned parties shall attend the conference. All participants at the conference shall be familiar with the Project and authorized to conclude matters relating to the Work.
- C. **Agenda:** Discuss items of significance that could affect progress, including the following:
  - 1. **Tentative construction schedule.**
  - 2. **Critical work sequencing.**
  - 3. **Progress meeting schedule.**
  - 4. **Designation of responsible personnel.**
  - 5. **Procedures for processing field decisions and Change Orders.**
  - 6. **Procedures for processing Applications for Payment.**
  - 7. **Submittal of Shop Drawings, Product Data, and Samples.**

8. Preparation of record documents.
9. Use of the premises.
10. Parking availability.
11. Office, work, and storage areas.
12. Equipment deliveries and priorities.
13. Safety procedures.
14. Housekeeping.
15. Working hours.

#### 1.4 PRE-INSTALLATION/CONSTRUCTION CONFERENCES

- A. The Contractor will schedule a pre-installation conference(s) at the Project Site before each construction activity that requires coordination with other construction. The Contractor shall be responsible to notify in writing the Construction Administrator and the appropriate Subcontractor(s), etc., of the date and time of all Pre-installation/Construction Conferences. Notification shall be at least seven (7) days, prior to the Conference. The Contractor shall be responsible for coordination and attendance of all Subcontractors, etc., involved in or affected by the installation for all Pre-installation/Construction Conferences.
- B. **Attendees:** The Construction Administrator, Contractor, Subcontractors, Owner and Architect, the installer and representatives of manufacturers and fabricators involved in or affected by the installation, and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. The Contractor shall advise all attendees of the scheduled Pre-installation/Construction Conferences dates.
- C. **Agenda:** Review the progress of other construction activities and preparations for the particular activity under consideration at each Pre-installation/Construction Conference, including but not limited to the following requirements:
  1. Contract Documents.
  2. Related Change Orders.
  3. Purchases.
  4. Deliveries.
  5. Shop Drawings, Product Data, and quality-control samples.
  6. Time schedules.
  7. Weather limitations.
  8. Manufacturer's recommendations.
  9. Warranty requirements.
  10. Temporary facilities.
  11. Space and access limitations.
  12. Governing regulations.
  13. Safety.
  14. Inspecting and testing requirements.
  15. Required performance results.
- D. The Construction Administrator will record significant discussions and agreements and disagreements of each Pre-installation/Construction Conference, and the approved schedule. The Construction Administrator will promptly distribute the record of the Pre-installation/Construction Conference to all attendees.
- E. The Contractor shall not proceed with the installation/construction if the conference cannot be successfully concluded. The Contractor shall be responsible to initiate whatever actions are necessary to resolve impediments to performance of Work and schedule and reconvene another Pre-installation/Construction Conference at the earliest feasible date. Failure of the contractor to resolve impediments to the performance of the work will not result in an extension of days.

## 1.5 PROGRESS MEETINGS

- A. The Construction Administrator will conduct progress meetings, bi-weekly, at the Project Site or at regular intervals as agreed upon at the Pre-construction Conference. The Construction Administrator will notify the Owner, the Architect, and the Contractor of the scheduled Progress Meeting dates. Coordinate dates of Progress Meetings with preparation of Application for Payment requests.
- B. **Attendees:** In addition to representatives of the Contractor, Construction Administrator, Owner and the Architect, subcontractor, supplier, or other entity concerned with current progress or involved in planning, coordination, or performance of future activities may be requested to attend these meetings on an as needed basis. All participants at the meeting shall be familiar with the Project and authorized to conclude matters relating to the Work. The Contractor shall include the site superintendent as a minimum.
- C. **Agenda:** Progress Meetings shall review and correct or approve minutes of the previous Progress Meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to the status of the Project.
1. **Construction Schedule:** Review progress since the last Progress Meeting. Determine where each activity is in relation to the required Contractor's "Construction Schedule" and whether each activity is on time or ahead or behind Schedule. Determine how Work that is behind Schedule will be expedited; secure commitments from parties involved to do so. Discuss whether Schedule revisions are required to insure that current and subsequent activities will be completed within the Contract Time.
  2. Review the present and future needs of each entity present, including the following:
    - a. **Time.**
    - b. **Status of submittals.**
    - c. **Deliveries.**
    - d. **Access.**
    - e. **Temporary facilities and services.**
    - f. **Hours of work.**
    - g. **Hazards and risks.**
    - h. **Housekeeping.**
    - i. **Quality and work standards.**
    - j. **Change Orders.**
    - k. **Documentation of information for payment requests.**
- D. **Reporting:** The Construction Administrator will distribute minutes of the meeting to each party present, promptly and before the next scheduled meeting, and to parties who should have been present.

## 1.6 SUBCONTRACTOR/COORDINATION/SAFETY MEETINGS

- A. The Contractor shall conduct Subcontractor/coordination meetings.
- B. The Contractor shall conduct a separate safety meeting after the safety plan is submitted. The Contractor shall take meeting minutes. These minutes shall be made available upon request. The Contractor shall notify the Construction Administrator of the times and dates of these meetings, who may elect to attend these meetings as an observer when necessary. A minimum of one safety meeting will be held per month.

## PART 2 - PRODUCTS (Not Applicable)

## PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 31 19

THIS PAGE INTENTIONALLY LEFT BLANK



## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for the preparation, submittal, and updating of the Contractor's construction schedules and reporting progress of the Work.
1. Refer to the General Conditions and the Agreement for definitions and specific dates of Contract Time.
- B. This Section includes the following:
1. Format.
  2. Content.
  3. Revisions to schedules.
  4. Submittals.
  5. Distribution.
- C. **Related Sections:** The following Sections contain requirements that relate to this Section:
1. Division 01 Section 01 29 76 "Progress Payment Procedures" specifies requirements for submitting Schedule of Values and Application for Payments.
  2. Division 01 Section 01 31 19 "Project Meetings" specifies requirements for submitting and distributing meeting and conference minutes.
  3. Division 01 Section 01 33 00 "Submittal Procedures" specifies requirements for submitting the Submittal Schedule.
  4. Division 01 Section 01 45 00 "Quality Control" specifies requirements for submitting inspection and test reports.
  5. Division 01 Section 01 60 00 "Product Requirements" specifies requirements for submitting the list of products.

### 1.3 DEFINITIONS

- A. **Construction Schedule:** A method of planning and scheduling a construction project utilizing a horizontal bar chart with a separate bar for each major portion of the Work or operation to make the schedule an effective tool for planning and monitoring the progress of the work.

### 1.4 QUALITY ASSURANCE

- A. **The Contractor's Consultant:** Retain a consultant to provide planning, evaluating, and reporting by CPM scheduling.
1. **In-House Option:** The Owner may waive the requirement to retain a consultant if the Contractor can demonstrate that:
    - a. The Contractor has the computer equipment required to produce construction schedules.
    - b. The Contractor employs skilled personnel with experience in construction scheduling and reporting techniques.
  2. **Program:** Use **Microsoft Project** latest version or Owner approved equal.
  3. **Standards:** Comply with procedures contained in AGC's "Construction Planning & Scheduling."

### 1.5 PRELIMINARY SCHEDULE

- A. Preliminary Gantt schedule is to be prepared by the Contractor and submitted to the Construction Administrator within **seven (7)** days of award of contract. This schedule is to cover all items of Work from the start of the project up to the completion of the project. This schedule must be revised when the actual schedule of significant items varies more than one week from the proposed schedule.

**1.6 CONSTRUCTION SCHEDULE FORMAT**

1. **Format:** Utilize a horizontal bar chart (Gantt) with a separate bar for each major portion of the Work or operation, identifying first work day of each week.
2. **Program:** Use **Microsoft Project**, latest version or Owner approved equal.
3. **Sequence of Listings:** Utilize the Table of Contents of this Project Manual and the chronological order of the start of each item of work.
4. **Scale and Spacing:** Provide space for notations and revisions.
5. **Sheet Size:** To be coordinated with Construction Administrator.
6. **Weather Days Allowance:** The Contractor shall include as a separate identifiable activity on the Critical Path of the Construction Schedule, and activity labeled "Weather Days Allowance." Insert this activity immediately prior to the substantial completion milestone.

**6.1** The Contractor shall be fully responsible for determining the number of weather delay days to be included in the Construction Schedule. This determination shall be based on the normal anticipated weather for the project location and the nature of the project work. The Construction Schedule shall be based on the contractor's determined weather delay allowance. The weather delay activity shall be included in the construction schedule immediately prior to the Substantial Completion milestone.

**6.2** The minimal allowed duration of the Weather Days Allowance shall be calculated as follows (decimals rounded to nearest whole number):

$$\frac{\text{Contract Time (Calendar Days)}}{365} \text{ multiplied by } 7 \text{ equals Weather Days Allowance (Calendar Days)}$$

**6.3** The Contractor shall insert an activity in the Critical Path of the Construction Schedule to reflect weather day occurrences when weather days are experienced and accepted by the Owner. Identify this activity as a weather delay.

**6.4** The Contractor shall reduce duration of Weather Days Allowance activity as weather delays are experienced and inserted into the schedule. Remaining weather days in Weather Day Allowance at completion of project is considered float. Weather delay, when justified, are considered allowable, non compensable.

**1.7 CONTENT**

- A. Show complete sequence of construction by activity, with dates beginning and completion of each element of construction.
- B. Identify each item by specification section numbers.
- C. Identify work of separate phases and other logically grouped activities.
- D. Show accumulated percentages of completion of each item, and total percentage of Work completed, as of the **first** day of each month.
- E. Provide separate schedule of submittal dates for shop drawings, product data, and samples, Owner/Agency furnished products and any products identified as under Allowances, and dates reviewed submittals will be required from Architect/Engineer. Indicate decision dates for selection of finishes.
- F. Indicate delivery dates for Owner/Agency furnished products and any products identified as under Allowances.
- G. Indicate critical path with original baseline indicated.
- H. Coordinate content with Schedule of Values specified in Section 01 29 76 "Progress Payment Procedures."

**1.8 SUBMITTALS AND REVISIONS TO SCHEDULES**

- A. An initial bar graph schedule is to be prepared by the Contractor and submitted to the Construction Administrator. Refer to Article 1.5.
- B. Indicate progress of each activity to date of submittal, and projected completion date of each activity.
- C. Identify activities modified since previous submittal, major changes in scope, and other identifiable changes.
- D. Provide narrative report to define problem areas, anticipated delays, and impact on Schedule. Report corrective action taken, or proposed, and its effect.

- E. Schedules must be revised monthly and when the actual schedule of significant items varies more than **seven (7) days** from the proposed schedule.
- F. Submit revised Construction Schedules for each Application for Payment.
- G. Submit **four (4)** copies of the Construction Schedule to the Construction Administrator.

**1.9 DISTRIBUTION**

- A. Distribute copies of the Construction Schedules to Construction Administrator, Architect, Owner, Subcontractors, suppliers, and other concerned parties.
- B. Instruct recipients to promptly report, in writing, problem anticipated by projections indicated in schedules.

**PART 2 - PRODUCTS (Not Applicable)**

**PART 3 - EXECUTION (Not Applicable)**

**END OF SECTION 01 32 16**

THIS PAGE INTENTIONALLY LEFT BLANK

## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for construction photographs.
- B. **Related Sections:** The following Section contains requirements that relate to construction photographs:
  - 1. Division 01 Section 01 33 00 "Submittal Procedures" specifies general requirements for submitting digital construction photographs.

### 1.3 SUBMITTALS

- A. **Photographs:** Provide a digital camera to take **twenty-four (24)** or more photos each time. Deliver **two (2)** sets of photo files on **one (1)** CD-ROM and **one (1)** set of prints (8x10) to the Construction Administrator for the Department.
- B. **Extra Sets:** When requested by the Owner, the photographer shall prepare extra sets of prints or CD-ROM. The photographer shall distribute these directly to the designated parties who will pay the costs for the extra sets directly to the photographer.

### 1.4 QUALITY ASSURANCE

- A. Engage a qualified commercial photographer to take photographs during construction.
- B. **Photographer's Qualifications:** Photographer shall be an individual of established reputation who has been regularly engaged as a professional photographer for not less than **three (3) years**.

## PART 2 - PRODUCTS

### 2.1 PHOTOGRAPHIC COPIES

- A. On the date the work is begun and every **thirty (30) days** thereafter (until the work is at least 95 percent complete), the Contractor shall have digital photographs of the construction taken by a professional photographer.
- B. **Identification:** Label each CD-ROM with project name and date the photographs were taken. With each submittal provide an applied label, rubber-stamped or index sheet with the following information:
  - 1. **Name of the Project.**
  - 2. **Name and address of the photographer.**
  - 3. **Name of the Architect.**
  - 4. **Name of the Contractor.**
  - 5. **Date the photographs were taken.**
  - 6. **Vantage Point: Description of vantage point, in terms of location, direction (by compass point), and elevation or story of construction.**

## PART 3 – EXECUTION

### 3.1 PRECONSTRUCTION PHOTOGRAPHS

- A. Before starting construction, take digital photos of the site and surrounding properties from different points of view, as selected by the Construction Administrator.
  - 1. Take digital photos in sufficient number to show existing site conditions before starting Work.

2. Take digital photos of adjacent existing buildings either on or adjoining the property in sufficient detail to record accurately the physical conditions at the start of construction.

**3.2 PHOTOGRAPHIC REQUIREMENTS**

- A. Take **twenty-four (24)** or more digital photographs monthly, coinciding with the cutoff date associated with each Application for Payment. The Construction Administrator shall select the vantage points for each shot to best show the status of construction and progress since the last photos were taken.
- B. As the digital photographs are a record of the work progress, they shall be taken each month, whether or not they show work done during the preceding month. Deliver the CD-ROMs and prints within **ten (10) days** of their taking.
- C. Provide and coordinate the use of photographic software to assure that the photos are viewable by all interested parties.

**D. PART 2 - PRODUCTS (Not Applicable)**

**E. PART 3 - EXECUTION (Not Applicable)**

**END OF SECTION 01 32 33**

## **PART 1 - GENERAL**

### **1.1 RELATED DOCUMENTS**

- A.** Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### **1.2 SUMMARY**

- A.** This Section includes administrative and procedural requirements for submittals required for performance of the Work, including but not limited to the following:
  - 1. Submittal schedule.**
  - 2. Shop Drawings.**
  - 3. Product Data.**
  - 4. Samples.**
  - 5. Quality assurance submittals.**
  - 6. Proposed "Substitutions/Equals".**
  - 7. Warrantee samples.**
  - 8. Coordination Drawings.**
  - 9. O & M Manuals**
- B.** Administrative Submittals: Refer to other Division 01 Sections and other Contract Documents for requirements for administrative submittals. Such submittals include, but are not limited to, the following:
  - 1. Permits.**
  - 2. Applications for Payment.**
  - 3. Performance and payment bonds.**
  - 4. Contractor's construction schedule.**
  - 5. Daily construction reports.**
  - 6. Construction Photographs.**
  - 7. Insurance certificates.**
  - 8. List of subcontractors.**
  - 9. Subcontractors/Suppliers FEIN number's and Connecticut tax registration number.**
- C. Related Sections:** The following Sections contain requirements that relate to this Section:
  - 1.** Division 01 Section 01 25 00 "Substitution Procedures" specifies requirements for submittal of requests for equals and substitutions.
  - 2.** Division 01 Section 01 29 76 "Progress Payment Procedures" specifies requirements for submittal of the Schedule of Values.
  - 3.** Division 01 Section 01 31 00 "Project Management and Coordination" specifies requirements governing preparation and submittal of required Coordination Drawings.
  - 4.** Division 01 Section 01 31 19 "Project Meetings" specifies requirements for submittal and distribution of meeting and conference minutes.
  - 5.** Division 01 Section 01 32 16 "Construction Progress Schedules" for requirements for construction scheduling and reporting progress of work.
  - 6.** Division 01 Section 01 32 33 "Photographic Documentation" specifies requirements for submittal of periodic construction photographs.
  - 7.** Division 01 Section 01 35 26 "Government Safety Requirements" specifies the requirements for safety plans, reports, and investigation submittals.
  - 8.** Division 01 Section 01 45 00 "Quality Control" specifies requirements for submittal of inspection and test reports and mockups.

9. Division 01 Section 01 45 23.13 "Testing for Indoor Air Quality (IAQ), Baseline IAQ, and Materials" specifies requirements for submittal of documentation required to support LEED or Green Globes certification.
10. Division 01 Section 01 77 00 "Closeout Procedures" specifies requirements for submittal of Project Record Documents and warranties at project closeout.
11. Division 01 Section 01 78 30 "Warranties and Bonds".
12. Division 01 Section 01 81 13 "Sustainable Design Requirements" specifies requirements for submittal of documentation required to support LEED or Green Globes certification.
13. Division 01 Section 01 91 00 "Commissioning" specifies requirements for submittal of quality assurance documentation related to commissioning.

### 1.3 DEFINITIONS

- A. Coordination Drawings show the relationship and integration of different construction elements that require careful coordination during fabrication or installation to fit in the space provided or to function as intended and as identified in the Specification Divisions 02 through 49.
  1. Preparation of Coordination Drawings is specified in Division 01 Section 01 31 00 "Project Management and Coordination" and may include components previously shown in detail on Shop Drawings or Product Data.
- B. Field samples are full-size physical examples erected on-site to illustrate finishes, coatings, or finish materials. Field samples are used to establish the standard by which the Work will be judged.
- C. Mockups are full-size assemblies for review of construction, coordination, testing, or operation; they are not Samples.

### 1.4 SUBMITTAL PROCEDURES

- A. Coordination: Coordinate preparation and processing of submittals with performance of construction activities. Transmit each submittal sufficiently in advance of performance of related construction activities to avoid delay.
  1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
  2. Coordinate transmittal of different types of submittals for related elements of the Work so processing will not be delayed by the need to review submittals concurrently for coordination.
    - a. The Architect reserves the right to withhold action on a submittal requiring coordination with other submittals until all related submittals are received.
    - b. The Architect reserves the right to reject incomplete submitted packages.
  3. Processing: To avoid the need to delay installation as a result of the time required to process submittals, allow sufficient time for submittal review, including time for re-submittals.
    - a. Allow **fourteen (14) days** for initial review. Allow additional time if the Architect must delay processing to permit coordination with subsequent submittals.
    - b. If an intermediate submittal is necessary, process the same as the initial submittal.
    - c. Allow **fourteen (14) days** for reprocessing each submittal.
    - d. No extension of Contract Time will be authorized because of failure to transmit submittals to the Architect sufficiently in advance of the Work to permit processing.
- B. **Submittal Preparation:** Place a permanent label, title block or **8-1/2 inches x 11 inches** cover page approved by the Architect, on each submittal for identification. Indicate the name of the entity that prepared each submittal on the label or title block.
  1. The minimum number of copies required for each submittal shall be **seven (7)** or as determined otherwise at the pre-construction conference or by the Construction Administrator.
  2. Provide a space approximately **4 inches by 5 inches** on the label, beside the title block or on the cover page on Shop Drawings to record the Contractor's review and approval markings and the action taken.
  3. Include the following information on the label for processing and recording action taken.
    - a. **Project Name and State of Connecticut Project Number.**
    - b. **Date.**
    - c. **Name and address of the Architect, Construction Administrator, and Owner Representative.**



- d. **Name and address of the Contractor.**
  - e. **Name and address of the subcontractor.**
  - f. **Name and address of the supplier.**
  - g. **Name of the manufacturer.**
  - h. **Number and title of appropriate Specification Section.**
  - i. **Drawing number and detail references, as appropriate.**
  - j. **Indicate either initial or resubmittal.**
  - k. **Indicate deviations from Contract Documents.**
  - l. **Indicate if "equal" or "substitution".**
- C. Submittal Transmittal:** Package each submittal appropriately for transmittal and handling. Transmit each submittal from the Contractor to the Architect using a transmittal form. Copy the Construction Administrator on the transmittal. The Architect will return all submittals to the Contractor after action is taken with a complete copy of the submittal package and one complete copy of the submittal package. The Architect will not accept submittals received from sources other than the Contractor.
1. On the transmittal, record relevant information and requests for data. On the form, or separate sheet, record deviations from Contract Document requirements, including variations and limitations. Include Contractor's certification that information complies with Contract Document requirements.

#### 1.6 SUBMITTAL SCHEDULE

- A.** After development and review by the Owner and Architect acceptance of the Contractor's Construction or CPM schedule prepare a complete schedule of submittals. Submit the schedule to the Construction Administrator within **fourteen (14)** days of Contract Award.
1. Coordinate Submittal Schedule with the list of subcontracts, Schedule of Values, and the list of products as well as the Contractor's Construction or CPM Schedule.
  2. Prepare the schedule in chronological order. Provide the following information:
    - a. Schedule date for the initial submittal.
    - b. Related section number.
    - c. Submittal category (Shop Drawings, Product Data, or Samples).
    - d. Name of Subcontractor.
    - e. Description of the part of Work covered.
    - f. Scheduled date for resubmittal.
    - g. Scheduled date for the Architect's final release of approval.
- B. Submittal Schedule:** Submit a schedule of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, ordering, manufacturing, fabrication, and delivery when establishing dates. Include additional time required for making corrections or modifications to submittals noted by the Architect and additional time for handling and reviewing submittals required by those corrections.
1. Coordinate submittal schedule with list of subcontracts, the schedule of values, and Contractor's Construction or CPM Schedule.
  2. **Initial Submittal:** Submit concurrently with start-up construction schedule. Include submittals required during the first 60 days of construction. List those submittals required to maintain orderly progress of the Work and those required early because of long lead time for manufacture or fabrication.
  3. **Final Submittal:** Submit concurrently with the first complete submittal of Contractor's construction schedule.
    - a. Submit revised submittal schedule to reflect changes in current status and timing for submittals.
- C. Coordination:** Coordinate preparation and processing of submittals with performance of construction activities.
1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
  2. Submit all submittal items required for each specification section concurrently unless partial submittals for portions of the Work are indicated on approved submittal schedule.

3. Submit action submittals and informational submittals required by the same specification section as separate packages under separate transmittals.
  4. Coordinate transmittal of different types of submittals for related parts of the Work so processing will not be delayed because of need to review submittals concurrently for coordination.
    - a. **Architect reserves** the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.
- D. Processing Time:** Allow time for submittal review, including time for resubmittals, as follows. Time for review shall commence on **Architect's** receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.
1. **Initial Review:** Allow **fourteen (14)** days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. **Architect** will advise Contractor when a submittal being processed must be delayed for coordination with related submittals not yet received. Additional time will be required if processing must be delayed to permit review of related subsequent submittals.
  2. **Intermediate Review:** If intermediate submittal is necessary, process it in same manner as initial submittal.
  3. **Resubmittal Review:** Allow **fourteen (14)** days for review of each resubmittal.
  4. **Mass Submittals:** **Six (6)** or more submittals in **one (1) day** or **twenty (20)** or more submittals in **one (1) week**. If "Mass Submittals" are received, Architect's review time stated above may be extended as necessary to perform proper review. Architect will review "Mass Submittals based upon priority determined by Architect after consultation with Owner and Contractor.
- E. Distribution:** Following response to the initial submittal, print and distribute copies to the Construction Administrator, Architect, Owner, subcontractors, and other parties required to comply with submittal dates indicated. Post copies in the Project meeting room and field office.
1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in construction activities.
- F. Schedule Updating:** Revise the schedule after each meeting or activity where revisions have been recognized or made. Issue the updated schedule concurrently with the report of each meeting.

#### 1.7 DAILY CONSTRUCTION REPORTS

- A.** Prepare a daily construction report recording the following information concerning events at the site, and submit duplicate copies to the Construction Administrator at weekly intervals:
1. **List of subcontractors at the site.**
  2. **Approximate count of personnel at the site.**
  3. **High and low temperatures, general weather conditions.**
  4. **Accidents and unusual events.**
  5. **Meetings and significant decisions.**
  6. **Stoppages, delays, shortages, and losses.**
  7. **List of equipment on site and identify if idle or in use.**
  8. **Orders and requests of governing authorities.**
  9. **Change Orders received, start and end dates.**
  10. **Equipment or system tests and startups.**
  11. **Substantial Completion's authorized.**
  12. **Equals or Substitutions approved or rejected.**

#### 1.8 SHOP DRAWINGS

- A.** Submit newly prepared information drawn accurately to scale. Highlight, encircle, or otherwise indicate deviations from the Contract Documents. Do not reproduce Contract Documents or copy standard information as the basis of Shop Drawings. Standard information prepared without specific reference to the Project is not a Shop Drawing.
- B.** Shop Drawings include fabrication and installation Drawings, setting diagrams, schedules, patterns, templates and similar Drawings. Include the following information:

1. Dimensions.
  2. Identification of products and materials included by sheet and detail number.
  3. Compliance with specified standards.
  4. Notation of coordination requirements.
  5. Notation of dimensions established by field measurement.
  6. Sheet Size: Except for templates, patterns and similar full-size Drawings, submit Shop Drawings on sheets at least **8-1/2 by 11 inches** but no larger than **36 by 48 inches**.
  7. Submit **one (1)** reproducible media and **seven (7)** prints as directed by the Construction Administrator. The Contractor's submittal shall identify the specification section and/or drawing number applicable to the submittal.
  8. Details shall be large scale and/or full size.
- C. The Contractor shall review the Shop Drawings, stamp with this approval, and submit them with reasonable promptness and in orderly sequence so as to cause no delay in his Work or in the Work of any subcontractor. Shop Drawings shall be properly identified as specified for item, material, workmanship, and project number. At the submission, the Contractor shall inform the Architect, in writing of any deviation in the shop drawings from the requirements of the Contract Documents.
- D. The Architect will review and comment on shop drawings with reasonable promptness so as to cause no delay, but only for conformance with the design concept of the project and with the information given in the Contract Documents. Refer to Article 5 of the General Conditions. Shop Drawings received by the Architect that indicate insufficient study of drawings and specifications, illegible portions or gross errors, will be rejected outright. Such rejections shall not constitute an acceptable reason for granting the Contractor additional time to perform the work.
- E. The Contractor shall make any corrections required by the Architect and shall resubmit the required number of corrected copies of Shop Drawings until fully reviewed.
- F. Upon final review submit **four (4)** additional prints, same as submitted, for use by the Construction Administrator.
- G. The Architect's review and comments on Shop Drawings shall not relieve the Contractor of responsibility for any deviation from the requirements of the Contract Documents.
- H. Only final reviewed Shop Drawings are to be used on the Project site.
- I. The Work installed shall be reviewed in accordance with the Shop Drawings and the drawings and specifications. Final Review of the Shop Drawings by the Architect shall constitute acceptance by the State and the Architect of a variation or departure that is **clearly identified**. If the contractor believes notations made by the A/E increases the value or scope of the CD's, the contractor must provide written notice to the CA within **seven (7)** days of this issue. Final reviewed Shop Drawings shall not replace or be used as a vehicle to issue or incorporate change orders or substitutions. Substitutions shall be submitted in accordance with Division 01 Section 01 25 00 "Substitution Procedures".

#### 1.9 SHOP DRAWINGS FOR FIRE PROTECTION SYSTEMS:

- A. Shop drawings for fire protection systems shall comply with all of the requirements in the section above "Shop Drawings". In addition Sprinkler system shop drawings and hydraulic calculations must be stamped by a professional engineer licensed in the state of Connecticut and must include the DAS/CS project number. **Two (2)** sets of information [as noted in this Section 01 33 00 "Submittal Procedures"] shall be submitted to the State's Insurance Carrier (SIC), and **one (1)** set shall be submitted to the Office of the State Fire Marshal (OSFM):
1. **Office of State Fire Marshal:**  
CT Department of Administrative Services  
Construction Services  
Office of State Fire Marshal  
450 Columbus Boulevard, Suite 1304  
Hartford, Connecticut 06103  
Phone: (860) 713-5750
  2. **State Insurance Carrier (SIC):**  
FM Global Boston Operations  
Plan Review  
1175 Boston-Providence Turnpike

PO Box 9102  
Norwood, MA 02062  
Tel: (781) 440-8241 or FAX (781) 440-8742  
[bostonleadengineer@fmglobal.com](mailto:bostonleadengineer@fmglobal.com)

- B. Before the shop drawings are submitted to SIC or OSFM, the A/E's fire protection consultant must review the sprinkler design for compliance with the code, OSFM, and FM Global requirements.
- C. The State Insurance Carrier requires **two (2)** weeks prior notice of a sprinkler system acceptance test.

**1.10 SHOP DRAWINGS FOR ROOFING SYSTEMS:**

**A. Construction Phase Requirements:** During product submittals and shop drawing review for Roofing Systems the Consultant shall verify FM Global requirements are satisfied for all relevant components. The DAS/CS PM and Construction Administer for the Project shall submit the Contractor's roofing systems product information and shop drawings to the Consultant and FM Global. Shop drawings for roofing systems shall comply with all of the requirements in the section above "Shop Drawings". **Two (2)** sets of information [as noted in this Section 01 33 00 "Submittal Procedures"] shall be submitted to the State's Insurance Carrier (SIC):

**1. State Insurance Carrier (SIC):**

FM Global Boston Operations  
Plan Review  
1175 Boston-Providence Turnpike  
PO Box 9102  
Norwood, MA 02062  
Tel: (781) 440-8241 or FAX (781) 440-8742  
[bostonleadengineer@fmglobal.com](mailto:bostonleadengineer@fmglobal.com)

- B. The State Insurance Carrier requires **two (2)** weeks prior notice of roofing system shop drawing reviews.
- C. See Section **00 30 60 General Statement For FM Global Checklist For Roofing Systems** and **Section 50 60 00 FM Global Checklist for Roofing Systems**.

**1.11 PRODUCT DATA**

**A.** Collect Product Data into a single submittal for each element of construction or system. Product Data includes printed information, schedules, such as manufacturer's installation instructions, catalog cuts, standard color charts, roughing-in diagrams and templates, standard wiring diagrams, and performance curves.

- 1. Mark each copy to show applicable choices and options. Where printed Product Data includes information on several products that are not required, mark copies to indicate the applicable information. Include the following information:
  - a. **Manufacturer's printed recommendations.**
  - b. **Compliance with trade association standards.**
  - c. **Compliance with recognized testing agency standards.**
  - d. **Application of testing agency labels and seals.**
- 2. Do not submit Product Data until compliance with requirements of the Contract Documents has been confirmed.
- 3. **Preliminary Submittal:** Submit a preliminary single copy of Product Data where selection of options is required.
- 4. **Submittals:** Submit **seven (7)** copies of each required submittal; submit **five (5)** copies where required for maintenance manuals. The Architect will retain **one (1)** and will return the other marked with action taken and corrections or modifications required.
  - a. Unless noncompliance with Contract Document provisions is observed, the submittal may serve as the final submittal.
- 5. **Distribution:** Furnish copies of final submittal to installers, subcontractors, suppliers, manufacturers, fabricators, and others required for performance of construction activities. Show distribution on transmittal forms.
  - a. Do not proceed with installation until a copy of Product Data is in the Installer's possession.
  - b. Do not permit use of unmarked copies of Product Data in connection with construction.

**1.12 SAMPLES**

- A.** Submit full-size, fully fabricated Samples cured and finished as specified and physically identical with the material or product proposed. Samples include partial sections of manufactured or fabricated components, cuts or containers of materials, color range sets, and swatches showing color, texture, and pattern.
1. Store, mount or display Samples on site in the manner to facilitate review of qualities indicated. Prepare Samples to match the Architect's sample. Include the following:
    - a. **Specification Section number and reference.**
    - b. **Generic description of the Sample.**
    - c. **Sample source.**
    - d. **Product name or name of the manufacturer.**
    - e. **Compliance with recognized standards.**
    - f. **Availability and delivery time.**
  2. Submit Samples for review of size, kind, color, pattern, and texture. Submit Samples for a final check of these characteristics with other elements and a comparison of these characteristics between the final submittal and the actual component as delivered and installed.
    - a. Where variation in color, pattern, texture, or other characteristic is inherent in the material or product represented, submit at least **three (3)** multiple units that show approximate limits of the variations.
    - b. Refer to other Specification Sections for requirements for Samples that illustrate workmanship, fabrication techniques, details of assembly, connections, operation, and similar construction characteristics.
    - c. Refer to other Sections for Samples to be returned to the Contractor for incorporation in the Work. Such Samples must be undamaged at time of use. On the transmittal, indicate special requests regarding disposition of Sample submittals.
    - d. Samples not incorporated into the Work, or otherwise designated as the Owner's property, are the property of the Contractor and shall be removed from the site prior to Substantial Completion.
  3. **Preliminary Submittals:** Submit a full set of choices where Samples are submitted for selection of color, pattern, texture, or similar characteristics from a range of standard choices, unless otherwise noted in specification section.
    - a. The Architect will review and return preliminary submittals with the Architects notation, indicating selection and other action.
  4. **Submittals:** Except for Samples illustrating assembly details, workmanship, fabrication techniques, connections, operation, and similar characteristics, submit **three (3)** sets. The Architect will return **one (1)** set marked with the action taken.
  5. Maintain sets of Samples, as returned, at the Project Site, for quality comparisons throughout the course of construction.
    - a. Unless noncompliance with Contract Document provisions is observed, the submittal may serve as the final submittal.
    - b. Sample sets may be used to obtain final acceptance of the construction associated with each set.
- B. Distribution of Samples:** Prepare and distribute additional sets to subcontractors, manufacturers, fabricators, suppliers, installers, and others as required for performance of the Work. Show distribution on transmittal forms.
1. Field samples are full-size examples erected on-site to illustrate finishes, coatings, or finish materials and to establish the Project standard.
    - a. Comply with submittal requirements to the fullest extent possible. Process transmittal forms to provide a record of activity.

**1.13 QUALITY ASSURANCE SUBMITTALS**

- A.** Submit quality-control submittals, including design data, certifications, manufacturer's instructions, manufacturer's field reports, and other quality-control submittals as required under other Sections of the Specifications.
- B. Certifications:** Where other Sections of the Specifications require certification that a product, material, or installation complies with specified requirements, submit a notarized certification from the manufacturer certifying compliance with specified requirements.

1. **Signature:** Certification shall be signed by an officer of the manufacturer or other individual authorized to sign documents on behalf of the company.
- C. **Inspection and Test Reports:** Requirements for submittal of inspection and test reports from independent testing agencies are specified in Division 01 Section 01 45 00 "Quality Control."

**1.14 ARCHITECT'S ACTION**

- A. Except for submittals for the record or information, where action and return is required, the Architect will review each submittal, mark to indicate action taken, and return promptly.
  1. Compliance with specified characteristics is the Contractor's responsibility.
- B. **Action Stamp:** The Architect will stamp each submittal with a uniform, action stamp using the program PMWeb. The Architect will mark the stamp appropriately to indicate the following actions, as provided in the PMWeb program:
  1. **Approved:** When the Architect marks a submittal "Approved," the Work covered by the submittal may proceed provided it complies with requirements of the Contract Documents. Final payment depends on that compliance.
  2. **Approved with Comments:** When the Architect marks a submittal "Approved with Comments," the Work covered by the submittal may proceed provided it complies with notations or corrections on the submittal and requirements of the Contract Documents. Submit corrected copies for record. Final payment depends on that compliance.
  3. **Incorporate Comments:** When the Architect marks a submittal "Incorporate Comments" do not proceed with Work covered by the submittal, including purchasing, fabrication, delivery, or other activity. Revise submittal according to the notations; resubmit without delay. Repeat if necessary to obtain different action mark.
    - a. Do not use, or allow others to use, submittals marked "Incorporate Comments" at the Project Site or elsewhere where Work is in progress.
  4. **Rejected:** When the Architect marks a submittal "Rejected," do not proceed with Work covered by the submittal, including purchasing, fabrication, delivery, or other activity. Prepare a new submittal according to the notations; resubmit without delay. Repeat if necessary to obtain different action mark.
    - a. Do not use, or allow others to use, submittals marked "Rejected" at the Project Site or elsewhere where Work is in progress.
  5. **For Information:** Where a submittal is for information or record purposes or special processing or other activity, the Architect will return the submittal marked "For Information."
- C. **Unsolicited Submittals:** The Architect will discard unsolicited submittals without action.

**PART 2 - PRODUCTS (Not Applicable)**

**PART 3 - EXECUTION (Not Applicable)**

**END OF SECTION 01 33 00**

## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including Division 00 General Conditions of the Contract for Construction for Design-Bid-Build and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for performing alteration and renovation Work.
- B. Related Sections: The following Sections contain requirements that relate to this Section:
  - 1. Division 00 Section 00 30 00 "General Statements for Available Information" for information that is available in addition to the Bidding Documents for review by bidders.
  - 2. Division 01 Section 01 31 00 "Project Management and Coordination" for procedures for coordinating cutting and patching with other construction activities.
  - 3. Division 01 Section 01 73 29 "Cutting and Patching" for procedures for cutting and patching.
  - 4. Division 01 Section 01 74 19 "Construction Waste Management & Disposal" for the requirements for waste management goals, waste management plan and waste management plan implementation.
  - 5. Division 02 Section 02 41 19 "Selective Structure Demolition" for demolition of selected portions of the building for alterations.
  - 6. Division 02 Section 02 42 93 "Building Deconstruction" for deconstruction of selected portions of the building for alterations.
  - 7. Division 50 00 00 "Project-Specific Available Information" for information that is referenced in Section 00 30 00 "General Statements for Available Information".
  - 8. Refer to other Sections for specific requirements and limitations applicable to performing alteration Work with individual parts of the Work.
  - 9. Requirements of this Section apply to mechanical and electrical installations. Refer to Division 21, 22, 23 and 26 Sections for other requirements and limitations applicable to renovation Work by mechanical and electrical installations.

## PART 2 - PRODUCTS

### 2.1 PRODUCTS FOR PATCHING AND EXTENDING WORK

- A. New materials: As specified in product sections; match existing Products and Work for patching and extending Work.
- B. Type and Quality of Existing Products: Determine by inspecting and testing Products where necessary, referring to existing Work as a standard.

## PART 3 - EXECUTION

### 3.1 INSPECTION

- A. **General:**
  - 1. Observe all existing conditions prior to submitting a bid. Include in the bid, existing conditions and their impact, particularly to cost and health and safety of workers and occupants, and proper function and operation of the facility. Be aware of other work being performed. Failure to visit the site shall in no way provide relief from the necessity of furnishing materials or performing any work that may be required to complete the work in accordance with the Contract Documents without additional cost to the Owner. All site visits shall be scheduled with the Owner.

2. The quantities, locations and the extent of work indicated are best estimates, which are limited by the physical constraints imposed by occupancy of the facility. Consider all aspects of the substrates within the identified plan area. Material information and quantities were obtained from site surveys. Accordingly, variations (plus or minus 10 percent) in quantities within the limits of the work area are considered as having no impact on contract sum and contract performance period. Where additional abatement work is required beyond the above variations, the contract sum and contract performance period shall be adjusted under provisions of Division 01 of the Specifications.
3. Verify that demolition is complete and areas are ready for installation of new Work.
4. Beginning of restoration Work means acceptance of existing conditions.

### 3.2 PREPARATION

- A. Cut, move, or remove items as are necessary for access to alteration and renovation Work. Replace and restore at completion.
- B. Remove unsuitable material not marked for salvage, such as rotted wood, corroded metals, and deteriorated masonry and concrete. Replace materials as specified for finished Work.
- C. Remove debris and abandoned items from area and from concealed spaces.
- D. Prepare surface and remove surface finishes to provide for proper installation of new Work and finishes.
- E. Close openings in exterior surfaces to protect existing Work from weather and extremes of temperature and humidity. Insulate ductwork and piping to prevent condensation in exposed areas.

### 3.3 INSTALLATION

- A. Coordinate alteration and renovation Work to expedite completion, and if required sequence Work to accommodate Owner occupancy.
- B. Remove, cut and patch Work in a manner to minimize damage and to provide restoring products and finishes to original and or specified condition in accordance with **Section 01 73 29 "Cutting and Patching"**.
- C. Refinish visible existing surfaces to remain in renovated rooms and spaces, to specified condition for each material, with neat transition to adjacent finishes in accordance with **Section 01 73 29 "Cutting and Patching"**.
- D. In addition to specified replacement of **equipment and fixtures, restore existing plumbing and electrical systems** to full operational condition.
- E. Recover and refinish Work that exposes mechanical and electrical Work exposed accidentally during the Work.
- F. Install products as specified in individual specification sections.

### 3.4 TRANSITIONS

- A. Where new Work abuts or aligns with existing, perform a smooth and even transition. Patch work to match existing adjacent Work in texture and appearance.
- B. When finished surfaces are cut so that a smooth transition with new Work is not possible, terminate existing surface along a straight line at a natural line of division and make recommendation to Architect/Engineer.

### 3.5 ADJUSTMENTS

- A. Where removal of partitions or walls result in adjacent spaces becoming one, rework floors, walls, and ceilings to a smooth plane without breaks, steps, or bulkheads.
- B. Where a change of plane of 1/4-inch in (12) inches or more occurs, request recommendation from Architect/Engineer for providing a smooth transition.
- C. Trim existing doors as necessary to clear new floor finish. Refinish trim as required.
- D. Fit Work at penetrations of surfaces as specified in **Section 01 73 29 "Cutting and Patching"**.

### 3.6 REPAIR OF DAMAGED SURFACES

- A. Patch or replace portions of existing surfaces that are damaged, lifted, discolored, or showing imperfections.
- B. Repair substrate prior to patching finishes.



**3.7 FINISHES**

- A. Finish surfaces as specified in individual product specification sections.
- B. Finish patches to produce uniform finish and texture over entire area. When finish cannot be matched, refinish entire surface to nearest intersections.

**3.8 CLEANING**

- A. In addition to cleaning specified in **Section 01 50 00 "Temporary Facilities and Controls"**, clean Agency occupied areas of Work.

**END OF SECTION 01 35 16**

THIS PAGE INTENTIONALLY LEFT BLANK

**PART 1 GENERAL**

**1.1 RELATED DOCUMENTS**

- A.** Construction Documents and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section

**1.2 SUMMARY**

- A.** This guide specification covers construction safety requirements and requirements for the protection of people, property, and resources. It is intended for use in construction, renovation, and demolition projects for the State of Connecticut Department of Administrative Services (DAS) / Construction Services (CS).

- B. Related Sections:** The following Sections contain requirements that relate to this Section:

1. Division 01 Section 01 33 00 Submittal Procedures specifies the requirements for submittal requirements;
2. Division 01 Section 01 31 19 "Project Meetings" specifies requirements for submittal and distribution of meeting and conference minutes.

**1.2 REFERENCES**

- A.** The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

<b>AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)</b> <a href="http://www.asse.org/publications/">www.asse.org/publications/</a>	
ASSE/SAFE A10.32	(2004) Fall Protection
ASSE/SAFE A10.34	(2001; R 2005) Protection of the Public on or Adjacent to Construction Sites
ASSE/SAFE Z359.1	(2007) Safety Requirements for Personal Fall Arrest Systems, Subsystems and Components
<b>AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)</b> <a href="http://www.asme.org/Codes/">www.asme.org/Codes/</a>	
ASME B30.22	(2005) Articulating Boom Cranes
ASME B30.3	(2004) Construction Tower Cranes
ASME B30.5	(2004) Mobile and Locomotive Cranes
ASME B30.8	(2004) Floating Cranes and Floating Derricks
<b>NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)</b> <a href="http://www.nfpa.org/">www.nfpa.org/</a>	
NFPA 10	(2007) Portable Fire Extinguishers
NFPA 51B	(2009) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
NFPA 241	(2004) Safeguarding Construction, Alteration, and Demolition Operations
NFPA 70	(2008) National Electrical Code
NFPA 70E	Standard for Electrical Safety in the Workplace
<b>CODE OF FEDERAL REGULATIONS (CFR)</b> <a href="http://www.archives.gov/federal-register/cfr/">www.archives.gov/federal-register/cfr/</a>	
10 CFR	Standards for Protection Against Radiation
29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1910.28	Safety Requirements For Scaffolding.
29 CFR 1910.146	Permit-required Confined Spaces
29 CFR 1910.147	Control Of Hazardous Energy (Lockout/Tagout)
29 CFR 1910.178	Powered industrial trucks.
29 CFR 1915	Confined and Enclosed Spaces and Other
29 CFR 1926	Safety and Health Regulations for Construction
29 CFR 1926.500	Fall Protection
29 CFR 1926.550	Cranes and Derricks
<b>US Army Core of Engineers (USACE)</b>	

<a href="http://www.iwr.usace.army.mil">www.iwr.usace.army.mil</a>	
EM 385-1-1	Safety, and Health Requirements Manual (2008),

**1.3 SUBMITTALS**

- A. An "O" followed by "A" indicates that the Owner acceptance; submittals not having an "O" designation are for Contractor Quality Control approval.
- B. **Submittal Procedures:**
  - 1. **Preconstruction Submittals, if applicable:**
    - a. Accident Prevention Plan (APP); "O, A";
    - b. Activity Hazard Analysis (AHA); "O, A";
    - c. Crane Critical Lift Plan; "O, A";
    - d. Proof of qualification for Crane Operators; O, A.
  - 2. **Test Reports, if applicable:** Submit reports as their incidence occurs, in accordance with the requirements of the paragraph entitled, "Reports."
    - a. Accident Reports;
    - b. Monthly Exposure Reports;
    - c. Crane Reports;
    - d. Regulatory Citations and Violations;
    - e. Gas Protection.
  - 3. **Certificates, if applicable:**
    - a. Confined Space Entry Permit;
    - b. Hot work permit;
    - c. License Certificates.
    - d. Certificate of Compliance – Crane

**1.4 DEFINITIONS**

- A. **Competent Person.** A competent person is one who is capable of identifying existing and predictable hazards in the surroundings or working conditions which are unsanitary, hazardous, or dangerous to employees, and who has authorization to take prompt corrective measures to eliminate them.
- B. **Competent Person for Fall Protection.** A person who is capable of identifying hazardous or dangerous conditions in the personal fall arrest system or any component thereof, as well as their application and use with related equipment, and has the authority to take prompt corrective measures to eliminate the hazards of falling.
- C. **Confined Space:** A space which by design has limited openings for entry and exit, unfavorable natural ventilation which could contain or produce dangerous air contaminants, and which is not intended for continuous employee occupancy. Confined spaces include, but are not limited to storage tanks, process vessels, pits, silos, vats, degreasers, reaction vessels, boilers, ventilation and exhaust ducts, sewers, tunnels, underground utility vaults, and pipelines.
- D. **High Visibility Accident:** Any mishap which may generate publicity and/or high visibility.
- E. **Medical Treatment;** Medical treatment includes treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even through provided by a physician or registered personnel.
- F. **Operating Envelope:** The area surrounding any crane. Inside this "envelope" is the crane, the operator, riggers and crane walkers, rigging gear between the hook and the load, the load and the crane's supporting structure (ground, rail, etc.).
- G. **Qualified Person for Fall Protection:** A person with a recognized degree or professional certificate and with extensive knowledge, training and experience in the field of fall protection; who is capable of performing design, analysis, and evaluation of fall protection systems and equipment.
- H. **Recordable Injuries or Illnesses:** Any work-related injury or illness that results in:
  - 1. Death, regardless of the time between the injury and death, or the length of the illness;
  - 2. Days away from work (any time lost after day of injury/illness onset);
  - 3. Restricted work;
  - 4. Transfer to another job;

5. Medical treatment beyond first aid;
6. Loss of consciousness; or
7. A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (1) through (6) above.

I. **Weight Handling Equipment (WHE) Accident:** A WHE accident occurs when any one or more of the six elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; and/or collision, including unplanned contact between the load, crane, and/or other objects. A dropped load, derailment, two-blocking, overload and collision are considered an accident even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, roll over, etc.)]

## 1.5 REGULATORY REQUIREMENTS

A. In addition to the detailed requirements included in the provisions of this Section see, **Division 01, Section 01 42 20 "Reference Standards and Definitions"** for other state laws, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, regulations, and referenced documents vary, the most stringent requirements govern.

## 1.6 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

### A. Personnel Qualifications:

### B. Site Safety and Health Officer (SSHO):

1. Provide a Site Safety and Health Officer (SSHO) at the work site at all times to perform safety and occupational health management, surveillance, inspections, and safety enforcement for the Contractor. The Contractor Quality Control (QC) person **can be the SSHO on this project**. Meet the following requirements within the SSHO:

**Level 1:** Worked on similar projects. 10-hour OSHA construction safety class or equivalent within last **three (3) years**. Competent person training as needed.

### E. Crane Operators:

Meet the Crane Operators and Crane Operation requirements of the Connecticut Bureau of License and Permits – Cranes, Department of Administrative Services, Office of State Fire Marshal pursuant to C.G.S § 29-221 through 29-230. Provide proof of current license and qualification. For more information visit the DAS website ([www.ct.gov/DAS](http://www.ct.gov/DAS)) > Licensing, Certification, Permitting and Codes > Cranes, or call **(860) 713-5580 or (860) 713-5529**.

### F. Personnel Duties:

#### 1. Site Safety and Health Officer (SSHO):

- a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily **quality control** report.
- b. Conduct mishap investigations and complete required reports. Maintain the **OSHA Form 300 and Daily Production** reports for prime and sub-contractors. For more information visit the OSHA website at [www.osha.gov](http://www.osha.gov) > Employers > Recordkeeping Requirements and Forms.
- c. Maintain applicable safety reference material on the job site.
- d. Attend the pre-construction conference, pre-work meetings including preparatory inspection meeting, and periodic in-progress meetings.
- e. Implement and enforce accepted APPS and AHAs.
- f. Maintain a safety and health deficiency tracking system that monitors outstanding deficiencies until resolution. Post a list of unresolved safety and health deficiencies on the safety bulletin board.
- g. Ensure sub-contractor compliance with safety and health requirements.

Failure to perform the above duties will result in dismissal of the superintendent and/or SSHO, and a project work stoppage. The project work stoppage will remain in effect pending approval of a suitable replacement.

**G. Meetings:****1. Preconstruction Conference:**

- a. Contractor representatives who have a responsibility or significant role in accident prevention on the project shall attend the preconstruction conference. This includes the project superintendent, site safety and health officer, quality control supervisor, or any other assigned safety and health professionals who participated in the development of the **Accident Prevention Plan (APP)**; (including the **Activity Hazard Analyses (AHAs)**, and special plans, program and procedures associated with it).
- b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the contract. This list of proposed AHAs will be reviewed at the conference and an agreement will be reached between the Contractor and the Owner's Representative(s) as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, review, and acceptance of AHAs to preclude project delays.
- c. Deficiencies in the submitted APP will be brought to the attention of the Contractor at the preconstruction conference, and the Contractor shall revise the plan to correct deficiencies and re-submit it for acceptance. Do not begin work until there is an accepted APP.

**2. Safety Meetings:**

Safety meetings shall be conducted to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent safety and health training and motivation.

- a. Meetings shall be conducted at least once a month for all supervisors on the project location and at least once a week for all workers by supervisors or foremen.
- b. Meetings shall be documented, including the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Documentation shall be maintained and copies furnished to the Construction Administrator (CA) on request.
- c. The Construction Administrator (CA) shall be informed of all scheduled meetings in advance and be invited to attend.

**1.7 ACCIDENT PREVENTION PLAN (APP):****A.** Use a qualified person to prepare the written site-specific APP.

1. Prepare the APP in accordance with the format and requirements of **US Army Core of Engineers (USACE), Safety, and Health Requirements Manual, EM 385-1-1**, or as approved by the CA and as supplemented herein. Cover all paragraphs and subparagraph elements in **USACE EM 385-1-1, Appendix A, "Minimum Basic Outline for Accident Prevention Plan"** or as approved by the CA. The USACE Safety, and Health Requirements Manual, EM 385-1-1 is available at the USACE Website [www.iwr.usace.army.mil](http://www.iwr.usace.army.mil).
2. Specific requirements for some of the APP elements are described in "B" below. The APP shall be job-specific and address any unusual or unique aspects of the project or activity for which it is written.

**B.** The APP shall interface with the Contractor's overall safety and health program. Include any portions of the Contractor's overall safety and health program referenced in the APP in the applicable APP element and made site-specific. The Owner considers the Prime General Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP shall be signed by the person and firm (senior person) preparing the APP, the Contractor, the on-site superintendent, the designated site safety and health officer and any designated Certified Safety Professional (CSP) and/or Certified Industrial Hygienist (CIH).**C.** Submit the APP to the DAS/CS Project Manager and Construction Administrator **Fourteen (14) Calendar Days** prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once accepted by the DAS/CS Project Manager and Construction Administrator, the APP and attachments will be enforced as part of the contract. Disregarding the provisions of this contract or the accepted APP will be cause for stopping of work, at the discretion of the DAS/CS Project Manager and Construction Administrator, until the matter has been rectified. Once work begins, changes to the accepted APP shall be made with the knowledge and concurrence of the DAS/CS Project Manager and Construction Administrator, project superintendent, Site Safety and Health Officer (SSHO) and quality control manager. Should any hazard become evident, stop work in the area, secure the area, and develop

a plan to remove the hazard. Notify the DAS/CS Project Manager and Construction Administrator within **Twenty (24)** hours of discovery. Eliminate/remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by **American Society of Safety Engineers, ASSE/SAFE A10.34 - Protection of the Public on or Adjacent to Construction Sites**, see [www.asse.org](http://www.asse.org)) and the environment.

Copies of the accepted plan will be maintained at the Construction Administrator's office at the job site. Continuously reviewed and amended the APP, as necessary, throughout the life of the contract. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered.

**D. APP Contents:**

The contents of the Accident Prevention Plan (APP) shall be in accordance with **Appendix A** of the US Army Corps of Engineers, **EM 385-1-1 Safety and Health Requirements Manual**, Appendix A, Minimum Basic Outline for Accident Prevention Plans or as approved by the CA. For more information visit the USACE Website at [www.usace.army.mil/Library](http://www.usace.army.mil/Library).

**1.8 ACTIVITY HAZARD ANALYSIS (AHA):** Activity Hazard Analyses (AHAs) define the activities being performed and identify the sequences of work, the specific hazards anticipated, site conditions, equipment, materials, and the control measures to be implemented to eliminate or reduce each hazard to an acceptable level of risk. The Activity Hazard Analysis (AHA) format shall be in accordance with US Army Corps of Engineers, **EM 385-1-1 Safety and Health Requirements Manual** or as approved by the CA.

**A. Submittals:**

1. Submit initial AHA to CA for review at least **15 Calendar Days** prior to the start of each phase. Format subsequent AHAs as amendments to the APP. The analysis should be used during daily inspections to ensure the implementation and effectiveness of the activity's safety and health controls.
2. The AHA list will be reviewed monthly at the Contractor supervisory safety meeting and updated as necessary when procedures, scheduling, or hazards change. Develop the activity hazard analyses using the project schedule as the basis for the activities performed. Any activities listed on the project schedule will require an AHA. The AHAs will be developed by the contractor, supplier or subcontractor and provided to the prime contractor for submittal to the CA.

**1.9 DISPLAY OF SAFETY INFORMATION**

Within **1 Calendar Days** after commencement of work, erect a safety bulletin board at the job site. Include and maintain information on safety bulletin board as required by US Army Corps of Engineers, **EM 385-1-1 Safety and Health Requirements Manual**, Section 01.A.06 or as approved by the CA. Additional items required to be posted include:

- A.** Confined space entry permit.
- B.** Hot work permit.

**1.10 SITE SAFETY REFERENCE MATERIALS**

Maintain safety-related references applicable to the project, including those listed in the article "References." Maintain applicable equipment manufacturer's manuals.

**1.11 EMERGENCY MEDICAL TREATMENT**

Contractors will arrange for their own emergency medical treatment. The Owner has no responsibility to provide emergency medical treatment.

**1.12 REPORTS**

**A. Accident Reports**

1. Conduct an accident investigation for recordable injuries and illnesses, and property damage accidents resulting in at least **Two Thousand Dollars (\$2,000)** in damages, to establish the root cause(s) of the accident, complete "Accident Report Form" approved by the CA. Provide the report to the CA within **5 Calendar Days** of the accident.

**B. Accident Notification**

Notify the CA as soon as practical, but not later than **four hours** after any accident meeting the definition of Recordable Injuries or Illnesses or High Visibility Accidents, property damage equal to or greater than \$2,000, or any weight handling equipment accident.

1. Within notification include the following:
  - a. contractor name;

- b. contract title;
- c. type of contract;
- d. name of activity,
- e. installation or location where accident occurred;
- f. date and time of accident;
- g. names of personnel injured;
- h. extent of property damage, if any; extent of injury, if known, and brief description of accident to include type of construction equipment used, Personal Protective Equipment (PPE) used, etc. Preserve the conditions and evidence on the accident site until the U.S. Department of Labor, Occupational Safety and Health Administration (USDOL-OSHA) investigation team arrives on-site and USDOL-OSHA investigation is conducted.

**C. Monthly Exposure Reports**

Monthly exposure reporting to the CA is required to be attached to the monthly Application for Payment request. This report is a compilation of employee-hours worked each month for all site workers, both prime and subcontractor. Provide on a form approved by the CA.

**D. Crane Reports**

Submit crane inspection reports on a form approved by the CA and as specified herein with Daily Reports of Inspections.

**E. HOT WORK**

Hot Work shall only be performed in accordance with the requirements of **NFPA 51B "Fire Prevention During Welding, Cutting and Other Hot Work Standard.**

**1. Definitions:**

- a. **Hot Work:** Work involving burning, welding, or a similar operation that is capable of initiating fires or explosions. Examples listed by NFPA include arc welding, oxygen- fuel gas welding, open-flame soldering, brazing, thermal spraying, oxygen cutting, and arc cutting.
  - b. **Permit Authorizing Individual (PAI).** Means the individual designated by the General Contractor to authorize hot work. The PAI is permitted to be, among others, the General Contractor's project executive, supervisor, foreperson, or designated safety administrator. The PAI CANNOT be the hot work operator, except as permitted in **NFPA 51B**. The PAI is aware of the fire hazards involved and is familiar with the provisions of this standard.
2. **Permit:** Submit and obtain a written permit from the PAI prior to performing "Hot Work" (welding, cutting, etc.) or operating other flame-producing/spark producing devices, from the PAI. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. The General Contractor will provide at least **two (2)** twenty (20) pound 4A:20 BC rated extinguishers for normal "Hot Work". All extinguishers shall be current inspection tagged, approved safety pin and tamper resistant seal.
3. **Fire Watch:** It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch shall be trained in accordance with **NFPA 51B Standard for Fire Prevention During Welding, Cutting, and Other Hot Work** and remain on-site for a minimum of 30 minutes after completion of the task or as specified on the hot work permit. When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the local fire department emergency phone number(s). ANY FIRE, NO MATTER HOW SMALL, SHALL BE REPORTED TO THE LOCAL FIRE DEPARTMENT, GENERAL CONTRACTOR'S AUTHORIZED REPRESENTATIVE, AND OWNER'S CA IMMEDIATELY.

**1.13 FACILITY OCCUPANCY CLOSURE**

Streets, walks, and other facilities occupied and used by the state User Agency shall not be closed or obstructed without written permission from the CA.

**1.18 SEVERE STORM PLAN**

In the event of a severe storm warning, the Contractor must:

- A. Secure outside equipment and materials and place materials that could be damaged in protected areas.
- B. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.
- C. Ensure that temporary erosion controls are adequate.



**PART 2 PRODUCTS**

NOT USED.

**PART 3 EXECUTION**

**3.1 CONSTRUCTION AND/OR OTHER WORK**

Comply with the Connecticut State Building and Fire Safety Codes, OSHA regulations, and other references regulations. The most stringent standard prevails.

**3.1.2 HAZARDOUS MATERIAL EXCLUSIONS**

Notwithstanding any other hazardous material used in this contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with **USACE EM 385-1-1** such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint are prohibited. The CA, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials.

**3.1.3 UNFORESEEN HAZARDOUS MATERIAL**

A. Related Section: Division 01, Section 01 35 16, Alteration Project Procedures.

**3.2 PRE-OUTAGE COORDINATION MEETING**

Contractors are required to apply for utility outages at least fourteen **(14) Calendar Days** in advance. As a minimum, the request should include the location of the outage, utilities being affected, duration of outage and any necessary sketches. Special requirements for electrical outage requests are contained elsewhere in this specification section. Once approved, and prior to beginning work on the utility system requiring shut down, attend a pre-outage coordination meeting with the CA, User Agency Representative, and Public Utilities representative to review the scope of work and the lock-out/tag-out procedures for worker protection. No work will be performed on energized electrical circuits unless proof is provided that no other means exist.

**3.3 SAFETY LOCKOUT/TAGOUT PROCEDURES**

A. The General Contractor shall ensure that each employee is familiar with and complies with these procedures and **OSHA 29 CFR 1910.147 Control Of Hazardous Energy (Lockout/Tagout)**.

1. The General Contractor's "Authorized Employee" shall apply lockout/tagout tags and take other actions that, because of experience and knowledge, are known to be necessary to make the particular equipment safe to work on.
2. No person, regardless of position or authority, shall operate any switch, valve, or equipment that has an official lockout/tagout tag attached to it, nor shall such tag be removed except as provided in this section.
3. No person shall work on any equipment that requires a lockout/tagout tag unless he, his immediate supervisor, project leader, or a subordinate has in his possession the stubs of the required lockout/tagout tags. Only qualified personnel shall perform work on electrical circuits.
4. A supervisor who is required to enter an area protected by a lockout/tagout tag will be considered a member of the protected group provided he notifies the holder of the tag stub each time he enters and departs from the protected area.
5. Identification markings on building light and power distribution circuits shall not be relied on for established safe work conditions.
6. Before clearance will be given on any equipment other than electrical (generally referred to as mechanical apparatus), the apparatus, valves, or systems shall be secured in a passive condition with the appropriate vents, pins, and locks. Pressurized or vacuum systems shall be vented to relieve differential pressure completely. Vent valves shall be tagged open during the course of the work. Where dangerous gas or fluid systems are involved, or in areas where the environment may be oxygen deficient, system or areas shall be purged, ventilated, or otherwise made safe prior to entry.

**B. Tag Placement**

Lockout/tagout tags shall be completed in accordance with the regulations printed on the back thereof and attached to any device which, if operated, could cause an unsafe condition to exist. If more than one group is to work on any circuit or equipment, the employee in charge of each group shall have a separate set of lockout/tagout tags completed and properly attached. When it is required that certain equipment be tagged, the State of Connecticut Authority Having Jurisdiction will review the characteristics of the various systems involved that affect the safety of the operations and the work to be done; take the necessary actions, including voltage and pressure checks, grounding, and venting, to make the system and

equipment safe to work on; and apply such lockout/tagout tags to those switches, valves, vents, or other mechanical devices needed to preserve the safety provided. This operation is referred to as "Providing Safety Clearance."

**C. Tag Removal**

When any individual or group has completed its part of the work and is clear of the circuits or equipment, the supervisor, project leader, or individual for whom the equipment was tagged shall turn in his signed lockout/tagout tag stub to the Contractor. That group's or individual's lockout/tagout tags on equipment may then be removed on authorization by the Contractor.

**3.4 FALL HAZARD PROTECTION AND PREVENTION PROGRAM**

Establish a fall protection and prevention program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures.

**A. Training**

Institute a fall protection training program. As part of the Fall Hazard Protection and Prevention Program, provide training for each employee who might be exposed to fall hazards. Provide training by a competent person for fall protection in accordance with **USACE EM 385-1-1**, Section 21.A.16.

**B. Fall Protection Equipment and Systems**

Enforce use of the fall protection equipment and systems designated for each specific work activity in the Fall Protection and Prevention Plan and/or AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in **USACE EM 385-1-1**, section 21. In addition to the required fall protection systems, safety skiff, personal floatation devices, life rings etc., are required when working above or next to water in accordance with **USACE EM 385-1-1**, paragraphs 05.H. and 05.I. Personal fall arrest systems are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall arrest systems are required when operating other equipment such as scissor lifts if the work platform is capable of being positioned outside the wheelbase. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, or travel. Fall protection must comply with **OSHA 29 CFR 1926.500**, **Fall Protection**, **Subpart M**, and **ASSE/SAFE A10.32**, **Fall Protection**.

**1. Personal Fall Arrest Equipment**

Personal fall arrest equipment, systems, subsystems, and components shall meet **ASSE/SAFE Z359.1**, **Safety Requirements for Personal Fall Arrest Systems, Subsystems and Components**. Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. Body belts may only be used as a positioning device system (for uses such as steel reinforcing assembly and in addition to an approved fall arrest system). Harnesses shall have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Only locking snap hooks and carabiners shall be used. Webbing, straps, and ropes shall be made of synthetic fiber. The maximum free fall distance when using fall arrest equipment shall not exceed **1.8 m 6 feet**. The total fall distance and any swinging of the worker (pendulum-like motion) that can occur during a fall shall always be taken

**2. Fall Protection for Roofing Work**

Implement fall protection controls based on the type of roof being constructed and work being performed. Evaluate the roof area to be accessed for its structural integrity including weight-bearing capabilities for the projected loading.

**a. Low Sloped Roofs:**

**(i)** For work within 6 feet (6 feet (1.8 m) of an edge, on low-slope roofs, Protect personnel from falling by use of personal fall arrest systems, guardrails, or safety nets.

**(ii)** For work greater than (6 feet (1.8 m) from an edge, erect and install warning lines in accordance with **OSHA 29 CFR 1926.500**, **Fall Protection**.

**b. Steep-Sloped Roofs:** Work on steep-sloped roofs requires a personal fall arrest system, guardrails with toe-boards, or safety nets. This requirement also includes residential or housing type construction.

**3. Existing Anchorage**

Certified (or re-certified) by a qualified person for fall protection existing anchorages, to be used for attachment of personal fall arrest equipment in accordance with **ASSE/SAFE Z359.1**, **Safety**

**Requirements for Personal Fall Arrest Systems, Subsystems and Components.** Exiting horizontal lifeline anchorages must be certified (or re-certified) by a registered professional engineer with experience in designing horizontal lifeline systems.

**4. Horizontal Lifelines**

Design, install, certify and use under the supervision of a qualified person horizontal lifelines for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (**OSHA 29 CFR 1926.500 Fall Protection**).

**5. Guardrails and Safety Nets**

Design, install and use guardrails and safety nets in accordance with **29 CFR 1926, Safety and Health Regulations for Construction Subpart M**.

**6. Rescue and Evacuation Procedures**

When personal fall arrest systems are used, the contractor must ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the Activity Hazard Analysis (AHA) for the phase of work, in the Fall Protection and Prevention (FP&P) Plan, and the Accident Prevention Plan (APP).

**3.5 SCAFFOLDING**

**A.** The Contractor shall provide all employees with a safe means of access to the work area on the scaffold in accordance with **OSHA 29 CFR 1910.28 Safety Requirements For Scaffolding** and as contained in this section.

1. Climbing of any scaffold braces or supports not specifically designed for access is prohibited.
2. Access scaffold platforms greater than 20 feet (6 m) maximum in height by use of a scaffold stair system.
3. Do not use vertical ladders commonly provided by scaffold system manufacturers for accessing scaffold platforms greater than 20 feet (6 m) maximum in height.
4. The use of an adequate gate is required.
5. Ensure that employees are qualified to perform scaffold erection and dismantling.
6. Do not use scaffold without the capability of supporting at least four times the maximum intended load or without appropriate fall protection as delineated in the accepted fall protection and prevention plan.
7. Stationary scaffolds must be attached to structural building components to safeguard against tipping forward or backward.
8. Give special care to ensure scaffold systems are not overloaded. Side brackets used to extend scaffold platforms on self-supported scaffold systems for the storage of material are prohibited.
9. The first tie-in shall be at the height equal to 4 times the width of the smallest dimension of the scaffold base. Place work platforms on mud sills. Scaffold or work platform erectors shall have fall protection during the erection and dismantling of scaffolding or work platforms that are more than six feet. Delineate fall protection requirements when working above six feet or above dangerous operations in the Fall Protection and Prevention (FP&P) Plan and Activity Hazard Analysis (AHA) for the phase of work.

**B. Stilts**

The use of stilts for gaining additional height in construction, renovation, repair or maintenance work is **PROHIBITED**.

**3.6 EQUIPMENT**

**A. Material Handling Equipment**

Material Handling Equipment shall be in accordance with **OSHA 29 CFR 1910.178 Powered Industrial Trucks** and as contained in this section.

1. Material handling equipment such as forklifts shall not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions.
2. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions.
3. Operators of forklifts or power industrial trucks shall be licensed in accordance with OSHA.

**B. Weight Handling Equipment**

1. Equip cranes and derricks as specified in **ASME B30.5** or **ASME B30.22** or **ASME B30.8** as applicable.
2. Comply with the crane manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in **ASME B30.5**). Perform all testing in accordance with the manufacturer's recommended procedures.
3. Comply with **ASME B30.5** for mobile and locomotive cranes, **ASME B30.22** for articulating boom cranes, **ASME B30.3** for construction tower cranes, and **ASME B30.8** for floating cranes and floating derricks.
4. Under no circumstance shall a Contractor make a lift at or above 90% of the cranes rated capacity in any configuration.
5. When operating in the vicinity of overhead transmission lines, operators and riggers shall be alert to this special hazard and follow the requirements of **ASME B30.5** or **ASME B30.22** as applicable.
6. Do not crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane.
7. Inspect, maintain, and recharge portable fire extinguishers as specified in **NFPA 10, Standard for Portable Fire Extinguishers**.
8. All employees must keep clear of loads about to be lifted and of suspended loads.
9. Use cribbing when performing lifts on outriggers.
10. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.
11. A physical barricade must be positioned to prevent personnel from entering the counterweight swing (tail swing) area of the crane.
12. Certification records which include the date of inspection, signature of the person performing the inspection, and the serial number or other identifier of the crane that was inspected shall always be available for review by CA.
13. Written reports listing the load test procedures used along with any repairs or alterations performed on the crane shall be available for review by CA.
14. Certify that all crane operators have been trained in proper use of all safety devices (e.g. anti-two block devices).

**C. USE OF EXPLOSIVES**

Explosives shall not be used or brought to the project site without prior written approval from the CA. Such approval shall not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations. Storage of explosives, when permitted on State property, shall be only where directed and in approved storage facilities. These facilities shall be kept locked at all times except for

inspection, delivery, and withdrawal of explosives. Explosive work shall be performed in accordance with the requirements of C.G.S. § 29-343 through 29-355 and as required by the Office of State Fire Marshal, CT Department of Construction Services.

**3.7 EXCAVATIONS**

**A.** Perform soil classification by a competent person in accordance with **29 CFR 1926 Safety and Health Regulations for Construction**.

**1. Utility Locations**

All underground utilities in the work area must be positively identified by and coordinated in accordance with **Division 00, General Conditions, Article 18 Surveys, Permits, And Regulations**. All underground utilities in the work area must be positively identified by a private utility locating service and coordinated with the public utility company. Any markings made during the utility investigation must be maintained by the General Contractor throughout the contract.

**2. Utility Location Verification**

The Contractor must physically verify underground utility locations by hand digging using wood or fiberglass handled tools when any adjacent construction work is expected to come within three feet of the underground system. Digging within **Two (2) feet** of a known utility must not be performed by means of mechanical equipment; hand digging shall be used. If construction is parallel to an existing utility expose the utility by hand digging every **100 feet** if parallel within **Five (5) feet** of the excavation.

**3. Shoring Systems**

Trench and shoring systems must be identified in the accepted safety plan and AHA. Manufacture tabulated data and specifications or registered engineer tabulated data for shoring or benching systems shall be readily available on-site for review. Job-made shoring or shielding must have the registered professional engineer stamp, specifications, and tabulated data. Extreme care must be used when excavating near direct burial electric underground cables.

**4. Trenching Machinery**

Operate trenching machines with digging chain drives only when the spotters/laborers are in plain view of the operator. Provide operator and spotters/laborers training on the hazards of the digging chain drives with emphasis on the distance that needs to be maintained when the digging chain is operating. Keep documentation of the training on file at the project site.

**3.8 UTILITIES WITHIN CONCRETE SLABS**

**A.** Utilities located within concrete slabs or pier structures, bridges, and the like, are extremely difficult to identify due to the reinforcing steel used in the construction of these structures. Whenever contract work involves concrete chipping, saw cutting, or core drilling, the existing utility location must be coordinated with utility company in addition to a private locating service. Outages to isolate utility systems must be used in circumstances where utilities are unable to be positively identified. The use of historical drawings does not alleviate the contractor from meeting this requirement.

**3.9 ELECTRICAL**

**A. Conduct of Electrical Work**

Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Positive cable identification must be made prior to submitting any outage request for electrical systems. Arrangements are to be coordinated with the CA and utility company for identification. The CA will not accept an outage request until the Contractor satisfactorily documents that the circuits have been clearly identified. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator will be allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method. When working in energized substations, only qualified electrical workers will be permitted to enter. When work requires Contractor to work near energized circuits as defined by the **NFPA 70**, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety shoes, insulating gloves with leather protective sleeves, fire retarding shirts, coveralls, face shields, and safety glasses. In addition, provide electrical arc flash protection for personnel as required by **NFPA 70E**. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA.

**B. Portable Extension Cords**

Size portable extension cords in accordance with manufacturer ratings for the tool to be powered and protected from damage. Immediately remove from service all damaged extension cords. Portable extension cords shall meet the requirements of **NFPA 70**.

**3.10 WORK IN CONFINED SPACES**

- A.** Comply with the requirements in **OSHA 29 CFR 1910.146** and **OSHA 29 CFR 1926.21(b) (6)**. Any potential for a hazard in the confined space requires a permit system to be used.
1. Entry Procedures. Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. All hazards pertaining to the space shall be reviewed with each employee during review of the AHA.
  2. Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its' action level.
  3. Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

**END OF SECTION 01 35 26**

## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### 1.2 DEFINITIONS

- A. **General:** Basic contract definitions are included in the General Conditions of the Contract for Construction.
- B. **"Indicated":** The term "indicated" refers to graphic representations, notes, or schedules on the Drawings, or other paragraphs or Schedules in the Specifications, and similar requirements in the Contract Documents. Terms such as "shown," "noted," "scheduled," and "specified" are used to help the reader locate the reference. Location is not limited to this term.
- C. **"Directed":** Terms such as "directed," "requested," "authorized," "selected," "approved," "required," and "permitted" mean directed by the Architect, requested by the Architect, and similar phrases.
- D. **"Approved":** The term "approved," when used in conjunction with the Architect's action on the Contractor's submittals, applications, and requests, is limited to the Architect's duties and responsibilities as stated in the Conditions of the Contract.
- E. **"Regulations":** The term "regulations" includes laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, as well as rules, conventions, and agreements within the construction industry that control performance of the Work.
- F. **"Furnish":** The term "furnish" means supply and deliver to the Project Site, ready for unloading, unpacking, assembly, installation, and similar operations.
- G. **"Install":** The term "install" describes operations at the Project Site including the actual unloading, unpacking, assembly, erecting, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning, and similar operations.
- H. **"Provide":** The term "provide" means to furnish and install, complete and ready for the intended use.
- I. **"Installer":** An installer is the Contractor or another entity engaged by the Contractor, either as an employee, subcontractor, or contractor of lower tier, to perform a particular construction activity, including installation, erection, application, or similar operations. Installers are required to be experienced in the operations they are engaged to perform.
  - 1. The term **"experienced,"** when used with the term **"installer,"** means having a minimum of **five (5)** previous projects similar in size and scope to this Project, being familiar with the special requirements indicated, and having complied with requirements of authorities having jurisdiction.
  - 2. **Trades:** Using terms such as "carpentry" does not imply that certain construction activities must be performed by accredited or unionized individuals of a corresponding generic name, such as "carpenter." It also does not imply that requirements specified apply exclusively to tradespersons of the corresponding generic name.
  - 3. **Assigning Specialists:** Certain Sections of the Specifications require that specific construction activities shall be performed by specialists who are recognized experts in those operations. The specialists must be engaged for those activities, and their assignments are requirements over which the Contractor has no option. However, the ultimate responsibility for fulfilling contract requirements remains with the Contractor.
    - a. This requirement shall not be interpreted to conflict with enforcing building codes and similar regulations governing the Work. It is also not intended to interfere with local trade-union jurisdictional settlements and similar conventions.
- J. **"Project Site"** is the space available to the Contractor for performing construction activities, either exclusively or in conjunction, with others performing other Work as part of the Project. The extent of the Project Site is shown on the Drawings and may or may not be identical with the description of the land on which the Project is to be built.
- K. **"Testing Agencies":** A testing agency is an independent entity engaged to perform specific inspections or tests, either at the Project Site or elsewhere, and to report on and, if required, to interpret results of those inspections or tests.

### 1.3 SPECIFICATION FORMAT AND CONTENT EXPLANATION

- A. **Specification Format:** These Specifications are organized into Divisions and Sections based on CSI's "MasterFormat" 49-Division format and numbering system.
- B. **Specification Content:** This Specification uses certain conventions regarding the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations or circumstances. These conventions are explained as follows:
  - 1. **Abbreviated Language:** Language used in Specifications and other Contract Documents is abbreviated. Words and meanings shall be interpreted as appropriate. Words implied, but not stated, shall be interpolated, as the sense requires. Singular words will be interpreted as plural and plural words interpreted as singular where applicable as the context of the Contract Documents indicates.
  - 2. **Streamlined Language:** The Specifications generally use the imperative mood and streamlined language. Requirements expressed in the imperative mood are to be performed by the Contractor. At certain locations in the Text, subjective language is used for clarity to describe responsibilities that must be fulfilled indirectly by the Contractor or by others when so noted.
    - a. The words "**shall be**" are implied where a colon (:) is used within a sentence or phrase.

### 1.4 INDUSTRY STANDARDS

- A. **Applicability of Standards:** Except where the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.
- B. **Publication Dates:** Comply with the standards in effect as of the date of the Contract Documents unless a specific date is indicated in the Contract Documents or the governing regulations cited herein.
- C. **Conflicting Requirements:** Where compliance with **two (2)** or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent and highest quality requirement. Request a decision from the Architect before proceeding on requirements that are different but apparently equal, and where it is uncertain which requirement is the most stringent.
  - 1. **Minimum Quantity or Quality Levels:** The quantity or quality level shown or specified shall be the minimum acceptable. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of the requirements. Request a clarification from the Architect regarding uncertainties before proceeding.
- D. **Copies of Standards:** Each entity engaged in construction on the Project is required to be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.
  - 1. Where copies of standards are needed to perform a required construction activity, the Contractor shall obtain copies directly from the publication source.
- E. **Abbreviations and Names:** Trade association names and titles of general standards are frequently abbreviated. Where such acronyms or abbreviations are used in the Specifications or other Contract Documents, they mean the recognized name of the trade association, standards-generating organization, authorities having jurisdiction, or other entity applicable to the context of the text provision. Refer to Thompson Gale's "Encyclopedia of Associations," available in most libraries.

### 1.5 GOVERNING REGULATIONS AND AUTHORITIES

- A. **Copies of Regulations:** Obtain copies of the "**latest applicable State Codes**" and the following regulations and retain at the Project Site to be available for reference by parties who have a reasonable need during submittals, planning, and progress of the Work, until Substantial Completion.
  - 1. Connecticut State Building Code.
    - 1.1 CT Supplement.
    - 1.2 CT Amendments.
    - 1.3 International Building Code.
    - 1.4 International Existing Building Code.
    - 1.5 International Mechanical Code.
    - 1.6 International Plumbing Code.



- 1.7 International Energy Conservation Code.
  - 1.8 National Electric Code (NFPA 70).
  - 1.9 ICC/ANSI A117.1-Accessible and Usable Buildings and Facilities.
  2. Connecticut Fire Safety Code.
    - 2.1 CT Supplement -.
    - 2.2 CT Amendments .
    - 2.3 International Fire Safety Code.
    - 2.4 NFPA 101.
  3. Connecticut Fire Prevention Code.
    - 3.1 NFPA 1.
  4. Occupational Safety and Health Administration (OSHA)
    - 4.1 OSHA 29 CFR Part 1910 Occupational Safety and Health Regulations.
    - 4.2 OSHA 29 CFR Part 1926 Occupational Safety and Health Regulations for Construction.
- B.** The “**latest applicable State Codes**” are available for download from the DAS website ([www.ct.gov/das](http://www.ct.gov/das)) > Doing Business With The State > State Building Construction > Publications and Forms > Office of State Building Inspector *and* Office of State Fire Marshal. Also visit the [www.ctdol.state.ct.us](http://www.ctdol.state.ct.us) Connecticut Department of Labor website.

**1.6 SUBMITTALS**

- A. Permits, Licenses, and Certificates:** For the Owner's records, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents.

**PART 2 – PRODUCTS (Not Applicable)**

**PART 3 – EXECUTION (Not Applicable)**

**END OF SECTION 01 42 20**

THIS PAGE INTENTIONALLY LEFT BLANK

---

**PART 1 - GENERAL**

**1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. This Section includes administrative and procedural requirements for quality-control services.
- B. Quality-Control services include fire alarm acceptance testing, inspections, tests, and related actions, including reports performed by Contractor, by independent agencies, and by governing authorities. They do not include contract enforcement activities performed by the Owner.
- C. Inspection and testing services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with Contract Document requirements.
- D. Requirements of this Section relate to customized fabrication and installation procedures, not production of standard products.
  - 1. Specific quality-control requirements for individual construction activities are specified in the Sections that specify those activities. Requirements in those Sections may also cover production of standard products.
  - 2. Specified inspections, tests, and related actions do not limit Contractor's quality-control procedures that facilitate compliance with Contract Document requirements.
  - 3. Requirements for Contractor to provide quality-control services required by Architect, Owner, or authorities having jurisdiction are not limited by provisions of this Section.
- E. Related Sections: The following Sections contain requirements that relate to this Section:
  - 1. Division 01 Section 01 33 00 "Submittal Procedures" specifies requirements for development of a schedule of required tests and inspections.
  - 2. Division 01 Section 01 73 29 "Cutting and Patching" specifies requirements for repair and restoration of construction disturbed by inspection and testing activities.
  - 3. Division 01 Section 01 77 00 "Closeout Procedures", specific requirements for contract closeout procedures.
  - 4. Division 28 Section 28 31 00 "Fire Detection and Alarm" specifies field quality control for the Alarm System.

**1.3 RESPONSIBILITIES**

- A. Contractor Responsibilities: Unless otherwise indicated as the responsibility of another identified entity, the Owner, through the Construction Administrator, shall provide inspections, tests, and other quality-control services specified elsewhere in the Contract Documents and required by authorities having jurisdiction. All tests required by the individual specification sections are required to be scheduled and notification given to the Construction Administrator **24** hours in advance of the test/inspection as applicable. Costs for these services are not included in the Contract Sum.
  - 1. Where individual Sections specifically indicate that certain inspections, tests, and other quality-control services are the Contractor's responsibility, the Contractor shall employ and pay a qualified independent testing agency to perform quality-control services. Costs for these services are included in the Contract Sum.
  - 2. Where individual Sections specifically indicate that certain inspections, tests, and other quality-control services are the Owner's responsibility, the Owner will employ and pay a qualified independent testing agency to perform those services.
    - a) Such services include Special Inspections as required by the latest edition of the "Connecticut State Building Code".
    - b) Where the Owner has engaged a testing agency for testing and inspecting part of the Work, and the Contractor is also required to engage an entity for the same or related element, the Contractor shall not employ the entity engaged by the Owner. The Owner will engage the services of a qualified Special Inspector for this project. The Special Inspector, as a representative of the Owner, shall

- document and confirm compliance with the provisions of the Connecticut State Building Code for Special Inspections.
- c) Materials and assemblies for this project will be tested and construction operations inspected as the work progresses. Failure to detect any defective work or material shall not in any way prevent later rejection when such defect is discovered nor shall it obligate the State for final acceptance.
  - d) The Owner's use of testing and inspection services shall in no way relieve the Contractor of the responsibility to furnish materials and finished construction in full compliance with the Contract Documents and the Connecticut State Building Code.
- B. Retesting: The Contractor is responsible for retesting where results of inspections, tests, or other quality-control services prove unsatisfactory and indicate noncompliance with Contract Document requirements, regardless of whether the original test was Contractor's responsibility.
- 1. The cost of retesting construction, revised or replaced by the Contractor, is the Contractor's responsibility where required tests performed on original construction indicated non-compliance with Contract Document requirements.
  - 2. The Owner will issue a credit change order to cover all costs incurred related to all re-tests/re-inspections due to non-compliance to the Contract Documents, including but not limited to the Owner's costs and the Consultant's costs.
- C. Associated Services: Cooperate with agencies performing required inspections, tests, and similar services, and provide reasonable auxiliary services as requested. Notify the Agency sufficiently in advance of operations to permit assignment of personnel. Auxiliary services required include, but are not limited to, the following:
- 1. *Provide access to the Work.*
  - 2. *Furnish incidental labor and facilities necessary to facilitate inspections and tests.*
  - 3. *Take adequate quantities of representative samples of materials that require testing or assist the agency in taking samples.*
  - 4. *Provide facilities for storage and curing of test samples.*
  - 5. *Deliver samples to testing laboratories, if needed.*
  - 6. *Provide an approved design mix proposed for use for material mixes that require control by the testing agency.*
  - 7. *Provide security and protection of samples and test equipment at the Project Site.*
- D. Duties of the Testing Agency: The independent testing agency engaged to perform inspections, sampling, and testing of materials and construction specified in individual Sections shall cooperate with the Construction Administrator, Architect and the Contractor in performance of the testing agency's duties. The testing agency shall provide qualified personnel to perform required inspections and tests.
- 1. The testing agency shall notify the Construction Administrator and the Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
  - 2. The testing agency is not authorized to release, revoke, alter, or enlarge requirements of the Contract Documents or approve or accept any portion of the Work.
  - 3. The testing agency shall not perform any duties of the Contractor.
- E. Owner will pay for the services of an independent testing agency laboratory to perform inspections, tests and other services required by the Specifications except as noted below, listed for which the Owner will issue a deduct change order to cover the cost associated with these tests:
- 1. When the Contractor notifies the Construction Administrator and/or Testing Agency less than 24 hours before the expected time of testing.
  - 2. When the Contractor requires testing for his own convenience.
  - 3. When the Contractor schedules a test and is not ready for the required test.
- F. Submit reports of tests that are part of the submittal requirements which indicate compliance or non-compliance with the specified standard.
- G. See also General Conditions Article 16 "Inspections & Tests".
- H. Fire Alarm/Acceptance Testing Procedures:**

1. For **all** buildings (exceeding the threshold limit and not exceeding the threshold limit), the fire alarm testing shall be as the authority having jurisdiction shall dictate. This will be as determined by the Office of the State Fire Marshal (OSFM), and shall include, but not be limited to, the requirements as set below:
  - a. Protective Signaling Systems: All protective signaling systems shall meet with acceptance testing requirements of the applicable standards.
  - b. Prior Test Notification: At least **five (5)** working days prior to testing, the Fire Alarm Contractor shall notify (in writing) the following people of the proposed date the acceptance tests are to be performed (Also, see Part 2 of Certificate of Compliance).
    - Department of Administrative Services – OSFM Representative
    - General Contractor
    - Engineer of Record
    - Equipment Supplier Representative
    - Sprinkler Contractor
  - c. **Certificates of Compliance:**
    - 1) A Fire Alarm System Inspection and Testing Certification and Description form shall be prepared for each system per latest regulations.
    - 2) Parts 1 and 3 through 9, shall be completed after the system is installed and the installation of the wiring has been checked. Every alarm device must also be pre-tested to ensure proper operation and correct annunciation at each remote annunciator and control panel. Part 1 of the form (Certification of System Installation) shall be signed by the fire alarm contractor. The signed and completed preliminary copies of the Certification form shall be forwarded to all parties along with the Prior Test Notification.
    - 3) Part 2, of each applicable form, shall be completed after the operational tests have been completed.
    - 4) After the completion of the operational acceptance tests and sign-off of test witness (with stipulations noted), final copies of the Certificates shall be forwarded to the Department of Construction Services Representatives.
  - d. **Tests:**
    - 1) All tests shall be conducted in accordance with the Manufacturer's Testing Recommendations.
    - 2) All testing equipment, apparatus (i.e. sound level decibel meter, 2-way radio communication, test devices, ladders, tools, lighting, etc.) and personnel shall be supplied by the Fire Alarm Contractor and Sprinkler Contractor.
  - e. **System Documentation:** Every system shall include the following documentation, which shall be delivered to the Department of Construction Services Representatives upon final acceptance of the system. An owner's manual or manufacturer's installation instructions covering all system equipment, including the following:
    - 1) A detailed narrative description of the system inputs, evacuation signaling, ancillary functions, annunciation, intended sequence of operations, expansion capability, application considerations, and limitations.
    - 2) Operator's instructions for basic systems operations including alarm acknowledgment, system reset, interpreting system output (LED's CRT display, and printout), operation of manual evacuation signaling and ancillary function controls, changing printer paper, etc.
    - 3) A detailed description of routine maintenance and testing as required and recommended and as would be provided under a maintenance contract, including testing and maintenance instructions for each type of device installed. This information should include:
      - (a) A listing of individual system components that require periodic testing and maintenance.
      - (b) Step by step instructions detailing the requisite testing and maintenance procedures and the intervals at which those procedures should be performed.
      - (c) A schedule that correlates the testing and maintenance procedures required by paragraph (2) above and with the listing required by paragraph (1) above.

- 4) Detailed troubleshooting instructions for each type of trouble condition recognized by the system, including opens, grounds, parity errors, "loop failures," etc. These instructions should include a list of all trouble signals, and step by step instructions describing how to isolate those problems and correct them (or call for service as appropriate).
- 5) A service directory, including a list of names and telephone numbers for those who should be called to service the system.

**f. As-Built Drawings:**

- 1) The Contractor will produce two (2) sets of as-built drawings and specifications for the fire alarm system, indicating the location (and programmed address, if applicable) of all devices and appliances, the wiring sequences, wiring methods, connection of the components, and sequence of operation of the protective signaling system as installed, shall be given to the Department of Construction Services representatives. This shall be in Accordance with NFPA 72]. Refer also to Section 01 77 00 "Closeout Procedures".

**1.4 SUBMITTALS**

- A. Unless the Contractor is responsible for this service, the independent testing agency shall submit a certified written report, in duplicate, of each inspection, test, or similar service to the Construction Administrator. If the Contractor is responsible for the service, submit a certified written report, in duplicate, of each inspection, test, or similar service through the Contractor.
1. Submit additional copies of each written report directly to the governing authority, when the authority so directs.
  2. Report Data: Written reports of each inspection, test, or similar service include, but are not limited to, the following:
    - a. Date of issue.
    - b. Project title and number.
    - c. Name, address, and telephone number of testing agency.
    - d. Dates and locations of samples and tests or inspections.
    - e. Names of individuals making the inspection or test.
    - f. Designation of the Work and test method.
    - g. Identification of product and Specification Section.
    - h. Complete inspection or test data.
    - i. Test results and an interpretation of test results.
    - j. Ambient conditions at the time of sample taking and testing.
    - k. Comments or professional opinion on whether inspected or tested Work complies with Contract Document requirements.
    - l. Name and signature of laboratory inspector.
    - m. Recommendations on re-testing.

**1.5 QUALITY ASSURANCE**

- A. Qualifications for Service Agencies:** Engage inspection and testing service agencies, including independent testing laboratories, that are pre-qualified as complying with the National Voluntary Laboratory Accreditation Program and that specialize in the types of inspections and tests to be performed.
1. Each independent inspection and testing agency engaged on the Project shall be authorized by authorities having jurisdiction to operate in the state where the Project is located.

---

**PART 2 - PRODUCTS (Not Applicable)**

**PART 3 - EXECUTION**

**3.1 REPAIR AND PROTECTION**

- A. General: Upon completion of inspection, testing, sample taking and similar services, repair damaged construction and restore substrates and finishes. Comply with Contract Document requirements for Division 01 Section 01 73 29 "Cutting and Patching."
- B. Protect constructions exposed by or for quality-control service activities, and protect repaired construction.
- C. Repair and protection is Contractor's responsibility, regardless of the assignment of responsibility for inspection, testing, or similar services.

**END OF SECTION 01 45 00**

THIS PAGE INTENTIONALLY LEFT BLANK



## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements governing the Contractor's selection of products for use in the Project.
- B. **Related Sections:** The following Sections contain requirements that relate to this Section:
  1. Division 01 Section 01 25 00 "Substitution Procedures" specifies administrative procedures for handling requests for substitutions made after award of the Contract.
  2. Division 01 Section 01 33 00 "Submittal Procedures" specifies requirements for submittal of the Contractor's Construction Schedule and the Submittal Schedule.
  3. Division 01 Section 01 42 20 "Reference Standards and Definitions" specifies the applicability of industry standards to products specified.

### 1.3 DEFINITIONS

- A. Definitions used in this Article are not intended to change the meaning of other terms used in the Contract Documents, such as "specialties," "systems," "structure," "finishes," "accessories," and similar terms. Such terms are self-explanatory and have well-recognized meanings in the construction industry.
  1. "Products" are items purchased for incorporation in the Work, whether purchased for the Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
    - a. "Named Products" are items identified by the manufacturer's product name, including make or model number or other designation, shown or listed in the manufacturer's published product literature, which is current as of the date of the Contract Documents.
  2. "Materials" are products substantially shaped, cut, worked, mixed, finished, refined or otherwise fabricated, processed, or installed to form a part of the Work.
  3. "Equipment" is a product with operational parts, whether motorized or manually operated, that requires service connections, such as wiring or piping.

### 1.4 QUALITY ASSURANCE

- A. **Source Limitations:** To the fullest extent possible, provide products of the same kind from a single source.
- B. **Compatibility of Options:** When the Contractor is given the option of selecting between two (2) or more products for use on the Project, the product selected shall be compatible with products previously selected, even if previously selected products were also options.
- C. **Nameplates:** Except for required labels and operating data, do not attach or imprint manufacturer's or producer's nameplates or trademarks on exposed surfaces of products that will be exposed to view in occupied spaces or on the exterior.
  1. Labels: Locate required product labels and stamps on concealed surfaces or, where required for observation after installation, on accessible surfaces that are not conspicuous.
  2. Equipment Nameplates: Provide a permanent nameplate on each item of service-connected or power-operated equipment. Locate on an easily accessible surface that is inconspicuous in occupied spaces. The nameplate shall contain the following information and other essential operating data:
    - a. Name of product and manufacturer.
    - b. Model and serial number.
    - c. Capacity.
    - d. Speed.

- e. Ratings.

## 1.5 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products according to the manufacturer's recommendations, using means and methods that will prevent damage, deterioration, and loss, including theft.
  - 1. Schedule delivery to minimize long-term storage at the site and to prevent overcrowding of construction spaces.
  - 2. Coordinate delivery with installation time to assure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
  - 3. Deliver products to the site in an undamaged condition in the manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing. Store products in accordance with manufacturers' instructions and maintain within temperature and humidity range required by manufacturer.
  - 4. Inspect products upon delivery to ensure compliance with the Contract Documents and to ensure that products are undamaged and properly protected.
  - 5. Store products at the site in a manner that will facilitate inspection and measurement of quantity or counting of units.
  - 6. Store heavy materials away from the Project structure in a manner that will not endanger the supporting construction.
  - 7. Store products subject to damage by the elements above ground, under cover in a weathertight enclosure, with ventilation adequate to prevent condensation.
  - 8. For exterior storage of fabricated products, place on sloped supports above ground. Cover products subject to deterioration with impervious sheet covering; provide ventilation to avoid condensation.
  - 9. Store loose granular material on solid surfaces in a well-drained area; prevent mixing with foreign matter.
  - 10. Arrange storage to provide access for inspection. Periodically inspect to insure products are undamaged and are maintained under required conditions. Keep log showing date, time and problems, if any.
  - 11. Stone, masonry units and similar materials shall be stored on platforms or dry skids and shall be adequately covered and protected against damage.
  - 12. Materials and equipment shall be delivered, stored and handled to prevent intrusion of foreign matter and damage by weather or breakage. Packaged materials shall be delivered and stored in original, unbroken packages.
  - 13. Promptly inspect shipments to assure that products comply with requirements, that quantities are correct and products are undamaged.
  - 14. Packages, materials and equipment showing evidence of damage will be rejected and replaced at no additional cost to the Owner.

## PART 2 - PRODUCTS

### 2.1 PRODUCT SELECTION

- A. **General Product Requirements:** Provide products that comply with the Contract Documents, that are undamaged and, unless otherwise indicated, new at the time of installation.
  - 1. Provide products complete with accessories, trim, finish, safety guards, and other devices and details needed for a complete installation and the intended use and effect.
  - 2. Standard Products: Where available, provide standard products of types that have been produced and used successfully in similar situations on other projects.
- B. **Product Selection Procedures:** The Contract Documents and governing regulations govern product selection. Procedures governing product selection include the following:
  - 1. Semi-proprietary Specification Requirements: Where Specifications name two (2) or more products or manufacturers, provide one (1) of the products indicated. Comply with the requirements of Division 01 Section 01 25 00 "Substitution Procedures."
  - 2. Descriptive Specification Requirements: Where Specifications describe a product or assembly, listing exact characteristics required, with or without use of a brand or trade name, provide a product or assembly that provides the characteristics and otherwise complies with Contract requirements.

3. Compliance with Standards, Codes, and Regulations: Where Specifications only require compliance with an imposed code, standard, or regulation, select a product that complies with the standards, codes, or regulations specified.
4. Visual Selection: Where specified product requirements include the phrase "*...as selected from manufacturer's standard colors, patterns, textures...*" or a similar phrase, select a product and manufacturer that complies with other specified requirements. The Architect will select the color, pattern, and texture from the product line selected.

## **PART 3 - EXECUTION**

### **3.1 INSTALLATION OF PRODUCTS**

- A. Comply with manufacturer's instructions and recommendations for installation of products in the applications indicated. Anchor each product securely in place, accurately located and aligned with other Work.
  1. Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.

**END OF SECTION 01 60 00**

THIS PAGE INTENTIONALLY LEFT BLANK

## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. **General:** This Section specifies administrative and procedural requirements for field engineering services including, but not limited to, the following:
  - 1. Land survey work.
  - 2. Civil Engineering services.
  - 3. Damage surveys.
  - 4. Geotechnical monitoring.
- B. **Related Sections:** The following Sections contain requirements that relate to this Section:
  - 1. Division 01 Section 01 31 00 "Project Management and Coordination" for procedures for coordinating field engineering with other construction activities.
  - 2. Division 01 Section 01 33 00 "Submittal Procedures" for submitting Project record surveys.
  - 3. Division 01 Section 01 77 00 "Closeout Procedures" for submitting final property survey with Project Record Documents and recording of Owner-accepted deviations from indicated lines and levels.

### 1.3 SUBMITTALS

- A. **Certificates:** Submit a certificate from the Land Surveyor stating that the control information furnished by the Owner is accurate or identify inaccuracies, if they exist. The Contractor shall not take advantage of errors, which may be included in the control information. Stakes and markings shall be preserved.
- B. **Final Property Survey:** Prepare and submit 10 copies of the final property survey.
- C. **Project Record Documents:** Submit a record of Work performed and record survey data as required under provisions of "Submittals" and "Project Closeout" Sections.

### 1.4 QUALITY ASSURANCE

- A. Provide field engineering services to establish and record grades, lines and elevations.
- B. The Contractor shall retain a Land Surveyor registered by the State of Connecticut to confirm State furnished base lines and benchmarks, lay out the building, underground utility lines and other site work from the information furnished by the Owner and to establish and record the necessary elevations, at no additional cost to the State.

## PART 2 - PRODUCTS (Not Applicable)

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. **Identification:** The Owner will identify two (2) base lines on the Contract Drawings.
- B. Verify layout information shown on the Drawings, in relation to the property survey and existing benchmarks. Notify the Construction Administrator of any discrepancies immediately in writing before proceeding to lay out the Work. Locate and protect existing benchmarks and base line. Preserve permanent reference points during construction.
  - 1. Do not change or relocate benchmarks or base line without prior written approval. Promptly report lost or destroyed reference points or requirements to relocate reference points because of necessary changes in grades or locations.
  - 2. Promptly replace lost or destroyed Project baseline benchmarks. Base replacements on the original survey control points.

- C. Establish and maintain a sufficient quantity of (minimum of 2) permanent benchmarks on the site, referenced to data established by Owner supplied information.
  - 1. Record benchmark locations, with horizontal and vertical data, on Project Record Documents.
- D. **Existing Utilities and Equipment:** The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities and other construction.
  - 1. Prior to construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping. Notify the Construction Administrator of any discrepancies prior to proceeding.

### 3.2 PERFORMANCE

- A. Work from lines and levels established by the property survey. Establish benchmarks and control points to set lines and levels at each story of construction and elsewhere as needed to locate each element of the Project. Calculate and measure required dimensions within indicated or recognized tolerances. Do not scale Drawings to determine dimensions.
  - 1. Advise entities engaged in construction activities of benchmarks and control points for their use.
  - 2. As construction proceeds, check every major element for line, level, and plumb.
- B. **Surveyor's Log:** Maintain a surveyor's log of control and other survey work. Make this log available for reference.
  - 1. Record deviations from required lines and levels, and advise the Construction Administrator when deviations that exceed indicated or recognized tolerances are detected. On Project Record Drawings, record deviations that are accepted and not corrected.
  - 2. On completion of foundation walls, major site improvements, underground utilities, and other Work requiring field-engineering services, prepare a certified survey showing dimensions, locations, angles, elevations of construction, as-built locations and site work.
- C. **Site Improvements:** Locate and lay out site improvements, including pavements, stakes for grading, fill and topsoil placement, utility slopes, and invert elevations.
- D. **Building Lines and Levels:** Locate and lay out batter boards for structures, building foundations, column grids and locations, floor levels, and control lines and levels required for mechanical and electrical work.
- E. **Existing Utilities:** Furnish information necessary to adjust, move, or relocate existing structures, utility poles, lines, services, or other appurtenances located in or affected by construction. Coordinate with local authorities having jurisdiction.
- F. **Final Property Survey:** Prepare a final property survey showing significant features (real property) for the Project. Include on the survey a certification, signed by the surveyor, that principal metes, bounds, lines, and levels of the Project are accurately positioned as shown on the survey.

END OF SECTION 01 71 23

**SECTION 01 7320 – SELECTIVE DEMOLITION AND REMOVAL**

**PART 1 - GENERAL**

**1.1 SCOPE OF WORK**

- A. Removal and demolition of selected items from selected areas of the building as indicated on the drawings.

**1.2 SUBMITTALS**

- A. Prior to the commencement of operations, submit a schedule indicating the proposed methods and sequence of operations for the selective removals and demolition work. The sequence of operations shall be planned in detail to ensure uninterrupted operation of occupied areas of the building.
- B. Submit details and procedure for dust and noise control.

**1.3 RESPONSIBILITY, PROTECTION, DAMAGES, RESTRICTIONS**

- A. Condition of space
  - 1. The owner assumes no responsibility for the actual condition of the space in which removals and demolition work is performed.
- B. Owner has no knowledge of presence of any asbestos or other hazardous building materials in the items to be demolished. If contractor encounters any such material during demolition, the work will be immediately stopped and contractor shall report all findings and such material presence to the owner.
- C. Protections
  - 1. Provide temporary barricades and other forms of protection required to protect property, personnel, and general public from injury due to selective removals and demolition work.
    - a. Provide protective measures as required to provide free and safe passage of employees, personnel of other trades and the general public.
    - b. Protect from damage existing finish work that is to remain in place and which becomes exposed during operations.
    - c. Protect floors with building paper or other suitable covering.
- D. Damage
  - 1. Promptly repair any and all damages to all property and finishes caused by the removals and demolition work to the satisfaction of the Architect and Resident Engineer, at no extra cost to the Owner.
- E. Explosives
  - 1. The use of explosives is prohibited.

- F. Power-driven Tools for interior removals and demolition.
1. Only hand-held, electric, power-driven tools conforming to the following criteria shall be used to cut or drill concrete and masonry:
    - a. Electric Chiseling Hammer
      - 1) Power Data 115 Volts AC
      - 2) 7-8 Amps
      - 3) Three-wire grounded connection
      - 4) Percussion 2400-2600 Impacts/Minute
      - 5) Type/Size Hand-held (+ 18-inch length)
      - 6) Unit Weight 12-15 pounds (minus chisel bit)
    - b. Electric Hammer Drill
      - 1) Power Data 115 Volts AC
      - 2) 5-8 Amps
      - 3) Three wire grounded connection
      - 4) Percussion 2400 – 3200 Impacts/Minute
      - 5) Type/Size Hand-held (+ 18-inch length)
      - 6) Unit Weight 12-15 pounds (minus chisel bit)
      - 7) Speed Data 500 RPM Max (Under load)

## PART 2 - PRODUCTS (NOT APPLICABLE)

## PART 3 - EXECUTION

### 3.1 INSPECTION

- A. Prior to commencement of the selective removals and demolition work, inspect the areas in which the work will be performed. Determine and list the existing conditions of rooms, area surfaces and equipment. After the work in each respective area is completed, determine if the adjacent surfaces or equipment have been damaged as a result of the work; if so, the damage shall be corrected at the Contractor's expense.

### 3.2 REMOVAL AND DEMOLITION WORK

- A. Perform selective demolition work in a systematic manner and use such methods as are required to complete the work indicated, and in accordance with the Specifications and governing City, State and Federal regulations.
- B. When walls, partitions, floors and ceilings (or portions thereof) are indicated to be removed; unless indicated otherwise:
1. Remove all items attached to the surfaces of the construction to be removed.
  2. Remove electrical wiring, to include, but not limited to, specific lighting, communications, alarms, conduits, devices, fixtures, and other electrical items and accessories occurring on or in the construction to be removed. Disconnect power and remove wiring and conduit back to the source.



3.3 DISPOSAL OF DEMOLISHED MATERIALS

- A. Remove debris, rubbish and other materials resulting from the removals and demolitions work, from the building immediately; transport and legally dispose of materials off-site. The disposal method shall be in accordance with City, State, and Federal regulations.
- B. Burning of removed materials is not permitted on the job site.

3.4 CLEAN-UP AND REPAIRS

- A. Upon completion of removals and demolition work, remove all tools and equipment, and any remaining demolished materials from the site.
- B. Repair all damaged areas caused by the removals and demolition work. Repair any adjacent construction or surfaces soiled or damaged by selective demolition materials at the site.

All areas in which work was performed under this Section shall be left "broom-clean".

**END OF SECTION 01 73203**

THIS PAGE INTENTIONALLY LEFT BLANK

## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for contract closeout including, but not limited to, the following:
  - 1. Inspection procedures.
  - 2. Project record document submittal.
  - 3. Submittal of warranties.
- B. **Related Sections:** The following Sections contain requirements that relate to this Section:
  - 1. Division 01 Section 01 11 00 "Summary of Work".
  - 2. Division 01 Section 01 29 76 "Progress Payment Procedures".
- C. Closeout requirements for specific construction activities may be included in the appropriate Sections in Divisions 02 through 49.

### 1.3 SUBSTANTIAL COMPLETION

- A. **General:** Basic contract definitions are included in Article 1 of the General Conditions of the Contract for Construction.
- B. **Preliminary Procedures:** Before requesting inspection for Certification of Substantial Completion, complete the following. List exceptions in the request.
  - 1. In the Application for Payment that coincides with, or first follows, the date Substantial Completion is claimed, show 100 percent completion for the portion of the Work claimed as substantially complete.
    - a. Include supporting documentation for completion as indicated in these Contract Documents and a statement showing an accounting of changes to the Contract Sum.
    - b. If 100 percent completion cannot be shown, include a list of incomplete items, the value of incomplete construction, and reasons the Work is not complete.
  - 2. Advise the Owner of pending insurance changeover requirements.
  - 3. Submit specific warranties, workmanship bonds, maintenance agreements, final certifications, and similar documents.
  - 4. Obtain and submit releases enabling the Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, certificates of compliance, operating certificates, and similar releases.
  - 5. Submit record drawings, maintenance manuals, damage or settlement surveys, property surveys, and similar final record information.
  - 6. Deliver tools, spare parts, extra stock, and similar items.
  - 7. Make final changeover of permanent locks and transmit keys to the Owner. Advise the Owner's personnel of changeover in security provisions.
  - 8. Demonstrate, thru operation and testing, the functions of all systems and/or equipment to the satisfaction of the Owner for compliance to the Contract. Complete testing of systems and instruction of the Owner's operation and maintenance personnel. Discontinue and remove temporary facilities from the site, along with mockups, construction tools, and similar elements.
  - 9. Complete final cleanup requirements.
  - 10. Certify that required training of personnel is complete.
- C. **Inspection Procedures:** The Contractor shall be ready and prepared when they request a Substantial Completion inspection. If the inspection reveals that the work is not complete, that there are extensive punchlist items that will take more than **ninety (90)** days to complete and as the items listed in Article 1.3

above are not complete, the Construction Administrator, Architect, and Owner will determine the inspection has failed.

- D. The Contractor is responsible for all costs to re-inspect due to a failed inspection. The Owner will issue a deduct change order to cover all costs for re-inspection.
  - 1. The Architect will repeat inspection when requested and assured that the Work is substantially complete.
  - 2. Results of the completed inspection will form the basis of requirements for final acceptance.

#### 1.4 ACCEPTANCE

- A. **Preliminary Procedures:** Before requesting final inspection for "Certificate of Acceptance" and final payment, complete the following. List exceptions in the request.
  - 1. Submit the final payment request with releases and supporting documentation not previously submitted and accepted. Include insurance certificates for products and completed operations where required.
  - 2. Submit an updated final statement, accounting for final additional changes to the Contract Sum.
  - 3. Submit a certified copy of the Architect's final inspection list of items to be completed or corrected, endorsed and dated by the Architect. The certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance and shall be endorsed and dated by the Architect.
  - 4. Submit final meter readings for utilities, a measured record of stored fuel, and similar data as of the date of Substantial Completion or when the Owner took possession of and assumed responsibility for corresponding elements of the Work.
  - 5. Submit consent of surety to Final Payment.
  - 6. Submit evidence of final, continuing insurance coverage complying with insurance requirements.
  - 7. Touch up and otherwise repair and restore marred, exposed finishes, including touchup painting.
- B. **Re-inspection Procedure:** The Inspection Group will re-inspect the Work upon receipt of notice from the Construction Administrator that the Work, including inspection list items from earlier inspections, has been completed, except for items whose completion is delayed under circumstances acceptable to the Owner.
  - 1. Upon completion of re-inspection, the Construction Administrator will prepare a Certificate of Acceptance. If the Work is incomplete, the Construction Administrator will advise the Contractor of Work that is incomplete or of obligations that have not been fulfilled but are required for final acceptance.

#### 1.5 AS-BUILT DOCUMENT SUBMITTALS

- A. **General:** The Contractor shall not use As-built Drawings for construction purposes. Protect contractor As-built Drawings from deterioration and loss in a secure, fire-resistant location. Provide access to As-built Drawings for the Architect's reference during normal working hours. Keep documents current; do not permanently conceal any work until required information has been recorded. **IMPORTANT NOTE: Failure to keep As-built Documents current is sufficient cause to withhold progress payments.**
  - 1. The Contractor shall also hire the services of a Surveyor registered in the State of Connecticut to conduct a final survey to determine the location of exterior underground utility lines and to record the results, and update existing electronic media.
  - 2. The record of exterior underground utilities shall be made at the time of installation on Mylar film drawing and AutoCAD (latest version) compatible disks. The drawing shall bear the seal of the Land Surveyor and a statement of accuracy.
- B. **As-built Drawings:** The Contractor shall maintain **one (1)** clean, complete undamaged set of blue or black line white-prints of Contract Drawings and Shop Drawings. Mark the set to show the actual installation where the installation varies substantially from the Work as originally shown. Mark which drawing is most capable of showing conditions fully and accurately. Where Shop Drawings are used, record a cross-reference at the corresponding location on the Contract Drawings. Give particular attention to concealed elements that would be difficult to measure and record at a later date. Update As-built Drawings on a monthly basis coincident with the submittal of the Application for Payment.
  - 1. Mark record sets with erasable pencil to distinguish between variations in separate categories of the Work.
  - 2. Mark all new information that is not shown on Contract Drawings.
  - 3. Note related change-order numbers where applicable.
  - 4. Organize record drawing sheets into manageable sets. Bind sets with durable-paper cover sheets; print suitable titles, dates, and other identification on the cover of each set.

5. Upon completion of the work, the Contractor shall submit Record Drawings to the Construction Administrator for the Owner's Records who will pass them on to the Architect or Engineer for transferring the changes to the Record Drawing Mylar Tracings.
  6. Submit electronic format data of all Coordination Drawings as required by the Owner, at no additional cost.
  7. Refer to Section 01 45 00 "Quality Control" Article 1.3 for required as-built drawings and specifications for fire alarm systems.
- C. Record Specifications:** The Contractor shall maintain one (1) complete copy of the Project Manual, including Addenda. Include with the Project Manual one (1) copy of other written construction documents, such as Change Orders and modifications issued in printed form during construction.
1. Mark these documents to show substantial variations in actual Work performed in comparison with the text of the Specifications and modifications.
  2. Give particular attention to equals and substitutions and selection of options and information on concealed construction that cannot otherwise be readily discerned later by direct observation.
  3. Note related record drawing information and Product Data.
  4. Upon completion of the Work, submit Record Specifications to the Construction Administrator for the Owner's records.
- D. Record Product Data:** The Contractor shall maintain one (1) copy of each Product Data submittal. Note related Change Orders and markup of record drawings and Specifications.
1. Mark these documents to show significant variations in actual Work performed in comparison with information submitted. Include variations in products delivered to the site and from the manufacturer's installation instructions and recommendations.
  2. Give particular attention to concealed products and portions of the Work that cannot otherwise be readily discerned later by direct observation.
  3. Upon completion of markup, submit complete set of Record Product Data to the Construction Administrator for the Owner's records.
- E. Record Sample Submitted:** Immediately prior to Substantial Completion, the Contractor shall meet with the Construction Administrator, Architect and the Owner's personnel at the Project Site to determine which Samples are to be transmitted to the Owner for record purposes. Comply with the Owner's instructions regarding delivery to the Owner's Sample storage area.
- F. Miscellaneous Record Submittals:** Refer to other Specification Sections for requirements of miscellaneous record keeping and submittals in connection with actual performance of the Work. Immediately prior to the date or dates of Substantial Completion, complete miscellaneous records and place in good order. Identify miscellaneous records properly and bind or file, ready for continued use and reference. Submit to the Construction Administrator for the Owner's records.

## PART 2 - PRODUCTS (Not Applicable)

## PART 3 - EXECUTION

### 3.1 CLOSEOUT PROCEDURES

- A. Operation and Maintenance Instructions:** Arrange for each Installer of equipment that requires regular maintenance to meet with the Owner's personnel to provide instruction in proper operation and maintenance. Provide instruction by manufacturer's representatives if installers are not experienced in operation and maintenance procedures. Include a detailed review of the following items:
1. Maintenance manuals.
  2. Record documents.
  3. Identification systems.
  4. Control sequences.
  5. Warranties and bonds.

**B.** As part of instruction for operating equipment, demonstrate the following procedures:

1. Startup.
2. Shutdown.
3. Emergency operations.
4. Safety procedures.

### 3.2 FINAL CLEANING

**A. General:** The General Conditions require general cleaning during construction. Regular site cleaning is included in Division 01 Section 01 50 00 "Temporary Facilities and Controls."

**B. Cleaning:** Employ professional cleaners for final cleaning. Clean each surface or unit to the condition expected in a normal, commercial building cleaning and maintenance program. Comply with manufacturer's instructions.

1. Complete the following cleaning operations before requesting inspection for Certification of Substantial Completion and Certification of Occupancy.
  2. Interior:
    - a. Remove labels that are not permanent labels.
    - b. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other substances that are noticeable vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials. Remove paint spots; wash and polish glass.
    - c. Clean exposed interior hard-surfaced finishes to a dust-free condition, free of stains, films, and similar foreign substances. Restore reflective surfaces to their original condition. Leave concrete floors broom clean. Vacuum carpeted surfaces.
    - d. Wash washable surfaces of mechanical, electrical equipment and fixtures and replace filters, clean strainers on mechanical equipment. Remove excess lubrication and other substances. Clean plumbing fixtures to a sanitary condition. Clean light fixtures and lamps.
    - e. Clean and polish finish hardware.
    - f. Clean and polish tile and other glazed surfaces.
    - g. Clean floors; wax and buff resilient tile. Clean vinyl or rubber base.
    - h. Vacuum and/or dust walls, ceilings, lighting fixtures, ceiling diffusers and other wall and ceiling items.
    - i. Remove defacements, streaks, fingerprints and erection marks.
  3. Exterior:
    - a. Clean the site, including landscape development areas, of rubbish, litter, and other foreign substances. Sweep paved areas broom clean; remove stains, spills, and other foreign deposits. Rake grounds that are neither paved nor planted, to a smooth, even-textured surface.
    - b. Clean exposed exterior hard-surfaced finishes to a dust-free condition, free of stains, films, and similar foreign substances.
    - c. Clean roofs, gutters and downspouts.
    - d. Remove waste and surplus materials, rubbish and construction equipment and facilities from the site, and deposit it legally elsewhere.
    - e. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other substances that are noticeable vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials. Remove paint spots; wash and polish glass.
- C. Pest Control:** Engage an experienced, licensed exterminator to make a final inspection and rid the work of rodents, insects, and other pests. Provide results of final inspection in writing.
- D. Removal of Protection:** Remove temporary protection and facilities installed for protection of the Work during construction.
- E. Compliance:** Comply with regulations of authorities having jurisdiction and safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on the Owner's property. Do not discharge

volatile, harmful, or dangerous materials into drainage systems. Remove waste materials from the site and dispose of lawfully.

1. Where extra materials of value remain after completion of associated Work, they become the Owner's property. Dispose of these materials as directed by the Construction Administrator.
2. Leave building clean and ready for occupancy. If the Contractor fails to clean up, the Owner may do so, with the cost charged to the Contractor. The Owner will issue a credit change order to cover the costs.

**END OF SECTION 01 77 00**

THIS PAGE INTENTIONALLY LEFT BLANK



## PART 1 – GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

### 1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for warranties required by the Contract Documents, including manufacturer's standard warranties on products and special warranties.
  - 1. Refer to the General Conditions for terms of the Contractor's period for correction of the Work.
- B. **Related Sections:** The following Sections contain requirements that relate to this Section:
  - 1. Division 01 Section 01 33 00 "Submittal Procedures" specifies procedures for submitting warranties.
  - 2. Division 01 Section 01 77 00 "Closeout Procedures" specifies contract closeout procedures.
  - 3. Division 01 Section 01 78 23 "Operation and Maintenance Data" specifies required operation and maintenance data.
  - 4. Divisions 02 through 49 Sections for specific requirements for warranties on products and installations specified to be warranted.
  - 5. Certifications and other commitments and agreements for continuing services to Owner are specified elsewhere in the Contract Documents.
- C. **Disclaimers and Limitations:** Manufacturer's disclaimers and limitations on product warranties do not relieve the Contractor of the warranty on the Work that incorporates the products. Manufacturer's disclaimers and limitations on product warranties do not relieve suppliers, manufacturers, and subcontractors required to countersign special warranties with the Contractor.

### 1.3 WARRANTY REQUIREMENTS

- A. **Related Damages and Losses:** When correcting failed or damaged warranted construction, remove and replace construction that has been damaged as a result of such failure or must be removed and replaced to provide access for correction of warranted construction.
- B. **Reinstatement of Warranty:** When Work covered by a warranty has failed and been corrected by replacement or rebuilding, reinstate the warranty by written endorsement. The reinstated warranty shall be equal to the original warranty with an equitable adjustment for depreciation.
- C. **Replacement Cost:** Upon determination that Work covered by a warranty has failed, replace or rebuild the Work to an acceptable condition complying with requirements of the Contract Documents. The Contractor is responsible for the cost of replacing or rebuilding defective Work regardless of whether the Owner has benefited from use of the Work through a portion of its anticipated useful service life.
- D. **Owner's Recourse:** Expressed warranties made to the Owner are in addition to implied warranties and shall not limit the duties, obligations, rights, and remedies otherwise available under the law. Expressed warranty periods shall not be interpreted as limitations on the time in which the Owner can enforce such other duties, obligations, rights, or remedies.
  - 1. **Rejection of Warranties:** The Owner reserves the right to reject warranties and to limit selection to products with warranties not in conflict with requirements of the Contract Documents.
- E. Where the Contract Documents require a special warranty, or similar commitment on the Work or part of the Work, the Owner reserves the right to refuse to accept the Work, until the Contractor presents evidence that entities required to countersign such commitments are willing to do so.
- F. The Contractor shall guarantee all materials and workmanship for a period of **eighteen (18)** months from the date of Substantial Completion of the Work. In addition, the Contractor shall furnish the warranties listed below. Submit four (4) copies of each to the Construction Administrator in the supplier's standard form or in the form given below if there is no standard form available.

- G. **Specification/Warranty Table:** The General Contractor shall provide for all warranties as shown in the Specification/Warranty table:

Specification / Warranty Table (Continued)			
Item No.	Section No.		Specification Product/Warranty
1	32	12 16	<b>Bituminous Concrete Paving:</b> 1.5 Years Material and workmanship
2	32	13 13	<b>Concrete Pavement:</b> 1.5 Years Material and workmanship
3	32	31 50	<b>Bollards:</b> 1.5 Years Material and workmanship
4	33	70 00	<b>Electrical Utilities:</b> 1.5 Years Material and workmanship
5	33	44 16	<b>Trench Drain:</b> 1.5 Years Material and workmanship

- H. Submit certification that finish materials are fire rated as specified.  
I. Form of Warranty: Warranties shall be submitted in following format:

Warranty			
<p><b>Commissioner: Josh Geballe</b>  <b>Department of Administrative Services</b>  <b>DAS Commissioner's Office</b>  <b>450 Columbus Boulevard, Suite 1501</b>  <b>Hartford, CT 06103</b></p>			
<p><b>Project Number: BI-2B-438</b>  <b>Project Title: Lower Garage Ramp Restoration Project</b></p>			
<p><b>I (We) hereby warranty</b></p>			
<p>the _____ work on the referenced project for a period of _____ years</p>			
<p>from _____, 20 _____ against failures of workmanship and materials in accordance</p>			
<p>with the requirements of Section _____, Page _____, Paragraph _____, of the Specifications.</p>			
<p>Installer <input type="checkbox"/>   Subcontractor <input type="checkbox"/>   Vendor/Suppliers <input type="checkbox"/>   Manufacturer <input type="checkbox"/></p>			
<p><b>Installer or Subcontractor or Vendor/Suppliers or Manufacturer Name:</b> _____</p>			
<p><b>Installer or Subcontractor or Vendor/Suppliers or Manufacturer Signature:</b> _____</p>			
<p><b>General Contractor's Name</b> _____</p>			
<p><b>General Contractor's Signature:</b> _____</p>			
<p>or</p>			
<p><b>General Contractor's Authorized Agent Signature:</b> _____</p>			

- J. Bonds shall be by approved Surety Companies, made out to the Commissioner, Department of Administrative Services on companies' standard form.

- K. Warranties, Guarantees, or bonds supplied by the General Contractor's Subcontractors or Vendors/Suppliers or Manufacturers shall reference the project name, number, and location and be certified by the General Contractor to be for the product and installation on the project and must be countersigned by the General Contractor.
- L. Bonds shall be by approved Surety Companies, made out to the Commissioner, Department of Administrative Services, on company's standard form.
- M. Guarantees, warranties or bonds supplied by Subcontractors, Suppliers or Manufacturers shall reference the project name, number, and location and be certified by the Contractor to be for the product and installation on the project and must be countersigned by the Contractor.

#### 1.4 SUBMITTALS

- A. Submit written warranties prior to the date certified for Substantial Completion. If the Architect's Certificate of Substantial Completion designates a commencement date for warranties other than the date of Substantial Completion for the Work, or a designated portion of the Work, submit written warranties upon request of the Architect.
- B. Forms for special warranties are included in this Section. Prepare a written document utilizing the appropriate form, ready for execution by the Contractor, or by the Contractor, subcontractor, supplier, or manufacturer. Submit a draft to the Owner, through the Construction Administrator, for approval prior to final execution.
  - 1. Refer to Divisions 02 through 49 Sections for specific content requirements and particular requirements for submitting special warranties.
- C. **Form of Submittal:** At Final Completion compile **two (2)** copies of each required warranty properly executed by the Contractor, or by the Contractor, subcontractor, supplier, or manufacturer. Organize the warranty documents into an orderly sequence based on the table of contents of the Project Manual.
- D. Bind warranties and bonds in heavy-duty, commercial-quality, durable 3-ring, vinyl-covered loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive **8-1/2-by-11-inch** paper.
  - 1. Provide heavy paper dividers with celluloid covered tabs for each separate warranty. Mark the tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product, and the name, address, and telephone number of the Installer.
  - 2. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project title or name, and name of the Contractor.
  - 3. When warranted construction requires operation and maintenance manuals, provide additional copies of each required warranty, as necessary, for inclusion in each required manual.

#### PART 2 - PRODUCTS (Not Applicable)

#### PART 3 - EXECUTION (Not applicable)

END OF SECTION 01 78 30

THIS PAGE INTENTIONALLY LEFT BLANK

## PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 specification sections, apply to this section.

### 1.2 SUMMARY

- A. This Section includes equipment and system commissioning, including the following:
1. Completion of commissioning procedures on specific equipment and systems as indicated under "Related Sections" below.
  2. Verification of operational and functional performance of specific equipment and systems for compliance with the "Design Intent" as described in the "Related Sections" indicated below.
- B. **Related Sections:** The following Sections contain requirements that relate to this Section:
1. Section 01 31 00 "Project Management And Coordination" specifies procedures for coordinating the Commissioning Process.
  2. Division 01 Section 01 33 00 "Submittal Procedures" specifies procedures for submittal of Product Data and Quality Assurance Submittals.
  3. Division 01 Section 01 77 00 "Closeout Procedures" specifies general closeout requirements.
  4. Division 21 Section 21 08 00 "Commissioning of Fire Suppression" specifies closeout and/or commissioning related requirements for specific pieces of equipment or building operating systems.
  5. Division 22 Section 22 08 00 "Commissioning of Plumbing" specifies closeout and/or commissioning related requirements for specific pieces of equipment or building operating systems.
  6. Division 23 Section 23 08 00 "Commissioning of HVAC" specifies closeout and/or commissioning related requirements for specific pieces of equipment or building operating systems.
  7. Division 23 Section 23 08 00 "Commissioning of HVAC" specifies closeout and/or commissioning related requirements for specific pieces of equipment or building operating systems.
  8. Division 25 Section 25 08 00 "Commissioning of Integrated Automation" specifies closeout and/or commissioning related requirements for specific pieces of equipment or building operating systems.
  9. Division 26 Section 26 08 00 "Commissioning of Electrical Systems" specifies closeout and/or commissioning related requirements for specific pieces of equipment or building operating systems.
  10. Division 27 Section 27 08 00 "Commissioning of Communications" specifies closeout and/or commissioning related requirements for specific pieces of equipment or building operating systems.

### 1.3 DEFINITIONS

- A. **Basis of Design (BOD):** A document that records the concepts, calculations, decisions, and product selections used to meet the Owner's Project Requirements and to satisfy applicable regulatory requirements, standards, and guidelines. The document includes both narrative descriptions and lists of individual items that support the design process.
- B. **Commissioning Agent (CxA):** An entity identified by the Owner who leads, plans, schedules, and coordinates the commissioning team to implement the Commissioning Process.

- C. **Commissioning (Cx) Plan:** A plan that includes a list of all equipment to be commissioned, delineation of roles for each of the primary commissioning participants, and details on the scope, timeline, and deliverables throughout the commissioning process.”
- C. **Deficiencies and Resolutions List:** List of noted deficiencies discovered as result of commissioning process.
- E. **Final Commissioning Report:** Overall final commissioning document (see 1.6, I(2) below), prepared by the Commissioning Agent, which details the actual commissioning procedures performed, inspection and testing results, and the final version of the deficiencies and resolutions list indicating that all issues discovered through the commissioning process have been verified as resolved.
- F. **Functional Completion:** Functional Completion is when all remaining TAB (Testing, Adjusting, Balancing) and commissioning responsibilities of the Contractor and their subcontractor’s (except for seasonal or approved deferred testing and controls training), have been functionally certified as complete by the Owner’s Commissioning Agent (CxA) and the Certificate of Functional Completion has been issued.
- G. **Functional Performance Testing Process:** Documented testing of system parameters, under actual or simulated operating conditions. Functional testing is the dynamic testing of systems (rather than just components).
- H. **Pre-Commissioning Checklists:** Installation and start-up items to be completed by the appropriate party prior to operational verification through Functional Testing.
- I. **Physical Inspection Process:** On-site inspection and review of related system components for conformance to the specifications.
- J. **Seasonal Commissioning Tests:** Functional Tests that are deferred until the system(s) will experience conditions closer to their intended design conditions.
- K. **Trending:** Monitoring using the building control system.

#### 1.4 COORDINATION

- A. **Commissioning Team:** The members of the commissioning team consist of the Commissioning Agent (CxA), the DAS/CS Project Manager (PM), the Construction Administrator (CA), the Contractor, the Architect and Design engineers (particularly the mechanical engineer), the Mechanical Subcontractor, the Electrical Subcontractor, the TAB representative, the Controls Subcontractor, any other installing subcontractors or suppliers of equipment. If known, the Agency’s building or plant operator/engineer is also a member of the Commissioning team.
- B. **Management:** The CxA is hired by the Owner. The CxA directs and coordinates the commissioning activities and the reports to the CA. All members of the Commissioning Team work together to fulfill their contracted responsibilities and meet the objectives of the Contract Documents. Refer to Section 01 91 00 Part 1.6 and 1.7 for additional management details.
- C. **Scheduling.** The CxA will work with the CA and Contractor according to established protocols to schedule the commissioning activities. The CxA will provide sufficient notice to the CA and Contractor for scheduling commissioning activities. The Contractor will integrate all commissioning activities into their master CPM schedule. All parties will address scheduling problems and make necessary notifications in a timely manner in order to expedite the commissioning process.
  - 1. The CxA will provide the initial schedule of primary commissioning events at the commissioning scoping meeting. The Commissioning Plan—Construction Phase provides a format for this schedule. As construction progresses more detailed schedules are developed by the CxA. The Commissioning Plan also provides a format for detailed schedules.

#### 1.5 DESCRIPTION OF CONSTRUCTION PHASE COMMISSIONING PROCESS

- A. As soon as practicable after the "Contract Start Date" the Commissioning Agent (CxA) will conduct a pre-installation commissioning "kick-off" meeting with the Subcontractors. Parties directly affected by the commissioning work will be required to attend. The CxA will explain the commissioning process in detail, and identify specific commissioning related responsibilities of the various parties.
- B. Commissioning status meetings will be scheduled to occur during construction to monitor progress and to help facilitate the commissioning process. Contractor representatives will be required to attend these meetings.
- C. Once Subcontractors have provided the CxA with written verification indicating completion of installation and startup procedures, the CxA will conduct an on-site physical inspection of the specific systems and equipment.

- D. Upon confirmation of system readiness, the CxA will schedule with the Subcontractors to perform functional compliance with the project specifications and drawings. The CxA will oversee the process and will provide the format and documentation for these tests.
- E. Deficiencies noted during these tests will be documented on the Deficiencies and Resolutions list. When corrected, issues will be resolved at the time of discovery. The responsible Contractor will resolve all other issues at a later date. All deficiencies will be noted by the CxA as either resolved or pending resolution.
- F. The construction commissioning process will be complete when all noted deficiencies have been corrected, proved to be compliance with the project specifications or otherwise resolved to the satisfaction of the Owner and when the CxA has issued the Certificate of Functional Completion

## 1.6 COMMISSIONING AGENT'S (CxA's) DUTIES AND RESPONSIBILITIES

- A. Meet and communicate with the Owner's representatives, Subcontractors, equipment manufacturers' representatives, Architect, Engineer as needed, to facilitate the commissioning process.
- B. Review commissioning related specifications, submittals and construction documents. Communicate noted deficiencies and concerns to the Owner, Architect and Engineer.
- C. Develop detailed and specific Functional Testing procedures for equipment and systems to be commissioned.
- D. Develop testing, adjusting and balancing (TAB) specifications. Oversee the TAB process.
- E. Perform site inspections and verify Construction Manager's subcontractor readiness for the Functional Testing process. Document deficiencies for future resolution.
- F. Witness contractor performed Functional Testing process as appropriate to verify contractor compliance with the functional testing procedures. Document deficiencies for future resolution.
- G. Provide the Owner, Architect, and Engineer with a Final Commissioning Report to document the commissioning process and to verify that the commissioning process is complete.
- H. Verify that the Contractor O&M documentation is complete.
- I. **Commissioning Record in O&M Manuals.**
  - 1. The CxA is responsible to compile, organize and index the following commissioning data by equipment into labeled, indexed and tabbed, three-ring binders and deliver it to the Contractor, to be included with the O&M manuals. Three copies of the manuals will be provided. The format of the manuals shall be:
    - 1.1 **Tab I-1:** Commissioning Plan;
    - 1.2 **Tab I-2:** Final Commissioning Report (see (2) below)
    - 1.3 **Tab 01:** System Type 1 (chiller system, packaged unit, boiler system, etc.);
      - 1.3.1 **Sub-Tab A:** Design narrative and criteria, sequences, approvals for equipment in System Type 1;
      - 1.3.2 **Sub-Tab B:** Startup plan and report, approvals, corrections, blank Precommissioning Checklists;
        - .1 **Colored Separator Sheets**—for each equipment type (fans, pumps, chiller, etc.);
      - 1.3.3 **Sub-Tab C:** Functional tests (completed), trending and analysis, approvals and corrections, training plan, record and approvals, blank functional test forms and a recommended recommissioning schedule.
    - 1.4 **Tab 02:** System Type 2.....repeat as per above requirements for System 1.
  - 2. **Final Report Commissioning Report Details.** The final commissioning report shall include an executive summary, list of participants and roles, brief building description, overview of commissioning and testing scope and a general description of testing and verification methods. For each piece of commissioned equipment, the report should contain the disposition of the commissioning authority regarding the adequacy of the equipment, documentation and training meeting the contract documents in the following areas:
    - 2.1 Equipment meeting the equipment specifications;
    - 2.2 Equipment installation,
    - 2.3 Functional performance and efficiency;
    - 2.4 Equipment documentation and design intent; and
    - 2.5 Operator training. All outstanding non-compliance items shall be specifically listed. Recommendations for improvement to equipment or operations, future actions,

commissioning process changes, etc. shall also be listed. Each non-compliance issue shall be referenced to the specific functional test, inspection, trend log, etc. where the deficiency is documented. The functional performance and efficiency section for each piece of equipment shall include a brief description of the verification method used (manual testing, BAS trend logs, data loggers, etc.) and include observations and conclusions from the testing.

**2.6 Pre-Occupancy Commissioning (Cx) Report:**

A Pre-occupancy Commissioning (Cx) Report shall be prepared by the Commissioning Agent (CxA) that demonstrates that the project has met the requirements of the design documents

**2.7 Post-Occupancy Commissioning (Cx) Report:**

A Post-Occupancy Commissioning (Cx) Report shall be prepared by the Commissioning Agent (CxA) and submitted to the DAS/CS PM for review and approval. The approved Report shall be submitted by the State Agency that is responsible for the ongoing care, operation, and maintenance of the building to the CT OPM Secretary and the DAS Commissioner within one hundred eighty (180) days after one year of occupancy Date of DAS/CS Acceptance of the Work. The Report shall include results of any post-occupancy survey of building occupants, a description of any adjustments made to equipment or building operation and the reasons for which the changes were made.

3. Other documentation will be retained by the CxA.

**1.7 DUTIES AND RESPONSIBILITIES OF OTHERS FOR COMMISSIONING**

- A. The commissioning process will require the active participation of persons qualified to represent the Owner, Mechanical Engineer, Electrical Engineer, Construction Manager, Equipment Manufacturers' Representatives, Mechanical Subcontractor, HVAC Subcontractor, Controls Subcontractor, TAB Subcontractor, Electrical Subcontractor, and other specific subcontractors, as deemed appropriate. The CxA will witness the final functional performance commissioning process. Participants shall include in their contracts all costs necessary to participate in and complete the commissioning process.
- B. The Contractor will assure the participation and co-operation of the Subcontractors, as required to complete the commissioning process.
- C. The Owner will assure the participation of their chosen representatives as required to complete the commissioning process.
- D. The Architect will assure the participation of necessary representatives from the Design Team as required to complete the commissioning process. Design team members will provide prompt replies to requests for information issued during the commissioning process.
- E. It is the Contractor's specific responsibility to complete their respective start-up and checkout procedures, and to insure the complete readiness of equipment and systems, prior to the start of the functional performance testing phase. The CxA shall request written confirmation of system readiness for performance testing, from the appropriate Contractor or Subcontractor. Once the CxA is provided with confirmation of all related systems completion, the actual date and times for the functional performance testing process will be confirmed. Contractor and Subcontractors shall provide sufficient time, and qualified representatives, to complete this process at no additional cost to the State.
- F. After a second failure of a system to successfully meet the criteria as set forth in the functional performance testing process, the Contractor shall reimburse the Owner for all costs associated with any additional re-testing efforts made necessary due to remaining Contractor related system deficiencies previously reported by the Contractor as corrected. These costs shall also include the costs (where applicable) for the CxA.
- G. Training on related systems and equipment operation and maintenance shall only be scheduled to commence after final performance commissioning is satisfactorily completed, and systems are verified to be 100 percent complete and functional.

**1.8 SUBMITTALS**

- A. Refer to Section 01 33 00 Submittal Procedures.
- B. **Pre-Commissioning Checklist Forms:** Submit two (2) signed copies of the checklist forms to the CxA upon completion of all listed items.



- C. **Equipment Manufacturer's Startup Forms:** Submit two (2) completed copies of the installation and startup checklists provided by the equipment manufacturers to the CxA.
- D. **Test Reports:** Submit two (2) copies of test reports for equipment and systems to the CxA.
- E. **Control Schematics:** Submit two (2) copies of the control schematics for equipment, systems, and subsystems to the CxA.
- F. **Inspection Records:** Submit two (2) copies of the records of inspections for code compliance, and approved permits and licenses to operate the equipment and systems to the CxA.
- G. **Operating Data:** Submit two (2) copies of equipment and system operating data including all necessary instructions to facilitate operation to specified performance standards to the Owner.
- H. **Maintenance Data:** Submit two (2) copies of equipment and system maintenance data including all necessary information required to maintain the equipment and systems in continuous operation, such as the testing, balancing and adjusting report and the as-built drawings.

### 1.9 TRAINING OF OWNER PERSONNEL

- A. The Contractor shall be responsible for training coordination and scheduling and ultimately for ensuring that training is completed.
- B. The CxA shall be responsible for overseeing and approving the content and adequacy of the training of Agency's personnel for commissioned equipment.
  - 1. The CxA shall interview the Agency's facility manager and lead engineer to determine the special needs and areas where training will be most valuable. The Construction Administrator, Agency's facility manager, and CxA shall decide how rigorous the training should be for each piece of commissioned equipment. The CxA shall communicate the results to the Contractor of Subcontractors and vendors who have training responsibilities.
  - 2. In addition to these general requirements, the specific training requirements of Owner personnel by Subcontractor and vendors are specified in Divisions 21, 22, 23, 25, 26, and 27.
  - 3. The Contractor shall require each Subcontractor and vendor responsible for training to submit a written training plan to the CxA for review and approval prior to training. The plan will cover the following elements:
    - 3.1 Equipment (included in training);
    - 3.2 Intended audience;
    - 3.3 Location of training;
    - 3.4 Objectives;
    - 3.5 Subjects covered (description, duration of discussion, special methods, etc.);
    - 3.6 Duration of training on each subject;
    - 3.7 Instructor for each subject;
    - 3.8 Methods (classroom lecture, video, site walk-through, actual operational demonstrations, written handouts, etc.);
    - 3.9 Instructor and qualifications.
  - 4. For the primary HVAC equipment, the Controls Contractor shall provide a short discussion of the control of the equipment during the mechanical or electrical training conducted by others.
  - 5. The CxA shall develop an overall training plan and coordinate and schedule, with the CA, Agency Representative, and Contractor, the overall training for the commissioned systems. The CxA shall develop criteria for determining that the training was satisfactorily completed, including attending some of the training, etc. The CxA shall recommend approval of the training to the CA using a standard form for submittal to the Contractor. The CA also shall sign the approval form.
  - 6. At one of the training sessions, the CxA shall present a one (1) hour presentation discussing the use of the blank functional test forms for re-commissioning equipment.
  - 7. Video recording of the training sessions shall be provided by Contractor. The Contractor shall provide the CA, with video disks cataloged by Contractor, and added to the O&M manuals.

### 1.10 DEFERRED TESTING

- A. **Unforeseen Deferred Tests.** If the Contractor determines that any check or test cannot be completed due to the building structure, required occupancy condition or other deficiency, execution of checklists and Functional Testing may be delayed upon approval of the DAS/CS PM. These tests will be conducted in the same manner as the seasonal tests as soon as possible. Services of necessary parties will be negotiated.

- B. Seasonal Testing.** During the warranty period, seasonal testing (tests delayed until weather conditions are closer to the system's design intent) as specified in Division 23 shall be completed as part of this contract. The CxA shall coordinate this activity. Tests will be executed, documented and deficiencies corrected by the appropriate Subcontractors, with the Agency facilities staff and the CxA witnessing. Any final adjustments to the O&M manuals and as-built drawings due to the testing will be made.

**PART 2 - PRODUCTS (Not Applicable)**

**PART 3 - EXECUTION (Not Applicable)**

**END OF SECTION 01 91 00**

SECTION 02 41 00 - DEMOLITION

PART 1 – GENERAL

1.01 DESCRIPTION OF WORK

- A. All labor, material and equipment necessary to complete all phases of demolition work as shown on the Drawings, as specified, and as can be reasonably implied from Drawings, Specifications, and field conditions.
- B. Removal of pavement.
- C. Removal and disposal of resulting demolition materials.
- D. Leaving site clean and ready for clearing required to install new construction.
- E. Maintaining streets and walks during demolition and the cleaning of them of debris resulting from demolition.
- F. Protecting adjoining construction that is to remain.
- G. Patching required as a result of demolition.

1.02 QUALITY ASSURANCE

- A. Requirements of Regulatory Agencies:
  - 1. State of Connecticut Basic Building Code;
  - 2. State of Connecticut Department of Health Services;
  - 3. State of Connecticut Department of Energy and Environmental Protection;
  - 4. Utility companies having jurisdiction;
  - 5. City of Hartford, Connecticut.

1.03 JOB CONDITIONS

- A. Traffic:
  - 1. Conduct demolition operations and the removal of debris in a manner to ensure minimum interference with roads, streets, walks, and other adjacent occupied or used facilities;
  - 2. Do not close or obstruct streets, walks, or other occupied or used facilities without permission from authorities having jurisdiction;
  - 3. Provide alternate, adequately signed, routes around closed or obstructed traffic ways;
  - 4. Ensure the safe passage of persons around the area of demolition.

- B. If applicable, provide and maintain fire protection.
- C. Promptly repair damages, to adjacent facilities caused by demolition operations. Replace glass breakage immediately.
- D. Maintain existing utilities not scheduled to be removed, keep in service, and protect against damage during demolition operations;
  - 1. Repair and, if necessary replace, services damaged as result of demolition;
  - 2. Do not interrupt existing utilities if encountered serving occupied or used facilities, except when authorized in writing by authorities;
  - 3. Provide temporary services during interruptions to existing utilities, as acceptable to the governing authorities;
  - 4. The contractor shall arrange for any utility shut-offs required and pay all fees. The Contractor shall disconnect and seal utilities before starting demolition operations. Construction related work required by the Utility Companies is included in this Contract. Do not start demolition work until utility disconnections have been completed and verified in writing.
- E. Protection:
  - 1. Erect barriers, fences, guard rails, enclosures, chutes, and shoring to protect personnel, structures, and utilities remaining intact.

## PART 2 – PRODUCTS

2.01 None required by this Section.

## PART 3 – EXECUTION

### 3.01 PREPARATION

- A. Review all limits of fencing, sedimentation control and other construction barriers with Construction Administrator, Owner, and Engineer prior to installation.
- B. Prior to demolition, removal or abandonment of items within paved areas to remain the Contractor shall saw-cut the bituminous concrete pavement.
- C. The Contractor shall obtain all necessary permits from agencies having jurisdiction.

### 3.02 DEMOLITION

- A. Use water sprinkling, temporary enclosures, and other suitable methods to limit to the lowest practical level the amount of dust and dirt rising and scattering in the air;

1. Comply with governing regulations pertaining to environmental protection and pollution;
  2. Do not use water when it may create hazardous or objectionable conditions, such as ice, flooding, and pollution.
- B. Completely fill below-grade areas and voids resulting from demolition of structures and pavements.
1. Use satisfactory materials consisting of stone, gravel, and sand, free from debris, trash, materials, roots, and other organic matter.
- C. Prior to placement of fill materials, ensure that areas to be filled are free of standing water, frost, frozen materials, roots and other organic matter.
- D. Place fill materials in horizontal layers generally not exceeding 6" in loose depth. Compact each layer at optimum moisture content of fill material to a density equal to original adjacent ground, unless subsequent excavation for new work is required.
1. After fill placement and compaction, grade surface to meet adjacent contours and to provide flow to surface drainage structures.
  2. Where indicated on the Drawings demolish and remove foundation walls and retaining walls to an elevation two feet below existing or proposed finish grade, whichever is lower.
  3. Filling will be in conformance with the Construction Administrator requirements.

### 3.03 DISPOSAL OF DEMOLISHED MATERIALS

- A. Remove from the site debris, rubbish, and other materials resulting from demolition operations;
1. Storing or burning of materials on the site will not be permitted.
- B. Transport materials of demolished structures and legally dispose of off-site in conformance with regulations of Department of Energy and Environmental Protection, and other regulating agencies as applicable.
- C. Remove demolition debris daily.
- D. Manner of disposal shall comply with all applicable local, state, and federal regulations.

END OF SECTION 02 41 00

THIS PAGE INTENTIONALLY LEFT BLANK

**SECTION 02 4119 – SELECTIVE DEMOLITION AND REMOVAL**

**PART 1 - GENERAL**

**1.1 SCOPE OF WORK**

- A. Removal and demolition of selected items from selected areas of the building as indicated on the drawings.

**1.2 SUBMITTALS**

- A. Prior to the commencement of operations, submit a schedule indicating the proposed methods and sequence of operations for the selective removals and demolition work. The sequence of operations shall be planned in detail to ensure uninterrupted operation of occupied areas of the building.
- B. Submit details and procedure for dust and noise control.

**1.3 RESPONSIBILITY, PROTECTION, DAMAGES, RESTRICTIONS**

- A. Condition of space
  - 1. The owner assumes no responsibility for the actual condition of the space in which removals and demolition work is performed.
- B. Owner has no knowledge of presence of any asbestos or other hazardous building materials in the items to be demolished. If contractor encounters any such material during demolition, the work will be immediately stopped and contractor shall report all findings and such material presence to the owner.
- C. Protections
  - 1. Provide temporary barricades and other forms of protection required to protect property, personnel, and general public from injury due to selective removals and demolition work.
    - a. Provide protective measures as required to provide free and safe passage of employees, personnel of other trades and the general public.
    - b. Protect from damage existing finish work that is to remain in place and which becomes exposed during operations.
    - c. Protect floors with building paper or other suitable covering.
- D. Damage
  - 1. Promptly repair any and all damages to all property and finishes caused by the removals and demolition work to the satisfaction of the Architect and Resident Engineer, at no extra cost to the Owner.
- E. Explosives
  - 1. The use of explosives is prohibited.

- F. Power-driven Tools for interior removals and demolition.
1. Only hand-held, electric, power-driven tools conforming to the following criteria shall be used to cut or drill concrete and masonry:
    - a. Electric Chiseling Hammer
      - 1) Power Data 115 Volts AC
      - 2) 7-8 Amps
      - 3) Three-wire grounded connection
      - 4) Percussion 2400-2600 Impacts/Minute
      - 5) Type/Size Hand-held (+ 18-inch length)
      - 6) Unit Weight 12-15 pounds (minus chisel bit)
    - b. Electric Hammer Drill
      - 1) Power Data 115 Volts AC
      - 2) 5-8 Amps
      - 3) Three wire grounded connection
      - 4) Percussion 2400 – 3200 Impacts/Minute
      - 5) Type/Size Hand-held (+ 18-inch length)
      - 6) Unit Weight 12-15 pounds (minus chisel bit)
      - 7) Speed Data 500 RPM Max (Under load)

## PART 2 - PRODUCTS (NOT APPLICABLE)

## PART 3 - EXECUTION

### 3.1 INSPECTION

- A. Prior to commencement of the selective removals and demolition work, inspect the areas in which the work will be performed. Determine and list the existing conditions of rooms, area surfaces and equipment. After the work in each respective area is completed, determine if the adjacent surfaces or equipment have been damaged as a result of the work; if so, the damage shall be corrected at the Contractor's expense.

### 3.2 REMOVAL AND DEMOLITION WORK

- A. Perform selective demolition work in a systematic manner and use such methods as are required to complete the work indicated, and in accordance with the Specifications and governing City, State and Federal regulations.
- B. When walls, partitions, floors and ceilings (or portions thereof) are indicated to be removed; unless indicated otherwise:
1. Remove all items attached to the surfaces of the construction to be removed.
  2. Remove electrical wiring, to include, but not limited to, specific lighting, communications, alarms, conduits, devices, fixtures, and other electrical items and accessories occurring on or in the construction to be removed. Disconnect power and remove wiring and conduit back to the source.



3.3 DISPOSAL OF DEMOLISHED MATERIALS

- A. Remove debris, rubbish and other materials resulting from the removals and demolitions work, from the building immediately; transport and legally dispose of materials off-site. The disposal method shall be in accordance with City, State, and Federal regulations.
- B. Burning of removed materials is not permitted on the job site.

3.4 CLEAN-UP AND REPAIRS

- A. Upon completion of removals and demolition work, remove all tools and equipment, and any remaining demolished materials from the site.
- B. Repair all damaged areas caused by the removals and demolition work. Repair any adjacent construction or surfaces soiled or damaged by selective demolition materials at the site.

All areas in which work was performed under this Section shall be left "broom-clean".

**END OF SECTION 02 4119**

THIS PAGE INTENTIONALLY LEFT BLANK

**SECTION 22 0000 - GENERAL CONDITIONS FOR PLUMBING TRADES PART 1 - GENERAL**

**1.1 DESCRIPTION**

- A. The General Conditions and Supplementary General Conditions are a part of this Division and are to be considered a part of this Contract.
- B. Where items of the General Conditions and Supplementary General Conditions are repeated in this Section of the Specifications, it is merely intended to qualify or to call particular attention to them. It is not intended that any other parts of the General Conditions and Supplementary General Conditions be assumed to be omitted if not repeated herein.
- C. This Section applies equally and specifically to all Contractors supplying labor and/or equipment and/or materials as required under each Section of this Division.
- D. The following information contains specifications of Work in connection with, and in addition to, this Division:
  - 1. All plans associated with project.
  - 2. All specifications associated with project.
- E. Work is not limited to this Division or the Drawings associated with this Division. Work is specified throughout all the plans and specifications associated with the Project.
- F. Division of Work responsibilities are as defined and directed by the Bidding Agent and/or the Bidding General Contractor.

**1.2 INTENT**

- A. It is the intent of the Drawings and Specifications to call for finished Work, tested and ready for operation.
- B. Furnish, deliver and install any apparatus, appliance, material or Work not shown on the Drawings but mentioned in the Specifications, or vice versa, or any incidental accessories necessary to make the Work complete and perfect in all respects and ready for operation, even if not particularly specified, under their respective Section without additional expense to the Owner.
- C. Include in the Work minor details not shown or specified but necessary for proper installation and operation, as though they were hereinafter shown or specified.
- D. Provide Engineer written notice of any materials or apparatus believed inadequate or unsuitable, in violation of laws, ordinances, rules or regulations of authorities having jurisdiction and any necessary items of Work omitted. In the absence of such written notice, it is mutually agreed that Work under each Section has included the cost of all required items for the accepted, satisfactory functioning of the entire system without extra compensation.
- E. The Work indicated is diagrammatic. The Architect and/or Engineer may require, included as part of this Contract, the relocation of devices to reasonable distances from the general locations shown.
- F. Verbal clarifications of the Drawings or Specifications during the bid period are not to be relied upon. Refer any questions or clarifications to the Engineer at least five Working days prior to bidding to allow for issuance of an addendum. After the five-day deadline, Bidder must make a decision and qualify the Bid, if the Bidder feels it necessary.

### 1.3 DRAWINGS

- A. Drawings are diagrammatic and indicate the general arrangement of systems and Work included in the Contract. (Do not scale the Drawings.) Consult the Architectural Drawings and Details for exact locations of fixtures and equipment; where same are not definitely located, obtain this information from the Architect.
- B. Closely follow Drawings in layout of Work; check Drawings associated with other Divisions to verify spaces in which Work will be installed. Maintain maximum headroom. Where space conditions appear inadequate, notify Engineer before proceeding with installations.
- C. Engineer may, without extra charge, make reasonable modifications in the layout as needed to prevent conflict with Work of other trades and for proper execution of the Work.
- D. Where variances occur between the Drawings and Specifications or within either of the Documents, include the item or arrangement of better quality, greater quantity or higher cost in the Contract price. It is at the Engineer's discretion to decide on the item and the manner in which the Work will be installed.

### 1.4 SURVEYS AND MEASUREMENTS

- A. Before submitting a Bid, visit the site and become thoroughly familiar with all conditions under which the Work will be installed. Contractor will be held responsible for any assumptions, omissions or errors made as a result of failure to become familiar with the site and the Contract Documents.
- B. Base all measurements, both horizontal and vertical, from established benchmarks. Reference all Work from these established lines and levels. Verify all measurements at site and check the correctness of same as related to the Work.
- C. Should the Contractor discover any discrepancies between actual measurements and those indicated which prevent following good practice or the intent of the Drawings and Specifications notify the Engineer and do not proceed with that Work until instructions have been received from the Engineer.

### 1.5 CODES AND STANDARDS

- A. The Codes, Standards and abbreviations listed below apply to all mechanical Work. Where Codes or Standards are mentioned in these Specifications, follow the latest edition or revision:

ICC - International Plumbing, Mechanical Code. NFPA  
- Life Safety Code 101.

ANSI – The American National Standards Institute.

NEC – The National Electrical Code.

AABC – Associated Air Balance Council. ADC –  
Air Diffusion Council.

AGA – American Gas Association.

AMCA – Air Moving and Conditioning Associations.

ARI – Air Conditioning and Refrigeration Institute.

ASHRAE – American Society of Heating, Refrigeration and Air Conditioning Engineers. ASME –  
American Society of Mechanical Engineers.

ASPE – American Society of Plumbing Engineers.

ASTM – American Society of Testing and Materials.

AWS – American Welding Society.

CGA – Compressed Gas Association.

CISPI – Cast Iron Soil Pipe Institute.  
HIS – Hydraulic Institute Standards  
– Institute of Boiler and Radiation  
Manufacturers. NEBB – National  
Environmental Balancing Bureau.  
NOFI – National Oil Fuel Institute. NSF  
– National Sanitation Foundation.  
OSH – Occupational Safety and Health Administration.  
PDI – Plumbing and Drainage Institute.  
SMACNA – Sheet Metal and Air Conditioning Contractors National Association. UL  
– Underwriters’ Laboratories.

- B. The current adopted editions of the following State or local Codes apply:

State Building Code, Connecticut Supplements and referenced publications  
Life Safety Code NFPA 101 and Connecticut Supplements  
Local Building Code  
International Plumbing Code.  
International Mechanical Code.  
State of Connecticut Fire Safety Code

- C. All materials furnished and all Work installed comply with the rules and recommendations of the NFPA, the requirements of the local utility companies, the recommendations of the fire insurance rating organization having jurisdiction and with the requirements of all Governmental departments having jurisdiction.
- D. Include in the Work, without extra cost to the Owner, any labor, materials, services, apparatus and drawings in order to comply with all applicable laws, ordinances, rules and regulations whether or not shown on Drawings and/or specified.

## 1.6 PERMITS AND FEES

- A. Give all necessary notices, obtain all permits, pay all Government and State sales taxes and fees where applicable, and other costs, including utility connections or extensions in connection with the Work. File all necessary Drawings, prepare all Documents and obtain all necessary approvals of all Governmental and State departments having jurisdiction, obtain all required certificates of inspections for Work and deliver a copy to the Engineer before request for acceptance and final payment for the Work.

## 1.7 COORDINATION

- A. Carry out all Work in conjunction with other trades and give full cooperation in order that all Work may proceed with a minimum of delay and interference. Particular emphasis is placed on timely installation of major apparatus and furnishing other Contractors, especially the General Contractor, with information as to openings, chases, equipment locations and panels required by other trades.
- B. Contractors are required to examine all of the Project Documents and mutually arrange Work so as to avoid interference. In general, ductwork, heating and sprinkler piping and drainage lines take precedence over water, gas and electrical conduits. Final decisions will be made by the Engineer regarding the arrangement of Work which cannot be agreed upon by the Contractors.
- C. Where the Work of the Contractor will be installed in close proximity to or will interfere with Work of

- other trades, assist in Working out space conditions to make a satisfactory adjustment.
- D. If Work is installed before coordinating with other Divisions or so as to cause interference with Work of other Sections, the Contractor causing the interference will make necessary changes to correct the condition, without extra charge to the Owner.
- E. Initial contact and coordination has been conducted with utility entities for the purposes of the preparation of Bid Documents. Coordinate all final specific utility requirements.

## 1.8 ACCEPTANCES

- A. The equipment, materials, Workmanship, design and arrangement of all Work installed under the Mechanical Sections are subject to the review of the Engineer.
- B. Within 30 days after the awarding of a Contract, submit to the Engineer for review a list of manufacturers of equipment proposed for the Work under the Mechanical Sections. The intent to use the exact makes specified does not relieve the Contractor of the responsibility of submitting such a list.
  - 1. If extensive or unacceptable delivery time is expected on a particular item of equipment specified, notify the Engineer, in writing, within 30 days of the awarding of the Contract. In such instances, deviations may be made pending review by the Engineer or Owner's representative.
- C. Where any specific material, process or method of construction or manufactured article is specified by reference to the catalog number of a manufacturer, the Specifications are to be used as a guide and are not intended to take precedence over the basic duty and performance specified or noted on the Drawings. In all cases, verify the duty specified with the specific characteristics of the equipment offered for review. Equipment characteristics are to be used as mandatory requirements where the Contractor proposes to use an acceptable equivalent.
- D. If material or equipment are installed before shop drawing review, liability for its removal and replacement is assumed by the Contractor, at no extra charge to the Owner, if, in the opinion of the Engineer, the material or equipment does not meet the intent of the Drawings and Specifications.
- E. Failure on the part of the Engineer to reject shop drawings or to reject Work in progress shall not be interpreted as acceptance of Work not in conformance to the drawings and/or specifications. Correct Work and/or materials not in conformance with the drawings and/or specifications whenever non-conformance is discovered.

## 1.9 EQUIPMENT DEVIATIONS

- A. Where the Contractor proposes to deviate (substitute or provide an equivalent) from the equipment as hereinafter specified, a request is to be made in writing. State in the request whether it is a substitution or an equivalent to that specified and the amount of credit or extra cost involved. Include a copy of said in the Mechanical Base Bid with manufacturer's equipment cuts. The Base Bid must be based on using the materials and equipment as specified with no exceptions.
- B. In these Specifications and on the accompanying Drawings, one or more makes of materials, apparatus or appliances may have been specified for use in this installation. This has been done for convenience in fixing the standard of Workmanship, finish and design required for installation. In the event that only one (1) manufacturer of a product is specified and it is found that the manufacturer has discontinued the product, use an acceptable equivalent product that meets the requirements of an equivalent product, as noted below, and has all the features of the originally specified product.
- C. The details of Workmanship, finish and design and the guaranteed performance of any material,

apparatus or appliance which the Contractor desires to deviate for those mentioned herein must also conform to these standards. Where no specific make of material, apparatus or appliance is mentioned, any first-class product made by a reputable manufacturer may be used providing it conforms, in the opinion of and meets with the acceptance of the Engineer, to the requirements of these Specifications.

- D. Where two or more names are given as equivalents, the Contractor must use one of the named equivalents.
- E. Where one name only is used and is followed by the words "or accepted as equivalent", the Contractor must use the item named, but he may apply for an equipment deviation through the prescribed manner in accordance with this Specification.
- F. Equipment, material or devices submitted for review as an "equivalent" to such equipment, material or devices specified must meet the following requirements:
  - 1. The equivalent must have the same construction features such as, but not limited to:
    - a. Material thickness, gauge, weight, density, etc.
    - b. Welded, riveted, bolted, etc., construction
    - c. Finish, priming, corrosion protection
  - 2. The equivalent must perform with the same or better efficiency of energy consumption.
  - 3. Local representation by the manufacturer for service, parts and technical information must be available
  - 4. The equivalent must bear the same labels of performance certification as is applicable to the specified item.
- G. Where the Contractor proposes to use an item of equipment other than specified or detailed on the Drawings which requires any redesign of the structure, partitions, foundations, piping, wiring or any other part of the mechanical, electrical or architectural layout, all such redesign and all new Drawings and detailing required are to, with the concurrence of the Engineer, be prepared by the Contractor at no cost to the Owner.
- H. Where such accepted deviation or substitution requires a different quantity and arrangement of wiring, conduit and equipment from that specified or indicated on the Drawings, with the concurrence of the Engineer, furnish and install any such additional equipment required by the system at no additional cost to the Owner, including any costs added to other trades due to the substitution.
- I. The definition of "accepted equivalent" is a product that, in the opinion of the Engineer, is acceptable for the intended application in lieu of the product listed in the Specifications or noted on the Drawings and has no cost impact on the project.
- J. The definition of substitution is a product that, in the opinion of the Engineer, is of a lesser quality and/or has cost impact on the project or requires other changes to meet the Specification.

#### **1.10 CHANGES IN WORK**

- A. A Change Order is a written order to the Contractor signed by the Owner and the Architect, issued after execution of the Contract, authorizing a change in the Work or an adjustment in the Contract sum or the Contract time. A Change Order signed by the Contractor indicates his agreement therewith, including the adjustment in the Contract sum or the Contract time.
- B. All changes in the Work follow the recommendations of Article 12 of AIA General Conditions of the Contract for Construction.

**1.11 MANUFACTURER'S IDENTIFICATION**

- A. Manufacturer's nameplate, name or trademark and address must be attached permanently to all equipment and materials furnished under this Division. The nameplate must indicate the name of manufacturer, description, size, type, serial or model number, electrical characteristics and other information. Nameplates of a Contractor or distributor are not acceptable.

**1.12 SHOP DRAWINGS**

- A. Refer to individual specification sections for additional submittal information.
- B. Submit for review detailed shop drawings of all equipment and material required to complete the project. No material or equipment may be delivered to the job site or installed until the Contractor has in his possession reviewed shop drawings for the particular material or equipment.
- C. Submit shop drawings as soon as practical, within 60 days after award of Contract and before any material or equipment is purchased. Submit for review copies of all shop drawings to be incorporated in the Mechanical Contract. Refer to the General Conditions and Supplementary General Conditions for the quantity of copies required for submission. Where quantities are not specified, provide seven (7) copies for review.
- D. Submit shop drawings for all equipment and/or devices specified. Included in the shop drawings are manufacturer's names, catalog numbers, cuts, diagrams and other such descriptive data as may be required to identify the equipment. No consideration will be given to a partial shop drawing submittal. Equipment shop drawings shall be submitted by the manufacturers supplying vendor, catalog reproductions, including electronic, shall not be acceptable.
  - 1. Where multiple quantities or types of equipment are being submitted, provide a cover sheet (with a list of contents) on the submittal identifying the equipment or material being submitted.
  - 2. Clearly indicate all specific options and/or alternatives. Failure to do so will be grounds for rejection.
  - 3. Clearly mark all shop drawings with the specific associated specification section.
- E. Failure of the Contractor to submit shop drawings in ample time for review is not an entitlement to an extension of Contract time and no claim for extension by reason of such default will be allowed. Also, it does not entitle the Contractor to purchase, furnish and/or install equipment that has not been reviewed by the Engineer. All costs associated with the delay of construction due to equipment and/or materials arriving late or shipped to the site at a premium cost due to late or improper shop drawing submittal are the responsibility of the Contractor.
- F. Furnish all necessary templates, patterns, etc., for installation Work and for the purpose of making adjoining Work conform; furnish setting plans and shop details to other trades as required.
- G. Review rendered on shop drawings will not be considered as a guarantee of measurements or building conditions. Where drawings are reviewed, review does not indicate that drawings have been checked in detail; said review does not in any way relieve the Contractor from his responsibility or necessity of furnishing material or performing Work as required by the Contract Drawings and Specifications. Verify available space prior to submitting shop drawings.
- H. Review of shop drawings does not apply to quantity nor relieve the Contractor of responsibility for compliance with the intent of the Drawings and Specifications. Review of shop drawings is final; no further changes will be allowed without the written consent of the Engineer.
- I. Shop drawings must be specific with items submitted for review clearly identified in red ink. Data of



general nature will not be accepted.

- J. Make any corrections required by Engineer and resubmit required number of corrected copies of shop drawings or new samples until accepted. Direct specific attention in writing or on resubmitted shop drawings to revisions other than corrections requested by Engineer on previous submissions. Engineer will review no more than one resubmittal of any shop drawing or sample at Owner's expense. The fees for review of additional resubmittals are to be paid by the Contractor at the Engineer's standard rates.

### **1.13 RECORD DRAWINGS**

- A. Maintain a record set of Mechanical Drawings at the job site on which any changes in location of equipment, devices, panels and major conduits are recorded.
- B. At the end of construction, provide the Owner with a complete set of As-Built Drawings, including all Mechanical plans, indicate routing of piping, ducts, location of equipment, valves, cleanouts and access panels. Include all inverts and elevations.. As-Built documentation is drawn utilizing the most recent version of AutoCad. Provide the Owner with a "CD ROM" disk and one set of reproducible Mylar documents.
- C. Electronic copies of the contract documents will be made available, at the cost of \$35.00 per sheet, to the Contractor for use in production of As-Built documentation. The Contractor assumes responsibility for completeness and accuracy of the As-Built documents. Translation or manipulation of electronic documents provided to the Contractor by the Engineer is the responsibility of the Contractor.

### **1.14 MATERIALS AND WORKMANSHIP**

- A. All materials and apparatus required for the Work, except as otherwise specified, must be new and of first-class quality and be furnished, delivered, erected, connected and finished in every detail and so selected and arranged as to fit properly into the building spaces. Where no specific kind or quality of material is given, furnish a first-class standard article as accepted by the Engineer.
- B. Furnish the services of an experienced superintendent who is constantly in charge of the installation of the Work, and present on site at all times during the Work. Furnish all skilled Workmen, helpers and labor required to install, unload, transfer, erect, connect up, adjust, start, operate and test each system.
- C. Unless otherwise specifically indicated on the Drawings or in the Specifications, all equipment and materials must be installed with the acceptance of the Engineer and in accordance with the recommendations of the manufacturer. This includes the performance of such tests as the manufacturer recommends.
- D. Quality of Work must be consistent with good trade practice and installed in a neat, Workmanlike manner. The Engineer reserves the right to reject any Work which, in his opinion, has been installed in a substandard, dangerous or unserviceable manner. Replacement of said Work, in a satisfactory manner, will be at no extra charge to the Owner.
- E. Year-2000 Compliant: Certify all digitally controlled/monitored equipment and systems to be "Year 2000 Compliant". Computer hardware and software shall be capable of accurately processing, providing, and receiving date data from, into, and between the twentieth and twenty-first centuries, including leap-year calculations. All program codes are "non-date sensitive" codes that will not cause an automatic program malfunction, stop command, miscalculation or similar function stopping continued and proper operation upon a sequence of numbers that occur by date.

### **1.15 PROTECTION OF EQUIPMENT AND MATERIALS**

- A. Work under each Section includes protecting the Work and material of all other Sections from damage by Work or Workmen and includes making good all damage thus caused.
- B. The Contractor is responsible for Work and equipment until final turn-over to the Owner. Protect Work and Equipment from water, dust and dirt, and against theft, injury or damage. Carefully store and secure material and equipment received on site that is not immediately installed. Close with temporary covers or plugs open ends of Work during construction to prevent entry of water, obstructing or other foreign materials.
- C. Work under each Section includes receiving, unloading, uncrating, storing, protecting, setting in place and connecting up completely of any equipment supplied under each Section. Work under each Section also includes exercising special care in handling and protecting equipment and fixtures and includes the cost of replacing any of the above equipment and fixtures which are missing or damaged by reason of mishandling or failure on the part of the Contractor to protect.

**1.16 SCAFFOLDING, RIGGING, HOISTING**

- A. Furnish all scaffolding, rigging, hoisting and services necessary for erection and delivery into the premises of any equipment and apparatus furnished under this Division. Remove same from premises when no longer required.

**1.17 EXCAVATION AND BACKFILLING**

- A. Excavation and backfilling will be coordinated with the Division 2 of the Specifications.
- B. It is the responsibility of the Contractor to field coordinate sizes, depths, fill and bedding requirements and any other excavation Work required under this Division.

**1.18 WATERPROOFING**

- A. Where any Work pierces waterproofing, including waterproof concrete and floors in wet areas, review the method of installation with the Engineer before Work is done. Furnish all necessary sleeves, caulking, flashing and fittings required to make openings and penetrations absolutely watertight.

**1.19 ACCESSIBILITY AND ACCESS PANELS**

- A. The Contractor is responsible for the sufficiency of the size of shafts and chases, the adequate thickness of partitions and the adequate clearance in double partitions and hung ceilings for the proper installation of the Work.
- B. Locate all equipment which must be serviced, operated or maintained in fully accessible positions. Equipment includes, but is not limited to: air handling equipment, hydronic systems including valves, coils, drain and vent points,, etc. Furnish access doors if better accessibility is required. Minor deviations from Drawings may be made to allow for better accessibility, but changes of magnitude or which involve extra cost must not be made without review by the Engineer.
- C. Field Coordinate access doors in walls, ceilings, floors, etc. It is the responsibility of the Mechanical Contractor to coordinate and provide information regarding the sizes and quantities of access doors required for the Work. Arrange Work in such a manner so as to minimize the quantity of access doors required. Locate all items requiring accessibility in already accessible areas, such as above lay-in ceilings, etc.
- D. Upon completion of the Project, physically demonstrate that all equipment and devices installed have

been located and/or provided with adequate access panels for repair, maintenance and/or operation. Relocate any equipment not so furnished or provide additional access panels at no additional cost to the Owner.

- E. Furnish and install permanent ladders for access to equipment. Coordinate exact requirements in field.

#### **1.20 TEMPORARY OPENINGS**

- A. As certain from examination of the Drawings whether any special temporary openings in the building will be required for the admission of apparatus provided under this Division, and field coordinate the requirements accordingly. In the event of failure of the Contractor to give sufficient notice in time to arrange for these openings during construction, the Contractor assumes all costs of providing such openings thereafter.

#### **1.21 SHUTDOWNS**

- A. When installation of a new system requires the temporary shutdown of an existing operating system, perform the connection of the new system at such time as designated by the Owner's representative. Complete Work on premium time if required at no additional cost to the Owner.
- B. Notify the Engineer and the Owner of the estimated duration of the shutdown period at least ten (10) days in advance of the date the Work is to be performed.
- C. Arrange Work associated with the shutdown of existing systems for continuous performance. Provide all necessary labor, including overtime, if required, to assure that existing operating services will be shut down only during the time actually required to make necessary connections.

#### **1.22 PAINTING**

- A. Refer to Division 9 for painting requirements.
- B. All materials shipped to the job site under this Division such as panels, plates, etc., must have prime coat and standard manufacturer's finish, unless otherwise specified.
- C. Perform all painting in areas in accordance with the following:
  - 1. Paint all concealed, non-insulated hangers, supports and other ferrous metal Work, except that which is galvanized. Coat, prior to installation, inaccessible conduits, hangers, supports, anchors and ducts.
  - 2. Do not paint over the manufacturer's nameplate data on equipment. Take special care to avoid covering or spattering paint on the nameplate.
  - 3. Touch up damaged equipment shop coats in the field.

#### **1.23 TEMPORARY SERVICES**

- A. Refer to the General Conditions and Special Conditions for a full description of the temporary services to be provided.

#### **1.24 CLEANING**

- A. Thoroughly clean all equipment of all foreign substances inside and out before being placed in operation.
- B. If any part of a system should be stopped or clogged by any foreign matter after being placed in operation, disconnect the system wherever necessary to locate and remove obstructions. Then clean

and reconnect the system. Repair or replace any Work damaged in the course of removing obstructions when the system is reconnected at no additional cost to the Owner.

- C. Upon completion of Work under the Contract, remove from the premises all rubbish, debris and excess materials left over from the Work. Remove any oil or grease stains on floor areas caused by the Contractor, all floor areas must be left clean.

#### **1.25 GUARANTEES**

- A. Guarantee all materials and Workmanship under these Specifications and the Contract for a period of one (1) year from the date of final acceptance by the Owner.
- B. During this guaranteed period, correct or replace all defects developing through materials or Workmanship immediately as directed by the Engineer without expense to the Owner; make all such repairs or replacements to the Owner's satisfaction.

**END OF SECTION 22 0000**

**SECTION 22 0500 – COMMON WORK RESULTS FOR PLUMBING PART 1 -**

**GENERAL**

**1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. This Section includes the following:
  - 1. Piping materials and installation instructions common to most piping systems.
  - 2. Transition fittings.
  - 3. Dielectric fittings.
  - 4. Mechanical sleeve seals.
  - 5. Sleeves.
  - 6. Escutcheons.
  - 7. Grout.
  - 8. Mechanical demolition.
  - 9. Painting and finishing.
  - 10. Concrete bases.
  - 11. Supports and anchorages.

**1.3 DEFINITIONS**

- A. Finished Spaces: Spaces other than mechanical and electrical equipment rooms, furred spaces, pipe and duct shafts, unheated spaces immediately below roof, spaces above ceilings, unexcavated spaces, crawlspaces, and tunnels.
- B. Exposed, Interior Installations: Exposed to view indoors. Examples include finished occupied spaces and mechanical equipment rooms.
- C. Exposed, Exterior Installations: Exposed to view outdoors or subject to outdoor ambient temperatures and weather conditions. Examples include rooftop locations.
- D. Concealed, Interior Installations: Concealed from view and protected from physical contact by building occupants. Examples include above ceilings and in duct shafts.
- E. Concealed, Exterior Installations: Concealed from view and protected from weather conditions and physical contact by building occupants but subject to outdoor ambient temperatures. Examples include installations within unheated shelters.
- F. The following are industry abbreviations for plastic materials:
  - 1. ABS: Acrylonitrile-butadiene-styrene plastic.
  - 2. CPVC: Chlorinated polyvinyl chloride plastic.
  - 3. PE: Polyethylene plastic.
  - 4. PVC: Polyvinyl chloride plastic.

G. The following are industry abbreviations for rubber materials:

1. EPDM: Ethylene-propylene-diene terpolymer rubber.
2. NBR: Acrylonitrile-butadiene rubber.

#### 1.4 SUBMITTALS

A. Product Data: For the following:

1. Transition fittings.
2. Dielectric fittings.
3. Mechanical sleeve seals.
4. Escutcheons.

#### 1.5 QUALITY ASSURANCE

A. Steel Pipe Welding: Qualify processes and operators according to ASME Boiler and Pressure Vessel Code: Section IX, "Welding and Brazing Qualifications."

1. Comply with provisions in ASME B31 Series, "Code for Pressure Piping."
2. Certify that each welder has passed AWS qualification tests for welding processes involved and that certification is current.

B. Electrical Characteristics for Mechanical Equipment: Equipment of higher electrical characteristics may be furnished provided such proposed equipment is approved in writing and connecting electrical services, circuit breakers, and conduit sizes are appropriately modified. If minimum energy ratings or efficiencies are specified, equipment shall comply with requirements. There shall be no additional contract costs associated with these modifications.

#### 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Deliver pipes and tubes with factory-applied end caps. Maintain end caps through shipping, storage, and handling to prevent pipe end damage and to prevent entrance of dirt, debris, and moisture.
- B. Store plastic pipes protected from direct sunlight. Support to prevent sagging and bending.

#### 1.7 COORDINATION

- A. Arrange for pipe spaces, chases, slots, and openings in building structure during progress of construction, to allow for mechanical installations.
- B. Coordinate installation of required supporting devices and set sleeves in poured-in-place concrete and other structural components as they are constructed.
- C. Coordinate requirements for access panels and doors for mechanical items requiring access that are concealed behind finished surfaces.

## **PART 2 - PRODUCTS**

### **2.1 MANUFACTURERS**

- A. In other Part 2 articles where subparagraph titles below introduce lists, the following requirements apply for product selection:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by the manufacturers specified.

### **2.2 PIPE, TUBE, AND FITTINGS**

- A. Refer to individual Division 23 piping Sections for pipe, tube, and fitting materials and joining methods.
- B. Pipe Threads: ASME B1.20.1 for factory-threaded pipe and pipe fittings.

### **2.3 JOINING MATERIALS**

- A. Refer to individual Division 23 piping Sections for special joining materials not listed below.
- B. Pipe-Flange Gasket Materials: Suitable for chemical and thermal conditions of piping system contents.
  - 1. ASME B16.21, nonmetallic, flat, asbestos-free, 1/8-inch maximum thickness unless thickness or specific material is indicated.
    - a. Full-Face Type: For flat-face, Class 125, cast-iron and cast-bronze flanges.
    - b. Narrow-Face Type: For raised-face, Class 250, cast-iron and steel flanges.
  - 2. AWWA C110, rubber, flat face, 1/8 inch thick, unless otherwise indicated; and full-face or ring type, unless otherwise indicated.
- C. Flange Bolts and Nuts: ASME B18.2.1, carbon steel, unless otherwise indicated.
- D. Plastic, Pipe-Flange Gasket, Bolts, and Nuts: Type and material recommended by piping system manufacturer, unless otherwise indicated.
- E. Solder Filler Metals: ASTM B 32, lead-free alloys. Include water-flushable flux according to ASTM B 813.
- F. Brazing Filler Metals: AWS A5.8, BCuP Series, copper-phosphorus alloys for general-duty brazing, unless otherwise indicated; and AWS A5.8, BAg1, silver alloy for refrigerant piping, unless otherwise indicated.
- G. Welding Filler Metals: Comply with AWS D10.12 for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.
- H. Solvent Cements for Joining Plastic Piping:
  - 1. ABS Piping: ASTM D 2235.
  - 2. CPVC Piping: ASTM F 493.
  - 3. PVC Piping: ASTM D 2564. Include primer according to ASTM F 656.
  - 4. PVC to ABS Piping Transition: ASTM D 3138.

- I. Fiberglass Pipe Adhesive: As furnished or recommended by pipe manufacturer.

## 2.4 TRANSITION FITTINGS

- A. AWWA Transition Couplings: Same size as, and with pressure rating at least equal to and with ends compatible with, piping to be joined.
  - 1. Manufacturers:
    - a. Cascade Waterworks Mfg. Co.
    - b. Dresser Industries, Inc.; DMD Div.
    - c. JCM Industries.
  - 2. Underground Piping NPS 1-1/2 and Smaller: Manufactured fitting or coupling.
  - 3. Underground Piping NPS 2 and Larger: AWWA C219, metal sleeve-type coupling.
  - 4. Aboveground Pressure Piping: Pipe fitting.
- B. Plastic-to-Metal Transition Fittings: **CPVC and PVC** one-piece fitting with manufacturer's Schedule 80 equivalent dimensions; one end with threaded brass insert, and one solvent-cement-joint end.
  - 1. Manufacturers:
    - a. Eslon Thermoplastics.
- C. Plastic-to-Metal Transition Adaptors: One-piece fitting with manufacturer's SDR 11 equivalent dimensions; one end with threaded brass insert, and one solvent-cement-joint end.
  - 1. Manufacturers:
    - a. Thompson Plastics, Inc.
- D. Plastic-to-Metal Transition Unions: MSS SP-107, **CPVC and PVC** four-part union. Include brass end, solvent-cement-joint end, rubber O-ring, and union nut.
  - 1. Manufacturers:
    - a. NIBCO INC.
    - b. NIBCO, Inc.; Chemtrol Div.
- E. Flexible Transition Couplings for Underground Nonpressure Drainage Piping: ASTM C 1173 with elastomeric sleeve, ends same size as piping to be joined, and corrosion-resistant metal band on each end.
  - 1. Manufacturers:



- a. Cascade Waterworks Mfg. Co.
- b. Fernco, Inc.
- c. Mission Rubber Company.

## 2.5 DIELECTRIC FITTINGS

- A. Description: Combination fitting of copper alloy and ferrous materials with threaded, solder-joint, plain, or weld-neck end connections that match piping system materials.
- B. Insulating Material: Suitable for system fluid, pressure, and temperature.
- C. Dielectric Unions: Factory-fabricated, union assembly, for 250-psig minimum working pressure at 180 deg F.
  - 1. Manufacturers:
    - a. Epco Sales, Inc.
    - b. Watts Industries, Inc.; Water Products Div.
    - c. Zurn Industries, Inc.; Wilkins Div.
- D. Dielectric Flanges: Factory-fabricated, companion-flange assembly, for 150- or 300-psig minimum working pressure as required to suit system pressures.
  - 1. Manufacturers:
    - a. Capitol Manufacturing Co.
    - b. Epco Sales, Inc.
    - c. Watts Industries, Inc.; Water Products Div.
- E. Dielectric-Flange Kits: Companion-flange assembly for field assembly. Include flanges, full-face- or ring-type neoprene or phenolic gasket, phenolic or polyethylene bolt sleeves, phenolic washers, and steel backing washers.
  - 1. Manufacturers:
    - a. Calpico, Inc.
    - b. Central Plastics Company.
    - c. Pipeline Seal and Insulator, Inc.
  - 2. Separate companion flanges and steel bolts and nuts shall have 150- or 300-psig minimum working pressure where required to suit system pressures.
- F. Dielectric Couplings: Galvanized-steel coupling with inert and noncorrosive, thermoplastic lining; threaded ends; and 300-psig minimum working pressure at 225 deg F.
  - 1. Manufacturers:
    - a. Calpico, Inc.
    - b. Lochinvar Corp.

- G. Dielectric Nipples: Electroplated steel nipple with inert and noncorrosive, thermoplastic lining; plain, threaded, or grooved ends; and 300-psig minimum working pressure at 225 deg F.
  - 1. Manufacturers:
    - a. Precision Plumbing Products, Inc.
    - b. Sioux Chief Manufacturing Co., Inc.
    - c. Victaulic Co. of America.

## 2.6 MECHANICAL SLEEVE SEALS

- A. Description: Modular sealing element unit, designed for field assembly, to fill annular space between pipe and sleeve.
  - 1. Manufacturers:
    - a. Calpico, Inc.
    - b. Metraflex Co.
    - c. Pipeline Seal and Insulator, Inc.
  - 2. Sealing Elements: EPDM or NBR interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
  - 3. Pressure Plates: Stainless steel. Include two for each sealing element.
  - 4. Connecting Bolts and Nuts: Stainless steel of length required to secure pressure plates to sealing elements. Include one for each sealing element.

## 2.7 SLEEVES

- A. Galvanized-Steel Sheet: 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint.
- B. Steel Pipe: ASTM A 53, Type E, Grade B, Schedule 40, galvanized, plain ends.
- C. Cast Iron: Cast or fabricated "wall pipe" equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop, unless otherwise indicated.
- D. Stack Sleeve Fittings: Manufactured, cast-iron sleeve with integral clamping flange. Include clamping ring and bolts and nuts for membrane flashing.
  - 1. Underdeck Clamp: Clamping ring with setscrews.
- E. Molded PE: Reusable, PE, tapered-cup shaped, and smooth-outer surface with nailing flange for attaching to wooden forms.

## 2.8 ESCUTCHEONS

- A. Description: Manufactured wall and ceiling escutcheons and floor plates, with an ID to closely fit around pipe, tube, and insulation of insulated piping and an OD that completely covers opening.
- B. One-Piece, Deep-Pattern Type: Deep-drawn, box-shaped brass with polished chrome-plated finish.
- C. One-Piece, Cast-Brass Type: With setscrew.

1. Finish: **Polished chrome-plated.**
- D. Split-Casting, Cast-Brass Type: With concealed hinge and set screw.
  1. Finish: **Polished chrome-plated.**
- E. One-Piece, Stamped-Steel Type: With **setscrew or spring clips** and chrome-plated finish.
- F. Split-Plate, Stamped-Steel Type: With **concealed** hinge, **setscrew or spring clips**, and chrome-plated finish.
- G. One-Piece, Floor-Plate Type: Cast-iron floor plate.
- H. Split-Casting, Floor-Plate Type: Cast brass with concealed hinge and set screw.

## 2.9 GROUT

- A. Description: ASTM C 1107, Grade B, nonshrink and nonmetallic, dry hydraulic-cement grout.
  1. Characteristics: Post-hardening, volume-adjusting, nonstaining, noncorrosive, nongaseous, and recommended for interior and exterior applications.
  2. Design Mix: 5000-psi, 28-day compressive strength.
  3. Packaging: Premixed and factory packaged.

## PART 3 - EXECUTION

### 3.1 MECHANICAL DEMOLITION

- A. Refer to Division 1 Sections "Cutting and Patching" and "Selective Demolition" for general demolition requirements and procedures.
- B. Disconnect, demolish, and remove mechanical systems, equipment, and components indicated to be removed.
  1. Piping to Be Removed: Remove portion of piping indicated to be removed and cap or plug remaining piping with same or compatible piping material.
  2. Piping to Be Abandoned in Place: Drain piping and cap or plug piping with same or compatible piping material.
  3. Equipment to Be Removed: Disconnect and cap services and remove equipment.
  4. Equipment to Be Removed and Reinstalled: Disconnect and cap services and remove, clean, and store equipment; when appropriate, reinstall, reconnect, and make equipment operational.
  5. Equipment to Be Removed and Salvaged: Disconnect and cap services and remove equipment and deliver to Owner.
- C. If pipe, insulation, or equipment to remain is damaged in appearance or is unserviceable, remove damaged or unserviceable portions and replace with new products of equal capacity and quality.

### 3.2 PIPING SYSTEMS - COMMON REQUIREMENTS

- A. Install piping according to the following requirements and Division 15 Sections specifying piping systems.
- B. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations. Install piping as indicated unless deviations to layout are approved on Coordination Drawings.
- C. Install piping in concealed locations, unless otherwise indicated and except in equipment rooms and service areas.
- D. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- E. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- F. Install piping to permit valve servicing.
- G. Install piping at indicated slopes.
- H. Install piping free of sags and bends.
- I. Install fittings for changes in direction and branch connections.
- J. Install piping to allow application of insulation.
- K. Select system components with pressure rating equal to or greater than system operating pressure.
- L. Install escutcheons for penetrations of walls, ceilings, and floors according to the following:
  - 1. New Piping:
    - a. Piping with Fitting or Sleeve Protruding from Wall: One-piece, deep-pattern type.
    - b. Chrome-Plated Piping: One-piece, cast-brass type with polished chrome-plated finish.
    - c. Insulated Piping: One-piece, stamped-steel type with spring clips.
    - d. Bare Piping at Wall and Floor Penetrations in Finished Spaces: One-piece, cast-brass type with polished chrome-plated finish.
    - e. Bare Piping at Ceiling Penetrations in Finished Spaces: One-piece or split-casting, cast-brass type with polished chrome-plated finish.
    - f. Bare Piping in Unfinished Service Spaces: One-piece, cast-brass type with polished chrome-plated finish.
    - g. Bare Piping in Equipment Rooms: One-piece, cast-brass type.
    - h. Bare Piping at Floor Penetrations in Equipment Rooms: One-piece, floor-plate type.
  - 2. Existing Piping: Use the following:
    - a. Chrome-Plated Piping: Split-casting, cast-brass type with chrome-plated finish.
    - b. Insulated Piping: Split-plate, stamped-steel type with concealed hinge and spring clips.
    - c. Bare Piping at Wall and Floor Penetrations in Finished Spaces: Split-casting, cast-brass type with chrome-plated finish.
    - d. Bare Piping at Ceiling Penetrations in Finished Spaces: Split-casting, cast-brass type with chrome-plated finish.
    - e. Bare Piping in Unfinished Service Spaces: Split-casting, cast-brass type with polished chrome-plated finish.

- f. Bare Piping in Unfinished Service Spaces: Split-plate, stamped-steel type with concealed hinge and set screw or spring clips.
  - g. Bare Piping in Equipment Rooms: Split-casting, cast-brass type.
  - h. Bare Piping at Floor Penetrations in Equipment Rooms: Split-casting, floor-plate type.
- M. Install sleeves for pipes passing through concrete and masonry walls and concrete floor and roof slabs.
- 1. Cut sleeves to length for mounting flush with both surfaces.
    - a. Exception: Extend sleeves installed in floors of mechanical equipment areas or other wet areas 2 inches above finished floor level. Extend cast-iron sleeve fittings below floor slab as required to secure clamping ring if ring is specified.
  - 2. Install sleeves in new walls and slabs as new walls and slabs are constructed.
  - 3. Install sleeves that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation. Use the following sleeve materials:
    - a. **Steel Pipe Sleeves:** For pipes smaller than NPS 6.
    - b. **Steel Sheet Sleeves:** For pipes NPS 6 and larger, penetrating gypsum-board partitions.
    - c. **Stack Sleeve Fittings:** For pipes penetrating floors with membrane waterproofing. Secure flashing between clamping flanges. Install section of cast-iron soil pipe to extend sleeve to 2 inches above finished floor level. Refer to Division 7 Section "Sheet Metal Flashing and Trim" for flashing.
      - 1) Seal space outside of sleeve fittings with grout.
  - 4. Except for underground wall penetrations, seal annular space between sleeve and pipe or pipe insulation, using joint sealants appropriate for size, depth, and location of joint. Refer to Division 7 Section "Joint Sealants" for materials and installation.
- N. Aboveground, Exterior-Wall Pipe Penetrations: Seal penetrations using sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- 1. Install steel pipe for sleeves smaller than 6 inches in diameter.
  - 2. Install cast-iron "wall pipes" for sleeves 6 inches and larger in diameter.
  - 3. **Mechanical Sleeve Seal Installation:** Select type and number of sealing elements required for pipe material and size. Position pipe in center of sleeve. Assemble mechanical sleeve seals and install in annular space between pipe and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.
- O. Underground, Exterior-Wall Pipe Penetrations: Install cast-iron "wall pipes" for sleeves. Seal pipe penetrations using mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- 1. **Mechanical Sleeve Seal Installation:** Select type and number of sealing elements required for

pipe material and size. Position pipe in center of sleeve. Assemble mechanical sleeve seals and install in annular space between pipe and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

- P. Fire-Barrier Penetrations: Maintain indicated fire rating of walls, partitions, ceilings, and floors at pipe penetrations. Seal pipe penetrations with firestop materials. Refer to Division 7 Section "Through-Penetration Firestop Systems" for materials.
- Q. Verify final equipment locations for roughing-in.

### 3.3 PIPING JOINT CONSTRUCTION

- A. Join pipe and fittings according to the following requirements and Division 23 Sections specifying piping systems.
- B. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- C. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- D. Soldered Joints: Apply ASTM B 813, water-flushable flux, unless otherwise indicated, to tube end. Construct joints according to ASTM B 828 or CDA's "Copper Tube Handbook," using lead-free solder alloy complying with ASTM B 32.
- E. Brazed Joints: Construct joints according to AWS's "Brazing Handbook," "Pipe and Tube" Chapter, using copper-phosphorus brazing filler metal complying with AWS A5.8.
- F. Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
  - 1. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.
  - 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.
- G. Welded Joints: Construct joints according to AWS D10.12, using qualified processes and welding operators according to Part 1 "Quality Assurance" Article.
- H. Flanged Joints: Select appropriate gasket material, size, type, and thickness for service application. Install gasket concentrically positioned. Use suitable lubricants on bolt threads.
- I. Plastic Piping Solvent-Cement Joints: Clean and dry joining surfaces. Join pipe and fittings according to the following:
  - 1. Comply with ASTM F 402 for safe-handling practice of cleaners, primers, and solvent cements.
  - 2. ABS Piping: Join according to ASTM D 2235 and ASTM D 2661 Appendixes.
  - 3. CPVC Piping: Join according to ASTM D 2846/D 2846M Appendix.
  - 4. PVC Pressure Piping: Join schedule number ASTM D 1785, PVC pipe and PVC socket fittings according to ASTM D 2672. Join other-than-schedule-number PVC pipe and socket fittings according to ASTM D 2855.
  - 5. PVC Nonpressure Piping: Join according to ASTM D 2855.
  - 6. PVC to ABS Nonpressure Transition Fittings: Join according to ASTM D 3138 Appendix.

- J. Plastic Pressure Piping Gasketed Joints: Join according to ASTM D 3139.
- K. Plastic Nonpressure Piping Gasketed Joints: Join according to ASTM D 3212.
- L. PE Piping Heat-Fusion Joints: Clean and dry joining surfaces by wiping with clean cloth or paper towels. Join according to ASTM D 2657.
  - 1. Plain-End Pipe and Fittings: Use butt fusion.
  - 2. Plain-End Pipe and Socket Fittings: Use socket fusion.
- M. Fiberglass Bonded Joints: Prepare pipe ends and fittings, apply adhesive, and join according to pipe manufacturer's written instructions.

### 3.4 PIPING CONNECTIONS

- A. Make connections according to the following, unless otherwise indicated:
  - 1. Install unions, in piping NPS 2 and smaller, adjacent to each valve and at final connection to each piece of equipment.
  - 2. Install flanges, in piping NPS 2-1/2 and larger, adjacent to flanged valves and at final connection to each piece of equipment.
  - 3. Dry Piping Systems: Install dielectric unions and flanges to connect piping materials of dissimilar metals.
  - 4. Wet Piping Systems: Install dielectric coupling and nipple fittings to connect piping materials of dissimilar metals.

### 3.5 EQUIPMENT INSTALLATION - COMMON REQUIREMENTS

- A. Install equipment to allow maximum possible headroom unless specific mounting heights are not indicated.
- B. Install equipment level and plumb, parallel and perpendicular to other building systems and components in exposed interior spaces, unless otherwise indicated.
- C. Install mechanical equipment to facilitate service, maintenance, and repair or replacement of components. Connect equipment for ease of disconnecting, with minimum interference to other installations. Extend grease fittings to accessible locations.
- D. Install equipment to allow right of way for piping installed at required slope.

### 3.6 PAINTING

- A. Painting of mechanical systems, equipment, and components is specified in Division 9 Section in Division 23 Sections and on plans..
- B. Damage and Touchup: Repair marred and damaged factory-painted finishes with materials and procedures to match original factory finish.

### 3.7 CONCRETE BASES

- A. Concrete Bases: Anchor equipment to concrete base according to equipment manufacturer's

written instructions and according to seismic codes at Project.

1. Construct concrete bases of dimensions indicated, but not less than 4 inches larger in both directions than supported unit.
2. Install dowel rods to connect concrete base to concrete floor. Unless otherwise indicated, install dowel rods on 18-inch centers around the full perimeter of the base.
3. Install epoxy-coated anchor bolts for supported equipment that extend through concrete base, and anchor into structural concrete floor.
4. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
5. Install anchor bolts to elevations required for proper attachment to supported equipment.
6. Install anchor bolts according to anchor-bolt manufacturer's written instructions.
7. Use 3000-psi, 28-day compressive-strength concrete and reinforcement as specified in Division 3 Section.

### **3.8 ERECTION OF METAL SUPPORTS AND ANCHORAGES**

- A. Cut, fit, and place miscellaneous metal supports accurately in location, alignment, and elevation to support and anchor mechanical materials and equipment.
- B. Field Welding: Comply with AWS D1.1.

### **3.9 GROUTING**

- A. Mix and install grout for mechanical equipment base bearing surfaces, pump and other equipment base plates, and anchors.
- B. Clean surfaces that will come into contact with grout.
- C. Provide forms as required for placement of grout.
- D. Avoid air entrapment during placement of grout.
- E. Place grout, completely filling equipment bases.
- F. Place grout on concrete bases and provide smooth bearing surface for equipment.
- G. Place grout around anchors.
- H. Cure placed grout.

**END OF SECTION 22 0500**



**SECTION 22 0523 – GENERAL-DUTY VALVES FOR PLUMBING PIPING PART 1 -**

**GENERAL**

**1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. This Section includes the following general-duty valves:
  - 1. Copper-alloy ball valves.
  - 2. Ferrous-alloy ball valves.
  - 3. Ferrous-alloy butterfly valves.
  - 4. Bronze check valves.
  - 5. Gray-iron swing check valves.
  - 6. Ferrous-alloy wafer check valves.
  - 7. Spring-loaded, lift-disc check valves.
  - 8. Bronze gate valves.
  - 9. Cast-iron gate valves.
  - 10. Cast-iron plug valves.
- B. Related Sections include the following:
  - 1. Division 22 Section "Plumbing Identification" for valve tags and charts.
  - 2. Division 22 Sections for specialty valves applicable to those Sections only.

**1.3 DEFINITIONS**

- A. The following are standard abbreviations for valves:
  - 1. CWP: Cold working pressure.
  - 2. EPDM: Ethylene-propylene-diene terpolymer rubber.
  - 3. NBR: Acrylonitrile-butadiene rubber.
  - 4. PTFE: Polytetrafluoroethylene plastic.
  - 5. TFE: Tetrafluoroethylene plastic.

**1.4 SUBMITTALS**

- A. Product Data: For each type of valve indicated. Include body, seating, and trim materials; valve design; pressure and temperature classifications; end connections; arrangement; dimensions; and required clearances. Include list indicating valve and its application. Include rated capacities; shipping,

installed, and operating weights; furnished specialties; and accessories.

## **1.5 QUALITY ASSURANCE**

- A. ASME Compliance: ASME B31.9 for building services piping valves.
  - 1. Exceptions: Domestic hot- and cold-water piping valves unless referenced.
- B. ASME Compliance for Ferrous Valves: ASME B16.10 and ASME B16.34 for dimension and design criteria.
- C. NSF Compliance: NSF 61 for valve materials for potable-water service.

## **1.6 DELIVERY, STORAGE, AND HANDLING**

- A. Prepare valves for shipping as follows:
  - 1. Protect internal parts against rust and corrosion.
  - 2. Protect threads, flange faces, grooves, and weld ends.
  - 3. Set angle, gate, and globe valves closed to prevent rattling.
  - 4. Set ball and plug valves open to minimize exposure of functional surfaces.
  - 5. Set butterfly valves closed or slightly open.
  - 6. Block check valves in either closed or open position.
- B. Use the following precautions during storage:
  - 1. Maintain valve end protection.
  - 2. Store valves indoors and maintain at higher than ambient dew-point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.
- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use handwheels or stems as lifting or rigging points.

## **PART 2 - PRODUCTS**

### **2.1 MANUFACTURERS**

- A. In other Part 2 articles where subparagraph titles below introduce lists, the following requirements apply for product selection:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by the manufacturers specified.

## 2.2 VALVES, GENERAL

- A. Refer to Part 3 "Valve Applications" Article for applications of valves.
- B. Bronze Valves: NPS 2 and smaller with threaded ends, unless otherwise indicated.
- C. Ferrous Valves: NPS 2-1/2 and larger with flanged ends, unless otherwise indicated.
- D. Valve Pressure and Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.
- E. Valve Sizes: Same as upstream pipe, unless otherwise indicated.
- F. Valve Actuators:
  - 1. Lever Handle: For quarter-turn valves NPS 6 and smaller, except plug valves.
  - 2. Wrench: For plug valves with square heads. Furnish Owner with 1 wrench for every 10 plug valves, for each size square plug head.
- G. Extended Valve Stems: On insulated valves.
- H. Valve Flanges: ASME B16.1 for cast-iron valves, ASME B16.5 for steel valves, and ASME B16.24 for bronze valves.
- I. Valve Grooved Ends: AWWA C606.
  - 1. Solder Joint: With sockets according to ASME B16.18.
    - a. Caution: Use solder with melting point below 840 deg F for angle, check, gate, and globe valves; below 421 deg F for ball valves.
  - 2. Threaded: With threads according to ASME B1.20.1.
- J. Valve Bypass and Drain Connections: MSS SP-45.

## 2.3 CAST-IRON ANGLE VALVES

- A. Manufacturers:
  - 1. Type II, Cast-Iron Angle Valves with Metal Seats:
    - a. Crane Co.; Crane Valve Group; Jenkins Valves.
    - b. Crane Co.; Crane Valve Group; Stockham Div.
    - c. NIBCO INC.
- B. Cast-Iron Angle Valves, General: MSS SP-85, Type II.
- C. Class 125, Cast-Iron Angle Valves: Bronze mounted with gray-iron body and bronze seats.

## 2.4 COPPER-ALLOY BALL VALVES

### A. Manufacturers:

1. One-Piece, Copper-Alloy Ball Valves:
  - a. American Valve, Inc.
  - b. Conbraco Industries, Inc.; Apollo Div.
  - c. Crane Co.; Crane Valve Group; Jenkins Valves.
  - d. Crane Co.; Crane Valve Group; Stockham Div.
  - e. Grinnell Corporation.
  - f. Jamesbury, Inc.
  - g. NIBCO INC.
  - h. Watts Industries, Inc.; Water Products Div.
2. Two-Piece, Copper-Alloy Ball Valves:
  - a. Conbraco Industries, Inc.; Apollo Div.
  - b. Crane Co.; Crane Valve Group; Crane Valves.
  - c. Crane Co.; Crane Valve Group; Jenkins Valves.
  - d. Crane Co.; Crane Valve Group; Stockham Div.
  - e. Grinnell Corporation.
  - f. Hammond Valve.
  - g. Jamesbury, Inc.
  - h. Milwaukee Valve Company.
  - i. NIBCO INC.
  - j. Red-White Valve Corp.
  - k. Watts Industries, Inc.; Water Products Div.
3. Three-Piece, Copper-Alloy Ball Valves:
  - a. Conbraco Industries, Inc.; Apollo Div.
  - b. Grinnell Corporation.
  - c. Hammond Valve.
  - d. Jamesbury, Inc.
  - e. NIBCO INC.
  - f. PBM, Inc.
  - g. Red-White Valve Corp.

B. Copper-Alloy Ball Valves, General: MSS SP-110.

C. One-Piece, Copper-Alloy Ball Valves: Brass or bronze body with chrome-plated bronze ball, PTFE or TFE seats, and 400-psig minimum CWP rating.

D. Two-Piece, Copper-Alloy Ball Valves: Brass or bronze body with full-port, chrome-plated bronze

- ball; PTFE or TFE seats; and 600-psig minimum CWP rating and blowout-proof stem.
- E. Three-Piece, Copper-Alloy Ball Valves: Brass or bronze body with full-port, chrome-plated bronze ball; PTFE or TFE seats; and 600-psig minimum CWP rating and blowout-proof stem.

## 2.5 FERROUS-ALLOY BALL VALVES

- A. Manufacturers:
1. American Valve, Inc.
  2. Conbraco Industries, Inc.; Apollo Div.
  3. Crane Co.; Crane Valve Group; Stockham Div.
  4. Hammond Valve.
  5. Jamesbury, Inc.
  6. Milwaukee Valve Company.
  7. NIBCO INC.
- B. Ferrous-Alloy Ball Valves, General: MSS SP-72, with flanged ends.
- C. Ferrous-Alloy Ball Valves: Class 150, full **or regular** port.

## 2.6 FERROUS-ALLOY BUTTERFLY VALVES

- A. Manufacturers:
1. Flangeless, Ferrous-Alloy Butterfly Valves:
    - a. Crane Co.; Crane Valve Group; Stockham Div.
    - b. Milwaukee Valve Company.
    - c. NIBCO INC.
    - d. Red-White Valve Corp.
    - e. Watts Industries, Inc.; Water Products Div.
  2. Single-Flange, Ferrous-Alloy Butterfly Valves:
    - a. Crane Co.; Crane Valve Group; Jenkins Valves.
    - b. Crane Co.; Crane Valve Group; Stockham Div.
    - c. Milwaukee Valve Company.
    - d. NIBCO INC.
    - e. Watts Industries, Inc.; Water Products Div.
  3. Flanged, Ferrous-Alloy Butterfly Valves:
    - a. Bray International, Inc.
    - b. Grinnell Corporation.
    - c. Tyco International, Ltd.; Tyco Valves & Controls.
  4. Grooved-End, Ductile-Iron Butterfly Valves:
    - a. Grinnell Corporation.

- b. Milwaukee Valve Company.
  - c. NIBCO INC.
- B. Ferrous-Alloy Butterfly Valves, General: MSS SP-67, Type I, for tight shutoff, with disc and lining suitable for potable water, unless otherwise indicated.
- C. Flangeless, 150-psig CWP Rating, Ferrous-Alloy Butterfly Valves: Wafer type with **one- or two-**piece stem.
- D. Single-Flange, 150-psig CWP Rating, Ferrous-Alloy Butterfly Valves: Wafer-lug type with **one- or two-**piece stem.
- E. Flanged, 150-psig CWP Rating, Ferrous-Alloy Butterfly Valves: Flanged-end type with **one- or two-**piece stem.

## 2.7 BRONZE CHECK VALVES

A. Manufacturers:

1. Type 1, Bronze, Horizontal Lift Check Valves with Metal Disc:
  - a. Cincinnati Valve Co.
  - b. Crane Co.; Crane Valve Group; Stockham Div.
  - c. Red-White Valve Corp.
2. Type 2, Bronze, Horizontal Lift Check Valves with Nonmetallic Disc:
  - a. Cincinnati Valve Co.
  - b. Crane Co.; Crane Valve Group; Jenkins Valves.
  - c. Crane Co.; Crane Valve Group; Stockham Div.
3. Type 1, Bronze, Vertical Lift Check Valves with Metal Disc:
  - a. Cincinnati Valve Co.
  - b. Crane Co.; Crane Valve Group; Jenkins Valves.
  - c. Red-White Valve Corp.
4. Type 2, Bronze, Vertical Lift Check Valves with Nonmetallic Disc:
  - a. Grinnell Corporation.
  - b. Milwaukee Valve Company.
5. Type 3, Bronze, Swing Check Valves with Metal Disc:
  - a. American Valve, Inc.
  - b. Cincinnati Valve Co.
  - c. Crane Co.; Crane Valve Group; Jenkins Valves.
  - d. Crane Co.; Crane Valve Group; Stockham Div.
  - e. Grinnell Corporation.
  - f. Hammond Valve.

- g. Milwaukee Valve Company.
- h. NIBCO INC.
- i. Red-White Valve Corp.
- j. Watts Industries, Inc.; Water Products Div.

6. Type 4, Bronze, Swing Check Valves with Nonmetallic Disc:

- a. Cincinnati Valve Co.
- b. Crane Co.; Crane Valve Group; Jenkins Valves.
- c. Crane Co.; Crane Valve Group; Stockham Div.
- d. Grinnell Corporation.
- e. Hammond Valve.
- f. Milwaukee Valve Company.
- g. NIBCO INC.
- h. Red-White Valve Corp.
- i. Watts Industries, Inc.; Water Products Div.

- B. Bronze Check Valves, General: MSS SP-80.
- C. Type 1, Class 125, Bronze, Horizontal Lift Check Valves: Bronze body with bronze disc and seat.
- D. Type 1, Class 125, Bronze, Vertical Lift Check Valves: Bronze body with bronze disc and seat.
- E. Type 1, Class 150, Bronze, Horizontal Lift Check Valves: Bronze body with bronze disc and seat.
- F. Type 1, Class 150, Bronze, Vertical Lift Check Valves: Bronze body with bronze disc and seat.
- G. Type 2, Class 125, Bronze, Horizontal Lift Check Valves: Bronze body with nonmetallic disc and bronze seat.
- H. Type 2, Class 125, Bronze, Vertical Lift Check Valves: Bronze body with nonmetallic disc and bronze seat.
- I. Type 2, Class 150, Bronze, Horizontal Lift Check Valves: Bronze body with nonmetallic disc and bronze seat.
- J. Type 2, Class 150, Bronze, Vertical Lift Check Valves: Bronze body with nonmetallic disc and bronze seat.
- K. Type 3, Class 125, Bronze, Swing Check Valves: Bronze body with bronze disc and seat.
- L. Type 3, Class 150, Bronze, Swing Check Valves: Bronze body with bronze disc and seat.
- M. Type 4, Class 125, Bronze, Swing Check Valves: Bronze body with nonmetallic disc and bronze seat.
- N. Type 4, Class 150, Bronze, Swing Check Valves: Bronze body with nonmetallic disc and bronze seat.

**2.8 SPRING-LOADED, LIFT-DISC CHECK VALVES**

- A. Manufacturers:
  - 1. Type II, Compact-Wafer, Lift-Disc Check Valves:
    - a. Grinnell Corporation.
    - b. Hammond Valve.
    - c. Metraflex Co.
    - d. Milwaukee Valve Company.

- e. NIBCO INC.
- 2. Type III, Globe Lift-Disc Check Valves:
  - a. Grinnell Corporation.
  - b. Hammond Valve.
  - c. Milwaukee Valve Company.
  - d. NIBCO INC.
- 3. Type IV, Threaded Lift-Disc Check Valves:
  - a. Grinnell Corporation.
  - b. Milwaukee Valve Company.
  - c. NIBCO INC.
  - d. Watts Industries, Inc.; Water Products Div.
- B. Lift-Disc Check Valves, General: FCI 74-1, with spring-loaded bronze or alloy disc and bronze or alloy seat.
- C. Type I, Class 125, Wafer Lift-Disc Check Valves: Wafer style with cast-iron shell with diameter matching companion flanges.
- D. Type II, Class 125, Compact-Wafer, Lift-Disc Check Valves: Compact-wafer style with cast-iron shell with diameter made to fit within bolt circle.
- E. Type III, Class 125, Globe Lift-Disc Check Valves: Globe style with cast-iron shell and flanged ends.
- F. Type IV, Class 125, Threaded Lift-Disc Check Valves: Threaded style with bronze shell and threaded ends.
- G. Type IV, Class 150, Threaded Lift-Disc Check Valves: Threaded style with bronze shell and threaded ends.

## 2.9 BRONZE GATE VALVES

- A. Manufacturers:
  - 1. Type 1, Bronze, Nonrising-Stem Gate Valves:
    - a. Crane Co.; Crane Valve Group; Jenkins Valves.
    - b. Crane Co.; Crane Valve Group; Stockham Div.
    - c. Grinnell Corporation.
    - d. Hammond Valve.
    - e. Milwaukee Valve Company.
    - f. NIBCO INC.
    - g. Red-White Valve Corp.
    - h. Watts Industries, Inc.; Water Products Div.
  - 2. Type 2, Bronze, Rising-Stem, Solid-Wedge Gate Valves:
    - a. Crane Co.; Crane Valve Group; Jenkins Valves.
    - b. Crane Co.; Crane Valve Group; Stockham Div.
    - c. Grinnell Corporation.



- d. Hammond Valve.
  - e. Milwaukee Valve Company.
  - f. NIBCO INC.
  - g. Red-White Valve Corp.
3. Type 3, Bronze, Rising-Stem, Split-Wedge Gate Valves:
- a. Cincinnati Valve Co.
  - b. Crane Co.; Crane Valve Group; Jenkins Valves.
  - c. Grinnell Corporation.
  - d. NIBCO INC.
- B. Bronze Gate Valves, General: MSS SP-80, with ferrous-alloy handwheel.
- C. Type 1, Class 125, Bronze Gate Valves: Bronze body with nonrising stem and bronze solid wedge **and union-ring bonnet**.
- D. Type 1, Class 150, Bronze Gate Valves: Bronze body with nonrising stem and bronze solid wedge **and union-ring bonnet**.
- E. Type 2, Class 125, Bronze Gate Valves: Bronze body with rising stem and bronze solid wedge **and union-ring bonnet**.
- F. Type 2, Class 150, Bronze Gate Valves: Bronze body with rising stem and bronze solid wedge **and union-ring bonnet**.
- G. Type 3, Class 125, Bronze Gate Valves: Bronze body with rising stem and bronze split wedge **and union-ring bonnet**.
- H. Type 3, Class 150, Bronze Gate Valves: Bronze body with rising stem and bronze split wedge **and union-ring bonnet**.

## 2.10 CAST-IRON GATE VALVES

- A. Manufacturers:
- 1. Type I, Cast-Iron, Nonrising-Stem Gate Valves:
    - a. Crane Co.; Crane Valve Group; Jenkins Valves.
    - b. Crane Co.; Crane Valve Group; Stockham Div.
    - c. Grinnell Corporation.
    - d. Hammond Valve.
    - e. Milwaukee Valve Company.
    - f. NIBCO INC.
    - g. Red-White Valve Corp.
    - h. Watts Industries, Inc.; Water Products Div.
  - 2. Type I, Cast-Iron, Rising-Stem Gate Valves:
    - a. Cincinnati Valve Co.
    - b. Crane Co.; Crane Valve Group; Jenkins Valves.
    - c. Crane Co.; Crane Valve Group; Stockham Div.
    - d. Grinnell Corporation.
    - e. Hammond Valve.
    - f. Milwaukee Valve Company.
    - g. NIBCO INC.

- h. Red-White Valve Corp.
  - i. Watts Industries, Inc.; Water Products Div.
- B. Cast-Iron Gate Valves, General: MSS SP-70, Type I.
- C. Class 125, NRS, Bronze-Mounted, Cast-Iron Gate Valves: Cast-iron body with bronze trim, nonrising stem, and solid-wedge disc.
- D. Class 125, OS&Y, Bronze-Mounted, Cast-Iron Gate Valves: Cast-iron body with bronze trim, rising stem, and solid-wedge disc.
- E. Class 125, NRS, All-Iron, Cast-Iron Gate Valves: Cast-iron body with cast-iron trim, nonrising stem, and solid-wedge disc.
- F. Class 125, OS&Y, All-Iron, Cast-Iron Gate Valves: Cast-iron body with cast-iron trim, rising stem, and solid-wedge disc.

## 2.11 CAST-IRON PLUG VALVES

- A. Manufacturers:
  - 1. Lubricated-Type, Cast-Iron Plug Valves:
    - a. Milliken Valve Co., Inc.
    - b. Nordstrom Valves, Inc.
    - c. Walworth Co.
  - 2. Nonlubricated-Type, Cast-Iron Plug Valves:
    - a. Grinnell Corporation.
    - b. Mueller Flow Technologies.
- B. Cast-Iron Plug Valves, General: MSS SP-78.
- C. Class 125 or 150, lubricated-type, cast-iron plug valves.
- D. Class 125 or 150, nonlubricated-type, cast-iron plug valves.
- E. Class 250, nonlubricated-type, cast-iron plug valves.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine piping system for compliance with requirements for installation tolerances and other conditions affecting performance.
  - 1. Proceed with installation only after unsatisfactory conditions have been corrected.
- B. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- C. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.

- D. Examine threads on valve and mating pipe for form and cleanliness.
- E. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- F. Do not attempt to repair defective valves; replace with new valves.

### 3.2 VALVE APPLICATIONS

- A. Refer to piping Sections for specific valve applications. If valve applications are not indicated, use the following:
  - 1. Shutoff Service: Ball, butterfly, **or gate** valves.
  - 2. Throttling Service: ball, butterfly, or globe valves.
  - 3. Pump Discharge: Spring-loaded, lift-disc check valves.
- B. If valves with specified SWP classes or CWP ratings are not available, the same types of valves with higher SWP class or CWP ratings may be substituted.
- C. Heating Water Piping: Use the following types of valves:
  - 1. Ball Valves, NPS 2 and Smaller: Two-piece, 400-psig CWP rating, copper alloy.
  - 2. Ball Valves, NPS 2-1/2 and Larger: Class 150, ferrous alloy.
  - 3. Butterfly Valves, NPS 2-1/2 and Larger: Flangeless, Single-flange or Flanged, 150-psig CWP rating, ferrous alloy, with EPDM liner.
  - 4. Lift Check Valves, NPS 2 and Smaller: Type 2, Class 125, vertical, bronze.
  - 5. Swing Check Valves, NPS 2 and Smaller: Type 4, Class 125, bronze.
  - 6. Swing Check Valves, NPS 2-1/2 and Larger: Type II, Class 125, gray iron.
  - 7. Spring-Loaded, Lift-Disc Check Valves, NPS 2 and Smaller: Type IV, Class 125 minimum.
  - 8. Spring-Loaded, Lift-Disc Check Valves, NPS 2-1/2 and Larger: Type I, Class 125, cast iron.
  - 9. Gate Valves, NPS 2 and Smaller: Type 2, Class 125, bronze.
  - 10. Gate Valves, NPS 2-1/2 and Larger: Type I, Class 125, NRS, bronze-mounted cast iron.

### 3.3 VALVE INSTALLATION

- A. Piping installation requirements are specified in other Division 15 Sections. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- C. Locate valves for easy access and provide separate support where necessary.
- D. Install valves in horizontal piping with stem at or above center of pipe.
- E. Install valves in position to allow full stem movement.
- F. Install check valves for proper direction of flow and as follows:
  - 1. Swing Check Valves: In horizontal position with hinge pin level.

2. Lift Check Valves: With stem upright and plumb.

### **3.4 JOINT CONSTRUCTION**

- A. Grooved Joints: Assemble joints with keyed coupling housing, gasket, lubricant, and bolts according to coupling and fitting manufacturer's written instructions.
- B. Soldered Joints: Use ASTM B 813, water-flushable, lead-free flux; ASTM B 32, lead-free-alloy solder; and ASTM B 828 procedure, unless otherwise indicated.

### **3.5 ADJUSTING**

- A. Adjust or replace valve packing after piping systems have been tested and put into service but before final adjusting and balancing. Replace valves if persistent leaking occurs.

**END OF SECTION 22 0523**

**SECTION 22 0529 - HANGERS AND SUPPORTS FOR PLUMBING PIPING AND EQUIPMENT PART 1 - GENERAL**

**1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. This Section includes hangers and supports for mechanical system piping and equipment.
- B. Related Sections include the following:
  - 1. Division 23 Section "Vibration & Seismic Controls for FP, Plumbing & HVAC Piping & Equipment" for vibration isolation and seismic restraint devices.

**1.3 DEFINITIONS**

- A. MSS: Manufacturers Standardization Society for the Valve and Fittings Industry.
- B. Terminology: As defined in MSS SP-90, "Guidelines on Terminology for Pipe Hangers and Supports."

**1.4 SUBMITTALS**

- A. Product Data: For each type of pipe hanger, and thermal-hanger shield insert indicated.
- B. Welding Certificates: Copies of certificates for welding procedures and operators.

**1.5 QUALITY ASSURANCE**

- A. Welding: Qualify processes and operators according to ASME Boiler and Pressure Vessel Code: Section IX, "Welding and Brazing Qualifications."

**PART 2 - PRODUCTS**

**2.1 MANUFACTURERS**

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Pipe Hangers:
    - a. B-Line Systems, Inc.
    - b. Grinnell Corp.
    - c. National Pipe Hanger Corp.

2. Thermal-Hanger Shield Inserts:
  - a. Carpenter & Patterson, Inc.
  - b. Pipe Shields, Inc.
  - c. Rilco Manufacturing Co., Inc.

## 2.2 MANUFACTURED UNITS

- A. Pipe Hangers, Supports, and Components: MSS SP-58, factory-fabricated components. Refer to "Hanger and Support Applications" Article in Part 3 for where to use specific hanger and support types.
  1. Galvanized, Metallic Coatings: For piping and equipment that will not have field-applied finish.
  2. Nonmetallic Coatings: On attachments for electrolytic protection where attachments are in direct contact with copper tubing.
- B. Thermal-Hanger Shield Inserts: 100-psi minimum compressive-strength insulation, encased in sheet metal shield.
  1. Material for Cold Piping: ASTM C 552, Type I cellular glass with vapor barrier.
  2. Material for Hot Piping: ASTM C 552, Type I cellular glass.
  3. For Trapeze or Clamped System: Insert and shield cover entire circumference of pipe.
  4. For Clevis or Band Hanger: Insert and shield cover lower 180 degrees of pipe.
  5. Insert Length: Extend 2 inches beyond sheet metal shield for piping operating below ambient air temperature.

## 2.3 MISCELLANEOUS MATERIALS

- A. Mechanical-Anchor Fasteners: Insert-type attachments with pull-out and shear capacities appropriate for supported loads and building materials where used.
- B. Structural Steel: ASTM A 36/A 36M, steel plates, shapes, and bars, black and galvanized.
- C. Grout: ASTM C 1107, Grade B, factory-mixed and -packaged, nonshrink and nonmetallic, dry, hydraulic-cement grout.
  1. Characteristics: Post hardening and volume adjusting; recommended for both interior and exterior applications.
  2. Properties: Nonstaining, noncorrosive, and nongaseous.
  3. Design Mix: 5000-psi, 28-day compressive strength.

## PART 3 - EXECUTION

### 3.1 HANGER AND SUPPORT APPLICATIONS

- A. Comply with MSS SP-69 for pipe hanger selections and applications that are not specified in piping system Specification Sections.
- B. Horizontal-Piping Hangers and Supports: Unless otherwise indicated and except as specified in piping system Specification Sections, install the following types:

1. Adjustable Steel Clevis Hangers (MSS Type 1): For suspension of noninsulated or insulated stationary pipes, NPS 1/2 to NPS 30.
  2. Carbon- or Alloy-Steel, Double-Bolt Pipe Clamps (MSS Type 3): For suspension of pipes, NPS 3/4 to NPS 24, requiring clamp flexibility and up to 4 inches of insulation.
  3. Steel Pipe Clamps (MSS Type 4): For suspension of cold and hot pipes, NPS 1/2 to NPS 24, if little or no insulation is required.
  4. Pipe Hangers (MSS Type 5): For suspension of pipes, NPS 1/2 to NPS 4, to allow off-center closure for hanger installation before pipe erection.
  5. Adjustable Swivel Split- or Solid-Ring Hangers (MSS Type 6): For suspension of noninsulated stationary pipes, NPS 3/4 to NPS 8.
  6. Adjustable Steel Band Hangers (MSS Type 7): For suspension of noninsulated stationary pipes, NPS 1/2 to NPS 8.
  7. Adjustable Band Hangers (MSS Type 9): For suspension of noninsulated stationary pipes, NPS 1/2 to NPS 8.
  8. Adjustable Swivel-Ring Band Hangers (MSS Type 10): For suspension of noninsulated stationary pipes, NPS 1/2 to NPS 2.
  9. Split Pipe-Ring with or without Turnbuckle-Adjustment Hangers (MSS Type 11): For suspension of noninsulated stationary pipes, NPS 3/8 to NPS 8.
  10. Extension Hinged or Two-Bolt Split Pipe Clamps (MSS Type 12): For suspension of noninsulated stationary pipes, NPS 3/8 to NPS 3.
  11. U-Bolts (MSS Type 24): For support of heavy pipe, NPS 1/2 to NPS 30.
  12. Clips (MSS Type 26): For support of insulated pipes not subject to expansion or contraction.
  13. Adjustable Pipe Saddle Supports (MSS Type 38): For stanchion-type support for pipes, NPS 2-1/2 to NPS 36, if vertical adjustment is required, with steel pipe base stanchion support and cast-iron floor flange.
  14. Single Pipe Rolls (MSS Type 41): For suspension of pipes, NPS 1 to NPS 30, from two rods if longitudinal movement caused by expansion and contraction might occur.
  15. Pipe Roll and Plate Units (MSS Type 45): For support of pipes, NPS 2 to NPS 24, if small horizontal movement caused by expansion and contraction might occur and vertical adjustment is not necessary.
  16. Adjustable Pipe Roll and Base Units (MSS Type 46): For support of pipes, NPS 2 to NPS 30, if vertical and lateral adjustment during installation might be required in addition to expansion and contraction.
- C. Vertical-Piping Clamps: Unless otherwise indicated and except as specified in piping system Specification Sections, install the following types:
1. Extension Pipe or Riser Clamps (MSS Type 8): For support of pipe risers, NPS 3/4 to NPS 20.
  2. Carbon- or Alloy-Steel Riser Clamps (MSS Type 42): For support of pipe risers, NPS 3/4 to NPS 20, if longer ends are required for riser clamps.
- D. Hanger-Rod Attachments: Unless otherwise indicated and except as specified in piping system Specification Sections, install the following types:
1. Steel Clevises (MSS Type 14): For 120 to 450 deg F piping installations.
  2. Swivel Turnbuckles (MSS Type 15): For use with MSS Type 11, split pipe rings.
  3. Malleable-Iron Sockets (MSS Type 16): For attaching hanger rods to various types of building

- attachments.
4. Steel Weldless Eye Nuts (MSS Type 17): For 120 to 450 deg F piping installations.
- E. Building Attachments: Unless otherwise indicated and except as specified in piping system Specification Sections, install the following types:
1. Steel or Malleable Concrete Inserts (MSS Type 18): For upper attachment to suspend pipe hangers from concrete ceiling.
  2. Top-Beam C-Clamps (MSS Type 19): For use under roof installations with bar-joint construction to attach to top flange of structural shape.
  3. Side-Beam or Channel Clamps (MSS Type 20): For attaching to bottom flange of beams, channels, or angles.
  4. Center-Beam Clamps (MSS Type 21): For attaching to center of bottom flange of beams.
  5. C-Clamps (MSS Type 23): For structural shapes.
  6. Top-Beam Clamps (MSS Type 25): For top of beams if hanger rod is required tangent to flange edge.
  7. Side-Beam Clamps (MSS Type 27): For bottom of steel I-beams.
  8. Steel-Beam Clamps with Eye Nuts (MSS Type 28): For attaching to bottom of steel I-beams for heavy loads.
  9. Linked-Steel Clamps with Eye Nuts (MSS Type 29): For attaching to bottom of steel I-beams for heavy loads, with link extensions.
  10. Malleable Beam Clamps with Extension Pieces (MSS Type 30): For attaching to structural steel.
  11. Welded-Steel Brackets: For support of pipes from below or for suspending from above by using clip and rod. Use one of the following for indicated loads:
    - a. Light (MSS Type 31): 750 lb.
    - b. Medium (MSS Type 32): 1500 lb.
    - c. Heavy (MSS Type 33): 3000 lb.
  12. Side-Beam Brackets (MSS Type 34): For sides of steel or wooden beams.
  13. Plate Lugs (MSS Type 57): For attaching to steel beams if flexibility at beam is required.
  14. Horizontal Travelers (MSS Type 58): For supporting piping systems subject to linear horizontal movement where head room is limited.
- F. Saddles and Shields: Unless otherwise indicated and except as specified in piping system Specification Sections, install the following types:
1. Steel Pipe-Covering Protection Saddles (MSS Type 39): To fill interior voids with insulation that matches adjoining insulation.
  2. Protection Shields (MSS Type 40): Of length recommended by manufacturer to prevent crushing insulation.
  3. Thermal-Hanger Shield Inserts: For supporting insulated pipe, 360-degree insert of high-density, 100-psi minimum compressive-strength, water-repellent-treated calcium silicate or cellular-glass pipe insulation, same thickness as adjoining insulation with vapor barrier and encased in 360-degree sheet metal shield.

### 3.2 HANGER AND SUPPORT INSTALLATION



- A. Pipe Hanger and Support Installation: Comply with MSS SP-69 and MSS SP-89. Install hangers, supports, clamps, and attachments as required to properly support piping from building structure.
- B. Install building attachments within concrete slabs or attach to structural steel. Space attachments within maximum piping span length indicated in MSS SP-69. Install additional attachments at concentrated loads, including valves, flanges, , strainers, , and at changes in direction of piping. Install concrete inserts before concrete is placed; fasten inserts to forms and install reinforcing bars through openings at top of inserts.
- C. Install mechanical-anchor fasteners in concrete. Install fasteners according to manufacturer's written instructions.
- D. Install hangers and supports complete with necessary inserts, bolts, rods, nuts, washers, and other accessories.
- E. Install hangers and supports to allow controlled thermal and seismic movement of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.
- F. Load Distribution: Install hangers and supports so that piping live and dead loads and stresses from movement will not be transmitted to connected equipment.
- G. Pipe Slopes: Install hangers and supports to provide indicated pipe slopes and so maximum pipe deflections allowed by ASME B31.9, "Building Services Piping," is not exceeded.
- H. Insulated Piping: Comply with the following:
  - 1. Attach clamps and spacers to piping.
    - a. Piping Operating above Ambient Air Temperature: Clamp may project through insulation.
    - b. Piping Operating below Ambient Air Temperature: Use thermal-hanger shield insert with clamp sized to match OD of insert.
    - c. Do not exceed pipe stress limits according to ASME B31.9.
  - 2. Install MSS SP-58, Type 39 protection saddles, if insulation without vapor barrier is indicated. Fill interior voids with insulation that matches adjoining insulation.
  - 3. Install MSS SP-58, Type 40 protective shields on cold piping with vapor barrier. Shields shall span arc of 180 degrees.
  - 4. Shield Dimensions for Pipe: Not less than the following:
    - a. NPS 1/4 to NPS 3-1/2: 12 inches long and 0.048 inch thick.
    - b. NPS 4: 12 inches long and 0.06 inch thick.
    - c. NPS 5 and NPS 6: 18 inches long and 0.06 inch thick.
  - 5. Insert Material: Length at least as long as protective shield.
  - 6. Thermal-Hanger Shields: Install with insulation same thickness as piping insulation.

### 3.3 EQUIPMENT SUPPORTS

- A. Fabricate structural-steel stands to suspend equipment from structure above or to support equipment above floor.
- B. Grouting: Place grout under supports for equipment and make smooth bearing surface.

**3.4 ADJUSTING**

- A. Hanger Adjustment: Adjust hangers to distribute loads equally on attachments and to achieve indicated slope of pipe.

**3.5 PAINTING**

- A. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

**END OF SECTION 22 0529**

## **SECTION 22 0553 – IDENTIFICATION FOR PLUMBING PIPING & EQUIPMENT PART 1 - GENERAL**

### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

### **1.2 SUMMARY**

- A. This Section includes the following mechanical identification materials and their installation:
  - 1. Equipment nameplates.
  - 2. Access panel and door markers.
  - 3. Pipe markers.
  - 4. Valve tags.
  - 5. Valve schedules.
  - 6. Warning tags.

### **1.3 SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Valve numbering scheme.
- C. Valve Schedules: For each piping system. Furnish extra copies (in addition to mounted copies) to include in maintenance manuals.

### **1.4 QUALITY ASSURANCE**

- A. ASME Compliance: Comply with ASME A13.1, "Scheme for the Identification of Piping Systems," for letter size, length of color field, colors, and viewing angles of identification devices for piping.

### **1.5 COORDINATION**

- A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- B. Coordinate installation of identifying devices with location of access panels and doors.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.

## **PART 2 - PRODUCTS**

### **2.1 EQUIPMENT IDENTIFICATION DEVICES**

- A. Equipment Nameplates: Metal, with data engraved or stamped, for permanent attachment on equipment.
  - 1. Data:
    - a. Manufacturer, product name, model number, and serial number.
    - b. Capacity, operating and power characteristics, and essential data.
    - c. Labels of tested compliances.
  - 2. Location: Accessible and visible.
  - 3. Fasteners: As required to mount on equipment.
  
- B. Equipment Markers: Engraved, color-coded laminated plastic. Include contact-type, permanent adhesive.
  - 1. Terminology: Match schedules as closely as possible.
  - 2. Data:
    - a. Name and plan number.
    - b. Equipment service.
    - c. Design capacity.
    - d. Other design parameters such as pressure drop, entering and leaving conditions, and speed.
  - 3. Size: 2-1/2 by 4 inches for control devices, dampers, and valves; 4-1/2 by 6 inches for equipment.

## 2.2 PIPING IDENTIFICATION DEVICES

- A. Manufactured Pipe Markers, General: Preprinted, color-coded, with lettering indicating service, and showing direction of flow.
  - 1. Colors: Comply with ASME A13.1, unless otherwise indicated.
  - 2. Lettering: Use piping system terms indicated and abbreviate only as necessary for each application length.
  - 3. Pipes with OD, Including Insulation, Less Than 6 Inches: Full-band pipe markers extending 360 degrees around pipe at each location.
  - 4. Pipes with OD, Including Insulation, 6 Inches and Larger: Either full-band or strip-type pipe markers at least three times letter height and of length required for label.
  - 5. Arrows: Integral with piping system service lettering to accommodate both directions; or as separate unit on each pipe marker to indicate direction of flow.
  
- B. Self-Adhesive Pipe Markers: Plastic with pressure-sensitive, permanent-type, self-adhesive back.
- C. Plastic Tape: Continuously printed, vinyl tape at least 3 mils thick with pressure-sensitive, permanent-

type, self-adhesive back.

1. Width for Markers on Pipes with OD, Including Insulation, Less Than 6 Inches: 3/4 inch minimum.
2. Width for Markers on Pipes with OD, Including Insulation, 6 Inches or Larger: 1-1/2 inches minimum.

### **2.3 VALVE TAGS**

- A. Valve Tags: Stamped or engraved with 1/4-inch letters for piping system abbreviation and 1/2-inch numbers, with numbering scheme. Provide 5/32-inch hole for fastener.
1. Material: 3/32-inch- thick laminated plastic with 2 black surfaces and white inner layer.
  2. Valve-Tag Fasteners: Brass S-hook.

### **2.4 VALVE SCHEDULES**

- A. Valve Schedules: For each piping system, on standard-size bond paper. Tabulate valve number, piping system, system abbreviation (as shown on valve tag), location of valve (room or space), normal-operating position (open, closed, or modulating), and variations for identification. Mark valves for emergency shutoff and similar special uses.
1. Valve-Schedule Frames: Glazed display frame for removable mounting on masonry walls for each page of valve schedule. Include mounting screws.
  2. Frame Extruded aluminum.
  3. Glazing: ASTM C 1036, Type I, Class 1, Glazing Quality B, 2.5-mm, single-thickness glass.

## **PART 3 - EXECUTION**

### **3.1 APPLICATIONS, GENERAL**

- A. Products specified are for applications referenced in other Division 15 Sections. If more than single-type material, device, or label is specified for listed applications, selection is Installer's option.

### **3.2 EQUIPMENT IDENTIFICATION**

- A. Install and permanently fasten equipment nameplates on each major item of mechanical equipment that does not have nameplate or has nameplate that is damaged or located where not easily visible. Locate nameplates where accessible and visible. Include nameplates for the following general categories of equipment:
1. Fuel-burning units, including Hot water heater.

2. Pumps.

### 3.3 PIPING IDENTIFICATION

- A. Install manufactured pipe markers indicating service on each piping system. Install with flow indication arrows showing direction of flow.
  1. Pipes with OD, Including Insulation, Less Than 6 Inches: Self-adhesive pipe markers. Use color-coded, self-adhesive plastic tape, **1-1/2 inches** wide, lapped at least 1-1/2 inches at both ends of pipe marker, and covering full circumference of pipe.
  2. Pipes with OD, Including Insulation, 6 Inches and Larger: Self-adhesive pipe markers. Use color-coded, self-adhesive plastic tape, at least 1-1/2 inches wide, lapped at least 3 inches at both ends of pipe marker, and covering full circumference of pipe.
- B. Locate pipe markers and color bands where piping is exposed in finished spaces; mechanical rooms; accessible maintenance spaces such as shafts, tunnels, and plenums; and exterior nonconcealed locations as follows:
  1. Near each valve and control device.
  2. Near each branch connection, excluding short takeoffs for fixtures and terminal units. Where flow pattern is not obvious, mark each pipe at branch.
  3. Near penetrations through walls, floors, ceilings, and nonaccessible enclosures.
  4. At access doors, and similar access points that permit view of concealed piping.
  5. Near major equipment items and other points of origination and termination.
  6. Spaced at maximum intervals of 50 feet along each run. Reduce intervals to 25 feet in areas of congested piping and equipment.
  7. On piping above removable acoustical ceilings. Omit intermediately spaced markers.

### 3.4 VALVE-TAG INSTALLATION

- A. Install tags on valves and control devices in piping systems, except check valves; valves within factory-fabricated equipment units; plumbing fixture supply stops; shutoff valves; ; convenience and lawn-watering hose connections; and similar roughing-in connections of end-use fixtures and units. List tagged valves in a valve schedule.
- B. Valve-Tag Application Schedule: Tag valves according to size, shape, and color scheme and with captions similar to those indicated in the following:
  1. Valve-Tag Size and Shape:
    - a. Cold Water: 1-1/2 inches, round.
    - b. Hot Water: 1-1/2 inches, round.
  2. Valve-Tag Color:

- a. Cold Water: Blue.
  - b. Hot Water: Red.
3. Letter Color:
- a. Cold Water: White.
  - b. Hot Water: White.

**3.5 VALVE-SCHEDULE INSTALLATION**

- A. Mount valve schedule on wall in mechanical equipment room.

**3.6 ADJUSTING**

- A. Relocate mechanical identification materials and devices that have become visually blocked by other work.

**3.7 CLEANING**

- A. Clean faces of mechanical identification devices and glass frames of valve schedules.

**END OF SECTION 22 0553**

THIS PAGE INTENTIONALLY LEFT BLANK



## **SECTION 22 0700 – PLUMBING PIPE INSULATION PART 1**

### **- GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. This Section includes preformed, rigid and flexible pipe insulation; insulating cements; field-applied jackets; accessories and attachments; and sealing compounds.
- B. Related Sections include the following:
  - 1. Division 7 Section "Firestopping" for firestopping materials and requirements for penetrations through fire and smoke barriers.
  - 2. Division 22 Section "Duct Insulation" for insulation for ducts and plenums.
  - 3. Division 22 Section "Hangers and Supports" for pipe insulation shields and protection saddles.

#### **1.3 SUBMITTALS**

- A. Product Data: Identify thermal conductivity, thickness, and jackets (both factory and field applied, if any), for each type of product indicated.
- B. Shop Drawings: Show fabrication and installation details for the following:
  - 1. Application of protective shields, saddles, and inserts at pipe hangers for each type of insulation and hanger.
  - 2. Attachment and covering of heat trace inside insulation.
  - 3. Insulation application at pipe expansion joints for each type of insulation.
  - 4. Insulation application at elbows, fittings, flanges, valves, and specialties for each type of insulation.
  - 5. Removable insulation at piping specialties and equipment connections.
  - 6. Application of field-applied jackets.
- C. Installer Certificates: Signed by the Contractor certifying that installers comply with requirements.

#### **1.4 QUALITY ASSURANCE**

- A. Installer Qualifications: Skilled mechanics who have successfully completed an apprenticeship program or another craft training program certified by the U.S. Department of Labor, Bureau of Apprenticeship and Training.
- B. Fire-Test-Response Characteristics: As determined by testing materials identical to those specified in this Section according to ASTM E 84, by a testing and inspecting agency acceptable to authorities having jurisdiction. Factory label insulation and jacket materials and sealer and cement material

containers with appropriate markings of applicable testing and inspecting agency.

1. Insulation Installed Indoors: Flame-spread rating of 25 or less, and smoke-developed rating of 50 or less.
2. Insulation Installed Outdoors: Flame-spread rating of 75 or less, and smoke-developed rating of 150 or less.

### **1.5 DELIVERY, STORAGE, AND HANDLING**

- A. Packaging: Ship insulation materials in containers marked by manufacturer with appropriate ASTM specification designation, type and grade, and maximum use temperature.

### **1.6 COORDINATION**

- A. Coordinate size and location of supports, hangers, and insulation shields specified in Division 22 & 23 Sections "Hangers and Supports."
- B. Coordinate clearance requirements with piping Installer for insulation application.
- C. Coordinate installation and testing of steam or electric heat tracing.

### **1.7 SCHEDULING**

- A. Schedule insulation application after testing piping systems and, where required, after installing and testing heat-trace tape. Insulation application may begin on segments of piping that have satisfactory test results.

## **PART 2 - PRODUCTS**

### **2.1 MANUFACTURERS**

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  1. Mineral-Fiber Insulation:
    - a. CertainTeed Manson.
    - b. Knauf FiberGlass GmbH.
    - c. Owens-Corning Fiberglas Corp.
    - d. Schuller International, Inc.
  2. Flexible Elastomeric Thermal Insulation:
    - a. Armstrong World Industries, Inc.
    - b. Rubatex Corp.

## 2.2 INSULATION MATERIALS

- A. Mineral-Fiber Insulation: Glass fibers bonded with a thermosetting resin complying with the following:
1. Preformed Pipe Insulation: Comply with ASTM C 547, Type 1, with factory-applied, all-purpose, vapor-retarder jacket.
  2. Blanket Insulation: Comply with ASTM C 553, Type II, without facing.
  3. Fire-Resistant Adhesive: Comply with MIL-A-3316C in the following classes and grades:
    - a. Class 1, Grade A for bonding glass cloth and tape to unfaced glass-fiber insulation, for sealing edges of glass-fiber insulation, and for bonding lagging cloth to unfaced glass-fiber insulation.
    - b. Class 2, Grade A for bonding glass-fiber insulation to metal surfaces.
  4. Vapor-Retarder Mastics: Fire- and water-resistant, vapor-retarder mastic for indoor applications. Comply with MIL-C-19565C, Type II.
  5. Mineral-Fiber Insulating Cements: Comply with ASTM C 195.
  6. Expanded or Exfoliated Vermiculite Insulating Cements: Comply with ASTM C 196.
  7. Mineral-Fiber, Hydraulic-Setting Insulating and Finishing Cement: Comply with ASTM C 449/C 449M.
- B. Flexible Elastomeric Thermal Insulation: Closed-cell, sponge- or expanded-rubber materials. Comply with ASTM C 534, Type I for tubular materials and Type II for sheet materials.
1. Adhesive: As recommended by insulation material manufacturer.
  2. Ultraviolet-Protective Coating: As recommended by insulation manufacturer.
- C. Prefabricated Thermal Insulating Fitting Covers: Comply with ASTM C 450 for dimensions used in preforming insulation to cover valves, elbows, tees, and flanges.

## 2.3 FIELD-APPLIED JACKETS

- A. General: ASTM C 921, Type 1, unless otherwise indicated.
- B. Foil and Paper Jacket: Laminated, glass-fiber-reinforced, flame-retardant kraft paper and aluminum foil.
- C. PVC Jacket: High-impact, ultraviolet-resistant PVC; 20 mils thick; roll stock ready for shop or field cutting and forming.
1. Adhesive: As recommended by insulation material manufacturer.
  2. PVC Jacket Color: White or gray.
  3. PVC Jacket Color: Color-code piping jackets based on materials contained within the piping system.
- D. Heavy PVC Fitting Covers: Factory-fabricated fitting covers manufactured from 30-mil- thick, high-impact, ultraviolet-resistant PVC.

1. Shapes: 45- and 90-degree, short- and long-radius elbows, tees, valves, flanges, reducers, end caps, soil-pipe hubs, traps, mechanical joints, and P-trap and supply covers for lavatories for the disabled.
  2. Adhesive: As recommended by insulation material manufacturer.
- E. Aluminum Jacket: Factory cut and rolled to indicated sizes. Comply with ASTM B 209, 3003 alloy, H-14 temper.

Aluminum Jacket: Aluminum roll stock, ready for shop or field cutting and forming to indicated sizes. forming to indicated sizes. Comply with ASTM B 209, 3003 alloy, H-14 temper.

1. Finish and Thickness: Smooth finish, 0.010 inch thick.
  2. Finish and Thickness: Corrugated finish, 0.010 inch thick.
  3. Finish and Thickness: Stucco-embossed finish, 0.016 inch thick.
  4. Finish and Thickness: Painted finish, 0.016 inch thick.
  5. Moisture Barrier: 1-mil- thick, heat-bonded polyethylene and kraft paper.
  6. Elbows: Preformed, 45- and 90-degree, short- and long-radius elbows; same material, finish, and thickness as jacket.
- G. Stainless-Steel Jacket: ASTM A 666, Type 304 or 316; 0.10 inch thick; and factory cut and rolled to indicated sizes.
- H. Stainless-Steel Jacket: ASTM A 666, Type 304 or 316; 0.10 inch thick; and roll stock ready for shop or field cutting and forming to indicated sizes.
1. Moisture Barrier: 1-mil- thick, heat-bonded polyethylene and kraft paper.
  2. Moisture Barrier: 3-mil- thick, heat-bonded polyethylene and kraft paper.
  3. Elbows: Gore type, for 45- and 90-degree elbows in same material, finish, and thickness as jacket.
  4. Jacket Bands: Stainless steel, Type 304, 3/4 inch wide.

## 2.4 ACCESSORIES AND ATTACHMENTS

- A. Glass Cloth and Tape: Comply with MIL-C-20079H, Type I for cloth and Type II for tape. Woven glass-fiber fabrics, plain weave, presized a minimum of 8 oz./sq. yd.
1. Tape Width: 4 inches.
- B. Bands: 3/4 inch wide, in one of the following materials compatible with jacket:
1. Stainless Steel: ASTM A 666, Type 304; 0.020 inch thick.
  2. Galvanized Steel: 0.005 inch thick.
  3. Aluminum: 0.007 inch thick.
  4. Brass: 0.010 inch thick.
  5. Nickel-Copper Alloy: 0.005 inch thick.
- C. Wire: 0.080-inch, nickel-copper alloy; 0.062-inch, soft-annealed, stainless steel; or 0.062-inch, soft-annealed, galvanized steel.

## 2.5 VAPOR RETARDERS

- A. Mastics: Materials recommended by insulation material manufacturer that are compatible with insulation materials, jackets, and substrates.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation and other conditions affecting performance of insulation application.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 PREPARATION

- A. Surface Preparation: Clean and dry pipe and fitting surfaces. Remove materials that will adversely affect insulation application.

### 3.3 GENERAL APPLICATION REQUIREMENTS

- A. Apply insulation materials, accessories, and finishes according to the manufacturer's written instructions; with smooth, straight, and even surfaces; free of voids throughout the length of piping, including fittings, valves, and specialties.
- B. Refer to schedules at the end of this Section for materials, forms, jackets, and thicknesses required for each piping system.
- C. Use accessories compatible with insulation materials and suitable for the service. Use accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- D. Apply insulation with longitudinal seams at top and bottom of horizontal pipe runs.
- E. Apply multiple layers of insulation with longitudinal and end seams staggered.
- F. Do not weld brackets, clips, or other attachment devices to piping, fittings, and specialties.
- G. Seal joints and seams with vapor-retarder mastic on insulation indicated to receive a vapor retarder.
- H. Keep insulation materials dry during application and finishing.
- I. Apply insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by the insulation material manufacturer.
- J. Apply insulation with the least number of joints practical.
- K. Apply insulation over fittings, valves, and specialties, with continuous thermal and vapor-retarder integrity, unless otherwise indicated. Refer to special instructions for applying insulation over fittings, valves, and specialties.
- L. Hangers and Anchors: Where vapor retarder is indicated, seal penetrations in insulation at hangers, supports, anchors, and other projections with vapor-retarder mastic.
  - 1. Apply insulation continuously through hangers and around anchor attachments.
  - 2. For insulation application where vapor retarders are indicated, extend insulation on anchor legs

- at least 12 inches from point of attachment to pipe and taper insulation ends. Seal tapered ends with a compound recommended by the insulation material manufacturer to maintain vapor retarder.
3. Install insert materials and apply insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by the insulation material manufacturer.
  4. Cover inserts with jacket material matching adjacent pipe insulation. Install shields over jacket, arranged to protect the jacket from tear or puncture by the hanger, support, and shield.
- M. Insulation Terminations: For insulation application where vapor retarders are indicated, taper insulation ends. Seal tapered ends with a compound recommended by the insulation material manufacturer to maintain vapor retarder.
- N. Apply adhesives and mastics at the manufacturer's recommended coverage rate.
- O. Apply insulation with integral jackets as follows:
1. Pull jacket tight and smooth.
  2. Circumferential Joints: Cover with 3-inch-wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip and spaced 4 inches o.c.
  3. Longitudinal Seams: Overlap jacket seams at least 1-1/2 inches. Apply insulation with longitudinal seams at bottom of pipe. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 4 inches o.c.
    - a. Exception: Do not staple longitudinal laps on insulation having a vapor retarder.
  4. Vapor-Retarder Mastics: Where vapor retarders are indicated, apply mastic on seams and joints and at ends adjacent to flanges, unions, valves, and fittings.
  5. At penetrations in jackets for thermometers and pressure gages, fill and seal voids with vapor-retarder mastic.
- P. Roof Penetrations: Apply insulation for interior applications to a point even with top of roof flashing.
1. Seal penetrations with vapor-retarder mastic.
  2. Apply insulation for exterior applications tightly joined to interior insulation ends.
  3. Extend metal jacket of exterior insulation outside roof flashing at least 2 inches below top of roof flashing.
  4. Seal metal jacket to roof flashing with vapor-retarder mastic.
- Q. Exterior Wall Penetrations: For penetrations of below-grade exterior walls, terminate insulation flush with mechanical sleeve seal. Seal terminations with vapor-retarder mastic.
- R. Interior Wall and Partition Penetrations: Apply insulation continuously through walls and floors.
- S. Fire-Rated Wall and Partition Penetrations: Apply insulation continuously through penetrations of fire-rated walls and partitions.
- T. Floor Penetrations: Apply insulation continuously through floor assembly.
1. For insulation with vapor retarders, seal insulation with vapor-retarder mastic where floor

supports penetrate vapor retarder.

### 3.4 MINERAL-FIBER INSULATION APPLICATION

A. Apply insulation to straight pipes and tubes as follows:

1. Secure each layer of preformed pipe insulation to pipe with wire, tape, or bands without deforming insulation materials.
2. Where vapor retarders are indicated, seal longitudinal seams and end joints with vapor-retarder mastic. Apply vapor retarder to ends of insulation at intervals of 15 to 20 feet to form a vapor retarder between pipe insulation segments.
3. For insulation with factory-applied jackets, secure laps with outward clinched staples at 6 inches o.c.
4. For insulation with factory-applied jackets with vapor retarders, do not staple longitudinal tabs but secure tabs with additional adhesive as recommended by the insulation material manufacturer and seal with vapor-retarder mastic.

B. Apply insulation to flanges as follows:

1. Apply preformed pipe insulation to outer diameter of pipe flange.
2. Make width of insulation segment the same as overall width of the flange and bolts, plus twice the thickness of the pipe insulation.
3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with mineral-fiber blanket insulation.
4. Apply canvas jacket material with manufacturer's recommended adhesive, overlapping seams at least 1 inch, and seal joints with vapor-retarder mastic.

C. Apply insulation to fittings and elbows as follows:

1. Apply premolded insulation sections of the same material as straight segments of pipe insulation when available. Secure according to manufacturer's written instructions.
2. When premolded insulation elbows and fittings are not available, apply mitered sections of pipe insulation, or glass-fiber blanket insulation, to a thickness equal to adjoining pipe insulation. Secure insulation materials with wire, tape, or bands.
3. Cover fittings with standard PVC fitting covers.
4. Cover fittings with heavy PVC fitting covers. Overlap PVC covers on pipe insulation jackets at least 1 inch at each end. Secure fitting covers with manufacturer's attachments and accessories. Seal seams with tape and vapor-retarder mastic.

D. Apply insulation to valves and specialties as follows:

1. Apply premolded insulation sections of the same material as straight segments of pipe insulation when available. Secure according to manufacturer's written instructions.
2. When premolded insulation sections are not available, apply glass-fiber blanket insulation to

valve body. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation. For check valves, arrange insulation for access to stainer basket without disturbing insulation.

3. Apply insulation to flanges as specified for flange insulation application.
4. Use preformed standard PVC fitting covers for valve sizes where available. Secure fitting covers with manufacturer's attachments and accessories. Seal seams with tape and vapor-retarder mastic.
5. Use preformed heavy PVC fitting covers for valve sizes where available. Secure fitting covers with manufacturer's attachments and accessories. Seal seams with tape and vapor-retarder mastic.
6. For larger sizes where PVC fitting covers are not available, seal insulation with canvas jacket and sealing compound recommended by the insulation material manufacturer.

### **3.5 FIELD-APPLIED JACKET APPLICATION**

- A. Apply glass-cloth jacket, where indicated, directly over bare insulation or insulation with factory-applied jackets.
  1. Apply jacket smooth and tight to surface with 2-inch overlap at seams and joints.
  2. Embed glass cloth between two 0.062-inch-thick coats of jacket manufacturer's recommended adhesive.
  3. Completely encapsulate insulation with jacket, leaving no exposed raw insulation.
- B. Foil and Paper Jackets: Apply foil and paper jackets where indicated.
  1. Draw jacket material smooth and tight.
  2. Apply lap or joint strips with the same material as jacket.
  3. Secure jacket to insulation with manufacturer's recommended adhesive.
  4. Apply jackets with 1-1/2-inch laps at longitudinal seams and 3-inch-wide joint strips at end joints.
  5. Seal openings, punctures, and breaks in vapor-retarder jackets and exposed insulation with vapor-retarder mastic.
- C. Apply PVC jacket where indicated, with 1-inch overlap at longitudinal seams and end joints. Seal with manufacturer's recommended adhesive.
- D. Apply metal jacket where indicated, with 2-inch overlap at longitudinal seams and end joints. Overlap longitudinal seams arranged to shed water. Seal end joints with weatherproof sealant recommended by insulation manufacturer. Secure jacket with stainless-steel bands 12 inches o.c. and at end joints.

### **3.6 FINISHES**

- A. Glass-Cloth Jacketed Insulation: Paint insulation finished with glass-cloth jacket as specified in Division 9 Section "Painting."
- B. Flexible Elastomeric Thermal Insulation: After adhesive has fully cured, apply two coats of the insulation manufacturer's recommended protective coating.
- C. Color: Final color as selected by Architect. Vary first and second coats to allow visual inspection of



the completed Work.

### **3.7 PIPING SYSTEM APPLICATIONS**

- A. Insulation materials and thicknesses are specified in schedules at the end of this Section.
- B. Items Not Insulated: Unless otherwise indicated, do not apply insulation to the following systems, materials, and equipment:
  - 1. Flexible connectors.
  - 2. Vibration-control devices.
  - 3. Fire-suppression piping.
  - 4. Drainage piping located in crawl spaces, unless otherwise indicated.
  - 5. Below-grade piping, unless otherwise indicated.
  - 6. Chrome-plated pipes and fittings, unless potential for personnel injury.
  - 7. Air chambers, unions, strainers, check valves, plug valves, and flow regulators.

### **3.8 FIELD QUALITY CONTROL**

- A. Inspection: Perform the following field quality-control inspections, after installing insulation materials, jackets, and finishes, to determine compliance with requirements:
  - 1. Inspect fittings and valves randomly selected by Architect.
  - 2. Remove fitting covers from 20 elbows or 1 percent of elbows, whichever is less, for various pipe sizes.
  - 3. Remove fitting covers from 20 valves or 1 percent of valves, whichever is less, for various pipe sizes.
- B. Insulation applications will be considered defective if sample inspection reveals noncompliance with requirements. Remove defective Work and replace with new materials according to these Specifications.
- C. Reinstall insulation and covers on fittings and valves uncovered for inspection according to these Specifications.

### **3.9 INSULATION APPLICATION SCHEDULE, GENERAL**

- A. Refer to insulation application schedules for required insulation materials, vapor retarders, and field-applied jackets.
- B. Application schedules identify piping system and indicate pipe size ranges and material, thickness, and jacket requirements.

### **3.10 INTERIOR INSULATION APPLICATION SCHEDULE**

- A. Service: Domestic hot and recirculated hot water.
  - 1. Operating Temperature: 105 to 140 deg F.
  - 2. Insulation Material: Mineral fiber.

3. Insulation Thickness: Apply the following insulation thicknesses:
    - a. Pipe, ½" Through 4":1" Thick.
  4. Vapor Retarder Required: Yes.
  5. Finish: None.
- B. Service: Domestic cold water.
1. Operating Temperature: 40 to 60 deg F.
  2. Insulation Material: Mineral fiber.
  3. Insulation Thickness: Apply the following insulation thicknesses:
    - a. Pipe, ½" Through 1": 1/2" Thick.
    - b. Pipe, 1-1/4" Through 2":3/4" Thick.
    - c. Pipe, 2-1/2" Through 4: 1" Thick.
  4. Vapor Retarder Required: Yes.
  5. Finish: None.
- B. Service: Rainwater leaders and conductors.
1. Operating Temperature: 32 to 100 deg F.
  2. Insulation Material: Mineral fiber.
  3. Insulation Thickness: Apply the following insulation thicknesses:
    - a. Pipe, All piping sizes: 1-1/2" Thick.
  4. Vapor Retarder Required: Yes.
  5. Finish: None.
- C. Service: Roof drain bodies.
1. Operating Temperature: 32 to 100 deg F.
  2. Insulation Material: Flexible elastomeric.
  3. Insulation Thickness: 1-1/2".
  4. Vapor Retarder Required: Yes.
  5. Finish: Painted.
- D. Service: Exposed sanitary drains and domestic water supplies and stops for fixtures for the disabled.
1. Operating Temperature: 35 to 120 deg F.
  2. Insulation Material: Mineral fiber.
  3. Insulation Thickness: 1-1/2".
  4. Field-Applied Jacket: PVC P-trap and supply covers.
  5. Vapor Retarder Required: No.
  6. Finish: None.

**END OF SECTION 22 0700**

THIS PAGE INTENTIONALLY LEFT BLANK

## **SECTION 22 1116 - DOMESTIC WATER PIPING PART 1**

### **- GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### **1.2 PERFORMANCE REQUIREMENTS**

- A. Provide components and installation capable of producing domestic water piping systems with 80 psig unless otherwise indicated.

#### **1.3 SUBMITTALS**

- A. Product Data: For pipe, tube, fittings, and couplings.

#### **1.4 QUALITY ASSURANCE**

- A. Piping materials shall bear label, stamp, or other markings of specified testing agency.
- B. Comply with NSF 61, "Drinking Water System Components - Health Effects; Sections 1 through 9," for potable domestic water piping and components.

### **PART 2 - PRODUCTS**

#### **2.1 MANUFACTURERS**

- A. In other Part 2 articles where titles below introduce lists, the following requirements apply to product selection:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the manufacturers specified.

#### **2.2 PIPING MATERIALS**

- A. Refer to Part 3 "Pipe and Fitting Applications" Article for applications of pipe, tube, fitting, and joining materials.
- B. Transition Couplings for Aboveground Pressure Piping: Coupling or other manufactured fitting the same size as, with pressure rating at least equal to and ends compatible with, piping to be joined.

## 2.3 COPPER TUBE AND FITTINGS

- A. Soft Copper Tube: ASTM B 88, Types K and L, water tube, annealed temper.
  - 1. Copper Pressure Fittings: ASME B16.18, cast-copper-alloy or ASME B16.22, wrought-copper, solder-joint fittings. Furnish wrought-copper fittings if indicated.
  - 2. Bronze Flanges: ASME B16.24, Class 150, with solder-joint ends. Furnish Class 300 flanges if required to match piping.
  - 3. Copper Unions: MSS SP-123, cast-copper-alloy, hexagonal-stock body, with ball-and-socket, metal-to-metal seating surfaces, and solder-joint or threaded ends.
  
- B. Hard Copper Tube: ASTM B 88, Types L and M, water tube, drawn temper.
  - 1. Copper Pressure Fittings: ASME B16.18, cast-copper-alloy or ASME B16.22, wrought-copper, solder-joint fittings. Furnish wrought-copper fittings if indicated.
  - 2. Bronze Flanges: ASME B16.24, Class 150, with solder-joint ends. Furnish Class 300 flanges if required to match piping.
  - 3. Copper Unions: MSS SP-123, cast-copper-alloy, hexagonal-stock body, with ball-and-socket, metal-to-metal seating surfaces, and solder-joint or threaded ends.
  - 4. Copper, Grooved-End Fittings: ASTM B 75 copper tube or ASTM B 584 bronze castings.
    - a. Grooved-End-Tube Couplings: Copper-tube dimensions and design similar to AWWA C606. Include ferrous housing sections, gasket suitable for hot water, and bolts and nuts.

## 2.4 VALVES

- A. Bronze and cast-iron, general-duty valves are specified in Division 22 Section "Valves."
- B. Balancing and drain valves are specified in Division 22 Section "Plumbing Specialties."

## PART 3 - EXECUTION

### 3.1 PIPE AND FITTING APPLICATIONS

- A. Transition and special fittings with pressure ratings at least equal to piping rating may be used in applications below, unless otherwise indicated.
- B. Flanges may be used on aboveground piping, unless otherwise indicated.
- C. Grooved joints may be used on aboveground grooved-end piping.
- D. Aboveground Domestic Water Piping: Use the following piping materials.:
  - 1. Hard copper tube, Type L; copper pressure fittings; and soldered joints.

### 3.2 VALVE APPLICATIONS

- A. Drawings indicate valve types to be used. Where specific valve types are not indicated, the following requirements apply:
  - 1. Shutoff Duty: Use bronze ball or gate valves for piping NPS 2 and smaller. Use cast-iron butterfly or gate valves with flanged ends for piping NPS 2-1/2 and larger.
  - 2. Throttling Duty: Use bronze ball or globe valves for piping NPS 2 and smaller.
  - 3. Hot-Water-Piping, Balancing Duty: Memory-stop balancing valves.
  - 4. Drain Duty: Hose-end drain valves.
- B. Install shutoff valve close to water main on each branch and riser serving plumbing fixtures or equipment, on each water supply to equipment, and on each water supply to plumbing fixtures that do not have supply stops. Use ball or gate valves for piping NPS 2 and smaller. NPS 1/2 or NPS 3/4 (DN 15 or DN 20) inlet, hose-end drain valves may be adequate for this application.
- C. Install drain valves for equipment at base of each water riser, at low points in horizontal piping, and where required to drain water piping.
  - 1. Install hose-end drain valves at low points in water mains, risers, and branches.
  - 2. Install stop-and-waste drain valves where indicated.
- D. Install balancing valve in each hot-water circulation return branch and discharge side of each pump and circulator. Set balancing valves partly open to restrict but not stop flow. Use ball valves for piping NPS 2 and smaller.

### 3.3 PIPING INSTALLATION

- A. Basic piping installation requirements are specified in Division 22 Section "Common Work Results For Plumbing."
- B. Install under-building-slab copper tubing according to CDA's "Copper Tube Handbook."
- C. Install domestic water piping level with 0.25 percent slope downward toward drain without pitch and plumb.

### 3.4 JOINT CONSTRUCTION

- A. Basic piping joint construction requirements are specified in Division 22 Section "Common Work Results For Plumbing."
- B. Soldered Joints: Use ASTM B 813, water-flushable, lead-free flux; ASTM B 32, lead-free-alloy solder; and ASTM B 828 procedure, unless otherwise indicated.
- C. Grooved Joints: Assemble joints with grooved-end-pipe or grooved-end-tube coupling housing, gasket, lubricant, and bolts according to coupling and fitting manufacturer's written instructions.

### 3.5 HANGER AND SUPPORT INSTALLATION

- A. Seismic-restraint devices are specified in Division 15 Section "Mechanical Vibration and Seismic Controls."

- B. Pipe hanger and support devices are specified in Division 22 Section "Hangers and Supports For Plumbing Piping and Equipment." Install the following:
  - 1. Vertical Piping: MSS Type 8 or Type 42, clamps.
  - 2. Individual, Straight, Horizontal Piping Runs: According to the following:
    - a. 100 Feet and Less: MSS Type 1, adjustable, steel clevis hangers.
    - b. Longer Than 100 Feet: MSS Type 43, adjustable roller hangers.
    - c. Longer Than 100 Feet: MSS Type 49, spring cushion rolls, if indicated.
  - 3. Multiple, Straight, Horizontal Piping Runs 100 Feet or Longer: MSS Type 44, pipe rolls. Support pipe rolls on trapeze.
  - 4. Base of Vertical Piping: MSS Type 52, spring hangers.
- C. Support vertical piping and tubing at base and at each floor.
- D. Rod diameter may be reduced 1 size for double-rod hangers, to a minimum of 3/8 inch.
- E. Install hangers for steel piping with the following maximum horizontal spacing and minimum rod diameters:
  - 1. NPS 1-1/4 and Smaller: 84 inches with 3/8-inch rod.
- F. Install supports for vertical steel piping every 15 feet.
- G. Install hangers for copper tubing with the following maximum horizontal spacing and minimum rod diameters:
  - 1. NPS 3/4 and Smaller: 60 inches with 3/8-inch rod.
  - 2. NPS 1 and NPS 1-1/4: 72 inches with 3/8-inch rod.
- H. Install supports for vertical copper tubing every 10 feet.
- I. Support piping and tubing not listed above according to MSS SP-69 and manufacturer's written instructions.

### 3.6 CONNECTIONS

- A. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Install piping adjacent to equipment and machines to allow service and maintenance.
- C. Connect domestic water piping to water-service piping with shutoff valve, and extend and connect to the following:
  - 1. Water Heaters: Cold-water supply and hot-water outlet piping in sizes indicated, but not smaller than sizes of water heater connections.
  - 2. Plumbing Fixtures: Cold- and hot-water supply piping in sizes indicated, but not smaller than required by plumbing code.
  - 3. Equipment: Cold- and hot-water supply piping as indicated, but not smaller than equipment connections. Provide shutoff valve and union for each connection.

### 3.7 FIELD QUALITY CONTROL



- A. Inspect domestic water piping as follows:
1. Do not enclose, cover, or put piping into operation until it has been inspected and approved by authorities having jurisdiction.
  2. During installation, notify authorities having jurisdiction at least 24 hours before inspection must be made. Perform tests specified below in presence of authorities having jurisdiction:
    - a. Roughing-in Inspection: Arrange for inspection of piping before concealing or closing-in after roughing-in and before setting fixtures.
    - b. Final Inspection: Arrange final inspection for authorities having jurisdiction to observe tests specified below and to ensure compliance with requirements.
  3. Reinspection: If authorities having jurisdiction find that piping will not pass test or inspection, make required corrections and arrange for reinspection.
  4. Reports: Prepare inspection reports and have them signed by authorities having jurisdiction.
- B. Test domestic water piping as follows:
1. Fill domestic water piping. Check components to determine that they are not air bound and that piping is full of water.
  2. Test for leaks and defects in new piping and parts of existing piping that have been altered, extended, or repaired. If testing is performed in segments, submit separate report for each test, complete with diagram of portion of piping tested.
  3. Leave new, altered, extended, or replaced domestic water piping uncovered and unconcealed until it has been tested and approved. Expose work that was covered or concealed before it was tested.
  4. Cap and subject piping to static water pressure of 50 psig above operating pressure, without exceeding pressure rating of piping system materials. Isolate test source and allow to stand for four hours. Leaks and loss in test pressure constitute defects that must be repaired.
  5. Repair leaks and defects with new materials and retest piping or portion thereof until satisfactory results are obtained.
  6. Prepare reports for tests and required corrective action.

### 3.8 ADJUSTING

- A. Perform the following adjustments before operation:
1. Close drain valves, hydrants, and hose bibbs.
  2. Open shutoff valves to fully open position.
  3. Open throttling valves to proper setting.
  4. Adjust balancing valves in hot-water-circulation return piping to provide adequate flow.
    - a. Manually adjust ball-type balancing valves in hot-water-circulation return piping to provide flow of hot water in each branch.
  5. Remove plugs used during testing of piping and plugs used for temporary sealing of piping

- during installation.
6. Remove and clean strainer screens. Close drain valves and replace drain plugs.
  7. Remove filter cartridges from housings and verify that cartridges are as specified for application where used and are clean and ready for use.
  8. Check plumbing specialties and verify proper settings, adjustments, and operation.

### 3.9 CLEANING

A. Clean and disinfect potable domestic water piping as follows:

1. Purge new piping and parts of existing domestic water piping that have been altered, extended, or repaired before using.
  2. Use purging and disinfecting procedures prescribed by authorities having jurisdiction or, if methods are not prescribed, procedures described in either AWWA C651 or AWWA C652 or as described below:
    - a. Flush piping system with clean, potable water until dirty water does not appear at outlets.
    - b. Fill and isolate system according to either of the following:
      - 1) Fill system or part thereof with water/chlorine solution with at least 50 ppm of chlorine. Isolate with valves and allow to stand for 24 hours.
      - 2) Fill system or part thereof with water/chlorine solution with at least 200 ppm of chlorine. Isolate and allow to stand for three hours.
    - c. Flush system with clean, potable water until no chlorine is in water coming from system after the standing time.
    - d. Submit water samples in sterile bottles to authorities having jurisdiction. Repeat procedures if biological examination shows contamination.
- B. Prepare and submit reports of purging and disinfecting activities.
- C. Clean interior of domestic water piping system. Remove dirt and debris as work progresses.

**END OF SECTION 22 1116**

## SECTION 22 4010 - PLUMBING SPECIALTIES

### PART 1 GENERAL

#### 1.1 Related Documents:

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### 1.2 Summary:

- A. This Section includes the following plumbing specialties:

1. Backflow preventers.
2. Water regulators.
3. Balancing valves.
4. Strainers.
5. Key-operation hydrants.
6. Wheel-handle wall hydrants.
7. Trap seal primer valves.
8. Drain valves.
9. Backwater valves.
10. Miscellaneous piping specialties.
11. Sleeve penetration systems.
12. Flashing materials.
13. Cleanouts.
14. Floor drains.
15. Roof drains.

#### 1.3 Definitions:

- A. The following are industry abbreviations for plastic piping materials:

1. ABS: Acrylonitrile-butadiene-styrene plastic.
16. PE: Polyethylene plastic.
17. PUR: Polyurethane plastic.
18. PVC: Polyvinyl chloride plastic.

#### 1.4 Performance Requirements:

- A. Provide components and installation capable of producing piping systems with following minimum working-pressure ratings, unless otherwise indicated:

1. Domestic Water Piping: 125 psig .
2. Sanitary Waste and Vent Piping: 20-foot head of water.
3. Storm Drainage Piping: 20-foot head of water .

**1.5 Submittals:**

- A. Product Data: Include rated capacities and shipping, installed, and operating weights. Indicate materials, finishes, dimensions, required clearances, and methods of assembly of components; and piping and wiring connections for the following:
  1. Backflow preventers and water regulators
  2. Balancing valves and strainers.
  3. Water hammer arresters, air vents, and trap seal primer valves and systems.
  4. Drain valves, and hose bibbs, hydrants, and wall hydrants.
  5. Backwater valves, cleanouts and floor drains. and roof drains.
  6. Vent terminals, and roof flashing assemblies.
  7. Sleeve penetration systems.
- B. Shop Drawings: Diagram power, signal, and control wiring.
- C. Field test reports.
- D. Maintenance Data: For plumbing specialties to include in maintenance manuals. Include the following:
  1. Backflow preventers and water regulators.
  2. Trap seal primer valves and systems.
  3. Wall hydrants.

**1.6 Quality Assurance:**

- A. Product Options: Drawings indicate size, profiles, and dimensional requirements of plumbing specialties and are based on the specific system indicated. Refer to Division 1 Section "Product Requirements."
- B. Plumbing specialties shall bear label, stamp, or other markings of specified testing agency.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use. ASME Compliance: Comply with ASME B31.9, "Building Services Piping," for piping materials and installation.
- D. NSF Compliance:
  1. Comply with NSF 61, "Drinking Water System Components--Health Effects, Sections 1 through 9," for potable domestic water plumbing specialties.

**1.7 Extra Materials:**

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Operating Key Handles: Equal to 100 percent of amount installed for each key-operated hose bibb and hydrant installed.

**PART 2 PRODUCTS**

**2.1 Manufacturers:**

- A. In other Part 2 articles where subparagraph titles below introduce lists, the following requirements apply for product selection:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by the manufacturers specified.

**2.2 Backflow Preventers:**

- A. Available Manufacturers:
- B. Manufacturers:
  - 1. Sparco, Inc.
  - 2. Watts Industries, Inc.; Water Products Div.
  - 3. Zurn Industries, Inc.; Wilkins Div.
- C. General: ASSE standard, backflow preventers.
  - 1. NPS 2 and Smaller: Bronze body with threaded ends.
  - 2. NPS 2-1/2 and Larger: Bronze, cast-iron, steel, or stainless-steel body with flanged ends.
    - a. Interior Lining: AWWA C550 or FDA-approved, epoxy coating for backflow preventers having cast-iron or steel body.
  - 3. Interior Components: Corrosion-resistant materials.
  - 4. Exterior Finish: Polished chrome plate if used in chrome-plated piping system.
  - 5. Strainer: On inlet, if indicated.
- D. Pipe-Applied, Atmospheric-Type Vacuum Breakers: ASSE 1001, with floating disc and atmospheric vent.
- E. Hose-Connection Vacuum Breakers: ASSE 1011, nickel plated, with nonremovable and manual drain features, and ASME B1.20.7, garden-hose threads on outlet. Units attached to rough-bronze-finish hose connections may be rough bronze.

- F. Intermediate Atmospheric-Vent Backflow Preventers: ASSE 1012, suitable for continuous pressure application. Include inlet screen and two independent check valves with intermediate atmospheric vent.
- G. Reduced-Pressure-Principle Backflow Preventers: ASSE 1013, suitable for continuous pressure application. Include outside screw and yoke gate valves on inlet and outlet, and strainer on inlet; test cocks; and pressure-differential relief valve with ASME A112.1.2 air-gap fitting located between two positive-seating check valves.
  - 1. Pressure Loss: 12 psig maximum, through middle 1/3 of flow range.
- H. Double-Check Backflow Prevention Assemblies: ASSE 1015, suitable for continuous pressure application. Include shutoff valves on inlet and outlet, and strainer on inlet; test cocks; and two positive-seating check valves.
  - 1. Pressure Loss: 5 psig maximum, through middle 1/3 of flow range.
- I. Antisiphon-Pressure-Type Vacuum Breakers: ASSE 1020, suitable for continuous pressure application. Include shutoff valves, spring-loaded check valve, spring-loaded floating disc, test cocks, and atmospheric vent.
  - 1. Pressure Loss: 5 psig maximum, through middle 1/3 of flow range.
- J. Dual-Check-Valve-Type Backflow Preventers: ASSE 1024, suitable for continuous pressure application. Include union inlet and two independent check valves.
- K. Dual-Check-Valve-Type Backflow Preventers: ASSE 1032, suitable for continuous pressure application for carbonated beverage dispensers. Include stainless-steel body; primary and secondary checks; ball check; intermediate atmospheric-vent port for relieving carbon dioxide; and threaded ends, NPS 3/8.
- L. Hose-Connection Backflow Preventers: ASSE 1052, suitable for at least 3-gpm flow and applications with up to 10-foot head of water back pressure. Include two check valves; intermediate atmospheric vent; and nonremovable, ASME B1.20.7, garden-hose threads on outlet.
- M. Back-Siphonage Backflow Vacuum Breakers: ASSE 1056, suitable for continuous pressure and backflow applications. Include shutoff valves, check valve, test cocks, and vacuum vent.

### **2.3 Water Regulators:**

- A. Manufacturers:
  - 1. Armstrong-Yoshitake, Inc.
  - 2. Watts Industries, Inc.; Water Products Div.
  - 3. Zurn Industries, Inc.; Wilkins Div.

- B. General: ASSE 1003, water regulators, rated for initial working pressure of 150 psig minimum. Include integral factory-installed or separate field-installed, Y-pattern strainer.
1. NPS 2 and Smaller: Bronze body with threaded ends.
    - a. General-Duty Service: Single-seated, direct operated, unless otherwise indicated.
    - b. Booster Heater Water Supply: Single-seated, direct operated with integral bypass.
  2. NPS 2-1/2 and Larger: Bronze or cast-iron body with flanged ends. Include AWWA C550 or FDA-approved, interior epoxy coating for regulators with cast-iron body.
    - a. Type: Single-seated, direct operated.

#### 2.4 Balancing Valves:

- A. Calibrated Balancing Valves: Adjustable, with two readout ports and memory setting indicator. Include manufacturer's standard hoses, fittings, valves, differential pressure meter, and carrying case.
1. Available Manufacturers:
  2. Manufacturers:
    - a. Armstrong Pumps, Inc.
    - b. ITT Industries; Bell & Gossett Div.
    - c. Tour & Andersson, Inc.
    - d. Watts Industries, Inc.; Water Products Div.
- B. Memory-Stop Balancing Valves, NPS 2 and Smaller: MSS SP-110, ball valve, rated for 400-psig minimum CWP. Include two-piece, copper-alloy body with standard or full-port, chrome-plated brass ball, replaceable seats and seals, threaded or solder-joint ends, and vinyl-covered steel handle with memory-stop device.
1. Available Manufacturers:
  2. Manufacturers:
    - a. Grinnell Corporation.
    - b. Hammond Valve.
    - c. Milwaukee Valve Company.
    - d. NIBCO INC.
    - e. Red-White Valve Corp.

#### 2.5 Strainers:

- A. Strainers: Y-pattern, unless otherwise indicated, and full size of connecting piping. Include ASTM A 666, Type 304, stainless-steel screens with 3/64-inch round perforations, unless otherwise indicated.
1. Pressure Rating: 125-psig minimum steam working pressure, unless otherwise indicated.
  2. NPS 2 and Smaller: Bronze body, with female threaded ends.
  3. NPS 2-1/2 and Larger: Cast-iron body, with interior AWWA C550 or FDA-approved, epoxy coating and flanged ends.
  4. Y-Pattern Strainers: Screwed screen retainer with centered blowdown.
    - a. Drain: Factory- or field-installed, hose-end drain valve.
  5. T-Pattern Strainers: Malleable-iron or ductile-iron body with grooved ends; access end cap with drain plug and access coupling with rubber gasket.
  6. Basket Strainers: Bolted flange or clamp cover, and basket with lift-out handle.
    - a. Type: Simplex with one basket.
    - b. Drain: Factory- or field-installed, hose-end drain valve.
- B. Drainage Basket Strainers: Non-pressure-rated, cast-iron or coated-steel body; with bolted flange or clamp cover and drain with plug.
1. Basket: Bronze or stainless steel with 1/8- or 3/16-inch- diameter holes and lift-out handle.
  2. Female threaded ends for NPS 2 and smaller, and flanged ends for NPS 2-1/2 and larger.

## 2.6 Key-Operation Hydrants:

- A. Manufacturers:
1. Smith, Jay R. Mfg. Co.
  2. Watts Industries, Inc.; Drainage Products Div.
  3. Woodford Manufacturing Co.

## 2.7 Heel-Handle Wall Hydrants:

- A. Available Manufacturers:
- B. Manufacturers:
1. Watts Industries, Inc.; Water Products Div.
  2. Woodford Manufacturing Co.
  3. Zurn Industries, Inc.; Jonespec Div.

## 2.8 Trap Seal Primer Valves:



- A. Supply-Type Trap Seal Primer Valves: ASSE 1018, water-supply-fed type, with the following characteristics:
1. Manufacturers:
    - a. Precision Plumbing Products, Inc.
    - b. Smith, Jay R. Mfg. Co.
    - c. Watts Industries, Inc.; Drainage Products Div.
  2. 125-psig minimum working pressure.
  3. Bronze body with atmospheric-vented drain chamber.
  4. Inlet and Outlet Connections: NPS 1/2 threaded, union, or solder joint.
  5. Gravity Drain Outlet Connection: NPS 1/2 threaded or solder joint.
  6. Finish: Chrome plated, or rough bronze for units used with pipe or tube that is not chrome finished.

**2.9 Drain Valves:**

- A. Hose-End Drain Valves: MSS SP-110, NPS 3/4 ball valve, rated for 400-psig minimum CWP. Include two-piece, copper-alloy body with standard port, chrome-plated brass ball, replaceable seats and seals, blowout-proof stem, and vinyl-covered steel handle.
1. Inlet: Threaded or solder joint.
  2. Outlet: Short-threaded nipple with ASME B1.20.7, garden-hose threads and cap.
- B. Stop-and-Waste Drain Valves: MSS SP-110, ball valve, rated for 200-psig minimum CWP or MSS SP-80, Class 125, gate valve; ASTM B 62 bronze body, with NPS 1/8 side drain outlet and cap.

**2.10 Backwater Valves:**

- A. Manufacturers:
1. Josam Co.
  2. Smith, Jay R. Mfg. Co.
  3. Watts Industries, Inc.; Drainage Products Div.
  4. Zurn Industries, Inc.; Specification Drainage Operation.
- C. B.Horizontal Backwater Valves: ASME A112.14.1, cast-iron body, with removable bronze swing-check valve and threaded or bolted cover.
1. Open-Position Check Valve: Factory assembled or field modified to hang open for airflow.
  2. Extension: ASTM A 74, Service class; full-size, cast-iron, soil-pipe extension to field-installed cleanout at floor, instead of cover.
- C. Drain Outlet Backwater Valves: Cast-iron or bronze body, with removable ball float, threaded inlet, and threaded or spigot outlet for installation in bottom outlet of floor drain.

**2.11 Miscellaneous Piping Specialties:**

- A. Water Hammer Arresters: ASSE 1010 or PDI-WH 201, piston type with pressurized metal-tube cushioning chamber. Sizes indicated are based on ASSE 1010, Sizes AA and A through F or PDI- WH 201, Sizes A through F.
1. Manufacturers:
    - a. Precision Plumbing Products, Inc.
    - b. Sioux Chief Manufacturing Co., Inc.
    - c. Watts Industries, Inc.; Drainage Products Div.
- B. Hose Bibbs: Bronze body with replaceable seat disc complying with ASME A112.18.1M for compression-type faucets. Include NPS 1/2 or NPS 3/4 threaded or solder-joint inlet, of design suitable for pressure of at least 125 psig ; integral nonremovable, drainable hose-connection vacuum breaker; and garden-hose threads complying with ASME B1.20.7 on outlet.
1. Finish for Equipment Rooms: Rough bronze, or chrome or nickel plated.
  2. Finish for Service Areas: Rough bronze.
  3. Finish for Finished Rooms: Chrome or nickel plated.
  4. Operation for Equipment Rooms: Wheel handle or operating key.
  5. Operation for Service Areas: Wheel handle.
  6. Operation for Finished Rooms: Operating key.
  7. Include operating key with each operating-key hose bibb.
  8. Include integral wall flange with each chrome- or nickel-plated hose bibb.
- C. Air Vents: Float type for automatic air venting.
1. Bolted Construction: Bronze body with replaceable, corrosion-resistant metal float and stainless-steel mechanism and seat; threaded NPS 3/8NPS 1/2 minimum inlet; 125-psig minimum pressure rating at 140 deg F; and threaded vent outlet.
- D. Roof Flashing Assemblies: Manufactured assembly made of 4-lb/sq. ft., 0.0625-inch-6-lb/sq. ft., 0.0938-inch-] thick, lead flashing collar and skirt extending at least 6 inches 8 inches 10 inches] from pipe with galvanized steel boot reinforcement, and counterflashing fitting.
1. Manufacturers:
    - a. Acorn Engineering Company; Elmdor/Stoneman Div.
  2. Open-Top Vent Cap: Without cap.
- E. Deep-Seal Traps: Cast-iron or bronze casting, with inlet and outlet matching connected piping and cleanout trap seal primer valve connection.
1. NPS 2: 4-inch- minimum water seal.
  2. NPS 2-1/2 and Larger: 5-inch- minimum water seal.

- F. Floor-Drain Inlet Fittings: Cast iron, with threaded inlet and threaded or spigot outlet, and trap seal primer valve connection.
- G. Fixed Air-Gap Fittings: Manufactured cast-iron or bronze drainage fitting with semi open top with threads or device to secure drainage inlet piping in top and bottom spigot or threaded outlet larger than top inlet. Include design complying with ASME A112.1.2 that will provide fixed air gap between installed inlet and outlet piping.
- H. Stack Flashing Fittings: Counterflashing-type, cast-iron fitting, with bottom recess for terminating roof membrane, and with threaded or hub top for extending vent pipe.
- I. Vent Terminals: Commercially manufactured, shop- or field-fabricated, frost-proof assembly constructed of galvanized steel, copper, or lead-coated copper. Size to provide 1-inch enclosed air space between outside of pipe and inside of flashing collar extension, with counterflashing.

**2.12 Sleeve Penetration Systems:**

- A. Manufacturers:
  - 1. ProSet Systems, Inc.
- B. Description: UL 1479, through-penetration firestop assembly consisting of sleeve and stack fitting with firestopping plug.
  - 1. Sleeve: Molded PVC plastic, of length to match slab thickness and with integral nailing flange on one end for installation in cast-in-place concrete slabs.
  - 2. Stack Fitting: ASTM A 48, gray-iron, hubless-pattern, wye-branch stack fitting with neoprene O-ring at base and gray-iron plug in thermal-release harness in branch. Include PVC protective cap for plug.
    - a. Special Coating: Include corrosion-resistant interior coating on fittings for plastic chemical waste and vent stacks.

**2.13 Flashing Materials:**

- A. Lead Sheet: ASTM B 749, Type L51121, copper bearing, with the following minimum weights and thicknesses, unless otherwise indicated:
  - 1. General Use: 4-lb/sq. ft., 0.0625-inch thickness.
  - 2. Vent Pipe Flashing: 3-lb/sq. ft., 0.0469-inch thickness.
  - 3. Burning: 6-lb/sq. ft., 0.0938-inch thickness.
- B. Copper Sheet: ASTM B 152, of the following minimum weights and thicknesses, unless otherwise indicated:

1. General Applications: 12 oz./sq. ft..
  2. Vent Pipe Flashing: 8 oz./sq. ft..
- C. Zinc-Coated Steel Sheet: ASTM A 653/A 653M, with 0.20 percent copper content and 0.04-inch minimum thickness, unless otherwise indicated. Include G90 hot-dip galvanized, mill-phosphatized finish for painting if indicated.
- D. Elastic Membrane Sheet: ASTM D 4068, flexible, chlorinated polyethylene, 40-mil minimum thickness.
- E. Fasteners: Metal compatible with material and substrate being fastened.
- F. Metal Accessories: Sheet metal strips, clamps, anchoring devices, and similar accessory units required for installation; matching or compatible with material being installed.
- G. Solder: ASTM B 32, lead-free alloy.
- H. Bituminous Coating: SSPC-Paint 12, solvent-type, bituminous mastic.

#### **2.14 Cleanouts:**

- A. Cleanouts, : Comply with ASME A112.36.2M, ASME A112.3.1.
1. Application: Floor cleanout, Wall cleanout, For installation in exposed piping
  2. Products:
    - a. Josam Co.;
    - b. Smith, Jay R. Mfg. Co.;
    - c. Tyler Pipe, Wade Div.;
    - d. Watts Industries, Inc., Drainage Products Div.;
    - e. Zurn Industries, Inc., Specification Drainage Operation;
  3. Body or Ferrule Material: Cast iron or Plastic.
  4. Clamping Device: Required.
  5. Outlet Connection: Threaded.
  6. Closure: Brass plug with straight threads and gasket or Plastic plug.
  7. Adjustable Housing Material: Cast iron or Plastic with threads, set-screws or other device.
  8. Frame and Cover Material and Finish: Nickel-bronze Polished bronze or Rough bronze.
  9. Frame and Cover Shape: Round.
  10. Top Loading Classification: Light Duty for General area Heavy Duty for Mechanical or Service Areas.

#### **2.15 Roof Drains:**

- A. Products:
  - 1. Josam Co.
  - 2. Smith, Jay R. Mfg. Co.
  - 3. Tyler Pipe, Wade Div.
  - 4. Zurn Industries, Inc
- B. Delete features below if not required.
- C. Body Material: Cast iron
- D. Combination Flashing Ring and Gravel Stop: Required
- E. Outlet: Bottom
- F. Dome Material PE
- G. Extension Collars: Required
- H. Underdeck Clamp: Required.
- I. Sump Receiver: Required.

### **PART 3 EXECUTION**

#### **3.1 INSTALLATION:**

- A. Install backflow preventers in each water supply to mechanical equipment and systems and to other equipment and water systems that may be sources of contamination. Comply with authorities having jurisdiction.
  - 1. Locate backflow preventers in same room as connected equipment or system unless otherwise indicated.
  - 2. Install drain for backflow preventers with atmospheric-vent drain connection with air-gap fitting, fixed air-gap fitting, or equivalent positive pipe separation of at least two pipe diameters in drain piping and pipe to floor drain. Locate air-gap device attached to or under backflow preventer. Simple air breaks are not acceptable for this application.
- B. Install pressure regulators with inlet and outlet shutoff valves and balance valve bypass. Install pressure gages on inlet and outlet.
- C. Install strainers on supply side of each control valve, pressure regulator, and solenoid valve.

- D. Install trap seal primer valves with outlet piping pitched down toward drain trap a minimum of 1 percent and connect to floor-drain body, trap, or inlet fitting. Adjust valve for proper flow.
- E. Install backwater valves in building drain piping. For interior installation, provide cleanout deck plate flush with floor and centered over backwater valve cover, and of adequate size to remove valve cover for servicing.
- F. Install cleanouts in aboveground piping and building drain piping according to the following, unless otherwise indicated:
  - 1. Size same as drainage piping up to NPS 4. Use NPS 4 for larger drainage piping unless larger cleanout is indicated.
  - 2. Locate at each change in direction of piping greater than 45 degrees.
  - 3. Locate at minimum intervals of 50 feet for piping NPS 4 and smaller and 100 feet for larger piping.
  - 4. Locate at base of each vertical soil and waste stack.
- G. Install cleanout deck plates with top flush with finished floor, for floor cleanouts for piping below floors.
- H. Install cleanout wall access covers, of types indicated, with frame and cover flush with finished wall, for cleanouts located in concealed piping.
- I. Install flashing flange and clamping device with each stack and cleanout passing through floors with waterproof membrane.
- J. Install vent-flashing sleeves on stacks passing through roof. Secure over stack flashing according to manufacturer's written instructions.
- K. Install frost-proof vent caps on each vent pipe passing through roof. Maintain 1-inch clearance between vent pipe and roof substrate.
- L. Install floor drains at low points of surface areas to be drained. Set grates of drains flush with finished floor, unless otherwise indicated.
  - 1. Position floor drains for easy access and maintenance.
  - 2. Set floor drains below elevation of surrounding finished floor to allow floor drainage. Set with grates depressed according to the following drainage area radii:
    - a. Radius, 30 Inches or Less: Equivalent to 1 percent slope, but not less than 1/4-inch total depression.
    - b. Radius, 30 to 60 Inches: Equivalent to 1 percent slope.
    - c. Radius, 60 Inches or Larger: Equivalent to 1 percent slope, but not greater than 1-inch total depression.

3. Install floor-drain flashing collar or flange so no leakage occurs between drain and adjoining flooring. Maintain integrity of waterproof membranes where penetrated.
  4. Install individual traps for floor drains connected to sanitary building drain, unless otherwise indicated.
- M. Install roof drains at low points of roof areas according to roof membrane manufacturer's written installation instructions.
1. Install roof-drain flashing collar or flange so no leakage occurs between drain and adjoining roofing. Maintain integrity of waterproof membranes where penetrated.
  2. Position roof drains for easy access and maintenance.
- N. Fasten wall-hanging plumbing specialties securely to supports attached to building substrate if supports are specified and to building wall construction if no support is indicated.
- O. Fasten recessed-type plumbing specialties to reinforcement built into walls.
- P. Install wood-blocking reinforcement for wall-mounting and recessed-type plumbing specialties.
- Q. Install individual shutoff valve in each water supply to plumbing specialties. Use ball, gate, or globe valve if specific valve is not indicated. Install shutoff valves in accessible locations. Refer to Division 15 Section "Valves" for general-duty ball, butterfly, check, gate, and globe valves.
- R. Install air vents at piping high points. Include ball, gate, or globe valve in inlet..
- S. Install traps on plumbing specialty drain outlets. Omit traps on indirect wastes unless trap is indicated.
- T. Install escutcheons at wall, floor, and ceiling penetrations in exposed finished locations and within cabinets and millwork. Use deep-pattern escutcheons if required to conceal protruding pipe fittings.

### **3.2 Connections:**

- A. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Install piping adjacent to equipment to allow service and maintenance.
- C. Ground equipment.
- D. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

### 3.3 Flashing Installation:

- A. Fabricate flashing from single piece unless large pans, sumps, or other drainage shapes are required. Join flashing according to the following if required:
  - 1. Lead Sheets: Burn joints of lead sheets 6-lb/sq. ft., 0.0938-inch thickness or thicker. Solder joints of lead sheets 4-lb/sq. ft., 0.0625-inch thickness or thinner.
  - 2. Copper Sheets: Solder joints of copper sheets.
- B. Install sheet flashing on pipes, sleeves, and specialties passing through or embedded in floors and roofs with waterproof membrane.
  - 1. Pipe Flashing: Sleeve type, matching pipe size, with minimum length of 10 inches, and skirt or flange extending at least 8 inches around pipe.
  - 2. Sleeve Flashing: Flat sheet, with skirt or flange extending at least 8 inches around sleeve.
  - 3. Embedded Specialty Flashing: Flat sheet, with skirt or flange extending at least 8 inches around specialty.
- C. Set flashing on floors and roofs in solid coating of bituminous cement.
- D. Secure flashing into sleeve and specialty clamping ring or device.
- E. Install flashing for piping passing through roofs with counterflashing or commercially made flashing fittings, according to Division 7 Section "Sheet Metal Flashing and Trim."
- F. Extend flashing up vent pipe passing through roofs and turn down into pipe, or secure flashing into cast-iron sleeve having calking recess.
- G. Fabricate and install flashing and pans, sumps, and other drainage shapes.

### 3.4 Labeling and Identifying:

- A. Equipment Nameplates and Signs: Install engraved plastic-laminate equipment nameplate or sign on or near each backflow preventer, thermostatic water mixing valve and trap seal primer system.
  - 1. Text: Distinguish among multiple units, inform operator of operational requirements, indicate safety and emergency precautions, and warn of hazards and improper operations, in addition to identifying unit.
  - 2. Refer to Division 22 Section Mechanical Identification" for nameplates and signs.

### 3.5 Field Quality Control:

- A. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.



- B. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation. Remove malfunctioning units, replace with new units, and retest.
- C. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.

**3.6 Protection:**

- A. Protect drains during remainder of construction period to avoid clogging with dirt and debris and to prevent damage from traffic and construction work.
- B. Place plugs in ends of uncompleted piping at end of each day or when work stops.

**END OF SECTION 22 4010**

THIS PAGE INTENTIONALLY LEFT BLANK

**SECTION 23 0100 - GENERAL CONDITIONS FOR HVAC TRADES PART 1 -**

**GENERAL**

**1.1 DESCRIPTION**

- A. The General Conditions and Supplementary General Conditions are a part of this Division and are to be considered a part of this Contract.
- B. Where items of the General Conditions and Supplementary General Conditions are repeated in this Section of the Specifications, it is merely intended to qualify or to call particular attention to them. It is not intended that any other parts of the General Conditions and Supplementary General Conditions be assumed to be omitted if not repeated herein.
- C. This Section applies equally and specifically to all Contractors supplying labor and/or equipment and/or materials as required under each Section of this Division.
- D. The following information contains specifications of Work in connection with, and in addition to, this Division:
  - 1. All plans associated with the project.
  - 2. All specifications associated with the project.
- E. Work is not limited to this Division or the Drawings associated with this Division. Work is specified throughout all the plans and specifications associated with the Project.
- F. Division of Work responsibilities are as defined and directed by the Bidding Agent and/or the Bidding General Contractor.

**1.2 INTENT**

- A. It is the intent of the Drawings and Specifications to call for finished Work, tested and ready for operation.
- B. Furnish, deliver and install any apparatus, appliance, material or Work not shown on the Drawings but mentioned in the Specifications, or vice versa, or any incidental accessories necessary to make the Work complete and perfect in all respects and ready for operation, even if not particularly specified, under their respective Section without additional expense to the Owner.
- C. Include in the Work minor details not shown or specified but necessary for proper installation and operation, as though they were hereinafter shown or specified.
- D. Provide Engineer written notice of any materials or apparatus believed inadequate or unsuitable, in violation of laws, ordinances, rules or regulations of authorities having jurisdiction and any necessary items of Work omitted. In the absence of such written notice, it is mutually agreed that Work under each Section has included the cost of all required items for the accepted, satisfactory functioning of the entire system without extra compensation.
- E. The Work indicated is diagrammatic. The Architect and/or Engineer may require, included as part of this Contract, the relocation of devices to reasonable distances from the general locations shown.
- F. Verbal clarifications of the Drawings or Specifications during the bid period are not to be relied upon. Refer any questions or clarifications to the Engineer at least five Working days prior to bidding to allow for issuance of an addendum. After the five-day deadline, Bidder must make a decision and

qualify the Bid, if the Bidder feels it necessary.

### **1.3 DRAWINGS**

- A. Drawings are diagrammatic and indicate the general arrangement of systems and Work included in the Contract. (Do not scale the Drawings.) Consult the Architectural Drawings and Details for exact locations of fixtures and equipment; where same are not definitely located, obtain this information from the Architect.
- B. Closely follow Drawings in layout of Work; check Drawings associated with other Divisions to verify spaces in which Work will be installed. Maintain maximum headroom. Where space conditions appear inadequate, notify Engineer before proceeding with installations.
- C. Engineer may, without extra charge, make reasonable modifications in the layout as needed to prevent conflict with Work of other trades and for proper execution of the Work.
- D. Where variances occur between the Drawings and Specifications or within either of the Documents, include the item or arrangement of better quality, greater quantity or higher cost in the Contract price. It is at the Engineer's discretion to decide on the item and the manner in which the Work will be installed.

### **1.4 SURVEYS AND MEASUREMENTS**

- A. Before submitting a Bid, visit the site and become thoroughly familiar with all conditions under which the Work will be installed. Contractor will be held responsible for any assumptions, omissions or errors made as a result of failure to become familiar with the site and the Contract Documents.
- B. Base all measurements, both horizontal and vertical, from established benchmarks. Reference all Work from these established lines and levels. Verify all measurements at site and check the correctness of same as related to the Work.
- C. Should the Contractor discover any discrepancies between actual measurements and those indicated which prevent following good practice or the intent of the Drawings and Specifications, notify the Engineer and do not proceed with that Work until instructions have been received from the Engineer.

### **1.5 CODES AND STANDARDS**

- A. The Codes, Standards and abbreviations listed below apply to all mechanical Work. Where Codes or Standards are mentioned in these Specifications, follow the latest edition or revision:

ICC - International Plumbing, Mechanical Code. NFPA  
- Life Safety Code 101.

ANSI – The American National Standards Institute.

NEC – The National Electrical Code.

AABC – Associated Air Balance Council. ADC –  
Air Diffusion Council.

AGA – American Gas Association.

AMCA – Air Moving and Conditioning Associations.

ARI – Air Conditioning and Refrigeration Institute.

ASHRAE – American Society of Heating, Refrigeration and Air Conditioning Engineers. ASME –  
American Society of Mechanical Engineers.

ASPE – American Society of Plumbing Engineers.  
ASTM – American Society of Testing and Materials.  
AWS – American Welding Society.  
CGA – Compressed Gas Association.  
CISPI – Cast Iron Soil Pipe Institute.  
HIS – Hydraulic Institute Standards.  
IBR – Institute of Boiler and Radiation Manufacturers. NEBB  
– National Environmental Balancing Bureau.  
NOFI – National Oil Fuel Institute. NSF  
– National Sanitation Foundation.  
OSH – Occupational Safety and Health Administration.  
PDI – Plumbing and Drainage Institute.  
SMACNA – Sheet Metal and Air Conditioning Contractors National Association. UL  
– Underwriters’ Laboratories.

- B. The current adopted editions of the following State or local Codes apply:

State Building Code, Connecticut Supplements and referenced publications  
Life Safety Code NFPA 101 and Connecticut Supplements  
Local Building Code  
International Plumbing Code.  
International Mechanical Code.  
State of Connecticut Fire Safety Code

- C. All materials furnished and all Work installed comply with the rules and recommendations of the NFPA, the requirements of the local utility companies, the recommendations of the fire insurance rating organization having jurisdiction and with the requirements of all Governmental departments having jurisdiction.
- D. Include in the Work, without extra cost to the Owner, any labor, materials, services, apparatus and drawings in order to comply with all applicable laws, ordinances, rules and regulations whether or not shown on Drawings and/or specified.

## 1.6 PERMITS AND FEES

- A. Give all necessary notices, obtain all permits, pay all Government and State sales taxes and fees where applicable, and other costs, including utility connections or extensions in connection with the Work. File all necessary Drawings, prepare all Documents and obtain all necessary approvals of all Governmental and State departments having jurisdiction, obtain all required certificates of inspections for Work and deliver a copy to the Engineer before request for acceptance and final payment for the Work.

## 1.7 COORDINATION

- A. Carry out all Work in conjunction with other trades and give full cooperation in order that all Work may proceed with a minimum of delay and interference. Particular emphasis is placed on timely installation of major apparatus and furnishing other Contractors, especially the General Contractor,

- with information as to openings, chases, equipment locations and panels required by other trades.
- B. Contractors are required to examine all of the Project Documents and mutually arrange Work so as to avoid interference. In general, ductwork, heating and sprinkler piping and drainage lines take precedence over water, gas and electrical conduits. Final decisions will be made by the Engineer regarding the arrangement of Work which cannot be agreed upon by the Contractors.
  - C. Where the Work of the Contractor will be installed in close proximity to or will interfere with Work of other trades, assist in Working out space conditions to make a satisfactory adjustment.
  - D. If Work is installed before coordinating with other Divisions or so as to cause interference with Work of other Sections, the Contractor causing the interference will make necessary changes to correct the condition, without extra charge to the Owner.
  - E. Initial contact and coordination has been conducted with utility entities for the purposes of the preparation of Bid Documents. Coordinate all final specific utility requirements.

### 1.8 ACCEPTANCES

- A. The equipment, materials, Workmanship, design and arrangement of all Work installed under the Mechanical Sections are subject to the review of the Engineer.
- B. Within 30 days after the awarding of a Contract, submit to the Engineer for review a list of manufacturers of equipment proposed for the Work under the Mechanical Sections. The intent to use the exact makes specified does not relieve the Contractor of the responsibility of submitting such a list.
  - 1. If extensive or unacceptable delivery time is expected on a particular item of equipment specified, notify the Engineer, in writing, within 30 days of the awarding of the Contract. In such instances, deviations may be made pending review by the Engineer or Owner's representative.
- C. Where any specific material, process or method of construction or manufactured article is specified by reference to the catalog number of a manufacturer, the Specifications are to be used as a guide and are not intended to take precedence over the basic duty and performance specified or noted on the Drawings. In all cases, verify the duty specified with the specific characteristics of the equipment offered for review. Equipment characteristics are to be used as mandatory requirements where the Contractor proposes to use an acceptable equivalent.
- D. If material or equipment are installed before shop drawing review, liability for its removal and replacement is assumed by the Contractor, at no extra charge to the Owner, if, in the opinion of the Engineer, the material or equipment does not meet the intent of the Drawings and Specifications.
- E. Failure on the part of the Engineer to reject shop drawings or to reject Work in progress shall not be interpreted as acceptance of Work not in conformance to the drawings and/or specifications. Correct Work and/or materials not in conformance with the drawings and/or specifications whenever non-conformance is discovered.

### 1.9 EQUIPMENT DEVIATIONS

- A. Where the Contractor proposes to deviate (substitute or provide an equivalent) from the equipment as hereinafter specified, a request is to be made in writing. State in the request whether it is a substitution or an equivalent to that specified and the amount of credit or extra cost involved. Include a copy of said in the Mechanical Base Bid with manufacturer's equipment cuts. The Base Bid must be based on using the materials and equipment as specified with no exceptions.
- B. In these Specifications and on the accompanying Drawings, one or more makes of materials, apparatus or appliances may have been specified for use in this installation. This has been done for convenience in fixing the standard of Workmanship, finish and design required for installation. In the event that only one (1) manufacturer of a product is specified and it is found that the manufacturer has discontinued the product, use an acceptable equivalent product that meets the requirements of an equivalent product, as noted below, and has all the features of the originally specified product.
- C. The details of Workmanship, finish and design and the guaranteed performance of any material, apparatus or appliance which the Contractor desires to deviate for those mentioned herein must also conform to these standards. Where no specific make of material, apparatus or appliance is mentioned, any first-class product made by a reputable manufacturer may be used providing it conforms, in the opinion of and meets with the acceptance of the Engineer, to the requirements of these Specifications.
- D. Where two or more names are given as equivalents, the Contractor must use one of the named equivalents.
- E. Where one name only is used and is followed by the words "or accepted as equivalent", the Contractor must use the item named, but he may apply for an equipment deviation through the prescribed manner in accordance with this Specification.
- F. Equipment, material or devices submitted for review as an "equivalent" to such equipment, material or devices specified must meet the following requirements:
  - 1. The equivalent must have the same construction features such as, but not limited to:
    - a. Material thickness, gauge, weight, density, etc.
    - b. Welded, riveted, bolted, etc., construction
    - c. Finish, priming, corrosion protection
  - 2. The equivalent must perform with the same or better efficiency of energy consumption.
  - 3. Local representation by the manufacturer for service, parts and technical information must be available
  - 4. The equivalent must bear the same labels of performance certification as is applicable to the specified item.
- G. Where the Contractor proposes to use an item of equipment other than specified or detailed on the Drawings which requires any redesign of the structure, partitions, foundations, piping, wiring or any other part of the mechanical, electrical or architectural layout, all such redesign and all new Drawings and detailing required are to, with the concurrence of the Engineer, be prepared by the Contractor at no cost to the Owner.
- H. Where such accepted deviation or substitution requires a different quantity and arrangement of wiring, conduit and equipment from that specified or indicated on the Drawings, with the concurrence of the Engineer, furnish and install any such additional equipment required by the system at no

additional cost to the Owner, including any costs added to other trades due to the substitution.

- I. The definition of "accepted equivalent" is a product that, in the opinion of the Engineer, is acceptable for the intended application in lieu of the product listed in the Specifications or noted on the Drawings and has no cost impact on the project.
- J. The definition of substitution is a product that, in the opinion of the Engineer, is of a lesser quality and/or has cost impact on the project or requires other changes to meet the Specification.

#### **1.10 CHANGES IN WORK**

- A. A Change Order is a written order to the Contractor signed by the Owner and the Architect, issued after execution of the Contract, authorizing a change in the Work or an adjustment in the Contract sum or the Contract time. A Change Order signed by the Contractor indicates his agreement therewith, including the adjustment in the Contract sum or the Contract time.
- B. All changes in the Work follow the recommendations of Article 12 of AIA General Conditions of the Contract for Construction.

#### **1.11 MANUFACTURER'S IDENTIFICATION**

- A. Manufacturer's nameplate, name or trademark and address must be attached permanently to all equipment and materials furnished under this Division. The nameplate must indicate the name of manufacturer, description, size, type, serial or model number, electrical characteristics and other information. Nameplates of a Contractor or distributor are not acceptable.

#### **1.12 SHOP DRAWINGS**

- A. Refer to individual specification sections for additional submittal information.
- B. Submit for review detailed shop drawings of all equipment and material required to complete the project. No material or equipment may be delivered to the job site or installed until the Contractor has in his possession reviewed shop drawings for the particular material or equipment.
- C. Submit shop drawings as soon as practical, within 60 days after award of Contract and before any material or equipment is purchased. Submit for review copies of all shop drawings to be incorporated in the Mechanical Contract. Refer to the General Conditions and Supplementary General Conditions for the quantity of copies required for submission. Where quantities are not specified, provide seven (7) copies for review.
- D. Submit shop drawings for all equipment and/or devices specified. Included in the shop drawings are manufacturer's names, catalog numbers, cuts, diagrams and other such descriptive data as may be required to identify the equipment. No consideration will be given to a partial shop drawing submittal. Equipment shop drawings shall be submitted by the manufacturers supplying vendor, catalog reproductions, including electronic, shall not be acceptable .
  - 1. Where multiple quantities or types of equipment are being submitted, provide a cover sheet (with a list of contents) on the submittal identifying the equipment or material being submitted.
  - 2. Clearly indicate all specific options and/or alternatives. Failure to do so will be grounds for rejection.



3. Clearly mark all shop drawings with the specific associated specification section.
- E. Failure of the Contractor to submit shop drawings in ample time for review is not an entitlement to an extension of Contract time and no claim for extension by reason of such default will be allowed. Also, it does not entitle the Contractor to purchase, furnish and/or install equipment that has not been reviewed by the Engineer. All costs associated with the delay of construction due to equipment and/or materials arriving late or shipped to the site at a premium cost due to late or improper shop drawing submittal are the responsibility of the Contractor.
  - F. Furnish all necessary templates, patterns, etc., for installation Work and for the purpose of making adjoining Work conform; furnish setting plans and shop details to other trades as required.
  - G. Review rendered on shop drawings will not be considered as a guarantee of measurements or building conditions. Where drawings are reviewed, review does not indicate that drawings have been checked in detail; said review does not in any way relieve the Contractor from his responsibility or necessity of furnishing material or performing Work as required by the Contract Drawings and Specifications. Verify available space prior to submitting shop drawings.
  - H. Review of shop drawings does not apply to quantity nor relieve the Contractor of responsibility for compliance with the intent of the Drawings and Specifications. Review of shop drawings is final; no further changes will be allowed without the written consent of the Engineer.
  - I. Shop drawings must be specific with items submitted for review clearly identified in red ink. Data of general nature will not be accepted.
  - J. Make any corrections required by Engineer and resubmit required number of corrected copies of shop drawings or new samples until accepted. Direct specific attention in writing or on resubmitted shop drawings to revisions other than corrections requested by Engineer on previous submissions. Engineer will review no more than one resubmittal of any shop drawing or sample at Owner's expense. The fees for review of additional resubmittals are to be paid by the Contractor at the Engineer's standard rates.

### **1.13 RECORD DRAWINGS**

- A. Maintain a record set of Mechanical Drawings at the job site on which any changes in location of equipment, devices, panels and major conduits are recorded.
- B. At the end of construction, provide the Owner with a complete set of As-Built Drawings, including all Mechanical plans, indicate routing of piping, ducts, location of equipment, valves, cleanouts and access panels. Include all inverts and elevations.. As-Built documentation is drawn utilizing the most recent version of AutoCad. Provide the Owner with a "CD ROM" disk and one set of reproducible Mylar documents.
- C. Electronic copies of the contract documents will be made available, at the cost of \$35.00 per sheet, to the Contractor for use in production of As-Built documentation. The Contractor assumes responsibility for completeness and accuracy of the As-Built documents. Translation or manipulation of electronic documents provided to the Contractor by the Engineer is the responsibility of the Contractor.

### **1.14 MATERIALS AND WORKMANSHIP**

- A. All materials and apparatus required for the Work, except as otherwise specified, must be new and of first-class quality and be furnished, delivered, erected, connected and finished in every detail and

so selected and arranged as to fit properly into the building spaces. Where no specific kind or quality of material is given, furnish a first-class standard article as accepted by the Engineer.

- B. Furnish the services of an experienced superintendent who is constantly in charge of the installation of the Work, and present on site at all times during the Work. Furnish all skilled Workmen, helpers and labor required to install, unload, transfer, erect, connect up, adjust, start, operate and test each system.
- C. Unless otherwise specifically indicated on the Drawings or in the Specifications, all equipment and materials must be installed with the acceptance of the Engineer and in accordance with the recommendations of the manufacturer. This includes the performance of such tests as the manufacturer recommends.
- D. Quality of Work must be consistent with good trade practice and installed in a neat, Workmanlike manner. The Engineer reserves the right to reject any Work which, in his opinion, has been installed in a substandard, dangerous or unserviceable manner. Replacement of said Work, in a satisfactory manner, will be at no extra charge to the Owner.
- E. Year-2000 Compliant: Certify all digitally controlled/monitored equipment and systems to be "Year 2000 Compliant". Computer hardware and software shall be capable of accurately processing, providing, and receiving date data from, into, and between the twentieth and twenty-first centuries, including leap-year calculations. All program codes are "non-date sensitive" codes that will not cause an automatic program malfunction, stop command, miscalculation or similar function stopping continued and proper operation upon a sequence of numbers that occur by date.

#### **1.15 PROTECTION OF EQUIPMENT AND MATERIALS**

- A. Work under each Section includes protecting the Work and material of all other Sections from damage by Work or Workmen and includes making good all damage thus caused.
- B. The Contractor is responsible for Work and equipment until final turn-over to the Owner. Protect Work and Equipment from water, dust and dirt, and against theft, injury or damage. Carefully store and secure material and equipment received on site that is not immediately installed. Close with temporary covers or plugs open ends of Work during construction to prevent entry of water, obstructing or other foreign materials.
- C. Work under each Section includes receiving, unloading, uncrating, storing, protecting, setting in place and connecting up completely of any equipment supplied under each Section. Work under each Section also includes exercising special care in handling and protecting equipment and fixtures and includes the cost of replacing any of the above equipment and fixtures which are missing or damaged by reason of mishandling or failure on the part of the Contractor to protect.

#### **1.16 SCAFFOLDING, RIGGING, HOISTING**

- A. Furnish all scaffolding, rigging, hoisting and services necessary for erection and delivery into the premises of any equipment and apparatus furnished under this Division. Remove same from premises when no longer required.

#### **1.17 WATERPROOFING**

- A. Where any Work pierces waterproofing, including waterproof concrete and floors in wet areas, review the method of installation with the Engineer before Work is done. Furnish all necessary sleeves,

caulking, flashing and fittings required to make openings and penetrations absolutely watertight.

**1.18 ACCESSIBILITY AND ACCESS PANELS**

- A. The Contractor is responsible for the sufficiency of the size of shafts and chases, the adequate thickness of partitions and the adequate clearance in double partitions and hung ceilings for the proper installation of the Work.
- B. Locate all equipment which must be serviced, operated or maintained in fully accessible positions. Equipment includes, but is not limited to: air-handling equipment, hydronic systems including valves, coils, drains and vent points,, etc. Furnish access doors if better accessibility is required. Minor deviations from Drawings may be made to allow for better accessibility, but changes of magnitude or which involve extra cost must not be made without review by the Engineer.
- C. Field Coordinate access doors in walls, ceilings, floors, etc. It is the responsibility of the Mechanical Contractor to coordinate and provide information regarding the sizes and quantities of access doors required for the Work. Arrange Work in such a manner so as to minimize the quantity of access doors required. Locate all items requiring accessibility in already accessible areas, such as above lay-in ceilings, etc.
- D. Upon completion of the Project, physically demonstrate that all equipment and devices installed have been located and/or provided with adequate access panels for repair, maintenance and/or operation. Relocate any equipment not so furnished or provide additional access panels at no additional cost to the Owner.
- E. Furnish and install permanent ladders for access to equipment. Coordinate exact requirements in field.

**1.19 TEMPORARY OPENINGS**

- A. As certain from examination of the Drawings whether any special temporary openings in the building will be required for the admission of apparatus provided under this Division, and field coordinate the requirements accordingly. In the event of failure of the Contractor to give sufficient notice in time to arrange for these openings during construction, the Contractor assumes all costs of providing such openings thereafter.

**1.20 SHUTDOWNS**

- A. When installation of a new system requires the temporary shutdown of an existing operating system, perform the connection of the new system at such time as designated by the Owner's representative. Complete Work on premium time if required at no additional cost to the Owner.
- B. Notify the Engineer and the Owner of the estimated duration of the shutdown period at least ten (10) days in advance of the date the Work is to be performed.
- C. Arrange Work associated with the shutdown of existing systems for continuous performance. Provide all necessary labor, including overtime, if required, to assure that existing operating services will be shut down only during the time actually required to make necessary connections.

**1.21 PAINTING**

- A. Refer to Division 9 for painting requirements.
- B. All materials shipped to the job site under this Division such as panels, plates, etc., must have prime

coat and standard manufacturer's finish, unless otherwise specified.

- C. Perform all painting in areas in accordance with the following:
  - 1. Paint all concealed, non-insulated hangers, supports and other ferrous metal Work, except that which is galvanized. Coat, prior to installation, inaccessible conduits, hangers, supports, anchors and ducts.
  - 2. Do not paint over the manufacturer's nameplate data on equipment. Take special care to avoid covering or spattering paint on the nameplate.
  - 3. Touch up damaged equipment shop coats in the field.

#### **1.22 TEMPORARY SERVICES**

- A. Refer to the General Conditions and Special Conditions for a full description of the temporary services to be provided.

#### **1.23 CLEANING**

- A. Thoroughly clean all equipment of all foreign substances inside and out before being placed in operation.
- B. If any part of a system should be stopped or clogged by any foreign matter after being placed in operation, disconnect the system wherever necessary to locate and remove obstructions. Then clean and reconnect the system. Repair or replace any Work damaged in the course of removing obstructions when the system is reconnected at no additional cost to the Owner.
- C. Upon completion of Work under the Contract, remove from the premises all rubbish, debris and excess materials left over from the Work. Remove any oil or grease stains on floor areas caused by the Contractor, all floor areas must be left clean.

#### **1.24 GUARANTEES**

- A. Guarantee all materials and Workmanship under these Specifications and the Contract for a period of one (1) year from the date of final acceptance by the Owner.
- B. During this guaranteed period, correct or replace all defects developing through materials or Workmanship immediately as directed by the Engineer without expense to the Owner; make all such repairs or replacements to the Owner's satisfaction.

**END OF SECTION 23 0100**

**SECTION 23 0500 – COMMON WORK RESULTS FOR HVAC PART 1 -**

**GENERAL**

**1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. This Section includes the following:
  - 1. Piping materials and installation instructions common to most piping systems.
  - 2. Transition fittings.
  - 3. Dielectric fittings.
  - 4. Mechanical sleeve seals.
  - 5. Sleeves.
  - 6. Escutcheons.
  - 7. Grout.
  - 8. Mechanical demolition.
  - 9. Painting and finishing.
  - 10. Concrete bases.
  - 11. Supports and anchorages.

**1.3 DEFINITIONS**

- A. Finished Spaces: Spaces other than mechanical and electrical equipment rooms, furred spaces, pipe and duct shafts, unheated spaces immediately below roof, spaces above ceilings, unexcavated spaces, crawlspaces, and tunnels.
- B. Exposed, Interior Installations: Exposed to view indoors. Examples include finished occupied spaces and mechanical equipment rooms.
- C. Exposed, Exterior Installations: Exposed to view outdoors or subject to outdoor ambient temperatures and weather conditions. Examples include rooftop locations.
- D. Concealed, Interior Installations: Concealed from view and protected from physical contact by building occupants. Examples include above ceilings and in duct shafts.
- E. Concealed, Exterior Installations: Concealed from view and protected from weather conditions and physical contact by building occupants but subject to outdoor ambient temperatures. Examples include installations within unheated shelters.
- F. The following are industry abbreviations for plastic materials:
  - 1. ABS: Acrylonitrile-butadiene-styrene plastic.
  - 2. CPVC: Chlorinated polyvinyl chloride plastic.
  - 3. PE: Polyethylene plastic.

4. PVC: Polyvinyl chloride plastic.

G. The following are industry abbreviations for rubber materials:

1. EPDM: Ethylene-propylene-diene terpolymer rubber.
2. NBR: Acrylonitrile-butadiene rubber.

#### **1.4 SUBMITTALS**

A. Product Data: For the following:

1. Transition fittings.
2. Dielectric fittings.
3. Mechanical sleeve seals.
4. Escutcheons.

#### **1.5 QUALITY ASSURANCE**

A. Steel Pipe Welding: Qualify processes and operators according to ASME Boiler and Pressure Vessel Code: Section IX, "Welding and Brazing Qualifications."

1. Comply with provisions in ASME B31 Series, "Code for Pressure Piping."
2. Certify that each welder has passed AWS qualification tests for welding processes involved and that certification is current.

B. Electrical Characteristics for Mechanical Equipment: Equipment of higher electrical characteristics may be furnished provided such proposed equipment is approved in writing and connecting electrical services, circuit breakers, and conduit sizes are appropriately modified. If minimum energy ratings or efficiencies are specified, equipment shall comply with requirements. There shall be no additional contract costs associated with these modifications.

#### **1.6 DELIVERY, STORAGE, AND HANDLING**

- A. Deliver pipes and tubes with factory-applied end caps. Maintain end caps through shipping, storage, and handling to prevent pipe end damage and to prevent entrance of dirt, debris, and moisture.
- B. Store plastic pipes protected from direct sunlight. Support to prevent sagging and bending.

#### **1.7 COORDINATION**

- A. Arrange for pipe spaces, chases, slots, and openings in building structure during progress of construction, to allow for mechanical installations.
- B. Coordinate installation of required supporting devices and set sleeves in poured-in-place concrete and other structural components as they are constructed.
- C. Coordinate requirements for access panels and doors for mechanical items requiring access that are

concealed behind finished surfaces. Access panels and doors are specified in Division 8 Section "Access Doors and Frames."

## **PART 2 - PRODUCTS**

### **2.1 MANUFACTURERS**

- A. In other Part 2 articles where subparagraph titles below introduce lists, the following requirements apply for product selection:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by the manufacturers specified.

### **2.2 PIPE, TUBE, AND FITTINGS**

- A. Refer to individual Division 23 piping Sections for pipe, tube, and fitting materials and joining methods.
- B. Pipe Threads: ASME B1.20.1 for factory-threaded pipe and pipe fittings.

### **2.3 JOINING MATERIALS**

- A. Refer to individual Division 23 piping Sections for special joining materials not listed below.
- B. Pipe-Flange Gasket Materials: Suitable for chemical and thermal conditions of piping system contents.
  - 1. ASME B16.21, nonmetallic, flat, asbestos-free, 1/8-inch maximum thickness unless thickness or specific material is indicated.
    - a. Full-Face Type: For flat-face, Class 125, cast-iron and cast-bronze flanges.
    - b. Narrow-Face Type: For raised-face, Class 250, cast-iron and steel flanges.
  - 2. AWWA C110, rubber, flat face, 1/8 inch thick, unless otherwise indicated; and full-face or ring type, unless otherwise indicated.
- C. Flange Bolts and Nuts: ASME B18.2.1, carbon steel, unless otherwise indicated.
- D. Plastic, Pipe-Flange Gasket, Bolts, and Nuts: Type and material recommended by piping system manufacturer, unless otherwise indicated.
- E. Solder Filler Metals: ASTM B 32, lead-free alloys. Include water-flushable flux according to ASTM B 813.
- F. Brazing Filler Metals: AWS A5.8, BCuP Series, copper-phosphorus alloys for general-duty brazing, unless otherwise indicated; and AWS A5.8, BAg1, silver alloy for refrigerant piping, unless otherwise indicated.
- G. Welding Filler Metals: Comply with AWS D10.12 for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.
- H. Solvent Cements for Joining Plastic Piping:
  - 1. ABS Piping: ASTM D 2235.
  - 2. CPVC Piping: ASTM F 493.

3. PVC Piping: ASTM D 2564. Include primer according to ASTM F 656.
4. PVC to ABS Piping Transition: ASTM D 3138.

I. Fiberglass Pipe Adhesive: As furnished or recommended by pipe manufacturer.

## 2.4 TRANSITION FITTINGS

A. AWWA Transition Couplings: Same size as, and with pressure rating at least equal to and with ends compatible with, piping to be joined.

1. Manufacturers:

- a. Cascade Waterworks Mfg. Co.
- b. Dresser Industries, Inc.; DMD Div.
- c. JCM Industries.

2. Underground Piping NPS 1-1/2 and Smaller: Manufactured fitting or coupling.
3. Underground Piping NPS 2 and Larger: AWWA C219, metal sleeve-type coupling.
4. Aboveground Pressure Piping: Pipe fitting.

B. Plastic-to-Metal Transition Fittings: CPVC and PVC one-piece fitting with manufacturer's Schedule 80 equivalent dimensions; one end with threaded brass insert, and one solvent-cement-joint end.

1. Manufacturers:

- a. Eslon Thermoplastics.

C. Plastic-to-Metal Transition Adaptors: One-piece fitting with manufacturer's SDR 11 equivalent dimensions; one end with threaded brass insert, and one solvent-cement-joint end.

1. Manufacturers:

- a. Thompson Plastics, Inc.

D. Plastic-to-Metal Transition Unions: MSS SP-107, CPVC and PVC four-part union. Include brass end, solvent-cement-joint end, rubber O-ring, and union nut.

1. Manufacturers:

- a. NIBCO INC.
- b. NIBCO, Inc.; Chemtrol Div.

E. Flexible Transition Couplings for Underground Nonpressure Drainage Piping: ASTM C 1173 with elastomeric sleeve, ends same size as piping to be joined, and corrosion-resistant metal band on each end.

1. Manufacturers:

- a. Cascade Waterworks Mfg. Co.
- b. Fernco, Inc.



- c. Mission Rubber Company.

## 2.5 DIELECTRIC FITTINGS

- A. Description: Combination fitting of copper alloy and ferrous materials with threaded, solder-joint, plain, or weld-neck end connections that match piping system materials.
- B. Insulating Material: Suitable for system fluid, pressure, and temperature.
- C. Dielectric Unions: Factory-fabricated, union assembly, for 250-psig minimum working pressure at 180 deg F.
  - 1. Manufacturers:
    - a. Epco Sales, Inc.
    - b. Watts Industries, Inc.; Water Products Div.
    - c. Zurn Industries, Inc.; Wilkins Div.
- D. Dielectric Flanges: Factory-fabricated, companion-flange assembly, for 150- or 300-psig minimum working pressure as required to suit system pressures.
  - 1. Manufacturers:
    - a. Capitol Manufacturing Co.
    - b. Epco Sales, Inc.
    - c. Watts Industries, Inc.; Water Products Div.
- E. Dielectric-Flange Kits: Companion-flange assembly for field assembly. Include flanges, full-face- or ring-type neoprene or phenolic gasket, phenolic or polyethylene bolt sleeves, phenolic washers, and steel backing washers.
  - 1. Manufacturers:
    - a. Calpico, Inc.
    - b. Central Plastics Company.
    - c. Pipeline Seal and Insulator, Inc.
  - 2. Separate companion flanges and steel bolts and nuts shall have 150- or 300-psig minimum working pressure where required to suit system pressures.
- F. Dielectric Couplings: Galvanized-steel coupling with inert and noncorrosive, thermoplastic lining; threaded ends; and 300-psig minimum working pressure at 225 deg F.
  - 1. Manufacturers:
    - a. Calpico, Inc.
    - b. Lochinvar Corp.

- G. Dielectric Nipples: Electroplated steel nipple with inert and noncorrosive, thermoplastic lining; plain, threaded, or grooved ends; and 300-psig minimum working pressure at 225 deg F.
1. Manufacturers:
    - a. Precision Plumbing Products, Inc.
    - b. Sioux Chief Manufacturing Co., Inc.
    - c. Victaulic Co. of America.

## 2.6 MECHANICAL SLEEVE SEALS

- A. Description: Modular sealing element unit, designed for field assembly, to fill annular space between pipe and sleeve.
1. Manufacturers:
    - a. Calpico, Inc.
    - b. Metraflex Co.
    - c. Pipeline Seal and Insulator, Inc.
  2. Sealing Elements: EPDM or NBR interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
  3. Pressure Plates: Stainless steel. Include two for each sealing element.
  4. Connecting Bolts and Nuts: Stainless steel of length required to secure pressure plates to sealing elements. Include one for each sealing element.

## 2.7 SLEEVES

- A. Galvanized-Steel Sheet: 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint.
- B. Steel Pipe: ASTM A 53, Type E, Grade B, Schedule 40, galvanized, plain ends.
- C. Cast Iron: Cast or fabricated "wall pipe" equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop, unless otherwise indicated.
- D. Stack Sleeve Fittings: Manufactured, cast-iron sleeve with integral clamping flange. Include clamping ring and bolts and nuts for membrane flashing.
1. Underdeck Clamp: Clamping ring with set screws.
- E. Molded PE: Reusable, PE, tapered-cup shaped, and smooth-outer surface with nailing flange for attaching to wooden forms.

## 2.8 ESCUTCHEONS

- A. Description: Manufactured wall and ceiling escutcheons and floor plates, with an ID to closely fit around pipe, tube, and insulation of insulated piping and an OD that completely covers opening.
- B. One-Piece, Deep-Pattern Type: Deep-drawn, box-shaped brass with polished chrome-plated finish.
- C. One-Piece, Cast-Brass Type: With set screw.
  - 1. Finish: Polished chrome-plated.
- D. Split-Casting, Cast-Brass Type: With concealed hinge and set screw.
  - 1. Finish: Polished chrome-plated.
- E. One-Piece, Stamped-Steel Type: With set screw or spring clips and chrome-plated finish.
- F. Split-Plate, Stamped-Steel Type: With concealed hinge, set screw or spring clips, and chrome-plated finish.
- G. One-Piece, Floor-Plate Type: Cast-iron floor plate.
- H. Split-Casting, Floor-Plate Type: Cast brass with concealed hinge and set screw.

## 2.9 GROUT

- A. Description: ASTM C 1107, Grade B, nonshrink and nonmetallic, dry hydraulic-cement grout.
  - 1. Characteristics: Post-hardening, volume-adjusting, nonstaining, noncorrosive, nongaseous, and recommended for interior and exterior applications.
  - 2. Design Mix: 5000-psi, 28-day compressive strength.
  - 3. Packaging: Premixed and factory packaged.

## PART 3 - EXECUTION

### 3.1 MECHANICAL DEMOLITION

- A. Refer to Division 1 Sections "Cutting and Patching" and "Selective Demolition" for general demolition requirements and procedures.
- B. Disconnect, demolish, and remove mechanical systems, equipment, and components indicated to be removed.
  - 1. Piping to Be Removed: Remove portion of piping indicated to be removed and cap or plug remaining piping with same or compatible piping material.
  - 2. Piping to Be Abandoned in Place: Drain piping and cap or plug piping with same or compatible piping material.
  - 3. Ducts to Be Removed: Remove portion of ducts indicated to be removed and plug remaining ducts with same or compatible ductwork material.
  - 4. Ducts to Be Abandoned in Place: Cap or plug ducts with same or compatible ductwork material.
  - 5. Equipment to Be Removed: Disconnect and cap services and remove equipment.
  - 6. Equipment to Be Removed and Reinstalled: Disconnect and cap services and remove, clean, and store equipment; when appropriate, reinstall, reconnect, and make equipment operational.
  - 7. Equipment to Be Removed and Salvaged: Disconnect and cap services and remove equipment and deliver to Owner.

- C. If pipe, insulation, or equipment to remain is damaged in appearance or is unserviceable, remove damaged or unserviceable portions and replace with new products of equal capacity and quality.

### 3.2 PIPING SYSTEMS - COMMON REQUIREMENTS

- A. Install piping according to the following requirements and Division 23 Sections specifying piping systems.
- B. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations. Install piping as indicated unless deviations to layout are approved on Coordination Drawings.
- C. Install piping in concealed locations, unless otherwise indicated and except in equipment rooms and service areas.
- D. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- E. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- F. Install piping to permit valve servicing.
- G. Install piping at indicated slopes.
- H. Install piping free of sags and bends.
- I. Install fittings for changes in direction and branch connections.
- J. Install piping to allow application of insulation.
- K. Select system components with pressure rating equal to or greater than system operating pressure.
- L. Install escutcheons for penetrations of walls, ceilings, and floors according to the following:
  - 1. New Piping:
    - a. Piping with Fitting or Sleeve Protruding from Wall: One-piece, deep-pattern type.
    - b. Chrome-Plated Piping: One-piece, cast-brass type with polished chrome-plated finish.
    - c. Insulated Piping: One-piece, stamped-steel type with spring clips.
    - d. Bare Piping at Wall and Floor Penetrations in Finished Spaces: One-piece, cast-brass type with polished chrome-plated finish.
    - e. Bare Piping at Ceiling Penetrations in Finished Spaces: One-piece or split-casting, cast-brass type with polished chrome-plated finish.
    - f. Bare Piping in Unfinished Service Spaces: One-piece, cast-brass type with polished chrome-plated finish.
    - g. Bare Piping in Equipment Rooms: One-piece, cast-brass type.
    - h. Bare Piping at Floor Penetrations in Equipment Rooms: One-piece, floor-plate type.
  - 2. Existing Piping: Use the following:
    - a. Chrome-Plated Piping: Split-casting, cast-brass type with chrome-plated finish.
    - b. Insulated Piping: Split-plate, stamped-steel type with concealed hinge and spring clips.
    - c. Bare Piping at Wall and Floor Penetrations in Finished Spaces: Split-casting, cast-brass type with chrome-plated finish.
    - d. Bare Piping at Ceiling Penetrations in Finished Spaces: Split-casting, cast-brass type with chrome-plated finish.

- e. Bare Piping in Unfinished Service Spaces: Split-casting, cast-brass type with polished chrome-plated finish.
  - f. Bare Piping in Unfinished Service Spaces: Split-plate, stamped-steel type with **concealed** hinge and set screw or spring clips.
  - g. Bare Piping in Equipment Rooms: Split-casting, cast-brass type.
  - h. Bare Piping at Floor Penetrations in Equipment Rooms: Split-casting, floor-plate type.
- M. Install sleeves for pipes passing through concrete and masonry walls and concrete floor and roof slabs.
- 1. Cut sleeves to length for mounting flush with both surfaces.
    - a. Exception: Extend sleeves installed in floors of mechanical equipment areas or other wet areas 2 inches above finished floor level. Extend cast-iron sleeve fittings below floor slab as required to secure clamping ring if ring is specified.
  - 2. Install sleeves in new walls and slabs as new walls and slabs are constructed.
  - 3. Install sleeves that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation. Use the following sleeve materials:
    - a. **Steel Pipe Sleeves:** For pipes smaller than NPS 6.
    - b. **Steel Sheet Sleeves:** For pipes NPS 6 and larger, penetrating gypsum-board partitions.
    - c. **Stack Sleeve Fittings:** For pipes penetrating floors with membrane waterproofing. Secure flashing between clamping flanges. Install section of cast-iron soil pipe to extend sleeve to 2 inches above finished floor level. Refer to Division 7 Section "Sheet Metal Flashing and Trim" for flashing.
      - 1) Seal space outside of sleeve fittings with grout.
  - 4. Except for underground wall penetrations, seal annular space between sleeve and pipe or pipe insulation, using joint sealants appropriate for size, depth, and location of joint. Refer to Division 7 Section "Joint Sealants" for materials and installation.
- N. Aboveground, Exterior-Wall Pipe Penetrations: Seal penetrations using sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- 1. Install steel pipe for sleeves smaller than 6 inches in diameter.
  - 2. Install cast-iron "wall pipes" for sleeves 6 inches and larger in diameter.
  - 3. **Mechanical Sleeve Seal Installation:** Select type and number of sealing elements required for pipe material and size. Position pipe in center of sleeve. Assemble mechanical sleeve seals and install in annular space between pipe and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.
- O. Underground, Exterior-Wall Pipe Penetrations: Install cast-iron "wall pipes" for sleeves. Seal pipe penetrations using mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- 1. **Mechanical Sleeve Seal Installation:** Select type and number of sealing elements required for pipe material and size. Position pipe in center of sleeve. Assemble mechanical sleeve seals and

install in annular space between pipe and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

- P. Fire-Barrier Penetrations: Maintain indicated fire rating of walls, partitions, ceilings, and floors at pipe penetrations. Seal pipe penetrations with firestop materials. Refer to Division 7 Section "Through-Penetration Firestop Systems" for materials.
- Q. Verify final equipment locations for roughing-in.

### 3.3 PIPING JOINT CONSTRUCTION

- A. Join pipe and fittings according to the following requirements and Division 23 Sections specifying piping systems.
- B. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- C. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- D. Soldered Joints: Apply ASTM B 813, water-flushable flux, unless otherwise indicated, to tube end. Construct joints according to ASTM B 828 or CDA's "Copper Tube Handbook," using lead-free solder alloy complying with ASTM B 32.
- E. Brazed Joints: Construct joints according to AWS's "Brazing Handbook," "Pipe and Tube" Chapter, using copper-phosphorus brazing filler metal complying with AWS A5.8.
- F. Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
  - 1. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.
  - 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.
- G. Welded Joints: Construct joints according to AWS D10.12, using qualified processes and welding operators according to Part 1 "Quality Assurance" Article.
- H. Flanged Joints: Select appropriate gasket material, size, type, and thickness for service application. Install gasket concentrically positioned. Use suitable lubricants on bolt threads.
- I. Plastic Piping Solvent-Cement Joints: Clean and dry joining surfaces. Join pipe and fittings according to the following:
  - 1. Comply with ASTM F 402 for safe-handling practice of cleaners, primers, and solvent cements.
  - 2. ABS Piping: Join according to ASTM D 2235 and ASTM D 2661 Appendixes.
  - 3. CPVC Piping: Join according to ASTM D 2846/D 2846M Appendix.
  - 4. PVC Pressure Piping: Join schedule number ASTM D 1785, PVC pipe and PVC socket fittings according to ASTM D 2672. Join other-than-schedule-number PVC pipe and socket fittings according to ASTM D 2855.
  - 5. PVC Nonpressure Piping: Join according to ASTM D 2855.
  - 6. PVC to ABS Nonpressure Transition Fittings: Join according to ASTM D 3138 Appendix.
- J. Plastic Pressure Piping Gasketed Joints: Join according to ASTM D 3139.
- K. Plastic Nonpressure Piping Gasketed Joints: Join according to ASTM D 3212.
- L. PE Piping Heat-Fusion Joints: Clean and dry joining surfaces by wiping with clean cloth or paper

towels. Join according to ASTM D 2657.

1. Plain-End Pipe and Fittings: Use butt fusion.
  2. Plain-End Pipe and Socket Fittings: Use socket fusion.
- M. Fiberglass Bonded Joints: Prepare pipe ends and fittings, apply adhesive, and join according to pipe manufacturer's written instructions.

### **3.4 PIPING CONNECTIONS**

- A. Make connections according to the following, unless otherwise indicated:
1. Install unions, in piping NPS 2 and smaller, adjacent to each valve and at final connection to each piece of equipment.
  2. Install flanges, in piping NPS 2-1/2 and larger, adjacent to flanged valves and at final connection to each piece of equipment.
  3. Dry Piping Systems: Install dielectric unions and flanges to connect piping materials of dissimilar metals.
  4. Wet Piping Systems: Install dielectric coupling and nipple fittings to connect piping materials of dissimilar metals.

### **3.5 EQUIPMENT INSTALLATION - COMMON REQUIREMENTS**

- A. Install equipment to allow maximum possible headroom unless specific mounting heights are not indicated.
- B. Install equipment level and plumb, parallel and perpendicular to other building systems and components in exposed interior spaces, unless otherwise indicated.
- C. Install mechanical equipment to facilitate service, maintenance, and repair or replacement of components. Connect equipment for ease of disconnecting, with minimum interference to other installations. Extend grease fittings to accessible locations.
- D. Install equipment to allow right of way for piping installed at required slope.

### **3.6 PAINTING**

- A. Painting of mechanical systems, equipment, and components is specified in Division 9 Section in Division 23 Sections and on plans..
- B. Damage and Touchup: Repair marred and damaged factory-painted finishes with materials and procedures to match original factory finish.

### **3.7 CONCRETE BASES**

- A. Concrete Bases: Anchor equipment to concrete base according to equipment manufacturer's written instructions and according to seismic codes at Project.

1. Construct concrete bases of dimensions indicated, but not less than 4 inches larger in both directions than supported unit.
2. Install dowel rods to connect concrete base to concrete floor. Unless otherwise indicated, install dowel rods on 18-inch centers around the full perimeter of the base.
3. Install epoxy-coated anchor bolts for supported equipment that extend through concrete base, and anchor into structural concrete floor.
4. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
5. Install anchor bolts to elevations required for proper attachment to supported equipment.
6. Install anchor bolts according to anchor-bolt manufacturer's written instructions.
7. Use 3000-psi, 28-day compressive-strength concrete and reinforcement as specified in Division 3 Section .

### **3.8 ERECTION OF METAL SUPPORTS AND ANCHORAGES**

- A. Cut, fit, and place miscellaneous metal supports accurately in location, alignment, and elevation to support and anchor mechanical materials and equipment.
- B. Field Welding: Comply with AWS D1.1.

### **3.9 GROUTING**

- A. Mix and install grout for mechanical equipment base bearing surfaces, pump and other equipment base plates, and anchors.
- B. Clean surfaces that will come into contact with grout.
- C. Provide forms as required for placement of grout.
- D. Avoid air entrapment during placement of grout.
- E. Place grout, completely filling equipment bases.
- F. Place grout on concrete bases and provide smooth bearing surface for equipment.
- G. Place grout around anchors.
- H. Cure placed grout.

**END OF SECTION 23 0500**



## SECTION 23 0519 - METERS AND GAUGES FOR HVAC PIPING PART 1 -

### GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. This Section includes meters and gages for mechanical systems.

#### 1.3 SUBMITTALS

- A. Product Data: Include scale range, ratings, and calibrated performance curves for each meter, gage, fitting, specialty, and accessory specified.
- B. Shop Drawings: Include schedule indicating manufacturer's number, scale range, fittings, and location for each meter and gage.
- C. Product Certificates: Signed by manufacturers of meters and gages certifying accuracies under specified operating conditions and compliance with specified requirements.
- D. Shop Drawings: For brackets for duct-mounting thermometers.
- E. Maintenance Data: For meters and gages to include in maintenance manuals specified in Division 1.

### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Liquid-in-Glass Thermometers:
    - a. Ernst Gage Co.
    - b. Palmer Instruments, Inc.
    - c. Trerice: H. O. Trerice Co.
  - 2. Pressure Gages:
    - a. Dresser Industries, Inc.; Instrument Div.; Ashcroft Commercial Sales Operation.
    - b. Ernst Gage Co.
    - c. Trerice: H. O. Trerice Co.
  - 3. Test Plugs:
    - a. Peterson Equipment Co., Inc.
    - b. Trerice: H. O. Trerice Co.
    - c. Watts Industries, Inc.; Water Products Div.

## 2.2 THERMOMETERS, GENERAL

- A. Scale Range: Temperature ranges for services listed are as follows:
  - 1. Hot Water: 30 to 300 deg F, with 2-degree scale divisions.
- B. Accuracy: Plus or minus 1 percent of range span or plus or minus one scale division to maximum of 1.5 percent of range span.

## 2.3 LIQUID-IN-GLASS THERMOMETERS

- A. Description: ASTM E 1.
- B. Case: Die cast and aluminum finished in baked-epoxy enamel, glass front, spring secured, 9 inches long.
- C. Adjustable Joint: Finish to match case, 180-degree adjustment in vertical plane, 360-degree adjustment in horizontal plane, with locking device.
- D. Tube: Red or blue reading, organic-liquid filled with magnifying lens.
- E. Scale: Satin-faced nonreflective aluminum with permanently etched markings.
- F. Stem: Copper-plated steel, aluminum, or brass for separable socket; of length to suit installation.

## 2.4 DIRECT-MOUNTING, FILLED-SYSTEM DIAL THERMOMETERS

- A. Description: Vapor-actuated, universal-angle dial type.
- B. Case: Drawn steel or cast aluminum, with 4-1/2-inch- diameter, glass lens.
- C. Adjustable Joint: Finish to match case, 180-degree adjustment in vertical plane, 360-degree adjustment in horizontal plane, with locking device.
- D. Thermal Bulb: Copper with phosphor-bronze bourdon pressure tube.
- E. Movement: Brass, precision geared.
- F. Scale: Progressive, satin-faced nonreflective aluminum with permanently etched markings.
- G. Stem: Copper-plated steel, aluminum, or brass for separable socket; of length to suit installation.

## 2.5 REMOTE-READING, FILLED-SYSTEM DIAL THERMOMETERS

- A. Description: Vapor-actuated, remote-reading dial type.
- B. Case: Drawn steel or cast aluminum, with 4-1/2-inch- diameter, glass lens.
- C. Movement: Brass, precision geared.
- D. Scale: Progressive, satin-faced nonreflective aluminum with permanently etched markings.
- E. Tubing: Bronze, double-braided, armor-over-copper capillary; of length to suit installation.
- F. Bulb: Copper with separable socket for liquids; averaging element for air.

## 2.6 BIMETAL DIAL THERMOMETERS

- A. Description: ASME B40.3; direct-mounting, universal-angle dial type.
- B. Case: Stainless steel with 5-inch- diameter, glass lens.
- C. Adjustable Joint: Finish to match case, 180-degree adjustment in vertical plane, 360-degree adjustment in horizontal plane, with locking device.
- D. Element: Bimetal coil.
- E. Scale: Satin-faced nonreflective aluminum with permanently etched markings.
- F. Stem: Stainless steel for separable socket, of length to suit installation.

## 2.7 INSERTION DIAL THERMOMETERS

- A. Description: ASME B40.3, bimetal type.
- B. Dial: 1-inch diameter.
- C. Case: Stainless steel.
- D. Stem: Dustproof and leakproof 1/8-inch- diameter, tapered-end stem with nominal length of 5 inches.

## 2.8 SEPARABLE SOCKETS

- A. Description: Fitting with protective socket for installation in threaded pipe fitting to hold fixed thermometer stem.
  - 1. Material: Brass, for use in copper piping.
  - 2. Material: Stainless steel, for use in steel piping.
  - 3. Material: Steel, for use in steel piping.
  - 4. Extension-Neck Length: Nominal thickness of 2 inches, but not less than thickness of insulation. Omit extension neck for sockets for piping not insulated.
  - 5. Insertion Length: To extend to one-third of diameter of pipe.

## 2.9 THERMOMETER WELLS

- A. Description: Fitting with protective well for installation in threaded pipe fitting to hold test thermometer.
  - 1. Material: Brass, for use in copper piping.
  - 2. Material: Steel, for use in steel piping.
  - 3. Extension-Neck Length: Nominal thickness of 2 inches, but not less than thickness of insulation. Omit extension neck for wells for piping not insulated.
  - 4. Insertion Length: To extend to one-third of diameter of pipe.
  - 5. Cap: Threaded, with chain permanently fastened to socket.
  - 6. Heat-Transfer Fluid: Oil or graphite.

## 2.10 DUCT THERMOMETER SUPPORT FLANGES

- A. Description: Flanged-fitting bracket for mounting in hole of duct, with threaded end for attaching thermometer.
  - 1. Extension-Neck Length: Nominal thickness of 2 inches, but not less than thickness of exterior insulation.

## 2.11 PRESSURE GAGES

- A. Description: ASME B40.1, phosphor-bronze bourdon-tube type with bottom connection; dry type, unless liquid-filled-case type is indicated.
- B. Case: Drawn steel, brass, or aluminum with 4-1/2-inch- diameter, glass lens.
- C. Connector: Brass, NPS 1/4.
- D. Scale: White-coated aluminum with permanently etched markings.
- E. Accuracy: Grade B, plus or minus 2 percent of middle 50 percent of scale.
- F. Range: Comply with the following:
  - 1. Fluids under Pressure: Two times the operating pressure.

## 2.12 PRESSURE-GAGE FITTINGS

- A. Valves: NPS 1/4 brass or stainless steel needle type.
- B. Syphons: NPS 1/4 coil of brass tubing with threaded ends.
- C. Snubbers: ASME B40.5, NPS 1/4 brass bushing with corrosion-resistant porous-metal disc of material suitable for system fluid and working pressure.

## 2.13 TEST PLUGS

- A. Description: Nickel-plated, brass-body test plug in NPS 1/2 fitting.
- B. Body: Length as required to extend beyond insulation.
- C. Pressure Rating: 500-psig minimum.
- D. Core Insert: Self-sealing valve, suitable for inserting 1/8-inch OD probe from dial-type thermometer or pressure gage.
- E. Core Material for Air, Water, Oil, and Gas: 20 to 200 deg F, chlorosulfonated polyethylene synthetic rubber.
- F. Core Material for Air and Water: Minus 30 to plus 275 deg F, ethylene-propylene-diene terpolymer rubber.
- G. Test-Plug Cap: Gasketed and threaded cap, with retention chain or strap.

### **PART 3 - EXECUTION**

#### **3.1 METER AND GAGE INSTALLATION, GENERAL**

- A. Install meters, gages, and accessories according to manufacturer's written instructions for applications where used.

#### **3.2 THERMOMETER INSTALLATION**

- A. Install thermometers and adjust vertical and tilted positions.
  - 1. Inlet and outlet of each hydronic boiler and chiller.
  - 2. Inlet and outlet of each hydronic coil in air-handling units.
- B. Install remote-reading dial thermometers in control panels with tubing connecting panel and thermometer bulb supported to prevent kinks. Use minimum tubing length.
- C. Install separable sockets in vertical position in piping tees where fixed thermometers are indicated.
  - 1. Install with socket extending to one-third of diameter of pipe.
- D. Install thermometer wells in vertical position in piping tees where test thermometers are indicated.
  - 1. Install with stem extending to one-third of diameter of pipe.
  - 2. Fill wells with oil or graphite and secure caps.
- E. Duct Thermometer Support Flanges: Install in wall of duct where duct thermometers are indicated. Attach to duct with screws.

#### **3.3 PRESSURE-GAGE INSTALLATION**

- A. Install pressure gages in piping tees with pressure-gage valve located on pipe at most readable position.
  - 1. Chilled-water inlets and outlets of chillers.
- B. Install liquid-filled-type pressure gages at suction and discharge of each pump.
- C. Install pressure-gage needle valve and snubber in piping to pressure gages.

#### **3.4 CONNECTIONS**

- A. Piping installation requirements are specified in other Division 23 Sections. Drawings indicate general arrangement of piping and specialties. The following are specific connection requirements:
  - 1. Install meters and gages adjacent to machines and equipment to allow service and maintenance.

**3.5 ADJUSTING AND CLEANING**

- A. Calibrate meters according to manufacturer's written instructions, after installation.
- B. Adjust faces of meters and gages to proper angle for best visibility.
- C. Clean windows of meters and gages and clean factory-finished surfaces. Replace cracked and broken windows, and repair scratched and marred surfaces with manufacturer's touchup paint.

**END OF SECTION 23 0519**

## SECTION 23 0523 – GENERAL DUTY VALVES FOR HVAC PIPING

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. This Section includes the following general-duty valves:
  - 1. Copper-alloy ball valves.
  - 2. Ferrous-alloy ball valves.
  - 3. Ferrous-alloy butterfly valves.
  - 4. Bronze check valves.
  - 5. Gray-iron swing check valves.
  - 6. Ferrous-alloy wafer check valves.
  - 7. Spring-loaded, lift-disc check valves.
  - 8. Bronze gate valves.
  - 9. Cast-iron gate valves.
  - 10. Cast-iron plug valves.
- B. Related Sections include the following:
  - 1. Division 23 Section "Mechanical Identification" for valve tags and charts.
  - 2. Division 23 Section "HVAC Instrumentation and Controls" for control valves and actuators.
  - 3. Division 23 piping Sections for specialty valves applicable to those Sections only.

#### 1.3 DEFINITIONS

- A. The following are standard abbreviations for valves:
  - 1. CWP: Cold working pressure.
  - 2. EPDM: Ethylene-propylene-diene terpolymer rubber.
  - 3. NBR: Acrylonitrile-butadiene rubber.
  - 4. PTFE: Polytetrafluoroethylene plastic.
  - 5. TFE: Tetrafluoroethylene plastic.

#### 1.4 SUBMITTALS

- A. Product Data: For each type of valve indicated. Include body, seating, and trim materials; valve design; pressure and temperature classifications; end connections; arrangement; dimensions; and required clearances. Include list indicating valve and its application. Include rated capacities; shipping, installed, and operating weights; furnished specialties; and accessories.

## **1.5 QUALITY ASSURANCE**

- A. ASME Compliance: ASME B31.9 for building services piping valves.
  - 1. Exceptions: Domestic hot- and cold-water piping valves unless referenced.
- B. ASME Compliance for Ferrous Valves: ASME B16.10 and ASME B16.34 for dimension and design criteria.
- C. NSF Compliance: NSF 61 for valve materials for potable-water service.

## **1.6 DELIVERY, STORAGE, AND HANDLING**

- A. Prepare valves for shipping as follows:
  - 1. Protect internal parts against rust and corrosion.
  - 2. Protect threads, flange faces, grooves, and weld ends.
  - 3. Set angle, gate, and globe valves closed to prevent rattling.
  - 4. Set ball and plug valves open to minimize exposure of functional surfaces.
  - 5. Set butterfly valves closed or slightly open.
  - 6. Block check valves in either closed or open position.
- B. Use the following precautions during storage:
  - 1. Maintain valve end protection.
  - 2. Store valves indoors and maintain at higher than ambient dew-point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.
- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use handwheels or stems as lifting or rigging points.

## **PART 2 - PRODUCTS**

### **2.1 MANUFACTURERS**

- A. In other Part 2 articles where subparagraph titles below introduce lists, the following requirements apply for product selection:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by the manufacturers specified.

### **2.2 VALVES, GENERAL**

- A. Refer to Part 3 "Valve Applications" Article for applications of valves.



- B. Bronze Valves: NPS 2 and smaller with threaded ends, unless otherwise indicated.
- C. Ferrous Valves: NPS 2-1/2 and larger with flanged ends, unless otherwise indicated.
- D. Valve Pressure and Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.
- E. Valve Sizes: Same as upstream pipe, unless otherwise indicated.
- F. Valve Actuators:
  - 1. Lever Handle: For quarter-turn valves NPS 6 and smaller, except plug valves.
  - 2. Wrench: For plug valves with square heads. Furnish Owner with 1 wrench for every 10-plug valves, for each size square plug head.
- G. Extended Valve Stems: On insulated valves.
- H. Valve Flanges: ASME B16.1 for cast-iron valves, ASME B16.5 for steel valves, and ASME B16.24 for bronze valves.
- I. Valve Grooved Ends: AWWA C606.
  - 1. Solder Joint: With sockets according to ASME B16.18.
    - a. Caution: Use solder with melting point below 840 deg F for angle, check, gate, and globe valves; below 421 deg F for ball valves.
  - 2. Threaded: With threads according to ASME B1.20.1.
- J. Valve Bypass and Drain Connections: MSS SP-45.

### **2.3 CAST-IRON ANGLE VALVES**

- A. Manufacturers:
  - 1. Type II, Cast-Iron Angle Valves with Metal Seats:
    - a. Crane Co.; Crane Valve Group; Jenkins Valves.
    - b. Crane Co.; Crane Valve Group; Stockham Div.
    - c. NIBCO INC.
- B. Cast-Iron Angle Valves, General: MSS SP-85, Type II.
- C. Class 125, Cast-Iron Angle Valves: Bronze mounted with gray-iron body and bronze seats.

### **2.4 COPPER-ALLOY BALL VALVES**

- A. Manufacturers:
  - 1. One-Piece, Copper-Alloy Ball Valves:
    - a. American Valve, Inc.
    - b. Conbraco Industries, Inc.; Apollo Div.
    - c. Crane Co.; Crane Valve Group; Jenkins Valves.

- d. Crane Co.; Crane Valve Group; Stockham Div.
  - e. Grinnell Corporation.
  - f. Jamesbury, Inc.
  - g. NIBCO INC.
  - h. Watts Industries, Inc.; Water Products Div.
2. Two-Piece, Copper-Alloy Ball Valves:
- a. Conbraco Industries, Inc.; Apollo Div.
  - b. Crane Co.; Crane Valve Group; Crane Valves.
  - c. Crane Co.; Crane Valve Group; Jenkins Valves.
  - d. Crane Co.; Crane Valve Group; Stockham Div.
  - e. Grinnell Corporation.
  - f. Hammond Valve.
  - g. Jamesbury, Inc.
  - h. Milwaukee Valve Company.
  - i. NIBCO INC.
  - j. Red-White Valve Corp.
  - k. Watts Industries, Inc.; Water Products Div.
3. Three-Piece, Copper-Alloy Ball Valves:
- a. Conbraco Industries, Inc.; Apollo Div.
  - b. Grinnell Corporation.
  - c. Hammond Valve.
  - d. Jamesbury, Inc.
  - e. NIBCO INC.
  - f. PBM, Inc.
  - g. Red-White Valve Corp.
- B. Copper-Alloy Ball Valves, General: MSS SP-110.
- C. One-Piece, Copper-Alloy Ball Valves: Brass or bronze body with chrome-plated bronze ball, PTFE or TFE seats, and 400-psig minimum CWP rating.
- D. Two-Piece, Copper-Alloy Ball Valves: Brass or bronze body with full-port, chrome-plated bronze ball; PTFE or TFE seats; and 600-psig minimum CWP rating and blowout-proof stem.
- E. Three-Piece, Copper-Alloy Ball Valves: Brass or bronze body with full-port, chrome-plated bronze ball; PTFE or TFE seats; and 600-psig minimum CWP rating and blowout-proof stem.

## 2.5 FERROUS-ALLOY BALL VALVES

- A. Manufacturers:
- 1. American Valve, Inc.
  - 2. Conbraco Industries, Inc.; Apollo Div.
  - 3. Crane Co.; Crane Valve Group; Stockham Div.
  - 4. Hammond Valve.
  - 5. Jamesbury, Inc.
  - 6. Milwaukee Valve Company.
  - 7. NIBCO INC.

- B. Ferrous-Alloy Ball Valves, General: MSS SP-72, with flanged ends.
- C. Ferrous-Alloy Ball Valves: Class 150, full **or regular** port.

## 2.6 FERROUS-ALLOY BUTTERFLY VALVES

- A. Manufacturers:
  - 1. Flangeless, Ferrous-Alloy Butterfly Valves:
    - a. Crane Co.; Crane Valve Group; Stockham Div.
    - b. Milwaukee Valve Company.
    - c. NIBCO INC.
    - d. Red-White Valve Corp.
    - e. Watts Industries, Inc.; Water Products Div.
  - 2. Single-Flange, Ferrous-Alloy Butterfly Valves:
    - a. Crane Co.; Crane Valve Group; Jenkins Valves.
    - b. Crane Co.; Crane Valve Group; Stockham Div.
    - c. Milwaukee Valve Company.
    - d. NIBCO INC.
    - e. Watts Industries, Inc.; Water Products Div.
  - 3. Flanged, Ferrous-Alloy Butterfly Valves:
    - a. Bray International, Inc.
    - b. Grinnell Corporation.
    - c. Tyco International, Ltd.; Tyco Valves & Controls.
  - 4. Grooved-End, Ductile-Iron Butterfly Valves:
    - a. Grinnell Corporation.
    - b. Milwaukee Valve Company.
    - c. NIBCO INC.
- B. Ferrous-Alloy Butterfly Valves, General: MSS SP-67, Type I, for tight shutoff, with disc and lining suitable for potable water, unless otherwise indicated.
- C. Flangeless, 150-psig CWP Rating, Ferrous-Alloy Butterfly Valves: Wafer type with **one- or two-piece** stem.
- D. Single-Flange, 150-psig CWP Rating, Ferrous-Alloy Butterfly Valves: Wafer-lug type with **one- or two-piece** stem.
- E. Flanged, 150-psig CWP Rating, Ferrous-Alloy Butterfly Valves: Flanged-end type with **one- or two-piece** stem.

## 2.7 BRONZE CHECK VALVES

- A. Manufacturers:
  - 1. Type 1, Bronze, Horizontal Lift Check Valves with Metal Disc:
    - a. Cincinnati Valve Co.
    - b. Crane Co.; Crane Valve Group; Stockham Div.

- c. Red-White Valve Corp.
- 2. Type 2, Bronze, Horizontal Lift Check Valves with Nonmetallic Disc:
  - a. Cincinnati Valve Co.
  - b. Crane Co.; Crane Valve Group; Jenkins Valves.
  - c. Crane Co.; Crane Valve Group; Stockham Div.
- 3. Type 1, Bronze, Vertical Lift Check Valves with Metal Disc:
  - a. Cincinnati Valve Co.
  - b. Crane Co.; Crane Valve Group; Jenkins Valves.
  - c. Red-White Valve Corp.
- 4. Type 2, Bronze, Vertical Lift Check Valves with Nonmetallic Disc:
  - a. Grinnell Corporation.
  - b. Milwaukee Valve Company.
- 5. Type 3, Bronze, Swing Check Valves with Metal Disc:
  - a. American Valve, Inc.
  - b. Cincinnati Valve Co.
  - c. Crane Co.; Crane Valve Group; Jenkins Valves.
  - d. Crane Co.; Crane Valve Group; Stockham Div.
  - e. Grinnell Corporation.
  - f. Hammond Valve.
  - g. Milwaukee Valve Company.
  - h. NIBCO INC.
  - i. Red-White Valve Corp.
  - j. Watts Industries, Inc.; Water Products Div.
- 6. Type 4, Bronze, Swing Check Valves with Nonmetallic Disc:
  - a. Cincinnati Valve Co.
  - b. Crane Co.; Crane Valve Group; Jenkins Valves.
  - c. Crane Co.; Crane Valve Group; Stockham Div.
  - d. Grinnell Corporation.
  - e. Hammond Valve.
  - f. Milwaukee Valve Company.
  - g. NIBCO INC.
  - h. Red-White Valve Corp.
  - i. Watts Industries, Inc.; Water Products Div.
- B. Bronze Check Valves, General: MSS SP-80.
- C. Type 1, Class 125, Bronze, Horizontal Lift Check Valves: Bronze body with bronze disc and seat.
- D. Type 1, Class 125, Bronze, Vertical Lift Check Valves: Bronze body with bronze disc and seat.
- E. Type 1, Class 150, Bronze, Horizontal Lift Check Valves: Bronze body with bronze disc and seat.
- F. Type 1, Class 150, Bronze, Vertical Lift Check Valves: Bronze body with bronze disc and seat.
- G. Type 2, Class 125, Bronze, Horizontal Lift Check Valves: Bronze body with nonmetallic disc and bronze seat.

- H. Type 2, Class 125, Bronze, Vertical Lift Check Valves: Bronze body with nonmetallic disc and bronze seat.
- I. Type 2, Class 150, Bronze, Horizontal Lift Check Valves: Bronze body with nonmetallic disc and bronze seat.
- J. Type 2, Class 150, Bronze, Vertical Lift Check Valves: Bronze body with nonmetallic disc and bronze seat.
- K. Type 3, Class 125, Bronze, Swing Check Valves: Bronze body with bronze disc and seat.
- L. Type 3, Class 150, Bronze, Swing Check Valves: Bronze body with bronze disc and seat.
- M. Type 4, Class 125, Bronze, Swing Check Valves: Bronze body with nonmetallic disc and bronze seat.
- N. Type 4, Class 150, Bronze, Swing Check Valves: Bronze body with nonmetallic disc and bronze seat.

## 2.8 SPRING-LOADED, LIFT-DISC CHECK VALVES

- A. Manufacturers:
  - 1. Type II, Compact-Wafer, Lift-Disc Check Valves:
    - a. Grinnell Corporation.
    - b. Hammond Valve.
    - c. Metraflex Co.
    - d. Milwaukee Valve Company.
    - e. NIBCO INC.
  - 2. Type III, Globe Lift-Disc Check Valves:
    - a. Grinnell Corporation.
    - b. Hammond Valve.
    - c. Milwaukee Valve Company.
    - d. NIBCO INC.
  - 3. Type IV, Threaded Lift-Disc Check Valves:
    - a. Grinnell Corporation.
    - b. Milwaukee Valve Company.
    - c. NIBCO INC.
    - d. Watts Industries, Inc.; Water Products Div.
- B. Lift-Disc Check Valves, General: FCI 74-1, with spring-loaded bronze or alloy disc and bronze or alloy seat.
- C. Type I, Class 125, Wafer Lift-Disc Check Valves: Wafer style with cast-iron shell with diameter matching companion flanges.
- D. Type II, Class 125, Compact-Wafer, Lift-Disc Check Valves: Compact-wafer style with cast-iron shell with diameter made to fit within bolt circle.
- E. Type III, Class 125, Globe Lift-Disc Check Valves: Globe style with cast-iron shell and flanged ends.
- F. Type IV, Class 125, Threaded Lift-Disc Check Valves: Threaded style with bronze shell and threaded ends.
- G. Type IV, Class 150, Threaded Lift-Disc Check Valves: Threaded style with bronze shell and threaded ends.

## 2.9 BRONZE GATE VALVES

A. Manufacturers:

1. Type 1, Bronze, Nonrising-Stem Gate Valves:
  - a. Crane Co.; Crane Valve Group; Jenkins Valves.
  - b. Crane Co.; Crane Valve Group; Stockham Div.
  - c. Grinnell Corporation.
  - d. Hammond Valve.
  - e. Milwaukee Valve Company.
  - f. NIBCO INC.
  - g. Red-White Valve Corp.
  - h. Watts Industries, Inc.; Water Products Div.
2. Type 2, Bronze, Rising-Stem, Solid-Wedge Gate Valves:
  - a. Crane Co.; Crane Valve Group; Jenkins Valves.
  - b. Crane Co.; Crane Valve Group; Stockham Div.
  - c. Grinnell Corporation.
  - d. Hammond Valve.
  - e. Milwaukee Valve Company.
  - f. NIBCO INC.
  - g. Red-White Valve Corp.
3. Type 3, Bronze, Rising-Stem, Split-Wedge Gate Valves:
  - a. Cincinnati Valve Co.
  - b. Crane Co.; Crane Valve Group; Jenkins Valves.
  - c. Grinnell Corporation.
  - d. NIBCO INC.

B. Bronze Gate Valves, General: MSS SP-80, with ferrous-alloy handwheel.

- C. Type 1, Class 125, Bronze Gate Valves: Bronze body with nonrising stem and bronze solid wedge and union-ring bonnet.
- D. Type 1, Class 150, Bronze Gate Valves: Bronze body with nonrising stem and bronze solid wedge and union-ring bonnet.
- E. Type 2, Class 125, Bronze Gate Valves: Bronze body with rising stem and bronze solid wedge and union-ring bonnet.
- F. Type 2, Class 150, Bronze Gate Valves: Bronze body with rising stem and bronze solid wedge and union-ring bonnet.
- G. Type 3, Class 125, Bronze Gate Valves: Bronze body with rising stem and bronze split wedge and union-ring bonnet.
- H. Type 3, Class 150, Bronze Gate Valves: Bronze body with rising stem and bronze split wedge and union-ring bonnet.

## 2.10 CAST-IRON GATE VALVES

A. Manufacturers:

1. Type I, Cast-Iron, Nonrising-Stem Gate Valves:
  - a. Crane Co.; Crane Valve Group; Jenkins Valves.
  - b. Crane Co.; Crane Valve Group; Stockham Div.
  - c. Grinnell Corporation.

- d. Hammond Valve.
- e. Milwaukee Valve Company.
- f. NIBCO INC.
- g. Red-White Valve Corp.
- h. Watts Industries, Inc.; Water Products Div.

2. Type I, Cast-Iron, Rising-Stem Gate Valves:

- a. Cincinnati Valve Co.
- b. Crane Co.; Crane Valve Group; Jenkins Valves.
- c. Crane Co.; Crane Valve Group; Stockham Div.
- d. Grinnell Corporation.
- e. Hammond Valve.
  
- f. Milwaukee Valve Company.
- g. NIBCO INC.
- h. Red-White Valve Corp.
- i. Watts Industries, Inc.; Water Products Div.

- B. Cast-Iron Gate Valves, General: MSS SP-70, Type I.
- C. Class 125, NRS, Bronze-Mounted, Cast-Iron Gate Valves: Cast-iron body with bronze trim, nonrising stem, and solid-wedge disc.
- D. Class 125, OS&Y, Bronze-Mounted, Cast-Iron Gate Valves: Cast-iron body with bronze trim, rising stem, and solid-wedge disc.
- E. Class 125, NRS, All-Iron, Cast-Iron Gate Valves: Cast-iron body with cast-iron trim, nonrising stem, and solid-wedge disc.
- F. Class 125, OS&Y, All-Iron, Cast-Iron Gate Valves: Cast-iron body with cast-iron trim, rising stem, and solid-wedge disc.

## 2.11 CAST-IRON PLUG VALVES

A. Manufacturers:

1. Lubricated-Type, Cast-Iron Plug Valves:

- a. Milliken Valve Co., Inc.
- b. Nordstrom Valves, Inc.
- c. Walworth Co.

2. Nonlubricated-Type, Cast-Iron Plug Valves:

- a. Grinnell Corporation.
- b. Mueller Flow Technologies.

- B. Cast-Iron Plug Valves, General: MSS SP-78.
- C. Class 125 or 150, lubricated-type, cast-iron plug valves.
- D. Class 125 or 150, nonlubricated-type, cast-iron plug valves.
- E. Class 250, nonlubricated-type, cast-iron plug valves.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine piping system for compliance with requirements for installation tolerances and other conditions affecting performance.
  - 1. Proceed with installation only after unsatisfactory conditions have been corrected.
- B. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- C. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.
- D. Examine threads on valve and mating pipe for form and cleanliness.
- E. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- F. Do not attempt to repair defective valves; replace with new valves.

### 3.2 VALVE APPLICATIONS

- A. Refer to piping Sections for specific valve applications. If valve applications are not indicated, use the following:
  - 1. Shutoff Service: Ball, butterfly, or gate valves.
  - 2. Throttling Service: ball, butterfly, or globe valves.
  - 3. Pump Discharge: Spring-loaded, lift-disc check valves.
- B. If valves with specified SWP classes or CWP ratings are not available, the same types of valves with higher SWP class or CWP ratings may be substituted.
- C. Heating Water Piping: Use the following types of valves:
  - 1. Ball Valves, NPS 2 and Smaller: Two-piece, 400-psig CWP rating, copper alloy.
  - 2. Ball Valves, NPS 2-1/2 and Larger: Class 150, ferrous alloy.
  - 3. Butterfly Valves, NPS 2-1/2 and Larger: Flangeless, Single-flange or Flanged, 150-psig CWP rating, ferrous alloy, with EPDM liner.
  - 4. Lift Check Valves, NPS 2 and Smaller: Type 2, Class 125, vertical, bronze.
  - 5. Swing Check Valves, NPS 2 and Smaller: Type 4, Class 125, bronze.
  - 6. Swing Check Valves, NPS 2-1/2 and Larger: Type II, Class 125, gray iron.
  - 7. Spring-Loaded, Lift-Disc Check Valves, NPS 2 and Smaller: Type IV, Class 125 minimum.
  - 8. Spring-Loaded, Lift-Disc Check Valves, NPS 2-1/2 and Larger: Type I, Class 125, cast iron.
  - 9. Gate Valves, NPS 2 and Smaller: Type 2, Class 125, bronze.
  - 10. Gate Valves, NPS 2-1/2 and Larger: Type I, Class 125, NRS, bronze-mounted cast iron.

### 3.3 VALVE INSTALLATION

- A. Piping installation requirements are specified in other Division 15 Sections. Drawings indicate



general arrangement of piping, fittings, and specialties.

- B. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- C. Locate valves for easy access and provide separate support where necessary.
- D. Install valves in horizontal piping with stem at or above center of pipe.
- E. Install valves in position to allow full stem movement.
- F. Install check valves for proper direction of flow and as follows:
  - 1. Swing Check Valves: In horizontal position with hinge pin level.
  - 2. Lift Check Valves: With stem upright and plumb.

### **3.4 JOINT CONSTRUCTION**

- A. Refer to Division 15 Section "Basic Mechanical Materials and Methods" for basic piping joint construction.
- B. Grooved Joints: Assemble joints with keyed coupling housing, gasket, lubricant, and bolts according to coupling and fitting manufacturer's written instructions.
- C. Soldered Joints: Use ASTM B 813, water-flushable, lead-free flux; ASTM B 32, lead-free-alloy solder; and ASTM B 828 procedure, unless otherwise indicated.

### **3.5 ADJUSTING**

- A. Adjust or replace valve packing after piping systems have been tested and put into service but before final adjusting and balancing. Replace valves if persistent leaking occurs.

## **SECTION 23 0523**

THIS PAGE INTENTIONALLY LEFT BLANK

**SECTION 23 0529 - HANGERS AND SUPPORTS FOR HVAC PIPING AND EQUIPMENT PART 1 -**

**GENERAL**

**1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. This Section includes hangers and supports for mechanical system piping and equipment.
- B. Related Sections include the following:
  - 1. Division 23 Section "Mechanical Vibration Controls and Seismic Restraints" for vibration isolation and seismic restraint devices.

**1.3 DEFINITIONS**

- A. MSS: Manufacturers Standardization Society for the Valve and Fittings Industry.
- B. Terminology: As defined in MSS SP-90, "Guidelines on Terminology for Pipe Hangers and Supports."

**1.4 SUBMITTALS**

- A. Product Data: For each type of pipe hanger, and thermal-hanger shield insert indicated.
- B. Welding Certificates: Copies of certificates for welding procedures and operators.

**1.5 QUALITY ASSURANCE**

- A. Welding: Qualify processes and operators according to ASME Boiler and Pressure Vessel Code: Section IX, "Welding and Brazing Qualifications."

**PART 2 - PRODUCTS**

**2.1 MANUFACTURERS**

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Pipe Hangers:
    - a. B-Line Systems, Inc.
    - b. Grinnell Corp.
    - c. National Pipe Hanger Corp.

2. Thermal-Hanger Shield Inserts:
  - a. Carpenter & Patterson, Inc.
  - b. Pipe Shields, Inc.
  - c. Rilco Manufacturing Co., Inc.

## 2.2 MANUFACTURED UNITS

- A. Pipe Hangers, Supports, and Components: MSS SP-58, factory-fabricated components. Refer to "Hanger and Support Applications" Article in Part 3 for where to use specific hanger and support types.
  1. Galvanized, Metallic Coatings: For piping and equipment that will not have field-applied finish.
  2. Nonmetallic Coatings: On attachments for electrolytic protection where attachments are in direct contact with copper tubing.
- B. Thermal-Hanger Shield Inserts: 100-psi minimum compressive-strength insulation, encased in sheet metal shield.
  1. Material for Cold Piping: ASTM C 552, Type I cellular glass with vapor barrier.
  2. Material for Hot Piping: ASTM C 552, Type I cellular glass.
  3. For Trapeze or Clamped System: Insert and shield cover entire circumference of pipe.
  4. For Clevis or Band Hanger: Insert and shield cover lower 180 degrees of pipe.
  5. Insert Length: Extend 2 inches beyond sheet metal shield for piping operating below ambient air temperature.

## 2.3 MISCELLANEOUS MATERIALS

- A. Mechanical-Anchor Fasteners: Insert-type attachments with pull-out and shear capacities appropriate for supported loads and building materials where used.
- B. Structural Steel: ASTM A 36/A 36M, steel plates, shapes, and bars, black and galvanized.
- C. Grout: ASTM C 1107, Grade B, factory-mixed and -packaged, nonshrink and nonmetallic, dry, hydraulic-cement grout.
  1. Characteristics: Post hardening and volume adjusting; recommended for both interior and exterior applications.
  2. Properties: Nonstaining, noncorrosive, and nongaseous.
  3. Design Mix: 5000-psi, 28-day compressive strength.

## PART 3 - EXECUTION

### 3.1 HANGER AND SUPPORT APPLICATIONS

- A. Comply with MSS SP-69 for pipe hanger selections and applications that are not specified in piping system Specification Sections.

- B. Horizontal-Piping Hangers and Supports: Unless otherwise indicated and except as specified in piping system Specification Sections, install the following types:
1. Adjustable Steel Clevis Hangers (MSS Type 1): For suspension of noninsulated or insulated stationary pipes, NPS 1/2 to NPS 30.
  2. Carbon- or Alloy-Steel, Double-Bolt Pipe Clamps (MSS Type 3): For suspension of pipes, NPS 3/4 to NPS 24, requiring clamp flexibility and up to 4 inches of insulation.
  3. Steel Pipe Clamps (MSS Type 4): For suspension of cold and hot pipes, NPS 1/2 to NPS 24, if little or no insulation is required.
  4. Pipe Hangers (MSS Type 5): For suspension of pipes, NPS 1/2 to NPS 4, to allow off-center closure for hanger installation before pipe erection.
  5. Adjustable Swivel Split- or Solid-Ring Hangers (MSS Type 6): For suspension of noninsulated stationary pipes, NPS 3/4 to NPS 8.
  6. Adjustable Steel Band Hangers (MSS Type 7): For suspension of noninsulated stationary pipes, NPS 1/2 to NPS 8.
  7. Adjustable Band Hangers (MSS Type 9): For suspension of noninsulated stationary pipes, NPS 1/2 to NPS 8.
  8. Adjustable Swivel-Ring Band Hangers (MSS Type 10): For suspension of noninsulated stationary pipes, NPS 1/2 to NPS 2.
  9. Split Pipe-Ring with or without Turnbuckle-Adjustment Hangers (MSS Type 11): For suspension of noninsulated stationary pipes, NPS 3/8 to NPS 8.
  10. Extension Hinged or Two-Bolt Split Pipe Clamps (MSS Type 12): For suspension of noninsulated stationary pipes, NPS 3/8 to NPS 3.
  11. U-Bolts (MSS Type 24): For support of heavy pipe, NPS 1/2 to NPS 30.
  12. Clips (MSS Type 26): For support of insulated pipes not subject to expansion or contraction.
  13. Adjustable Pipe Saddle Supports (MSS Type 38): For stanchion-type support for pipes, NPS 2-1/2 to NPS 36, if vertical adjustment is required, with steel pipe base stanchion support and cast-iron floor flange.
  14. Single Pipe Rolls (MSS Type 41): For suspension of pipes, NPS 1 to NPS 30, from two rods if longitudinal movement caused by expansion and contraction might occur.
  15. Pipe Roll and Plate Units (MSS Type 45): For support of pipes, NPS 2 to NPS 24, if small horizontal movement caused by expansion and contraction might occur and vertical adjustment is not necessary.
  16. Adjustable Pipe Roll and Base Units (MSS Type 46): For support of pipes, NPS 2 to NPS 30, if vertical and lateral adjustment during installation might be required in addition to expansion and contraction.
- C. Vertical-Piping Clamps: Unless otherwise indicated and except as specified in piping system Specification Sections, install the following types:
1. Extension Pipe or Riser Clamps (MSS Type 8): For support of pipe risers, NPS 3/4 to NPS 20.
  2. Carbon- or Alloy-Steel Riser Clamps (MSS Type 42): For support of pipe risers, NPS 3/4 to NPS 20, if longer ends are required for riser clamps.
- D. Hanger-Rod Attachments: Unless otherwise indicated and except as specified in piping system Specification Sections, install the following types:

1. Steel Clevises (MSS Type 14): For 120 to 450 deg F piping installations.
  2. Swivel Turnbuckles (MSS Type 15): For use with MSS Type 11, split pipe rings.
  3. Malleable-Iron Sockets (MSS Type 16): For attaching hanger rods to various types of building attachments.
  4. Steel Weldless Eye Nuts (MSS Type 17): For 120 to 450 deg F piping installations.
- E. Building Attachments: Unless otherwise indicated and except as specified in piping system Specification Sections, install the following types:
1. Steel or Malleable Concrete Inserts (MSS Type 18): For upper attachment to suspend pipe hangers from concrete ceiling.
  2. Top-Beam C-Clamps (MSS Type 19): For use under roof installations with bar-joint construction to attach to top flange of structural shape.
  3. Side-Beam or Channel Clamps (MSS Type 20): For attaching to bottom flange of beams, channels, or angles.
  4. Center-Beam Clamps (MSS Type 21): For attaching to center of bottom flange of beams.
  5. C-Clamps (MSS Type 23): For structural shapes.
  6. Top-Beam Clamps (MSS Type 25): For top of beams if hanger rod is required tangent to flange edge.
  7. Side-Beam Clamps (MSS Type 27): For bottom of steel I-beams.
  8. Steel-Beam Clamps with Eye Nuts (MSS Type 28): For attaching to bottom of steel I-beams for heavy loads.
  9. Linked-Steel Clamps with Eye Nuts (MSS Type 29): For attaching to bottom of steel I-beams for heavy loads, with link extensions.
  10. Malleable Beam Clamps with Extension Pieces (MSS Type 30): For attaching to structural steel.
  11. Welded-Steel Brackets: For support of pipes from below or for suspending from above by using clip and rod. Use one of the following for indicated loads:
    - a. Light (MSS Type 31): 750 lb.
    - b. Medium (MSS Type 32): 1500 lb.
    - c. Heavy (MSS Type 33): 3000 lb.
  12. Side-Beam Brackets (MSS Type 34): For sides of steel or wooden beams.
  13. Plate Lugs (MSS Type 57): For attaching to steel beams if flexibility at beam is required.
  14. Horizontal Travelers (MSS Type 58): For supporting piping systems subject to linear horizontal movement where headroom is limited.
- F. Saddles and Shields: Unless otherwise indicated and except as specified in piping system Specification Sections, install the following types:
1. Steel Pipe-Covering Protection Saddles (MSS Type 39): To fill interior voids with insulation that matches adjoining insulation.
  2. Protection Shields (MSS Type 40): Of length recommended by manufacturer to prevent crushing insulation.
  3. Thermal-Hanger Shield Inserts: For supporting insulated pipe, 360-degree insert of high-

density, 100-psi minimum compressive-strength, water-repellent-treated calcium silicate or cellular-glass pipe insulation, same thickness as adjoining insulation with vapor barrier and encased in 360-degree sheet metal shield.

### 3.2 HANGER AND SUPPORT INSTALLATION

- A. Pipe Hanger and Support Installation: Comply with MSS SP-69 and MSS SP-89. Install hangers, supports, clamps, and attachments as required to properly support piping from building structure.
- B. Install building attachments within concrete slabs or attach to structural steel. Space attachments within maximum piping span length indicated in MSS SP-69. Install additional attachments at concentrated loads, including valves, flanges, , strainers, , and at changes in direction of piping. Install concrete inserts before concrete is placed; fasten inserts to forms and install reinforcing bars through openings at top of inserts.
- C. Install mechanical-anchor fasteners in concrete. Install fasteners according to manufacturer's written instructions.
- D. Install hangers and supports complete with necessary inserts, bolts, rods, nuts, washers, and other accessories.
- E. Install hangers and supports to allow controlled thermal and seismic movement of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.
- F. Load Distribution: Install hangers and supports so that piping live and dead loads and stresses from movement will not be transmitted to connected equipment.
- G. Pipe Slopes: Install hangers and supports to provide indicated pipe slopes and so maximum pipe deflections allowed by ASME B31.9, "Building Services Piping," is not exceeded.
- H. Insulated Piping: Comply with the following:
  - 1. Attach clamps and spacers to piping.
    - a. Piping Operating above Ambient Air Temperature: Clamp may project through insulation.
    - b. Piping Operating below Ambient Air Temperature: Use thermal-hanger shield insert with clamp sized to match OD of insert.
    - c. Do not exceed pipe stress limits according to ASME B31.9.
  - 2. Install MSS SP-58, Type 39 protection saddles, if insulation without vapor barrier is indicated. Fill interior voids with insulation that matches adjoining insulation.
  - 3. Install MSS SP-58, Type 40 protective shields on cold piping with vapor barrier. Shields shall span arc of 180 degrees.
  - 4. Shield Dimensions for Pipe: Not less than the following:
    - a. NPS 1/4 to NPS 3-1/2: 12 inches long and 0.048 inch thick.
    - b. NPS 4: 12 inches long and 0.06 inch thick.
    - c. NPS 5 and NPS 6: 18 inches long and 0.06 inch thick.

5. Insert Material: Length at least as long as protective shield.
6. Thermal-Hanger Shields: Install with insulation same thickness as piping insulation.

### **3.3 EQUIPMENT SUPPORTS**

- A. Fabricate structural-steel stands to suspend equipment from structure above or to support equipment above floor.
- B. Grouting: Place grout under supports for equipment and make smooth bearing surface.

### **3.4 METAL FABRICATION**

- A. Cut, drill, and fit miscellaneous metal fabrications for heavy-duty steel trapezes and equipment supports.
- B. Fit exposed connections together to form hairline joints. Field-weld connections that cannot be shop-welded because of shipping size limitations.
- C. Field Welding: Comply with AWS D1.1 procedures for shielded metal arc welding, appearance and quality of welds, and methods used in correcting welding work, and with the following:
  1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  2. Obtain fusion without undercut or overlap.
  3. Remove welding flux immediately.
  4. Finish welds at exposed connections so no roughness shows after finishing and contours of welded surfaces match adjacent contours.

### **3.5 ADJUSTING**

- A. Hanger Adjustment: Adjust hangers to distribute loads equally on attachments and to achieve indicated slope of pipe.

### **3.6 PAINTING**

- A. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

**END OF SECTION 23 0529**



**SECTION 23 0548 – VIBRATION & SEISMIC CONTROLS FOR FIRE PROTECTION, PLUMBING & HVAC PIPING & EQUIPMENT**

**PART 1 – GENERAL**

**1.1 DESCRIPTION**

- A. General: This provides requirements for vibration isolation and seismic control of the equipment as listed in the Vibration Isolation & Seismic Restraint Guide found in Part 4.00, TABLE B. This specification is part of the general conditions for the fire protection, plumbing, & HVAC contracts.
- B. Intent: It is the intent of the seismic restraint portion of this specification to provide restraint of non-structural building components. Restraint systems are intended to withstand the stipulated seismic accelerations applied through the component center of gravity.
- C. Project Specific information stated below. Identify and provide seismic restrains accordingly:
  - 1. Wind-Restraint Loading:
    - a. Basic Wind Speed: 115 mph.
    - b. Building Classification Category: III.
    - c. Minimum 10 lb/sq. ft. multiplied by the maximum area of the HVAC component projected on a vertical plane that is normal to the wind direction, and 45 degrees either side of normal.
  - 2. Seismic-Restraint Loading Hartford, CT:
    - a. Seismic Design Category (SDC) as Defined in the IBC: B.
    - b. Assigned Seismic Use Group or Building Category as Defined in the IBC: II.
      - Component Importance Factor: Refer to ASCE 7-02 Reference 9.6.1.5
      - Component Response Modification Factor: Refer to ASCE 7-02 Reference 9.6.3.2.
      - Component Amplification Factor: Refer to ASCE 7-02 Reference 9.6.3.2
    - c. Design Spectral Response Acceleration at Short Periods (0.2 Second), Sds: 0.229
    - d. Design Spectral Response Acceleration at 1-Second Period. Sd1: 0.093
- D. The work in this section includes the following:
  - 1. Vibration isolation elements for equipment.
  - 2. Equipment isolation bases.
  - 3. Piping flexible connectors.
  - 4. Seismic restraints for isolated equipment.
  - 5. Seismic restraints for non-isolated equipment.
  - 6. Certification of seismic restraint designs and installation supervision.
  - 7. Certification of seismic attachment of housekeeping pads.
- E. Definitions:
  - 1. The term EQUIPMENT is used throughout this specification. It includes ALL non-structural components within the facility and/or serving facility, such as equipment located in outbuildings or outside of the main structure on grade within five feet of the foundation wall.

Equipment buried underground are excluded but entry of services through the foundation walls are included. Below is a partial general list of equipment for reference, equipment not listed are still included in this specification. It shall be the responsibility of the Seismic Restraint/Vibration Isolation Professional Engineer of Record (see paragraph 1.2 of this section of specifications) to review contract documents and establish a list of equipment which is actually part of the work of this project.

- a. Ductwork
  - b. Piping – All trades
  - c. Fans (All types)
- F. Life safety systems defined:
- 1. Systems involved with fire protection including sprinkler piping, fire pumps, jockey pumps, fire pump control panels, service water supply piping, water tanks, fire dampers and smoke exhaust systems.
  - 2.
  - 3. Systems involved with and/or connected to emergency power supply including all generators, transfer switches, transformers and all circuits to fire protection, smoke evacuation and/or emergency lighting systems.
  - 4. Life support systems.
  - 5. Fresh air relief systems on emergency control sequence including air handlers, conduit, duct, dampers, etc.
  - 6. HVAC, Plumbing and Fire Protection equipment supplied from the emergency power system.
- G. Positive Attachment: Positive attachment is defined as a support location with a cast-in or wedge type expansion anchor, a double-sided beam clamp, a welded or through bolted connection to the structure.
- H. Transverse Bracing: Restraint(s) applied to limit motion perpendicular or angular to the centerline of the pipe, duct, or conduit.
- I. Longitudinal Bracing: Restraint(s) applied to limit motion along the centerline of the pipe, duct, conduit etc.

## **1.2 SEISMIC RESTRAINT/VIBRATION ISOLATION PROFESSIONAL ENGINEER OF RECORD**

- A. The Contractor shall obtain and pay for the services of a Professional Engineer, with at least 5 years of seismic Restraint/Vibration Isolation design experience, licensed to practice in the state in which this project is being constructed. This Professional Engineer shall be responsible for the implementation of requirements of this section of the specifications, and is referred to herein as the "Seismic Restraint/Vibration Isolation Professional Engineer of Record".
- B. As part of the requirements of this specification article, the Seismic Restraint/Vibration Isolation Professional Engineer of Record shall be responsible for the design and selection of all seismic restraint/vibration isolation elements of work as required by this specification section. This Seismic Restraint/Vibration Isolation Professional Engineer of Record shall obtain and pay for Errors and Omissions Insurance and shall submit a certificate of such insurance with the shop drawings

- required by this specification section.
- C. The Seismic Restraint/Vibration Isolation Professional Engineer of Record shall certify the correctness of the entire installation of elements of seismic restraint/vibration isolation work required by this specification section upon the completion of work. Refer to PART 3 of this specification section for additional information.
  - D. In addition to other requirements of this specification section the Seismic Restraint/Vibration Isolation Professional Engineer of Record shall submit a letter (or letters depending on status of construction) in a format, essentially, as follows with highlighted entries to be completed on a job- specific basis.

**1.3 SEISMIC RESTRAINT/VIBRATION ISOLATION PROFESSIONAL ENGINEER OF RECORD**

- A. The Contractor shall obtain and pay for the services of a Professional Engineer, with at least 5 years of seismic Restraint/Vibration Isolation design experience, licensed to practice in the state in which this project is being constructed. This Professional Engineer shall be responsible for the implementation of requirements of this section of the specifications, and is referred to herein as the "Seismic Restraint/Vibration Isolation Professional Engineer of Record".
- B. As part of the requirements of this specification article, the Seismic Restraint/Vibration Isolation Professional Engineer of Record shall be responsible for the design and selection of all seismic restraint/vibration isolation elements of work as required by this specification section. This Seismic Restraint/Vibration Isolation Professional Engineer of Record shall obtain and pay for Errors and Omissions Insurance and shall submit a certificate of such insurance with the shop drawings required by this specification section.
- C. The Seismic Restraint/Vibration Isolation Professional Engineer of Record shall certify the correctness of the entire installation of elements of seismic restraint/vibration isolation work required by this specification section upon the completion of work. Refer to PART 3 of this specification section for additional information.
- D. In addition to other requirements of this specification section the Seismic Restraint/Vibration Isolation Professional Engineer of Record shall submit a letter (or letters depending on status of construction) in a format, essentially, as follows with highlighted entries to be completed on a job-specific basis.

" TO: **CONTRACTOR NAME AND ADDRESS**

RE: **PROJECT NAME AND ADDRESS**

Gentlemen:

\_\_\_\_\_, PE, is a Professional Engineer with over 5 years of professional seismic restraint/vibration isolation design experience (examples, reference, etc. are available on request). In my capacity as the Seismic Restraint/Vibration Isolation Professional Engineer of

Record responsible for the seismic restraint and vibration isolation design and installation for this project, I have affixed my signature and seal below to certify and attest to the following:

1. I have personally checked the following data and calculations provided by **TO BE COMPLETED AS REQUIRED**, and find all of same to be correct and in accordance with all requirements of **INDICATE ALL APPLICABLE CODES AND SPECIFICATION SECTIONS**. In addition, I have verified that all equipment weights indicated in the calculations are the correct weights for the equipment as actually installed.

**LIST ALL DATA BEING SUBMITTED WITH DATES, SOURCE OF ORIGIN, ETC.**

2. All seismic restraint cables are installed at an angle not exceeding those which are indicated in the calculations. All building attachments are made in accordance with the calculations.
  3. I have personally reviewed drawings **PROVIDE APPROPRIATE LISTING**, as prepared by **PROVIDE SOURCE OF ORIGIN OF SUCH DRAWINGS** and attest to the following:
    - a. All required seismic restraints are indicated thereon.
  4. All elements of coordination with the project Structural Engineer have been accomplished.
    - a. Attached to this letter is written confirmation of same from the project Structural Engineer.
  5. All elements of all seismic restraint and vibration isolation systems, including housekeeping pads and all connections to all building and structural elements are properly installed. "
- E. It is not the intent of this specification section to require (or preclude) the use of the exact same Seismic Restraint/Vibration Isolation Professional Engineer of Record for each trade. It is the intent of this specification to require that any Seismic Restraint/Vibration Isolation Professional Engineer of Record provided by any trade meet all requirements of this specification section.

#### 1.4 OEM EQUIPMENT ISOLATION PACKAGES

A. Internal and/or External Systems:

1. Substitution of internally or externally isolated and restrained equipment supplied by the equipment vendor, in lieu of the isolation and restraints specified in this section, is acceptable provided all conditions of this section are met. The equipment manufacturer shall provide a letter of guarantee from their Engineering Department signed and sealed by a Professional Engineer licensed to practice in the State in which this project is being constructed, stating

- that the seismic restraints are in full compliance with these specifications. Letters from field offices or representatives are unacceptable.
2. Costs for converting to the specified vibration isolation and/or restraints shall be borne by the equipment vendor in the event of non-compliance with the preceding.
  3. Substitution using internal isolation is not acceptable for:
    - a. Rooftop Equipment
    - b. Critical office locations such as executive and conference areas.
  4. In the event that the equipment is internally isolated and restrained, the entire unit assembly must be seismically attached to the structure. This attachment and certification thereof shall be by this section.

## 1.5 SUBMITTAL DATA REQUIREMENTS

### A. Submittals:

1. Catalog cuts or data sheets on specific vibration isolators and restraints to be utilized detailing compliance with the specification including specification reference "TYPE" as per "PRODUCTS" section of this specification.
2. An itemized list of all isolated and non-isolated equipment with detailed schedules showing isolators and seismic restraints proposed for each piece of equipment, referencing material and seismic calculation drawing numbers.
3. Every sheet of every shop drawing associated with this section of the specification shall be signed and sealed by the Seismic Restraint/Vibration Isolation Professional Engineer of Record (see paragraph 1.2 of this section of specifications). This Professional Engineer shall certify, by signing and sealing the submittals, that all requirements of this specification section are being met including vibration isolator selections. Submittals and shop drawings not meeting this requirement will be rejected.

### B. Shop Drawings:

1. Show base construction for equipment; include dimensions, structural member sizes and support point locations.
2. When any new or existing element of the building, including but not limited to walls, slabs, any building structural elements, etc., are used for seismic restraint connections, details of acceptable methods of connection must be submitted along with supporting certified calculations. All details of all connections must be made in a manner and with methods as approved by the project Structural Engineer. Submit all details (signed and sealed as required hereinafter) to the Architect prior to proceeding with any work.
3. Indicate isolation devices selected with complete dimensional and deflection data before condition is accepted for installation.
4. Provide specific details of seismic restraints and anchors; include number, size and locations for each piece of equipment.
5. Coordinated drawings shall be marked-up with the specific locations and types of restraints indicated for all pipe, duct, conduit and cable tray.
6. For ceiling suspended equipment provide minimum/maximum installation angle allowed for restraint system.

7. Every sheet of every shop drawing associated with this section of the specification shall be signed and sealed by the Seismic Restraint/Vibration Isolation Professional Engineer of Record (see paragraph 1.2 of this section of specifications). This Professional Engineer shall certify, by signing and sealing the submittals, that all requirements of this specification section are being met including vibration isolator selections. Submittals and shop drawings not meeting this requirement will be rejected.

C. Seismic Certification and Analysis:

1. Seismic restraint calculations certifying that all seismic restraint devices are capable of accepting, without failure, the "G" forces detailed in Table A below. Calculations must be provided for all connections of equipment to the structure. All performance of products (such as; strut, cable, anchors, clips, etc.) associated with restraints must be supported with manufacturer's data sheets or certified calculations. Note: For roof mounted equipment both the seismic acceleration and wind loads shall be calculated, the highest load shall be utilized for the design of the restraints and isolators.
2. Every page of all calculations and analysis to support seismic restraint designs must be signed and sealed by the Seismic Restraint/Vibration Isolation Professional Engineer of Record (see paragraph 1.2 of this section of specifications).
3. Calculations and analysis must indicate calculated dead loads, derived loads and materials utilized for connections to equipment and structure. Calculations and analysis must detail anchoring methods, bolt diameter, embedment and/or weld length.
  - a. The calculations and analysis shall include documentation indicating that all details of all seismic restraint loads and details of connections of vibration isolation and seismic restraint systems have been coordinated with the Project Structural Engineer. The Seismic Restraint/Vibration Isolation Professional Engineer of Record (see paragraph 1.2 of this section of specifications) shall clearly indicate that this coordination has taken place and that all building structural systems (new and existing) and all housekeeping pads have been reviewed by the Project Structural Engineer and determined to be capable of accepting all seismic loads. As part of this requirement, it shall be the responsibility of the Seismic Restraint/Vibration Isolation Professional Engineer of Record (see paragraph 1.2 of this section of specifications) to obtain written confirmation from the Project Structural Engineer that all seismic loads have been suitably accommodated by the building structural systems.
  - b. The analysis must clearly and specifically, on a case-by-case basis, detail all methods of connection and indicate all loads transmitted to the building structural systems.
4. A seismic design Errors and Omissions insurance certificate must accompany submittals from the Seismic Restraint/Vibration Isolation Professional Engineer of Record (see paragraph 1.2 of this section of specifications). Manufacturers product liability insurance certificates are not acceptable.
5. Table elevations refer to the structural point of attachment of the equipment support system. (i.e. Use floor slab for floor supported equipment and the elevation of the slab above for suspended equipment.)

<b>TABLE A DESIGN LEVEL OF ACCELERATION AT EQUIPMENT CENTER OF GRAVITY</b>				
<b>Elevation</b> (Expressed in Feet Relative to Grade Level)	<b>Rigid* Mounted Equipment</b>	<b>Flexible* Mounted Equipment</b>	<b>Pipe, Duct, Cable Trays, Conduit, Etc.</b>	<b>Life Safety Equipment</b>
<b>Seismic Zone 1 <math>A_y = 0.05</math> to <math>0.09</math></b>				
Below Grade up to 20 Feet Above Grade	0.100 "G"	0.400 "G"	0.250 "G"	1.000 "G"
21 ft. - 300 ft.	0.200 "G"	0.450 "G"	0.400 "G"	
301 ft. - 600 ft.	0.350 "G"	0.500 "G"	0.500 "G"	
<b>TABLE A DESIGN LEVEL OF ACCELERATION AT EQUIPMENT CENTER OF GRAVITY</b>				
<b>Elevation</b> (Expressed in Feet Relative to Grade Level)	<b>Rigid* Mounted Equipment</b>	<b>Flexible* Mounted Equipment</b>	<b>Pipe, Duct, Cable Trays, Conduit, Etc.</b>	<b>Life Safety Equipment</b>
<b>Seismic Zone 2 <math>A_y = 0.10</math> to <math>0.19</math></b>				
Below Grade up to 20 Feet Above Grade	0.125 "G"	0.500 "G"	0.350 "G"	1.000 "G"
21 ft. - 300 ft.	0.500 "G"	0.750 "G"	0.650 "G"	
301 ft. - 600 ft.	0.750 "G"	1.000 "G"	1.000 "G"	

\* Rigid mounted equipment is any equipment mounted directly to structure. Flexible mounted equipment is any equipment mounted on resilient supports, ceiling suspended, roof supported or mounted on an independent frame with any primary natural frequency below 16 Hz.

**1.6 MANUFACTURER'S RESPONSIBILITY**

- A. Manufacturer of vibration and seismic control equipment shall have the following responsibilities:
  1. Determine vibration isolation and seismic restraint sizes and locations.
  2. Provide equipment vibration isolation and seismic restraints as specified.
  3. Guarantee specified isolation system deflections.
  4. Provide installation instructions, drawings and field supervision to insure proper installation and performance of systems.
  5. Coordination of all details of vibration isolation and seismic restraint connections to the structure (new or existing). As part of this requirement, it shall be the responsibility of this section to clearly detail all connections to structure (new or existing).

**1.7 RELATED WORK**

- A. Supplementary Support Steel: Structural support and connections for all equipment, including roof-mounted equipment, specified in other sections shall comply with the seismic requirements of this

section.

## **PART 2 - PRODUCTS**

### **2.1 DESCRIPTION**

- A. Devices: Vibration isolation and seismic devices described in this section shall be the product of a single manufacturer. Vibration Mountings & Controls, Inc. East is the base manufacturer of these specifications. Products of other manufacturers are acceptable provided their systems strictly comply with intent, structural design, performance and deflections of the Base Manufacturer.
- B. Attachments: Hardware and devices such as beam clamps, anchor bolts, cables and cast-in-place plates must be by this section's supplier to ensure seismic compliance and certification. The contractor has the option to utilize alternate fastening devices (anchor bolts) so long as the sizing and dimensions on seismic submittals are followed.
- C. Isolators: The theoretical vertical natural frequency for each support point, based upon load per isolator and isolator stiffness shall be out of resonance with equipment forcing frequencies or support structure natural frequency.

### **2.2 SEISMIC RESTRAINTS AND VIBRATION ISOLATION TYPES**

- A. General:
  - 1. All isolation and seismic restraint devices shall be capable of accepting, without failure, the "G" forces as determined by the seismic certification and calculations as described in the "SUBMITTAL DATA REQUIREMENTS" section of these specifications.
  - 2. Corrosion protection for both indoor and outdoor applications shall be as follows:
    - a. Springs, Zinc electroplated or powder coat
    - b. Hardware, Zinc electroplated
    - c. All other metal parts, Zinc electroplated, powder coat, hot spray or hot dipped galvanized
  - 3. All seismic restraint devices:
    - a. Shall maintain the equipment in a captive position and not short circuit isolation devices during normal operating conditions.
    - b. Shall have provisions for bolting and/or welding to the structure.
- B. Seismic Restraint Types:
  - 1. TYPE I: Same as Type B. Cast or aluminum housings, (except ductile iron) are not acceptable.

Vibration Mountings & Controls, Inc. East, TYPE RS
  - 2. TYPE II: Where required, each corner or side of equipment base shall incorporate a seismic



restraint snubber having an all-directional resilient pad limit stop. Restraints shall be fabricated of plate, structural members or square metal tubing. Angle bumpers are not acceptable.

Vibration Mountings & Controls, Inc. East, Type SSN

3. TYPE III: Restraints for suspended systems.

- a. Isolated systems braced with multiple steel cable type with approved fastening devices to equipment and structure.

Vibration Mountings & Controls Inc. East, Type SCA

- b. Non-isolated systems braced with structural steel strut type with approved fastening devices to equipment and structure.

Vibration Mountings & Controls Inc. East, Type SRA

4. TYPE IV: Double deflection neoprene isolator encased in ductile iron or steel casing.

Vibration Mountings & Controls, Inc. East, Type SNCM

5. TYPE V: Rigid attachment to structure utilizing wedge type expansion anchors for bolting and steel plates, either cast-in or anchored with wedge type expansion bolts, for welding. Powder shots are not acceptable. Concrete anchor bolt spacing shall be in accordance with ICBO National standards for seismic anchorage.

C. Vibration Isolator Types:

1. TYPE A: Spring Isolator - Free Standing:

- a. Spring shall have a minimum outer diameter to overall height ratio of 0.8 : 1 at rated deflection.
- b. Reserve deflection (from that at published rated load to solid height) equal to 50% of the rated deflection.
- c. Formed steel or ductile top cup with adjusting bolt and locking cap screw for securing to equipment.
- d. Minimum 1/4" thick neoprene acoustical base pad or cup on underside, unless designated otherwise.

Vibration Mountings & Controls Inc. East, Type FSS

2. TYPE B: Spring Isolator - Restrained: Shall be the same as TYPE A with the following additional features.

- a. Leveling assembly which does not compromise the integrity of the restraint hardware.
- b. Integral restraining bolts with elastomeric cushions preventing metal-to-metal contact.
- c. Internal spring adjusting nut or bolt.
- d. Capability of supporting equipment at fixed elevation during equipment installation.
- e. Built-in all-directional limit stops with no less than 1/8" and no more than 1/4" clearance under normal operation.

Vibration Mountings & Controls, Inc. East, Type RS

3. TYPE C: Spring Hanger Isolator:
  - a. Spring element (same as Type A) with steel upper spring retainer and a lower elastomer retainer cup with an integral bushing to insulate lower support rod from the hanger box.
  - b. Steel hanger box shall be hinged to allow for a minimum of 30 degree misalignment between the rod attachment to structure and the connection to the supported equipment. Hanger boxes shall withstand three times the rated load without failure.
  - c. When used on ductwork, provide eye bolts for attachment to duct straps.

Vibration Mountings & Controls Inc. East, Type SASH

4. TYPE D: Double deflection neoprene isolator encased in ductile iron or steel casing.

Vibration Mountings & Controls, Inc. East, Type SNCM

5. TYPE E: Elastomer Hanger Isolator:
  - a. Molded neoprene element with an integral bushing to insulate lower support rod from the hanger box.
  - b. Steel hanger box shall be hinged to allow for a minimum of 30-degree misalignment between the rod attachment to structure and the connection to the supported equipment. Hanger boxes shall withstand three times the rated load without failure.
  - c. When used on ductwork, provide eye bolts for attachment to duct straps.

Vibration Mountings & Controls, Inc. East, Type SANH.

6. TYPE F: Combination Spring/Elastomer Hanger Isolator: Spring and neoprene elements in a hinged steel hanger box with the features as described for TYPE C and E isolators.

Vibration Mountings & Controls, Inc. East, Type SANSH.

7. TYPE G: Pad type elastomer isolator:
  - a. Neoprene pad shall have 0.75" minimum thickness and shall have opposed cylindrical supports spaced on one-inch centers to provide uniform deflection of 0.1 inch under rated load. Supports shall be connected in the center by an 1/8" tear strip to facilitate trimming to desired size in one inch increments. Supports will also have thru holes to accept up to 3/8" bolts without special drilling or coring.
  - b. 1/16" galvanized steel plate between multiple pad layers.
  - c. Load distribution plate where attachment to equipment bearing surface is less than 75% of the pad area.
  - d. When bolting is required for seismic and wind load compliance, neoprene and duck washers and bushings shall be provided to prevent short circuiting of bolt.

Vibration Mountings & Controls, Inc. East, Type NP pad

8. TYPE H: Pad type elastomer isolator
  - a. Laminated canvas duck & neoprene, maximum loading 1000 psi, minimum 1/2" thick.

- b. Load distribution plate where attachment to equipment bearing surface is less than 75% of the pad area.
- c. When bolting is required for seismic and wind load compliance, neoprene and duck washers and bushings shall be provided to prevent short circuiting.

Vibration Mountings & Controls, Inc. East, Type NIDP Pad.

9. TYPE I: Thrust Restraints:

- a. A spring element same as TYPE A shall be combined with steel angles, backup plates, threaded rod, washers and nuts to produce a pair of devices capable of limiting thrust movement of air moving equipment to 1/4".
- b. Restraints shall be easily converted in the field from a compression type to tension type.
- c. Unit shall be factory precompressed.

Vibration Mountings & Controls Inc. East, Type TR.

10. TYPE J: Telescoping Riser Guide - non isolated: Telescoping arrangement of two sizes of steel tubing

Vibration Mountings & Controls, Inc. East, Type TPG.

11. TYPE K: Resilient Pipe Anchors and Guides:

- a. All directional acoustical pipe anchor, consisting of a telescopic arrangement of two sizes of steel tubing separated by a minimum 1/2" thickness of TYPE H pad.
- b. Vertical restraints shall be provided by a similar material arranged to prevent vertical travel in either direction.
- c. Allowable loads on neoprene pad shall not exceed 500 PSI and the design shall be balanced for equal resistance in any direction.

Vibration Mountings & Controls, Inc. East, Type RPTG and RPA.

12. TYPE L: Isolated Pipe Hanger System:

- a. Precompressed spring and elastomer isolation hanger combined with pipe support into one assembly. Replaces standard clevis, single or double rod roller, or double rod fixed support.
- b. Spring element (same as Type A) with steel lower spring retainer and an upper elastomer retainer cup with an integral bushing to insulate support rod from the isolation hanger.
- c. The neoprene element under the lower steel spring retainer shall have an integral bushing to insulate the support rod from the steel spring retainer.
- d. Hanger shall be hinged to allow for a minimum of 30-degree misalignment between the rod attachment to structure and the connection to the isolation hanger. Hangers shall be designed and constructed to support loads over three times the rated load without failure.
- e. System shall be precompressed to allow for rod insertion and standard leveling.

Vibration Mountings & Controls, Inc. East, Type CIH, CIR, TIH, TIR and PIH.

13. TYPE M: Flashable restrained isolator:

- a. Shall have all features of TYPE B isolator.
- b. Shall have waterproof spring covers for adjustment or removal of springs.
- c. Unit shall have a structural top plate for welding or bolting of supplementary support steel.
- d. Isolator shall accept 2" roofing insulation and be flashed directly into the waterproofing membrane.
- e. To be complete with wood nailer and flashing.

Vibration Mountings & Controls, Inc. East, Type FRS.

14. TYPE P: Elastomer Isolator:

- a. Double deflection neoprene compression mountings shall have all metal surfaces neoprene coated.
- b. Non-skid top and bottom surfaces.
- c. Threaded bolting sleeves shall be embedded in the isolator.
- d. Drilled tie-down bolt holes shall be provided in the baseplate.

Vibration Mountings & Controls, Inc. East, Type NCM.

## 2.3 EQUIPMENT BASES

A. General: Curbs and roof rails are to be bolted or welded to the building steel or anchored to the concrete deck to attain specified acceleration criteria and shall also be capable of resisting a minimum 110 MPH wind loads (non-simultaneous).

B. Base Types:

1. TYPE B-1: Integral Structural Steel Base:

- a. Constructed of structural members as required to prevent base flexure at equipment startup and misalignment of driver and driven units. Centrifugal fan bases shall be complete with motor slide rails and drilled for drive and driven units.
- b. Height saving brackets shall be used to reduce operating height and maintain 2" operating clearance under base where required.
- c. Member depth shall be such that the maximum deflection of the longest side to be  $1/360$  of span, not to exceed  $1/2$ ".

Vibration Mountings & Controls Inc. East, Type SB.

2. TYPE B-2: Concrete Base:

- a. Steel or removable concrete forms for floating foundations. Bases for pumps shall be large enough to support elbows and/or suction diffusers. The base depth shall be a minimum of  $1/12$  the longest unsupported span, but not less than 6" or greater than 12". Forms shall include concrete reinforcement consisting of steel bars or angles welded in place on 6" centers both ways. A layer  $1-1/2$ " above the bottom.
- b. Isolators may be set into pocket housings which are an integral part of the base construction or utilize height saving brackets set at the proper height to maintain 2" clearance below the base. Base shall be furnished with templates for equipment attachment and anchor bolt sleeves.

Vibration Mountings & Controls, Inc. East, Type CB or CBR (Formerly  
KIPWF)

3. TYPE B-3: Spring Roof Curb:
- a. Structural steel spring isolation curbs that bear directly on the roof support structure and are flashed and waterproofed into the roof's membrane waterproofing system. Equipment manufacturer's or field fabricated curbs shall not be used.
  - b. Spring locations shall have removable waterproof covers to allow for spring adjustment and/or removal. Disassembly of the weather and air seal to gain access to the isolators is not acceptable.
  - c. Springs shall have all of the features of TYPE B.
  - d. Shall be sound attenuating type utilizing standard 2" roof insulation supplied as part of the work of this section of specifications and installed by the contractor installing the curb to act thermally outside and acoustically inside. Curbs supplied without this feature shall be factory acoustically lined with 2" duct liner.
  - e. An air tight neoprene seal shall be incorporated into the curb design to prevent air leakage or infiltration. Air Seal must not be exposed so that it could be damaged or that in the event of the air seal failure, water could leak into the curb's interior.
  - f. Wood nailer and flashing shall be provided.
  - g. Shall be manufactured to NRCA standards.
  - h. Shall include a means of incorporating a sound barrier package, consisting of two layers of waterproof gypsum board. The waterproof gypsum board shall be furnished and installed by the Contractor installing the curb.
  - i. Contractor shall have the option of ordering the curb built to the roof pitch or field leveled in accordance with all seismic provisions of this section.
  - j. Individual pier supported curbs are not acceptable. Curbs shall be bolted or welded to the building steel or anchored to the concrete deck to attain specified acceleration criteria and **shall also** be capable of resisting a minimum 110 MPH wind load. (Non-simultaneous)

Vibration Mountings & Controls, Inc. East, Type P.

4. TYPE B-4: Flashable Roof Rail System - Isolated:
- a. Continuous structural support rails that combine equipment support and isolation mounting into one unitized assembly.
  - b. Rails shall incorporate TYPE B springs, adjustable, removable and interchangeable after equipment has been installed.
  - c. The system shall maintain the same installed and operating height with or without the equipment load and shall be capable of being utilized as a blocking device .
  - d. The entire assembly shall be an integral part of the roof's membrane waterproofing and shall be dry galvanized or plastic coated.
  - e. Supply unit with continuous upper and lower galvanized flashing.
  - f. Cross brace rails at support and equipment attachment points when used in seismic zones.
  - g. Rails shall be bolted or welded to the building steel or anchored to the concrete deck to attain specified acceleration criteria and **shall also** be capable of resisting a

minimum 110 MPH wind load. (Non-simultaneous)

Vibration Mountings & Controls, Inc. East, Type R.

5. TYPE B-5: Transformer Base:

- a. Base shall be constructed from structural steel angles or channels sized as required to prevent flexure and misalignment under load.
- b. Each base shall be the full length of the supported equipment and be welded or bolted to a series of TYPE B isolators. Bolt-on cross ties at the ends and center shall form one rigid platform.

Vibration Mountings & Controls, Inc. East, Type TRAF and TRCF.

6. TYPE B-6: Non-isolated roof curb: Same as B-3 without spring isolation.

Vibration Mountings & Controls, Inc. East, Type P-6000.

7. TYPE B-7: Computer room Unit Base:

- a. Computer room air conditioning units shall be welded or bolted to welded structural steel stands having a minimum 1/2 "G" certified lateral acceleration capabilities or if greater, the acceleration required per the seismic calculations required by the SUBMITTAL REQUIREMENTS section of these specifications.
- b. Stand shall have + 1-1/2" of leveling adjustment.

Vibration Mountings & Controls, Inc. East, Type CRTF

8. TYPE B-8: Non-isolated: Same as continuous support rails, Type B-4 without the spring isolation.

Vibration Mountings & Controls, Inc. East, Type R-7000

9. TYPE B-9: Steel Rails:

- a. Steel members of sufficient strength to prevent equipment flexure during operation.
- b. Height saving brackets as required to reduce operating height.
- c. Rails shall be cross braced at support and equipment attachment points when used in seismic zones.

Vibration Mountings & Controls Inc. East, Type SR.

10. TYPE B-10: Flashable Covered Island Roof Curb - Isolated

- a. Similar to Type B-4 except four sided with covered center section and complete with internal draining system.
- b. Top of base shall be at least 22" above point of connection to existing roof deck.

## 2.4 FLEXIBLE CONNECTORS

- A. All connectors shall be installed on the equipment side of shutoff valves; horizontal and parallel to equipment shafts whenever possible. Piping shall be supported and/or anchored to resist pipe movement beyond the allowable movement of the flexible connector. Installations must include

check valves and/or other design and installation precautions to reduce the threat to life safety when subjected to the specified seismic accelerations. The manufacturer's submittal package must detail the design precautions included and/or the installation precautions required.

B. TYPE FC-1: Elastomer connector:

1. Manufactured of nylon tire cord and neoprene, both molded and cured in hydraulic presses.
2. Straight connectors to have two (2) spheres with stabilizing ring in between, for sizes 2" thru 24".
3. Rated at 220 psi at 150°F, dropping linearly to 150 psi at 220°F for sizes 1-1/2" to 12".
4. Standard connectors on isolated equipment and on unanchored piping to employ control rods with neoprene end fittings isolated from anchor plates by means of neoprene bushings when pressures exceed:
  - a. 170 PSI on pipe sizes to 8"
  - b. 150 PSI on pipe size 10"
  - c. 100 PSI on pipe sizes 12"-14"
  - d. 50 PSI on pipe sizes 16"-24"
5. Connectors installed on unanchored piping shall have control rods or cables.
6. Connectors shall be installed pre-extended per manufacturer's recommendations to prevent elongation under pressure.
7. Minimum safety factor of 3:1 at maximum pressure ratings.
8. Connectors bolted to victaulic type coupling or gate, butterfly or check valves to have a minimum 5/8" flange spacer installed between the connector and the coupling flange.

Vibration Mountings & Controls, Inc. East, Type TSF

C. TYPE FC-2: Flexible Stainless Steel Hose:

1. Stainless steel hose and braid rated with 3:1 safety factor.
2. 2" diameter and smaller with male nipples, 2-1/2" and larger with fixed steel flanges.

Vibration Mountings & Controls, Inc. East, Type SS.

D. TYPE FC-3: Wire Braid Reinforced Flexible Metal Hose:

1. Metal hose and braid rated with a minimum 3:1 safety factor. (Minimum 150 PSI)
2. Copper tube ends.

Vibration Mountings & Controls, Inc. East, Type RC.

### PART 3 - EXECUTION

#### 3.1 GENERAL

- A. Isolation and seismic restraint systems must be installed in strict accordance with the manufacturer's written instructions and all submittal data.
- B. Vibration isolators shall not cause any change of position of equipment resulting in stress on equipment connections.
- C. Do not proceed with work until details of connections to any building element (new or existing) have

been reviewed and approved by the project Structural Engineer as required in Part 1 of this section of the specifications.

### 3.2 EQUIPMENT INSTALLATION

- A. Equipment shall be isolated and restrained as indicated in TABLES B, C, & E at the end of this section.
- B. Additional Requirements
  - 1. The minimum operating clearance under bases shall be 2".
  - 2. All bases shall be placed in position and supported temporarily by blocks or shims prior to the installation of the equipment, isolators and restraints.
  - 3. Spring isolators shall be installed after all equipment is installed without changing equipment elevations.
  - 4. After the entire installation is complete and under full operational load, the spring isolators shall be adjusted so that the load is transferred from the blocks to the isolators.
  - 5. Remove all debris from beneath the equipment and verify that there are no short circuits of the isolators or the isolation system. The equipment shall be free to move in all directions.
  - 6. Install equipment with flexibility in wiring.
  - 7. Thrust restraints shall be installed on all cabinet fan heads, axial or centrifugal fans whose thrust exceeds 10% of unit weight.
  - 8. Anchor equipment or isolators to housekeeping pads, see paragraph 1.5 - Related Work.
    - a. Where housekeeping pads for equipment in this section are not properly doweled or bolted, using wedge type expansion anchors, to the structure to meet the acceleration criteria, it will be the responsibility of this section of the specifications to design and install attachment systems as required.

### 3.3 PIPING and DUCTWORK ISOLATION

- A. Installation:
  - 1. General:
    - a. Hanger isolators shall be installed with the hanger box hung as close as possible to the structure. (without touching)
    - b. Hanger rods shall not short circuit the hanger box.
    - c. TYPE L hangers may be substituted for all other hangers listed below.
    - d. Pre-compressed hangers shall only be used if installed along with piping.
  - 2. Ceiling supported piping outside shafts connected to rotating or reciprocating equipment and pressure reducing stations, whether the equipment is isolated or not, shall be isolated as follows:
    - a. Water and steam piping within 50 feet or 100 pipe diameters (whichever is greater) from equipment connection.
      - 1) Horizontal suspended water piping 1-1/4" to 2" and all steam piping larger than 1" shall be hung with TYPE E isolators with 0.3" deflection.



- 2) Water pipe larger than 2" shall be hung with TYPE F isolators. The first three supports for piping connected to isolated equipment shall have deflection equal to the equipment isolators up to 2" deflection, all supports thereafter shall have 0.75" deflection isolators.
3. Floor supported piping outside shafts connected to isolated rotating or reciprocating equipment and pressure reducing stations shall be isolated as follows:
  - a. Horizontal floor or roof mounted water piping 1-1/4" to 2" and all steam piping larger than 1" shall be supported by TYPE P isolators with a minimum 0.3" deflection.
  - b. Water pipe larger than 2" shall be supported by TYPE B isolators with a minimum of 0.75" deflection.
4. Control air piping and vacuum piping from compressor discharge to receiver shall be suspended by TYPE E isolators with a minimum 0.3" deflection or supported by TYPE P isolators with a minimum 0.3" deflection.
5. All ductwork over four square feet face area located in the mechanical equipment room(s) shall be supported by TYPE C hangers with a minimum of 0.75" deflection.
6. Emergency generator exhaust shall be isolated with TYPE C isolators with a minimum of 0.75" deflection (all neoprene components shall be omitted).
7. Vertical riser supports for pipe 4" diameter and larger shall be isolated from the structure using TYPE K guides and anchors.
8. Install TYPE FC-1 flexible connectors at all connections of pipe to pumps and chillers, and to other isolated equipment only as shown on drawings. Where they are not installed on isolated equipment, insert spool pieces on the equipment side of shutoff valves.
9. Install FC-2 and FC-3 connectors only at locations which exceed temperature limitations of FC-1 or service requires stainless steel or bronze construction flex. (Such as gas, fuel oil, steam or refrigerant)

### 3.4 SEISMIC RESTRAINTS

#### A. Installation:

1. All equipment shall be isolated and restrained per TABLES B, C, & E at the end of this section.
2. All floor mounted equipment whether isolated or not shall be snubbed, anchored, bolted or welded to the structure to comply with the required acceleration. Calculations that determine that isolated equipment movement may be less than the operating clearance of snubbers (restraints) do not preclude the need for snubbers. All equipment must be positively attached to the structure.
3. All suspended equipment including, but not limited to fans, tanks, stacks, VAV boxes, unit heaters, fan powered boxes, cabinet unit heaters shall be two or four point independently braced with TYPE III restraints, installed taught for non-isolated equipment and slack with 1/2" cable deflection for isolated equipment. Support rod compressive stress resulting from seismic accelerations shall be included in the calculations and addressed accordingly. VAV Boxes attached directly to ductwork on the main supply side shall be considered as ductwork for seismic design purposes.

4. All horizontally suspended pipe, duct, cable trays, bus duct and conduit shall use RESTRAINT TYPE III or V. Support rod compressive stress resulting from seismic accelerations shall be included in the calculations and addressed accordingly. Spacing of seismic bracing shall be as per TABLE E at the end of this section:
5. For all trapeze supported piping and conduit, the individual pipes and conduits must be transversely and vertically restrained to the trapeze support at the designated restraint locations.
6. For all supported equipment, over stress of the building structure must not occur. Bracing may occur from:
  - a. Flanges of structural beams.
  - b. Upper truss chords in bar joists.
  - c. Cast in place inserts or drilled and shielded inserts in concrete structures.
  
7. Pipe Risers:
  - a. Where pipes pass through cored holes, core diameters to be a maximum of 2" larger than pipe O.D., including insulation. Cored holes must be packed with resilient material or fire stop as specified in other sections of this specification and/or state and local codes. No additional horizontal seismic bracing is required at these locations.
  - b. Non-isolated, constant temperature pipe risers through cored holes require a riser clamp at each floor level on top of the slab attached in a seismically approved manner for vertical restraint.
  - c. Non-isolated, constant temperature pipe risers in pipe shafts require structural steel attached in a seismically approved manner at each floor level and a riser clamp at each floor level on top of, and fastened to the structural steel. The riser clamp and structural steel must be capable of withstanding thermal, static and seismic loads.
  - d. Isolated and/or variable temperature risers through cored holes require Type K riser resilient Guides and Anchors installed to meet both thermal expansion and seismic acceleration criteria. Each floor level must have either a riser clamp that does not interfere with the thermal expansion/contraction of the pipe or a riser clamp/cable assembly (also non-interfering) capable of supporting the weight of the pipe between floors in the event of pipe joint failure. Riser guides and anchors must also be selected to serve as seismic restraints.
  - e. Isolated and/or variable temperature risers in pipe shafts require Type K resilient riser guides and Anchors installed on structural steel to meet both thermal expansion and seismic acceleration criteria. Each floor level must have a riser clamp/cable assembly that does not interfere with the thermal expansion/contraction of the pipe capable of supporting the weight of the pipe between floors in the event of pipe joint failure. Riser guides and anchors must also be selected to serve as seismic restraints.

8. Chimneys, stacks and boiler breeching passing through floors are to be bolted at each floor level or secured above and below each floor with riser clamps.
9. Lay-in ceilings in compliance with seismic zone requirements may use earthquake clips or other approved means of positive attachment to secure fixtures such as panel light and diffusers to T-bar structure.
10. Non-isolated floor or wall mounted equipment and tanks shall use RESTRAINT TYPE III or V.
11. Where base anchoring of equipment is insufficient to resist seismic forces, restraint TYPE III shall be located above the units center of gravity to suitably resist "G" forces specified.
  - a. Vertically mounted tanks and up-blast tubular centrifugal fans, tanks, or similar equipment, may require this additional restraint.

**B. Exclusions:**

1. Exclusions which DO NOT apply for Life Safety equipment regardless of governing code
  - a. Piping:
    - 1) Sizes of fuel oil, natural gas, and sizes of any piping, containing hazardous or corrosive materials.
    - 2) Sizes of fire protection piping standpipe, risers, mains and branches.
  - b. Duct: Smoke evacuation duct or fresh air make-up connected to emergency system, and any duct associated with Life Safety Systems identified in Part 1 of this section of the specifications.
2. Exclusions for equipment in governed by Code
  - a. Curb mounted mushroom, exhaust and vent fans with curb area less than nine square feet are excluded unless specifically detailed in the schedules or drawings.
  - b. Duct:
    - 1) Rectangular, Square, and Oval air handling ducts (other than ducts described in paragraph 1.c. above) less than six square feet in cross sectional area.
    - 2) Round air handling duct (other than ducts described in paragraph 1.c. above) less than 28 inches in diameter.
    - 3) Duct (other than ducts described in paragraph 1.c. above) supported at locations by two rods less than 12 inches in length from the structural support to the structural connection to the ductwork with positive support to the structure.
  - c. Piping
    - 1) Piping (other than piping described in paragraph 1.b. above) less than 2-1/2" diameter.
    - 2) Clevis or trapeze supported piping (other than piping described in paragraph

- 1.b. above) suspended by hanger rods less than 12 inches in length (6 inches or less for fire sprinkler piping) with positive attachment to structure.
- 3) PVC or Fiberglass suspended waste or vent pipe 6 inch diameter or smaller.

**3.5 INSPECTION**

A. Upon completion of installation of vibration isolation and seismic restraint devices, a certification report prepared, signed and sealed by the Seismic Restraint/Vibration Isolation Professional Engineer of Record (see paragraph 1.2 of this section of specifications) shall be submitted in writing to the contractor indicating that all systems, including those furnished as OEM equipment, are installed properly, and are in accordance with all codes, rules, and regulations, and are in compliance with the specifications. The report must identify those areas that require corrective measures or certify that none exists. If corrective measures required, follow-up reports shall be prepared by the Seismic Restraint/Vibration Isolation Professional Engineer of Record (see paragraph 1.2 of this section of specifications) until all systems, including those furnished as OEM equipment, are in complete compliance with all aforementioned criteria. Any field coordination type changes to the originally submitted seismic restraint designs must be clearly defined and detailed in this report. Copies of each report shall be submitted to the Engineer, and shall be included by the Contractor in the Installation, Operation and Maintenance Manuals.

**PART 2 - CHARTS AND REFERENCE DATA**

TABLE B Vibration Isolation & Seismic Restraint Guide for HVAC Equipment			EQUIPMENT INSTALLATION ATTACHMENT POINT							
			ON GRADE				ABOVE GRADE			
EQUIPMENT	SIZE (5) (8)	MOUNTING	ISOL	DEFL	BASE	RESTR	ISOL	DEFL	BASE	RESTR
Air Handling/Conditioning Units	To 10 hp	Floor	D	0.30	(1)	V	B	0.75	(1)	V
		Ceiling	- -	- -	- -	- -	F	0.75	(1)	III

TABLE B Vibration Isolation & Seismic Restraint Guide for HVAC Equipment			EQUIPMENT INSTALLATION ATTACHMENT POINT							
			ON GRADE				ABOVE GRADE			
EQUIPMENT	SIZE (5) (8)	MOUNTING	ISOL	DEFL	BASE	RESTR	ISOL	DEFL	BASE	RESTR
Cabinet Type Fans	Over 10 hp	Floor	D	0.30	(1)	V	B	(2)	(1)	V
		Ceiling	- -	- -	(1)	III	F	(2)	(1)	III
		Floor	D	0.30	- -	V	B	0.75	- -	V
Air or Refrigerant Compressors	Tank	Over 10 hp	B	0.75	- -	V	B	1.50	B-2	V
		To 10 hp	D	0.30	- -	V	B	0.75	B-2 (3)	V
	Unitary	To 10 hp	D	0.30	- -	V	B	0.75	B-2 (3)	V

		Over 10 hp		B	0.75	- -	V	B	1.50	B-2 (3)	V	
Air Cooled Condensers		All	Roof	- -	- -	- -	- -	B	1.50	B-8	V	
Axial Fans		To 15 hp	Floor (roof)	D	0.30	- -	V	B	(2)	(B-3 or 4)	V	
			Ceiling	- -	- -	- -	- -	F			III	
		Over 15 hp	Floor (roof)	B	0.75	- -	V	B		(B-3 or 4)	V	
			Ceiling	- -	- -	- -	- -	F			III	
Boilers & Steam Generators		All	Floor	- -	- -	- -	V	B	0.75	- -	V	
		Electric		- -	- -	- -	V	G	0.10	- -	V	
Centrifugal Fans	Arrg't 1 & 3	All	Floor (roof)	B	0.75	B-1	V	B	(2)	B-1(B-4)	V	
			Ceiling	- -	- -	- -	- -	F			III	
	Arrg't 4, 9 & 10		Floor (roof)	D	0.30	- -	V	B		(6)	(B-4)	V
			Ceiling	- -	- -	- -	- -	F				III
Chillers & Condensing Units	Absorb.	A     	Floor	G	0.10	- -	V	B	0.75	- -	V	
	Centrif.		Floor	G	0.10	- -	V	B	0.75	- -	V	
	Recipr.		Floor (roof)	B	0.75	- -	V	B	1.50	(B-4)	V	
	Rotary		Floor (roof)	G	0.10	- -	V	B	0.75	(B-4)	V	
Computer Room Units		All	Floor	- -	- -	B-7	V	- -	- -	B-7	V	
			Ceiling	- -	- -	- -	- -	F	0.75	- -	III	
Cooling Towers		To 200 Tons	Floor or Roof	G	0.10	- -	V	B	1.50	- -	V	
		Over 200				- -				V		
Curb Mounted Fans		All (7)	Roof	- -	- -	- -	- -	- -	- -	B-6	V	
Fan Coil Units Fan Powered Boxes Cabinet Unit Heaters Unit Ventilators		All	Ceiling	- -	- -	- -	- -	F	0.75	- -	III	
Pumps	Base Mounted	To 15 hp	Floor	D	0.30	B-2	V	B	0.75	B-2	V	
		15--30 hp		B	0.75	B-2	V	B	0.75	B-2	V	
		Over 30 hp		B	0.75	B-2	V	B	1.50	B-2	V	
	In line	All	Floor	- -	- -	- -	- -	D	0.30	- -	V	
			Ceiling	- -	- -	- -	- -	F	0.75	- -	III	
	Condensate		Floor	D	0.30	B-9	V	D	0.30	B-9	V	
Boiler Feed				D	0.30	- -	V	D	0.30	- -	V	
*Packaged Roof Top		To 15 Tons	Curb Mounted	- -	- -	- -	- -	- -	0.75	B-3	V	
		Over 15 Tons		- -	- -	- -	- -	- -	2.50	B-3	V	

TABLE B Vibration Isolation & Seismic Restraint Guide for HVAC Equipment			EQUIPMENT INSTALLATION ATTACHMENT POINT							
			ON GRADE				ABOVE GRADE			
EQUIPMENT	SIZE (5) (8)	MOUNTING	ISOL	DEFL	BASE	RESTR	ISOL	DEFL	BASE	RESTR
Air Handling	To 15 Tons	Point	- -	- -	- -	- -	B	0.75	- -	V

Units	Over 15 Tons	Supported	- -	- -	- -	- -	B	2.50	- -	V
Unit Heaters	All	Ceiling	- -	- -	- -	- -	E	0.30	- -	III

\* with or without compressor/condenser section.

Table Note: Bases shown in "( )" indicate roof mounted system.

TABLE C Vibration Isolation & Seismic Restraint Requirements for Plumbing Equipment				EQUIPMENT INSTALLATION ATTACHMENT POINT							
				ON GRADE				ABOVE GRADE			
EQUIPMENT		SIZE (5) (8)	MOUNTING	ISOL	DEF L	BAS E	RESTR	ISOL	DEFL	BASE	RESTR
Air Compressors & Vacuum Pumps	Tanks	To 10 hp	Floor	D	0.30	- -	V	B	0.75	- -	V
		Over 10 hp		B	0.75	- -	V	B	1.50	B-2	V
	Unitary	To 10 hp		D	0.30	- -	V	B	0.75	B-2 (3)	V
		Over 10 hp		B	0.75	- -	V	B	1.50	B-2 (3)	V
Pumps	Base Mounted	To 15 hp	Floor	D	0.30	- -	V	B	0.75	B-2	V
		Over 15 hp	Floor	B	0.75	- -	V	B	0.75	B-2	V
	Inline	All	Floor	- -	- -	- -	- -	D	0.30	- -	V
				- -	- -	- -	- -	F	0.75	- -	III

TABLES B, C & NOTES:

GENERAL : ISOL = Isolator, DEFL = Deflection, RESTR = Seismic Restraint - All deflections indicated are in inches.

- (1) If a unit is not capable of point support and a base is not provided by that section, refer to the separate Air Handling or Air Conditioning Unit specification section, if base is not provided by that section and external isolation is required, provide Type B-1 base by this section for entire unit.
  - (2) Static deflection shall be based on the deflection guide. Deflections indicated are minimums at actual load and shall be selected from manufacturer's nominal 4", 3", 2" and 1" deflection spring series.
- R.P.M. is defined as the *slowest possible operating speed* of the equipment.
- (3) Single stroke compressors may require inertia bases with thickness greater than 12" max. as described for base B-2. Inertia base mass shall be sufficient to maintain maximum double amplitude of 1/8".
  - (4) For floor mounted fans substitute base type B-2 for class 2 or 3 or any class fan with static pressure over 5".
  - (5) Equipment with less than or equal to one hp are excluded from vibration requirements. (Seismic requirements still apply)
  - (6) Utility sets with wheel diameters less than 24" need not have deflections greater than 0.75".
  - (7) Curb mounted fans with curb area less than nine (9) square feet are excluded.
  - (8) For equipment with multiple motors, hp Classification applies to largest single motor.

DEFLECTION GUIDE	
R.P.M.	DEFLECTION
Less Than 400	3.50"
400 To 599	2.50"
600 To 900	1.50"
Over 900	0.75"

TABLE E SEISMIC BRACING TABLE			
EQUIPMENT	ON CENTER SPACING		WITHIN EACH CHANGE OF DIRECTION (LARGER OF . . . )
	TRANSVERSE	LONGITUDINAL	
DUCT	30 Feet	60 Feet	15 Feet
PIPE (Threaded, Welded, Soldered or Grooved)			
To 16"	40 Feet	80 Feet	10 Feet or 15 Diameters
18" - 28"	30 Feet	60 Feet	10 Feet or 15 Diameters
30" - 40"	20 Feet	60 Feet	10 Feet or 15 Diameters
42" & Larger	10 Feet	30 Feet	10 Feet or 15 Diameters
PIPE (No-Hub Bell & Spigot, Cast Iron)			
2.5" & Larger	10 Feet	20 Feet	4 Feet
CHIMNEYS & STACKS	30 Feet	60 Feet	10 Feet or 15 Diameters
BUS DUCT	20 Feet	40 Feet	4 Feet

END OF SECTION 23 0548

THIS PAGE INTENTIONALLY LEFT BLANK



**SECTION 23 0553 – IDENTIFICATION FOR HVAC PIPING AND EQUIPMENT PART 1 -**

**GENERAL**

**1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. This Section includes the following mechanical identification materials and their installation:
  - 1. Equipment nameplates.
  - 2. Equipment markers.
  - 3. Access panel and door markers.
  - 4. Pipe markers.
  - 5. Duct markers.
  - 6. Valve tags.
  - 7. Valve schedules.
  - 8. Warning tags.

**1.3 SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Valve numbering scheme.
- C. Valve Schedules: For each piping system. Furnish extra copies (in addition to mounted copies) to include in maintenance manuals.

**1.4 QUALITY ASSURANCE**

- A. ASME Compliance: Comply with ASME A13.1, "Scheme for the Identification of Piping Systems," for letter size, length of color field, colors, and viewing angles of identification devices for piping.

**1.5 COORDINATION**

- A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- B. Coordinate installation of identifying devices with location of access panels and doors.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.

## **PART 2 - PRODUCTS**

### **2.1 EQUIPMENT IDENTIFICATION DEVICES**

- A. Equipment Nameplates: Metal, with data engraved or stamped, for permanent attachment on equipment.
1. Data:
    - a. Manufacturer, product name, model number, and serial number.
    - b. Capacity, operating and power characteristics, and essential data.
    - c. Labels of tested compliances.
  2. Location: Accessible and visible.
  3. Fasteners: As required to mount on equipment.
- B. Equipment Markers: Engraved, color-coded laminated plastic. Include contact-type, permanent adhesive.
1. Terminology: Match schedules as closely as possible.
  2. Data:
    - a. Name.
    - b. Equipment service.
  3. Size: 2-1/2 by 4 inches for control devices, dampers, and valves; 4-1/2 by 6 inches for equipment.
- C. Access Panel and Door Markers: 1/16-inch- thick, engraved laminated plastic, with abbreviated terms and numbers corresponding to identification. Provide 1/8-inch center hole for attachment.
1. Fasteners: Self-tapping, stainless steel screws or contact-type, permanent adhesive.

### **2.2 PIPING IDENTIFICATION DEVICES**

- A. Manufactured Pipe Markers, General: Preprinted, color-coded, with lettering indicating service, and showing direction of flow.
1. Colors: Comply with ASME A13.1, unless otherwise indicated.
  2. Lettering: Use piping system terms indicated and abbreviate only as necessary for each application length.
  3. Pipes with OD, Including Insulation, Less Than 6 Inches: Full-band pipe markers extending 360 degrees around pipe at each location.
  4. Pipes with OD, Including Insulation, 6 Inches and Larger: Either full-band or strip-type pipe markers at least three times letter height and of length required for label.
  5. Arrows: Integral with piping system service lettering to accommodate both directions; or as separate unit on each pipe marker to indicate direction of flow.

- B. Self-Adhesive Pipe Markers: Plastic with pressure-sensitive, permanent-type, self-adhesive back.
- C. Plastic Tape: Continuously printed, vinyl tape at least 3 mils thick with pressure-sensitive, permanent-type, self-adhesive back.
  - 1. Width for Markers on Pipes with OD, Including Insulation, Less Than 6 Inches: 3/4 inch minimum.
  - 2. Width for Markers on Pipes with OD, Including Insulation, 6 Inches or Larger: 1-1/2 inches minimum.

### 2.3 DUCT IDENTIFICATION DEVICES

- A. Duct Markers: Engraved, color-coded laminated plastic. Include direction and quantity of airflow and duct service (such as supply, return, and exhaust). Include contact-type, permanent adhesive.

### 2.4 VALVE TAGS

- A. Valve Tags: Stamped or engraved with 1/4-inch letters for piping system abbreviation and 1/2-inch numbers, with numbering scheme. Provide 5/32-inch hole for fastener.
  - 1. Material: 3/32-inch- thick laminated plastic with 2 black surfaces and white inner layer.
  - 2. Valve-Tag Fasteners: Brass S-hook.

### 2.5 VALVE SCHEDULES

- A. Valve Schedules: For each piping system, on standard-size bond paper. Tabulate valve number, piping system, system abbreviation (as shown on valve tag), location of valve (room or space), normal-operating position (open, closed, or modulating), and variations for identification. Mark valves for emergency shutoff and similar special uses.
  - 1. Valve-Schedule Frames: Glazed display frame for removable mounting on masonry walls for each page of valve schedule. Include mounting screws.
  - 2. Frame Extruded aluminum.
  - 3. Glazing: ASTM C 1036, Type I, Class 1, Glazing Quality B, 2.5-mm, single-thickness glass.

### 2.6 WARNING TAGS

- A. Warning Tags: Preprinted or partially preprinted, accident-prevention tags; of plasticized card stock with matte finish suitable for writing.
  - 1. Size: 3 by 5-1/4 inches minimum Approximately 4 by 7 inches.
  - 2. Fasteners: Brass grommet and wire.
  - 3. Nomenclature: Large-size primary caption such as DANGER, CAUTION, or DO NOT OPERATE.
  - 4. Color: Yellow background with black lettering.

### **PART 3 - EXECUTION**

#### **3.1 APPLICATIONS, GENERAL**

- A. Products specified are for applications referenced in other Division 15 Sections. If more than single-type material, device, or label is specified for listed applications, selection is Installer's option.

#### **3.2 EQUIPMENT IDENTIFICATION**

- A. Install and permanently fasten equipment nameplates on each major item of mechanical equipment that does not have nameplate or has nameplate that is damaged or located where not easily visible. Locate nameplates where accessible and visible. Include nameplates for the following general categories of equipment:
1. Fuel-burning units, including boilers..
  2. Pumps, chillers and similar motor-driven units.
  3. Fans, blowers and primary balancing dampers,.
- B. Install equipment markers with permanent adhesive on or near each major item of mechanical equipment.
1. Letter Size: Minimum 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
  2. Locate markers where accessible and visible. Include markers for the following general categories of equipment:
    - a. Pumps and similar motor-driven units.
    - b. Fans, blowers and primary balancing dampers..
    - c. Condensing units, Existing HVAC central-station air handling unit.

#### **3.3 PIPING IDENTIFICATION**

- A. Install manufactured pipe markers indicating service on each piping system. Install with flow indication arrows showing direction of flow.
1. Pipes with OD, Including Insulation, Less Than 6 Inches: Self-adhesive pipe markers. Use color-coded, self-adhesive plastic tape, **1-1/2 inches** wide, lapped at least 1-1/2 inches at both ends of pipe marker, and covering full circumference of pipe.
  2. Pipes with OD, Including Insulation, 6 Inches and Larger: Self-adhesive pipe markers. Use color-coded, self-adhesive plastic tape, at least 1-1/2 inches wide, lapped at least 3 inches at both ends of pipe marker, and covering full circumference of pipe.

- B. Locate pipe markers and color bands where piping is exposed in finished spaces; mechanical rooms; accessible maintenance spaces such as shafts, tunnels, and plenums; and exterior nonconcealed locations as follows:
1. Near each valve and control device.
  2. Near each branch connection, excluding short takeoffs for fixtures and terminal units. Where flow pattern is not obvious, mark each pipe at branch.
  3. Near penetrations through walls, floors, ceilings, and non-accessible enclosures.
  4. At access doors, and similar access points that permit view of concealed piping.
  5. Near major equipment items and other points of origination and termination.
  6. Spaced at maximum intervals of 50 feet along each run. Reduce intervals to 25 feet in areas of congested piping and equipment.
  7. On piping above removable acoustical ceilings. Omit intermediately spaced markers.

### 3.4 DUCT IDENTIFICATION

- A. Install duct markers with permanent adhesive on air ducts in the following color codes:
1. Green: For cold-air supply ducts.
  2. Yellow: For hot-air supply ducts.
  3. Blue: For exhaust-, outside-, relief-, return-, and mixed-air ducts.
  4. Letter Size: Minimum 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
- B. Locate markers near points where ducts enter into concealed spaces and at maximum intervals of 50 feet in each space where ducts are exposed or concealed by removable ceiling system.

### 3.5 VALVE-TAG INSTALLATION

- A. Install tags on valves and control devices in piping systems, except check valves; valves within factory-fabricated equipment units; shutoff valves; ; and HVAC terminal devices and similar roughing-in connections of end-use fixtures and units. List tagged valves in a valve schedule.
- B. Valve-Tag Application Schedule: Tag valves according to size, shape, and color scheme and with captions similar to those indicated in the following:
1. Valve-Tag Size and Shape:
    - a. Cold Water: 1-1/2 inches, round.
    - b. Hot Water: 1-1/2 inches, round.
  2. Valve-Tag Color:
    - a. Cold Water: Blue.
    - b. Hot Water: Red.

3. Letter Color:
  - a. Cold Water: White.
  - b. Hot Water: White.

**3.6 VALVE-SCHEDULE INSTALLATION**

- A. Mount valve schedule on wall in mechanical equipment room.

**3.7 WARNING-TAG INSTALLATION**

- A. Write required message on, and attach warning tags to, equipment and other items where required.

**3.8 ADJUSTING**

- A. Relocate mechanical identification materials and devices that have become visually blocked by other work.

**3.9 CLEANING**

- A. Clean faces of mechanical identification devices and glass frames of valve schedules.

**END OF SECTION 23 0553**

## SECTION 23 0593 - TESTING, ADJUSTING, AND BALANCING FOR HVAC PART 1 -

### GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. This Section includes testing, adjusting, and balancing HVAC systems to produce design objectives, including the following:
  - 1. Balancing airflow and water flow within distribution systems, including submains, branches, and terminals, to indicated quantities according to specified tolerances.
  - 2. Adjusting total HVAC systems to provide indicated quantities.
  - 3. Measuring electrical performance of HVAC equipment.
  - 4. Setting quantitative performance of HVAC equipment.
  - 5. Verifying that automatic control devices are functioning properly.
  - 6. Measuring sound and vibration.
  - 7. Reporting results of the activities and procedures specified in this Section.
- B. Related Sections include the following:
  - 1. Testing and adjusting requirements unique to particular systems and equipment are included in the Sections that specify those systems and equipment.
  - 2. Field quality control testing to verify that workmanship quality for system and equipment installation is specified in system and equipment Sections.

#### 1.3 DEFINITIONS

- A. Adjust: To regulate fluid flow rate and air patterns at the terminal equipment, such as to reduce fan speed or adjust a damper.
- B. Balance: To proportion flows within the distribution system, including submains, branches, and terminals, according to design quantities.
- C. Draft: A current of air, when referring to localized effect caused by one or more factors of high air velocity, low ambient temperature, or direction of airflow, whereby more heat is withdrawn from a person's skin than is normally dissipated.
- D. Procedure: An approach to and execution of a sequence of work operations to yield repeatable results.
- E. Report Forms: Test data sheets for recording test data in logical order.
- F. Static Head: The pressure due to the weight of the fluid above the point of measurement. In a closed system, static head is equal on both sides of the pump.
- G. Suction Head: The height of fluid surface above the centerline of the pump on the suction side.
- H. System Effect: A phenomenon that can create undesired or unpredicted conditions that cause

- reduced capacities in all or part of a system.
- I. System Effect Factors: Allowances used to calculate a reduction of the performance ratings of a fan when installed under conditions different from those presented when the fan was performance tested.
  - J. Terminal: A point where the controlled medium, such as fluid or energy, enters or leaves the distribution system.
  - K. Test: A procedure to determine quantitative performance of a system or equipment.
  - L. Testing, Adjusting, and Balancing Agent: The entity responsible for performing and reporting the testing, adjusting, and balancing procedures.
  - M. AABC: Associated Air Balance Council.
  - N. AMCA: Air Movement and Control Association.
  - O. NEBB: National Environmental Balancing Bureau.
  - P. SMACNA: Sheet Metal and Air Conditioning Contractors' National Association.

#### 1.4 SUBMITTALS

- A. Quality-Assurance Submittals: Within 30 days from the Contractor's Notice to Proceed, submit 2 copies of evidence that the testing, adjusting, and balancing Agent and this Project's testing, adjusting, and balancing team members meet the qualifications specified in the "Quality Assurance" Article below.
- B. Contract Documents Examination Report: Within 45 days from the Contractor's Notice to Proceed, submit 2 copies of the Contract Documents review report as specified in Part 3 of this Section.
- C. Strategies and Procedures Plan: Within 60 days from the Contractor's Notice to Proceed, submit 2 copies of the testing, adjusting, and balancing strategies and step-by-step procedures as specified in Part 3 "Preparation" Article below. Include a complete set of report forms intended for use on this Project.
- D. Certified Testing, Adjusting, and Balancing Reports: Submit 2 copies of reports prepared, as specified in this Section, on approved forms certified by the testing, adjusting, and balancing Agent.
- E. Warranty: Submit 2 copies of special warranty specified in the "Warranty" Article below.

#### 1.5 QUALITY ASSURANCE

- A. Agent Qualifications: Engage a testing, adjusting, and balancing agent certified by either AABC or NEBB.
- B. Testing, Adjusting, and Balancing Conference: Meet with the Owner's and the Architect's representatives on approval of the testing, adjusting, and balancing strategies and procedures plan to develop a mutual understanding of the details. Ensure the participation of testing, adjusting, and balancing team members, equipment manufacturers' authorized service representatives, HVAC controls Installer, and other support personnel. Provide 7 days' advance notice of scheduled meeting time and location.
  - 1. Agenda Items: Include at least the following:
    - a. Submittal distribution requirements.
    - b. Contract Documents examination report.



- c. Testing, adjusting, and balancing plan.
  - d. Work schedule and Project site access requirements.
  - e. Coordination and cooperation of trades and subcontractors.
  - f. Coordination of documentation and communication flow.
- C. Certification of Testing, Adjusting, and Balancing Reports: Certify the testing, adjusting, and balancing field data reports. This certification includes the following:
- 1. Review field data reports to validate accuracy of data and to prepare certified testing, adjusting, and balancing reports.
  - 2. Certify that the testing, adjusting, and balancing team complied with the approved testing, adjusting, and balancing plan and the procedures specified and referenced in this Specification.
- D. Testing, Adjusting, and Balancing Reports: Use standard forms from AABC's "National Standards for Testing, Adjusting, and Balancing."
- E. Testing, Adjusting, and Balancing Reports: Use standard forms from NEBB's "Procedural Standards for Testing, Adjusting, and Balancing of Environmental Systems."
- F. Instrumentation Type, Quantity, and Accuracy: As described in AABC national standards.
- G. Instrumentation Type, Quantity, and Accuracy: As described in NEBB's "Procedural Standards for Testing, Adjusting, and Balancing of Environmental Systems," Section II, "Required Instrumentation for NEBB Certification."
- H. Instrumentation Calibration: Calibrate instruments at least every 6 months or more frequently if required by the instrument manufacturer.

## 1.6 PROJECT CONDITIONS

- A. Full Owner Occupancy: The Owner will occupy the site and existing building during the entire testing, adjusting, and balancing period. Cooperate with the Owner during testing, adjusting, and balancing operations to minimize conflicts with the Owner's operations.

## 1.7 COORDINATION

- A. Coordinate the efforts of factory-authorized service representatives for systems and equipment, HVAC controls installers, and other mechanics to operate HVAC systems and equipment to support and assist testing, adjusting, and balancing activities.
- B. Notice: Provide 7 days' advance notice for each test. Include scheduled test dates and times.
- C. Perform testing, adjusting, and balancing after leakage and pressure tests on air and water distribution systems have been satisfactorily completed.

## 1.8 WARRANTY

- A. National Project Performance Guarantee: Provide a guarantee on AABC'S "National Standards" forms stating that AABC will assist in completing the requirements of the Contract Documents if the testing, adjusting, and balancing Agent fails to comply with the Contract Documents. Guarantee includes the following provisions:

- B. Special Guarantee: Provide a guarantee on NEBB forms stating that NEBB will assist in completing the requirements of the Contract Documents if the testing, adjusting, and balancing Agent fails to comply with the Contract Documents. Guarantee includes the following provisions:
1. The certified Agent has tested and balanced systems according to the Contract Documents.
  2. Systems are balanced to optimum performance capabilities within design and installation limits.

**PART 2 - PRODUCTS (Not Applicable) PART 3 -**

**EXECUTION**

**3.1 EXAMINATION**

- A. Examine Contract Documents to become familiar with project requirements and to discover conditions in systems' designs that may preclude proper testing, adjusting, and balancing of systems and equipment.
1. Contract Documents are defined in the General and Supplementary Conditions of the Contract.
  2. Verify that balancing devices, such as test ports, gage cocks, thermometer wells, flow-control devices, balancing valves and fittings, and manual volume dampers, are required by the Contract Documents. Verify that quantities and locations of these balancing devices are accessible and appropriate for effective balancing and for efficient system and equipment operation.
- B. Examine approved submittal data of HVAC systems and equipment.
- C. Examine project record documents described in Division 1 Section "Project Record Documents."
- D. Examine Architect's and Engineer's design data, including HVAC system descriptions, statements of design assumptions for environmental conditions and systems' output, and statements of philosophies and assumptions about HVAC system and equipment controls.
- E. Examine equipment performance data, including fan and pump curves. Relate performance data to project conditions and requirements, including system effects that can create undesired or unpredicted conditions that cause reduced capacities in all or part of a system. Calculate system effect factors to reduce the performance ratings of HVAC equipment when installed under conditions different from those presented when the equipment was performance tested at the factory. To calculate system effects for air systems, use tables and charts found in AMCA 201, "Fans and Systems," Sections 7 through 10; or in SMACNA's "HVAC Systems--Duct Design," Sections 5 and 6. Compare this data with the design data and installed conditions.
- F. Examine system and equipment installations to verify that they are complete and that testing, cleaning, adjusting, and commissioning specified in individual Specification Sections have been performed.
- G. Examine system and equipment test reports.
- H. Examine HVAC system and equipment installations to verify that indicated balancing devices, such as test ports, gage cocks, thermometer wells, flow-control devices, balancing valves and fittings, and manual volume dampers, are properly installed, and their locations are accessible and appropriate for effective balancing and for efficient system and equipment operation.

- I. Examine systems for functional deficiencies that cannot be corrected by adjusting and balancing.
- J. Examine air-handling equipment to ensure clean filters have been installed, bearings are greased, belts are aligned and tight, and equipment with functioning controls is ready for operation.
- K. Examine terminal units, such as variable-air-volume boxes and mixing boxes, to verify that they are accessible and their controls are connected and functioning.
- L. Examine strainers for clean screens and proper perforations.
- M. Examine heat-transfer coils for correct piping connections and for clean and straight fins.
- N. Examine open-piping-system pumps to ensure absence of entrained air in the suction piping.
- O. Examine equipment for installation and for properly operating safety interlocks and controls.
- P. Examine automatic temperature system components to verify the following:
  - 1. Dampers, valves, and other controlled devices operate by the intended controller.
  - 2. Dampers and valves are in the position indicated by the controller.
  - 3. Integrity of valves and dampers for free and full operation and for tightness of fully closed and fully open positions. This includes dampers in variable-air-volume terminals.
  - 4. Automatic modulating and shutoff valves, including 2-way valves are properly connected.
  - 5. Thermostats are located to avoid adverse effects of sunlight, drafts, and cold walls.
  - 6. Sensors are located to sense only the intended conditions.
  - 7. Sequence of operation for control modes is according to the Contract Documents.
  - 8. Controller set points are set at design values. Observe and record system reactions to changes in conditions. Record default set points if different from design values.
  - 9. Interlocked systems are operating.
  - 10. Changeover from heating to cooling mode occurs according to design values.
- Q. Report deficiencies discovered before and during performance of testing, adjusting, and balancing procedures.

### **3.2 PREPARATION**

- A. Prepare a testing, adjusting, and balancing plan that includes strategies and step-by-step procedures.
- B. Complete system readiness checks and prepare system readiness reports. Verify the following:
  - 1. Permanent electrical power wiring is complete.
  - 2. Hydronic systems are filled, clean, and free of air.
  - 3. Automatic temperature-control systems are operational.
  - 4. Equipment and duct access doors are securely closed.
  - 5. Balance, and fire dampers are open.
  - 6. Isolating and balancing valves are open and control valves are operational.
  - 7. Ceilings are installed in critical areas where air-pattern adjustments are required and access to balancing devices is provided.
  - 8. Windows and doors can be closed so design conditions for system operations can be met.

### **3.3 GENERAL TESTING AND BALANCING PROCEDURES**

- A. Perform testing and balancing procedures on each system according to the procedures contained in AABC national standards and this Section.

- B. Perform testing and balancing procedures on each system according to the procedures contained in NEBB's "Procedural Standards for Testing, Adjusting, and Balancing of Environmental Systems" and this Section.
- C. Cut insulation, ducts, pipes, and equipment cabinets for installation of test probes to the minimum extent necessary to allow adequate performance of procedures. After testing and balancing, close probe holes and patch insulation with new materials identical to those removed. Restore vapor barrier and finish according to the insulation Specifications for this Project.
- D. Mark equipment settings with paint or other suitable permanent identifications material, including damper-control positions, valve indicators, fan-speed-control levers and similar controls and devices to show final settings.

**3.4 NOT USED**

**3.5 NOT USED**

**3.6 FUNDAMENTAL PROCEDURES FOR HYDRONIC SYSTEMS**

- A. Prepare test reports with pertinent design data and number in sequence starting at pump to end of system. Check the sum of branch-circuit flows against approved pump flow rate. Correct variations that exceed plus or minus 5 percent.
- B. Prepare schematic diagrams of systems' "as-built" piping layouts.
- C. Prepare hydronic systems for testing and balancing according to the following, in addition to the general preparation procedures specified above:
  - 1. Open all manual valves for maximum flow.
  - 2. Check expansion tank liquid level.
  - 3. Check makeup-water-station pressure gage for adequate pressure for highest vent.
  - 4. Check flow-control valves for specified sequence of operation and set at design flow.
  - 5. Set differential-pressure control valves at the specified differential pressure. Do not set at fully closed position when pump is positive-displacement type, unless several terminal valves are kept open.
  - 6. Set system controls so automatic valves are wide open to heat exchangers.
  - 7. Check pump-motor load. If motor is overloaded, throttle main flow-balancing device so motor nameplate rating is not exceeded.
  - 8. Check air vents for a forceful liquid flow exiting from vents when manually operated.

**3.7 HYDRONIC SYSTEMS' BALANCING PROCEDURES**

- A. Determine water flow at pumps. Use the following procedures, except for positive-displacement pumps:
  - 1. Verify impeller size by operating the pump with the discharge valve closed. Verify with the pump manufacturer that this will not damage pump. Read pressure differential across the pump. Convert pressure to head and correct for differences in gage heights. Note the point on the manufacturer's pump curve at zero flow and confirm that the pump has the intended impeller size.
  - 2. Check system resistance. With all valves open, read pressure differential across the pump and

- mark the pump manufacturer's head-capacity curve. Adjust pump discharge valve until design water flow is achieved.
- 3. Verify pump-motor brake horsepower. Calculate the intended brake horsepower for the system based on the pump manufacturer's performance data. Compare calculated brake horsepower with nameplate data on the pump motor. Report conditions where actual amperage exceeds motor nameplate amperage.
- 4. Report flow rates that are not within plus or minus 5 percent of design.
- B. Set calibrated balancing valves, if installed, at calculated presettings.
- C. Measure flow at all stations and adjust, where necessary, to obtain first balance.
  - 1. System components that have Cv rating or an accurately cataloged flow-pressure-drop relationship may be used as a flow-indicating device.
- D. Measure flow at main balancing station and set main balancing device to achieve flow that is 5 percent greater than design flow.
- E. Adjust balancing stations to within specified tolerances of design flow rate as follows:
  - 1. Determine the balancing station with the highest percentage over design flow.
  - 2. Adjust each station in turn, beginning with the station with the highest percentage over design flow and proceeding to the station with the lowest percentage over design flow.
  - 3. Record settings and mark balancing devices.
- F. Measure pump flow rate and make final measurements of pump amperage, voltage, rpm, pump heads, and systems' pressures and temperatures, including outdoor-air temperature.
- G. Measure the differential-pressure control valve settings existing at the conclusions of balancing.

### **3.8 VARIABLE-FLOW HYDRONIC SYSTEMS' ADDITIONAL PROCEDURES**

- A. Balance systems with automatic 2- and 3-way control valves by setting systems at maximum flow through heat-exchange terminals and proceed as specified above for hydronic systems.

### **3.9 PRIMARY-SECONDARY-FLOW HYDRONIC SYSTEMS' ADDITIONAL PROCEDURES**

- A. Balance the primary system crossover flow first, then balance the secondary system.

### **3.10 MOTORS**

- A. Motors, 1/2 HP and Larger: Test at final balanced conditions and record the following data:
  - 1. Manufacturer, model, and serial numbers.
  - 2. Motor horsepower rating.
  - 3. Motor rpm.
  - 4. Efficiency rating if high-efficiency motor.

5. Nameplate and measured voltage, each phase.
6. Nameplate and measured amperage, each phase.
7. Starter thermal-protection-element rating.

### 3.11 CHILLERS and HEAT EXCHANGERS

- A. Balance water flow through each evaporator and condenser to within specified tolerances of design flow with all pumps operating. With only one chiller operating in a multiple chiller installation, do not exceed the flow for the maximum tube velocity recommended by the chiller manufacturer. Measure and record the following data with each chiller operating at design conditions:
1. Evaporator water entering and leaving temperatures, pressure drop, and water flow.
  2. Condenser water entering and leaving temperatures, pressure drop, and water flow.
  3. Evaporator and condenser refrigerant temperatures and pressures, using instruments furnished by the chiller manufacturer.
  4. Power factor if factory-installed instrumentation is furnished for measuring kW.
  5. The kW input if factory-installed instrumentation is furnished for measuring kW.
  6. Capacity: Calculate in tons of cooling.
  7. Air-Cooled Chillers: Verify condenser-fan rotation and record fan data, including number of fans and entering- and leaving-air temperatures.

### 3.12 HEAT-TRANSFER COILS

- A. Water Coils: Measure the following data for each coil:
1. Entering- and leaving-water temperatures.
  2. Water flow rate.
  3. Water pressure drop.
  4. Dry-bulb temperatures of entering and leaving air.
  5. Wet-bulb temperatures of entering and leaving air for cooling coils designed for less than 7500 cfm.
  6. Airflow.
  7. Air pressure drop.

### 3.13 TEMPERATURE TESTING

- A. During testing, adjusting, and balancing, report need for adjustment in temperature regulation within the automatic temperature-control system.
- B. Measure indoor wet- and dry-bulb temperatures every other hour for a period of 2 successive 8-hour days, in each separately controlled zone, to prove correctness of final temperature settings. Measure when the building or zone is occupied.
- C. Measure outside-air, wet- and dry-bulb temperatures.

### 3.14 TEMPERATURE-CONTROL VERIFICATION

- A. Verify that controllers are calibrated and commissioned.
- B. Check transmitter and controller locations and note conditions that would adversely affect control functions.
- C. Record controller settings and note variances between set points and actual measurements.
- D. Verify operation of limiting controllers (i.e., high- and low-temperature controllers).
- E. Verify free travel and proper operation of control devices such as damper and valve operators.
- F. Verify sequence of operation of control devices. Note air pressures and device positions and correlate with airflow and water-flow measurements. Note the speed of response to input changes.
- G. Confirm interaction of electrically operated switch transducers.
- H. Confirm interaction of interlock and lockout systems.
- I. Verify main control supply-air pressure and observe compressor and dryer operations.
- J. Record voltages of power supply and controller output. Determine if the system operates on a grounded or nongrounded power supply.
- K. Note operation of electric actuators using spring return for proper fail-safe operations.
- L. Verify that long term trending has been set up for customer requested points

### 3.15 TOLERANCES

- A. Set HVAC system airflow and water flow rates within the following tolerances:
  - 1. Supply, Return, and Exhaust Fans: Plus 5 to plus 10 percent.
  - 2. Air Outlets and Inlets: 0 to minus 10 percent.
  - 3. Heating-Water Flow Rate: 0 to minus 10 percent.
  - 4. Cooling-Water Flow Rate: 0 to minus 5 percent.

### 3.16 REPORTING

- A. Initial Construction-Phase Report: Based on examination of the Contract Documents as specified in "Examination" Article above, prepare a report on the adequacy of design for systems' balancing devices. Recommend changes and additions to systems' balancing devices to facilitate proper performance measuring and balancing. Recommend changes and additions to HVAC systems and general construction to allow access for performance measuring and balancing devices.
- B. Status Reports: As Work progresses, prepare reports to describe completed procedures, procedures in progress, and scheduled procedures. Include a list of deficiencies and problems found in systems being tested and balanced. Prepare a separate report for each system and each building floor for systems serving multiple floors.

### 3.17 FINAL REPORT

- A. General: Typewritten, or computer printout in letter-quality font, on standard bond paper, in 3-ring binder, tabulated and divided into sections by tested and balanced systems.
- B. Include a certification sheet in front of binder signed and sealed by the certified testing and balancing engineer.
  - 1. Include a list of the instruments used for procedures, along with proof of calibration.
- C. Final Report Contents: In addition to the certified field report data, include the following:
  - 1. Pump curves.
  - 2. Fan curves.
  - 3. Manufacturers' test data.
  - 4. Field test reports prepared by system and equipment installers.
  - 5. Other information relative to equipment performance, but do not include approved Shop Drawings and Product Data.
- D. General Report Data: In addition to the form titles and entries, include the following data in the final report, as applicable:
  - 1. Title page.
  - 2. Name and address of testing, adjusting, and balancing Agent.
  - 3. Project name.
  - 4. Project location.
  - 5. Architect's name and address.
  - 6. Engineer's name and address.
  - 7. Contractor's name and address.
  - 8. Report date.
  - 9. Signature of testing, adjusting, and balancing Agent who certifies the report.
  - 10. Summary of contents, including the following:
    - a. Design versus final performance.
    - b. Notable characteristics of systems.
    - c. Description of system operation sequence if it varies from the Contract Documents.
  - 11. Nomenclature sheets for each item of equipment.
  - 12. Data for terminal units, including manufacturer, type size, and fittings.
  - 13. Notes to explain why certain final data in the body of reports vary from design values.
  - 14. Test conditions for fans and pump performance forms, including the following:
    - a. Settings for outside-, return-, and exhaust-air dampers.
    - b. Conditions of filters.
    - c. Cooling coil, wet- and dry-bulb conditions.
    - d. Face and bypass damper settings at coils.
    - e. Fan drive settings, including settings and percentage of maximum pitch diameter.
    - f. Settings for supply-air, static-pressure controller.
    - g. Other system operating conditions that affect performance.



- E. System Diagrams: Include schematic layouts of air and hydronic distribution systems. Present with single-line diagrams and include the following:
1. Quantities of outside, supply, return, and exhaust airflows.
  2. Water and steam flow rates.
  3. Duct, outlet, and inlet sizes.
  4. Pipe and valve sizes and locations.
  5. Terminal units.
  6. Balancing stations.
- F. Fan Test Reports: For supply, return, and exhaust fans, include the following:
1. Fan Data: Include the following:
    - a. System identification.
    - b. Location.
    - c. Make and type.
    - d. Model number and size.
    - e. Manufacturer's serial number.
    - f. Arrangement and class.
    - g. Sheave make, size in inches, and bore.
    - h. Sheave dimensions, center-to-center and amount of adjustments in inches.
  2. Motor Data: Include the following:
    - a. Make and frame type and size.
    - b. Horsepower and rpm.
    - c. Volts, phase, and hertz.
    - d. Full-load amperage and service factor.
    - e. Sheave make, size in inches, and bore.
    - f. Sheave dimensions, center-to-center and amount of adjustments in inches.
    - g. Number of belts, make, and size.
  3. Test Data: Include design and actual values for the following:
    - a. Total airflow rate in cfm.
    - b. Total system static pressure in inches wg.
    - c. Fan rpm.
    - d. Discharge static pressure in inches wg.
    - e. Suction static pressure in inches wg.
- G. Round, Flat-Oval, and Rectangular Duct Traverse Reports: Include a diagram with a grid representing the duct cross-section and record the following:

1. Report Data: Include the following:
  - a. System and air-handling unit number.
  - b. Location and zone.
  - c. Traverse air temperature in deg F.
  - d. Duct static pressure in inches wg.
  - e. Duct size in inches.
  - f. Duct area in sq. ft..
  - g. Design airflow rate in cfm.
  - h. Design velocity in fpm.
  - i. Actual airflow rate in cfm.
  - j. Actual average velocity in fpm.
  - k. Barometric pressure in psig.
  
- H. Chiller and HX Reports: For each chiller, include the following:
  1. Unit Data: Include the following:
    - a. Unit identification.
    - b. Make and model number.
    - c. Manufacturer's serial number.
    - d. Refrigerant type and capacity in gal. .
    - e. Starter type and size.
    - f. Starter thermal protection size.
  
  2. Compressor Test Data: Include design and actual values for the following:
    - a. Make and model number.
    - b. Manufacturer's serial number.
    - c. Suction pressure in psig .
    - d. Suction temperature in deg F .
    - e. Discharge pressure in psig .
    - f. Discharge temperature in deg F .
    - g. Oil pressure in psig .
    - h. Oil temperature in deg F .
    - i. Voltage at each connection.
    - j. Amperage for each phase.
    - k. The kW input.
    - l. Crankcase heater kW.
    - m. Chilled water control set point in deg F .
    - n. Condenser water control set point in deg F .
    - o. Refrigerant low-pressure-cutoff set point in psig .
    - p. Refrigerant high-pressure-cutoff set point in psig .
  
- I. Compressor and Condenser Reports: For refrigerant side of unitary systems, stand-alone refrigerant compressors, air-cooled condensing units, or water-cooled condensing units, include the following:

1. Unit Data: Include the following:
  - a. Unit identification.
  - b. Location.
  - c. Unit make and model number.
  - d. Manufacturer's compressor serial numbers.
  - e. Compressor make.
  - f. Compressor model and serial numbers.
  - g. Refrigerant weight in lb.
  - h. Low ambient temperature cutoff in deg F.
  
2. Test Data: Include design and actual values for the following:
  - a. Inlet-duct static pressure in inches wg.
  - b. Outlet-duct static pressure in inches wg.
  - c. Entering-air, dry-bulb temperature in deg F.
  - d. Leaving-air, dry-bulb temperature in deg F.
  - e. Condenser entering-water temperature in deg F.
  - f. Condenser leaving-water temperature in deg F.
  - g. Condenser water temperature differential in deg F.
  - h. Condenser entering-water pressure in feet of head or psig.
  - i. Condenser leaving-water pressure in feet of head or psig.
  - j. Condenser water pressure differential in feet of head or psig.
  - k. Control settings.
  - l. Unloader set points.
  - m. Low-pressure-cutout set point in psig.
  - n. High-pressure-cutout set point in psig.
  - o. Suction pressure in psig.
  - p. Suction temperature in deg F.
  - q. Condenser refrigerant pressure in psig.
  - r. Condenser refrigerant temperature in deg F.
  - s. Oil pressure in psig.
  - t. Oil temperature in deg F.
  - u. Voltage at each connection.
  - v. Amperage for each phase.
  - w. The kW input.
  - x. Crankcase heater kW.
  - y. Number of fans.
  - z. Condenser fan rpm.
  - aa. Condenser fan airflow rate in cfm.
  - bb. Condenser fan motor make, frame size, rpm, and horsepower.
  - cc. Condenser fan motor voltage at each connection.
  - dd. Condenser fan motor amperage for each phase.
  
- J. Instrument Calibration Reports: For instrument calibration, include the following:
  1. Report Data: Include the following:

- a. Instrument type and make.
- b. Serial number.
- c. Application.
- d. Dates of use.
- e. Dates of calibration.

**3.18 ADDITIONAL TESTS**

- A. Within 90 days of completing testing, adjusting, and balancing, perform additional testing and balancing to verify that balanced conditions are being maintained throughout and to correct unusual conditions.
- B. Seasonal Periods: If initial testing, adjusting, and balancing procedures were not performed during near-peak summer and winter conditions, perform additional inspections, testing, and adjusting during near-peak summer and winter conditions.

**END OF SECTION 23 0593**

## **SECTION 23 0720 – HVAC PIPE INSULATION PART 1**

### **- GENERAL**

#### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

#### **1.2 SUMMARY**

- A. This Section includes preformed, rigid and flexible pipe insulation; insulating cements; field-applied jackets; accessories and attachments; and sealing compounds.
- B. Related Sections include the following:
  - 1. Division 7 Section "Firestopping" for firestopping materials and requirements for penetrations through fire and smoke barriers.
  - 2. Division 23 Section "Hangers and Supports" for pipe insulation shields and protection saddles.

#### **1.3 SUBMITTALS**

- A. Product Data: Identify thermal conductivity, thickness, and jackets (both factory and field applied, if any), for each type of product indicated.
- B. Shop Drawings: Show fabrication and installation details for the following:
  - 1. Application of protective shields, saddles, and inserts at pipe hangers for each type of insulation and hanger.
  - 2. Attachment and covering of heat trace inside insulation.
  - 3. Insulation application at pipe expansion joints for each type of insulation.
  - 4. Insulation application at elbows, fittings, flanges, valves, and specialties for each type of insulation.
  - 5. Removable insulation at piping specialties and equipment connections.
  - 6. Application of field-applied jackets.
- C. Installer Certificates: Signed by the Contractor certifying that installers comply with requirements.

#### **1.4 QUALITY ASSURANCE**

- A. Installer Qualifications: Skilled mechanics who have successfully completed an apprenticeship program or another craft training program certified by the U.S. Department of Labor, Bureau of Apprenticeship and Training.
- B. Fire-Test-Response Characteristics: As determined by testing materials identical to those specified in this Section according to ASTM E 84, by a testing and inspecting agency acceptable to authorities having jurisdiction. Factory label insulation and jacket materials and sealer and cement material containers with appropriate markings of applicable testing and inspecting agency.

1. Insulation Installed Indoors: Flame-spread rating of 25 or less, and smoke-developed rating of 50 or less.
2. Insulation Installed Outdoors: Flame-spread rating of 75 or less, and smoke-developed rating of 150 or less.

### **1.5 DELIVERY, STORAGE, AND HANDLING**

- A. Packaging: Ship insulation materials in containers marked by manufacturer with appropriate ASTM specification designation, type and grade, and maximum use temperature.

### **1.6 COORDINATION**

- A. Coordinate size and location of supports, hangers, and insulation shields specified in Division 15 Section "Hangers and Supports."
- B. Coordinate clearance requirements with piping Installer for insulation application.
- C. Coordinate installation and testing of steam or electric heat tracing.

### **1.7 SCHEDULING**

- A. Schedule insulation application after testing piping systems and, where required, after installing and testing heat-trace tape. Insulation application may begin on segments of piping that have satisfactory test results.

## **PART 2 - PRODUCTS**

### **2.1 MANUFACTURERS**

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  1. Mineral-Fiber Insulation:
    - a. CertainTeed Manson.
    - b. Knauf FiberGlass GmbH.
    - c. Owens-Corning Fiberglas Corp.
    - d. Schuller International, Inc.
  2. Flexible Elastomeric Thermal Insulation:
    - a. Armacell
    - b. Armstrong World Industries, Inc.
    - c. Rubatex Corp.

## 2.2 INSULATION MATERIALS

- A. Mineral-Fiber Insulation: Glass fibers bonded with a thermosetting resin complying with the following:
1. Preformed Pipe Insulation: Comply with ASTM C 547, Type 1, with factory-applied, all-purpose, vapor-retarder jacket.
  2. Blanket Insulation: Comply with ASTM C 553, Type II, without facing.
  3. Fire-Resistant Adhesive: Comply with MIL-A-3316C in the following classes and grades:
    - a. Class 1, Grade A for bonding glass cloth and tape to unfaced glass-fiber insulation, for sealing edges of glass-fiber insulation, and for bonding lagging cloth to unfaced glass-fiber insulation.
    - b. Class 2, Grade A for bonding glass-fiber insulation to metal surfaces.
  4. Vapor-Retarder Mastics: Fire- and water-resistant, vapor-retarder mastic for indoor applications. Comply with MIL-C-19565C, Type II.
  5. Mineral-Fiber Insulating Cements: Comply with ASTM C 195.
  6. Expanded or Exfoliated Vermiculite Insulating Cements: Comply with ASTM C 196.
  7. Mineral-Fiber, Hydraulic-Setting Insulating and Finishing Cement: Comply with ASTM C 449/C 449M.
- B. Flexible Elastomeric Thermal Insulation: Closed-cell, sponge- or expanded-rubber materials. Comply with ASTM C 534, Type I for tubular materials and Type II for sheet materials.
1. Adhesive: As recommended by insulation material manufacturer.
  2. Ultraviolet-Protective Coating: As recommended by insulation manufacturer.
- C. Prefabricated Thermal Insulating Fitting Covers: Comply with ASTM C 450 for dimensions used in preforming insulation to cover valves, elbows, tees, and flanges.

## 2.3 FIELD-APPLIED JACKETS

- A. General: ASTM C 921, Type 1, unless otherwise indicated.
- B. Foil and Paper Jacket: Laminated, glass-fiber-reinforced, flame-retardant kraft paper and aluminum foil.
- C. PVC Jacket: High-impact, ultraviolet-resistant PVC; 20 mils thick; roll stock ready for shop or field cutting and forming.
1. Adhesive: As recommended by insulation material manufacturer.
  2. PVC Jacket Color: White or gray.
  3. PVC Jacket Color: Color-code piping jackets based on materials contained within the piping system.
- D. Heavy PVC Fitting Covers: Factory-fabricated fitting covers manufactured from 30-mil-thick, high-impact, ultraviolet-resistant PVC.

1. Shapes: 45- and 90-degree, short- and long-radius elbows, tees, valves, flanges, reducers, end caps, soil-pipe hubs, traps, mechanical joints, and P-trap and supply covers for lavatories for the disabled.
2. Adhesive: As recommended by insulation material manufacturer.

- E. Aluminum Jacket: Factory cut and rolled to indicated sizes. Comply with ASTM B 209, 3003 alloy, H-14 temper.

Aluminum Jacket: Aluminum roll stock, ready for shop or field cutting and forming to indicated sizes. forming to indicated sizes. Comply with ASTM B 209, 3003 alloy, H-14 temper.

1. Finish and Thickness: Smooth finish, 0.010 inch thick.
2. Finish and Thickness: Corrugated finish, 0.010 inch thick.
3. Finish and Thickness: Stucco-embossed finish, 0.016 inch thick.
4. Finish and Thickness: Painted finish, 0.016 inch thick.
5. Moisture Barrier: 1-mil- thick, heat-bonded polyethylene and kraft paper.
6. Elbows: Preformed, 45- and 90-degree, short- and long-radius elbows; same material, finish, and thickness as jacket.

- G. Stainless-Steel Jacket: ASTM A 666, Type 304 or 316; 0.10 inch thick; and factory cut and rolled to indicated sizes.

- H. Stainless-Steel Jacket: ASTM A 666, Type 304 or 316; 0.10 inch thick; and roll stock ready for shop or field cutting and forming to indicated sizes.

1. Moisture Barrier: 1-mil-thick, heat-bonded polyethylene and kraft paper.
2. Moisture Barrier: 3-mil-thick, heat-bonded polyethylene and kraft paper.
3. Elbows: Gore type, for 45- and 90-degree elbows in same material, finish, and thickness as jacket.
4. Jacket Bands: Stainless steel, Type 304, 3/4 inch wide.

## 2.4 ACCESSORIES AND ATTACHMENTS

- A. Glass Cloth and Tape: Comply with MIL-C-20079H, Type I for cloth and Type II for tape. Woven glass-fiber fabrics, plain weave, presized a minimum of 8 oz./sq. yd.

1. Tape Width: 4 inches.

- B. Bands: 3/4 inch wide, in one of the following materials compatible with jacket:

1. Stainless Steel: ASTM A 666, Type 304; 0.020 inch thick.
2. Galvanized Steel: 0.005 inch thick.
3. Aluminum: 0.007 inch thick.
4. Brass: 0.010 inch thick.
5. Nickel-Copper Alloy: 0.005 inch thick.

- C. Wire: 0.080-inch, nickel-copper alloy; 0.062-inch, soft-annealed, stainless steel; or 0.062-inch, soft-annealed, galvanized steel.



## 2.5 VAPOR RETARDERS

- A. Mastics: Materials recommended by insulation material manufacturer that are compatible with insulation materials, jackets, and substrates.

## PART 3 - EXECUTION

### 3.11 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation and other conditions affecting performance of insulation application.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.11 PREPARATION

- A. Surface Preparation: Clean and dry pipe and fitting surfaces. Remove materials that will adversely affect insulation application.

### 3.11 GENERAL APPLICATION REQUIREMENTS

- A. Apply insulation materials, accessories, and finishes according to the manufacturer's written instructions; with smooth, straight, and even surfaces; free of voids throughout the length of piping, including fittings, valves, and specialties.
- B. Refer to schedules at the end of this Section for materials, forms, jackets, and thicknesses required for each piping system.
- C. Use accessories compatible with insulation materials and suitable for the service. Use accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- D. Apply insulation with longitudinal seams at top and bottom of horizontal pipe runs.
- E. Apply multiple layers of insulation with longitudinal and end seams staggered.
- F. Do not weld brackets, clips, or other attachment devices to piping, fittings, and specialties.
- G. Seal joints and seams with vapor-retarder mastic on insulation indicated to receive a vapor retarder.
- H. Keep insulation materials dry during application and finishing.
- I. Apply insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by the insulation material manufacturer.
- J. Apply insulation with the least number of joints practical.
- K. Apply insulation over fittings, valves, and specialties, with continuous thermal and vapor-retarder integrity, unless otherwise indicated. Refer to special instructions for applying insulation over fittings, valves, and specialties.
- L. Hangers and Anchors: Where vapor retarder is indicated, seal penetrations in insulation at hangers, supports, anchors, and other projections with vapor-retarder mastic.
  - 1. Apply insulation continuously through hangers and around anchor attachments.
  - 2. For insulation application where vapor retarders are indicated, extend insulation on anchor legs at least 12 inches from point of attachment to pipe and taper insulation ends. Seal tapered ends with a compound recommended by the insulation material manufacturer to maintain vapor retarder.

3. Install insert materials and apply insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by the insulation material manufacturer.
  4. Cover inserts with jacket material matching adjacent pipe insulation. Install shields over jacket, arranged to protect the jacket from tear or puncture by the hanger, support, and shield.
- M. Insulation Terminations: For insulation application where vapor retarders are indicated, taper insulation ends. Seal tapered ends with a compound recommended by the insulation material manufacturer to maintain vapor retarder.
- N. Apply adhesives and mastics at the manufacturer's recommended coverage rate.
- O. Apply insulation with integral jackets as follows:
1. Pull jacket tight and smooth.
  2. Circumferential Joints: Cover with 3-inch-wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip and spaced 4 inches o.c.
  3. Longitudinal Seams: Overlap jacket seams at least 1-1/2 inches. Apply insulation with longitudinal seams at bottom of pipe. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 4 inches o.c.
    - a. Exception: Do not staple longitudinal laps on insulation having a vapor retarder.
  4. Vapor-Retarder Mastics: Where vapor retarders are indicated, apply mastic on seams and joints and at ends adjacent to flanges, unions, valves, and fittings.
  5. At penetrations in jackets for thermometers and pressure gages, fill and seal voids with vapor-retarder mastic.
- P. Roof Penetrations: Apply insulation for interior applications to a point even with top of roof flashing.
1. Seal penetrations with vapor-retarder mastic.
  2. Apply insulation for exterior applications tightly joined to interior insulation ends.
  3. Extend metal jacket of exterior insulation outside roof flashing at least 2 inches below top of roof flashing.
  4. Seal metal jacket to roof flashing with vapor-retarder mastic.
- Q. Exterior Wall Penetrations: For penetrations of below-grade exterior walls, terminate insulation flush with mechanical sleeve seal. Seal terminations with vapor-retarder mastic.
- R. Interior Wall and Partition Penetrations: Apply insulation continuously through walls and floors.
- S. Fire-Rated Wall and Partition Penetrations: Apply insulation continuously through penetrations of fire-rated walls and partitions.
1. Firestopping and fire-resistive joint sealers are specified in Division 7 Section "Firestopping."
- T. Floor Penetrations: Apply insulation continuously through floor assembly.
1. For insulation with vapor retarders, seal insulation with vapor-retarder mastic where floor supports penetrate vapor retarder.

### 3.11 MINERAL FIBER INSULATION APPLICATION

A. Apply insulation to straight pipes and tubes as follows:

1. Secure each layer of preformed pipe insulation to pipe with wire, tape, or bands without deforming insulation materials.
2. Where vapor retarders are indicated, seal longitudinal seams and end joints with vapor-retarder mastic. Apply vapor retarder to ends of insulation at intervals of 15 to 20 feet to form a vapor retarder between pipe insulation segments.
3. For insulation with factory-applied jackets, secure laps with outward clinched staples at 6 inches (150 mm) o.c.
4. For insulation with factory-applied jackets with vapor retarders, do not staple longitudinal tabs but secure tabs with additional adhesive as recommended by the insulation material manufacturer and seal with vapor-retarder mastic.

B. Apply insulation to flanges as follows:

1. Apply preformed pipe insulation to outer diameter of pipe flange.
2. Make width of insulation segment the same as overall width of the flange and bolts, plus twice the thickness of the pipe insulation.
3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with mineral-fiber blanket insulation.
4. Apply canvas jacket material with manufacturer's recommended adhesive, overlapping seams at least 1 inch, and seal joints with vapor-retarder mastic.

C. Apply insulation to fittings and elbows as follows:

1. Apply premolded insulation sections of the same material as straight segments of pipe insulation when available. Secure according to manufacturer's written instructions.
2. When premolded insulation elbows and fittings are not available, apply mitered sections of pipe insulation, or glass-fiber blanket insulation, to a thickness equal to adjoining pipe insulation. Secure insulation materials with wire, tape, or bands.
3. Cover fittings with standard PVC fitting covers.
4. Cover fittings with heavy PVC fitting covers. Overlap PVC covers on pipe insulation jackets at least 1 inch at each end. Secure fitting covers with manufacturer's attachments and accessories. Seal seams with tape and vapor-retarder mastic.

D. Apply insulation to valves and specialties as follows:

1. Apply premolded insulation sections of the same material as straight segments of pipe insulation when available. Secure according to manufacturer's written instructions.
2. When premolded insulation sections are not available, apply glass-fiber blanket insulation to valve body. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation. For check valves, arrange insulation for access to stainer basket without disturbing insulation.
3. Apply insulation to flanges as specified for flange insulation application.

4. Use preformed standard PVC fitting covers for valve sizes where available. Secure fitting covers with manufacturer's attachments and accessories. Seal seams with tape and vapor-retarder mastic.
5. Use preformed heavy PVC fitting covers for valve sizes where available. Secure fitting covers with manufacturer's attachments and accessories. Seal seams with tape and vapor-retarder mastic.
6. For larger sizes where PVC fitting covers are not available, seal insulation with canvas jacket and sealing compound recommended by the insulation material manufacturer.

### **3.11 EQUIPMENT, TANK, AND VESSEL INSULATION INSTALLATION**

- A. Flexible Elastomeric Thermal Insulation Installation for Tanks and Vessels: Install insulation over entire surface of tanks and vessels.
  1. Apply 100 percent coverage of adhesive to surface with manufacturer's recommended adhesive.
  2. Seal longitudinal seams and end joints.
- B. Insulation Installation on Pumps:
  1. Fabricate metal boxes lined with insulation. Fit boxes around pumps and coincide box joints with splits in pump casings. Fabricate joints with outward bolted flanges. Bolt flanges on 6- inch centers, starting at corners. Install 3/8-inch diameter fasteners with wing nuts. Alternatively, secure the box sections together using a latching mechanism.
  2. Fabricate boxes from aluminum, at least 0.040 inch thick.
  3. For below ambient services, install a vapor barrier at seams, joints, and penetrations. Seal between flanges with replaceable gasket material to form a vapor barrier.

### **3.6 FIELD-APPLIED JACKET APPLICATION**

- A. Apply glass-cloth jacket, where indicated, directly over bare insulation or insulation with factory-applied jackets.
  1. Apply jacket smooth and tight to surface with 2-inch overlap at seams and joints.
  2. Embed glass cloth between two 0.062-inch-thick coats of jacket manufacturer's recommended adhesive.
  3. Completely encapsulate insulation with jacket, leaving no exposed raw insulation.
- B. Foil and Paper Jackets: Apply foil and paper jackets where indicated.
  1. Draw jacket material smooth and tight.
  2. Apply lap or joint strips with the same material as jacket.
  3. Secure jacket to insulation with manufacturer's recommended adhesive.
  4. Apply jackets with 1-1/2-inch laps at longitudinal seams and 3-inch-wide joint strips at end joints.
  5. Seal openings, punctures, and breaks in vapor-retarder jackets and exposed insulation

with vapor-retarder mastic.

- C. Apply PVC jacket where indicated, with 1-inch overlap at longitudinal seams and end joints. Seal with manufacturer's recommended adhesive.
- D. Apply metal jacket where indicated, with 2-inch overlap at longitudinal seams and end joints. Overlap longitudinal seams arranged to shed water. Seal end joints with weatherproof sealant recommended by insulation manufacturer. Secure jacket with stainless-steel bands 12 inches o.c. and at end joints.

### **3.7 FINISHES**

- A. Glass-Cloth Jacketed Insulation: Paint insulation finished with glass-cloth jacket as specified in Division 9 Section "Painting."
- B. Flexible Elastomeric Thermal Insulation: After adhesive has fully cured, apply two coats of the insulation manufacturer's recommended protective coating.
- C. Color: Final color as selected by Architect. Vary first and second coats to allow visual inspection of the completed Work.

### **3.8 PIPING SYSTEM APPLICATIONS**

- A. Insulation materials and thicknesses are specified in schedules at the end of this Section.
- B. Items Not Insulated: Unless otherwise indicated, do not apply insulation to the following systems, materials, and equipment:
  - 1. Flexible connectors.
  - 2. Vibration-control devices.
  - 3. Fire-suppression piping.
  - 4. Drainage piping located in crawl spaces, unless otherwise indicated.
  - 5. Below-grade piping, unless otherwise indicated.
  - 6. Chrome-plated pipes and fittings, unless potential for personnel injury.
  - 7. Air chambers, unions, strainers, check valves, plug valves, and flow regulators.

### **3.9 FIELD QUALITY CONTROL**

- A. Inspection: Perform the following field quality-control inspections, after installing insulation materials, jackets, and finishes, to determine compliance with requirements:
  - 1. Inspect fittings and valves randomly selected by Architect.
  - 2. Remove fitting covers from 20 elbows or 1 percent of elbows, whichever is less, for various pipe sizes.
  - 3. Remove fitting covers from 20 valves or 1 percent of valves, whichever is less, for various pipe sizes.
- B. Insulation applications will be considered defective if sample inspection reveals noncompliance with requirements. Remove defective Work and replace with new materials according to these Specifications.
- C. Reinstall insulation and covers on fittings and valves uncovered for inspection according to these

Specifications.

### **3.10 INSULATION APPLICATION SCHEDULE, GENERAL**

- A. Refer to insulation application schedules for required insulation materials, vapor retarders, and field-applied jackets.
- B. Application schedules identify piping system and indicate pipe size ranges and material, thickness, and jacket requirements.

### **3.11 INSULATION APPLICATION SCHEDULE**

- A. Service: Heating hot-water and glycol supply and return piping.
  - 1. Operating Temperature: 90 to 200 deg F.
  - 2. Insulation Material: Mineral fiber.
  - 3. Insulation Thickness: Apply following insulation thicknesses:
    - a. Pipe ½" Through 6", 1-1/2" Thick:
  - 4. Vapor Retarder Required: Yes.
  - 5. Finish: None.

### **3.12 EQUIPMENT INSULATION SCHEDULE**

- A. Insulation materials and thicknesses are identified below.
- B. Insulate indoor and outdoor equipment in paragraphs below that is not factory insulated.
- C. Heat-exchanger (water-to-water for cooling service) insulation shall be [one of] the following:
  - 1. Flexible Elastomeric: 2 inch thick
- D. Glycol pump insulation shall be one of the following:
  - 1. Mineral-Fiber Board: 2 inches thick and 2-lb/cu. ft. nominal density
  - 2. Flexible Elastomeric: ½" inch thick
- E. Hot-water expansion/compression tank insulation shall be:
  - 1. Mineral-Fiber Pipe and Tank: 2 inch thick

### **3.13 OUTDOOR, ABOVEGROUND PIPING INSULATION SCHEDULE**

- A. Hot Water and Glycol Supply and Return Piping:
  - 1. Insulation shall be the following:
    - a. Mineral-Fiber, Preformed Pipe Insulation, Type I: 2 inches thick.

### **3.14 OUTDOOR, FIELD-APPLIED JACKET SCHEDULE**

- A. Install jacket over insulation material. For insulation with factory-applied jacket, install the field-applied jacket over the factory-applied jacket.
- B. Piping, Exposed:
  - 1. PVC: 30 mils thick.

**END OF SECTION 23 0720**

THIS PAGE INTENTIONALLY LEFT BLANK



**SECTION 23 08 00**

**COMMISSIONING OF HVAC SYSTEMS**

**PART 1 - GENERAL**

**1.1 DESCRIPTION**

- A. The requirements of this Section apply to all sections of Division 23.
- B. This project will have all new equipment commissioned including the new heat exchanger, hot water control valve, glycol pump, snow melt system and associated controls. The existing hot water pumps shall also be commissioned to verify proper flow to the plate and frame heat exchanger. A Commissioning Agent (CxA) appointed by the CUSTOMER will manage the commissioning process.

**1.2 RELATED WORK**

- A. Section 01 33 23 SHOP DRAWINGS, PRODUCT DATA, AND SAMPLES.
- B. Section 23 08 11 DEMONSTRATIONS AND TESTS FOR BOILER PLANT

**1.3 SUMMARY**

- A. This Section includes requirements for commissioning facility related subsystems and related equipment.

**1.4 COMMISSIONED SYSTEMS**

- A. Commissioning of a system or systems specified in Division 23 is part of the construction process. Documentation and testing of these systems, as well as training of the customer's Operation and Maintenance personnel is required.

**1.5 SUBMITTALS**

- A. The commissioning process requires review of selected Submittals that pertain to the systems to be commissioned. The Commissioning Agent will provide a list of submittals that will be reviewed by the Commissioning Agent. This list will be reviewed and approved by the customer prior to forwarding to the Contractor. Refer to Section 01 33 23 SHOP DRAWINGS, PRODUCT DATA, and SAMPLES for further details.
- B. The commissioning process requires Submittal review simultaneously with engineering review.

## **PART 2 - PRODUCTS (NOT USED)**

## **PART 3 - EXECUTION**

### **3.1 CONSTRUCTION INSPECTIONS**

- A. Commissioning of HVAC systems will require inspection of individual elements of the HVAC systems construction throughout the construction period. The Contractor shall coordinate with the Commissioning Agent and the Commissioning plan to schedule HVAC systems inspections as required to support the Commissioning Process.

### **3.2 PRE-FUNCTIONAL CHECKLISTS**

- A. The Contractor shall complete Pre-Functional Checklists to verify systems, subsystems, and equipment installation is complete and systems are ready for Systems Functional Performance Testing. The Commissioning Agent will prepare Pre-Functional Checklists to be used to document equipment installation. The Contractor shall complete the checklists. Completed checklists shall be submitted to the customer and to the Commissioning Agent for review. The Commissioning Agent may spot check a sample of completed checklists. If the Commissioning Agent determines that the information provided on the checklist is not accurate, the Commissioning Agent will return the marked-up checklist to the Contractor for correction and resubmission. If the Commissioning Agent determines that a significant number of completed checklists for similar equipment are not accurate, the Commissioning Agent will select a broader sample of checklists for review. If the Commissioning Agent determines that a significant number of the broader sample of checklists is also inaccurate, all the checklists for the type of equipment will be returned to the Contractor for correction and resubmission.

### **3.3 CONTRACTORS TESTS**

- A. Contractor tests as required by other sections of Division 23 shall be scheduled and executed. All testing shall be incorporated into the project schedule. Contractor shall provide no less than 7 calendar days' notice of testing. The Commissioning Agent will witness selected Contractor tests at the sole discretion of the Commissioning Agent. Contractor tests shall be completed prior to scheduling Systems Functional Performance Testing.

**3.4 SYSTEMS FUNCTIONAL PERFORMANCE TESTING:**

- A. The Commissioning Process includes Systems Functional Performance Testing that is intended to test systems functional performance under steady state conditions, to test system reaction to changes in operating conditions, and system performance under emergency conditions. The Commissioning Agent will prepare detailed Systems Functional Performance Test procedures for review and approval by the Owner's Engineer. The Contractor shall review and comment on the tests prior to approval. The Contractor shall provide the required labor, materials, and test equipment identified in the test procedure to perform the tests. The Commissioning Agent will witness and document the testing. The Contractor shall sign the test reports to verify tests were performed.

**3.5 TRAINING OF CUSTOMER PERSONNEL**

- A. Training of the Customer operation and maintenance personnel is required in cooperation with the Owner's Engineer and Commissioning Agent. Provide competent, factory authorized personnel to provide instruction to operation and maintenance personnel concerning the location, operation, and troubleshooting of the installed systems. Contractor shall submit training agendas and trainer resumes to the Customer for review. The instruction shall be scheduled in coordination with the Customer and Owner's Engineer after submission and approval of formal training plans. Refer to Division 23 Sections for additional Contractor training requirements.

----- END -----

THIS PAGE INTENTIONALLY LEFT BLANK

## SECTION 23 0923 – VARIABLE FREQUENCY DRIVES

### Part 1. GENERAL

#### 1.1 SCOPE OF WORK

- A. Furnish equipment and services necessary for a complete and safe installation in accordance with the contract documents and all applicable codes and authorities having jurisdictions for the following:
  - 1. Variable frequency drives to control condenser water and chilled water pump motors as shown on the drawings.
  - 2. Materials, equipment, fabrication, installation and tests, in conformity with applicable codes and authorities having jurisdiction, for variable frequency drives (VFD).

#### 1.2 REFERENCES

- A. NEMA ICS 3.1 - Safety Standards for Construction and Guide for Selection, Installation and Operation of Variable Frequency Drive Systems.
- B. NEMA 250 - Enclosures for Electrical Equipment (1000 Volts Maximum).
- C. UL, and cUL Approved
- D. IEEE Standard 444 (ANSI-C343)
- E. IEEE Standard 519
- F. UL 508C (Power Conversion)
- G. UL 1995 (Plenum rating)
- H. FCC CFR 47 Part 15 Subpart B

#### 1.3 SUBMITTALS

- A. Submit product data, drawings and diagrams for the following items:
  - 1. Product data: Manufacturer's catalog cuts, ratings and installation instructions.
  - 2. Drawings: Scale drawings of assembly.
  - 3. Diagrams: wiring diagrams including all external connections.
  - 4. Technical performance specifications
  - 5. Current harmonic spectrum for the actual VFD proposed (for verification of a harmonic analysis study performed by others).

#### 1.4 OPERATION AND MAINTENANCE DATA

- A. Include instructions for starting and operating VFD, and describe operating limits, which may result in hazardous or unsafe conditions.

#### 1.5 QUALIFICATIONS

- A. Manufacturer must have a minimum of 20 years of documented experience, specializing in

variable frequency drives.

## 1.6 DELIVERY, STORAGE AND HANDLING

- A. Deliver, store, protect and handle products to site, under provisions of Section 01610.
- B. Accept VFD on site in original packing. Inspect for damage.
- C. Store in a clean, dry space. Maintain factory wrapping, or provide an additional heavy canvas or heavy plastic cover, to protect units from dirt, water, construction debris, and traffic.
- D. Handle carefully, in accordance with manufacturer's written instructions, to avoid damage to components, enclosure, and finish.

## Part 2. PRODUCTS

### 2.1 MANUFACTURERS

- A. Variable Frequency Drives.
  - 1. Yaskawa Electric (Basis of Design) Series E7B
  - 2. Toshiba Series Q9
  - 3. Cerus Ind. Series P

### 2.2 VARIABLE FREQUENCY DRIVES

- A. Description:
  - 1. Provide enclosed variable frequency drives suitable for operation at the current, voltage, and horsepower indicated on the schedule. Conform to requirements of NEMA ICS 3.1.
- B. Ratings
  - 1. VFD must operate, without fault or failure, when voltage varies plus 10% or minus 15% from rating, and frequency varies plus or minus 5% from rating.
  - 2. VFD shall be 480 volts, 60 Hz, 3 Phase.
  - 3. Displacement Power Factor: 0.98 over entire range of operating speed and load.
  - 4. Operating Ambient Temperature: 14 degrees F to 104 degrees F.
  - 5. Humidity: 0% to 95% non-condensing.
  - 6. Altitude: to 3,300 feet, higher altitudes achieved by derating.
  - 7. Minimum Efficiency: 96% at half speed; 98% at full speed.
  - 8. Starting Torque: 100% starting torque shall be available from 0.5 Hz. to 60 Hz.
  - 9. Overload capability: 110% of rated FLA (Full Load Amps) for 60 seconds; 180% of rated FLA, instantaneously.
  - 10. The VFD must meet the requirements for Radio Frequency Interference (RFI) above 7 MHz as specified by FCC regulations, part 15, subpart J, Class A devices.
  - 11. Total Harmonic Distortion (THD) compliance:  
Given the information provided by the customer's electric power single line diagram and distribution transformer data, the VFD manufacturer shall carry out an analysis of the system. The analysis reviews the potential for the proposed equipment, and any existing equipment, to meet IEEE 519 (tables 10.2 and 10.3) recommendations at the Point of Common Coupling (PCC). The result of the analysis shall determine if additional power quality improvement measures should be included in the proposal to meet the

THD recommendations of IEEE 519. The PCC shall be at the primary side of the main distribution transformer.

12. VFDs must have a minimum short circuit rating of 65K amps RMS (100K amps RMS with a DC bus reactor) without additional input fusing.

C. Design

1. VFD shall employ microprocessor based inverter logic, isolated from all power circuits.
2. VFD shall employ a PWM (Pulse Width Modulated) power electronic system, consisting of:
  - a. Input Section:
    - i. VFD input power stage shall convert three-phase AC line power into a fixed DC voltage via a solid state full wave diode rectifier, with MOV (Metal Oxide Varistor) surge protection.
  - b. Intermediate Section:
    - i. DC bus as a supply to the VFD output Section shall maintain a fixed voltage with filtering and short circuit protection.
    - ii. DC bus shall be interfaced with the VFD diagnostic logic circuit, for continuous monitoring and protection of the power components.
    - iii. 30 HP to 150 HP @ 208 VAC, 30 HP to 150 HP @ 240 VAC, and 40 HP to 500 HP 480 VAC, VFDs shall include a DC bus reactor to minimize reflected harmonics.
  - c. Output Section
    - i. Insulated Gate Bipolar Transistors (IGBTs) shall convert DC bus voltage to variable frequency and voltage.
    - ii. The VFD shall employ PWM sine coded output technology to power the motor.
3. The VFD must be selected for operation at carrier frequencies at or above 5 kHz without derating to satisfy the conditions for current, voltage, and horsepower as indicated on the equipment schedule. Exception to this requirement is allowed only for VFDs providing 506 amps or more.
4. VFD shall have an adjustable carrier frequency: The carrier frequency shall have a minimum of six settings to allow adjustment in the field.
5. VFD shall have embedded Building Automation System (BAS) protocols for network communications; Johnson Extended Architecture, Siemens System 600 APOGEE, and Modbus/Memobus. These protocols shall be accessible via a RS-422/485 communication port or other communications port.
6. VFD shall have a quick disconnect, removable control I/O terminal block to simplify control wiring procedures.
7. VFD shall include two independent analog inputs. One shall be 0-10 VDC. The other shall be programmable for either 0-10 VDC or 4-20 mA. Either input shall respond to a programmable bias and gain.
8. VFD shall include a minimum of seven multi-function digital input terminals, capable of being programmed to determine the function on a change of state. These terminals shall provide up to 30 functions, including, but not limited to:
  - a. Remote/Local operation selection
  - b. Detection of external fault condition
  - c. Remote Reset

- d. Multi-step speed commands
- e. Run permissive
- f. Floating control
9. VFD shall include two 0-10 VDC or 4-20 mA analog output for monitoring, or "speed tracking" the VFD. The analog output signal will be proportional to output frequency, output current, output power, PI (Proportional & Integral control) feedback or DC bus voltage.
10. VFD shall provide terminals for remote input contact closure, to allow starting in the automatic mode.
11. VFD shall include at least one external fault input, which shall be programmable for a normally open or normally closed contact. These terminals can be used for connection of firestats, freezestats, high pressure limits or similar safety devices.
12. VFD shall include two form "A" contacts and one form "C" contact, capable of being programmed to determine conditions that must be met in order for them to change state. These output relay contacts shall be rated for at least 5A at 120 VAC and shall provide up to 18 functions, including, but not limited to:
  - i. Speed agree detection.
  - ii. Low and high frequency detection.
  - iii. Missing frequency reference detection.
  - iv. Overtorque/Undertorque detection
  - v. Drive Running
  - vi. Drive Faulted
13. VFD shall include a power loss ride through of 2 seconds.
14. VFD shall have DC injection braking capability, to prevent fan and pump "wind milling" at start or stop, adjustable, current limited.
15. VFD shall have a motor preheat function to prevent moisture accumulation in an idle motor.
16. VFD shall include diagnostic fault indication in selected language, last 10 faults storage and heatsink cooling fans and pumps operating hours.
17. VFD shall have a digital operator with program copy and storage functions to simplify set up of multiple drives. The digital operator shall be interchangeable for all drive ratings.
18. VFD shall include a front mounted, sealed keypad operator, with an English language (or one of 6 additional international languages) illuminated LCD display. The operator will provide complete programming, program copying, operating, monitoring, and diagnostic capability. Keys provided shall include industry standard commands for Hand, Off, and Auto functions.
19. VFD plain language display shall provide readouts of; output frequency in hertz, PI feedback in percent, output voltage in volts, output current in amps, output power in kilowatts, D.C. bus voltage in volts, interface terminal status, heatsink temperature and fault conditions. All displays shall be viewed in an easy-to-read illuminated LCD with International language selectability.
20. VFD unit shall include the following meters to estimate use of energy:
  - a. Elapsed Time Meter
  - b. Kilowatt Meter
  - c. Kilowatt Hour Meter
21. VFD shall include PI control logic, to provide closed loop setpoint control capability, from a feedback signal, eliminating the need for closed loop output signals from a building



- automation system. The PI controller shall have a differential feedback capability for closed loop control of fans and pumps for pressure, flow or temperature regulation in response to dual feedback signals.
22. An energy saving sleep function shall be available in both open loop (follower mode) and closed loop (PI) control, providing significant energy savings while minimizing operating hours on driven equipment. When the sleep function senses a minimal deviation of a feedback signal from setpoint, or low demand in open loop control, the system reacts by stopping the driven equipment. Upon receiving an increase in speed command signal deviation, the drive and equipment resume normal operation.
  23. VFD shall include loss of input signal protection, with a selectable response strategy including speed default to a percent of the most recent speed.
  24. VFD shall include electronic thermal overload protection for both the drive and motor. The electronic thermal motor overload shall be approved by UL. If the electronic thermal motor overload is not approved by UL, a separate UL approved thermal overload relay shall be provided in the VFD enclosure.
  25. VFD shall include the following program functions:
    - a. Critical frequency rejection capability: 3 selectable, adjustable deadbands.
    - b. Auto restart capability: 0 to 10 attempts with adjustable delay between attempts.
    - c. Ability to close fault contact after the completion of all fault restart attempts.
    - d. Stall prevention capability.
    - e. "S" curve soft start capability.
    - f. Bi-directional "Speed search" capability, in order to start a rotating load.
    - g. 14 preset and 1 custom volts per hertz pattern.
    - h. Heatsink over temperature speed fold back capability.
    - i. Terminal status indication.
    - j. Program copy and storage in a removable digital operator.
    - k. Current limit adjustment capability, from 30% to 200% of rated full load current of the VFD.
    - l. Motor pre-heat capability.
    - m. Input signal or serial communication loss detection and response strategy.
    - n. Anti "wind-milling" function capability.
    - o. Automatic energy saving function.
    - p. Undertorque / Overtorque Detection.
    - q. Preset speeds
  26. VFD shall include factory settings for all parameters, and the capability for those settings to be reset.
  27. VFD shall include user parameter initialization capability to re-establish project specific parameters
  28. VFD shall include the capability to adjust the following functions, while the VFD is running:
    - a. Speed command input.
    - b. Acceleration adjustment from 0 to 6000 seconds.
    - c. Deceleration adjustment from 0 to 6000 seconds.
    - d. Select from 5 preset speeds.
    - e. Analog monitor display.
    - f. Removal of digital operator.

D. Product Options:

1. Three Contactor Manual Bypass shall be provided when indicated by the schedule. VFD and bypass components shall be mounted inside a common NEMA 1 enclosure, fully pre-wired, and ready for installation as a single UL listed device. Bypass shall include the following:
  - a. Input, output, and bypass contactors, to disconnect power to the VFD, when the motor is running in the bypass mode.
  - b. 120 VAC control transformer, with fused primary.
  - c. Magnetic overload relay, to protect the motor while operating in the bypass mode.
  - d. Circuit breaker/disconnect switch, with a pad-lockable through-the-door handle mechanism.
  - e. Control and safety circuit terminal strip.
  - f. Drive/Bypass selector switch, Hand/Off/Auto selector switch, Normal/Test selector switch
  - g. Switch selectable auto transfer to bypass and remote transfer functions.
  - h. Pilot lights (22 mm LEDs) for "Control Power ", "Drive Fault", "Drive Run", "Bypass Run", "OL/Safety Fault".
  - i. Normal/Test selector switch, shall allow testing and adjustment of the VFD, while the motor is running in the bypass mode.
  - j. Hand/Off/Auto selector switch shall provide the following operation:
    - i. Hand Position - The drive is given a start command, operation is via the local speed input (digital operator or speed pot.). If in bypass mode, the motor is running.
    - ii. Off Position - The start command is removed, all speed inputs are ignored, power is still applied to the drive. If in bypass mode, the motor is stopped.
    - iii. Auto Position - The drive is enabled to receive a start command and speed input from a building automation system. If in bypass mode, the motor start/stop is controlled by the building automation system
  - k. Annunciation contacts for drive run, drive fault, bypass run and motor OL/safety fault.
  - l. Damper control circuit with end of travel feedback capability.
  - m. VFD operator/keypad selection, LCD or LED types.
  - n. H/O/A control panel selection, Touch pad or rotary switch types.
2. VFD Input MCP circuit breaker/disconnect shall be provided.
3. Engraved cabinet nameplates shall be provided.

E. Additional Options Required:

1. All motors serving a VFD shall be provided with a complete Shaft Grounding Ring, made by Inpro Seal or equivalent. The Inpro Seal or equivalent grounding ring shall come in two pieces and shall be bolted onto the motor by the mechanical contractor.

F. Fabrication:

1. All standard and optional features shall be included in a single NEMA 1, plenum rated enclosure with a UL certification label.

G. Source Quality Control:

1. In-circuit testing of all printed circuit boards shall be conducted, to insure the proper mounting and correct value of all components.
2. All printed circuit boards shall be burned in for 96 hours, at 85 degrees C.
3. Final printed circuit board assemblies shall be functionally tested, via computerized test equipment. All tests and acceptance criteria shall be preprogrammed. All test results shall be stored as detailed quality assurance data.
4. All fully assembled controls shall be functionally tested, with fully loaded induction motors. The combined test data shall then be analyzed, to insure adherence to quality assurance specification. Inspect and production test, under load, each completed VFD assembly. VFD shall have a minimum MTBF (mean time between failure) rating of 28 years (245,280 Hours).

### Part 3. EXECUTION

#### 3.1 EXAMINATION

- A. Examine areas, surfaces, and substrates to receive VFDs for compliance with requirements, installation tolerances, and other conditions affecting performance.
- B. Examine roughing-in for conduit systems to verify actual locations of conduit connections before VFD installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION

- A. Install in accordance with manufacturer's recommendations.
- B. Anchor each VFD assembly to steel-channel sills arranged and sized according to manufacturer's written instructions. Attach by bolting. Level and grout sills flush with VFD mounting surface.
- C. Controller Fuses: Install fuses in each fusible switch.
- D. Coordinate drive equipment with motors supplied under other contracts.
- E. Factory representative shall inspect final installation of all drives and connected wiring and make all final adjustments to meet specified performance.

#### 3.3 APPLICATIONS

- A. Select features of each VFD to coordinate with ratings and characteristics of supply circuit and motor; required control sequence; and duty cycle of motor, drive, and load.
- B. Select rating of controllers to suit motor controlled.

#### 3.4 IDENTIFICATION

- A. Provide a nameplate label on each VFD, identifying rated horsepower, full load amperes, model number, service factor and voltage/phase rating.
- B. Operating Instructions: Frame printed operating instructions for VFDs, including control sequences and emergency procedures. Fabricate frame of finished metal, and cover instructions with clear acrylic plastic. Mount on front of VFD units.

### 3.5 CONTROL WIRING INSTALLATION

- A. Install wiring between VFDs and remote devices.
- B. Bundle, train, and support wiring in enclosures.
- C. Connect hand-off-automatic switch and other automatic-control devices where available.
  - 1. Connect selector switches to bypass only manual- and automatic-control devices that have no safety functions when switch is in hand position.
  - 2. Connect selector switches with control circuit in both hand and automatic positions for safety-type control devices such as low- and high-pressure cutouts, high- temperature cutouts, and motor overload protectors.

### 3.6 CONNECTIONS

- A. Conduit installation requirements are specified in other Division 16 Sections. Drawings indicate general arrangement of conduit, fittings, and specialties.
- B. Ground equipment.
- C. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

### 3.7 FIELD QUALITY CONTROL

- A. Prepare for acceptance tests as follows:
  - 1. Test insulation resistance for each VFD element, bus, component, connecting supply, feeder, and control circuit.
  - 2. Test continuity of each circuit.
- B. Testing: Engage a qualified testing agency to perform the following field quality-control testing:
- C. Testing: Perform the following field quality-control testing:
  - 1. Perform each electrical test and visual and mechanical inspection stated in NETA ATS, Sections 7.5, 7.6, and 7.16. Certify compliance with test parameters.
  - 2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
- D. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including pre-testing and adjusting VFDs.

- E. Test Reports: Prepare a written report to record the following:
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Test results that do not comply with requirements and corrective action taken to achieve compliance with requirements.
- F. Manufacturer shall conduct factory tests to assure conformance to specification requirements.
- G. All power components shall be run-tested under specified temperature and load conditions.
- H. On-site measurements of the harmonic contributions due to the VFDs will be taken by the Owner's representative to verify compliance with these specifications. Measurements will be taken at the specified point of common coupling with and without the VFD driven motors running. The VFD manufacturer's representative can participate in the measurements. The VFD manufacturer shall provide, at no cost to Owner, additional harmonic mitigation components required to meet the performance specifications.

### 3.8 STARTUP SERVICE

- A. Engage a factory-authorized service representative to perform startup service.
- B. Verify that electrical wiring installation complies with manufacturer's submittal and installation requirements in Division 16 Sections.
- C. Complete installation and startup checks according to manufacturer's written instructions.

### 3.9 ADJUSTING

- A. Set field-adjustable switches and circuit-breaker trip ranges.

### 3.10 CLEANING

- A. Clean VFDs internally, on completion of installation, according to manufacturer's written instructions. Vacuum dirt and debris; do not use compressed air to assist in cleaning.

### 3.11 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain VFDs.

### 3.12 TRAINING

- A. Factory representative shall provide on-site training of operating personnel after the system is fully operational.
- B. Provide eight (8) sets of operation and maintenance manuals to owner after completion of startup.

### 3.13 WARRANTY

- A. Warranty shall match requirements of Division 1 from date of shipment. Warranty shall include parts, and labor allowance for repair hours.

**SECTION 23 0923**

## SECTION 23 0933 – INSTRUMENTATION AND CONTROLS

### Part 1. GENERAL

#### 1.1 WORK INCLUDED

- A. Furnish equipment and services necessary for a complete and safe installation in accordance with the contract documents and all applicable codes and authorities having jurisdictions for the following
  1. Thermometers and thermometer wells.
  2. Pressure gages and pressure gage taps.
  3. Temperature and pressure receiver gauges and transmitters.
  4. Top-ins (Pete's Plug).

#### 1.2 PRODUCTS FURNISHED BUT NOT INSTALLED UNDER THIS SECTION

- A. Section 15100 - Piping: Installation of [thermometer wells.] [pressure gage tappings.]

#### 1.3 QUALITY ASSURANCE

- A. Refer to General Provisions-Mechanical.
- B. Comply with applicable portions of American Society of Mechanical Engineers (ASME) and Instrument Society of America (ISA) standards pertaining to construction and installation of meters and gages.
- C. Design Criteria: The drawings indicate types, sizes, capacities, ranges, profiles, connections, and dimensional requirements of meters and gages and are based on the specific manufacturer types and models indicated. Meters and gages having equal performance characteristics by other manufacturers may be considered, provided that deviations do not change the design concept or intended performance as judged by the Architect.

#### 1.4 SUBMITTALS

- A. Submit shop drawings and product data for the following items per the provisions of Division 1 and this Division's General Provisions:
  1. Product data:
    - a. Manufacturer's installation instructions.
    - b. Manufacturer's descriptive literature, operating instructions, operating range, total range and maintenance and repair data.
    - c. Substitutions: Submit requests for substitution in accordance with provisions of Division 1.
- B. INTENTIONALLY LEFT BLANK

1.5 PROJECT RECORD DOCUMENTS

- A. Accurately record actual locations of instrumentation.

1.6 SPECIAL REQUIREMENTS Only if Required

1.7 ENVIRONMENTAL REQUIREMENTS

- A. Do not install instrumentation when areas are under construction, except for required rough-in, taps, supports and test plugs.

Part 2. PRODUCTS

2.1 MANUFACTURERS

A. Thermometers:

1. Weksler Instruments Corp.
2. Moeller Instrument Co.
3. Palmer Instruments, Inc.
4. Taylor Instrument Process Control Div.
5. Trerice Co.
6. Weiss Instruments, Inc.

B. Pressure gauges:

1. Weksler Instruments Corp.
2. Dresser Industries Inc. (Ashcroft).
3. H. O. Trerice Co.
4. Weiss Instruments, Inc.

C. Electronic temperature, pressure and flow receiver gauges and transmitters:

1. Rosemount.

D. Water flow meters, Ultrasonic type:

1. Controlotron.
2. Flexim
3. Or approved equal.

E. Water flow meters, Insertion type:

1. Onicon
2. Or approved equal.

2.2 Thermometers, Temperature Sensors and Wells



- A. Thermometers:
  - 1. Provide 4-1/2" inches diameter gauge for pipe or equipment mounted type, with black finished cast aluminum with flangeless back, and threaded black enamel cast aluminum ring with gasketed glass face, 316 stainless steel bulb, stainless steel brushed precision movement and micrometer adjustment on needle. Accuracy shall be +/- 1% scale range.
  - 2. Stem length for nominal pipe sizes:
    - a. Below 4 in.: 3-1/2 in. stem, elbow mounted. b. 4-8 in.: 3-1/2 in. stem.
    - c. 10-14 in.: 6 in. stem.
    - d. 16-20 in.: 9 in. stem.
    - e. 24 in.: 12 in. stem.
    - f. 30 in.: 15 in. stem.
- 3.
  - a. Thermometer temperature ranges:
    - 1) Condenser water: 30-180 f, 2 deg increments.
    - 2) Chilled water: 30-180 f, 2 deg increments.
  - b. Acceptable manufacturers: H.O. Trerice co., Weksler instruments, inc., Ashcroft instrument div., Weiss son, inc.
- B. Temperature Transmitters:
  - 1. Provide Manufacturer Rosemount sensor Model 644, factory calibrated to an accuracy of plus or minus 0.3 deg F over the entire operating span.
  - 2. The assembly shall consist of a 100 or a 1000 OHM Platinum RTD and a solid- state, 4-wire, 4-20 ma transmitter contained in a housing suitable for pipe mounting. The transmitter shall be compatible with the temperature element and the DDCP. The assembly shall be factory calibrated to an accuracy of plus or minus 0.3 deg F over the entire operating span, as noted.
    - a. Aluminum field mounted housing with npt conduit connection and integral LCP display in Fahrenheit (minimum four digits with minimum one digit at 1/10 of a degree). Digits height minimum 0.4 inches.
    - b. Direct sensor mounting. Where not physically possible use optional mounting bracket for remote mounting.
    - c. Spring loaded single element temperature sensor depth to match thermal well.
    - d. Sensor shall be compressed 0.5" when fully inserted.
    - e. Acceptable manufacturers: Rosemount, Fisher, Honeywell inc
- C. Sensor Wells:
  - a. Material: 304 stainless steel
  - b. Tapered shank
  - c. External thread: 3/4" npt
  - d. 3000# thread-o-let with 3/4" npt
  - e. Provide lagging extension to match insulation thickness.
  - f. Provide thermal conducting grease.
  - g. Insertion length for nominal pipe sizes:
    - 1) 1/2 through 2-1/2 inches shall be 2-1/2 inch insertion, tee MOUNTED mounted.
    - 2) 3 through 5 inches shall be 2-1/2 inch insertion.
    - 3) 6 through 8 inch shall be 4 inch insertion.

4) 10 through 14 inches shall be 7 inch insertion.

### 2.3 Pressure Gauges:

- A. Provide 4-1/2" inches diameter gauge for pipe or equipment mounted type, with black finished cast aluminum with flangeless back, and threaded black enamel cast aluminum ring with gasketed glass face, provide type 316 stainless steel bourdon tube, stainless steel brushed precision movement and micrometer adjustment on needle.
- B. Accuracy shall be +/- one percent full scale range. Provide ball valve provide solid front type similar to Weksler model AA 44-A.
- C. Pressure gauge ranges in psig shall be as follows:
  - 1. Condenser water: 0-100. figure intervals 10 psi, minor divisions 1 psi increments.
  - 2. Chilled water: 0-160. figure intervals 20 psi, minor divisions 2 psi increments.
  - 3. City water connection: 0-100. figure intervals 10 psi, minor divisions 1 psi increments.
- D. Acceptable manufacturers:
  - 1. Ashcroft instrument div.,
  - 2. Dresser industries, inc.,
  - 3. Helicoid gauge div.,
  - 4. H.O. Trerice co.,
  - 5. Weiss son, inc.
  - 6. Weksler instruments corp.
- E. 3000# thread-o-let with 1/2" npt
- F. Provide 1/2" ball type isolation valve
- G. Provide pressure snubbers 303 stainless steel filter type

### 2.4 Pressure Transmitters:

- A. The assembly shall consist of a 100 or a 1000 ohm gauge pressure transmitter 4-20 ma transmitter contained in a housing suitable for pipe mounting. the assembly shall be factory calibrated to an accuracy of plus or minus 0.1% over the entire operating span, as noted.
- B. Aluminum field mounted housing with npt conduit connection and integral LCD display in psig (minimum 4 digits with minimum one digit at 1/10 of a psig). Digits height minimum 0.4 inches.
- C. Direct sensor mounting. Where not physically possible use optional mounting bracket for remote mounting.
- D. 3000# thread-o-let with 1/2" NPT
- E. 316 stainless steel isolation diaphragm and wetted parts silicone fluid fill
- F. provide 1/2" ball type isolation valve
- G. acceptable manufacturers: Rosemount, Fisher, Honeywell inc

### 2.5 FLOW METERS

- A. Strap-on Ultrasonic Type:
  - 1. Suitable for measuring water flows at system operating pressures and temperatures.
  - 2. Unit shall use wide beam transducers and multipulse transmission.
  - 3. Unit shall utilize phase detection.
  - 4. Adjustable aluminum straps with spacers bars for transducer spacing.
  - 5. RS-232 remote communication with flow display computer.
  - 6. Diagnostic menu and memory storage.
  - 7. Use fast fourier transform technology.

8. Pipe thickness gauge and pipe condition detector.
  9. Nema 3R construction for outdoor locations.
  10. Nema 1 construction for indoor locations.
  11. Accuracy:  $\pm 0.5\%$  with field calibrated velocity
  12. Repeatability 0.15% of reading
  13. Shall interface with BMS.
  14. Unit shall be Model ADM 7407 as manufactured by Flexim.
- B. Full Bore Magmeter:
1. Suitable for measuring water flows at system operating pressures and temperatures.
  2. Isolated analog output (4-20mA) for flow rate and two programmable pulse outputs.
  3. Electromagnetic sensing (no moving parts).
  4. Empty Pipe Detector.
  5. Unit shall be equipped with a multifunction user interface and graphic display.
  6. Input Power: Standard :- 90 – 265 VAC and 35mA, Optional:- 18 – 63 VDC, 15 – 45 VAC and 300 mA.
  7. Liquid temperature range: 32°F – 140°F (Polypropylene liner), 23° - 175°F (Ebonite Liner), -4° - 212°F (PTFE liner)
  8. Ambient temperature range: -4° - 140°F.
  9. Maximum operating pressure: 280 - 580 psig depending on liner material and flange rating.
  10. Unit shall be factory wet-calibrated with certification.
  11. Accuracy:  $\pm 0.4\%$  at 3.3 – 33ft/sec,  $\pm 0.8\%$  at 1 – 3.3 ft/sec,  $\pm 0.0075$  ft/s at less than 1 ft/sec.
  12. Unit installation and removal shall require system shutdown.
  13. Connections shall be either of ANSI Class 150 Flange, ANSI Class 300 Flange or Wafer.
  14. Electronics shall be enclosed in painted aluminum enclosure, NEMA 6.
  15. Use 18-22 AWG shielded cable for electrical connections.
16. Shall interface with existing Building Automation System.

## 2.6 PRESSURE/TEMPERATURE TEST STATION

- A. Test station:
  1. Solid brass.
  2. Perforated core of Neoprene or Nordel.
- B. Color-coded, marked and gasketed cap.
- C. Rated for 1000 psig.
- D. Furnish one 1/8 in. OD probe:
  1. Pressure gauge adaptor.
- E. Furnish one 5-in. stem pocket testing thermometer:
  1. 0 to 100oF for chilled water.
  2. 50 to 300oF for hot water.
- F. Peterson Engineering Co.'s "Pete's Plug" or equal.

2.7 PRESSURE GAGE TAPS

- A. Gage Cock: Tee or lever handle, brass for maximum 150 psig,
- B. Needle Valve: Brass
- C. Pulsation Damper: Pressure snubber, brass with 1/4 inch connections
- D. Syphon: Steel, Schedule 40, 1/4 inch angle or straight pattern

2.8 TEST PLUGS

- A. Test Plug: 1/4 inch or 1/2 inch [brass] fitting and cap for receiving 1/8 inch outside diameter pressure or temperature probe with [neoprene core for temperatures up to 200 degrees F [Nordel core for temperatures up to 350 degrees F] [Viton core for temperatures up to 400 degrees F],
- B. Test Kit: Carrying case, internally padded and fitted containing two 3-1/2 inch diameter pressure gages, two gage adapters with 1/8 inch probes, two 1-1/2 inch dial thermometers

Part 3. EXECUTION

3.1 INSTALLATION

- A. Install in accordance with manufacturer's instructions.
- B. Coil and conceal excess capillary on remote element instruments.
- C. Provide instruments with scale ranges selected according to service with largest appropriate scale.
- D. Install gages and meters in locations where they are easily read from normal operating level.
- E. Chilled and heating water at exit of equipment rooms.
- F. Headers to central equipment.
- G. Return main for each circuit of hot water systems.
- H. Install thermometer sockets adjacent to controls system thermostat, transmitter, or sensor sockets. [Where thermometers are provided on local panels, duct or pipe mounted thermometers are not required.]
- I. As noted.

### 3.2 PRESSURE - TEMPERATURE TEST STATIONS

- A. Provide at:
  - 1. Inlets and outlets of:
    - a. Chillers.
    - b. Hot water boilers.
    - c. Heat exchangers.
  - 2. Adjacent to each bulb for:
    - a. Controllers.
    - b. Remote temperature indication.
    - c. Recording thermometers.
  - 3. As indicated otherwise on drawings.

### 3.3 PRESSURE GAUGES

- A. Install pressure gages with pulsation dampers. Provide [gage cock] [needle valve] to isolate each gage. [Provide syphon on gages in steam systems.]
- B. For new equipment, provide in water piping at:
  - 1. Inlets and outlets of:
    - a. Chiller (condenser and evaporator).
    - b. Heat exchanger circuits.
    - c. Hot water boiler.
  - 2. Pumps at:
    - a. Suction and discharge, between shutoff valves and pump.
    - b. Condenser water pump inlet: compound type if subject to negative pressures.
  - 3. At condenser water inlet to cooling tower.
  - 4. At discharge of:
    - a. Condensate pump.
    - b. Boiler feed pump.
    - c. De-aerator feed (transfer) pump.
  - 5. Expansion tanks.
  - 6. Pressure tanks.
  - 7. Standpipe, highest point.
  - 8. Standpipe and sprinkler water supply connection.
  - 9. Backflow preventers.
  - 10. As indicated otherwise on drawings.
- C. For new equipment, in steam piping at:
  - 1. Inlet of:
    - a. Chiller (turbine, absorption).
    - b. Heat exchanger.
  - 2. Pressure reducing stations:
    - a. First stage inlet.
    - b. Each reducing valve outlet.
  - 3. Exit of equipment rooms.
  - 4. Main steam header in boiler room: 8 in. diameter.
  - 5. Turbine drain tank: compound type.

- 6. As noted.
  
- D. Pete's Plug -- supply thermometer and gauge kits.
  
- E. Valved outlets for pressure gauges:
  - 1. In cooling and heating water supply and return for coil assemblies.
  - 2. Equipment not listed to receive permanent thermometers or pressure gauge.
  - 3. Supply 6 spare gauges for use at valved outlets.
  - 4. As noted.

### 3.4 FLOW METERS

- A. Installation shall be per manufacturer's recommendations.
  
- B. Strap-on Ultrasonic Type:
  - 1. Installation shall be clamp on type with aluminum straps.
  - 2. Stopping flow shall not be required for Zero flow calibration.
  - 3. Mount display and interface with BMS owner's direction.
  - 4. Provide in chilled water and low temperature hot water piping at:
    - a. Locations indicated on drawings.
  
- C. Insertion Type:
  - 1. Installation of flow meter shall incorporate hot tap insertion design with ball valve.
  - 2. Installation of insertion meters shall not require system shutdown for installation or removal.
  - 3. Mount display and interface with BMS per owner's direction.
  - 4. Provide in chilled water and low temperature hot water piping at:
    - a. Locations indicated on drawings.

### 3.5 TEST PLUGS

- A. Locate test plugs
  - 1. Adjacent to thermometers and thermometer sockets.
  - 2. Adjacent to pressure gages and pressure gage taps
  - 3. Adjacent to control device sockets
  - 4. Where indicated.

END OF SECTION

**END OF SECTION 23 0933**

**SECTION 23 2113 - HYDRONIC PIPING - GENERAL**

**1.1 SCOPE OF WORK**

- A. Pipe and pipe fittings for:
  - 1.. Equipment drains and overflows.
- B. Pipe hangers and supports.

**1.2 CODES AND STANDARDS**

- A. Design and performance of components and methods specified herein shall comply with all applicable Federal, State and Local laws, ordinances, regulations and codes, and the latest industry standards from the following organizations including, but not limited to the ones listed below.

1.	American Society of Mechanical Engineers	ASME
2.	American National Standards Institute	ANSI
3.	American Society for Testing and Materials	ASTM
4.	American Welding Society	AWS
5.	Occupational Safety and Health Administration	OSHA
6.	American Society for Nondestructive Testing	ASNT
7.	Steel Structures Painting Council	SSPC
8.	National Fire Protection Association	NFPA
9.	American Institute of Steel Construction	AISC
10.	Manufacturers Standardization Society	MSS
11.	Scientific Apparatus Makers' Association	SAMA
12.	Underwriters Laboratories Inc.	UL

- B. Including, but not limited to the following:
  - 1. American National Standards Institute

B16.1	Cast Iron Pipe Flanges and Flanged Fittings Class 25, 125, 250 and 800
B16.4	Cast Iron Threaded Fittings Classes 125 and 250
B16.3	Malleable Iron Threaded Fittings
B16.5	Pipe Flanges and Flanged Fittings
B16.9	Factory-Made Wrought Steel Butt welding Fittings

B16.11 Forged Steel Fittings, Socket-Welding and Threaded

---

B16.18 Cast Copper Alloy Solder Joint Pressure Fittings

B16.22 Wrought Copper and Copper Alloy Solder Joint Pressure Fittings

B16.34 Valves – Flanged and Butt-welded

B16.39 Malleable Iron Threaded Pipe Unions Classes 150, 250, and 300

B31 Code for Pressure Piping

B31.1 Power Piping

Z49.1 Safety in Welding and Cutting

2. American Society for Testing and Materials

A 53 Standard Specification for Pipe, Steel, Black and Hot-Dipped,  
Zinc-Coated Welded Seamless

A 105/105M Standard Specification for Forgings, Carbon Steel for Piping  
Components

A 106 Standard Specification for Seamless Carbon Steel Pipe for High-  
Temperature Service

A 126 Standard Specification for Gray Iron Castings for Valves, Flanges,  
and Pipe Fittings

A 193/A 193M Standard Specification for Alloy-Steel and Stainless Steel Bolting  
Materials for High-Temperature Service

A 194/A 194M Standard Specification for Carbon and Alloy Steel Nuts for Bolts  
for High-Pressure and High-Temperature Service

A 216/A 216M Standard Specification for Steel Castings, Carbon, Suitable for  
Fusion Welding for High-Temperature Service

A 276 Standard Specification for Stainless and Heat-Resisting Steel Bars  
and Shapes



- |            |   |
|------------|---|
| A 234/234M | Standard Specification for Steel Castings, Carbon, Suitable for Fusion Welding for High-Temperature Service |
| A 307      | Standard Specification for Carbon Steel Bolts and Studs, 60,000 psi Tensile Strength                        |
| B 61       | Standard Specification for Steam or Valve Bronze  |
| B 62       | Standard Specification for Composition Bronze or Ounce Metal Castings                                       |
| B 88       | Standard Specification for Seamless Copper Water Tube   |
3. American Welding Society, Inc. (AWS): Welding and safety procedure shall conform to the requirements of the AWS B2.1, "Welding Procedure and Performance Qualification," and ANSI Z49.1, "Safety in Welding and Cutting."
  4. Manufactured Standard Society Document: MSS-SP-58, "Pipe Hangers and Supports – Materials, Design, and Manufacture;" MSS-SP-69, "Pipe Hangers and Supports – Selection and Application;" and MSS-SP-89, "Pipe Hangers and Supports – Fabrication and Installation Practices."

### 1.3 QUALITY ASSURANCE

- A. Qualification for Welders: Welders performing work under this Contract shall be certified and qualified in accordance with tests prescribed by the National Certified Welding Bureau (NCWB) or by other approved test procedures using methodology and procedures covered in the ASME Boiler and Pressure Vessel Code, Section IX, "Qualification Standard for Welding and Brazing Procedures, Welders, Brazers, and Welding and Brazing Operators."
  1. Submit for approval the names, identification, and welder's assigned number, letter or symbol of welders assigned to this project.
  2. The assigned identification symbol shall be used to identify the work of each welder and shall be indelibly stamped immediately upon completion of each weld.
  3. Welders shall be tested and certified for all positions.
  4. Submit identifying stenciled test coupons made by each operator.
  5. Any or all welders may be required to retake welding certification tests without additional expense.
  6. When so requested, a welder shall not be permitted to work as a welder on this project until he has been recertified in accordance with NCWB.
  7. Recertification of the welder shall be made after the welder has taken and passed the required tests.
  8. Where piping 1½ in. and smaller is butt or socket welded, submit three samples of test welds for approval.

- B. Qualifications of Radiographic Examiner: Personnel conducting radiographic examinations shall conform to the qualification requirements of the American Society for Nondestructive Testing, Inc. (ASNT) Publication SNT-TC-1A, "Recommended Practice for Personnel Qualifications and Certification."

#### 1.4 SUBMITTALS

- A. Product Data:
  - 1. Piping: Submit manufacturer's standard technical product data indicating conformance to the stipulated reference specifications, construction materials, construction details, and test and operating pressures. Submit manufacturer's product data on the following:
    - a. Pipe materials.
    - b. Unions and flanges.
    - c. Welding fittings.
    - d. Sleeves and packings.
    - e. Gaskets.
    - f. Nuts and bolts.
  - 2. Supports and Anchors: Manufacturer's catalog data including dimensions and installation instructions for all products proposed. Provide load ratings for all hangers, supports and attachments.
- B. Shop Drawings:
  - 1. Piping: Provide piping layout drawings, drawn to a scale of not less than ¼ in. to 1 ft. (use single line below 2 in. and double line 2 in. and above) showing the proposed layout of piping systems including valves, fittings, equipment, pumps, hangers, grading, high points, low points, drain points, anchors, and expansion devices. Provide shop drawings for the following locations:
    - a. Chiller plant.
    - b. Mechanical equipment rooms.
    - c. Path of interconnection piping
  - 2. Supports and Anchors: Scale drawings of all specially fabricated supports and supports for all loads exceeding 1000 lbs. Detail all supports from metal decks or concrete floors. Indicate location of hangers, supports, guides and anchors, expansion joints and sleeves.
- C. Minimum ¾-in. scale, double line layout and sections where required for coordination drawings.
- D. Submit calculations for supports and anchors upon request.
- E. Submit selection calculations upon request.
- F. Submit schedule of pipe type and rating for each system.
- G. Submit schedule listing type make and model number, size and service for valves, motorized valve operators, flanges, fittings and equipment.

1.5 WARRANTY

- A. Refer to General Provisions-Mechanical.

1.6 REGULATORY REQUIREMENTS

- A. Provide an adequate pipe suspension system in accordance with recognized engineers' practices, using standard commercially acceptable pipe hangers and accessories.
- B. Where applicable codes require supports in excess of specifics herein, the Code shall govern.

1.7 DELIVERY, STORAGE AND PROTECTION:

- A. Piping: Store piping on the project site so as to preclude the entrance of construction dirt and debris into the open ends of piping. Do not install piping fouled with construction dirt.
- B. Fittings: Store fittings under cover, protected from construction dirt and rain.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Steel pipe:
1. USX-US Steel Group.
  2. Bethlehem Steel Corp.
  3. LTV Steel Tubular Products.
  4. Laclede Steel Co.
- B. Welding fittings:
1. Babcock and Wilcox.
  2. Bonney Forge Foundry, Inc.
  3. Ladish Co.
  4. Taylor Co.
  5. Tube Turns Div. Allegheny International Inc.
- C. Flange gaskets:
1. Crane.
  2. Garlock, Inc.
  3. Goodrich.
  4. Manville Corp.
  5. Raybestos Manhattan, Inc.

- D. Unions:
  - 1. Dart.
  - 2. Bonney Forge Foundry, Inc.
  - 3. Grinnell.
  
- E. Sleeves and packings:
  - 1. Galvanized sheet steel:
    - a. Adjust-o-Crete.
    - b. A. M. I. Products.
  - 2. Packings:
    - a. Thunderline Corp.
    - b. Dow Chemical.
    - c. Minnesota Mining and Manufacturing Co.
  
- F. Hangers, Inserts and Supports:
  - 1. Fee and Mason.
  - 2. ITT Grinnell Corporation.
  - 3. Superstrut.
  - 4. Tolco.
  
- G. Pipe Protection and Thermal Hanger Shields:
  - 1. Pipe Shields, Inc.
  - 2. Elcen Metal Products Company.
  - 3. Midland-Ross Corp.: Superstrut.
  - 4. Uni-Grip.
  - 5. Kin Line.
  
- H. Expansion Shields:
  - 1. ITT Phillips Drill Co.: Red Head.
  - 2. Hilti Fastening Systems.
  - 3. Omark Industries, Inc.
  - 4. Ramset Fastening Systems.

## 2.2 PIPING

- A. Steel pipe shall be black and hot dipped galvanized of weight and wall thickness as noted, in accordance with ASTM specifications as follows:
  - 1. A 120: Continuous, butt welded.
  - 2. A 53 Grades A and B: Type E electric resistance welded.
  
- B. Copper tubing:
  - 1. ASTM B 88, Type L: hard drawn, except as noted.
  
- C. Red brass: ASTM B 43, seamless, annealed, 85% copper IPS.

## 2.3 FLANGES AND PIPE FITTINGS

- A. Steel flanges and pipe fittings shall be in accordance with ASTM A 105 and A 216.

## 2.4 SERVICES

- A. The following pipe applies to systems specified except as noted:

1. Instrument piping:
  - a. As specified for system piping to which connected.
2. Atmospheric air vents shall be galvanized steel in accordance with ASTM A 120 or A 53, Schedule 40.
3. Refrigerant Relief System (Centrifugal Refrigeration Units) shall be steel, Schedule 40 in accordance with ASTM A 120 or A 53.
4. Miscellaneous Drains and Overflow:
  - a. To 2 in.: Copper, Type L in accordance with ASTM B 88.
  - b. 2½ in. and larger: Galvanized steel, Schedule 40 in accordance with ASTM A 120 or A 53.
  - c. All other piping shall be galvanized steel, Schedule 40 in accordance with ASTM A 53, Grades A or B.
5. Control Air System shall be copper Type L in accordance with ASTM B 88.

## 2.5 FITTINGS

- A. For steel pipe:
1. To 2 in.:
    - a. Steel fittings shall be socket weld ends in accordance with ANSI B 16.11.
  2. For 2½ in. and larger welded:
    - a. Butt weld fittings same weight as piping and in accordance with ANSI B 16.9.
    - b. Branch connections:
      - 1) Equal to main and to two pipe sizes smaller, use weld tees.
      - 2) Three or more pipe sizes smaller than main, but 2½ in. and larger, use Bonney Weld-o-lets.
      - 3) To 2 in.: Bonney Weld-o-lets, Thread-o-lets, threaded Nip-o-lets, or steel couplings.
- B. For copper tubing:
1. Solder joint shall be wrought copper in accordance with ANSI B16.22 or Bronze castings in accordance with ANSI B16.23.
    - a. Solder shall be 95-5 in accordance with ASTM B 32.
    - b. For refrigerant piping and where noted, solder shall be silver brazing alloy, similar to Handy and Harman Easy-Flow.
  2. Compression and flared fittings shall be cast brass in accordance with ANSI B16.26.

- C. Flanges:
1. For steel, pipe flanges shall be of matching quality, grade and thickness.
    - a. Welded: Welding neck, slip-on, socket welded in accordance with ANSI B16.5, slip-on flanges shall be back welded.
      - 1) 150-lb. wsp.
  2. Match connecting flange:
    - a. Class.
    - b. Facing.
- D. Flange gaskets shall be one-piece ring type 1/16-in. thick (minimum), except as noted, suitable for temperature, pressure (operating and test) and service of system.
1. Non-asbestos elastometer for 250°F and under.
  2. Non-asbestos spiral wound 304 stainless steel for above 250°F, similar to Flexitallic.
  3. For joints of dissimilar metals, provide isolating gaskets, sleeves and washers between flanges, bolts and nuts. Gaskets shall be similar to Dupont Teflon.
- E. Unions:
1. For steel pipe:
    - a. Malleable iron 300-lb. wsp.
      - 1) Ground jacket seat: brass-to-iron, black or galvanized to match piping.
    - b. A.A.R. malleable iron 300-lb. wsp.
  2. Dielectric Unions:
    - a. 2 inches and smaller:
      - 1) 175 psi WSP.
      - 2) High temperature gaskets, rated for 375°F.
      - 3) Similar to EPCO.
    - b. 2½ inches and larger:
      - 1) Brass half-union, ANSI, 175 psi WSP, rated for 375°F.
      - 2) To welding flange as hereinbefore specified.
      - 3) Similar to EPCO.
  3. Insulating Couplings:
    - a. 2 inches and smaller:
      - 1) Similar to: Walter Vallett Company, V line.
    - b. 2½ inches and larger:
      - 1) Brass half-union, ANSI, 175 psi WSP, rated for 375°F.
      - 2) To welding flange as hereinbefore specified.
      - 3) Similar to EPCO.
  4. For red brass pipe:
    - a. All bronze, 150-lb. wsp, ground joint seat.

## 2.6 BOLTS AND NUTS

- A. Bolts shall be chrome-molybdenum bolt stud in accordance with ASTM A 193 Grade B7 with full length threads in accordance with ANSI B1.1. Threaded length shall be sufficient to project beyond nuts one complete thread when joint is made.

- B. Nuts shall be carbon steel in accordance with ASTM A 194, Grade 2. Nuts shall be hexagon heavy series type. Threads shall be the same as for bolts.

## 2.7 ESCUTCHEONS, FLASHINGS AND SLEEVES

- A. Escutcheons:
  - 1. Provide stamped sheet metal with satin finish chromium plating over copper and deep type to cover projecting sleeves.
  - 2. For flush fit, use set screws on bare pipe and internal spring on covered pipe.
  - 3. Grinnell as specified, or Beaton-Corbin or equal:
    - a. Fig. 2 for copper tubing.
    - b. Fig. 13 for steel pipe.
    - c. Polished chrome-plated brass.
  - 4. Manufacture special escutcheon sizes, when so required, from galvanized steel.
- B. Sleeves:
  - 1. Provide cast iron or steel with or without welded center flange as noted.
  - 2. Provide No. 20 USSG galvanized iron.
  - 3. Provide cast iron flashing, Type S with integral center flashing flange, and clamping ring.
  - 4. Extended sleeves shall be similar to Josam No. 1880.
    - a. Flush sleeves shall be similar to Josam No. 1870.
    - b. Provide galvanized cast iron flashing type for Dex-O-Tex type waterproofing with integral bottom, flanged, similar to Smith DX-935.
  - 5. Sleeves of following types as required:
    - a. Schedule 40, galvanized steel pipe sleeves.
    - b. Adjustable, telescopic metal sleeves:
      - 1) Adjust-o-Crete or equal.
    - c. For insulated piping, sleeve diameter shall not be less than diameter of insulation.
- C. Packings:
  - 1. Through fire-rated floors and partitions (one of the following):
    - a. 3M Penetration Sealing Systems (PSS 7904) and 3M Fire Barrier Caulk and Putty or equal.
    - b. Dow-Corning LTV silicone foam or equal.

## 2.8 PIPE HANGERS AND SUPPORTS

- A. Model numbers are Superstrut, unless otherwise indicated.
- B. Individual Pipe Hangers:
  - 1. Pipe sizes ½ to 1½ in: carbon steel, adjustable swivel, split ring.
  - 2. All hangers for pipes 2 inches and larger to be provided with means of vertical adjustment.
  - 3. Cold pipe all sizes: Clevis hanger, No. C710.

- C. Multiple or Trapeze Hangers:
  - 1. Factory channel:
    - a. 12 gauge thick steel.
    - b. Single or double section.
    - c. Electro-chromate finish.
    - d. Strutnuts: Series A-100 or CM-100.
    - e. Straps: Series 702.
    - f. Other accessories.
    - g. No. A-1200 or A-1202.
- D. Wall Supports:
  - 1. Pipe sizes up to 3 inches: Steel bracket No. C738.
  - 2. Pipe sizes 4 inches and larger: Welded steel bracket C-735.
- E. Copper Pipe Support
  - 1. Carbon steel ring, adjustable, copper plated.
- F. Thermal Hanger Shields.
  - 1. Insulated pipe supports:
    - a. Insulated pipe supports shall be supplied and installed by the contractor on all insulated pipe and tubing.
    - b. All insulated pipe supports shall be load rated. Load ratings shall be established by pipe support manufacturer based upon testing and analysis in conformance with the latest edition of the following codes: ASME B 31.1, MSS SP-58, MSS SP-69, and MSS SP-89.
  - 2. Approved insulated pipe support:
    - a. Pipe supported on rod hangers:
      - 1) Equal to pipe shield A1000, A2000, A3000, A4000, and A9000.
    - b. Pipe supported on pipe rolls:
      - 1) Equal to pipe shield A3000, A4000, A5000, A6000, and A8000 to 8400 Series.
  - 3. Insert to extend one inch beyond metal shield ends on following piping:
    - a. Chilled water.
    - b. Condenser water.
  - 4. Shield lengths and gauges per manufacturer's published catalog recommendations.
  - 5. Similar to Pipe Shields Incorporated.
- G. Pipe Isolators:
  - 1. Galvanized steel shell with felt padding.
  - 2. Similar to:
    - a. Piping: No. C715.
    - b. Tubing: No. C716.

## 2.9 STRUCTURAL ATTACHMENTS

- A. Model Numbers are Superstrut, unless otherwise indicated.
- B. Anchor Bolts: Size as specified for hanger rods.



- C. Concrete Inserts:
  - 1. Malleable iron case of [galvanized] steel shell
  - 2. Expander plug for threaded connection with lateral adjustment
  - 3. Top slot for reinforcing rods
  - 4. Lugs for attaching to forms
  - 5. Size inserts to suit threaded hanger rods.
  - 6. Place reinforcing steel through insert as recommended by manufacturer for recommended loads.
  - 7. Equal to No. 452.
  
- D. Beam Clamps:
  - 1. All with U-568 safety strap or equal.
  - 2. All with locknuts on:
    - a. Set Screw.
    - b. Hanger rod.
  - 3. Bottom flange attachment:
    - a. Loading 150 lb. and less: U-563 or equal.
    - b. Loading 150 lb. to 300 lb.: U-562 or equal.
    - c. Loading more than 300 lb.: U-560 or U-52, 521, or 522, depending on loading, or equal.
  - 4. Top flange attachment:
    - a. Permitted only when bottom flange attachment cannot be used.
    - b. Loading 400 lb. and less: M-777 or equal.
    - c. Loading more than 400 lb.: M-778 or equal.
  
- E. Welded Beam Attachments:
  - 1. No. C-780 or equal.
  
- F. Side Beam Brackets:
  - 1. No. 542. or equal.
  
- G. Hanger Rods:
  - 1. ASTM A107. Hot rolled steel.
  - 2. ANSI B1.1 threads.
  - 3. Threaded both ends, threaded one end or continuous threaded.
  
- H. Hanger Rod Fixtures:
  - 1. Turnbuckles:
    - a. No. F-112 or equal.
  - 2. Linked Eye Rod:
    - a. Rod swivel.
    - b. No. E-131 or equal.
  - 3. Clevis:
    - a. No. F-111 or equal.
  
- I. Expansion Shields:
  - 1. Carbon-steel anchors, zinc coated.
  - 2. Stainless steel for corrosive atmospheres.

3. For normal concrete use:
    - a. Self-drilling anchor.
    - b. Sleeve anchor.
    - c. Stud anchor.
  4. For thin concrete use:
    - a. Wedge anchor.
  5. For brick or concrete block use:
    - a. Sleeve anchor.
  6. Maximum load safety factors:
    - a. Static loads - 4.
    - b. Vibratory loads - 8 - 10.
    - c. Shock loads - 8 - 10.
  7. Size to suit hanger rods.
  8. ITT Phillips "Red Head" or equal.
- J. Steel Deck Inserts:
1. Factory stud with:
    - a. Clip.
    - b. Spring.
    - c. Coupling.
  2. ITT Phillips "Red-Head" or equal.
- K. Miscellaneous Metal:
1. Steel plate, shapes and bars: ASTM A36.
  2. Steel pipe columns: ASTM A53, Schedule 40, black.
  3. Bolts and nuts: regular hexagon-head type, ASTM A307, Grade A.
  4. Lag bolts: square head type, Fed. Spec. FF-B-561.
  5. Plain washers: round, carbon steel, Fed. Spec. FF-W.92.

## PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. Piping: Install piping approximately as indicated and modify to suit building conditions, to avoid interference with other trades and to maintain access and clearance and to maintain pitch.
1. Where modifications are required, provide offsets, drains, vents, valves and required pipe and fittings.
  2. Connect equipment in accordance with each manufacturer's standard details and recommendations, as approved, except as noted, with accessory piping, vents, drains, reliefs and by-passes.
  3. Arrangement:
    - a. Install piping parallel with or at right angle to walls and other piping, neatly spaced and with plumb risers. Arrange in horizontal groups, each in one plane and maintaining the required slope, insofar as possible.

- b. Maintain minimum 1-in. clearance from adjacent work, including insulation, except as noted or approved.
  - c. Maintain maximum headroom and ceiling height, offset as necessary and coordinate with work of other trades.
  - d. Do not sleeve structural members without consent of Architect.
  - e. Install piping concealed above ceilings or in walls unless otherwise indicated.
  - f. Install no piping in elevator machine rooms, electric rooms and closets and telephone rooms and closets.
  - g. Copper:
    - 1) Crimping of copper tubing prohibited.
    - 2) Isolate copper pipe and tubing from contact with steel.
4. Sloping, Draining, and Air Venting, except as noted:
- a. Slope piping as indicated, true to line and grade, and free of traps and air pockets.
    - 1) Water piping:
      - a) Up to 1-in. pipe: 1-in. in 40 ft.
      - b) 1¼-in. and larger: 1-in. in 100 ft.
    - b. Drain connection at low points in water piping and where noted:
      - 1) In equipment rooms:
        - a) Two 3-in. pipe; ¾-in. gate valve.
        - b) 4 in. to 8 in.: 1½-in. gate valve.
        - c) 10 in. and larger: 2½-in. gate valve.
      - 2) Except in equipment rooms: ½-in. drain valve with capped hose connection.
    - c. Install Manual Air Vents at high points and where water flow direction changes from horizontal to downward.
      - 1) To 3-in. pipe: Line size air chamber, 12 in. long; ½-in. globe valve.
      - 2) 4 in. to 8 in.: Line size air chamber, 6 in. long, ½-in. globe valve.
      - 3) 10 in. and larger: Line size pipe cap, ½-in. globe valve.
    - d. Provide Automatic Air Vents where indicated.
5. System Description:
- a. Where more than one piping system material is specified, ensure system components are compatible and joined to ensure the integrity of the system is not jeopardized. Provide necessary joining fittings. Ensure flanges, unions, and couplings for servicing are consistently provided.
  - b. Use unions, flanges, and couplings downstream of valves and at equipment or apparatus connections. Do not use direct welded or threaded connections to valves, equipment or other apparatus.
  - c. Use non-conducting dielectric connections whenever jointing dissimilar metals in open systems.
6. Provide reducing fittings for changes in pipe sizes. Bushings will not be permitted.
7. Provide extra heavy pipe for nipples where unthreaded portions of pipe are less than 1½-in. long. Close nipples not permitted.
8. Provide screwed piping with clean threads, cut to exact length and ream after cutting and threading. Apply acceptable compound or teflon tape thread sealant on male threads only. No lamp wick in joints.
9. Close open ends of pipes during construction to prevent entry of debris.

B. Welding:

1. Before proceeding, as required by Owner, submit the following for review and approval:
  - a. Proposed procedures conforming to latest revision of:
    - 1) ANSI B31.1, Code for Pressure Piping, Chapter V.
    - 2) ANSI Z49.1, Safety in Welding and Cutting.
    - 3) API code for fuel and other API governed piping.
2. Conform to the welding and welder qualification requirements of the "Quality Assurance" article of this Section.
3. Perform welding in conformance with ANSI B31.1 and ANSI Z49.1.
4. Perform welding in ambient temperature above 0°F.
5. Ream and clean ends of piping free from rust, scale and oxide.
6. Bevel pipe on each end per acceptable procedures.
7. Provide backing rings on hot water over 100 psig.
8. Support piping, align and tack weld making allowance for pipe pitch and insulation. Temporary block piping at hangers.
9. Use welding pipe clamps on piping 4-inch diameter and larger, and verify alignment before welding.
10. Utilize mitered pipe and field fabricated fittings only where noted and where specially permitted.
11. Hammer clean and flush out piping after welding to remove scale, welding slag and other debris.
12. Weld Testing:
  - a. Provide radiographic testing on welds per "Section 3.3 Testing".

C. Pipe Jointing

1. Fittings:
  - a. Provide standard, manufacturing fittings in all cases.
  - b. Prohibited fittings:
    - 1) Field fabricated
    - 2) Bushings on pressure piping
    - 3) Clamp-on branch connections.
  - c. Provide insulating couplings or dielectric unions at all connections of ferrous piping to non-ferrous piping.
  - d. Branch connections, steel piping:
    - 1) Equal to main and to two pipe sizes smaller: weld tees, same weight as piping.
    - 2) Three or more pipe sizes smaller than main, but 2½ inches and larger: Bonney Weld-o-lets.
    - 3) To 2 inches and smaller: Bonney Weld-o-lets, Thread-o-lets, threaded Nip-o-lets, or steel couplings.
2. Unions: Provide unions or flanges to render all items in systems easily removable, including:
  - a. Valves
  - b. Piping specialties
  - c. Both sides of pumps and equipment.
3. Pipe Ends:
  - a. Perform pipe cutting and end preparation to result in clean ends with full

- inside diameter.
  - b. Grind and ream as necessary.
  - 4. Nipples:
    - a. Close nipples not permitted.
    - b. Provide extra heavy pipe for nipples where unthreaded portion is less than 1½ inch long.
  - 5. Threaded Joints:
    - a. Sealed with sealant compounds or teflon tape.
    - b. Sealant compounds: John Crane JC-40 or equal Rector Seal.
  - 6. Welded Joints:
    - a. Welding of pressure piping shall be done by-welders who have been qualified by recognized agency within 6 months prior to date of Contract:
      - 1) Perform welding in accordance with provisions of latest issue of all applicable codes including:
        - a) ASME Boiler Construction Code.
        - b) ANSI Code for Pressure Piping.
      - 2) Standard Procedure Specifications of, and operators qualified by National Certified Pipe Welding Bureau will be considered as compliance with requirements of Specifications.
    - b. Where required, peen and wheel-grind welds.
    - c. Ends of pipe may be burned for welding:
      - 1) Grind bevel and remove scale between welding joint.
      - 2) Ragged edges with metal beads, poor alignment other inferior work will be rejected.
    - d. Perform welding with oxyacetylene or electric arc process.
  - 7. Soldered and Brazed Joints:
    - a. Use AWS A5.8, BCuP silver/phosphorous/copper alloy solder with melting range 1190°F to 1480°F for copper piping.
    - b. Clean surfaces to be jointed, of oil, grease, rust and oxides:
      - 1) Remove grease from fittings by washing in solution of sodium carbonate and hot water.
      - 2) Clean socket of fitting and end of pipe thoroughly with emery cloth to remove rust and oxides.
- D. Hangers, supports and guides:
- 1. General:
    - a. Assure adequate support for pipe and contents.
    - b. Prevent vibration or swaying.
    - c. Provide for expansion and contraction.
    - d. Supports of wire, rope, wood, chain, strap perforated bar or any other makeshift device not permitted.
    - e. Comply with applicable requirements at ANSI B31.1.0 and B31.2 for piping.
    - f. Support piping independently so that equipment is not stressed by piping weight of expansion.
    - g. Hangers and supports shall have minimum safety factor of five (5), based on ultimate tensile or compressive strength, as applicable, of material used.

- h. Provide copper plated hangers and supports for copper piping.
  - i. Prime coat exposed steel hangers and supports:
    - 1) Hangers and supports located in crawl spaces, pipes shafts and suspended ceiling spaces are not considered exposed.
  - j. Where pipe support members are welded to structural building frame, brush clean, and apply one coat of zinc rich primer to welding.
2. Horizontal piping, except as noted:
- a. Adjustable clevis type and rod:
    - 1) All services at or below 250°F.
  - b. Trapeze hangers:
    - 1) Not permitted for:
      - a) Steam and condensate piping.
      - b) Fire and sprinkler piping.
      - c) Chemical waste drain piping.
      - d) Piping with different expansion requirements not permitted on common trapeze.
    - 2) Guide individual pipes on trapezes with 1/4 inch U-bolt or Superstrut 702 pipe clamp.
      - a) Install thermal hanger shield at each support point.
  - c. Threaded steel rods:
    - 1) 2 inch vertical adjustment with 2 nuts each end for positioning and locking.
    - 2) Size to 12 inches IPS:

Pipe, IPS	Rod
to 2 in.	3/8 in.
2-1/2 to 3 in.	1/2 in.
4 in.	5/8 in.
6 and 8 in.	3/4 in.
10 and 12 in.	7/8 in.

- 3) Size above 12 inches IPS and multiple pipe standards: safety factor of 5 on ultimate strength on area.
  - 4) For double rod hangers: 1 size smaller than above.
3. Horizontal insulated piping:
- a. Install thermal hanger shields for all types of supports.
  - b. See Section 15080: PIPING INSULATION for insulation connection to shields.
4. Install Pipe isolators between hangers and:
- a. Insulated copper tubing.
  - b. Wherever any pipe requires sound and vibration isolation.
5. Miscellaneous Steel:
- a. Provide miscellaneous steel members, beams, brackets, etc., for support of work in this division unless specifically included in other divisions.

E. Pipe Support Spacing

1. Maximum spacing for horizontal piping:

Type of Pipe	Size	Maximum Spacing
Steel	1 in. to 1-1/4 in.	7 ft.
	1-1/2 in.	9 ft.
	2 in.	10 ft.
	2-1/2 in.	11 ft.
	4 in.	14 ft.
	5 in.	16 ft.
	6 in.	17 ft.
	8 in.	19 ft.
	10 in.	22 ft.
	12 in.	23 ft.
	14 in.	25 ft.
	16 in.	27 ft.
	18 in.	28 ft.
	20 in.	30 ft.
24 in.	32 ft.	
Brass or copper	3/4 in. and smaller	5 ft.
	1 - 1-1/4 in.	6 ft.
	1-1/2 - 3 in.	8 ft.
	4 in. and larger	10 ft.

2. Spacing Notes:

- a. Additional supports at:
  - 1) Changes in direction.
  - 2) Branch piping and runouts over 5 feet.
  - 3) Concentrated loads due to valves, strainers and other similar items.
  - 4) At valves 4 inches and larger in horizontal piping.
    - a) Support piping on each side of valve.

3. Parallel piping on trapezes:

- a. Maximum spacing to be that of pipe requiring closest spacing.

4. Support standpipes and fire sprinkler piping in accordance with NFPA.

F. Attachment to Structure

1. Concrete:

- a. Use inserts for suspending hangers from reinforced concrete slabs, walls and sides of reinforced concrete beams wherever practicable.
- b. Set inserts in position in advance of concrete work.
- c. Provide reinforcement rod in concrete for inserts carrying:
  - 1) Pipe over 4 in.
- d. Where rod size exceeds 7/8 in. diameter, or where load exceeds insert rating, use the inserts with a trapeze type member connecting member below concrete.
- e. Where concrete slabs form finished ceiling, finish inserts flush with slab surface.

- f. Where inserts are omitted install hangers with expansion shields.
  - g. Where permitted by structural engineer and regulating agencies expansion shields may be used in lieu of inserts:
    - 1) In bottom of thick slabs.
  - h. Pre-Cast Concrete:
    - 1) Use pre-set inserts.
    - 2) Where inserts are not available, field drill through beam or joists at locations as directed by Architect.
    - 3) Through bolt side beam bracket to beam or joist.
  - 2. Steel Beam Anchors:
    - a. Approved beam or channel clamps.
    - b. Do not cut or weld to structural steel without permission of structural engineer.
    - c. Other methods as detailed on drawings.
  - 3. Steel Deck Anchors:
    - a. Concrete filled: as specified above.
    - b. Decking without concrete:
      - 1) Through rod Support:
        - a) Weld to square plate, 1/4 inch thick.
        - b) Plate to distribute load over minimum of two full cells.
        - c) Coordinate with floor layouts to clear cells with wiring.
  - 4. Support Spreaders:
    - a. Install spreaders spanning between structural members when hangers fall between them, and hanger load is too great for slab or deck attachment.
    - b. Spreaders may be one of methods listed below, or combination of both as required:
      - 1) Fabricated from structural channel:
        - a) End fittings bolted or welded.
        - b) Secure to structural members:
          - (1) As required by construction.
          - (2) As approved by Structural Engineer.
      - 2) Formed channels with fittings, similar to Superstrut.
      - 3) Submit manufacturer's calculations for installation.
- G. Connections to apparatus:
- 1. Final connections to apparatus, equipment, automatic control valves: Provide unions or flanges between shutoff valve and connection:
    - a. Screwed piping to 2 in.: Unions.
    - b. Other piping: Flanges.
  - 2. Provide flanged connections to heads of heat exchangers, converters, chillers, condensers and locate flanges adjacent to equipment connections and to clear tube pull to avoid dismantling of extensive piping for pulling out tube bundle.
- H. Escutcheons: Provide at surfaces where exposed piping penetrates walls, ceilings, floors or partitions and at fire barrier caulking.
- 1. "Exposed" means all finished rooms, including storage, janitor and mechanical rooms.
  - 2. Where piping is insulated, escutcheons shall fit insulation outside diameter. For bare pipe, provide sleeve minimum ½ inch larger than pipe and pass fittings, as required.



- I. Sleeves: Provide for piping through walls, floors and partitions.
  1. Type:
    - a. Provide galvanized steel pipe:
      - 1) Integral waterproofed walls and floors:
        - a) Welded center flange, buried.
        - b) Extending 2 in. above finished floor.
        - c) ½-in. projection beyond walls.
        - d) Caulked watertight.
      - 2) Concrete floors and masonry walls:
        - a) 2 in. above finished floor.
        - b) Flush with ceiling.
        - c) ½-in. projection beyond walls.
  2. Size: For bare pipe, provide sleeve a minimum ½ in. larger than pipe and pass fittings as required. For insulated pipe, except as noted, provide flashing sleeve the same as for bare pipe and provide pipe or sheet metal sleeve ½ in. larger than covering.
  3. Caulking: Seal openings between sleeves and pipe or pipe insulation. Provide depth of caulking the full length of sleeve.
    - a. Material for fire barrier: Provide mineral wool or equivalent nonasbestos, noncombustible material.
  4. Packing through fire rated partitions:
    - a. 3M Penetration Sealing Systems (PSS 7909) and 3M Fire Barrier Caulk and Putty.
    - b. Dow-Corning LTV Silicone foam.
  5. Separate piping through walls, other than concrete walls, from contact with wall construction materials.
    - a. Use non-hardening caulking.
  6. Install insulation on piping in walls which require insulation at time of installation.

**END OF SECTION 23 2113**

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 23 21 23 – HYDRONIC PUMPS -

GENERAL

1.1 WORK INCLUDED

- A. This Section governs the materials and installation of closed hydronic systems associated with building heating and cooling. The following systems, where applicable, shall be installed as specified herein.
1. Hot Water Heating System
  2. Chilled Water Cooling System
  3. Dual Temperature Water System
  4. Heat Pump Circulating System
  5. Closed Circuit Cooling Tower System
  6. Run-Around Heat Recovery System
  7. Snow Melt Glycol Circulation

1.2 EQUIPMENT SUBSTITUTION

- A. Most items in this DIVISION are eligible for substitution in accordance with the General Conditions and Supplements thereto. Where a proprietary specification is written for a particular item, then only that item may be used. All items eligible for substitution require submission of request for substitution 10 days prior to bid date. This submittal shall include specific models and capacities of equipment and not just manufacturer's literature. Only those manufacturers listed and those receiving written prior approval communicated via addendum shall be considered for review. Verbal approvals will not be given.

1.3 TESTING & APPROVING AGENCIES

- A. Where items of equipment are required to be provided with compliance to U.L., A.G.A., or other testing and approving agencies, the contractor may submit a written certification from any nationally recognized testing agency, adequately equipped and competent to perform such services, that the item of equipment has been tested and conforms to the same method of test as the listed agency would conduct.

1.4 SUBMITTAL DATA

- A. See Section 01 33 23 for general submittal requirements.
- B. Provide manufacturer's literature for all products specified in this Section, which will be installed under this project.

- C. Provide performance curves for all pumps. Plot the specified operating point for each pump on its respective curve.
- D. Provide complete literature for all components of packaged systems. These include pump performance, heat exchanger calculations, expansion tank capacity, data for all accessories and valves and complete wiring diagrams specific to the exact unit to be supplied. The wiring diagram shall indicate all required field and factory wiring.

## PART 2 - PRODUCTS

### 2.1 PUMPS

#### A. Vertical Close Coupled Pumps

1. Pumps shall be Taco Model 1900 or approved equal. The pumps shall be single stage end suction rear pull out design. The seal shall be serviceable without disturbing the piping connections. The capacities and characteristics shall be as called for in the plans/schedules.
2. Pump casing shall be a centerline discharge design constructed of ASTM A48 class 30 cast iron. The pump casing shall be drilled and tapped for gauge ports on both the suction and discharge connections
3. All casings shall be flanged connections.
4. The impeller shall be ASTM C87500 or C89833 bronze and hydraulically balanced. The impeller shall be dynamically balanced to ANSI Grade G6.3 and shall be fitted with a holding taper and left handed 431 series stainless steel bolt. The impeller shall be cast by the hydraulically efficient lost foam technique to ensure repeatability of high quality.
5. The pump shall incorporate a dry shaft design to prevent the circulating fluid from contacting the shaft. The pump shaft shall be AISI 1045 carbon steel with field replaceable copper nickel 90-10 shaft sleeve. In order to improve serviceability and reduce the cost of ownership the shaft sleeve must be slip on (press on not allowable) and must be easily replaced in the field.
6. The pump shall be fitted with a single mechanical seal, with EPT elastomers and Carbon/Ceramic faces, rated up to 250°F. The pump shall be close coupled to a NEMA standard JM frame motor.
7. In order to both simplify and reduce the total cost of ownership, the manufacturer shall standardize on no more than three sizes of mechanical seals through out the entire range of the family of pumps. The manufacturer shall not use multiple part numbers for the same part.
8. Pump shall be fitted with integral Variable Frequency Drive fastened to adjustable mounting bracket. The integration of the drive shall be done in such a way that it will allow for either vertical drive orientation independent of vertical or horizontal pump orientation.
9. Factory prepackaging of drive shall include drive programming, motor rotation set, and prewiring with VFD shall be electrically connected to the pump motor. Connection wires to be routed via   " Sealtite tubing with 45 degree Sealtite connectors.

B. Integral Variable Frequency Drive

1. Construction
  - a. Enclosure shall be rated for IP 20 and NEMA Type 1 with included conduit kit.
2. Application Data
  - a. The AC Drive shall be sized to operate a variable torque load.
  - b. The speed range shall be from a minimum speed of 1 Hz to a maximum speed of 200 Hz.
3. Environmental Ratings
  - a. The AC Drive shall meet IEC / EN61800-3, UL 1995 type 1 plenum rated, and RoHS
  - b. The AC Drive shall be designed to operate in an ambient temperature from -10 to 40 °C (+14 to 104 °F) without derating the drive, -10 to 50 °C (+14 to 122 °F) with derating the drive.
  - c. The storage temperature range shall be -25 to 70 °C (-13 to 158 °F).
  - d. The maximum relative humidity shall be 95%, non-condensing or dripping water. Compliant with IEC600068-2-3
  - e. The AC Drive shall be rated to operate at altitudes less than or equal to 3300 ft (1000 m). For altitudes above 3300 ft (1000 m), the AC Drive current should be derated 1% for every 330 ft (100 m) up to 6,600 ft (2,000 m).
  - f. IP54 environmental rating shall be available on certain models upon request. (See IP54 ratings) The top of the drive controller shall be IP21 and IP41.
  - g. Pollution rating shall be 1 HP to 25 HP at 200/240 V, 1 HP to 5 HP at 380/480V: Pollution degree 2 per IEC / EN61800-5-1, 30 HP to 40 HP @ 200/240 V, 30HP to 100 HP @ 380/480 V: Pollution degree 3 per IEC / EN61800-5-1
  - h. Vibration Resistance shall be 1.5mm to peak from 3 to 13 Hz, 1gn from 13 to 150 Hz, conforming to IEC/EN60068-2-6.
  - i. Shock resistance shall be 15 gn for 11 ms conforming to IEC/EN 60068-2-27
4. Ratings
  - a. The AC Drive shall be designed to operate at 208 Vac ± 10% or 230 Vac ± 10% or 460 Vac ± 10%.
  - b. The AC Drive shall operate from an input frequency range of 50 to 60 Hz ± 5%.
  - c. The displacement power factor shall not be less than 0.96 lagging under any speed or load condition.
  - d. The efficiency of the AC Drive at 100% speed and load shall typically be 95% or greater.
  - e. The variable-torque rated AC Drive nominal full load current limit shall be not less than 110% for 60 seconds.
5. Protection
  - a. Upon power-up, the AC Drive power converter shall automatically test for valid operation of memory, valid operation of precharge circuit, loss of communication, DC-to-DC power supply, and control
  - b. The AC Drive power converter shall be protected against short circuits between output phases and also phase-to-ground.
  - c. Upon loss of the analog process follower reference signal, the AC Drive power converter shall be programmable to display a detected fault condition signal.
  - d. The output frequency shall be software enabled to fold back when the motor is in an overcurrent condition.
  - e. The output switching frequency of the AC Drive power converter shall be selectable from 6 to 16 kHz. Derating of the AC Drive power converter may be required if the factory setting is modified.

- f. The AC Drive power converter shall provide an auto reset feature which can provide up to 10 programmable reset attempts after a detected fault has occurred.
  - g. Lead Length to be 50 meters max out to the motor without a choke.
6. Adjustments and Configurations
- a. The AC Drive power converter will be factory programmed to operate all specified optional devices.
  - b. The acceleration and deceleration ramp times shall be adjustable from 0.1 to 3200 seconds.
  - c. The AC Drive power converter configuration shall have provisions for an Energy Savings motor type.
  - d. The AC Drive power converter shall have memory capability to retain and record drive operation and detected fault type for the past four faults.
7. Keypad Display Interface
- a. An operator interface shall offer the modification of AC Drive power converter adjustments through a keypad. All electrical values, configuration parameters, I/O assignments, application and activity function access, detected fault condition signals, local control, adjustment storage, and diagnostics shall be accessible.
  - b. The AC Drive power converter software revision, output current, motor frequency, and motor voltage shall be readable through the drive display.
8. Operator Controls
- a. The control power for the digital inputs and outputs shall be 24 Vdc.
  - b. The terminal block shall be used for all logic and analog signal connections to the power converter
9. Serial Communication
- a. The AC Drive shall have serial communications capability for the following protocols:
    - Modbus™ (Standard)
    - LonWorks® (Optional)
    - BACnet® (Optional)
    - Metasys™ N2 (Optional)
    - Apogee™ P1 (Optional)
10. Harmonic Mitigation
- a. Each drive shall include reduced harmonics technology to reduce power system harmonics.

PART 3 - EXECUTION

3.1 PUMPS

A. General

1. Contractor shall install pump in accordance with the manufacturer's instructions.  
Contractor shall level each pump.
2. Pipe connections to pumps shall be made in such a manner so as not to exert any stress on pump housings. If necessary to meet this requirement, provide additional pipe supports and flex connectors.
3. Pumps shall **NOT** be run dry to check rotation.

END OF SECTION 23 21 23

THIS PAGE INTENTIONALLY LEFT BLANK



**SECTION 23 83 16**  
**RADIANT-HEATING HYDRONIC PIPING**  
**(SNOW AND ICE MELTING SPECIFICATION — PEX TUBING)**

**PART 1 GENERAL**

1.01 SUMMARY

A. Section Includes: Hydronic snow and ice melting systems for various slab constructions and control strategies, using crosslinked polyethylene (PEX) tubing and applicable fittings.

1.02 REFERENCES

A. General: Standards listed by reference, including revisions by issuing authority, form a part of this specification section to the extent indicated. Standards listed are identified by issuing authority, authority abbreviation, designation number, title or other designation established by issuing authority. Standards subsequently referenced herein are referred to by issuing authority abbreviation and standard designation.

B. ASTM International

1. ASTM E84 Standard Test Method for Surface Burning Characteristics of Building Materials
2. ASTM E119 Standard Test Methods for Fire Tests of Building Construction and Materials
3. ASTM E814 Standard Test Method for Fire Tests of Through-Penetration Fire Stops
4. ASTM F876 Standard Specification for Crosslinked Polyethylene (PEX) Tubing
5. ASTM F877 Standard Specification for Crosslinked Polyethylene (PEX) Plastic Hot- and Cold-Water Distribution Systems
6. ASTM F1960 Standard Specification for Cold Expansion Fittings with PEX Reinforcing Rings for Use with Cross-linked Polyethylene (PEX) Tubing

C. American National Standards Institute (ANSI)/Underwriters Laboratories, Inc. (UL)

1. ANSI/UL 263 Standard for Safety for Fire Tests of Building Construction and Materials

D. Canadian Standards Association (CSA)

1. CAN/CSA B137.5 Cross-Linked Polyethylene (PEX) Tubing Systems for Pressure Applications

E. German Institute for Standards (Deutsches Institut für Normung e.V., DIN)

1. DIN 4726 Pipelines of Plastic Materials Used in Warm Water Floor Heating Systems; General Requirements

F. International Code Council (ICC)

1. International Mechanical Code (IMC)
2. International Building Code (IBC)
3. ICC Evaluation Service (ES) Evaluation Report No. ESR 1099

G. Plastics Pipe Institute (PPI)

1. Technical Report TR-3 Policies and Procedures for Developing Recommended Hydrostatic Design Stresses for Thermoplastic Pipe Materials
2. Technical Report TR-4 Recommended Hydrostatic Strengths and Design Stresses for Thermoplastic Piping and Fitting Compounds

H. International Association of Plumbing and Mechanical Officials (IAPMO)

1. Uniform Mechanical Code

### 1.03 SYSTEM DESCRIPTION

#### A. Design Requirements

1. Standard Grade hydrostatic pressure ratings from Plastics Pipe Institute in accordance with TR-3 as listed in TR4. The following three standard grade hydrostatic ratings are required.
  - a. 200 degrees F (93 degrees C) at 80 psi (551 kPa)
  - b. 180 degrees F (82 degrees C) at 100 psi (689 kPa)
  - c. 73.4 degrees F (23 degrees C) at 160 psi (1102 kPa)
2. Certification of flame spread/smoke development rating of 25/50 in accordance with ASTM E84 for the following PEX tubing sizes when encased with ½ inch fiberglass insulation at tube spacing of not less than 4 inches apart.
  - a. 5/16 inch [7.94mm]
  - b. ¾ inch [9.53mm]
  - c. ½ inch [12.7mm]
  - d. ¾ inch [15.88mm]
  - e. ¾ inch [19.05mm]
  - f. 1 inch [25.4mm]
  - g. 1 ¼ inch [31.75mm]
  - h. 1 ½ inch [38.1mm]
  - i. 2 inch [50.8mm]

#### B. Performance Requirements: Provide hydronic snow and ice melting system that is manufactured, fabricated and installed to comply with regulatory agencies and authorities with jurisdiction, and maintain performance criteria stated by the PEX tubing manufacturer without defects, damage or failure.

1. Show compliance with ASTM F877
2. Show compliance with DIN 4726 regarding oxygen diffusion concerns where applicable
3. Show compliance with ASTM E119 and ANSI/UL 263 through certification listings with Underwriters Laboratories, Inc. (UL).
  - a. UL Design No. L557 — 1 hour wood frame floor/ceiling assemblies
  - b. UL Design No. K913 — 2 hour concrete floor/ceiling assemblies
  - c. UL Design No. U372 — 1 hour wood stud/gypsum wallboard wall assemblies
  - d. UL Design No. V444 — 1 hour steel stud/gypsum wallboard wall assemblies

### 1.04 SUBMITTALS

- A. General: Submit listed submittals in accordance with Conditions of the Contract and Division 1 Submittal Procedures Section.
- B. Product Data: Submit manufacturer's product submittal data and installation instructions.
- C. Shop Drawings
  1. Provide installation drawings indicating tubing layout, manifold locations, zoning requirements and manifold schedules with details required for installation of the system.

2. Provide mechanical schematic indicating heat source, mechanical piping and accessories from heat source to manifolds, circulators, water tempering and zone controls. Indicate supply water temperatures and flow rates to manifolds.
- D. Samples: Submit selection and verification samples of piping.
- E. Quality Assurance and Control Submittals: Submit the following.
1. Test Reports: Upon request, submit test reports from recognized testing laboratories.
  2. Documentation: Submit the following.
    - a. Manufacturer's certificate indicating products comply with specified requirements
    - b. Manufacturer's detailed room-by-room heat-loss analysis for the structure
    - c. Documentation indicating the installer is trained to install the manufacturer's products
- F. Closeout Submittals: Submit the following.
1. Warranty documents specified herein
  2. Operation and maintenance data
  3. Manufacturer's field reports specified herein
  4. Final as-built tubing layout drawing

#### 1.05 QUALITY ASSURANCE

- A. Installer Qualifications: Use an installer with demonstrated experience on projects of similar size and complexity and possessing documentation proving successful completion of snow and ice melting training by the PEX tubing manufacturer.
- B. Regulatory Requirements and Approvals: Provide a snow and ice melting system that complies with the following requirements.
1. International Code Council (ICC)
    - a. International Mechanical Code (IMC)
    - b. International Building Code (IBC)
    - c. ICC Evaluation Service (ES) Evaluation Report No. ESR 1099
  2. International Association of Plumbing and Mechanical Officials (IAPMO)
    - a. Uniform Mechanical Code (UMC)
- C. Certifications: Provide letters of certification as follows.
1. Installer is trained by the PEX tubing manufacturer to install the snow and ice melting system.
  2. Installer uses skilled workers holding a trade qualification license or equivalent, or apprentices under the supervision of a licensed tradesperson.
- D. Pre-installation Meetings
1. Verify project requirements, substrate conditions, floor coverings, manufacturer's installation instructions and warranty requirements
  2. Review project construction timeline to ensure compliance or discuss modifications as required
  3. Interface with other trade representatives to verify areas of responsibility

4. Establish the frequency and construction phrase the project engineer intends for site visits and inspections by the PEX tubing manufacturer's representative

#### 1.06 DELIVERY, STORAGE AND HANDLING

- A. General: Comply with Division 1 Product Requirements Section.
- B. Comply with manufacturer's ordering instructions and lead-time requirements to avoid construction delays.
- C. Delivery: Deliver materials in manufacturer's original, unopened, undamaged containers with identification labels intact.
- D. Storage and Protection: Store materials protected from exposure to harmful environmental conditions and at temperature and humidity conditions recommended by the manufacturer.
  1. Store PEX tubing in cartons or under cover to avoid dirt or foreign material from entering the tubing.
  2. Do not expose PEX tubing to direct sunlight for more than 30 days. If construction delays are encountered, cover the tubing that is exposed to direct sunlight.

#### 1.07 WARRANTY

- A. Project Warranty: Refer to Conditions of the Contract for project warranty provisions.
- B. Manufacturer's Warranty: Submit, for Owner's acceptance, manufacturer's standard warranty document executed by authorized company official. Manufacturer's warranty is in addition to, and not a limitation of, other rights Owner may have under contract documents.
  1. Warranty covers the repair or replacement of any tubing or fittings proven defective.
  2. Warranty may transfer to subsequent owners.
  3. Warranty Period for PEX Tubing: 30-year, non-prorated warranty against failure due to defect in material or workmanship, beginning with date of substantial completion when installed by a factory-trained Uponor Home Comfort Team (HCT) contractor.
  4. Warranty Period for Manifolds and Fittings: 5-year, non-prorated warranty against failure due to defect in material or workmanship, beginning with date of substantial completion when installed by a factory-trained Uponor HCT contractor.
  5. Warranty Period for Controls and Electrical Components: 2-year, non-prorated warranty against failure due to defect in material or workmanship, beginning with date of substantial completion when installed by a factory-trained Uponor HCT contractor.
  6. If a factory-trained Uponor HCT contractor does not install the system, then the most recent limited warranty published by the PEX tubing manufacturer takes precedence.

#### 1.08 SYSTEM STARTUP

- A. Startup system according to manufacturer's recommendations

#### 1.09 OWNER'S INSTRUCTIONS

- A. Instruct Owner's personnel about operation and maintenance of installed system. Provide manufacturer's installation, operation and maintenance instructions for installed components within the system.

## PART 2 PRODUCTS

### 2.01 HYDRONIC SNOW AND ICE MELTING SYSTEM

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Uponor or a comparable product by one of the following:
1. Elkhart Products Corporation.
  2. FlorHeat Company (The).
  3. Heat Innovations Inc.
  4. HeatLink Group Inc.
  5. Infloor Radiant Heating Inc.
  6. IPEX USA LLC.
  7. MrPex Systems Inc.
  8. REHAU.
  9. Slant/Fin Corp.
  10. Vanguard Piping Systems, Inc.
  11. Viega LLC.
  12. Warmboard, Inc.
  13. Watts Radiant; a Watts Water Technologies company.

### 2.02 HYDRONIC SNOW AND ICE MELTING SYSTEM MATERIALS

#### A. Tubing

1. Material: Crosslinked polyethylene (PEX) manufactured by PEX-a or Engle method
2. Material Standard: Manufactured in accordance with ASTM F876 and ASTM F877 and tested for compliance by an independent third-party agency.
3. Pressure Ratings: Standard Grade hydrostatic design and pressure ratings as issued by the Plastics Pipe Institute (PPI), a division of the Society of the Plastics Industry (SPI).
4. Show compliance with ASTM E119 and ANSI/UL 263 through certification listings through UL.
  - a. UL Design No. L557 — 1 hour wood frame floor/ceiling assemblies
  - b. UL Design No. K913 — 2 hour concrete floor/ceiling assemblies
  - c. UL Design No. U372 — 1 hour wood stud/gypsum wallboard wall assemblies
  - d. UL Design No. V444 — 1 hour steel stud/gypsum wallboard wall assemblies
5. Minimum Bend Radius (Cold Bending): No less than six times the outside diameter. Use the PEX tubing manufacturer's bend supports if radius is less than stated.

6. Barrier Tubing Type: - hePEX
  - a. Tubing with an oxygen diffusion barrier does not exceed an oxygen diffusion rate of 0.10 grams per cubic meter per day at 104 degrees F (40 degrees C) water temperature in accordance with German DIN 4726.
  - b. Nominal Inside Diameter: Provide tubing with nominal inside diameter in accordance with ASTM F876, as indicated.
    - 1) 5/16 inch [7.94mm]
    - 2) 3/8 inch [9.53mm]
    - 3) 1/2 inch [12.7mm]
    - 4) 5/8 inch [15.88mm]
    - 5) 3/4 inch [19.05mm]
    - 6) 1 inch [25.4mm]
7. Non-Barrier Tubing Type: Basis of design - Uponor AquaPEX®
  - a. Tubing does not feature an oxygen diffusion barrier.
  - b. Nominal Inside Diameter: Provide tubing with nominal inside diameter in accordance with ASTM F876, as indicated.
    - 1) 3/8 inch [9.53mm]
    - 2) 1/2 inch [12.7mm]
    - 3) 5/8 inch [15.88mm]
    - 4) 3/4 inch [19.05mm]
    - 5) 1 inch [25.4mm]
    - 6) 1 1/4 inch [31.75mm]
    - 7) 1 1/2 inch [38.1mm]
    - 8) 2 inch [50.8mm]
8. An oxygen diffusion barrier tubing is not required if one of the following design strategies is used.
  - a. Isolate the ferrous materials in the boiler and other components within the primary side of the mechanical system with a heat exchanger.
    - 1) Use non-ferrous components within the secondary system side (e.g., pumps, expansion tanks, etc.).
  - b. Use non-ferrous components within the entire fluid pathway.
9. Use Barrier Tubing when oxygen diffusion barrier tubing is required. Use Non-Barrier Tubing when non-barrier tubing is required.

B. Manifolds (light-commercial, valves stainless steel)

1. For system compatibility, use 1 1/4-inch manifolds with 304L stainless steel material, offered by the respective PEX tubing manufacturer.
2. Use manifold mounting brackets offered by the respective PEX tubing manufacturer.
3. Manifolds must provide individual flow control for each loop of the manifold through valve actuators available from manifold supplier.
4. Manifolds must feature manual flow balancing capability within the manifold body for balancing unequal loop lengths across the manifold.

5. Manifolds supports 5/8 inch diameter PEX tubing.
6. Manifolds shall be pre-assembled with supply and return manifold ball valve with temperature gauges available from manifold supplier.
7. Manifolds shall be pre-assembled with visual flow indicators

C. Manifolds (commercial, valved copper)

1. For system compatibility, use 2-inch valved copper manifolds manufactured from Type L copper material, offered by the respective PEX tubing manufacturer.
2. Install valved copper manifolds primarily for wall-hung or boxed applications.
3. Use manifolds with an isolation valve or a combination isolation and balancing valve on each outlet.
4. Use manifolds that support 5/8 inch or 3/4 inch PEX tubing.
5. Ensure manifold end cap offers tapping for 1/8 inch FNPT and 1/2 inch FNPT for vent and drain.
6. If the supply and return piping is in direct-return configuration, install and balance flow setters on the return leg of each manifold to the mains.

D. Fittings

1. For system compatibility, use fittings offered by the PEX tubing manufacturer.
2. The fitting assembly must comply with ASTM F877 and CAN/CSA B137.5 requirements.
3. Use compression fittings or ProPEX fittings as applicable.
4. Compression Fittings
  - a. Fitting assembly manufactured from UNS C3600 series brass material.
  - b. The fitting assembly consists of a barbed insert, a compression ring and a compression nut. The barbed insert is manufactured with an o-ring to facilitate air pressure testing.
5. ProPEX Fittings
  - a. Fittings manufactured in accordance with ASTM F1960.
  - b. Fitting assembly manufactured from material listed in paragraph 5.1 of ASTM F1960.
  - c. The fitting assembly consists of a barbed adapter and an applicable sized PEX ring. The barbed insert may include an o-ring to facilitate pressure testing with air.

E. Supply-and-return Piping to the Manifolds (above ground piping)

1. Properly size supply and return distribution piping for the given volume and velocities required at system design.
2. Use suitable distribution piping material (i.e., metric dimensioned hePEX, type M copper or black iron piping) for all supply fluid temperatures in systems with ferrous components.
  - a. When using 32mm through 63mm dimensioned hePEX tubing, do not exceed 194 degrees F (90 degrees C) at 58 psi (400 kPa).
3. Do not expose hePEX tubing to direct sunlight or install near overhead fluorescent lighting. If PEX tubing is exposed, install suitable pipe insulation around the exposed tubing.
4. Use fittings compatible with piping material. Fittings must transition from distribution piping to system manifolds.

F. Supply and Return Piping to the Manifolds (below ground piping)

1. Properly size supply and return distribution piping for the given volume and velocities required at system design.
2. Use suitable distribution piping material (i.e., metric dimensioned hePEX, type K copper or black iron) for all supply fluid temperatures in systems with ferrous components.
  - a. When using 32mm through 63mm dimensioned hePEX tubing, do not exceed 194 degrees F (90 degrees C) at 58 psi (400 kPa).
3. Use suitable distribution piping material (i.e., AquaPEX or HDPE) for systems free of or isolated from ferrous components.
  - a. When using HDPE mains, do not exceed 140 degrees F (60 degrees C) at 80 psi (551 kPa).
  - b. When using AquaPEX mains, do not exceed 200 degrees F (93 degrees C) at 80 psi (551 kPa).
4. If copper or black iron piping is embedded in concrete or soil, insulate or protect with sleeves.
5. Use fittings compatible with piping material. Fittings must transition from distribution piping to system manifolds.

2.03 ACCESSORIES

- A. Use accessories associated with the installation of the snow and ice melting system as recommended by or available from the PEX tubing manufacturer.

**PART 3 EXECUTION**

3.01 MANUFACTURER'S INSTRUCTIONS

- A. Comply with manufacturer's product data, including product technical bulletins, installation instructions and design drawings, including but not limited to the following.
  1. Snow and Ice Melting Design Manual
  2. Radiant Floor Installation Handbook

3.02 EXAMINATION

- A. Site Verification of Conditions
  1. Verify that site conditions are acceptable for installation of the snow and ice melt system.
  2. Do not proceed with installation of the snow and ice melt system until unacceptable conditions are corrected.

3.03 INSTALLATION

- A. Slab-on-grade Construction with Edge and Under-slab Insulation
  1. When using high-density foam insulation board, install the tubing by stapling the tubing to the insulation board with Uponor Foam Staples.
  2. Install a minimum of 2" Extruded Polystyrene Rigid Insulation – Min R Value – R-5 per inch.
  3. Install the vertical edge insulation along the perimeter of the slab and down to a depth equal to the bottom of the horizontal under-slab insulation.



4. The submitted snow-melt design specifies the tubing on-center distance(s) and loop lengths. On-center distances will not exceed 12 inches (305mm).
5. Do not install tubing closer than 6 inches (152mm) from the edge of the heated slab.
6. Install the tubing at a consistent depth below the surface elevation as determined by the project engineer. Tubing installation will ensure sufficient clearance for all control joint cuts.
7. Expansion joints.
  - a. Fibrous expansion joints may be penetrated following the PEX tubing manufacturer's and using a 12" long sleeve.
8. Metal or plastic bend supports will be used to support the tubing when departing from the slab in a 90 degree bend.

B. Glycol/Water Solution

1. PEX tubing manufacturer recommends premixed glycol/water solutions.
  - a. PEX tubing manufacturer allows site-mixed solutions if mixed to the proper concentration before entering the system.
  - b. Mix the glycol/water solution to proper concentration levels to protect the system freezing during operation shutdown.
  - c. System circulators must operate continuously for a minimum of 30 days after the system is filled to ensure the glycol and water does not separate in a static system.
2. Do not use ethylene glycol with engineered polymer (EP) components due to chemical compatibility issues. The PEX tubing manufacturer recommends the use of inhibited propylene glycol for hydronic snow and ice melting systems. Refer to the boiler manufacturer's recommendations.

3.04 FIELD QUALITY CONTROL

A. Site Tests

1. Piping: Test prior to concealment, insulation being applied, and connection to equipment, fixtures, or specialties. Conduct tests with all valves but those used to isolate the test section 10 percent closed.
2. Leaks: Repair all leaks and retest until stipulated results are achieved.
3. Notification: Provide the Port 48 hours notice in advance of each test. Failure to so notify will require test to be rescheduled.
4. Testing Equipment: Provide all necessary pumps, gauges, connections and similar items required to perform the tests.
5. Piping: Test all piping as noted below, with no leaks or loss in pressure for time indicated. Repair or replace defective piping until tests are completed successfully:

System	Test Pressure	Test Medium	Test Duration
Glycol water	Per manufacturers requirements but not less than 3 times operating pressure	water	4 hours
Heating water	150 psig	water	4 hours

6. Test all electrical controls in accordance with respective installation manuals.

### 3.05 ADJUSTING

#### A. Balancing Across the Manifold

1. Balance all loops across each manifold for equal flow resistance based on actual loop lengths and total manifold flow.
2. Balancing is unnecessary when all loop lengths across the manifold are within 3 percent of each other in length. Install the supply and return piping to the manifold in a reverse-return configuration to ensure self-balancing.

B. Balancing between manifolds is accomplished with a flow control device installed on the return piping leg from each manifold when direct return piping is used for the supply and return mains.

C. Adjust all boiler and system controls after the system has stabilized to ensure proper operation in accordance with the system design.

### 3.06 CLEANING

A. Remove temporary coverings and protection of adjacent work areas.

B. Repair or replace damaged installed products.

C. Clean installed products in accordance with manufacturer's instructions prior to owner's acceptance.

D. Remove construction debris from project site and legally dispose of debris.

### 3.07 DEMONSTRATION

A. Demonstrate operation of hydronic snow and ice melting system to owner's personnel.

B. Advise the owner's representative about the type and concentration of glycol/water solution used in the hydronic snow and ice melting system.

1. The owner monitors the solution effectiveness through an established maintenance program as outlined by the glycol manufacturer.

### 3.08 PROTECTION

A. Protect installed work from damage caused by subsequent construction activity on the site.

**END OF SECTION**

SECTION 26 0500 - COMMON WORK RESULTS FOR ELECTRICAL

1.1 GENERAL:

Project Manual General Conditions are a part of this Division. All work shall be done in strict accordance with the latest applicable issue of the National Electrical Code, local Codes and utility company requirements. All equipment is to be UL approved. The Contractor shall bear the cost of all fees, permits, licenses and taxes. Utility company charges for the permanent electric service shall be included in the Contractor's Bid.

Submit six (6) copies of manufacturer's drawings of electrical devices to the Owner for approval. Submit information on any other equipment to be used when requested by the Owner or Engineer.

The Contractor shall provide a guarantee covering all material and workmanship for one (1) year following the date of acceptance.

The Contractor shall examine the Drawings and Specifications of other trades to determine the extent of his work. He shall visit the site and familiarize himself with the project and local conditions before submitting his Bid as he shall be held responsible for any assumptions made thereof. The Drawings are diagrammatic and indicate the general arrangement of systems and work included in the Contract. If so directed by the Engineer, the Contractor shall, without extra charge, make reasonable modifications in the layout to prevent conflict with those of other trades and for proper installation of work. The Contractor shall coordinate locations of equipment with trades before starting construction. Any modifications to the equipment layout required for installation are to be performed at no additional cost to the Owner.

The Contractor shall arrange his work so that any power outage does not interfere with the Owner's operation.

1.2 SCOPE OF WORK:

The project consists of the replacement of existing parking lot luminaires with new LED units on existing poles, the installation of three new fixture/pole/concrete base assemblies and associated wiring, new lighting control time clock and contactor, removal of line and low voltage cables and raceways to two existing parking lot gates, installation of 240v circuits and data control cables to new parking lot gate motors with associated raceways, power connections to two snow melt system circulation pumps. Replacement of existing light fixture at garage entry man door and installation of new flood light over garage roll up door.

The Contractor shall be responsible for relocating or modifying existing equipment and wiring required for new construction.

The electrical system shall be complete in all respects, tested, approved and ready for the beneficial use of the Owner.

### 1.3 WORK BY OTHERS:

Cutting and patching is specified under other Divisions.

Excavation and backfill is specified under other Divisions.

Delivery of wiring diagrams for Division 23 equipment is specified under Division 23. Electrical baseboard, wall heaters, ceiling exhaust fan, and water heaters shall be furnished and installed under Division 23, and wired under Division 26.

All automatic temperature control panels, thermostats, aquastats zone valves, etc., for the mechanical systems are work of Division 23. All control and power wiring and required final connections to control devices is also work of Division 23, unless otherwise noted on the Drawings.

### 1.4 FIELD MEASUREMENTS:

The Contractor shall verify in the field all measurements necessary for his work. Conduits, switches, receptacles, panels and light fixtures which have not already been installed may be relocated up to ten (10') feet from locations shown on the Drawing when so directed by the Engineer, at no cost to the Owner. Verify all exterior lighting fixture locations and mounting heights with the Owner before installation.

### 1.5 WIRING METHODS:

Electrical Metallic Tubing (EMT) shall be used for feeders and branch circuits run above ground, all branch circuit wiring, telephone/data wiring and security or fire alarm system wiring. EMT shall be used for all circuit homeruns.

Rigid galvanized steel conduit shall be used for all exposed raceways in the parking garage and exposed stub ups from below grade.

Polyvinyl chloride (PVC) conduit may be used for underground power and telephone wiring except as specifically otherwise noted on the Drawings. All elbows shall be rigid galvanized steel conduit.

Flexible Metallic Conduit (FMC) or liquidtight flexible metallic conduit (LFMC) shall be used for connections to vibrating equipment.

All wiring shall be run concealed where possible.

Wire #10 and smaller shall be solid conductor with THW or THWN insulation as required. Size #8 and larger shall be stranded conductor with Type THW insulation, unless otherwise indicated. Minimum size wire for light and power circuits shall be #12. All conductors shall be soft-annealed copper. The Contractor shall include a green ground conductor for all circuits; the use of the conduit system or cable covering as the sole means of grounding will not be permitted.

All conduits and wiring shall be run inside walls where possible. All exposed conduits shall be run neatly in lines parallel or perpendicular to building walls. All splices shall be made with Scotchlok spring connectors or acceptable equivalent. Entire wiring system shall be grounded as mentioned above. Connections to lighting fixtures and mechanical equipment shall be in flexible metallic tubing.

#### 1.6 DISCONNECT SWITCHES:

An unfused disconnect switch shall be furnished and installed for all equipment. A fused disconnect switch shall be furnished and installed for equipment located ahead of all magnetic motor starters.

Safety switches shall be heavy-duty Type in NEMA enclosures suitable for the environment in which they shall be installed. Switches shall be rated for 600 VAC as manufactured by General Electric, Square D or Westinghouse and equivalent to the following General Electric types:

Fused disconnect 2- and 3-pole - Type TH

Non-fused disconnect switches - Type THN

Fused or non-fused, rain-tight (WP) disconnect switches in NEMA 3R enclosures - Type TH and/or Type THN

Motors requiring disconnecting means remote from the Controller shall have a unfused mounted switch as close as possible to the motor.

#### 1.7 FUSES:

All fuses shall be UL listed, non-renewable type as manufactured by Bussman or acceptable equivalent. Fuses rated at 1/10 Ampere and up to 600 Amperes shall be equivalent to Bussman Type LPN-RK (250 Volt) UL Class RK1, low peak, dual-element, time delay fuses. Fuses shall have separate short circuit and overload elements and have an interrupting rating of 200,000 Amperes.

All fuses shall be installed so that the size is readily visible.

The Contractor shall furnish to the Owner six (6) spare fuses for each size of fuse.

#### 1.8 POWER, MOTOR AND EQUIPMENT WIRING:

The Contractor shall furnish and install all wiring for all motors and equipment which will be furnished and set in place by work of other sections on this project.

Conduit connections to motor frames shall have minimum of 18 inches of flexible steel sealtite conduit to reduce vibrations and noise being transferred to other parts of the buildings.

#### 1.9 OUTLET AND JUNCTION BOXES:

Outlet boxes for light fixtures in concrete walls or slabs shall be 4-inch octagonal mud boxes not less than 2-1/2-inches deep. Include fixture studs where required.

Switch and receptacle outlet boxes in masonry walls and partitions where wiring is concealed shall be standard 4-inches square, 1-1/2 inches-deep, galvanized, with extension cover for the particular device they will receive. Use plaster extensions not less than 1/2-inch deep for boxes installed in plastered walls or cast in concrete. Use 1-1/2-inch deep square corner tile wall extension for boxes installed in tile, exposed brick or exposed block masonry walls.

All boxes shall be securely fastened to the building structure. Suitable means shall be provided to support the outlet box to take the weight of the fixture. Recessed outlet boxes or their extension covers shall be set flush with face of finished wall, but in no case set greater than 1/4 inch behind finished face of wall. Receptacle boxes shall be approximately 18 inches on center above the finished floor, unless otherwise noted. Switch outlets shall be located 48 inches above finished floor, unless otherwise noted. The Contractor shall check with the Architectural Drawings for possible interference.

Junction and outlet boxes where exposed to the weather and wet locations shall be threaded hub type and provided with watertight screw-on covers and gaskets. Floor outlets shall be adjustable type and waterproof where required.

#### 1.10 LIGHTING FIXTURES:

The Contractor shall furnish and install all lighting equipment as shown and specified complete with lamps ready for operation.

Provide all required poles, bases, supports for fixtures.

#### 1.11 FIRE-STOPS AND SEALS:

All penetrations through fire rated walls, ceilings or floors in which cables or conduits pass shall be sealed with a UL approved fire-stop fitting classified for an hourly rating equivalent to the rating of the wall, ceiling or floor.

Through wall and floor seals shall be used to provide a positive means of sealing pipes or conduits which pass through concrete foundation of a structure below grade or below ground water level.

All openings shall be sealed as required by the NEC.

#### 1.12 WARNING TAPE:

Color-coded warning ribbon composed of a solid, aluminum foil core encased in a protective plastic jacket shall be placed above all buried electrical and communication utility lines. All tapes shall be highly visible, color-coded and imprinted with the appropriate warning legend. The tape width shall vary from a two (2") inch wide tape buried ten (10") inches below the surface to an 18-inch wide tape buried 50 inches below the surface.

Standard legends shall be marked continuously along the entire length of the tape. A red safety tape imprinted with "CAUTION - ELECTRIC LINE BURIED BELOW" shall be used for all buried primary and secondary electric services. Orange safety tapes shall be imprinted with "CAUTION - TELEPHONE LINE BURIED BELOW" or "CAUTION - TELEVISION CABLE BURIED BELOW".

Warning tapes shall be as manufactured by Allen Systems, Houston, Texas or acceptable equivalent.

**1.13 SYSTEMS OPERATIONAL MANUALS:**

Upon completion of the work and at a time designated by the Engineer, the Contractor shall furnish instruction manuals, data, warranties, etc., and instruct the Owner or his representative as to the arrangement, location and operation of all equipment and systems furnished and installed under the Contract.

Contractor shall provide as-built documents to the Owner at the completion of the project.

**1.14 DEMOLITION:**

Disconnect, remove, and properly dispose of all electrical work not being reused as part of this project. All conductors made obsolete by this project shall be removed back to their source of supply. All abandoned conduits shall be capped.

The existing electrical services in the building must remain in operation during the renovation process. The Contractor shall maintain continuity of circuits for existing electrical items which remain.

**1.15 SHOP DRAWINGS:**

The following list of electrical items must be submitted by this Contractor for approval:

Conduit and wire with fittings and connectors

Safety switches

Time clocks

Contactors and relays

Photocells

All lighting fixtures (submit samples as requested)

Motor disconnects

**1.16 GENERAL WIRING TESTS:**

At the time of final inspection and test, all wiring and connections throughout the expansion areas must be completed, devices and equipment properly operating, all lighting fixtures installed, and power and lighting circuit and control wiring clearly identified with approved tags ready for acceptance. Each system shall test free from short circuits and from grounds.

Insulation resistance for low voltage cables and wiring shall be performed at 1000 Volt D.C. for one-half (1/2) minute. When insulation resistance must be determined, all switchboards, panelboards, fuse holders, switches, and overcurrent devices shall be in place, and the insulation resistance when tested at 500 Volts D.C. shall be no less than 100,000 ohms for #14 and #12 wire and 250,000 ohms for #10 wire and larger.

THIS PAGE INTENTIONALLY LEFT BLANK



SECTION 31 25 00 – SEDIMENTATION AND EROSION CONTROLS

PART 1 – GENERAL

1.01 RELATED DOCUMENTS

- A. The Contractor, Subcontractors, and/or suppliers providing goods or services referenced in or related to this Section shall also be bound by the Documents identified in Division 01.

1.02 DESCRIPTION

- A. Work Included: Controlling sedimentation and erosion as shown on the Drawings and as specified.

1.03 RELATED WORK DESCRIBED ELSEWHERE

- A. Section 02 41 00 – Demolition.

1.04 REFERENCES

- A. Wherever reference is made to the DOT Specifications, it shall mean the Connecticut Department of Transportation Standard Specifications for Roads, Bridges, and Incidental Construction Form 817 as modified by Supplemental Specifications issued by the Connecticut Department of Transportation.

PART 2 – PRODUCTS

2.01 HAY BALES AND STAKES

- A. Hay Bales: Forty pounds minimum weight and 120 pounds maximum weight.
- B. Wood Stakes:
  - 1. Two (2) per bale for securing bales.
  - 2. Sizes: As shown on the Drawings.

2.02 MATERIALS FOR SILT FENCE

- A. Filter Fabric; Filter Cloth:
  - 1. Subarticle M.08.01-26, DOT Specifications.
  - 2. Obtain manufacturer's certification that filter fabric conforms to the requirements of these Specifications.
  - 3. Obtain the filter fabric from a manufacturer who produces the material for use in silt fences and who has a design for that use.

4. Do not use fabric susceptible to deterioration in sunlight.
  5. Submit 2-foot square sample and technical data sheet for acceptance by the Owner.
  6. Submit manufacturer's installation instructions for acceptance by the Owner.
- B. Posts or Other Suitable Mounting:
1. Lengths of wood posts: As shown on the Drawings. Cross-section dimensions: As recommended by filter fabric manufacturer.
  2. Other Suitable Mounting: As recommended by the manufacturer.
- C. Provide materials as required by the manufacturer for attaching fabric to posts.

#### 2.03 MATERIALS FOR ANTI-TRACKING PAD

- A. Crushed Stone: Sound, tough and durable; free from soft, thin, elongated or laminated pieces and vegetable or other deleterious substances. Grading: Article M.01.01, DOT Specifications No. 4.
- B. Filter Cloth: Subarticle M.08.01-26, DOT Specifications.

#### 2.04 MATERIALS FOR EROSION CONTROL BLANKETS

- A. Biodegradable wood excelsior, straw, or coconut-fiber mat enclosed in a photodegradable plastic mesh. Include manufacturer's recommended steel wire staples, 6 inches long.

### PART 3 – EXECUTION

#### 3.01 PLACING HAY BALES

- A. Place hay bales at slopes, at catch basins, and at other locations as shown on the Drawings.
- B. Embed hay bales to a depth of 6 inches.
- C. Drive stakes through hay bales into ground to secure hay bales.
- D. Place and stake hay bales at all locations as necessary to intercept and to filter overland stormwater flows before these flows enter streams or ponds.
- E. Whenever pumping water from excavations, discharge the water such that it passes through hay bales before entering a storm drain or water body.
- F. Remove accumulated sediment and replace bales when system becomes clogged or when directed by the Construction Manager.
- G. Remove hay bales at completion of project unless the Construction Manager directs otherwise.

3.02 CONSTRUCTION AND MAINTENANCE OF SILT FENCES

- A. Construct silt fences as shown on the Drawings.
- B. Construct silt fences in accordance with manufacturer's instructions as accepted by the Construction Manager.
- C. Maintain or replace silt fences until they are no longer necessary or as ordered by the Construction Manager.
- D. Remove silt fences at completion of project unless the Construction Manager directs otherwise.

3.03 CONSTRUCTION AND MAINTENANCE OF ANTI-TRACKING PAD

- A. Construct anti-tracking pad at location shown on the Drawings.
- B. Excavate to length, width and depth dimensions as shown on the Drawings.
- C. Place filter cloth on excavated subgrade.
- D. Place crushed stone on filter cloth to depth as shown on the Drawings.
- E. Maintain the entrance in a condition that will prevent tracking or flowing of sediment onto the public right-of-way. When necessary, increase thickness by adding additional crushed stone; or increase length by excavating to subgrade and placing additional filter cloth and crushed stone; or do both in order to prevent tracking or flowing of sediment. Immediately remove all sediment spilled, dropped, washed or tracked onto the public right-of-way.
- F. Remove anti-tracking pad at completion of project unless the Construction Manager directs otherwise or at a time when permanent access can be constructed.

3.04 CONSTRUCTION OF EROSION CONTROL BLANKETS

- A. Protect seeded areas with slopes exceeding 1V:3H or as indicated on the plans with erosion-control blankets installed and stapled according to manufacturer's written instructions.

3.05 COMPLIANCE WITH GUIDELINES AND PERMITS

- A. The Contractor shall review the CTDEEP guidelines (Connecticut Guidelines for Soil Erosion and Sediment Control), and the requirements of the General Permit for the Discharge of Stormwater and Dewatering Wastewaters Associated with Construction Activities prior to any site disturbance.
- B. Inspection shall be performed in accordance with the General Permit as directly cited below:
  - 1. "Qualified personnel (provided by the permittee) shall inspect disturbed areas of the construction activity that have not been finally stabilized, structural control measures, and locations where vehicles enter or exit the site at least once every

seven calendar days and within 24 hours of the end of a storm that is 0.1 inches or greater. Where sites have been temporarily or finally stabilized, such inspection shall be conducted at least once every month for three months.”

2. “Disturbed areas used for storage of materials that are exposed to precipitation shall be inspected for evidence of, or the potential for, pollutants entering the drainage system. Erosion and sediment control measures shall be observed to ensure that they are operating correctly. Where discharge locations or points are assessable, they shall be inspected to ascertain whether erosion control measures are effective in preventing significant impacts to receiving waters. Locations where vehicles enter or exit the site shall be inspected for evidence of off-site sediment tracking.”
  3. “Based on the results of the inspection, the description of the potential sources and pollution prevention measures identified in the Plan shall be revised as appropriate as soon as practicable after such inspection. Such modification shall provide for timely implementation of any changes to the site within 24 hours and implementation of any changes to the Plan within three calendar days following the inspection. The plan shall be revised and the site controls updated in accordance with the General Permit.”
- C. Stormwater runoff shall be directed away from disturbed areas whenever possible by the use of temporary berms, swales, hay bales, or silt fence.
- D. In areas where more than 2 acres will be disturbed, sediment traps or other controls will be constructed in accordance with the guidelines.
- E. For discharge points that serve an area with more than 5 disturbed acres at one time, a sediment basin, designed in accordance with the guidelines, shall be installed and shall provide a minimum of 134 cubic yards of water storage per acre drained. The sediment basin shall be maintained until final stabilization of the contributing area. This requirement shall not apply to flows from off-site areas and flows from the site that are either undisturbed or have undergone final stabilization where such flows are diverted around the sediment basin. Outlet structures from sedimentation basins shall not encroach upon a wetland.
- F. The Owner or its representative may require additional controls, as they are deemed necessary due to construction phasing, weather conditions, or other unforeseen conditions that cause excessive soil erosion or sedimentation.

END OF SECTION 31 25 00

## SECTION 32 13 13 - CONCRETE PAVEMENT

### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Provide all materials, labor, equipment, and services necessary to furnish and install cast-in-place concrete in accordance with the Drawings and Specifications. Concrete in this section is limited to exterior cement concrete pavement for the following:
  - 1. Concrete pavement.

#### 1.3 REFERENCES

- A. State of Connecticut Department of Transportation "Standard Specifications for Roads, Bridges and Incidental Construction", Form 817, as amended and including the current supplemental specifications.

#### 1.4 SUBMITTALS

- A. Product Data: Submit manufacturer's product data, installation instructions, use limitations and recommendations for each material.
- B. Test and Performance Data: Submit independent test data substantiating the product's ability to reduce concrete permeability by chlorides and other aggressive chemicals. Submit name and location of primary manufacturing source of cement, aggregates, admixtures and proposed for use on the project.
- C. Material Test Reports: From a qualified testing agency indicating and interpreting test results for compliance of the following with requirements indicated, based on comprehensive testing of current materials:
  - 1. Cementitious materials and aggregates.
  - 2. Steel reinforcement and reinforcement accessories.
  - 3. Curing compounds.
  - 4. Admixtures.
  - 5. Applied finish materials.
  - 6. Bonding agent or adhesive.
  - 7. Joint fillers.
  - 8. Dowel systems.
- D. Material Certificates: Signed by manufacturers certifying that each of the following materials complies with requirements:
  - 1. Cementitious materials and aggregates.
  - 2. Steel reinforcement and reinforcement accessories.
  - 3. Curing compounds.
  - 4. Admixtures.
  - 5. Applied finish materials.
  - 6. Bonding agent or adhesive.
  - 7. Joint fillers.
  - 8. Dowel systems.

- 9. Concrete Hardener.
- 10. Extruded Polystyrene Foam.

- E. Joint Layout Plan: Submit proposed joint layout plan showing joint locations/types and concrete reinforcement of all concrete pavement and walks to Engineer for approval.

#### 1.5 QUALITY ASSURANCE

- A. Installer Qualifications: An experienced installer who has completed pavement work similar in material, design, and extent to that indicated for this Project and shall hold a current ACI flatwork certification.
- B. Manufacturer Qualifications: Manufacturer of ready-mixed concrete products complying with ASTM C 94 requirements for production facilities and equipment and approved by the CT DOT.
- C. Testing Agency Qualifications: An independent testing agency, acceptable to authorities having jurisdiction, qualified according to ASTM C 1077 and ASTM E 329 to conduct the testing indicated, as documented according to ASTM E 548 and approved by the CT DOT for testing.
- D. Source Limitations: Obtain each type or class of cementitious material of the same brand from the same manufacturer's plant and each aggregate from one source.
- E. ACI Publications: Comply with ACI 301, "Specification for Structural Concrete," unless modified by the requirements of the Contract Documents.
- F. Concrete Testing Service: Engage a qualified independent testing agency to perform material evaluation tests and to design concrete mixes.
- G. Manufacturer's Representative: A representative of the manufacturer shall be present for project start-up during initial concrete placement. Engineer may waive requirement for manufacturer's representative if Contractor provides sufficient evidence that producer and finisher have adequate experience with admixtures required.
- H. Pre-installation Conference: Conduct conference at Project site to comply with requirements in Division 01 Section "Project Meetings."
  - 1. Before submitting design mixes, review concrete pavement mix design and examine procedures for ensuring quality of concrete materials. Require representatives of each entity directly concerned with concrete pavement to attend, including the following:
    - a. Contractor's superintendent.
    - b. Independent testing agency responsible for concrete design mixes.
    - c. Ready-mix concrete producer.
    - d. Concrete subcontractor.

#### 1.6 PROJECT CONDITIONS

- A. Call "CALL BEFORE YOU DIG (1-800-922-4455) at least 72 work hours prior to any excavation.
- B. Traffic Control: Maintain access for vehicular and pedestrian traffic as required for other construction activities.

- C. Perform work only when existing and forecasted weather conditions are within the limits established by the manufacturer of the materials and products used.
- D. Cold-Weather Concrete Placement: Protect concrete work from physical damage or reduced strength that could be caused by frost, freezing, or low temperatures. Comply with ACI 306.1 and the following:
  - 1. When air temperature has fallen to or is expected to fall below 40 deg F, uniformly heat water and aggregates before mixing to obtain a concrete mixture temperature of not less than 50 deg F and not more than 80 deg F at point of placement.
  - 2. Do not use frozen materials or materials containing ice or snow.
  - 3. Do not use calcium chloride, salt, or other materials containing antifreeze agents or chemical accelerators unless otherwise specified and approved in design mixtures.
- E. Hot-Weather Concrete Placement: Comply with ACI 301 and as follows when hot-weather conditions exist:
  - 1. Cool ingredients before mixing to maintain concrete temperature below 90 deg F at time of placement. Chilled mixing water or chopped ice may be used to control temperature, provided water equivalent of ice is calculated in total amount of mixing water. Using liquid nitrogen to cool concrete is Contractor's option.
  - 2. Cover steel reinforcement with water-soaked burlap, so steel temperature will not exceed ambient air temperature immediately before embedding in concrete.
  - 3. Fog-spray forms, steel reinforcement, and subgrade just before placing concrete. Keep subgrade moisture uniform without standing water, soft spots, or dry areas.

## PART 2 - PRODUCTS

### 2.1 CONCRETE MATERIALS

- A. Cement: ASTM C150, Type I - Normal Portland type, as specified on Drawings.
- B. Normal Weight Aggregates:
  - 1. Fine Aggregates: Natural washed sand of hard and durable particles.
  - 2. Coarse Aggregate Maximum Size: Crushed rock or washed gravel consisting of hard durable fragments of rock of uniform quality throughout and meeting ASTM C33, Severity Class 3 S, Grading Size No. 67.
- C. Water: ACI 318; clean, potable, not detrimental to concrete, and without deleterious amounts of chloride ions.

### 2.2 FORMS

- A. Form Materials: Wood or metal and shall conform to the requirements of Section 9.21.03 for forms in CT DOT Form 817.
  - 1. Use flexible or curved forms for curves of a radius 100 feet or less.

- B. Form-Release Agent: Commercially formulated form-release agent that will not bond with, stain, or adversely affect concrete surfaces and will not impair subsequent treatments of concrete surfaces.

2.3 STEEL REINFORCEMENT

- A. Welded Wire Fabric: Shall be epoxy coated and meet CT DOT 817 Section M.06.01.
- B. Reinforcement Bars: Shall be epoxy coated and meet CT DOT 817 Section M.06.01.
- C. Joint Dowel Bars: Shall be epoxy coated and meet CT DOT 817 Section M.06.01.
- D. Tie Bars: ASTM A 615/A 615M, Grade 60 (Grade 420), deformed.
- E. Hook Bolts: ASTM A 307, Grade A (ASTM F 568M, Property Class 4.6), internally and externally threaded. Design hook-bolt joint assembly to hold coupling against pavement form and in position during concreting operations, and to permit removal without damage to concrete or hook bolt.
- F. Bar Supports: Bolsters, chairs, spacers, and other devices for spacing, supporting, and fastening reinforcement bars, welded wire fabric, and dowels in place. Manufacture bar supports according to CRSI's "Manual of Standard Practice" from steel wire, plastic, or precast concrete or fiber-reinforced concrete of greater compressive strength than concrete, and as follows:
  - 1. For epoxy-coated reinforcement, use epoxy-coated or other dielectric-polymer coated wire bar supports.
- G. Epoxy Repair Coating: Repair per A780 Annex 2 and CT DOT Form 817 Section 6.02 requirements.
- H. Galvanized Steel Repair Coating: Repair per A780 Annex 2 and CT DOT Form 817 Section 6.02 requirements.

2.4 CONCRETE MATERIALS

- A. General: Use the same brand and type of cementitious material (includes aggregate, water, air-entrained admixture, and chemical admixtures) from the same manufacturer throughout the Project. Select proportions for normal weight concrete in accordance with ACI 301.
- B. Provide concrete to the following criteria, unless shown otherwise on the Drawings:

Material and Property	All Concrete
Compressive Strength (28 day)	Min 4,400 psi
Cement Type	ASTM C150
Cement Content	Min 500 lbs/cy



Fly Ash Content	Max 5% of cementitious materials by weight
Aggregate Type	Normal weight
Aggregate Size (maximum)	3/4 inch
Slump	4.5 inches plus or minus 1.5 inches
Air Content	6.0% plus or minus 1.5%
Water-Cementitious Materials Ratio	Max 0.45
Reinforcing Bar Cover (minimum)	2 inches

## 2.5 CURING MATERIALS

- A. Cotton Mats: cotton cloth weighing approximately 6.3 oz./sq. yd. dry or burlap (or jute) covering weighing approximately 6.7 oz./sq. yd. dry.
- B. Waterproof Paper: waterproof paper in conformance with AASHTO C 171, polyethylene film or white burlap-polyethylene sheet.
- C. Water: Potable.
- D. White Polyethylene Sheeting (Film): White film conforming to AASHTO M 171.

## 2.6 ADMIXTURES

- A. Furnish materials in accordance with municipality and CT DOT Form 817.
- B. All admixtures and curing compounds shall be from one manufacturer or else the Ready Mix Concrete Producer shall certify compatibility
- C. Air Entrainment: ASTM C260. Air Mix by Euclid, Micro Air by Master Builders, Daravair by W.R. Grace.
- D. Chemical: ASTM C494 Type A - Water Reducing Type B - Retarding Type C Accelerating Type D - Water Reducing and Retarding Type E - Water Reducing and Accelerating

## 2.7 JOINT DEVICES AND FILLER MATERIALS

- A. Joint Filler: ASTM D1751 Asphalt saturated cellulosic fiber strip.

- B. Steel diamond shape load plates shall be utilized at expansion joints.

## 2.8 SALTGUARD PRODUCT

- A. Acceptable manufacturer: Prosoco, Rainguard, Lith-Tek.
- B. Material:
  1. General-purpose silane/siloxane water repellent and chloride screen for concrete; reduces rebar corrosion and surface spalling
  2. Clear Liquid
  3. Weight/gallon: 6.61 lbs
  4. Active Content: 11%

## 2.9 CONCRETE HARDENER

- A. Acceptable manufacturer: W.R. Meadows, Proscor, Aquaron.

## 2.10 EXTRUDED POLYSTYRENE FOAM

- A. Acceptable manufacturer: Owens Corning, DOW, Wedi.
- B. Extruded Polystyrene Board Insulation: Comply with ASTM C 578, Type IV, 25 psi minimum compressive strength, 1.55 lb/cu. ft. or greater.
- C. Board Insulation:
  1. Thermal Resistance: (180 day real-time aging as mandated by ASTM C578, measured per ASTM C 518 at mean temperature of 75F): R-5.0 per inch of thickness, with 90% lifetime limited warranty on thermal resistance.
  2. Blowing Agent Formulation: Zero ozone depleting.
  3. Edge Condition: Square.
  4. Surface Burning Characteristics (ASTM E 84): Flame spread less than 25, smoke developed less than 450, certified by independent third party such as Underwriters Laboratories (UL).
  5. Warranty: Limited lifetime warranty covering all ASTM C578 physical properties.
  6. Panel Size: Provide 2" thick by 4 ft. wide by 8 ft. long or other standard dimensions.

## 2.11 PAINT

- A. Acceptable manufacturer: Sherman Williams, RAE, Rustoleum.
- B. Paint to be exterior grade enamel paint in safety yellow color.

## PART 3 - EXECUTION

### 3.1 PREPARATION

- A. Proof-roll prepared sub-base surface to check for unstable areas and verify need for additional compaction. Proceed with pavement only after nonconforming conditions have been corrected and subgrade is ready to receive pavement.
- B. Remove loose material from compacted sub-base surface immediately before placing concrete.

### 3.2 EDGE FORMS AND SCREED CONSTRUCTION

- A. Set, brace, and secure edge forms, bulkheads, and intermediate screed guides for pavement to required lines, grades, and elevations. Install forms to allow continuous progress of work and so forms can remain in place at least 24 hours after concrete placement.
- B. Clean forms after each use and coat with form release agent to ensure separation from concrete without damage.

### 3.3 STEEL REINFORCEMENT

- A. General: Comply with CRSI's "Manual of Standard Practice" for fabricating reinforcement and with recommendations in CRSI's "Placing Reinforcing Bars" for placing and supporting reinforcement.
  - 1. Apply epoxy repair coating to uncoated or damaged surfaces of epoxy-coated reinforcement.
- B. Clean reinforcement of loose rust and mill scale, earth, ice, or other bond-reducing materials.
- C. Arrange, space, and securely tie bars and bar supports to hold reinforcement in position during concrete placement. Chairs should be spaced no greater than 18 inches on center. Maintain minimum cover to reinforcement.
- D. Install welded wire fabric in lengths as long as practicable. Lap adjoining pieces at least one full mesh, and lace splices with wire. Offset laps of adjoining widths to prevent continuous laps in either direction.
- E. Install fabricated bar mats in lengths as long as practicable. Handle units to keep them flat and free of distortions. Straighten bends, kinks, and other irregularities, or replace units as required before placement. Set mats for a minimum 12-inch overlap to adjacent mats.

### 3.4 JOINTS

- A. General: Construct construction, isolation, and contraction joints and tool edgings true to line with faces perpendicular to surface plane of concrete. Construct transverse joints at right angles to centerline, unless otherwise indicated.

1. When joining existing pavement, place transverse joints to align with previously placed joints, unless otherwise indicated.
- B. Construction Joints: Set construction joints at side and end terminations of pavement and at locations where pavement operations are stopped for more than one-half hour, unless pavement terminates at isolation joints.
1. Continue reinforcement across construction joints, unless otherwise indicated. Do not continue reinforcement through sides of pavement strips, unless otherwise indicated.
  2. Provide tie bars at sides of pavement strips where indicated.
  3. Use a bonding agent at locations where fresh concrete is placed against hardened or partially hardened concrete surfaces.
  4. Use epoxy bonding adhesive at locations where fresh concrete is placed against hardened or partially hardened concrete surfaces.
- C. Isolation Joints: Form isolation joints of preformed joint-filler strips abutting concrete curbs, catch basins, manholes, inlets, structures, walks, other fixed objects, and where indicated.
1. Locate isolation joints at intervals of 50 feet, unless otherwise indicated.
  2. Extend joint fillers full width and depth of joint.
  3. Terminate joint filler  $\frac{3}{4}$  inch below finished surface and fill top with sealant.
  4. Furnish joint fillers in one-piece lengths. Where more than one length is required, lace or clip joint-filler sections together.
  5. Protect top edge of joint filler during concrete placement with metal, plastic, or other temporary preformed cap. Remove protective cap after concrete has been placed on both sides of joint.
- D. Contraction Joints: Form weakened-plane contraction joints, sectioning concrete into areas as indicated. Construct contraction joints for a depth equal to at least one-fifth of the concrete thickness, as follows:
1. Grooved Joints: Form contraction joints after initial floating by grooving and finishing each edge of joint with groover tool to the following radius. Repeat grooving of contraction joints after applying surface finishes. Eliminate groover marks on concrete surfaces.
    - a. Radius: 1/4 inch.
  2. Sawed Joints: Form contraction joints with power saws equipped with shatterproof abrasive or diamond-rimmed blades. Cut 1/8-inch. wide joints into concrete when cutting action will not tear, abrade, or otherwise damage surface and before developing random contraction cracks.
- E. Edging: Tool edges of pavement, gutters, curbs, and joints in concrete after initial floating with an edging tool to the following radius. Repeat tooling of edges after applying surface finishes. Eliminate tool marks on concrete surfaces.
1. Radius: 1/4 inch.

### 3.5 CONCRETE PLACEMENT

- A. Inspection: Before placing concrete, inspect and complete formwork installation, reinforcement steel, and items to be embedded or cast in. Notify other trades to permit installation of their work.
- B. Remove snow, ice, or frost from sub-base surface and reinforcement before placing concrete. Do not place concrete on frozen surfaces.
- C. Moisten sub-base to provide a uniform dampened condition at the time concrete is placed. Do not place concrete around manholes or other structures until they are at the required finish elevation and alignment.
- D. Comply with requirements and with recommendations in ACI 304R for measuring, mixing, transporting, and placing concrete.
- E. Do not add water to concrete during delivery, at Project site, or during placement.
- F. Deposit and spread concrete in a continuous operation between transverse joints. Do not push or drag concrete into place or use vibrators to move concrete into place.
- G. Consolidate concrete by mechanical vibrating screed supplemented by hand-spading, rodding, or tamping. Use equipment and procedures to consolidate concrete according to recommendations in ACI 309R.
  - 1. Consolidate concrete along face of forms and adjacent to transverse joints with an internal vibrator. Keep vibrator away from joint assemblies, reinforcement, or side forms. Use only square-faced shovels for hand-spreading and consolidation. Consolidate with care to prevent dislocating reinforcement, dowels, and joint devices.
- H. Place concrete in two operations; strike off initial pour for entire width of placement and to the required depth below finish surface. Lay welded wire fabric or fabricated bar mats immediately in final position. Place top layer of concrete, strike off, and screed.
  - 1. Remove and replace portions of bottom layer of concrete that have been placed more than 15 minutes without being covered by top layer, or use bonding agent if approved by Engineer.
- I. Screed pavement surfaces with a straightedge and strike off. Commence initial floating using bull floats or derbies to form an open textured and uniform surface plane before excess moisture or bleed water appears on the surface. Do not further disturb concrete surfaces before beginning finishing operations or spreading dry-shake surface treatments.
- J. Curbs and Gutters: When automatic machine placement is used for curb and gutter placement, submit revised mix design and laboratory test results that meet or exceed requirements. Produce curbs and gutters to required cross section, lines, grades, finish, and jointing as specified for formed concrete. If results are not approved, remove and replace with formed concrete.
- K. Slip-Form Pavers: When automatic machine placement is used for pavement, submit revised mix design and laboratory test results that meet or exceed requirements. Produce pavement to required thickness, lines, grades, finish, and jointing as required for formed pavement.
  - 1. Compact sub-base and prepare subgrade of sufficient width to prevent displacement of paver machine during operations.

- L. When adjoining pavement lanes are placed in separate pours, do not operate equipment on concrete until pavement has attained 85 percent of its 28-day compressive strength.
- M. Cold-Weather Placement: Comply with ACI 306.1 and as follows. Protect concrete work from physical damage or reduced strength that could be caused by frost, freezing actions, or low temperatures.
  - 1. When air temperature has fallen to or is expected to fall below 40 deg F, uniformly heat water and aggregates before mixing to obtain a concrete mixture temperature of not less than 50 deg F and not more than 80 deg F at point of placement.
  - 2. Do not use frozen materials or materials containing ice or snow.
  - 3. Do not use calcium chloride, salt, or other materials containing antifreeze agents or chemical accelerators, unless otherwise specified and approved in mix designs.
- N. Hot-Weather Placement: Place concrete according to recommendations in ACI 305R and as follows when hot-weather conditions exist:
  - 1. Cool ingredients before mixing to maintain concrete temperature at time of placement below 90 deg F (32 deg C). Chilled mixing water or chopped ice may be used to control temperature, provided water equivalent of ice is calculated to total amount of mixing water. Using liquid nitrogen to cool concrete is Contractor's option.
  - 2. Cover reinforcement steel with water-soaked burlap so steel temperature will not exceed ambient air temperature immediately before embedding in concrete.
  - 3. Fog-spray forms, reinforcement steel, and subgrade just before placing concrete. Keep subgrade moisture uniform without standing water, soft spots, or dry areas.

### 3.6 CONCRETE FINISHING

- A. General: Wetting of concrete surfaces during screeding, initial floating, or finishing operations is prohibited.
- B. Float Finish: Begin the second floating operation when bleed-water sheen has disappeared and the concrete surface has stiffened sufficiently to permit operations. Float surface with power-driven floats, or by hand floating if area is small or inaccessible to power units. Finish surfaces to true planes. Cut down high spots, and fill low spots. Refloat surface immediately to uniform granular texture.
  - 1. Medium Textured Broom Finish: Provide a coarse finish by striating float-finished concrete surface 1/16 to 1/8 inch deep with a stiff-bristled broom, perpendicular to line of traffic.

### 3.7 CONCRETE PROTECTION AND CURING

- A. General: Protect freshly placed concrete from premature drying and excessive cold or hot temperatures. Comply with ACI 306.1 for cold-weather protection and follow recommendations in ACI 305R for hot-weather protection during curing.
- B. Evaporation Retarder: Apply evaporation retarder to concrete surfaces if hot, dry, or windy conditions cause moisture loss approaching 0.2 lb/sq. ft. x h before and during finishing operations if needed. Apply according to manufacturer's written instructions after placing, screeding, and bull floating or darbying concrete, but before float finishing.

- C. Begin curing after finishing concrete, but not before free water has disappeared from concrete surface.
- D. Curing Methods: Wet cure concrete by utilizing a non-marking curing paper or other curing cover similar to Hydra Cure Cover S16. A dissipating curing compound may be used if moisture curing is not feasible for site and is approved by Engineer in writing.
- E. After concrete has completely cured, apply Salt Guard product in a single penetrating application. Broom out all puddles thoroughly until they penetrate the surface. Protect treated surface from foot and vehicle traffic for a minimum of 6 hours.

### 3.8 PAVEMENT TOLERANCES

- A. Comply with tolerances of ACI 117 and as follows:
  - 1. Elevation: 1/4 inch.
  - 2. Thickness: Plus 3/8 inch, minus 1/4 inch.
  - 3. Surface: Gap below 10-foot long, unlevel straightedge not to exceed 1/4 inch.
  - 4. Lateral Alignment and Spacing of Tie Bars and Dowels: 1 inch.
  - 5. Vertical Alignment of Tie Bars and Dowels: 1/4 inch.
  - 6. Alignment of Tie-Bar End Relative to Line Perpendicular to Pavement Edge: 1/2 inch.
  - 7. Alignment of Dowel-Bar End Relative to Line Perpendicular to Pavement Edge: Length of dowel 1/4 inch per 12 inches.
  - 8. Joint Spacing: 3 inches.
  - 9. Contraction Joint Depth: Plus 1/4 inch, no minus.
  - 10. Joint Width: Plus 1/8 inch, no minus.

### 3.9 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified independent testing and inspection agency to sample materials, perform tests, and submit test reports during concrete placement according to requirements specified in this Article.
- B. Testing Services: Testing shall be performed by independent testing laboratory according to the following requirements:
  - 1. Slump: ASTM C 143; one test at point of placement for each compressive-strength test, but not less than one test for each day's pour of each type of concrete. Additional tests will be required when concrete consistency changes.
  - 2. Air Content: ASTM C 231, pressure method; one test for each compressive-strength test, but not less than one test for each day's pour of each type of air-entrained concrete.
  - 3. Compression Test Specimens: ASTM C 31/C 31M; one set of three standard cylinders for each compressive-strength test, unless otherwise indicated. Cylinders shall be molded and stored for laboratory-cured test specimens unless field-cured test specimens are required.
  - 4. Compressive-Strength Tests: ASTM C 39; one set for each day's pour of each concrete class exceeding 5 cu. yd. but less than 25 cu. yd. plus one set for each additional 50 cu. yd. One specimen shall be tested at 7 days and two specimens at 28 days
  - 5. When frequency of testing will provide fewer than five compressive-strength tests for a given class of concrete, testing shall be conducted from at least five randomly selected batches or from each batch if fewer than five are used.

6. When total quantity of a given class of concrete is less than 50 cu. yd., Engineer may waive compressive-strength testing if adequate evidence of satisfactory strength is provided.
  7. When strength of field-cured cylinders is less than 85 percent of companion laboratory-cured cylinders, current operations shall be evaluated and corrective procedures shall be provided for protecting and curing in-place concrete.
  8. Strength level of concrete will be considered satisfactory if averages of sets of three consecutive compressive-strength test results equal or exceed specified compressive strength and no individual compressive-strength test result falls below specified compressive strength by more than 500 psi.
- C. Test results shall be reported in writing to Engineer, concrete manufacturer, and Contractor within 24 hours of testing. Reports of compressive-strength tests shall contain Project identification name and number, date of concrete placement, name of concrete testing agency, concrete type and class, location of concrete batch in pavement, design compressive strength at 28 days, concrete mix proportions and materials, compressive breaking strength, and type of break for both 7- and 28-day tests. The testing laboratory must declare conformance or otherwise to the design specifications.
- D. Nondestructive Testing: Impact hammer, sonoscope, or other nondestructive device may be permitted by Engineer but will not be used as the sole basis for approval or rejection.
- E. Additional Tests: Testing agency shall make additional tests of the concrete when test results indicate slump, air entrainment, concrete strengths, or other requirements have not been met, as directed by Engineer. Testing agency may conduct tests to determine adequacy of concrete by cored cylinders complying with ASTM C 42, or by other methods as directed.

### 3.10 REPAIRS AND PROTECTION

- A. Remove and replace concrete pavement that is broken, damaged, or defective, or does not meet requirements in this Section.
- B. Drill test cores where directed by Engineer when necessary to determine magnitude of cracks or defective areas. Fill drilled core holes in satisfactory pavement areas with Portland cement concrete bonded to pavement with epoxy adhesive.
- C. Protect concrete from damage. Exclude traffic from pavement for at least 14 days after placement. When construction traffic is permitted, maintain pavement as clean as possible by removing surface stains and spillage of materials as they occur.
- D. Maintain concrete pavement free of stains, discoloration, dirt, and other foreign material. Sweep concrete pavement not more than two days before date scheduled for Substantial Completion inspections.
- E. Examine conditions of substrates and other conditions under which work is to be performed and notify Owner, in writing, of circumstances detrimental to the proper completion of the work. Do not proceed until unsatisfactory conditions are corrected.

END OF SECTION 32 13 13



SECTION 32 12 16 – BITUMINOUS CONCRETE PAVING

PART 1 – GENERAL

1.01 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.02 DESCRIPTION OF WORK

- A. Work Included: Bituminous concrete drives, parking, patching, and walks, complete in place, as shown on the Drawings and as specified herein including:
  - 1. Saw cut existing pavement as required.
  - 2. Painted pavement markings and legends.
  - 3. Maintenance and protection of pedestrian traffic as required.

1.03 QUALITY ASSURANCE

- A. Qualifications of Workmen
  - 1. Provide at least one person who shall be thoroughly trained and experienced in the skills required, who shall be completely familiar with the design and application of work described for this Section, and who shall be present at all times during progress of the work of this Section and shall direct all work performed under this Section.
  - 2. For actual finishing of bituminous concrete surfaces and operation of the required equipment, use only personnel who are thoroughly trained and experienced in the skills required.
  - 3. Contractor with a minimum of 5 years' experience installing bituminous concrete paving.

1.04 REFERENCES

- A. Wherever reference is made to the "DOT Specifications," it shall mean the Connecticut Department of Transportation Standard Specifications for Roads, Bridges and Incidental Construction Form 817 as modified by Supplemental Specifications issued by the Connecticut Department of Transportation.

1.05 SUBMITTALS

- A. Product Data: For each type of product indicated. Include technical data and tested physical and performance properties.
  - 1. Job-Mix Designs: Certification, by authorities having jurisdiction, of approval of each job mix proposed for the Work.
  - 2. Job-Mix Designs: For each job mix proposed for the Work.

- B. Material Certificates: For each paving material.
- C. Material Test Reports Certificates: For each paving material from laboratory approved by CT DOT. Testing costs are responsibility of Contractor.

## Part 2- PRODUCTS

### 2.01 MATERIALS

- A. Granular subbase shall conform to the requirements of Article M.02.02, DOT Specifications.
- B. Granular Base: Processed aggregate for the base shall conform to the requirements of Article M.05.01, DOT Specifications. Coarse Aggregate shall be broken stone conforming to the requirements of Article M.05.01-2 (b).
- C. Pavement Materials:
  - 1. Bituminous concrete mixtures conforming to the requirements of Section M.04.02 of the DOT Specifications.
    - a. Binder course: HMA S0.375
    - b. Wearing course: HMA S0.375
  - 2. In Section M.04, reference is made to the Chief, Materials Testing Section, to the Materials Testing Section, and to the Laboratory; none will be involved in this work. Do the work of the Chief, the Section, and the Laboratory; or arrange for the producer of the bituminous concrete to do this work. Make the determinations, verifications, rejections, approvals, tests, and inspections as specified by Section M.04 and as necessary to produce satisfactory bituminous mixtures.
- D. Tack Coat: Section M.04 of the DOT Specifications.
- E. Joint Sealer: A rubber compound of the hot-poured type conforming to the requirements of Article M.04.02 of the DOT Specifications.

## PART 3 - EXECUTION

### 3.01 INSPECTION

- A. Examine the areas and conditions under which work of this Section will be installed. Correct conditions detrimental to proper and timely completion of the Work. Do not proceed until unsatisfactory conditions have been corrected.

### 3.02 FINAL PREPARATION OF SUBGRADE

- A. After preparation of subgrade per DOT Specifications, thoroughly scarify and sprinkle the entire area to be paved, and then compact by rolling to a smooth, hard, even surface of 95 percent of modified optimum density to receive subbase. Finish to the required grades, with due allowance for the thickness of bituminous concrete courses to be placed thereon.

### 3.03 CONSTRUCTION OF SUBBASE AND BASE COURSE

- A. After subgrade has been completed and accepted by the Engineer, construct the subbase and base over all areas to be paved.
- B. Construct subbase in accordance with the requirements of Article 2.12.03 of the DOT Specifications, however compact with four passes of a 15-Ton (static weight) roller.
- C. Construct base in accordance with the applicable requirements of Article 3.04.03 of the DOT Specifications. Compact to at least 98 percent of modified optimum density.

### 3.04 CONSTRUCTION OF BITUMINOUS CONCRETE PAVEMENT

- A. Construct pavement in courses as called for on the Drawings. Use a superpave mix of bituminous concrete for each course as indicated on the Drawings. Thickness of each course: As shown on the Drawings.
- B. Construct the bituminous concrete pavement in accordance with Article 4.06.03 of the DOT Specifications, except as modified below:
  - 1. Article 4.06.03-1 Samples: Samples will not be taken by Materials Testing Section. Arrange for the producing plant to take its own samples to ascertain that mixtures are proper. Provide certifications. The Contractor will have the ultimate responsibility.
  - 2. Article 4.06.03-2 Mixing Plant Inspection:
    - a. Inspections, verifications, determinations, and approvals at the mixing plants will not be made by the Chief, Materials Testing Section. The Contractor will be responsible for mixtures and shall take whatever steps are required to ensure production of satisfactory mixtures. He shall certify that mixtures do meet specifications.
    - b. Weights of completed mixtures will not be required.
  - 3. Article 4.06.03-3 Mixing Plant Inspection - Field Laboratory: Delete in its entirety.
  - 4. Article 4.06.03-4: Delete "Assistant Manager of Materials Testing" and substitute "Contractor."
  - 5. Article 4.06.03-5: Delete "Assistant Manager of Materials Testing" wherever it appears and substitute "Contractor."
- C. Certifications: Furnish certified test reports, material certificates, and certificates of compliance in accordance with the requirements of Article 1.06.07 of the DOT Specifications.

3.05 PROTECTION

- A. Protect from traffic during all operations.

3.06 FINISH TOLERANCES

- A. Finish surfaces to the following tolerances.
  - 1. Subbase and Base: Plus 0.00 feet to minus 0.10 feet from line and grade shown on the Drawings.
  - 2. Bituminous Concrete Surface Course: Plus or minus 0.05 feet at any point from line and grade shown on the Drawings. No variations in surface more than 1/8 inch in a 10-foot plane.

END OF SECTION 32 12 16

SECTION 32 31 50 - BOLLARDS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SECTION INCLUDES

- A. Steel pipe bollards filled with 4400 PSI concrete
- B. Plastic Sleeve

1.4 REFERENCES

- C. Wherever reference is made to the "DOT Specifications," it shall mean the Connecticut Department of Transportation Standard Specification for Roads, Bridges, and Incidental Construction Form 817 as modified by Supplemental Specifications issued by the Connecticut Department of Transportation.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Steel Pipe Bollards shall conform to the requirements of Section 6.03 and Article M.06.02(8) and M.06.03 of DOT Specifications.
- B. Concrete for bollards shall be 4400 PSI and conform to the requirements of Section M.03 of DOT Specifications.
- C. Plastic sleeve for the bollard shall be made of high-density polyethylene with reflective tape located on the top portion.

PART 3 - EXECUTION

3.1 INSTALLATION

- 1. All work shall be in accordance with the detail as shown on the contract plans and Section 6.03 of DOT Specifications.

END OF SECTION 32 31 50

THIS PAGE INTENTIONALLY LEFT BLANK

## SECTION 33 44 16 – TRENCH DRAIN

### PART 1 – GENERAL

#### 1.1 RELATED DOCUMENTS

- A. The Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 RELATED WORK DESCRIBED ELSEWHERE

- A. Section 32 13 13 – Concrete Paving

#### 1.3 SUBMITTALS

- A. Product Data: Submit manufacturer's product data, including installation instructions.
- B. Shop Drawings: Submit manufacturer's shop drawings, indicating layout, dimensions, materials, components, and accessories.
- C. Samples: Submit manufacturer's sample of trench drain, including grate.
- D. Manufacturer's Certification: Submit manufacturer's certification

#### 1.4 DELIVERY, STORAGE, AND HANDLING

- A. Protection: Use all means necessary to protect the materials of this Section before, during, and after installation, to protect the work and materials of all other trades, and to protect all objects designated to remain.
- B. Delivery and Storage: Deliver all materials to the job site in their original containers with all labels intact and legible at time of use. Store in strict accordance with the manufacturers' recommendations as accepted by the Architect.
- C. Replacements: In the event of damage, immediately make all repairs and replacements necessary to the acceptance of the Architect and at no additional cost to the Owner.

### PART 2 – PRODUCTS

#### 2.1 ACCEPTABLE MANUFACTURERS

- A. ACO, Inc. <http://www.acousa.com/home/>
- B. Zurn Industries, LLC. <https://www.zurn.com/>
- C. NDS, Inc. <https://www.ndspro.com/>

#### 2.2 TRENCH DRAIN

- A. Grate shall be rated for H-10 Loading and have a minimum width of 8”.

## PART 3 – EXECUTION

### 3.1 EXAMINATION

- A. Examine areas to receive trench drain system.
- B. Notify The Engineer of conditions that would adversely affect installation or subsequent use.
- C. Do not begin installation until unacceptable conditions are corrected.

### 3.2 INSTALLATION

- A. Install trench drain system in accordance with manufacturer's instructions at locations indicated on the Drawings.
- B. Excavate trenches to ensure proper thickness of concrete beneath and on both sides of channels are maintained.
- C. Install trench drain system to elevations and slopes indicated on the Drawings.
- D. Apply silicone sealant to make joints watertight if needed.
- E. Install concrete in accordance with Section 32 13 13 – Concrete Paving.

### 3.3 CLEANING

- A. Clean trench drain system of accumulated sediment and debris before final project completion.

### 3.4 PROTECTION

- A. Protect work of this Section to ensure that, except for normal weathering, Work will be without damage or deterioration at time of Substantial Completion.

END OF SECTION 33 44 16



# **Section 50 10 00 Existing Conditions Survey**

THIS PAGE INTENTIONALLY LEFT BLANK

March 26, 2015

Mr. Ward Ponticelli, RA  
DAS/Division of Construction Services  
165 Capitol Avenue Room 460  
Hartford, CT 06106

Re: Land Survey Review  
505 Hudson Street  
Hartford, Ct.

Dear: Mr. Ponticelli:

We reviewed the DCS provided survey prepared by Kratzert, Jones & Associates, Inc. titled "Existing Conditions Plan for State of Connecticut Department of Public Works 505 Hudson Street Hartford, CT, dated September 10, 2014. This letter memorandum summarizes our findings.

The survey was found to be very complete and thorough. It was reviewed against the regulations of Connecticut State agencies sections 20-300b-1 through 20-300b-20 and the Standards for Surveys and Maps in the State of Connecticut as adopted by the Connecticut Association of Land Surveyors, Incorporated. Based upon this review, it was complete and accurate in regard to the minimum standards set forth for boundary and topography.

The boundary was clearly defined and labeled and certified to an A-2 standard. This standard means the boundary was researched and field verified and can be relied upon to be correct. Our review and findings also recognized that there are current encroachments of the 505 Hudson Street parking lot onto adjacent properties as shown on the mapping. The site features were labeled and a legend was provided for the symbols used on the map. The elevations for the site are depicted as contour lines and multiple spot grades are provided to further define the elevations. We field verified and performed limited topographic checks within specific areas of the site that will be re-graded as part of the overall improvement plan for the parking lot. These checks verified that the original survey was accurate, and complete, etc.

In conclusion, we feel the provided existing conditions survey provides a clear and accurate representation of the site, adequate to design from. If you have any questions on the existing survey and/or our findings please contact me at (860) 251-9550 or email at [bshea@freemancos.com](mailto:bshea@freemancos.com).

Very truly yours,  
Freeman Companies



Brian Shea, LS  
Interim Chief of Survey

