

Page 1 of 9 ADV. No.: **BI-JA-465-CMR Connecticut Department of Administrative Services** Office of Legal Affairs, Policy & Procurement 450 Columbus Boulevard, Suite 1302 Hartford, Connecticut 06103 Request for Qualifications (RFQ) Web Advertisement For Construction Manager at Risk (CMR) Services This RFQ Web Advertisement contains new Quality Based Selection (QBS) Submittal **IMPORTANT NOTE:** Requirements and QBS Selection Procedures. Please read this RFQ Web Advertisement and all referenced documents carefully. **BI-JA-465-CMR** Web Advertisement Date: Wednesday, Jan. 25, 2017 Adv. No.: Deadline for the receipt of the QBS Submittal Booklets is: QBS Submittal **Deadline:** 3 p.m. Thursday, February 16, 2017 1 Selection Major Capital Project CMR - GMP Services Selection Type: 2 General In accordance with the requirements of CGS §4b-103, the State of Connecticut, Department of Statement: Administrative Services (DAS), Division of Construction Services (DCS), Process Management & QBS Unit advertises for Requests for Qualifications (RFQs) for the Major Capital Project Construction Manager at Risk (CMR) Services as specified below. 3 Project Construction Manager at Risk (CMR)\* - Guaranteed Maximum Price (GMP): Deliverv A Construction Manager at Risk (CMR) shall be selected to publically bid the project elements and Method: enter into a Guaranteed Maximum Price (GMP) contract with the State. After consultation with and approval by the DAS Commissioner, the CMR shall award subcontracts to responsible qualified subcontractors submitting the lowest bids to build the Project. \*Construction Manager at Risk (CMR) reviews and participates in design and the production of the construction documents with Owner and Architect. The CMR solicits trade bids on behalf of the Owner from trade subcontractors on a competitive basis. The CMR shall agree upon a Guaranteed Maximum Price to perform the work identified in the Bid Documents and enters into contracts with these trade subcontractors to perform their trade work. Important Note: Projects that a firm has completed as "General Contractor" or a "Construction Manager as Agent" (i.e. Projects where the firm did not enter into contracts with these trade subcontractors to perform their trade work) shall not qualify as acceptable CMR Project Experience for this Selection. 4 **User Agency Department of Correction (DOC)** Name: Project 5 Planning Start August 15, 2016 Date: 6 Contract **BI-JA-465-CMR** Number: 7 **Project Title:** York Correctional Central Plant and Distribute System 8 Project York Correctional institute 201 West Main Street, Niantic, CT Location(s): 9 Estimated Total Cost Of \$45,800,000 The Work:



## 1700 RFQ Web Advertisement For Construction Manager at Risk (CMR) Services

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10	Construction Phase:		
11	DAS Contractor Classification Name:	Construction Manager At Risk:	<ul> <li>Group A <u>and</u> DCP Major Contractor Registration</li> <li>Group B <u>and</u> DCP Major Contractor Registration</li> <li>Group C <u>and</u> DCP Major Contractor Registration</li> </ul>
12	Project Description:	The Department of Administrative se a highly talented and experienced Co The purpose of this project is to comp underground distribution piping for bo CI facility, Niantic, CT. The existing s This project will consist of the installa piping, (HT/Chilled piping supply/retu Central Plant. Underground piping re vaults for both heating and chilled wa The proposed new Central Plant, whi single story, approximately 9,700 SF. space for a future fourth; cooling tow associated required pumps, valves a The existing buildings have no baser various directions to enter the existin The York CI facility is an all-women's fence lines, must conform to DOC Se This Project is not under the "High This project will require Building C tie-ins to the existing building med	pletely upgrade both the existing Central Plant and all the oth high temperature hot water and chilled water, at the York ystems are 24 years old and in poor condition. Ation of approximately 32,000 LF of 10 inch underground trn), which will connect to 18 buildings, starting from the placement shall also include all replacement of all valves and ater piping systems. Atter piping systems. Atter will be located next to the existing Plant and would be a . New Central Plant – will consist of (3) 350 ton chillers with er with 3 cells; (3) 350 hp high efficiency boilers and all nd chemical treatment accessories. Atter piping systems in each building. A prison facility and the contractors working inside the perimeter ecurity Guidelines and restrictions. A <b>Performance Building standards</b> .
13	Designated Scope of CMR Services:	Design Development, Construction 1. Preconstruction Phase Scope 1.1 Summary of Design Phase Server .1 Constructability I .2 Blasting and Pile .3 Site Logistics Pla .4 Building Excavat .5 Schedule and Phase .6 Cost Control Mase	hase Services: vices may include but are not limited to the following: Reviews; e Driving Report; an; tion Plan. hasing Coordination;



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	1.2 Summary of Bid Phase Services:	
	<ul> <li>.1 Develop the Master Project Schedule;</li> <li>.2 Bid to DAS Prequalified Subcontractors for each Bid Package;</li> <li>.3 Advertise Bids;</li> <li>.4 Issue Subcontractor Bid Packages;</li> <li>.5 Conduct Preconstruction Conference(s) and Site Visit(s);</li> <li>.6 Process All Addenda;</li> <li>.7 Receive Bids from Subcontractors and conduct public bid opening;</li> <li>.8 Issue a Guaranteed Maximum Price (GMP);</li> <li>.9 Execute Subcontractor Agreements;</li> </ul>	
	<ul> <li>2. Construction Phase Scope Services:</li> <li>2.1 Summary of Construction Phase Services may include but are not limited to the following: <ol> <li>Comply with General Conditions - CMR;</li> <li>Comply with General Requirements - CMR;</li> <li>Conduct Pre-construction Conference;</li> <li>Periodic update the Master CPM Schedule;</li> <li>Monthly update of Schedule of values;</li> <li>Review and Prepare Monthly Progress Payment Requests;</li> <li>Periodic Update of Project Cash Flow Projections;</li> <li>Act as the Project's Prime Liaison;</li> <li>Coordinate all change requests and responses;</li> <li>Coordinate all change requests and responses;</li> <li>Coordinate All Types of Submittals;</li> <li>Coordinate Sub-contractors' participation in Commissioning (Cx);</li> <li>Provide construction trailers, storage, equipment, barriers, and etc.;</li> <li>Provide all Necessary On-site Construction Management Personnel;</li> <li>Coordinate Substantial Completion and Turn Over</li> </ol> </li> </ul>	ons
	.19 Closeout Project.	
14 Security Regulations for Contract Forces on Department of Correction Facilities:	<ul> <li>cility Admittance (3.01)</li> <li>Due to the location and nature of the work, the Department of Correction will issue badges to each of their employees. These badges shall be worn in plain sight at all times within the confines of the Correctional Facility.</li> <li>All bidders and contracted personnel shall provide to the Agency Representative / Project Manager the following information at least 24 hours in advance of being admitted on site: <ul> <li>a. Name</li> <li>b. Date of Birth</li> <li>c. Social Security Number</li> <li>d. Driver's License Number</li> </ul> </li> <li>orm will be provided for review by the Department of Correction requesting this information; it at be signed by the individual named on the form.</li> <li>Department of Correction Engineering Services 24 Wolcott Hill Road Wethersfield, Connecticut 06109</li> </ul>	



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(Continue) <b>Security</b>	rking Rules (3.02)	
Regulations for Contract Forces on Department		e a list of Official Working Rules submitted by the <b>Department of Correction</b> which shall be obligated to follow. No verbal or personal contact with any inmates.
of Correction Facilities:	b.	All workmen will work under the observation of an assigned correctional officer or supervisor who will check them in and out.
	c.	Equipment will be checked daily and, when not in use, locked in a secure place as the facility officials may direct.
	d.	Hacksaws, blades, and files will remain in the custody of the officer assigned, except when actually being used.
	e.	The correctional officials reserve the right to refuse admittance to any workman for any cause the correctional officials deem sufficient.
	f.	In the event of any emergency, all outside workmen will be escorted outside the facility by the correctional official.
	g.	All questions pertaining to interruptions of service or to safety of the facility, will be taken up with the appropriate correctional official.
	h.	Work at the facility shall be carried on during the time between 8:00 a.m. and 12:00 Noon and between 12:30 p.m. and 4:30 p.m., the maximum allowable working day being 8 hours. No work shall be carried on at the facility on any weekend or holiday.
	i.	The contractor shall insure that when all apparatus is not in use, it will be made unusable or be supervised to prevent use by inmates for escape.
	j.	The contractor shall supply, to the agency, a copy of all material safety data sheets for all products used in the process of construction, construction materials, and products brought onto the premises.
		Regulations of the Department of Correction Facility (3.03)
	rules and regula Each employee	bloyed or entering the <b>Department of Correction Facility</b> shall read the following tions and extracts of the laws governing the introduction and control of contraband. or person shall sign this paper to assure that he/she understands the penalties ation of these rules and regulations.
	Restricted	Areas (3.04)
	the immediate a be granted by the	ept Correctional personnel employees, upon entering the grounds are restricted to rea of their work assignment. In order to go to other areas, written permission must be supervisory correctional official in charge. Only persons having official business to construction sites.
	Inmates (3.	1
	personnel. All paccountable to C	mes when inmates may be working adjacent to or in the same area as construction ersons are prohibited from accepting or giving anything to an inmate. Inmates are Correctional personnel <i>only</i> ; <i>no</i> other person shall have any conversation or dealings hout the approval of the Correction authorities.
	Vehicle Co	
		tering upon the <b>Correction grounds</b> shall remove the ignition keys and lock the ey leave it for any reason.
	Contrabanc	
	vehicle. Contra	raband shall not be brought into or onto the Correction facility's grounds or left in a band is defined in subsequent paragraphs and all persons are subject to these Correction Facility Rules and Regulations concerning contraband when on the y's grounds.



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taking	oduction or attempt to introduce into or upon the grounds of the Correction facility or t attempt to take or send therefrom anything whatsoever without the knowledge of the Facil or is prohibited.
circum materi regarc neglig	and may be defined as any article whatsoever which is unauthorized under t ances and may include letters, stamps, tools, weapons, papers, floor implements, writi s, messages (written and verbal) instruments and the like. Any questions which may ari g such matters should be promptly discussed with the Facility supervisor. Failure ce in complying with these regulations will result in immediate disciplinary action and/ from the site.
State	Laws Governing Contraband (3.08)
1.	<ul> <li>P.A. 73-639 Section 16. Section 53a-174 of the 1971 non-cumulative supplement General Statutes, as amended by number 12 in lieu thereof:</li> <li>a. Any person not authorized by law who conveys or passes or causes to conveyed or passed, into any corrections or humane institution or the grounds buildings thereof, or to an inmate of such an institution who is outside the premis thereof and known to the person so conveying or passing or causing such conv or passing to be such an inmate any controlled drug, as defined in Section #44 any intoxicating littering, and firearm, weapon, DANGEROUS INSTRUMENTS explosives of any kind, any United States currency, or any rope, ladder or oth instrument or device for use in making, attempting or aiding an escape, for a Cla D Felony per Sec. 53a-35 subsection a. b, c, d is a term not to exceed five years.</li> </ul>
	The unauthorized conveying, passing, or possessing of any rope or ladder or oth instrument or device, adapted for use in making or aiding an escape, into any su institution or the grounds or building thereof, shall be presumptive evidence tha was so conveyed, passed or possessed for such use.
	b. Any person not authorized by law who conveys into any such institution any lett or other missive which is intended for any person confined therein, or who conve within the enclosure to the outside of such institution any letter or other missi written or given by person confined therein, shall be guilty of a Class misdemeanor. Penalty for a Class "A" misdemeanor per Sec. 53a-36 subsecti 1, the term is not to exceed one (1) year.
	c. Any person or visitor who enters or attempts to enter a Correctional Institution facility by using a misleading or false name or title shall be guilty of a Class misdemeanor.
2.	<ul> <li>Sec. 17 Section 53a-174a of said supplement is repealed and the following is substitut in lieu thereof:</li> <li>a. A person is guilty of possession of a weapon or DANGEROUS INSTRUMENT a Correctional Institution when, being an inmate of such institution, he knowing makes, conveys from place to place or has in his possession or under his cont any firearm, weapons DANGEROUS INSTRUMENT, explosive, or any oth substance or thing designed to kill, injure or disable.</li> </ul>
	b. Possession of a weapon or DANGEROUS INSTRUMENT in a Correction Institution is a Class "B" felony. Penalty for a Class "B" felony per Sec. 53a- subsection a, b, c, d is a term not to exceed twenty (20) years.
	End of Section - Revised Date: May 2005



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	Requirements for Affidavits, Certifications, Professional Documents, Business Credentials, and Insurance Documentation:				
15	Electronic Uploading Requirements for Affidavits / Certifications:	Friend on the For a s	<ul> <li>bordance with the Connecticut General Statutes and Governor Dannel P. Malloy's "Business ly Initiative," found in Public Act No. 11-229, each Firm is required to open a BizNet Account DAS website and then electronically upload certain documents to their DAS BizNet Account. summary of the documents that must be electronically uploaded to DAS BizNet:</li> <li>Go to the DCS Website: www.ct.gov/dcs</li> <li>At the top of the DCS Home Page click on the DCS Library link.</li> <li>Scroll down and click on the following DCS form:</li> <li>1769.1 - CT DCS Legal Services Unit: Contract Requirements for CMR Services.</li> <li>ivision 5 – Affidavits &amp; Certifications Requirements in "1712 QBS Submittal Booklet rements for CMR Services" for additional instructions.</li> </ul>		
16	Conditional Selection Document Requirements:	is cond by ema docum Statute <u>copies</u> For a s <b>submi</b>	brdance with the requirements the Department's Legal Services Unit, the selection of a Firm ditional upon completing and submitting, within fourteen (14) calendar days of being notified ail, certain documents as described in the Conditional Selection Notification Letter. Some nents are required to be <u>electronically uploaded</u> in accordance with Connecticut General as Public Act No. 11-229, "Business Friendly Initiative". Others must be submitted as <u>hard</u> <u>a</u> directly to the Department's Legal Services Unit. summary of the documents that must be either electronically uploaded to DAS BizNet or tted directly to the Departement's Legal Services Unit within fourteen (14) days of being ionally Selected: Go to the DCS Website: <u>www.ct.gov/dcs</u> At the top of the DCS Home Page click on the DCS Library link. Scroll down and click on the following DCS forms: 1150 - Credentials and Insurance Requirements; <u>and</u> 1769.1 - CT DCS Legal Services Unit: Contract Requirements for CMR Services.		
17	Qualifications Based Selection (QBS) Procedures and Steps:	1. 2. The Q perforr specifi procec	MR Firm must submit the following documents, as described below: QBS Submittal Booklet for CMR Services, which includes the following: QBS Screening Shortlist Questionnaire for CMR Services. BS process is based on comparing and evaluating weighted criteria of qualifications and mance of CMR Firms in relation to work of similar scope and complexity that is required for this c contract. The QBS Selection for this Project shall be conducted in accordance with the dures described in the CMR GMP Best Value Selection Procedure Manual (0370). The al can be accessed and downloaded from the DCS Library as follows: Go to the DCS Website: www.ct.gov/dcs At the top of the DCS Home Page click on the DCS Library link. Scroll down and click on the CMR GMP Best Value Selection Procedure Manual (0370) link. QBS Selection Procedures for CMR Services RFQ Web Advertisement: Prospective CMR Firms shall submit their QBS Submittal Booklets for CMR Services in response to this RFQ Web Advertisement by the QBS Submittal Deadline stated in this RFQ Web Advertisement. Longlist Procedure (Pre-Screening):		



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		The QBS Selection Panel Chair Person shall review all prospective CMR Firm's QBS Submittal Booklets for CMR Services for compliance with this RFQ Web Advertisement and 1712 QBS Submittal Booklet Requirements for CMR Services.
		The <b>QBS Unit</b> shall (1) determine if the prospective CMR Firm meets or exceeds contract limitations as specified in <b>1210 QBS - Guidelines for Selection and Contract Limits;</b> and (2) create a " <b>Longlist</b> " of CMR Firms that shall be Screened and Shortlisted.
		<b>NOTE:</b> If a prospective CMR Firm <b>exceeds</b> the contract limitations as specified in <b>1210 QBS</b> - <b>Guidelines for Selection and Contract Limits</b> , they shall not be considered any further for the specific "Project".
	3	Shortlist Procedure (Screening):
		The QBS Selection Panel shall evaluate and "rate" each of the CMR Firms on the Longlist in accordance with the "Screening Shortlist Criteria Categories for CMR Services" and the 1736 Screening Shortlist Evaluation Guide for CMR Services.
		The <b>QBS Unit</b> shall create a "Screening Approval Memorandum" of the recommended <b>Shortlisted</b> CMR Firms rated "most highly qualified" that shall be furnished for to the Department's Director of Project Management for approval.
	4	CMR Request for Proposals (RFP):
		The Shortlisted CMR Firms shall be required to reply to a CMR Request for Proposal (RFP). The RFP shall be comprised of Project Information, Qualitative Criteria Components Submittal Requirements, and Sealed Cost Components Proposal Submittal Requirements and must be submitted by the due date by each of Shortlisted CMR Firms.
	5	CMR Best Value Based Selection Procedure:
		The CMR Selection Procedure utilizes a three (3) step "Best Value Based Selection" Procedure: Step 1: Selection Presentations and evaluation of Qualitative Criteria Components Submittals from the RFP; Step 2: Public Opening of the Sealed Cost Components Proposal Submittals from the RFP; and Step 3: Best Value Calculation of the Qualitative Criteria Components Submittals and Sealed Cost Components Proposal Submittals.
	6	Selection:
		The <b>QBS Unit</b> shall create a <b>"Selection Approval Memorandum"</b> of the CMR Firms that shall be furnished with the <b>"rating point scores"</b> to the DAS Commissioner, who shall indicate his/her approval to enter into a contract with the "most highly qualified" CMR Firm.
		The <b>QBS Unit</b> shall send the <b>Selected CMR Firm</b> a <b>"Conditional Selection Notification</b> <b>Letter"</b> which shall provide instructions regarding additional certificates, affidavits, and other information that must be submitted for the processing of its contract by the Department's Legal Services Unit.

18	QBS Selection Panels:	Number of QBS Selection Panel Members Per Cost of Work:				
				QBS Selection Panel Members		
		Cost of the Work		DAS Employees (Appointed By DAS Commissioner)	Agency Employees (Appointed By Agency Commissioner)	Total
			\$5,000,000 or Greater (Major Capital Project)	4	1	5
			\$5,000,000 or Less (Minor Capital Project)	2	1	3
			CHEFA Projects	3	2	5
19 QBS Screening Shortlist Requirements		for each	Selection Panel shall evalu of the CMR Firms on the es for CMR Services" desc	Longlist in accordance		
	for CMR Services:	Criteria Number	Screening Short	list Criteria Categories f	or CMR Services	Rating Points

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		1	Experience with Work of Similar Size and Scope as Required for this Contract	35
		2	Organizational / Team Structure For This Contract	30
		3	Past Performance Data	20
4		4	Partnering Experience	15
		-	Available Rating Points per Screening Panel Member per CMR Firm	100
		-	Available Rating Points for three (3) Panel Members per CMR Firm	300
		-	Available Rating Points for five (5) Panel Members per CMR Firm	500
20	QBS Selection Presentations for CMR Services:	At the end in accord described	S Selection Panel shall conduct Selection Presentations with the Shortlisted CMR Firms. nd of each Presentation, the Selection Panel shall evaluate and "rate" each of the CMR Firms ordance with the "CMR Selection Presentation - Qualitative Criteria Components" ed below.	
		Criteria Number	CMR Selection Presentation - Qualitative Criteria Components:	Rating Points
		1	Experience	10
		2	Project Organization, Personnel Experience and Qualifications	30
		3	Project Approach and Preliminary Project Plan	15
		4	Past Performance on CMR Projects, GC projects, or Other Relevant Performance Data	15
		5	Schedule Performance	20
		6	Safety Record	10
		-	Available Rating Points per Selection Panel Member per CMR Firm	100
		-	Available Rating Points for three (3) Panel Members per CMR Firm	300
		-	Available Rating Points for five (5) Panel Members per CMR Firm	500
	Limitations:	<ul> <li>and Contract Limits" prior to completing a QBS Submittal Booklet for CMR Services to determine if they meet or exceed Contracts Limitations for this specific Project. If a CMR Firm exceeds the number of allowable Contracts as described in "1210 QBS - Guidelines for Selection and Contract Limits" and still submits a QBS Submittal Booklet for CMR Services, then the CMR Firm shall not be considered any further for this specific Project. The form can be accessed and downloaded from the DCS Library as follows:         <ul> <li>Go to the DCS Website: www.ct.gov/dcs</li> <li>At the top of the DCS Home Page click on the DCS Library link.</li> <li>Scroll down and click on the 1210 QBS - Guidelines for Selection and Contract Limits link.</li> </ul> </li> </ul>		
22	"1712 QBS Submittal Booklet Requirements for CMR Services":	All prospective CMR Firms must submit a QBS Submittal Booklet for CMR Services in accordance with the "1712 QBS Submittal Booklet Requirements for CMR Services", which can be accessed and downloaded from the DCS Library as follows:         1       Go to the DCS Website: www.ct.gov/dcs         2       At the top of the DCS Home Page click on the DCS Library link.         3       Scroll down and click on the 1712 QBS Submittal Booklet Requirements for CMR Services         4       For reference, also click on the Selection & Bidding Manual link.		accessed
23	"1711 QBS Email Registration for CMR Services":	The CMR must email an edited version of the "1711 QBS Email Registration for CMR Services" to Rose Mitchell, QBS Secretary, at: <a href="mailto:rose.mitchell@ct.gov">rose.mitchell@ct.gov</a> . The CMR must also submit an edited version of the "1711 QBS Email Registration for CMR Services" behind the Division 3 Tab of the QBS Submittal Booklet for CMR Services. To access and download the QBS Screening Shortlist Questionnaire for CMR Services for this Project:1Go to the DCS Website: <a href="mailto:www.ct.gov/dcs">www.ct.gov/dcs</a> 2At the top of the DCS Home Page click on the DCS Library link.3Scroll down and click on the 1711 QBS Email Registration for CMR Services link.		



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24	"1713 QBS Screening Shortlist	The CMR must submit a completed "1713 QBS Screening Shortlist Questionnaire for CMR Services" behind the Division 6 Tab of the QBS Submittal Booklet for CMR Services. To access and download the QBS Screening Shortlist Questionnaire for CMR Services for this Project:			
	Questionnaire for CMR	1 Go to the DCS Website: <u>www.ct.gov/dcs</u>			
	Services":	2 Under Doing Business with DCS / Requests for Qualifications, click on the Construction Manager At-Risk link.			
			oject. Click on the QBS Screening Shortlist download the 1713 QBS Screening Shortlist pecific Project.		
25	QBS Submittal Location:				
		Randy Daigle         DAS Construction Services         Office of Legal Affairs, Policy & Procurement         450 Columbus Boulevard, Suite 1302         Hartford, Connecticut 06103         Office: 860.713.5696         E-mail: randy.daigle@ct.gov         IMPORTANT NOTE:         Failure to submit properly formatted QBS Submittal Booklets for CMR Services with all of the required contents by the designated deadline and location may result in the Firm's submittal being deemed deficient for consideration for this Contract.			
26	QBS Contacts:	For General QBS Submittal Questions:	For Specific Project Questions:		
		in this Advertisement shall be limited to information	Project Management Unit: John Nolan DAS Construction Services 450 Columbus Blvd. Suite 1201 Hartford, Connecticut 06103 Email: John.nolan@ct.gov more specific Project information than is contained in that is available to all Firms and that is necessary more specific Project information must be sent		

END RFQ Web Advertisement for CMR Services